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FINANCIAL DISCLOSURE REPORTS
MEMBERS OF HOUSE OF REPRESENTATIVES
JANUARY 1–DECEMBER 31, 2000
VOLUME 2 OF 3

United States Congressional Serial Set

Serial Number 14711

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107th Congress, 1st Session - - - - - - - - - - - - House Document 107–104

FINANCIAL DISCLOSURE
REPORTS
OF MEMBERS OF THE U.S. HOUSE OF REPRESENTATIVES

FOR THE PERIOD BETWEEN

January 1, 2000 to December 31, 2000

COMMUNICATION FROM THE CLERK OF THE HOUSE

TRANSMITTING

THE ANNUAL COMPILATION OF PERSONAL FINANCIAL DISCLOSURE STATEMENTS AND AMENDMENTS THERETO FILED WITH THE CLERK OF THE HOUSE OF REPRESENTATIVES, PURSUANT TO RULE XXVI, CLAUSE 1, OF THE HOUSE RULES

(Volume 2 of 3)

JULY 20, 2001.—Referred to the Committee on Standards of Official Conduct and ordered to be printed

U.S. GOVERNMENT PRINTING OFFICE

74–034 WASHINGTON : 2001

LETTER OF SUBMITTAL

OFFICE OF THE CLERK,
HOUSE OF REPRESENTATIVES,
Washington, DC, July 19, 2001.

Hon. J. DENNIS HASTERT,
The Speaker, The Capitol,
House of Representatives, Washington, DC.

DEAR MR. SPEAKER: I hereby submit the annual compilation of personal financial disclosure statements filed with the Clerk of the House of Representatives pursuant to the Ethics in Government Act of 1978, as amended, 5 U.S.C. app. § 101–111 (1994), and the House Rule XXVI.

This compilation contains the personal financial disclosure statements and amendments thereto required to be filed by Members of the House pursuant to the Act and House Rule XXVI by May 15, 2001. The compilation includes reported financial transactions which occurred between January 1, 2000 and December 31, 2000.

House Rule XXVI provides that the annual compilation of reports filed with the Clerk be printed as a House document by August 1 and contain all such reports filed by Members within the period beginning January 1 and ending June 15 of each year. This compilation is submitted accordingly.

With best wishes, I am

Sincerely,

JEFF TRANDAHL,
Clerk, House of Representatives.

Enclosures.

Continued in House Document 107–104, Volume 2 of 3

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 MAY 15 AM 9:38

OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES

HAND DELIVERED (342)

(Office Use Only)

Amory Houghton, Jr.
(Full Name)

80 East Market Street, Suite 300
(Mailing Address)

Corning, New York 14830

Daytime Telephone (202) 225-3161

Filer Status	[X] Member of the U.S. House of Representatives	State New York District 31st	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X] No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X] No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Amory Houghton	5/14/01

(19969)

UNITED STATES HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT – 2000 FINANCIAL DISCLOSURE STATEMENT

AMORY HOUGHTON JR.

SCHEDULE I - EARNED INCOME

Source and Type	Amount
Corning Incorporated:	
Income (in-kind):	
Value of secretarial services provided by former employer for whom consulting services are provided without monetary compensation (income in-kind, in excess of the 2000 outside earned income limit of $21,195, is offset by 2000 donations to qualified charitable organizations in the amount of $776,547)	$ 90,225
Trustee and Executor Commissions (commissions represent personal services provided to protect family resources, amounts not subject to outside earned income limit):	
L.H. Beer Trust 12/10/34	14,200
J.R. Houghton Trust 12/10/34	13,800
A.B. Houghton Trust 12/10/34	8,700
A. Houghton Jr. Trust 12/10/34	9,000
E.H. Weinberg Trust 12/10/34	11,000
A.S. Lassalle Trust 1/20/79	2,100
G.H. Lassalle Trust 11/21/78	4,100
H.D. Houghton Trust 12/22/61	12,400
M.T. Mowbray Trust U/W G.B. Hollister	4,200
H.M. Carter Trust U/W G.B. Hollister	4,200
M.T. Mowbray Trust 4/18/46	700
B.H. Brakenridge Trust 4/18/46	700
B. Hollister Jr. Trust 4/18/46	700
P.H. Mowbray Trust U/W H.C. Hollister	4,700
B. Hollister Trust U/W H.C. Hollister	4,100
P.J. Allatt Jr. Trust 8/15/49	5,500
J.W. Hollister Trust U/W G.B. Hollister	3,200
B.H. Brakenridge Trust U/W G.B. Hollister	3,000
B. Hollister Jr. Trust U/W G.B. Hollister	3,000
H.M. Carter Trust 4/18/46	700
B.G. Ooten Trust 4/21/65	2,400
M.A. Keaton Trust 1/22/64	2,100
M.A. Keaton Trust 6/24/63	1,600
E.M. OeDell Trust 6/24/63	1,500
E. Houghton Trust 12/10/34	15,300
E.A. Ray Trust 12/10/34	12,200
E.H. Cole Trust 12/10/34	14,600
	$ 159,700

SCHEDULE III - ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Debt Securities:					
Augusta National Inc. - building certificate - Series B	B		None	None	

UNITED STATES HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT - 2000 FINANCIAL DISCLOSURE STATEMENT

AMORY HOUGHTON JR.

SCHEDULE III - ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Equity Securities:					
Citigroup, Inc. – common stock	G		Dividend	V	
Corning Incorporated - common stock	J		Dividend	VIII	Gift, S
Gemstar International Group Ltd.	D		None	None	
Market Street Trust Company - common stock, Corning, NY	C		Dividend	I	
Market Street Partners LLC, Corning NY	F		Interest	I	
Procter & Gamble - common stock	E		Dividend	III	
NovaCare - common stock (sold in 2000)	A		None	None	S
Sun Microsystems - common stock	G		None	None	
MetLife, Inc.	C		None	None	P
NAHC, Inc.	A		None	None	P,S
Kittansett Club Voting Trust	C		None	None	
Moisie Salmon Club Class A and B	C		None	None	
U.S. Government Obligations:					
Savings bonds series E & EE	C		Interest	III	
Other:					
J.P. Morgan Delaware, Wilmington, DE	I		Interest	VII	
Chase Manhattan Bank, N.A., New Hyde Park, NY	C		Interest	II	
Citibank, N.A., New York, NY	D		Interest	III	
Corning Federal Credit Union, Corning, NY	C		Interest	II	
Fleet Bank, N.A., Quincy, MA	C		Interest	I	
76-78 East Market Street (investment property), Corning, NY	G		Rent	VII	
Congressional Federal Credit Union, Washington, DC	C		Interest	III	
Hingham Institution for Savings, Hingham, MA	C		Interest	II	
M&T Bank, Corning, NY	F		None		
Notes receivable - RGD Consulting Group, Elmira, NY	C		Interest	I	
Notes receivable - Nancy M. MacCraig, Corning, NY	C		Interest	I	
Notes receivable – Moisie River Fishing Camp	E		Interest	I	
Notes receivable - Friends of Houghton	F		Interest	I	
Harbor International Fund, equity mutual fund, Toledo, OH	C		Dividend	II	
Longleaf Partners Fund, mutual fund, Kansas City, MO	D		Dividend	II	
Vanguard Extended Market Portfolio, mutual fund, Philadelphia, PA	C		Dividend	II	
Dividend receivable, Harbor International, mutual fund	B				

UNITED STATES HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT - 2000 FINANCIAL DISCLOSURE STATEMENT

AMORY HOUGHTON JR.

SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Dividend receivable, Vanguard Extended Market Portfolio, mutual fund	B				
Dividend receivable, Longleaf Partners Fund, mutual fund	B				
Dividend receivable, Corning Incorporated - common stock	B				
Partnerships:					
Corning Associates II, managed by Aicre Realty, rental of office building, 41 State Street, Albany, NY (share - 50.00/5.50%)	F	(1)	Partnership	IX	
Acorn IV, a general partnership which is the sole limited partner in Whitehall LTD, a partnership holding a real estate investment in vacant land within Walnut Creek Industrial Park, Austin, TX (share - 20.00/19.60%**)	F	(2)	Partnership	I	
Bradford Gordon 1990-3, limited partnership organized to acquire royalty, mineral or other nonoperating interests in natural gas properites primarily in Michigan (share - 3.72%)	C	(2)	Partnership	I	
Mutual Benefit Theatre Partners, L.P.III, a partnership formed to finance the live stage threatrical production and presentation of musical and dramatic plays selected and produced by The Really Useful Threatre Co., Inc., Newark, NJ (share - .70%)	D	(2)	Partnership	I	
Intersouth Partners Limited Partnership, managed by Intersouth Associates, Research Triangle Park, NC, invested in IllumElex Corp (sold in 2000)	A	(2)	Partnership	I	

** Represents ownership % in both investment partnership/ownership in ultimate partnership, respectively.

(1) Valuation method – original investment, plus/less any additional capital contributed/withdrawn since inception.

(2) Valuation method – original investment, plus/less any additional capital contributed/withdrawn and income/loss since inception.

UNITED STATES HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT - 2000 FINANCIAL DISCLOSURE STATEMENT

AMORY HOUGHTON JR.

SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Bradford Gordon 1992-2, limited partnership organized to acquire royalty, mineral or other non-operating interests in natural gas properties primarily in Michigan (share - 8.18%)	C	(2)	Partnership	II	
Bradford Gordon 1996-1, limited partnership organized to acquire royalty, minerals or other non-operating interests in natural gas properties primarily in Michigan (share - 5.62%)	C	(2)	Partnership	I	
Trusts:					
Market Street Equity Trust II (MSETII) - Delaware business trust - investments include: common stock of Intel Corp., CVS Corp., Merck and Co., Inc., Automatic Data Processing Inc., Ambac Financial Group, Inc., Stryker Corp., General Electric Co., CMS Energy Corp., Chubb Corp., Constellation Energy Group, Inc., Dime Bancorp Inc., Entergy Corp., Golden West Financial Corp., Legg Mason, Inc., MCN Energy Group, Inc., Montana Power Co., PPL Corp., Southtrust Corp., Adelphia Communications Corp., Barr Laboratories, Inc., Bed Bath & Beyond, Inc., Best Buy, Inc., Biogen, Inc., Charter Communications, Inc., HCA - Healthcare Co., HealthSouth Corp, Invitrogen Corp., Manor Care Inc., Park Place Entertainment Corp., Starwood Hotels & Resorts Worldwide, Inc., Tenet Healthcare Corp., Tricon Global Restaurants, Inc., Watson Pharmaceuticals, Inc., General Motors Corp., Sigma Aldrich Corp., Smurfit - Stone Container Corp., Symbol Technologies, Cadence Design Systems, Inc., Emulex Corp., Panamsat Corp., Baker Hughes, Inc., Ensco International, Inc., Noble Affiliates, Inc., R&B Falcon Corp., Arthrocare Corp., Inc., Barnes & Noble, Inc., Callaway Golf Co., Myriad Genetics, Inc., AGCO Corp., Boise Cascade Corp., IMC Global, Inc., Avocent Corp., Com 21, Inc., Sandisk Corp., Transocean SedCo Forex, Inc.,					

(2) See explanation at page 3 of 27.

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AMORY HOUGHTON JR.

SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Forest Oil Corp., Pride International, Inc., American International Group, Inc., Morgan Stanley Dean Witter & Co., Akamai Technologies, Inc., Applied Materials, ATMEL Corp., Brocade Communications Systems, Inc., Cisco Systems, Inc., EMC Corp., JDS Uniphase Corp., Juniper Networks, Inc., Maxim Integrated Products, Inc., PMC – Sierra, Inc., Sycamore Networks, Inc., Vitesse Semiconductor Corp., Cacheflow, Inc.,Digex, Inc., Foundry Networks, Inc., Northern Trust Corp., State Street Corp., Kohls Corp., Estee Lauder Companies, Eli Lilly & Co., Marriott International, New York Times Co. A, Pfizer, Inc., Safeway, Inc., Starbucks Corp., Sysco Corp., Viacom Inc. Class B, Walgreen Co., First Data Corp., Microsoft and Pierpont Money Market Fund (share - .16%)	F	(3)	Trust	VII	
Amory Houghton Jr. Trust U/W A.B. Houghton - (interest in income only)			Trust	I	
Holdings consist of:					
Trusts:					
Market Street Fixed Income Trust I - Delaware business trust – investments include: securities of Power Authority of the State of New York, City of Pawtucket – Rhode Island, Clark County School District of Nevada, Cook County Community College - Illinois, Rhode Island Depositors Economic Protection Corp., Fulton County School District - Georgia, District of Columbia General Obligation, City of Chicago Water Revenue – Illinois, Massachusetts Bay Transportation Authority - Massachusetts, District of Columbia – Howard University, State of California Construction, Chicago					

(3) Valuation method - partner's share of market value of investments at December 31, 2000.

UNITED STATES HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT - 2000 FINANCIAL DISCLOSURE STATEMENT

AMORY HOUGHTON JR.

SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset		Income		
	Category of Value	Alternative Valuation	Type of Income	Category of Income	Transaction
O'Hare International Airport - Illinois, City of Austin Utility Systems – Texas, Regional Transportation Authority - Illinois, Florida State Board of Education, New York State Dormitory Authority, City of Dallas - Texas, Texas Public Finance Authority, Orlando Utilities Commission - Florida, State of Mississippi Capital Improvement, State of Hawaii, Connecticut Health and Educational Facilities Authority, Michigan State Hospital Finance Authority, Los Angeles County Public Works Finance Authority, State of Wisconsin, Washington Public Power Supply System, Conroe Industrial Development Corp., Common-wealth of Pennsylvania General Obligation, State of New Jersey, Sacramento Municipal Utility District - California, State of Colorado, North Central Texas Health Facilities Development Corp., Nebraska Public Power District, Geisinger Health Systems Authority - Pennsylvania, Commonwealth of Massachusetts, Massachusetts Water Resources Authority, Santa Clara Valley Water District - California, New York State Medical Care Facilities Finance Agency, City of Miami - Florida Parking Facilities, Salt River Project Agricultural Improvement and Power District - Arizona, King County School District No. 414, Minnesota Housing Finance Agency, Traverse City Area Public Schools - Michigan, City of Los Angeles - California, Wastewater, Grant County Public School District Number 002 - Washington, Sacramento County - California, City and County of San Francisco - California, City of Boston - Massachusetts, City of Jacksonville - Florida, Virginia Housing Development Authority - Multifamily, Virginia Housing					

UNITED STATES HOUSE OF REPRESENTATIVES

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AMORY HOUGHTON JR.

SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset		Income		
	Category of Value	Alternative Valuation	Type of Income	Category of Income	Transaction
Development Multifamily, Illinois Housing Development Authority - Multifamily, Illinois Health Facilities Authority, City of Berwyn - Illinois, Platte River Authority - Colorado, Middletown Township Board of Education - New Jersey, Multnomah County School District No. 15 - Oregon, Waxahachie Independent School District - Texas, Colorado Housing Finance Authority - Multi-family, Yuma Industrial Development Authority - Arizona, Palm Beach County Solid Waste Authority, Spring Branch Independent School District - Texas, Municipal Assistant Corp. - New York, City of Fort Worth - Texas, Water and Sewer, Town of Nantucket – Massachusetts, State of North Carolina, Austin Independent School District - Texas, Hyland Hills Metropolitan Park and Recreational District – Colorado, Brownsville Independent School District - Texas, Donna Independent School District -Texas, City of Hampton –Virginia, Jefferson County – Kentucky, New Jersey Health Care Facilities, District of Columbia, Belmont County - Ohio, Health Systems, New York State Urban Development Corp., West Windsor Plansboro Regional School District - New Jersey, Purdue University - Indiana, Federal Home Loan Bank, State of Nevada Colorado River Commission, Clear Creek Independent School District - Texas, Lamar Consolidated Independent School District - Texas, Harris County - Texas, Arapahoe County School District - Colorado, Arlington Independent School District - Texas, City of Kansas City - Missouri, California Housing Finance Agency, Colorado Water Resource and Power Development Authority, LaPorte Independent School District - Texas, State of Washington, City of					

UNITED STATES HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT - 2000 FINANCIAL DISCLOSURE STATEMENT

AMORY HOUGHTON JR.

SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset		Income		
	Category of Value	Alternative Valuation	Type of Income	Category of Income	Transaction
Portland - Oregon, Wayne County – Michigan, Community College, Kane County School District Number 101 - Illinois, Michigan State Housing Development Authority, Charleston County - South Carolina, City of Jackson - Mississippi Water and Sewer, Sanger Union School District - California, State of New York, South Carolina Transportation Infrastructure Bank, Bishop International Airport Authority - Michigan, Fairfax County Economic Development Authority - Virginia, State of Illinois State Scholarship Commission, Wisconsin Health & Educational Authority, City of Pearland - Texas Water and Sewer, City of Dallas Civic Center - Texas, Utah State Building Ownership Authority, Michigan Building Authority, Dallas County Flood Control District - Texas, City of Austin - Texas, Public Improvment, State of Ohio Highway Capital Improvement Unlimited, City of Minneapolis, Minnesota, State of Georgia, Harris County - Texas, Toll Road, State of Maryland Tax Exempt Lease, Fitchburg State College, City of Houston - Texas, Water and Sewer, City of Chicago - Illinois, Wastewater Transmission, Washington Public Power Supply, Texas Public Finance Authority, New Jersey Highway Authority, Lawrence Township Independent Metropolitan School District - Indiana, Piedmont Municipal Power Agency - South Carolina, New Hampshire Higher Educational and Health Facilities Authority, City of Philadelphia – Pennsylvania, Water and Sewer, Ohio Turnpike Commission, Federal Farm Credit Banks, Shelby County – Tennessee, Public Improvement and School, City and County of Denver - Colorado, Florida State Board of					

UNITED STATES HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT - 2000 FINANCIAL DISCLOSURE STATEMENT

AMORY HOUGHTON JR.

SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Education, Texas Health Facilities Development Corporation Hospital, McKinney Independent School District - Texas, State of California, Municipal Electric Authority - Georgia, Clark County - Nevada, New Jersey Transportation Corporation Capital Grant, Georgia Municipal Electric Authority, Hamilton County - Ohio, New Jersey Turnpike Authority, Triborough Bridge and Tunnel Authority - New York, New York State Medical Care Facilities Finance Agency, Rhode Island Housing and Mortgage Financing Corporation, Michigan State Hospital Finance Authority, Sierra View Local Healthcare, Tennessee Housing Development Agency, Los Angeles County Public Works, Virginia Public Building Authority, State of Illinois, JP Morgan Institutional Federal Money Market Fund, JP Morgan Institutional Tax Exempt Money Market Fund, and Pierpont Money Market Fund (share - 1.32%)	1	(3)			
Market Street New York Municipal Bond Trust - Delaware business trust - investments include: Municipal Assistance Corp. for the City of New York - New York, New York State Thruway Authority, Metropolitan Transportation Authority - New York, Power Authority of the State of New York, New York City Municipal Water Finance Authority New York State Environmental Facilities Corp., Orange County - New York, New York City Transitional Finance Authority, Long Island Power Authority - New York, New York State, Federal Home Loan Bank, New York State Dormitory Authority, New York State Mortgage Agency, Puerto Rico Infrastructure Financing and					

(3) See explanation at page 5 of 27.

UNITED STATES HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT - 2000 FINANCIAL DISCLOSURE STATEMENT

AMORY HOUGHTON JR.

SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Pierpont Money Market Fund (share – 21.80%)	I	(3)			
Market Street Equity Trust I - Delaware business trust - investments include Ashford Capital Partners Limited Partnership (investments in portfolio companies including: International Speedway Corp., Aaron Rents Inc., Ambassadors International Inc., CSG Systems International, Inc., Carlisle Holdings, Ltd., Central Parking Corporation, Copart Inc., GTS Duratek Inc., Mapinfo Corp., Kaiser Ventures, Inc., Actel Corp., Artesyn Technologies Inc., Littlefuse Inc., Mercury Computer Systems Inc., Vitesse Semiconductor Corp., Brown & Brown Inc., Armor Holdings Inc., Valuevision International Inc., Allied Capital Corporation, Cash America Investments, Inc., Federal Agricultural Mortgage Corp., Medallion Financial Corp., Cousins Properties Inc., Glenborough Realty Trust Inc., Integral Systems Inc., Excalibur Technologies Corp., Kronos, Inhale Therapeutics System, Sepracor Inc., Applera Corp. – Celera Genomics, Applera Corp. – Applied Biosystems, Cephalon, Inc., EPIQ Systems, Inc., Equinex, Inc., InfoUSA, Inc., Odwalla, Inc., Phoenix Technologies, LTD, Plantronics, Inc., PrePaid Legal Services, Inc., Safenet, Inc., Silicon Valley Bancshares, Valassis Communications, Inc., Virata Corp., International Rectifier Corp., Systems & Computer Technology Corp., 31 Bioscience Investment Trust PLC, Yahoo, Inc., Inktomi Corp., Siebel Systems, Inc., Amazon.Com, Inc., Ariba, Inc., EBAY, Inc., Priceline.com, Inc., Juniper Networks, Inc., Network Appliance, Inc.,					

(3) See explanation at page 5 of 27.

UNITED STATES HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT - 2000 FINANCIAL DISCLOSURE STATEMENT

AMORY HOUGHTON JR.

SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Redback Networks, Inc., Sycamore Networks, Inc., Vignette Corp., Emisphere Technologies, Inc., Homestore.com, Inc., Getty Images, Inc., Internet Capital Group, Inc., Kana Communications, Inc., and Pierpont Money Market Fund (share – 2.36%)	H	(3)			
Market Street Equity Trust II (See holdings listed above (share – 1.65%)	I	(3)			
Market Street Equity Trust III - Delaware business trust - investments include: Chase Manhattan Corp., Citigroup, Inc., Federal Home Loan Mortgage Corp., MGIC Investment Corp., USA Education, Inc., AT&T Corp., American Home Products Corp., Cendant Corp., Comcast Corp., Gannett Co., General Motors Corp., IMS Health, Inc., Jones Apparel Group, Inc., Marriott International, Inc., Staples, Inc., Tricon Global Restaurants, Inc., Whirlpool Corp., E.I. DuPont De Nemours & Co., Emerson Electric Co., Honeywell International, Inc., Pitney Bowes, Inc., Textron, Inc., Compaq Computer Corp., Electronic Data Systems Corp., First Data Corp., International Business Machines Corp., Devon Energy Corp., Kerr-McGee Corp., Texaco, Inc. and Pierpont Money Market Fund (share - 2.13%)	I	(3)			
Market Street Venture Trust I – Delaware business trust – investments include: Piermont Money Market Fund, JP Morgan Funds, BEA Systems, Inc., BCE Emergis, Inc., Hot Jobs.com, Paradigm Genetics, Inc., Tibco Software, Inc., Market Street Fixed Income Trust I (see holdings listed					

(3) See explanation at page 5 of 27.

UNITED STATES HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT - 2000 FINANCIAL DISCLOSURE STATEMENT

AMORY HOUGHTON JR.

SCHEDULE III – ASSETS AND UNEARNED INCOME

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above – share 5.1%), Paul Capital Partners Venture Limited Partnership (investments in portfolio companies at September 30, 2000 including: Assur-Investments, LLC, Finaciere Tuileries P-V, LLC, 4C Ventures, LP, Cedar Creek II, LP, JK&B Capital, Financiere Tuileries Holdings, LLC, Credit Card Holding Co-Invest., LP, Ven Seal, North Castle Partners I, LP, Sun Hung Kai China Development Fund, Accel Japan LP, DTI Investors, LLC, GP Capital Partners, LP, Corporation Borealis, ZS Mazel LP, Larimer Venture Management II, LP, Supermarkets Holding, LP, Pallas Invest, SA, Argentina Private Equity Fund II, LP, Pallas Invest Iberian Fund, Japan Australia, Renovaction Gestion, Finestpar, LLC, ZS Mazel II, LP, North Castle Partners I-A, LLC, Accel Telecom, LP, Philadelphia Ventures-Japan II, LP, Industrial Venture Company, Argentina Private Equity Fund I, LP, Venture Investments (Singapore), Hong Kong Venture Investment Trust, JAFCO Japan Venture Investment Co. Ltd., AEA Cable Investors, LP, Equimark, Argentina Private Equity Co-Investment Fund, Arral Pacific Equity Trust, European Enterprise Investments, FAP, Maloney Holding, LP, Onset Partners, Philadelphia Ventures-Japan I, LP, Precision Film Venture I, Pusan Investment Partnership #2, ZS Kaye, LP), Boston Capital Ventures III Limited Partnership (investments in portfolio companies including: American Auto Funding Corp, Child Health Systems, Inc., Cornerstone Brands, Inc., Mpower, Inc., Exa Corp., Advertising Communications, Inc., Sensitech Inc., SCC Communications Corp., Intellon Corp., ICON Solutions, Inc. MedSpan, Inc., Olympus Healthcare, Inc., Omnia, Inc.,					

UNITED STATES HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT - 2000 FINANCIAL DISCLOSURE STATEMENT

AMORY HOUGHTON JR.

SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Teletrac, Inc., Vertex Holdings, Inc., Brand Direct Marketing, Inc., Conservation Tourism, Ltd., Health Advance Institute, Inc., 21st Century Telecom Group, Vectrix Corp., BPT, Inc., MediaCom, Inc.), Boston Millennia Partners Limited Partnership (investments in portfolio companies including: EC Cubed, Entigo, Global Sports, Unisite, Hot Jobs.Com, The Hurwitz Group, Infolibria, IParty, Incyte Genomics, Knowledge Impact, More.Com Net.Genesis, Double Twist, Oasis Technology, Our House, Tech Smart, Web CT, Yantra, Gabriel Communications, Personal Health Technologies, Constellar Corp., Inventa Corp., iVillage, Inc.,Quintessent Communications, Inc., V.I.A. Net.Works, Inc., Medspan, Inc., Quality Packaging Systems, Inc., BrandDirect Marketing, Inc. and Concentrix Corp.), CHL Medical Partners Limited Partnership (investments in portfolio companies including: Antex Biologics, Inc., Camitro Corporation, Genomic Health, Inc., Cellular Genomics, Inc., POINT Biomedical Corporation, Revivant, Inc., RxCentric, Inc., Targesome, Inc., American Renal Associates, Inc., Boston Medical Technoliges, Comprehensive NeuroScience, Inc., Durect Corporation, InfiMed Therapeutics, Inc., SemperCare, Molecular Staging, Inc, and Prolifix Medical, Inc.), Exeter Capital Partners IV Limited Partnership (investment in portfolio companies including: The Sheridan Group, Linters, Inc., Duramax, Inc., Eloquent, Inc., Information Markets Corp., NK Lawn & Garden, Primetech, Inc., RX Centric.Com, Inc., Kitchen, Etc., Inc., Boston Medical Technologies, Inc., Brooks Equipment Co., Inc., Consolidated Delivery & Logistics, Inc., Fed Corporation, Progressive International, Inc., TVC/ Communication Dynamics, Inc.,					

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AMORY HOUGHTON JR.

SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset		Income		Transaction
	Category of Value	Alternative Valuation	Type of Income	Category of Income	
United Pet Group, Inc., Vision Play LLC, Facts on File, Inc., FiberNet Telecom Group, Inc., Horizon Food Group, Inc., K Media Inc., and LOIS, Inc.), Intersouth Partners IV Limited Partnership (investment in portfolio companies including: Alerts.Com, Extensibility, Fovean Corporation, Cogent Neuroscience, Inc., Encelle, Inc., Merix, Sentilhan, Inc., Comstock Systems Corp, Xanthon, Inc., Biolex, Inc., Opensite Technologies, Inc., InterCardia, Inc., InvoiceLink, and Paradigm Genetics), PCP Associates Limited Partnership (investments in portfolio companies including: Fianciere Tuileries VI, JK&B Capital, L.P., Cedar Creek II Limited Partnership, Boston Capital Ventures III, L.P., North Castle Partners I L.L.C., Boston Capital Ventures II, L.P., Sanderling Ventures Limited, Supermarkets Holding L.P., North Castle Partners I-A, LP., Paul Capital IC Holdings, LLC, Assur-Investments, LLC, Vandome UK Limited, Nessie Limited), Labrador Ventures IV Limited Partnership (investments in portfolio companies including:Atesto Technologies, Inc., BitLocker, Inc., EZBoard, Inc., Everyone.net, Inc., GetRelevant, Inc., Kargo, LevelEdge.com, Inc., Teraburst Networks, Inc., Yube, Inc.), The Megunticook Fund II Limited Partnership (investments in portfolio companies including: Analine.com, Inc., Data TV Networks, Inc., Intelcore Technologies, Inc., MunicipalNet, Inc., Quantum Clicks, Inc., Syncline.com, Inc. and Xalient, Inc.), ZN Mexico Trust (investments in portfolio companies including: Allied Mexico S.A. de CV, Universo E.com Inc., Innopak S.A. de CV, Groupo Ybarra and Desarolladora Homex), Tucker Anthony Private Equity Fund II,					

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SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Limited Partnership (investments in portfolio companies including: ABS Capital Partners III, L.P., Cypress Merchant Banking Partners II, LLP, Madison Dearborn Capital Partners III, LP, TA IX, LP, Austin Ventures VI, L.P., Battery Ventures V, LLP, New Enterprise Associates VIII, LP, Frazier Healthcare III, LP, Spectrum Equity Investors III, LP, Summit Accelerator Fund, L.P., Advent Digital Media & Communications III, L.P., Draper Fisher Jurveston Fund VI, L.P., Fox Paine Capital Fund, L.P., Halpern Denny Fund III, L.P., Highland Capital Partners V, L.P., Meritech Capital Partners, L.P., Polaris Venture Partners III, L.P., SKM Equity Fund III, L.P., Technology Crossover Ventures IV, L.P., TH Lee Equity Fund V, L.P., Thomas Weisel Capital Partners, L.P., VantagePoint Venture Partners IV, L.P., Vestar Capital Partners IV, L.P. (share - 2.33%)	H	(3)			
Market Street Venture Trust II – Delaware business trust – investments include: Pierpont Money Market Fund, Market Street Fixed Income Trust I (see holdings listed above - share 5.9%), Blue Rock Capital Limited Partnership (investments in portfolio companies including: Momentum Partners, Inc., Edison Schools., Inc., Ranch Networks, Inc., Integrated Chipware, Inc., MIDAS Vision Systems, Inc., ChannelWave, Inc., Fact City, Inc., GAIN Capital, Inc., GroceryWorks.Com, Inc., Just Balls, Inc., LockStar, Inc., Museum Shop.Com, Inc., Nex tone Communications, Inc. NxtWave Communications, Inc., Entigo Corporation, eVulkan, Inc., OpenService, Inc., THINQ Learning Solutions, Inc. Response Networks, Inc., Sovereign Hill Software, Inc., and Tellium, Inc.), Baker Communications Fund					

(3) See explanation at page 5 of 27.

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SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset		Income		Transaction
	Category of Value	Alternative Valuation	Type of Income	Category of Income	
Limited Partnership (investments in portfolio companies including: Advance Switching, NetScout, Micromuse Corp., Rare Medium, Connected Corp., Curl Corp., Interxion Holdings, NV, Akamai Technologies, Inc., Broadview Networks, Acuent, Inc., Fort Point Partners, Inc., QS Communication AG, S2 Systems, Inc., Sequoia, and Style 365.Com) and Oxford Bioscience Partners II Limited Partnership (investments in portfolio companies including: Gene Logic, Arevia, Admetric BioChem, Inc., ArgoMed, Inc., Artemis Pharmaceuticals, Astex Technology, Ltd., Biocode, Inc., Cardiopulmonary Corp., Cellomics, Inc., Ceres, Coelacanth Corporation, CombiChem, Inc., CytoLogix Corp., Entomed, EVAX Technologies, A.G., ExonHit Therapeutics, Familymed, Inc., Genicon Sciences Corporation, Guidestar Health Systems, Inc., InKine Pharmaceutical Company, Inc., Memory Pharmaceuticals, Message Pharmaceuticals Corp., MicroMed Technology, Inc., Mosaic Technologies, Inc., NetGenics, Inc., Orchid BioSciences, Inc., Phase-1 Molecular Toxicology, Inc., Physiome Sciences, Inc., SciQuest, and Variagenics, Inc.,) Intersouth Partners V, Limited Partnership (investments in portfolio companies including: Infoglide, NeoLinear, Net Octave, Norak, Stemco, Windwire and Zoom Culture), Boston Millenia Partners II (investments in portfolio companies including: Deltagen, EC Cubed, eYade.com, eMed, Entigo, MedCompanion, OptXCon, Knowledge Impact, YankeeTek Indubator Fund, CIRQIT.com, Dawnreader Fund II, Geyser Networks, InfoImage, Neoworld, Netrilice Communications, Optical Solutions, Politzer & Haney, Totality, V-Span and Yantra) and					

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SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Baker Communications Fund II Limited Partnership (investments in portfolio companies including: Broadview Networks, Jasmine Networks, JP Systems, Need 2 Buy.com, Sheiter Venture Fund, L.P., Totality, Traffic.com, Turin Networks, InterXion Holdings NV, QS Communications AG, Teem Photomics SA, Tamir Fishman Ventures, and Zucotto Wireless) (share - 1.18%)	F	(3)			
Market Street International Equity Trust I – Delaware business trust – investments include: Fortis, Siemens AG, AXA, CAP Gemini SA, LVMH Moet-Hennessy Louis Vuitto, Suez Lyonnaise Des EAUX, Vivendi Universal, Pearson PLC, Reckitt Benckiser PLC, Credit Suisse Group, Novartis AG, Swiss RE, Syngenta AG, Fanuc LTD, Matshushita Electric Industrial LTD, Mizuho Holdings, Inc., Nippon Telegraph & Telephone Corp., Shin ETSU Chemical Co., KoninKLIJKE Ahold NV, ASM Lithography Holdings NV, VNU NV, Alleanza Assicurazioni, Unicredito Italiano SPA, Telecom Italia Mobile SPA, Hennes & Mauritz AB, Alcatel Alsthom, Aventis, Elan PLC, Fujitsu LTD, HSBC Holdings PLC, Koninklijke Philips Electrs NV, News Corp LTD, Nokia Corp., Nortel Networks Corp., Smithkline Beecham PLC, Sony Corp., ST Microelectronics NV, Telefonica SA, Vodafone Group, PLC, Zurich Financial Services AG and Pierpont Money Market Fund (share - 1.83%)	G	(3)			
Market Street Telecommunications Trust I - Delaware business trust - investments include: AT&T Corp., NTL, Inc., Western Wireless Corp., Vodafone Group PLC, Liberty Media and Pierpont Money Market Fund (share - .34%)	E	(3)			

(3) See explanation at page 5 of 27.

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SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Market Street International Equity Trust II – Delaware business trust – all investments in this trust were liquidated in 2000 (sold in 2000).	A	(3)			
Partnerships:					
Corning Associates VC-3, investment in venture capital partnership (share – 3.56% /.07%**): Abbingdon Venture Partners LP-III, managed by the Foster Management Co., King of Prussia, PA; organized to invest in companies primarily in the health services industry	C	(2)			
Osprey Partners, LLC – investment in Lightship Holdings: organized to invest primarily in telephone technology (share – 14.49%)	C	(2)			
Real Estate:					
Residence (occupied by the Congressman), Washington, DC	I				
Other:					
Corning Incorporated – common stock	J				
Demand notes receivable from Amory Houghton Jr.	G				
Principal cash - interest bearing (J.P. Morgan Delaware)	H				
Income cash - interest bearing (J.P. Morgan Delaware)	C				
Other cash – interest bearing (J.P. Morgan Delaware)	H				
GMO Emerging Markets Fund III – mutual fund, Boston, MA	G				
GMO Foreign Fund III – mutual Fund, Boston, MA	G				
Harbor International Fund I – mutual fund, Toledo, OH	G				
Morgan Stanley Dean Witter Emerging Markets Fund, mutual fund - Chicago, IL	G				
Vanguard Extended Market Portfolio - mutual fund, Philadelphia, PA	F				

** See explanation at page 3 of 27.
(2) See explanation at page 3 of 27.
(3) See explanation at page 5 of 27.

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SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Vanguard Mid-Cap Index Fund Institutional - mutual fund, Philadelphia, PA	G				
Vanguard Institutional Index Fund – mutual fund, Philadelphia, PA	H				
Westport Small Capital Fund – mutual fund, Westport, CT	G				
Dividend receivable, Vanguard Extended Market Portfolio - mutual fund	C				
Dividend receivable, Vanguard Institutional Index – mutual fund	C				
Dividend receivable, Vanguard Mid-Cap Fund Institutional – mutual fund	C				
Dividend receivable, Harbor International Fund - mutual fund	C				
Dividend receivable, GMO Emerging Markets Fund - mutual fund	B				
Dividend receivable, Westport Small Capital Fund - mutual fund	B				
Dividend receivable, JP Morgan Money Market Fund	B				
Amory Houghton Jr. Trust 12/10/34 - (interest in 35.22% income and principal)			Trust	I	
Holdings consist of:					
Trusts (see holdings listed above):					
Market Street Fixed Income Trust I (share - .36%)	H	(3)			
Market Street New York Municipal Bond Trust (share - 7.24%)	I	(3)			
Market Street Equity Trust II (share – .60%)	H	(3)			
Market Street Equity Trust III (share - .79%)	H	(3)			
Market Street Equity Trust I (share - .51%)	F	(3)			
Market Street Venture Trust I (share – .64%)	F	(3)			
Market Street Venture Trust II (share - .35%)	E	(3)			
Market Street International Equity Trust I (share - .73%)	F	(3)			
Market Street Telecommunications Trust I (share .36%)	E	(3)			
Market Street International Equity Trust II (sold in 2000)	A	(3)			S

(3) See explanation at page 5 of 27.

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AMORY HOUGHTON JR.

SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Partnerships:					
Corning Associates VC-3 (see holdings listed above) (share - .56%/.01%**)	B	(2)			
Market Street Trust Company Investment Partnership I, invested in JPM 1996 Alpha, LLC partnership (share - 1.97/.11%**): JPM 1996 Alpha LLC, managed by J.P. Morgan D.E.; organized to diversify in low basis stocks (investments as of September 30, 2000 include: AT&T Corp. Campbell Soup Co., Compaq Computer Corp., Corning Inc., E.I. du Pont de Nemours and Company, Eli Lilly & Co., Enron Corp., International Flavors and Fragrances, Inc., Lucent Technologies, Boeing Co., Viasic Foods International, Inc., American International Group, United Asset Management Corp. and Midland Preferred Stock)	G	(2)			
Other:					
Corning Incorporated – common stock	I				
Mortgage receivable from Katherine Q. Houghton	E				
Trusts (continued):					
Principal cash – interest bearing (J.P. Morgan Delaware)	F				
Income cash – interest bearing (J.P. Morgan Delaware)	C				
Other cash – interest bearing (J.P. Morgan Delaware)	G				
GMO Emerging Markets Fund III - mutual fund, Boston, MA	E				
GMO Foreign Fund III- mutual fund, Boston, MA	E				
Harbor International Fund – mutual fund, Toledo, OH	E				
Morgan Stanley Dean Witter Emerging Markets Fund – mutual fund, Chicago, IL	E				
Vanguard Extended Market Portfolio – mutual fund, Philadelphia, PA	E				

** See explanation at page 3 of 27.
(2) See explanation at page 3 of 27.

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SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Vanguard Institutional Index – mutual fund, Philadelphia, PA	F				
Vanguard Mid-Cap Index Fund Institutional – mutual fund, Philadelphia, PA	F				
Westport Small Capital Fund I - mutual fund, Westport, CT	E				
Dividend receivable, Vanguard Extended Market Portolio – mutual fund	B				
Dividend receivable, Vanguard Institutional Investment – mutual fund	B				
Dividend receivable, Vanguard Mid-Cap Index Fund Institutional – mutual fund	C				
Dividend receivable, Harbor International Fund - mutual fund	C				
Dividend receivable, GMO Emerging Markets Fund - mutual fund	B				
Dividend receivable, Westport Small Capital Fund - mutual fund	B				
Dividend receivable, JP Morgan Money Market Fund	B				
Elisabeth Houghton Trust 12/10/34 - (interest in 1/15 income only)			Trust	I	
Holdings consist of:					
Trusts (see holdings listed above):					
Market Street Fixed Income Trust I (share - .61%)	I	(3)			
Market Street Equity Trust I (share - .51%)	F	(3)			
Market Street Equity Trust II (share - .46%)	G	(3)			
Market Street Equity Trust III (share - .47%)	G	(3)			
Market Street Venture Trust I (share - .57%)	F	(3)			
Market Street Venture Trust II (share - .39%)	E	(3)			
Market Street Telecommunications Trust I (share - .64%)	F	(3)			
Market Street International Equity Trust I (share - .50%)	F	(3)			
Market Street International Equity Trust II (sold in 2000)	A	(3)			

(3) See explanation at page 5 of 27.

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AMORY HOUGHTON JR.

SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Partnerships (see holdings listed above):					
Corning Associates VC-3 (share - .63%/.012%**)	B	(2)			
Market Street Trust Company Investment Partnership I (share - 1.13%/.06%**)	F	(2)			
Other:					
Corning Incorporated - common stock	I				
Principal cash – interest bearing (J.P. Morgan Delaware)	F				
Income cash – interest bearing (J.P. Morgan Delaware)	C				
Other cash – interest bearing (J.P. Morgan Delaware)	F				
Harbor International Fund - equity mutual fund, Toledo, OH	E				
GMO Emerging Markets Fund III- mutual fund, Boston, MA	E				
GMO Foreign Fund III - mutual fund, Boston, MA	E				
Morgan Stanley Dean Witter Emerging Markets Fund - mutual fund, Chicago, IL	E				
Vanguard Extended Market Portfolio - mutual fund, Philadelphia, PA	D				
Vanguard Institutional Index – mutual fund, Philadelphia, PA	F				
Vanguard Mid-Cap Index Fund Institutional – mutual fund, Philadelphia, PA	D				
Westport Small Capital Fund I- mutual fund, Westport, CT	E				
Trusts (continued):					
Dividend receivable, Vanguard Extended Market Portfolio – mutual fund	B				
Dividend receivable, Vanguard Institutional Index – mutual fund	B				
Dividend receivable, Vanguard Mid-Cap Index Fund Institutional – mutual fund	B				
Dividend receivable, Harbor International Fund - mutual fund	C				
Dividend receivable, Westport Small Capital Fund – mutual fund	B				
Dividend receivable, GMO Emerging Markets Fund – mutual fund	B				

** See explanation at page 3 of 27.
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AMORY HOUGHTON JR.

SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Dividend receivable, JP Morgan Money Market Fund	B				
Sidney Cole Trust U/W A.B. Houghton12/10/34 - (interest in limited principal and 1/8 income only)			Trust	I	
Holdings consist of:					
Trust (see holdings listed above):					
Market Street Fixed Income Trust I (share - .12%)	F	(3)			
Market Street Equity Trust I (share - .15%)	E	(3)			
Market Street Equity Trust II (share - .20%)	F	(3)			
Market Street Equity Trust III (share - .09%)	E	(3)			
Market Street Venture Trust I (share - .12%)	E	(3)			
Market Street Venture Trust II (share - .24%)	D	(3)			
Market Street International Equity Trust I (share - .11%)	D	(3)			
Market Street Telecommunications Trust I (share - .19%)	D	(3)			
Market Street International Equity Trust II (Sold in 2000)	A	(3)			S
Partnerships (see holdings listed above): Corning Associates VC-3 (share -.193%/.004%**)	B	(2)			
Other:					
Corning Incorporated – common stock	C				
Principal cash - interest bearing (J.P. Morgan Delaware)	E				
Income cash – interest bearing (J.P. Morgan Delaware)	B				
Other cash – interest bearing (J.P. Morgan Delaware)	D				
GMO Emerging Market Fund III– mutual fund, Boston, MA	D				
GMO Foreign Fund III - mutual fund, Boston, MA	D				
Harbor International Fund - mutual fund, Toledo, OH	D				
Morgan Stanley Dean Witter Emerging Markets Fund – mutual fund, Chicago, IL	D				

** See explanation at page 3 of 27.
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SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Vanguard Extended Market Portfolio – mutual fund, Philadelphia, PA	D				
Vanguard Institutional Index – mutual fund, Philadelphia, PA	E				
Vanguard Mid-Cap Index Fund Institutional – mutual fund, Philadelphia, PA	D				
Westport Small Capital Fund - mutual fund, Westport, CT	D				
Dividend receivable, Vanguard Extended Market Portfolio – mutual fund	B				
Dividend receivable, Vanguard Institutional Index – mutual fund	B				
Dividend receivable, Vanguard Mid-Cap Fund Institutional – mutual fund	B				
Dividend receivable, Harbor International Fund - mutual fund	B				
Dividend receivable, Westport Small Capital Fund - mutual fund	B				
Dividend receivable, GMO Emerging Market Fund - mutual fund	B				
Dividend receivable, JP Morgan Money Market Fund	B				
John C. Dewey Jr. Trust - (Priscilla Dewey Houghton - beneficiary, interest in income only)			Trust	VI	
Holdings consist of:					
Common stock:					
Abbott Labs	F				
Agilent Technologies	C				
American Home Products Corp.	F				
Avaya, Inc.	B				
Atlantic Richfield Co. (sold in 2000)	A				S
Automatic Data Processing Co.	D				
Bellsouth Corp.	E				
Bestfoods Co. (sold in 2000)	A				S
BP Amoco PLC	D				
Chevron Corp.	E				
Coca Cola Co.	F				
Equity Office Properties Trust Co.	D				
FPL Group Inc.	E				
General Electric Co.	G				
Hewlett Packard Co.	D				
Intel Corp.	D				
Lucent Technologies, Inc.	C				
MBIA Inc.	D				

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SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Minnesota Mining and Manufacturing Co.	E				
Molex Inc. Class A	C				
National City Corp.	E				
New England Electric (sold in 2000)	A				S
Norfolk Southern Corp. (sold in 2000)	A				S
Reuters Group plc	D				
Schering Plough Corp.	F				
Tyco International Limited	D				
Walgreen Co.	D				
Other:					
U.S. Government Obligations Tax Managed Fund, Pittsburgh, PA	E				
Talbot Dewey Trust 11/20/80 - (Priscilla Dewey Houghton – beneficiary, interest in income only)			Trust	1	
Holdings consist of:					
Common stock:					
American International Group, Inc.	D				
American Home Products Corp. (sold in 2000)	A				S
Automatic Data Processing Inc.	D				
Exxon Corp. (sold in 2000)	A				S
FPL Group Inc. (sold in 2000)	A				S
Illinois Tool Works, Inc. (sold in 2000)	A				S
Intel Corp.	D				
Interpublic Group Consulting Inc.	D				
Wachovia Corp.	C				
Other:					
U.S. Government Obligations Tax Managed Fund, Pittsburgh, PA	C				

IV – TRANSACTIONS

Attachments

A – Purchase/Sale detail history report for Amory Houghton Jr.'s personal account.

B – Purchase/Sale detail history report for Priscilla Houghton's personal account.

C – Purchase/Sale detail history report for Market Street Equity Trust II.

D – Purchase/Sale detail history report for Market Street Fixed Income Trust I.

E – Purchase/Sale detail history report for Market Street New York Municipal Bond Trust.

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SCHEDULE IV - TRANSACTIONS

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction

F – Purchase/Sale detail history report for Market Street Equity Trust I.

G- Purchase/Sale detail history report for Market Street Equity Trust III.

H – Purchase/Sale detail history report for Market Street Venture Trust I.

I – Purchase/Sale detail history report for Market Street Venture Trust II.

J – Purchase/Sale detail history report for Market Street International Equity Trust I.

K – Purchase/Sale – Detail history report for Market Street International Equity Trust II.

L – Purchase/Sale – Detail history report for Market Street Telecommunications Trust I.

SCHEDULE V - LIABILITIES

Creditor	Type of Liability	Category
Amory Houghton Jr. Trust U/W A.B. Houghton	Notes Payable	F
Chase Manhattan Bank N.A., Corning, NY	Notes Payable on 76-78 East Market Street investment property	F
Chase Manhattan Bank, N.A., Elmira, NY	Notes Payable	D

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Source	Dates	Point of Departure Destination Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was family member included? (Y/N)	Any time not at Sponsor's Expense? (Y/N)
International Management and Development Institute	January 13 – 14, 2000	Washington, DC Baden Baden, Germany Washington, DC	Y	Y	Y	N
Faith & Politics Institute Alabama Pilgrimage	March 3 – 5, 2000	Washington, DC Birmingham, Selma, Montgomery, AL Washington, DC	Y	Y	Y	N
Republican Main Street Partnership Trip to Silicon Valley	April 24 – 26, 2000	Boston, MA Palo Alto, San Jose, Cupertino, Santa Clara, Menlo Park, San Francisco, CA Corning, NY	Y	Y	Y	N

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SCHEDULE VIII – POSITIONS

Non-Profit Affiliations:
- The Meadows Charitable Trust - Trustee
- The Houghton Foundation - Trustee
- The Washington Cathedral Chapter - Inactive Trustee
- Corning Chamber of Commerce - Member
- Corning Museum of Glass - Trustee

Director:
- Market Street Trust Company

Congressman Houghton is also a limited partner in each of the partnerships previously listed in Schedule III with the exception of Acorn IV and Corning Associates II where he serves as a general partner.

SCHEDULE IX - AGREEMENTS

Date	Parties to	Terms of Agreement
2/87	Retired employee of Corning Incorporated and beneficiary of certain Company retirement pension and health plans	Benefits received in accordance with normal plan provisions (monthly).
2/87	Corning Incorporated (former employer)	Transportation benefits - any retired employee (or immediate family member) is permitted to travel on the Company plane subject to space availability and without charge. For income tax purposes only, income is imputed to the retiree based upon the prevailing commercial airline value of the trip and reported by the Company on federal Form-1099 MISC if in excess of $600. Congressman Houghton elects to reimburse Corning Incorporated, based on the prevailing commercial airline value of the trip, for use of the Company plane.

2000 FINANCIAL DISCLOSURE STATEMENT

ATTACHMENTS

1997

1998

A

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

SELECTION: WITH INV
SORT OPTION: BY GL.ENTITY, INV

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
62000	CAEF	MSTC Equity Trust II (Growth)									
IIN	01-31-00	210419*158			2,503.09						
IIN	02-29-00	212198*158			-1,502.29						
IIC	03-14-00	211316*158			-99.43						
IIN	03-31-00	216214*157			1,531.40						
IIC	04-07-00	215455*158			-19.99						
IIC	04-24-00	216463*157			-88.84						
IIN	04-30-00	218904*159			2,491.64						
IIN	05-31-00	222232*161			163.84						
IIN	06-30-00	225527*161			1,842.79						
IIC	07-20-00	226003*161			-112.99						
IIN	07-31-00	230043*161			823.12						
IIN	08-31-00	233006*162			99.39						
IIC	09-21-00	234002*162			-8.74						
IIN	09-30-00	236211*159			1,593.31						
IIC	10-20-00	236923*159			-56.24						
IIN	10-31-00	239977*159			5,762.08						
IIN	11-30-00	242223*160			-2,294.96						
IIC	12-19-00	242560*161			-146.00						
IIN	12-31-00	247999*160			-1,003.34						
	TOTAL -		0.000		11,477.84	0.00	0.00	0.00	0	0.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT	DESCRIPTION	QUANTITY	UOM	AVERAGE COST	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000	CAEF	MSTC Equity Trust II (Growth)	0.000	DLRS	0.000	229,945.90	1.000	12-31-96	108,097.75	-121,848.15

62000	CAVC-I	Corning Associates VC-I									
PUR	02-08-00	212403*1	1,249.000	1.000	1,249.00				0	0.00	
SAL	02-08-00	212404*1	-1,249.000	0.220		274.90	-1,249.00	-974.10			
ICA	02-15-00	212408			-470.98						
ICA	05-01-00	218963			-203.53						
ICA	05-01-00	218973			-250.19						
ICA	05-01-00	218982			29.28						

1999

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
ICA	12-22-00	242855*1			-1,143.93						
	TOTAL -		0.000		-790.35	274.90	-1,249.00	-974.10	0	0.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000	CAVC-I Corning Associates VC-I	0.000	DLRS	0.000	48,755.73	1.000	12-31-97	0.00	-48,755.73

62000 CAVC-III Corning Associates VC-III

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000	CAVC-III Corning Associates VC-III	0.000	DLRS	0.000	1,132.57	1.000	12-31-97		-1,132.57

52000 CITIGROUP Citigroup, Inc.

SPLDV	08-25-00	230876	1,250.000		0.00				0	0.00	
	TOTAL -		1,250.000		0.00	0.00	0.00	0.00	0	0.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000	CITIGROUP Citigroup, Inc.	5,000.000	SHRS	1.522	7,609.37	51.063	12-31-00	255,312.50	247,703.13

62000 GA.00DMD Augusta National Inc. Demand

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000	GA.00DMD Augusta National Inc. Demand	1,000.000	PAR	100.000	1,000.00	100.000	12-31-97	1,000.00	0.00

62000 GLW Corning, Inc.

SUR	01-14-00	212121	3,000.000	7.306	21,917.14 (1)				3,000	21,917.14	
SUR	01-14-00	212122	9,000.000	6.819	61,368.36 (1)				26,500	60,231.91	
SD	02-15-00	210245	-57.000	177.688		1,492.96	-1,492.96	0.00			
SD	02-17-00	210246	-2,841.000	185.563		75,289.95	-75,289.95	0.00			
SAL	02-23-00	213396	-1,500.000	187.059		280,588.14 (2)	-39,762.33	240,825.81			
SD	02-24-00	212601	-55.000	190.500		1,457.95	-1,457.95	0.00			
SD	03-01-00	210430	-130.000	196.469		3,446.07	-3,446.07	0.00			
SD	03-01-00	210432	-156.000	196.469		4,135.28	-4,135.28	0.00			
SD	03-01-00	211675	-87.000	196.469		2,306.22	-2,306.22	0.00			

2000

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	03-02-00	212132	-2,800.000	200.496		561,388.28	-74,223.01	487,165.27			
WD	03-06-00	211055	-100.000	207.156		2,650.82	-2,650.82	0.00			
SAL	05-31-00	221368	-500.000	185.934		92,966.90	-13,254.11	79,712.79			
SAL	06-19-00	223766	-1,000.000	250.244		250,244.15	-26,508.22	223,735.93			
WD	07-17-00	226732	-94.000	278.969		2,491.77	-2,491.77	0.00			
SAL	09-01-00	232288	-5,000.000	335.686		1,678,431.54	-132,541.10	1,545,890.44			
SPLDV	10-03-00	237615*117	143,572.000		0.00				0	0.00	
PUR	11-09-00	242473	4,000.000	2.273	9,091.61				4,000	9,091.61	
PUR	12-01-00	241407	12,000.000	0.069	831.50				12,000	831.50	
WD	12-12-00	242191	-125.000	76.719		2,871.72	-2,871.72	0.00			
WD	12-13-00	242192	-212.000	74.094		1,873.25	-1,873.25	0.00			
WD	12-13-00	242470	-92.000	74.094		812.92	-812.92	0.00			
SAL	12-13-00	246143	-500.000	2.273		1,136.45	-1,136.45	0.00			
	TOTAL -		156,123.000		93,208.61	2,963,584.37	-386,254.13	2,577,330.24	51,500	92,072.16	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000 GLW	Corning, Inc.	226,780.000	SHRS	4.883	1,107,386.54	52.813	12-31-00	12,158,969.06	11,051,580.52

2000 GLW/P Corning, Inc. - Pledged

SAL	01-14-00	212115	-3,000.000	7.306		21,917.14	-21,917.14	0.00			
SAL	01-14-00	212116	-9,000.000	6.819		61,368.36	-61,368.36	0.00			
SPLDV	10-03-00	237663*2	74,232.000		0.00				0	0.00	
SAL	11-07-00	242472	-4,000.000	2.273		9,091.61	-9,091.61	0.00			
PUR	12-13-00	246147	500.000	2.273	1,136.45				500	1,136.45	
	TOTAL -		58,732.000		1,136.45	92,377.11	-92,377.11	0.00	500	1,136.45	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000 GLW/P	Corning, Inc. - Pledged	111,348.000	SHRS	1.270	141,462.38	52.813	12-31-00	5,695,722.50	5,554,260.12

2000 GMSTF Gemstar-TV Guide Int'l, Inc.

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000 GMSTF	Gemstar-TV Guide Int'l, Inc.	728.000	SHRS	1.547	1,125.92	46.125	12-31-00	33,579.00	32,453.08

2001

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
62000	KITTANSETT Kittansett Club Voting Trust										
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	62000 KITTANSETT Kittansett Club Voting Trust				90.000 SHRS	9.444	850.00	50.000	12-31-00	4,500.00	3,650.00
62000	MET MetLife, Inc.										
PUR	04-27-00	228624*1	32.000	14.250	456.00				32	456.00	
	TOTAL -		32.000		456.00	0.00	0.00	0.00	32	456.00	
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	62000 MET MetLife, Inc.				32.000 SHRS	14.250	456.00	35.000	12-31-00	1,120.00	664.00
62000	MOISIE Moisie Salmon Club										
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	62000 MOISIE Moisie Salmon Club				1.000 SHRS	5,000.000	5,000.00			5,000.00	0.00
62000	MOISIEB Moisie Salmon Club Class B										
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	62000 MOISIEB Moisie Salmon Club Class B				1.000 SHRS	5,000.000	5,000.00			5,000.00	0.00
62000	MSET1 MSTC Equity Trust I (Sm Cap)										
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	62000 MSET1 MSTC Equity Trust I (Sm Cap)				0.000 DLRS	0.000	52,728.98	1.000	12-31-96	0.00	-52,728.98
62000	MSET3 MSTC Equity Trust III (Value)										
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	62000 MSET3 MSTC Equity Trust III (Value)				0.000 UNTS	0.000	74,962.54	1.000	12-31-96	0.00	-74,962.54
62000	MSIET1 Int'l Equity Tr I (Developed)										

2002

ENTITY INVESTMENT DESCRIPTION														
TYPE	TXN DATE	TXN NUMBER		QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS			REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	CURRENT HOLDINGS -													
	ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE		MARKET VALUE	UNREALIZED G/L
	62000	MSIET1	Int'l Equity Tr I (Developed)			0.000	UNTS	0.000	27,686.63				0.00	-27,686.63
1000	MSTC		Market Street Trust Company											
	CURRENT HOLDINGS -													
	ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE		MARKET VALUE	UNREALIZED G/L
	62000	MSTC	Market Street Trust Company			1.000	SHRS	5,000.000	5,000.00	12,500.000	12-31-00		12,500.00	7,500.00
1000	MSTCPART		Market Street Partners, LLC											
N	01-31-00	213288*27				-10.90								
C	02-08-00	208708*27				-138.56								
N	02-29-00	213291*27				1.47								
N	03-31-00	216994*27				0.47								
N	04-30-00	219331*27				-24.28								
N	05-31-00	222708*27				-1.77								
N	06-30-00	226060*27				-1.97								
N	07-31-00	230081*28				-2.14								
N	08-31-00	233604*28				1.03								
N	09-30-00	237590*28				1.25								
N	10-31-00	239571*28				-90.86								
N	11-30-00	242187*28				22.64								
N	12-31-00	248158*28				48.42								
	TOTAL -			0.000		-195.20	0.00	0.00	0.00			0	0.00	
	CURRENT HOLDINGS -													
	ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE		MARKET VALUE	UNREALIZED G/L
	62000	MSTCPART	Market Street Partners, LLC			0.000	DLRS	0.000	146,044.16				146,111.90	67.74
1000	MSTTI		Market Street Telecomm. Tr. I											
	CURRENT HOLDINGS -													
	ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE		MARKET VALUE	UNREALIZED G/L
	62000	MSTTI	Market Street Telecomm. Tr. I			0.000	DLRS	0.000	30,111.28				0.00	-30,111.28
1000	NAHC		NAHC, Inc.											
NVTO	04-11-00	217046.1		2,133.000	18.562	39,593.81						0	0.00	

2003

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
INVTO	04-11-00	217046.2	1,770.822	0.020	34.93				0	0.00	
INVTO	04-11-00	217046.3	158.178	3.438	543.74				0	0.00	
SAL	12-28-00	246130	-4,062.000	0.003		14.21	-40,172.48	-40,158.27			
	TOTAL -		0.000		40,172.48	14.21	-40,172.48	-40,158.27	0	0.00	
62000	NOV	NovaCare									
INVFR	04-11-00	217046	-4,062.000	0.200		40,172.48	-40,172.48	0.00			
	TOTAL -		-4,062.000		0.00	40,172.48	-40,172.48	0.00	0	0.00	

62000 PG Procter & Gamble

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000	PG Procter & Gamble	744.000	SHRS	5.546	4,125.95	78.438	12-31-00	58,357.87	54,231.92

62000 SB.62000 U.S. Savings Bonds E & EE

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000	SB.62000 U.S. Savings Bonds E & EE	5,300.000	PAR	59.434	3,150.00	2.453	12-31-00	12,999.46	9,849.46

62000 SUNW Sun Microsystems

SPLDV	12-06-00	241825	5,992.000		0.00				0	0.00	
	TOTAL -		5,992.000		0.00	0.00	0.00	0.00	0	0.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000	SUNW Sun Microsystems	11,984.000	SHRS	1.104	13,224.53	27.875	12-31-00	334,054.00	320,829.47

62000 VEXMX Vanguard Extended Mk Portfolio

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000	VEXMX Vanguard Extended Mk Portfolio	1,156.165	UNTS	30.730	35,528.39	26.620	12-31-00	0.00	-35,528.39

62000 VINIX Vanguard Institutional Index

2004

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION

CURRENT HOLDINGS -

ENTITY	INVESTMENT	DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000	VINIX	Vanguard Institutional Index	753.688	UNTS	134.655	101,487.78	120.720	12-31-00	0.00	-101,487.78

62000 WPSCX Westport Small Capital Fund

CURRENT HOLDINGS -

ENTITY	INVESTMENT	DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000	WPSCX	Westport Small Capital Fund	949.600	UNTS	13.007	12,351.23	18.280	12-31-00	0.00	-12,351.23

REPORT TOTAL -	145,465.83	3,096,423.07	-560,225.20	2,536,197.87	52,032	93,664.61	

2005

2006

B

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

SELECTION: WITH INV
SORT OPTION: BY GL.ENTITY, INV

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
62000B CAEF		MSTC Equity Trust II (Growth)									
IIN	01-31-00	210419*159			753.16						
IIN	02-29-00	212198*159			-452.03						
IIC	03-14-00	211316*159			-29.92						
IIN	03-31-00	216214*158			460.79						
IIC	04-07-00	215455*159			-6.02						
IIC	04-24-00	216463*158			-26.73						
IIN	04-30-00	218904*160			749.72						
IIN	05-31-00	222232*162			49.30						
IIN	06-30-00	225527*162			554 48						
IIC	07-20-00	226003*162			-34.00						
IIN	07-31-00	230043*162			247.67						
IIN	08-31-00	233006*163			29.90						
IIC	09-21-00	234003*163			-2.63						
IIN	09-30-00	236211*160			479.42						
IIC	10-20-00	236923*160			-16.92						
IIN	10-31-00	239977*160			1,733.77						
IIN	11-30-00	242223*161			-690.54						
IIC	12-19-00	242560*162			-43.93						
IIN	12-31-00	247999*161			-301.90						
	TOTAL -		0.000		3,453.59	0.00	0.00	0.00	0	0.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000B CAEF	MSTC Equity Trust II (Growth)	0.000	DLRS	0.000	24,903.16	1.000	12-31-96	32,525.81	7,622.65

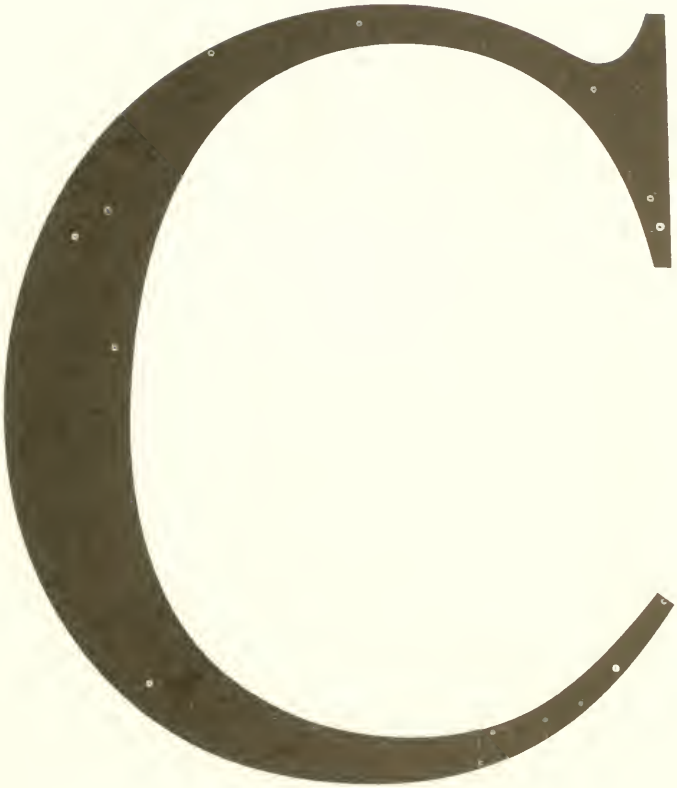
62000B HAINX		Harbor International Fund									
PUR	12-22-00	247091	16.906	33.660	569.07				17	569.07	
PUR	12-22-00	247328	0.154	33.660	5.20				0	5.20	
	TOTAL -		17.060		574.27	0.00	0.00	0.00	17	574.27	

2007

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

NTITY INVESTMENT DESCRIPTION YPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000B HAINX	Harbor International Fund				168.491	UNTS	27.557	4,643.07	35.090	12-31-00	5,912.35	1,269.28
2000B LLPFX	Longleaf Partners Fund											
UR	11-08-00	240474	80.363	21.220	1,705.30				80	1,705.30	
UR	11-08-00	240510	0.456	21.220	9.67				0	9.67	
TOTAL -			80.819		1,714.97	0.00	0.00	0.00	81	1,714.97	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000B LLPFX	Longleaf Partners Fund				1,074.309	UNTS	24.184	25,980.78	22.710	12-31-00	24,397.56	-1,583.22
2000B VEXMX	Vanguard Extended Mk Portfolio											
UR	03-24-00	214294	2.374	42.310	100.43				2	100.43	
UR	03-24-00	214647	0.400	42.310	16.92				0	16.92	
UR	12-20-00	244172	11.306	24.470	276.66				11	276.66	
UR	12-20-00	244349	3.414	24.470	83.54				3	83.54	
TOTAL -			17.494		477.55	0.00	0.00	0.00	17	477.55	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000B VEXMX	Vanguard Extended Mk Portfolio				123.215	UNTS	26.665	3,285.48	26.620	12-31-00	3,279.98	-5.50
REPORT TOTAL -					6,220.38				115	2,766.79	

2008



Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

SORT OPTION: BY GL.ENTITY, INV

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
F-L	ABK	AMBAC Financial Group Inc									
TRIN	10-01-00	237726.1	1,300.000	56.593	73,570.25				1,950	73,570.25	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237726.3	1,300.000	52.958	68,844.75				1,950	68,844.75	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237726.7	600.000	45.780	27,497.76				900	27,497.76	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237726.5	400.000	46.553	18,641.28				600	18,641.28	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237726.6	100.000	46.250	4,628.00				150	4,628.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237726.8	1,000.000	44.121	44,171.80				1,500	44,171.80	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237726.4	200.000	47.125	9,431.00				300	9,431.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237726.2	300.000	56.161	16,863.36				450	16,863.36	CCM tfr to Forstmann-Leff
SPLDV	12-13-00	243044	2,600.000		0.00				0	0.00	AMBAC Financial 3 for 2 split
	TOTAL -		7,800.000		263,648.20	0.00	0.00	0.00	7,800	263,648 20	
CCM	ABK	AMBAC Financial Group Inc									
PUR	02-29-00	212157	1,000.000	44.121	44,171.80				0	0.00	AMBAC Financial Group Inc
SAL	04-28-00	218466	-200.000	47.952		9,580.14	-11,318.50	-1,738.36			AMBAC Financial Group Inc
PUR	05-02-00	220457	200.000	47.125	9,431.00				0	0.00	AMBAC FINANCIAL GROUP INC @ 47.125 9 425.00 BROKERAGE 6.00 BRIDGE TRADING CO TRA
PUR	06-02-00	223615	300.000	56.161	16,863.36				0	0.00	AMBAC FINANCIAL GROUP INC @ 56.1612 16 848.36 BROKERAGE 15.00 FIDELITY CAPITAL M
TROUT	10-01-00	237726	-5,200.000	73.250		263,648.20	-263,648.20	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		-3,900.000		70,466.16	273,228.34	-274,966.70	-1,738.36	0	0.00	
F-L	ACL	Ace Ltd									
TRIN	10-01-00	237727.2	600.000	19.443	11,695.74				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237727.3	1,200.000	16.942	20,390.04				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237727.4	4,800.000	16.767	80,722.08				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237727.5	1,600.000	16.767	26,907.36				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237727.6	2,000.000	16.493	33,085.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237727.7	1,100.000	16.067	17,729.03				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237727.1	400.000	25.250	10,112.00				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-04-00	237995	-11,700.000	37.500		438,033.37	-200,641.25	237,392.12			ACE LTD
	TOTAL -		0.000		200,641.25	438,033.37	-200,641.25	237,392.12	0	0.00	
CCM	ACL	Ace Ltd									

2010

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	02-16-00	211983	4,800.000	16.767	80,722.08				0	0.00	ACE LTD @ 16.7671 80 482.08 BROKERAGE 240.00 SMITH BARNEY INC TRADE DATE 02/16/(
PUR	02-16-00	211984	2,000.000	16 493	33,085.00				0	0.00	ACE LTD @ 16.4925 32 985.00 BROKERAGE 100.00 MERRILL LYNCH PROF. CLEARING CORP T
PUR	02-16-00	212013	1,600.000	16.767	26,907.36				0	0.00	ACE LTD @ 16.7671 26 827.36 BROKERAGE 80.00 CONNING & CO TRADE DATE 02/16/00
PUR	03-16-00	213469	1,100.000	16.067	17,729.03				0	0.00	ACE LTD @ 16.0673 17 674.03 BROKERAGE 55.00 JEFFERIES & CO INC TRADE DATE 03/16/
SAL	04-28-00	218465	-800.000	24.382		19,465.02	-16,425 44	3,039.58			ACE LTD
SAL	05-01-00	220443	-2,700.000	24.842		66,935.62	-53,284 14	13,651 48			ACE LTD @ 24.8418 67 072.86 BROKERAGE 135.00 TAX &/OR SEC 2.24 J P MORGAN SECURI
PUR	05-02-00	220458	400.000	25.250	10,112.00				0	0.00	ACE LTD @ 25.25 10 100.00 BROKERAGE 12.00 BRIDGE TRADING CO TRADE DATE 05/02/00
TROUT	10-01-00	237727	-11,700.000	39.250		200,641.25	-200,641.25	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		-5,300.000		168,555.47	287,041.89	-270,350.83	16,691.06	0	0.00	
CCM	ADBE	Adobe Sys Inc									
SAL	03-03-00	213367	-300.000	87.179		26,152 73	-10,840 08	15,312 65			ADOBE SYSTEMS INC @ 87.1787 26 153.61 TAX &/OR SEC 88 PRUDENTIAL SECURITIES TRA
SAL	03-08-00	213392	-700.000	79.297		55,505 97	-25,293.52	30,212 45			ADOBE SYSTEMS INC @ 79.2969 55 507.83 TAX &/OR SEC 1.86 CANTOR FITZGERALD INC TR
SAL	03-09-00	213400	-1,100.000	79.227		87,146 68	-39,657 42	47,489 26			ADOBE SYSTEMS INC @ 79.2269 87 149.59 TAX &/OR SEC 2.91 CANTOR FITZGERALD INC TR
SAL	03-10-00	213405	-1,100.000	82.583		90,838.38	-36,332.39	54,505.99			ADOBE SYSTEMS INC @ 82.5831 90 841.41 TAX &/OR SEC 3.03 CANTOR FITZGERALD INC TR
	TOTAL -		-3,200.000		0.00	259,643.76	-112,123 41	147,520.35	0	0.00	
CCM	ADCT	ADC Telecommunications Inc									
PUR	04-28-00	218450	2,100.000	61.025	128,152.92				0	0.00	ADC Telecommunications Inc
PUR	05-01-00	220444	1,100.000	60.778	66,855.80				0	0.00	ADC TELECOMMUNICATIONS INC @ 60.778 SMITH BARNEY INC TRADE DATE 05/01/00
PUR	05-02-00	220459	400.000	60.419	24,167.56				0	0.00	ADC TELECOMMUNICATIONS INC @ 60.4189 ROBERT W BAIRD & CO INC TRADE DATE 05/02/0(
PUR	05-11-00	220581	100.000	58.987	5,902.70				0	0.00	ADC TELECOMMUNICATIONS INC @ 58.987 5 898.70 BROKERAGE 4.00 INSTINET TRADE DATE
PUR	05-11-00	220582	100.000	59.125	5,912.50				0	0 00	ADC TELECOMMUNICATIONS INC @ 59.125 DONALDSON LUFKIN & JENRETTE SECS TRADE DATE
PUR	05-11-00	220583	400.000	57.632	23,052.64				0	0.00	ADC TELECOMMUNICATIONS INC @ 57.6316 PIPER JAFFRAY INC TRADE DATE 05/11/00
PUR	05-12-00	220597	200.000	61.474	12,294.70				0	0.00	ADC TELECOMMUNICATIONS INC @ 61 4735 DONALDSON LUFKIN & JENRETTE SECS TRADE DATE
PUR	05-12-00	220598	100.000	62.027	6,206.68				0	0.00	ADC TELECOMMUNICATIONS INC @ 62.0268 6 202.68 BROKERAGE 4.00 INSTINET TRADE DATE
SPLDV	07-18-00	226857	4,500.000		0.00				0	0.00	ADC TELECOMMUNICATIONS INC 2 FOR 1 STOCK DISTRIBUTION
SAL	07-26-00	227909	-800.000	46 412		37,128.20	-24,603.90	12,524.30			ADC TELECOMMUNICATIONS INC @ 46.4118 37 129.44 TAX &/OR SEC 1.24 CREDIT SUISSE F
SAL	07-27-00	230032	-600.000	48.049		28,828.97	-18,307.56	10,521 41			ADC Telecommunications Inc
SAL	09-08-00	234311	-1,200.000	36.250		43,498 55	-36,615.12	6,883 43			ADC TELECOMMUNICATIONS INC @ 36.25 43 500.00 TAX &/OR SEC 1.45 BEAR STEARNS & CO
SAL	09-11-00	234334	-2,900.000	33.115		96,030.29	-88,400 02	7,630 27			ADC TELECOMMUNICATIONS INC @ 33.115 96 033.50 TAX &/OR SEC 3.21 BEAR STEARNS & C
SAL	09-21-00	235006	-1,800.000	33.387		60,095 31	-54,646.34	5,448.97			ADC TELECOMMUNICATIONS INC @ 33.3874 60 097.32 TAX &/OR SEC 2.01 BEAR STEARNS &
SAL	09-22-00	235117	-1,300.000	32.289		41,974 30	-38,446.24	3,528 06			ADC TELECOMMUNICATIONS INC @ 32.289 41 975.70 TAX &/OR SEC 1.40 BEAR STEARNS & C
SAL	09-25-00	235298	-400.000	31.850		12,739 57	-11,526.32	1,213.25			ADC TELECOMMUNICATIONS INC @ 31.85 12 740.00 TAX &/OR SEC 43 BEAR STEARNS & CO

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		0.000		272,545.50	320,295.19	-272,545.50	47,749.69	0	0.00	
F-L	ADLA	Adelphia Communications Corp-A									
PUR	10-31-00	239181	1,300.000	32.166	41,816.71				1,300	46,791.79	Adelphia Communications Corp
PUR	11-03-00	241152	300.000	31.938	9,581.25				300	10,798.10	Adelphia Communications Corp
PUR	11-07-00	241155	700.000	32.371	22,659.63				700	25,195.57	Adelphia Communications Corp
	TOTAL -		2,300.000		74,057.59	0.00	0.00	0.00	2,300	82,785.46	
CCM	ADPT	Adaptec Inc									
SAL	01-24-00	208643	-600.000	55.750		33,448.88	-24,581.70	8,867.18			ADAPTEC INC @ 55.75 33 450.00 TAX &/OR SEC 1.12 MERRILL LYNCH PIERCE FENNER SMIT
SAL	02-02-00	211946	-500.000	50.601		25,299.60	-20,484.75	4,814.85			ADAPTEC INC @ 50.6009 25 300.45 TAX &/OR SEC .85 SOUNDVIEW FINANCIAL GROUP TRADE
SAL	02-03-00	211952	-3,200.000	51.036		163,309.11	-114,378.62	48,930.49			ADAPTEC INC @ 51.0358 163 314.56 TAX &/OR SEC 5.45 SOUNDVIEW FINANCIAL GROUP TR
	TOTAL -		-4,300.000		0.00	222,057.59	-159,445.07	62,612.52	0	0.00	
F-L	AG	AGCO Corp									
PUR	10-09-00	238073	16,000.000	11.812	189,793.60				16,000	189,793.60	Agco Corp
PUR	12-28-00	245436	21,200.000	11.625	247,510.00				21,200	247,510.00	AGCO Corp @11.625
	TOTAL -		37,200.000		437,303.60	0.00	0.00	0.00	37,200	437,303.60	
F-L	AGN	Allergan Inc									
TRIN	10-01-00	237728.3	3,000.000	82.530	123,795.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237728.1	500.000	42.577	21,313.30				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237728.2	500.000	42.002	21,026.20				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-10-00	238034	-3,000.000	74.086		222,070.29	-124,600.88	97,469.41			Allergan Inc
SAL	10-10-00	238034	-1,000.000	74.086		74,023.43	-41,533.62	32,489.81			Allergan Inc
	TOTAL -		0.000		166,134.50	296,093.72	-166,134.50	129,959.22	0	0.00	
CCM	AGN	Allergan Inc									
PUR	03-15-00	213448	1,000.000	46.671	46,721.10				0	0.00	ALLERGAN INC @ 46.6711 46 671.10 BROKERAGE 50.00 JEFFERIES & CO INC TRADE DATE (
PUR	05-02-00	220460	200.000	58.188	11,643.50				0	0.00	ALLERGAN INC @ 58.1875 11 637.50 BROKERAGE 6.00 BRIDGE TRADING CO TRADE DATE 05/
SAL	07-20-00	227572	-300.000	72.250		21,659.27	-16,315.61	5,343.66			ALLERGAN INC @ 72.25 21 675.00 BROKERAGE 15.00 TAX &/OR SEC .73 LAZARD FRERES &
SAL	07-21-00	227583	-400.000	72.250		28,879.03	-18,688.44	10,190.59			ALLERGAN INC @ 72.25 28 900.00 BROKERAGE 20.00 TAX &/OR SEC .97 LAZARD FRERES &
SAL	07-25-00	227586	-100.000	69.694		6,964.14	-4,672.11	2,292.03			ALLERGAN INC @ 69.6938 6 969.38 BROKERAGE 5.00 TAX &/OR SEC .24 LAZARD FRERES &

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	07-26-00	227910	-100.000	70.125		7,007.26	-4,672.11	2,335.15			ALLERGAN INC @ 70.125 7 012.50 BROKERAGE 5.00 TAX &/OR SEC .24 LAZARD FRERES & C
SAL	08-08-00	230770	-500.000	72.605		36,276.19	-21,630.00	14,646 19			ALLERGAN INC @ 72.6046 56 083.64 BROKERAGE 40.00 TAX &/OR SEC 1.94 BRIDGE TRADIN
SAL	08-08-00	230770	-300.000	72.605		21,765 71	-14.016.33	7,749.36			ALLERGAN INC @ 72.6046 56 083.64 BROKERAGE 40.00 TAX &/OR SEC 1.94 BRIDGE TRADIN
SAL	08-09-00	230784	-300.000	72.262		21,668 72	-12,978.00	8,690.72			ALLERGAN INC @ 72.2615 21 684 45 BROKERAGE 15.00 TAX &/OR SEC .73 BRIDGE TRADING
TROUT	10-01-00	237726	-4.000.000	84.436		166,134.50	-166,134 50	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		-4,600.000		58,364.60	310,354 82	-259,107.10	51,247.72	0	0.00	
OAKASS AIG		American International Group									
PUR	10-11-00	237669	12,000.000	93.936	1,127,650.00				12,000	1,127,650.00	American International Group
	TOTAL -		12,000.000		1,127,650.00	0.00	0.00	0.00	12,000	1,127,650.00	
F-L	AIV	Apartment Invt & Mgmt									
TRIN	10-01-00	237729.12	300.000	42.254	12,676.06				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237729.13	300.000	42.050	12,615.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237729.7	200.000	43.368	8,673.50				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237729.5	500.000	43.497	21,748.55				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237729.1	400.000	43.805	17,521.66				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237729.2	400.000	43.708	17,483.36				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237729 4	200.000	43.533	8,706.60				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237729.8	600.000	43.300	25,980.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237729.10	100.000	42.600	4,260.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237729.11	400.000	42.749	17,099.40				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237729.9	400.000	43.007	17,202.60				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237729.22	200.000	36.417	7,293.40				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237729.21	200.000	36.617	7,333.32				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237729.18	300.000	37.750	11,339 91				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237729.15	200.000	39 460	7,902.18				0	0 00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237729.16	800.000	38.000	30,440.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237729 17	700.000	37.978	26,619.60				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237729 20	400.000	37 451	15,000.20				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237729.19	100.000	37.505	3,755.47				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237729.14	200.000	41.613	8,366.50				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237729.6	200.000	43.369	8,683.86				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237729.3	1,100.000	43.509	47,914.35				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-03-00	237963	-3,800.000	44 752		169,622.03	-156,929 76	12,692.27			Apartment Investment & MGMT

2013

Market Street Truat Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	10-03-00	237963	-4,400.000	44.752		196,636.03	-181,708.20	14,927.83			Apartment Investment & MGMT
	TOTAL -		0.000		338,637.96	366,458.06	-338,637.96	27,820.10	0	0.00	
CCM	AIV	Apartment Invt & Mgmt									
PUR	02-16-00	211982	800.000	38.000	30,440.00				0	0.00	APARTMENT INVESTMENT & MANAGEMENT COCL A @ 38.00 30 400.00 BROKERAGE 40.00 DONAI
PUR	02-17-00	212016	700.000	37.978	26,619.60				0	0.00	APARTMENT INVESTMENT & MANAGEMENT COCL A @ 37.978 26 584.60 BROKERAGE 35.00 DONA
PUR	02-18-00	212027	400.000	37.451	15,000.20				0	0.00	APARTMENT INVESTMENT & MANAGEMENT COCL A @ 37.4505 14 980.20 BROKERAGE 20.00 DON
PUR	02-22-00	212039	100.000	37.505	3,755.47				0	0.00	APARTMENT INVESTMENT & MANAGEMENT COCL A @ 37.5047 3 750.47 BROKERAGE 5.00 DONAI
PUR	05-02-00	220461	200.000	41.813	8,368.50				0	0.00	APARTMENT INVESTMENT & MANAGEMENT COCL A @ 41.8125 8 362.50 BROKERAGE 6.00 BRIDC
PUR	06-21-00	224885	200.000	43.369	8,683.86				0	0.00	APARTMENT INVESTMENT & MANAGEMENT COCL A @ 43.3693 8 673.86 BROKERAGE 10.00 PRUI
PUR	06-22-00	224905	1,100.000	43.509	47,914.35				0	0.00	APARTMENT INVESTMENT & MANAGEMENT COCL A @ 43.5085 47 859.35 BROKERAGE 55.00 PRU
TROUT	10-01-00	237729	-8,200.000	46.063		338,637.96	-338,637.96	0.00			CCM tfr to Foratmann-Leff
	TOTAL -		-4,700.000		140,781.98	338,637.96	-338,637.96	0.00	0	0.00	
OAKASS	AKAM	Akamai Technologies Inc									
PUR	10-11-00	237670	25,500.000	43.833	1,117,749.15				25,500	1,117,749.15	Akamai Technologies Inc
	TOTAL -		25,500.000		1,117,749.15	0.00	0.00	0.00	25,500	1,117,749.15	
CCM	AL	Alcan Aluminum LTD									
PUR	01-05-00	208527	1,400.000	43.546	61,006.54				0	0.00	ALCAN ALUMINUM LTD @ 43.5461 60 964.54 BROKERAGE 42.00 NEUBERGER & BERMAN TRADE
PUR	01-06-00	208535	600.000	44.934	26,990.34				0	0.00	ALCAN ALUMINUM LTD @ 44.9339 26 960.34 BROKERAGE 30.00 MERRILL LYNCH PIERCE FENN
PUR	03-29-00	215886	200.000	34.327	6,871.50				0	0.00	Alcan Aluminum LTD
PUR	03-29-00	215887	1,200.000	33.311	40,034.16				0	0.00	Alcan Aluminum LTD
PUR	05-02-00	220462	100.000	33.063	3,309.25				0	0.00	ALCAN ALUMINUM LTD @ 33.0625 3 306.25 BROKERAGE 3.00 BRIDGE TRADING CO TRADE DAT
SAL	06-08-00	223672	-2,600.000	29.719		77,136.56	-108,213.10	-31,076.54			ALCAN ALUMINUM LTD @ 29.7189 77 269.14 BROKERAGE 130.00 TAX &/OR SEC 2.58 SANFOR
SAL	06-09-00	223683	-900.000	29.391		26,405.65	-29,998.69	-3,593.04			ALCAN ALUMINUM LTD @ 29.3906 26 451.54 BROKERAGE 45.00 TAX &/OR SEC .89 SANFORD
	TOTAL -		0.000		138,211.79	103,542.21	-138,211.79	-34,669.58	0	0.00	
OAKASS	AMAT	Applied Materials Inc									
PUR	10-04-00	237648	22,500.000	53.306	1,199,376.00				22,500	1,199,376.00	Applied Materials Inc
	TOTAL -		22,500.000		1,199,376.00	0.00	0.00	0.00	22,500	1,199,376.00	

2014

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CCM	AMCC	Applied Micro Circuits Corp									
SAL	01-19-00	208602	-600.000	143.736		86,238.96	-39,728 76	46,510 20			APPLIED MICRO CIRCUITS CORP @ 143.7364 86 241.84 TAX &/OR SEC 2.88 SMITH BARNEY
SAL	01-20-00	208619	-100.000	150.495		15,048 94	-6,529 56	8,519 38			APPLIED MICRO CIRCUITS CORP @ 150.4945 15 049.45 TAX &/OR SEC .51 SMITH BARNEY I
SAL	03-16-00	213470	-400.000	232 499		92,996 50	-26,118 24	66,878.26			APPLIED MICRO CIRCUITS CORP @ 232.499 92 999.60 TAX &/OR SEC 3.10 CREDIT SUISSE
SPLDV	03-24-00	213583	1,000.000		0.00				0	0.00	APPLIED MICRO CIRCUITS CORP 2 FOR 1 STOCK DISTRIBUTION
SAL	04-14-00	217529	-800.000	79.902		63,919 78	-26,053.36	37,866 42			APPLIED MICRO CIRCUITS CORP @ 79.9024 63 921.92 TAX &/OR SEC 2.14 CREDIT SUISSE
SAL	05-04-00	220539	-800.000	111.536		89,225.74	-25,988 48	63,237 26			APPLIED MICRO CIRCUITS CORP @ 111.5359 89 228.72 TAX &/OR SEC 2.98 MORGAN STANLE
SAL	05-05-00	220549	-400.000	115.907		46,361 09	-12,994.24	33,366 85			APPLIED MICRO CIRCUITS CORP @ 115.9066 46 362.64 TAX &/OR SEC 1.55 MORGAN STANLE
PUR	07-26-00	227911	300.000	161.749	48,524.70				0	0.00	APPLIED MICRO CIRCUITS CORP @ 161.749 MERRILL LYNCH PIERCE FENNER SMITH TRADE DA
PUR	07-26-00	227912	100.000	163.375	16,337.50				0	0.00	APPLIED MICRO CIRCUITS CORP @ 163.375 TROSTER SINGER STEVENS ROTHCHILD TRADE DAT
PUR	07-31-00	230025	200.000	136.363	27,272.60				0	0.00	Applied Micro Circuits Corp
PUR	08-01-00	230732	100.000	143.649	14,364 88				0	0 00	APPLIED MICRO CIRCUITS CORP @ 143.6488 TROSTER SINGER STEVENS ROTHCHILD TRADE DA
PUR	08-08-00	230771	200.000	148.898	29,779.56				0	0.00	APPLIED MICRO CIRCUITS CORP @ 148.8978 SMITH BARNEY INC TRADE DATE 08/08/00
SAL	09-07-00	234292	-200.000	192 288		38,448 39	-32,512 40	5,935 99			APPLIED MICRO CIRCUITS CORP @ 192.2884 38 457.68 BROKERAGE 8.00 TAX &/OR SEC 1.2
TROUT	10-01-00	237730	-700.000	207.063		103,766 84	-103,766 84	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		-2,100.000		136,279.24	536,006 24	-273,691 88	262,314 36	0	0 00	
F-L	AMCC	Applied Micro Circuits Corp									
TRIN	10-01-00	237730.1	200.000	161.749	32,349.80				0	0 00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237730.4	200.000	136 363	27,272.60				0	0 00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237730.3	100.000	143 649	14,364 88				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237730.2	200.000	148.898	29,779.56				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-04-00	237996	-700.000	182.313		127,614 49	-103,766 84	23,847 65			Applied Micro Circuits Corp
	TOTAL -		0.000		103,766.84	127,614 49	-103,766 84	23,847 65	0	0 00	
CCM	AMKR	Amkor Techology Inc									
SAL	01-06-00	208533	-300.000	25.000		7,499 75	-7,670 79	-171.04			AMKOR TECHNOLOGIES INC @ 25.00 7 500.00 TAX &/OR SEC .25 BEAR STEARNS SECURITIES
SAL	01-07-00	208543	-700.000	25.165		17,614 56	-17,898.51	283 95			AMKOR TECHNOLOGIES INC @ 25.1645 17 615.15 TAX &/OR SEC .59 BEAR STEARNS SECURIT
SAL	01-10-00	208554	-1,400.000	26.780		37,491 31	-35,514 91	1,976 40			AMKOR TECHNOLOGIES INC @ 26.7804 37 492.56 TAX &/OR SEC 1.25 BEAR STEARNS SECURI
SAL	01-11-00	208564	-900.000	26.750		24,074 19	-22,583 16	1,491 03			AMKOR TECHNOLOGIES INC @ 26.75 24 075.00 TAX &/OR SEC .81 BEAR STEARNS SECURITIE
	TOTAL -		-3,300.000		0.00	86,679 81	-83,667 37	3,012 44	0	0 00	
F-L	APA	Apache Corp									
TRIN	10-01-00	237731.4	2,000.000	35.795	71,690.00				0	0 00	CCM tfr to Forstmann-Leff

2015

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TRIN	10-01-00	237731.3	1,200.000	36.000	43,260.00				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237731.2	400.000	37.760	15,123.80				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237731.1	2,000.000	39.418	78,896.80				0	0.00	COM tfr to Forstmann-Leff
SAL	10-06-00	238019	-5,600.000	55.844		312,378.85	-208,970.60	103,408.25			Apache Corp
	TOTAL -		0.000		208,970.60	312,378.85	-208,970.60	103,408.25	0	0.00	
CCM	APA	Apache Corp									
SAL	01-07-00	208544	-400.000	36.096		14,417.95	-17,252.49	-2,834.54			APACHE CORP @ 36.0961 14 438.44 BROKERAGE 20.00 TAX &/OR SEC .49 WEEDEN & CO TRA
SAL	01-10-00	208556	-300.000	35.397		10,603.77	-12,172.65	-1,568.88			APACHE CORP @ 35.3971 10 619.13 BROKERAGE 15.00 TAX &/OR SEC .36 WEEDEN & CO TRA
PUR	03-01-00	213354	2,000.000	35.795	71,690.00				0	0.00	APACHE CORP @ 35.795 71 590.00 BROKERAGE 100.00 OPPENHEIMER & CO TRADE DATE 03/0
PUR	03-02-00	213361	1,200.000	36.000	43,260.00				0	0.00	APACHE CORP @ 36.00 43 200.00 BROKERAGE 60.00 LEHMAN BROTHERS INC TRADE DATE 03/
PUR	03-03-00	213368	400.000	37.760	15,123.80				0	0.00	APACHE CORP @ 37.7595 15 103.80 BROKERAGE 20.00 WILLIAM BLAIR & CO TRADE DATE 03
PUR	03-06-00	213380	2,100.000	39.418	82,841.64				0	0.00	APACHE CORP @ 39.4184 82 778.64 BROKERAGE 63.00 MERRILL LYNCH PROF. CLEARING COR
PUR	03-07-00	213387	600.000	40.910	24,575.88				0	0.00	APACHE CORP @ 40.9098 24 545.88 BROKERAGE 30.00 MERRILL LYNCH PROF. CLEARING COR
PUR	03-08-00	213393	600.000	42.556	25,563.42				0	0.00	APACHE CORP @ 42.5557 25 533.42 BROKERAGE 30.00 RAYMOND JAMES & ASSOCIATES TRADE
PUR	05-02-00	220463	200.000	50.938	10,193.50				0	0.00	APACHE CORP @ 50.9375 10 187.50 BROKERAGE 6.00 BRIDGE TRADING CO TRADE DATE 05/0
SAL	05-15-00	220611	-1,300.000	59.652		77,480.40	-56,236.82	21,243.58			APACHE CORP @ 59.6523 77 547.99 BROKERAGE 65.00 TAX &/OR SEC 2.59 DONALDSON LUFK
PUR	06-21-00	224886	500.000	58.486	29,267.90				0	0.00	APACHE CORP @ 58.4858 29 242.90 BROKERAGE 25.00 OPPENHEIMER & CO TRADE DATE 06/2
SAL	09-20-00	234859	-700.000	63.820		44,637.30	-37,308.72	7,328.58			APACHE CORP @ 63.6197 44 673.79 BROKERAGE 35.00 TAX &/OR SEC 1.49 WEEDEN & CO TR
TROUT	10-01-00	237731	-5,600.000	59.125		206,970.60	-208,970.60	0.00			COM tfr to Forstmann-Leff
	TOTAL -		-700.000		302,516.14	356,110.02	-331,941.28	24,168.74	0	0.00	
F-L	APC	Anadarko Petroleum Corp									
TRIN	10-01-00	237732.5	1,900.000	33.043	62,876.51				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237732.6	500.000	32.693	16,371.70				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237732.3	1,800.000	33.653	60,664.68				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237732.1	300.000	34.125	10,252.35				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237732.2	1,000.000	33.844	33,894.30				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237732.4	500.000	33.329	16,689.55				0	0.00	COM tfr to Forstmann-Leff
SAL	10-03-00	237962	-6,000.000	68.450		410,326.31	-200,749.09	209,577.22			Anadarko Petroleum Corp
	TOTAL -		0.000		200,749.09	410,326.31	-200,749.09	209,577.22	0	0.00	
CCM	APC	Anadarko Petroleum Corp									
SAL	01-07-00	208545	-100.000	34.148		3,409.65	-2,814.15	595.50			ANADARKO PETROLEUM CORP @ 34.1477 3 414.77 BROKERAGE 5.00 TAX &/OR SEC .12 WEEDE

2016

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 T. 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	01-10-00	208557	-700.000	33.604		23,486.80	-19,058.79	4,428.01			ANADARKO PETROLEUM CORP @ 33.6037 23 522.59 BROKERAGE 35.00 TAX &/OR SEC .79 WEE
PUR	03-17-00	213497	1,900.000	33.043	62,876.51				0	0.00	ANADARKO PETROLEUM CORP @ 33.0429 62 781.51 BROKERAGE 95.00 WEEDEN & CO TRADE DA
PUR	03-20-00	213542	500.000	32.693	16,371.70				0	0.00	ANADARKO PETROLEUM CORP @ 32.6934 16 346.70 BROKERAGE 25.00 WEEDEN & CO TRADE DA
PUR	03-21-00	213553	1,800.000	33.653	60,664.68				0	0.00	ANADARKO PETROLEUM CORP @ 33.6526 60 574.68 BROKERAGE 90.00 WEEDEN & CO TRADE DA
PUR	03-22-00	213563	600.000	34.125	20,504.70				0	0.00	ANADARKO PETROLEUM CORP @ 34.1245 20 474.70 BROKERAGE 30.00 WEEDEN & CO TRADE DA
PUR	03-23-00	213572	1,000.000	33.844	33,894.30				0	0.00	ANADARKO PETROLEUM CORP @ 33.8443 33 844.30 BROKERAGE 50.00 WEEDEN & CO TRADE DA
PUR	03-24-00	213584	1,000.000	34.461	34,510.60				0	0.00	ANADARKO PETROLEUM CORP @ 34.4608 34 460.60 BROKERAGE 50.00 WEEDEN & CO TRADE DA
PUR	03-27-00	213598	500.000	33.329	16,689.55				0	0.00	ANADARKO PETROLEUM CORP @ 33.3291 16 664.55 BROKERAGE 25.00 SANFORD BERNSTEIN &
PUR	04-04-00	217442	300.000	34.886	10,474.86				0	0.00	ANADARKO PETROLEUM CORP @ 34.8862 10 465.86 BROKERAGE 9.00 WEISS PECK GREER TRAI
PUR	05-02-00	220464	300.000	44.686	13,415.25				0	0.00	ANADARKO PETROLEUM CORP @ 44.6875 13 406 25 BROKERAGE 9.00 BRIDGE TRADING CO TRA
SAL	06-02-00	223616	-1,300.000	50.905		66,109.81	-48,047.67	18,062.14			ANADARKO PETROLEUM CORP @ 50.9054 66 177.02 BROKERAGE 65.00 TAX &/OR SEC 2.21 FI
PUR	06-21-00	224887	600.000	51.107	30,694.38				0	0.00	ANADARKO PETROLEUM CORP @ 51.1073 30 664.38 BROKERAGE 30.00 OPPENHEIMER & CO TRA
SAL	09-20-00	234660	-1,200.000	64.281		77,074.62	-51,299.97	25,774.65			ANADARKO PETROLEUM CORP @ 64.281 77 137.20 BROKERAGE 60.00 TAX &/OR SEC 2.58 WEE
TROUT	10-01-00	237732	-6,000.000	66.460		200,749.09	-200,749.09	0.00			COM tfr to Forstmann-Leff
	TOTAL -		-800.000		300,096.73	370,829.97	-321,969.67	48,860.30	0	0.00	
F-L	ARTC	Arthrocare Corp									
PUR	12-15-00	243965	3,000.000	16.880	50,640.00				3,000	50,640.00	Arthrocare Corp @16.88
	TOTAL -		3,000.000		50,640.00	0.00	0.00	0.00	3,000	50,640.00	
CCM	ATML	Atmel Corp.									
PUR	03-07-00	213388	1,600.000	56.680	90,687 68				0	0.00	ATMEL CORP @ 56.6798 SOUNDVIEW FINANCIAL GROUP TRADE DATE 03/07/00
PUR	03-08-00	213394	200.000	55.100	11,020.00				0	0.00	ATMEL CORP @ 55.10 SOUNDVIEW FINANCIAL GROUP TRADE DATE 03/08/00
PUR	03-08-00	213395	1,200.000	52 466	62,959.56				0	0.00	ATMEL CORP @ 52 4663 FIRST ALBANY CORP TRADE DATE 03/08/00
PUR	03-09-00	213401	200.000	53.184	10,636.72				0	0.00	ATMEL CORP @ 53.1836 FIRST ALBANY CORP TRADE DATE 03/09/00
PUR	03-09-00	213402	1,300.000	52.696	68,504.93				0	0.00	ATMEL CORP @ 52 6961 SMITH BARNEY INC TRADE DATE 03/09/00
PUR	03-15-00	213449	900.000	49.542	44,587.53				0	0.00	ATMEL CORP @ 49.5417 CREDIT SUISSE FIRST BOSTON CORP TRADE DATE 03/15/00
PUR	03-16-00	213471	300.000	47.865	14,359.41				0	0.00	ATMEL CORP @ 47.8647 CREDIT SUISSE FIRST BOSTON CORP TRADE DATE 03/16/00
PUR	05-02-00	220465	200.000	47.813	9,562.50				0	0.00	ATMEL CORP @ 47.8125 KNIGHT SECURITIES TRADE DATE 05/02/00
SAL	05-19-00	221481	-2,900.000	38.073		110,407.72	-159,770 89	-49,363 17			ATMEL CORP @ 38.0729 110 411.41 TAX &/OR SEC 3.69 MORGAN STANLEY & CO TRADE DATE
SAL	05-22-00	221487	-2,300.000	34.579		79,529.50	-118,717.19	-39,187.69			ATMEL CORP @ 34.5792 79 532.16 TAX &/OR SEC 2.66 MORGAN STANLEY & CO TRADE DATE
SAL	05-23-00	221495	-200.000	34.263		6,852.27	-9,908 34	-3,056.07			ATMEL CORP @ 34.2625 6 852.50 TAX &/OR SEC .23 MORGAN STANLEY & CO TRADE DATE 05
SAL	05-23-00	221496	-500.000	30 884		15,441.68	-23,921.91	-8,480.23			ATMEL CORP @ 30.8844 15 442.20 TAX &/OR SEC .52 PRUDENTIAL SECURITIES TRADE DATE
	TOTAL -		0.000		312,318.33	212,231.17	-312,318.33	-100,087 16	0	0.00	

2017

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
OAKASS	ATML	Atmel Corp.									
PUR	10-04-00	237659	84,000.000	14.214	1,193,934.00				84,000	1,193,934.00	Atmel Corp
	TOTAL -		84,000.000		1,193,934.00	0.00	0.00	0.00	84,000	1,193,934.00	
WPS	AUD	Automatic Data Processing									
SAL	09-26-00	235324	-2,800.000	67.000		187,369.74	-92,361.24	94,986.50			Automatic Data Processing
SAL	09-26-00	235325	-36,100.000	67.000		2,415,731.37	-1,335,646.09	1,079,885.28			Automatic Data Processing
PUR	12-15-00	243851	6,400.000	60.064	384,923.52				6,400	384,923.52	Automatic Data Processing
	TOTAL -		-32,500.000		384,923.52	2,603,101.11	-1,428,227.33	1,174,873.78	6,400	384,923.52	
F-L	AVCT	Avocent Corp									
PUR	10-09-00	238062	2,700.000	56.500	152,550.00				2,700	152,550.00	Avocent Corp
PUR	12-28-00	245439	1,000.000	27.487	27,487.00				1,000	27,487.00	Avocent Corp @27.487
	TOTAL -		3,700.000		180,037.00	0.00	0.00	0.00	3,700	180,037.00	
WPS	AZO	Autozone Inc									
SAL	02-11-00	211464	-31,200.000	23.580		733,166.11	-1,047,586.67	-314,420.56			AUTOZONE INC @ 23.5797 735 686.64 BROKERAGE 2 496.00 TAX &/OR SEC 24.53 NEUBERGE
SAL	02-14-00	211466	-21,400.000	23.181		494,340.58	-690,090.17	-195,749.59			AUTOZONE INC @ 23.1808 496 069.12 BROKERAGE 1 712.00 TAX &/OR SEC 16.54 NEUBERGE
	TOTAL -		-52,600.000		0.00	1,227,506.69	-1,737,676.84	-510,170.15	0	0.00	
F-L	BBBY	Bed Bath & Beyond Inc									
PUR	11-13-00	241162	1,000.000	23.438	23,437.50				1,000	23,437.50	Bed Bath & Beyond Inc
PUR	11-15-00	241164	2,900.000	23.500	68,150.00				2,900	68,150.00	Bed Bath & Beyond Inc
PUR	11-16-00	241165	1,200.000	23.427	28,112.52				1,200	28,112.52	Bed Bath & Beyond Inc
PUR	11-17-00	241166	5,100.000	23.726	121,000.05				5,100	121,000.05	Bed Bath & Beyond Inc
	TOTAL -		10,200.000		240,700.07	0.00	0.00	0.00	10,200	240,700.07	
CCM	BBBY	Bed Bath & Beyond Inc									
PUR	03-16-00	213472	1,600.000	30.424	48,677.76				0	0.00	BED BATH & BEYOND INC @ 30.4236 CREDIT SUISSE FIRST BOSTON CORP TRADE DATE 03/16
PUR	03-17-00	213498	300.000	30.599	9,179.61				0	0.00	BED BATH & BEYOND INC @ 30.5987 CREDIT SUISSE FIRST BOSTON CORP TRADE DATE 03/17
PUR	03-30-00	215893	300.000	38.544	11,563.41				0	0.00	Bed Bath & Beyond Inc

2018

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	05-02-00	220466	300.000	36.000	10,800.00				0	0.00	BED BATH & BEYOND INC @ 36.00 KNIGHT SECURITIES TRADE DATE 05/02/00
SAL	05-03-00	220535	-600.000	32.790		19,673.34	-21,937 50	-2,264 16			BED BATH & BEYOND INC @ 32.79 29 511.00 TAX &/OR SEC .99 GOLDMAN SACHS & CO TRAI
SAL	05-03-00	220535	-300.000	32.790		9,836.67	-11,563 41	-1,726.74			BED BATH & BEYOND INC @ 32.79 29 511.00 TAX &/OR SEC .99 GOLDMAN SACHS & CO TRAI
SAL	05-03-00	220536	-900.000	33.000		29,699.01	-32,906.25	-3,207.24			BED BATH & BEYOND INC @ 33.00 29 700.00 TAX &/OR SEC .99 CREDIT SUISSE FIRST BOS
SPLDV	08-14-00	230801	6,500.000		0.00				0	0.00	BED BATH & BEYOND INC 2 FOR 1 STOCK DISTRIBUTION
SAL	08-28-00	231432	-400.000	18 000		7,199 76	-7,312 50	-112.74			BED BATH & BEYOND INC @ 18.00 9 000.00 TAX &/OR SEC .30 JEFFERIES & CO INC TRADE
SAL	08-28-00	231432	-100.000	18.000		1,799 94	-1,800.00	-0 06			BED BATH & BEYOND INC @ 18.00 9 000.00 TAX &/OR SEC .30 JEFFERIES & CO INC TRADE
SAL	08-29-00	231704	-600.000	17.703		10,621.14	-9,290.25	1,330.89			Bed Bath & Beyond @17.7025
SAL	08-29-00	231704	-2,300.000	17.703		40,714 39	-37,900.84	2,813 55			Bed Bath & Beyond @17.7025
SAL	08-30-00	231740	-800.000	17 424		13,938 33	-12,275.00	1,663.33			Bed Bath & Beyond @17.4235
SAL	08-31-00	232073	-5,000.000	17.489		87,442.08	-76,457 55	10,984 53			Bed Bath & Beyond @17.489
SAL	08-31-00	232073	-1,000.000	17.489		17,488 42	-15,264 33	2,224 09			Bed Bath & Beyond @17.489
SAL	09-01-00	234083	-1,600.000	17.769		28,429 45	-24,338 88	4,090 57			BED BATH & BEYOND INC @ 17.769 28 430.40 TAX &/OR SEC .95 JEFFERIES & CO INC TRA
SAL	09-05-00	234096	-1,200.000	18.362		22,033 42	-18,254 16	3,779 26			BED BATH & BEYOND INC @ 18.3618 22 034 16 TAX &/OR SEC .74 JEFFERIES & CO INC TR
	TOTAL -		-5,800.000		80,220.78	288,875 95	-269,300 67	19,575 28	0	0.00	
F-L	BBY	Beat Buy Inc									
PUR	10-03-00	237954	12,000.000	62 469	750,345.60				6,900	390,806.12	Beat Buy Inc
PUR	10-10-00	238078	17,600.000	52.563	926,156.00				17,600	996,838.79	Beat Buy Inc
SAL	11-09-00	241168	-5,100.000	33.761		171,867 83	-288,856 69	-116,988 86			Best Buy Inc
	TOTAL -		24,500.000		1,676,501.60	171,867 83	-288,856.69	-116,988.86	24,500	1,387,644 91	
F-L	BCC	Boise Caacade Corp									
PUR	10-05-00	238044	34,700.000	26.597	924,987.49				34,700	924,987.49	Boise Caacade Corp
	TOTAL -		34,700.000		924,987 49	0 00	0 00	0.00	34,700	924,987 49	
CCM	BCC	Boise Caacade Corp									
PUR	03-15-00	213450	2,200.000	29.368	64,674 72				0	0.00	BOISE CASCADE CORP @ 29.3676 64 608.72 BROKERAGE 66.00 WEISS PECK GREER TRADE DA
PUR	03-24-00	213585	1,300.000	31.658	41,194.27				0	0.00	BOISE CASCADE CORP @ 31.6579 41 155.27 BROKERAGE 39.00 WEISS PECK GREER TRADE OA
PUR	05-02-00	220467	300.000	34.125	10,246.50				0	0.00	BOISE CASCADE CORP @ 34.125 10 237.50 BROKERAGE 9.00 BRIDGE TRADING CO TRADE DAT
SAL	05-12-00	220600	-900.000	34.164		30,701.21	-37,302.84	-6,601.63			BOISE CASCADE CORP @ 34.1636 30 747.24 BROKERAGE 45.00 TAX &/OR SEC 1.03 ING BAS
SAL	05-15-00	220612	-700.000	35.547		24,847.07	-28,490.07	-3,643.00			BOISE CASCADE CORP @ 35.547 24 882.90 BROKERAGE 35.00 TAX &/OR SEC .83 ING BARIN
SAL	05-22-00	221488	-1,300.000	36.104		46,868.76	-52.481 51	-5,612.75			BOISE CASCADE CORP @ 36.1041 46 935.33 BROKERAGE 65.00 TAX &/OR SEC 1.57 WEISS F
SAL	05-23-00	221497	-1,400.000	32 864		45,937.36	-55,196 44	-9,259.08			BOISE CASCADE CORP @ 32 8635 46 008.90 BROKERAGE 70.00 TAX &/OR SEC 1.54 ROBERT

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	05-23-00	221498	-1,700.000	32.864		55,781.08	-60,583.85	-4,802.77			BOISE CASCADE CORP @ 32.8635 55 867.95 BROKERAGE 85.00 TAX &/OR SEC 1.87 WEISS I
SAL	05-24-00	221504	-800.000	31.728		25,357.79	-25,597.03	-239 24			BOISE CASCADE CORP @ 31.7283 25 382.64 BROKERAGE 24.00 TAX &/OR SEC .85 WEISS PE
SAL	05-25-00	221511	-700.000	30.639		21,425.23	-21,952.50	-527.27			BOISE CASCADE CORP @ 30.6385 21 446.95 BROKERAGE 21.00 TAX &/OR SEC .72 WEISS PE
SAL	05-25-00	221512	-600.000	29.938		17,931.90	-17,638.56	293.34			BOISE CASCADE CORP @ 29.9375 17 962.50 BROKERAGE 30.00 TAX &/OR SEC .60 DAIN RAU
SAL	05-26-00	222157	-800.000	29.330		23,423.77	-23,518.08	-94.31			Boise Cascade Corp
SAL	05-30-00	222159	-700.000	29.017		20,276.57	-20,578.32	-301.75			Boise Cascade Corp
	TOTAL -		-5,800.000		116,115.49	312,550.74	-343,339.20	-30,788.46	0	0.00	
COM	BCR	Bard C R Inc									
SAL	01-14-00	208588	-200.000	52.676		10,524.90	-11,106.00	-581.10			C R BARD INC @ 52.6763 10 535.26 BROKERAGE 10.00 TAX &/OR SEC .36 JEFFERIES & CO
SAL	01-18-00	208597	-200.000	49.921		9,973.94	-11,106.00	-1,132.06			C R BARD INC @ 49.9214 9 984.28 BROKERAGE 10.00 TAX &/OR SEC .34 NATIONSBANC MON
SAL	01-19-00	208603	-800.000	49.356		39,443.72	-44.424.00	-4,980.28			C R BARD INC @ 49.3563 39 485.04 BROKERAGE 40.00 TAX &/OR SEC 1.32 CREDIT SUISSE
SAL	01-24-00	208644	-1,200.000	45.132		54,096.59	-62,293.75	-8,197.16			C R BARD INC @ 45.132 54 158.40 BROKERAGE 60.00 TAX &/OR SEC 1.81 CREDIT SUISSE
SAL	01-25-00	208653	-600.000	46.480		27,857.25	-30,912.15	-3,054.90			C R BARD INC @ 46.4803 27 888.18 BROKERAGE 30.00 TAX &/OR SEC .93 CREDIT SUISSE
	TOTAL -		-3,000.000		0.00	141,896.40	-159,841.90	-17,945.50	0	0.00	
COM	BEAS	BEA Sys Inc									
SAL	01-07-00	208546	-200.000	65.508		13,101.20	-2,428.33	10,672.87			BEA SYSTEMS INC @ 65.5082 13 101.64 TAX &/OR SEC .44 COWEN & CO TRADE DATE 01/07
SAL	02-01-00	211940	-400.000	78.948		31,578.30	-4,841.98	26,736.32			BEA SYSTEMS INC @ 78.9484 31 579.36 TAX &/OR SEC 1.06 SOUNDVIEW FINANCIAL GROUP
SAL	02-02-00	211947	-100.000	80.823		8,082.06	-1,210.50	6,871.56			BEA SYSTEMS INC @ 80.8233 8 082.33 TAX &/OR SEC .27 SOUNDVIEW FINANCIAL GROUP TR
SAL	02-16-00	211985	-1,000.000	120.087		120,082.89	-12,103.76	107,979.13			BEA SYSTEMS INC @ 120.0869 120 086.90 TAX &/OR SEC 4.01 SOUNDVIEW FINANCIAL GROU
SAL	03-15-00	213451	-600.000	99.329		59,595.53	-7,165.41	52,430.12			BEA SYSTEMS INC @ 99.3292 59 597.52 TAX &/OR SEC 1.99 CREDIT SUISSE FIRST BOSTON
SAL	03-16-00	213473	-400.000	95.781		38,311.24	-4,759.72	33,551.52			BEA SYSTEMS INC @ 95.7813 38 312.52 TAX &/OR SEC 1.28 CREDIT SUISSE FIRST BOSTON
SAL	04-06-00	217468	-800.000	89.091		71,270.10	-9,519.44	61,750.66			BEA SYSTEMS INC @ 89.0906 71 272.48 TAX &/OR SEC 2.38 GOLDMAN SACHS & CO TRADE D
SAL	04-07-00	217476	-100.000	96.984		9,698.11	-1,189.93	8,508.18			BEA SYSTEMS INC @ 96.9844 9 698.44 TAX &/OR SEC .33 CANTOR FITZGERALD INC TRADE
SAL	04-07-00	217477	-200.000	94.333		18,865.89	-2,379.86	16,486.03			BEA SYSTEMS INC @ 94.3326 18 866.52 TAX &/OR SEC .63 GOLDMAN SACHS & CO TRADE DA
SAL	04-14-00	217530	-500.000	57.507		28,752.34	-5,949.65	22,802.69			BEA SYSTEMS INC @ 57.5066 28 753.30 TAX &/OR SEC .96 BEAR STEARNS & CO INC TRADE
SAL	04-17-00	217545	-700.000	58.039		40,625.94	-8,295.95	32,329.99			BEA SYSTEMS INC @ 58.039 40 627.30 TAX &/OR SEC 1.36 GOLDMAN SACHS & CO TRADE DA
SAL	04-18-00	217555	-200.000	65.608		13,121.06	-2,346.30	10,774.76			BEA SYSTEMS INC @ 65.6075 13 121.50 TAX &/OR SEC .44 GOLDMAN SACHS & CO TRADE DA
	TOTAL -		-5,200.000		0.00	453,084.66	-62,190.83	390,893.83	0	0.00	
F-L	BGEN	Biogen									
PUR	10-09-00	238063	3,200.000	53.250	170,400.00				2,400	127,800.00	Biogen Inc
SAL	12-01-00	243043	-800.000	55.500		44,398.52	-42,600.00	1,798.52			Biogen Inc @55.50

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		2,400.000		170,400.00	44,398.52	-42,600.00	1,798.52	2,400	127,800.00	
F-L	BHI	Baker Hughes Inc									
PUR	10-05-00	238042	8,100.000	35.125	284,998.50				8.100	284,998.50	Baker Hughes Inc
	TOTAL -		8,100.000		284,998.50	0.00	0.00	0.00	8,100	284,998.50	
F-L	BJ	BJ Whlse Club Inc									
TRIN	10-01-00	237733.11	400.000	26.255	10,502.04				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237733.9	100.000	26 300	2,630.00				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237733.12	300.000	26.183	7,854.99				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237733.13	100.000	26.175	2,617.50				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237733.10	1,200.000	26.300	31,560.00				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237733.14	200.000	25 847	5,169 46				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237733.15	200.000	25.675	5,135.00				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237733.7	1,100.000	27.707	30,477.70				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237733.5	600.000	27 874	16,724 46				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237733.6	600.000	27.800	16,680.00				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237733.8	600.000	27.359	16,415 58				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237733.4	200.000	27.922	5,584.46				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237733.3	1,000.000	29 397	29,447.00				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237733.2	600.000	33.723	20,263 56				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237733 1	1,100.000	35.649	39,269.23				0	0.00	COM tfr to Forstmann-Leff
SAL	10-03-00	237964	-5,600.000	33 886		189,417.03	-162,151 01	27,266.02			BJS Wholesale Club Inc
SAL	10-03-00	237964	-300.000	33.886		10,147.34	-8,686.66	1,460.68			BJS Wholesale Club Inc
SAL	10-04-00	237997	-2,400.000	33.875		81,153 29	-69,493.31	11,659.98			BJ Wholesale Club Inc
	TOTAL -		0.000		240,330.98	280,717.66	-240,330.98	40,386.68	0	0.00	
COM	BJ	BJ Whlse Club Inc									
SAL	01-20-00	208620	-200.000	36.736		7,336.99	-6,008.54	1,328.45			BJS WHOLESALE CLUB INC @ 36.7362 7 347.24 BROKERAGE 10.00 TAX &/OR SEC .25 CREDI
SAL	01-21-00	208633	-600.000	36.185		21,680.31	-17,813.58	3,866.75			BJS WHOLESALE CLUB INC @ 36.1851 21 711.06 BROKERAGE 30.00 TAX &/OR SEC .73 CRE
PUR	02-29-00	212159	1,300.000	30.332	39,497.25				0	0.00	BJS Wholesale Club Inc
PUR	03-01-00	213355	300.000	30.329	9,113.58				0	0.00	BJS WHOLESALE CLUB INC @ 30.3286 9 098.58 BROKERAGE 15.00 MERRILL LYNCH PIERCE F
PUR	03-02-00	213362	300.000	31.658	9,512.31				0	0.00	BJS WHOLESALE CLUB INC @ 31.6577 9 497.31 BROKERAGE 15.00 MERRILL LYNCH PIERCE F
PUR	03-15-00	213452	500.000	34 494	17,272.10				0	0.00	BJS WHOLESALE CLUB INC @ 34 4942 17 247.10 BROKERAGE 25.00 JEFFERIES & CO INC TF
PUR	05-02-00	220468	300.000	37.375	11,221.50				0	0.00	BJS WHOLESALE CLUB INC @ 37.375 11 212.50 BROKERAGE 9.00 BRIDGE TRADING CO TRADF

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	05-03-00	220537	-1,300.000	34.107		44,246.88	-44,082.41	164.47			BJS WHOLESALE CLUB INC @ 34.1072 44 339.36 BROKERAGE 91.00 TAX &/OR SEC 1.48 LEH
PUR	05-24-00	221505	900.000	29.397	28,502.30				0	0.00	BJS WHOLESALE CLUB INC @ 29.397 26 457.30 BROKERAGE 45.00 GERARD KLAUER MATTISON
PUR	05-24-00	221506	1,100.000	29.397	32,391.70				0	0.00	BJS WHOLESALE CLUB INC @ 29.397 32 336.70 BROKERAGE 55.00 JEFFERIES & CO INC TRA
SAL	06-14-00	223699	-1,800.000	28.251		50,759.38	-54,313.13	-3,553.75			BJS WHOLESALE CLUB INC @ 28.2506 50 851.08 BROKERAGE 90.00 TAX &/OR SEC 1.70 JEF
SAL	06-15-00	223713	-500.000	28.530		14,239.67	-14,723.50	-483.83			BJS WHOLESALE CLUB INC @ 28.5303 14 265.15 BROKERAGE 25.00 TAX &/OR SEC .48 JEFF
SAL	06-16-00	223731	-100.000	28.750		2,869.90	-2,944.70	-74.80			BJS WHOLESALE CLUB INC @ 28.75 2 875.00 BROKERAGE 5.00 TAX &/OR SEC .10 JEFFERIE
PUR	08-28-00	231433	600.000	33.723	20,263.56				0	0.00	BJS WHOLESALE CLUB INC @ 33.7226 20 233.56 BROKERAGE 30.00 J P MORGAN SECURITIES
PUR	09-25-00	235297	1,100.000	35.649	39,269.23				0	0.00	BJS WHOLESALE CLUB INC @ 35.6493 39 214.23 BROKERAGE 55.00 WEISS PECK GREER TRA
TROUT	10-01-00	237733	-8,300.000	34.125		240,330.98	-240,330.98	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		-6,400.000		205,043.53	381,464.13	-380,216.84	1,247.29	0	0.00	
CCM	BJS	BJ Services Co									
PUR	03-01-00	213351	1,400.000	60.410	84,844.00				0	0.00	BJ SERVICES CO @ 60.41 84 574.00 BROKERAGE 70.00 PRUDENTIAL SECURITIES TRADE DAT
PUR	03-01-00	213352	100.000	59.531	5,955.13				0	0.00	BJ SERVICES CO @ 59.5313 5 953.13 BROKERAGE 2.00 INVESTMENT TECHNOLOGY GROUP INC
PUR	03-01-00	213353	400.000	60.250	24,120.00				0	0.00	BJ SERVICES CO @ 60.25 24 100.00 BROKERAGE 20.00 BARING SECURITIES INC. TRADE DA
PUR	03-03-00	213366	400.000	63.756	25,522.48				0	0.00	BJ SERVICES CO @ 63.7562 25 502.48 BROKERAGE 20.00 WILLIAM BLAIR & CO TRADE DATE
PUR	03-06-00	213379	700.000	64.851	45,430.84				0	0.00	BJ SERVICES CO @ 64.8512 45 395.84 BROKERAGE 35.00 WACHOVIA SECURITIES INC. TRA
PUR	03-07-00	213386	800.000	69.419	41,881.64				0	0.00	BJ SERVICES CO @ 69.4194 41 651.64 BROKERAGE 30.00 WACHOVIA SECURITIES INC. TRA
PUR	03-08-00	213391	900.000	66.261	59,679.99				0	0.00	BJ SERVICES CO @ 66.2611 59 634.99 BROKERAGE 45.00 WACHOVIA SECURITIES INC. TRA
SAL	04-27-00	218462	-700.000	69.977		48,947.68	-48,312.75	634.93			BJ Services Co
SAL	04-28-00	218467	-400.000	70.522		28,187.85	-26,524.44	1,663.41			BJ Services Co
PUR	05-02-00	220469	100.000	72.000	7,203.00				0	0.00	BJ SERVICES CO @ 72.00 7 200.00 BROKERAGE 3.00 BRIDGE TRADING CO TRADE DATE 05/(
SAL	06-02-00	223617	-200.000	69.677		13,924.99	-13,834.11	90.88			BJ SERVICES CO @ 69.6773 13 935.46 BROKERAGE 10.00 TAX &/OR SEC .47 FIRST ALBANY
SAL	06-07-00	223655	-1,500.000	65.981		98,892.60	-96,892.65	1,999.95			BJ SERVICES CO @ 65.9868 98 970.90 BROKERAGE 75.00 TAX &/OR SEC 3.30 FIRST UNION
PUR	08-02-00	230737	1,300.000	61.882	80,512.12				0	0.00	BJ SERVICES CO @ 61.8824 80 447.12 BROKERAGE 65.00 LAZARD FRERES & CO TRADE DATE
PUR	08-03-00	230747	300.000	63.850	19,169.88				0	0.00	BJ SERVICES CO @ 63.8496 19 154.88 BROKERAGE 15.00 LAZARD FRERES & CO TRADE DATE
PUR	08-03-00	230748	300.000	63.850	19,169.88				0	0.00	BJ SERVICES CO @ 63.8496 19 154.88 BROKERAGE 15.00 FIRST ANALYSIS SECURITIES COR
PUR	09-19-00	234850	900.000	62.151	55,980.54				0	0.00	BJ SERVICES CO @ 62.1506 55 935.54 BROKERAGE 45.00 COWEN & CO TRADE DATE 09/19/(
SAL	09-21-00	235007	-900.000	60.412		54,323.71	-56,999.94	-2,676.23			BJ SERVICES CO @ 60.4117 54 370.53 BROKERAGE 45.00 TAX &/OR SEC 1.82 ROBERT W BA
SAL	09-21-00	235008	-400.000	60.412		24,143.87	-24,880.24	-736.37			BJ SERVICES CO @ 60.4117 24 164.68 BROKERAGE 20.00 TAX &/OR SEC .81 WEEDEN & CO
SAL	09-22-00	235118	-900.000	59.216		53,247.71	-55,792.80	-2,545.09			BJ SERVICES CO @ 59.2161 53 294.49 BROKERAGE 45.00 TAX &/OR SEC 1.78 WEEDEN & CO
SAL	09-22-00	235119	-600.000	59.216		35,498.47	-37,159.44	-1,660.97			BJ SERVICES CO @ 59.2161 35 529.66 BROKERAGE 30.00 TAX &/OR SEC 1.19 MILLER TABA
SAL	09-25-00	235298	-900.000	55.129		49,569.62	-54,414.00	-4,844.38			BJ SERVICES CO @ 55.1292 49 616.28 BROKERAGE 45.00 TAX &/OR SEC 1.66 MILLER TABA
SAL	09-25-00	235299	-900.000	55.129		49,569.62	-54,259.13	-4,689.51			BJ SERVICES CO @ 55.1292 49 616.28 BROKERAGE 45.00 TAX &/OR SEC 1.66 WEEDEN & CO
	TOTAL -		0.000		469,069.50	456,306.12	-469,069.50	-12,763.38	0	0.00	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
F-L	BKNGD	BankNorth Group Inc.									
TRIN	10-01-00	237734.1	1,800.000	17.458	31,423.50				0	0.00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237734.6	400.000	17.119	6,847.72				0	0.00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237734.9	400.000	16.979	6,791.68				0	0.00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237734.15	500.000	16.500	8,250.00				0	0.00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237734.3	300.000	17.355	5,206.41				0	0.00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237734.2	600.000	17.375	10,425.00				0	0.00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237734.5	100.000	17.188	1,718.75				0	0.00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237734.7	400.000	17.063	6,825.00				0	0.00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237734.22	1,800.000	12.734	22,921.92				0	0.00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237734.16	1,200.000	14.946	17,934.96				0	0.00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237734.18	1,000.000	14.570	14,570.10				0	0.00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237734.20	100.000	14.000	1,400.00				0	0.00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237734.17	300.000	14.625	4,387.50				0	0.00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237734.21	100.000	13.906	1,390.63				0	0.00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237734.19	200.000	14.500	2,900.00				0	0.00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237734.10	2,600.000	16.875	43,875.00				0	0.00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237734.11	600.000	16.875	10,125.00				0	0.00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237734.13	400.000	16.750	6,700.00				0	0.00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237734.14	200.000	16.688	3,337.50				0	0.00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237734.12	300.000	16.795	5,038.38				0	0.00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237734.8	400.000	17.035	6,814.00				0	0.00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237734.4	300.000	17.305	5,191.62				0	0.00	CCM tfr to Foratmann-Leff
SAL	10-16-00	238230	-3,100.000	16.781		52,020.29	-49,616.53	2,403.76			BankNorth Group Inc
SAL	10-16-00	238230	-10,900.000	16.781		182,910.07	-174,458.14	8,451.93			BankNorth Group Inc
	TOTAL -		0.000		224,074.67	234,930.36	-224,074.67	10,855.69	0	0.00	
CCM	BKNGD	BankNorth Group Inc.									
CNVTO	05-15-00	220767.1	1,800.000	17.458	31,423.50				0	0.00	Peoples Heritage to BankNorth
CNVTO	05-15-00	220767.2	400.000	17.119	6,847.72				0	0.00	Peoples Heritage to BankNorth
CNVTO	05-15-00	220767.3	400.000	16.979	6,791.68				0	0.00	Peoples Heritage to BankNorth
CNVTO	05-15-00	220767.4	500.000	16.500	8,250.00				0	0.00	Peoples Heritage to BankNorth
CNVTO	05-15-00	220767.5	300.000	17.355	5,206.41				0	0.00	Peoples Heritage to BankNorth
CNVTO	05-15-00	220767.6	100.000	17.188	1,718.75				0	0.00	Peoples Heritage to BankNorth
CNVTO	05-15-00	220767.7	600.000	17.375	10,425.00				0	0.00	Peoples Heritage to BankNorth

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CNVTO	05-15-00	220767.8	400.000	17.063	6,825.00				0	0.00	Peoplea Heritage to BankNorth
CNVTO	05-15-00	220767.9	1,800.000	12.734	22,921.92				0	0.00	Peoplea Heritage to BankNorth
CNVTO	05-15-00	220767.10	1,200.000	14.946	17,934.96				0	0.00	Peoplea Heritage to BankNorth
CNVTO	05-15-00	220767.11	1,000.000	14.570	14,570.10				0	0.00	Peoplea Heritage to BankNorth
CNVTO	05-15-00	220767.12	100.000	14.000	1,400.00				0	0.00	Peoplea Heritage to BankNorth
CNVTO	05-15-00	220767.13	300.000	14.625	4,387.50				0	0.00	Peoplea Heritage to BankNorth
CNVTO	05-15-00	220767.14	100.000	13.906	1,390.63				0	0.00	Peoplea Heritage to BankNorth
CNVTO	05-15-00	220767.15	200.000	14.500	2,900.00				0	0.00	Peoplea Heritage to BankNorth
PUR	08-04-00	230756	2,600.000	16.875	43,875.00				0	0.00	BANKNORTH GROUP INC @ 16.875 CANTOR FITZGERALD INC TRADE DATE 08/04/00
PUR	08-07-00	230767	600.000	16.875	10,125.00				0	0.00	BANKNORTH GROUP INC @ 16.875 CANTOR FITZGERALD INC TRADE DATE 08/07/00
PUR	08-08-00	230772	200.000	16.688	3,337.50				0	0.00	BANKNORTH GROUP INC @ 16.6875 CANTOR FITZGERALD INC TRADE DATE 08/08/00
PUR	08-08-00	230773	400.000	16.750	6,700.00				0	0.00	BANKNORTH GROUP INC @ 16.75 SANDLERO'NEILL&PARTNERSLP TRADE DATE 08/08/00
PUR	08-09-00	230785	300.000	16.795	5,038.38				0	0.00	BANKNORTH GROUP INC @ 16.7946 CANTOR FITZGERALD INC TRADE DATE 08/09/00
PUR	08-10-00	230792	400.000	17.035	6,814.00				0	0.00	BANKNORTH GROUP INC @ 17.035 CANTOR FITZGERALD INC TRADE DATE 08/10/00
PUR	08-11-00	230798	300.000	17.305	5,191.62				0	0.00	BANKNORTH GROUP INC @ 17.3054 CANTOR FITZGERALD INC TRADE DATE 08/11/00
TROUT	10-01-00	237734	-14,000.000	17.875		224,074.67	-224,074.67	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		0.000		224,074.67	224,074.67	-224,074.67	0.00	0	0.00	
F-L	BKS	Barnes & Noble Inc									
PUR	10-10-00	238077	29,300.000	19.488	572,472.19				29,300	572,472.19	Barnes & Noble Inc
	TOTAL -		29,300.000		572,472.19	0.00	0.00	0.00	29,300	572,472.19	
F-L	BNI	Burlington Northern Santa Fe									
PUR	12-28-00	247990	4,300.000	28.371	122,254.59				4,300	122,254.59	Burlington Northern Santa Fe
	TOTAL -		4,300.000		122,254.59	0.00	0.00	0.00	4,300	122,254.59	
CCM	BNKU	Bank United Corp CL A									
SAL	01-11-00	208566	-500.000	23.548		11,773.65	-20,220.52	-8,446.87			BANK UNITED CORP CL A @ 23.5481 11 774.05 TAX &/OR SEC .40 LEHMAN BROTHERS INC T
SAL	01-12-00	208568	-1,300.000	22.534		29,293.35	-52,147.94	-22,854.59			BANK UNITED CORP CL A @ 22.5341 29 294.33 TAX &/OR SEC .98 LEHMAN BROTHERS INC T
SAL	01-13-00	208570	-800.000	23.408		18,725.61	-32,091.04	-13,365.43			BANK UNITED CORP CL A @ 23.4078 18 726.24 TAX &/OR SEC .63 LEHMAN BROTHERS INC T
SAL	01-14-00	208589	-600.000	24.716		14,828.80	-24,015.39	-9,186.59			BANK UNITED CORP CL A @ 24.7155 14 829.30 TAX &/OR SEC .50 LEHMAN BROTHERS INC T
SAL	01-18-00	208598	-1,000.000	23.752		23,751.40	-39,937.50	-16,186.10			BANK UNITED CORP CL A @ 23.7522 23 752.20 TAX &/OR SEC .80 LEHMAN BROTHERS INC T
SAL	01-18-00	208599	-100.000	24.531		2,451.04	-3,993.75	-1,542.71			BANK UNITED CORP CL A @ 24.5313 2 453.13 BROKERAGE 2.00 TAX &/OR SEC .09 INVESTM
SAL	01-19-00	208604	-200.000	23.521		4,704.00	-7,987.50	-3,283.50			BANK UNITED CORP CL A @ 23.5208 4 704.16 TAX &/OR SEC .16 LEHMAN BROTHERS INC TR

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	01-20-00	208621	-400.000	22.812		9,124.33	-13,683.08	-4,558.75			BANK UNITED CORP CL A @ 22.6116 9 124.64 TAX &/OR SEC .31 LEHMAN BROTHERS INC TR
SAL	01-21-00	208634	-1,800.000	22.762		40,969.69	-52,204.30	-11,234.61			BANK UNITED CORP CL A @ 22.7617 40 971.06 TAX &/OR SEC 1.37 LEHMAN BROTHERS INC
	TOTAL -		-6,700.000		0.00	155,621.87	-246,281.02	-90,659.15	0	0.00	
COM	BOL	Bausch & Lomb									
SAL	01-20-00	208622	-1,200.000	63.097		75,654 11	-86,794.76	-11,140.65			BAUSCH & LOMB INC @ 63.0972 75 716.64 BROKERAGE 60.00 TAX &/OR SEC 2.53 CREDIT S
SAL	01-24-00	208645	-1,400.000	58.378		81,656.75	-90,882.12	-9,225.37			BAUSCH & LOMB INC @ 58.3782 81 729 48 BROKERAGE 70.00 TAX &/OR SEC 2.73 CREDIT S
SAL	01-25-00	208654	-700.000	58.014		40,573.65	-40,693.68	-120.03			BAUSCH & LOMB INC @ 58.0143 40 610.01 BROKERAGE 35.00 TAX &/OR SEC 1.36 CREDIT S
	TOTAL -		-3,300.000		0.00	197,884 51	-218,370.56	-20,486.05	0	0.00	
F-L	BRCD	Brocade Communicationa Systems									
TRIN	10-01-00	237735.1	500.000	232.742	58,185.47				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237735.3	400.000	105.805	42,321.88				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237735.2	500.000	111.465	55,732.70				0	0.00	COM tfr to Forstmann-Leff
SAL	10-03-00	237990	-1,400.000	225.375		315,514.48	-156,240.05	159,274 43			Brocads Communications Sys.
	TOTAL -		0.000		156,240.05	315,514.48	156,240.05	159,274 43	0	0.00	
COM	BRCD	Brocade Communicationa Systems									
PUR	02-16-00	211986	800.000	232.742	186,191.52				0	0.00	BROCADE COMMUNICATIONS SYSTEMS INC @ 232.7419 SMITH BARNEY INC TRADE DATE 02/16/
PUR	02-17-00	212017	100.000	240.231	24,023.10				0	0.00	BROCADE COMMUNICATIONS SYSTEMS INC @ 240.231 SMITH BARNEY INC TRADE DATE 02/17/0
SPLDV	03-15-00	213417	900.000		0.00				0	0.00	BROCADE COMMUNICATIONS SYSTEMS INC 2 FOR 1 STOCK DISTRIBUTION
PUR	04-25-00	217571	400.000	105.805	42,321.88				0	0.00	BROCADE COMMUNICATIONS SYSTEMS INC @ 105.8047 SMITH BARNEY INC TRADE DATE 04/25/
PUR	05-02-00	220470	100.000	128.250	12,825.00				0	0.00	BROCADE COMMUNICATIONS SYSTEMS INC @ 128.25 KNIGHT SECURITIES TRADE DATE 05/02/0
SAL	05-04-00	220540	-1,300.000	117.619		152,899 21	-153,219.05	-319.84			BROCADE COMMUNICATIONS SYSTEMS INC @ 117 6187 152 904.31 TAX &/OR SEC 5.10 COMEN
PUR	05-12-00	220601	500.000	111.465	55,732.70				0	0.00	BROCADE COMMUNICATIONS SYSTEMS INC @ 111.4654 GOLDMAN SACHS & CO TRADE DATE 05/1
PUR	06-02-00	223618	400.000	131.165	52,466.08				0	0 00	BROCADE COMMUNICATIONS SYSTEMS INC @ 131.1652 BANCAMERICA ROBERTSON STEPHENS TRA
PUR	06-14-00	223700	300.000	143.355	43,006.38				0	0.00	BROCADE COMMUNICATIONS SYSTEMS INC @ 143.3546 BEAR STEARNS & CO INC TRADE DATE 0
SAL	07-18-00	226858	-400.000	202.468		80,984 66	-56,122.90	24,861.76			BROCADE COMMUNICATIONS SYSTEMS INC @ 202.4684 80 987.36 TAX &/OR SEC 2.70 MORGAN
SAL	09-08-00	234312	-400.000	219.462		87,781.71	-50,986.66	36,795.05			BROCADE COMMUNICATIONS SYSTEMS INC @ 219.4616 87 784.64 TAX &/OR SEC 2.93 SOUNDV
TROUT	10-01-00	237735	-1,400.000	236.000		156,240.05	-156,240.05	0.00			COM tfr to Foratmann-Leff
	TOTAL -		0.000		416,568.66	477,905.63	-416,568 66	61,336.97	0	0.00	
OAKASS	BRCD	Brocade Communicationa Systems									

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	10-04-00	237650	5,600.000	213.000	1,192,800.00				11,200	1,192,800.00	Brocadae Communications Sys.
SPLDV	12-22-00	243853	5,600.000		0.00				0	0.00	Brocade Comm. 2 for 1 split
	TOTAL -		11,200.000		1,192,800.00	0.00	0.00	0.00	11,200	1,192,800.00	
F-L	BRRT	Barr Laboratories Inc									
PUR	10-09-00	238069	6,200.000	58.765	364,715.62				6,200	364,715.62	Barr Laboratories Inc
	TOTAL -		6,200.000		364,715.62	0.00	0.00	0.00	6,200	364,715.62	
CCM	BVSN	Broadvision Inc									
PUR	01-27-00	209791	200.000	159.250	31,850.00				0	0.00	Broadvision Inc
PUR	01-27-00	209792	400.000	148.826	59,530.64				0	0.00	Broadvision Inc
PUR	02-29-00	212000	200.000	136.375	27,275.00				0	0.00	BROADVISION INC @ 136.375 BEAR STEARNS SECURITIES CORP TRADE DATE 02/02/00
SPLDV	03-14-00	213413	1,600.000		0.00				0	0.00	BROADVISION INC 3 FOR 1 STOCK DISTRIBUTION
SAL	04-14-00	217531	-700.000	32.693		23,024.26	-36,810.89	-13,786.63			BROADVISION INC @ 32.8929 23 025.03 TAX &/OR SEC .77 MERRILL LYNCH PIERCE FENNE
SAL	04-14-00	217532	-100.000	35.958		3,595.71	-4,960.89	-1,365.18			BROADVISION INC @ 35.9583 3 595.83 TAX &/OR SEC .12 BEAR STEARNS & CO INC TRADE
SAL	04-17-00	217546	-1,300.000	28.158		36,604.17	-63,246.36	-26,642.19			BROADVISION INC @ 28.158 36 605.40 TAX &/OR SEC 1.23 J P MORGAN SECURITIES (EQU
SAL	04-17-00	217547	-300.000	26.125		7,837.23	-13,637.50	-5,800.27			BROADVISION INC @ 26.125 7 837.50 TAX &/OR SEC .27 COWEN & CO TRADE DATE 04/17/
	TOTAL -		0.000		118,655.64	71,061.37	-118,655.64	-47,594.27	0	0.00	
F-L	CAM	Cooper Cameron Corp									
TRIN	10-01-00	237736.1	500.000	74.658	37,353.85				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237736.2	1,100.000	73.445	80,844.06				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237736.3	200.000	65.751	13,160.26				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-10-00	238035	-1,600.000	73.125		131,512.61	-131,358.17	154.44			Cooper Cameron Corp
	TOTAL -		0.000		131,358.17	131,512.61	-131,358.17	154.44	0	0.00	
CCM	CAM	Cooper Cameron Corp									
PUR	05-10-00	220568	600.000	74.658	44,824.62				0	0.00	COOPER CAMERON CORP @ 74.6577 44 794.62 BROKERAGE 30.00 ABN AMRO CHICAGO CORPORA
PUR	05-11-00	220580	800.000	77.203	61,802.00				0	0.00	COOPER CAMERON CORP @ 77.2025 61 762.00 BROKERAGE 40.00 ABN AMRO CHICAGO CORPORA
PUR	05-12-00	220596	600.000	77.725	46,664.94				0	0.00	COOPER CAMERON CORP @ 77.7249 46 634.94 BROKERAGE 30.00 ABN AMRO CHICAGO CORPORA
PUR	05-15-00	220630	300.000	81.165	24,364.47				0	0.00	COOPER CAMERON CORP @ 81.1649 24 349.47 BROKERAGE 15.00 ABN AMRO CHICAGO CORPORA
PUR	06-21-00	224868	1,100.000	73.445	80,844.06				0	0.00	COOPER CAMERON CORP @ 73.4446 80 789.06 BROKERAGE 55.00 OPPENHEIMER & CO TRADE D
PUR	07-20-00	227573	200.000	65.751	13,160.26				0	0.00	COOPER CAMERON CORP @ 65.7513 13 150.26 BROKERAGE 10.00 FIRST UNION CAP MKTS TRA

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	09-21-00	235009	-1,200.000	75.497		90,533.26	-94,205.16	-3,671.90			COOPER CAMERON CORP @ 75.4969 90 596.28 BROKERAGE 60.00 TAX &/OR SEC 1.02 WEEDEN
SAL	09-22-00	235120	-400.000	74.521		29,787.36	-30,901.00	-1,113.64			COOPER CAMERON CORP @ 74.5209 29 808.36 BROKERAGE 20.00 TAX &/OR SEC 1.00 WEEDEN
SAL	09-25-00	235300	-200.000	71.030		14,195.44	-15,196.02	-1,000.58			COOPER CAMERON CORP @ 71.0296 14 205.92 BROKERAGE 10.00 TAX &/OR SEC .48 WEEDEN
TROUT	10-01-00	237736	-1,800.000	73.688		131,358.17	-131,358.17	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		0.000		271,660.35	265,874.23	-271,660.35	-5,786 12	0	0.00	
F-L	CB	Chubb Corp									
PUR	10-03-00	237955	10,000.000	79.750	798,100.00				10,000	798,100.00	Chubb Corp
	TOTAL -		10,000.000		798,100.00	0.00	0.00	0.00	10,000	798,100.00	
WPS	CBSC	CBS Corp									
PUR	04-25-00	217416	20,000.000	56.261	1,126,822.00				0	0.00	CBS Corp @ 56.2611
PUR	04-26-00	217428	11,100.000	58.590	651,241.44				0	0.00	CBS Corp @ 58.59040
PUR	04-27-00	217430	5,200.000	58.012	302,080.48				0	0 00	CBS Corp @ 58.0124
CNVFR	05-08-00	220412	-36,300.000	58.813		2,080,143.92	-2,080,143.92	0 00			CBS Exchange to Viacom B
	TOTAL -		0.000		2,080,143.92	2,080,143.92	-2,080,143.92	0 00	0	0.00	
F-L	CC	Circuit City									
PUR	10-10-00	238079	29,100.000	20.438	596,186.25				0	0.00	Circuit City Group Inc
SAL	10-20-00	238232	-9,300.000	12.616		116,856.16	-190,531.75	-73,677 59			Circuit City Group CO.
SAL	10-23-00	238233	-3,200.000	13.000		41,438 61	-65,560.00	-24,121.39			Circuit City Group CO.
SAL	10-25-00	238486	-16,600.000	11.675		196,286 42	-340,092.50	-143,804.08			Circuit City Group @11.875
	TOTAL -		0.000		596,186.25	354,583.19	-596,186.25	-241,603.06	0	0.00	
F-L	CDN	Cadence Design Systems Inc									
PUR	10-09-00	238064	12,000.000	23.806	286,388.40				12,000	286,388.40	Cadence Design Systems Inc
	TOTAL -		12,000.000		286,388.40	0.00	0.00	0.00	12,000	286,388.40	
F-L	CDWC	CDW Computer Centers Inc									
TRIN	10-01-00	237737.3	200.000	109.382	10,938.15				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237737.2	400.000	109.415	21,883.06				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237737.4	400.000	107.631	21,526 26				0	0.00	CCM tfr to Forstmann-Leff

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TRIN	10-01-00	237737.1	600.000	115.216	34,564.68				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-04-00	237999	-1,600.000	60.688		97,096.76	-88,912.17	8,184.59			CDW Computer Centers Inc
	TOTAL -		0.000		88,912.17	97,096.76	-88,912.17	8,184.59	0	0.00	
CCM	CDWC	CDW Computer Centers Inc									
PUR	05-09-00	220628	100.000	109.382	10,938.15				0	0.00	CDW COMPUTER CENTERS INC @ 109.3815 MORGAN STANLEY & CO TRADE DATE 05/09/00
PUR	05-10-00	220567	200.000	109.415	21,883.08				0	0.00	CDW COMPUTER CENTERS INC @ 109.4154 MORGAN STANLEY & CO TRADE DATE 05/10/00
PUR	05-11-00	220579	200.000	107.631	21,526.26				0	0.00	CDW COMPUTER CENTERS INC @ 107.6313 MORGAN STANLEY & CO TRADE DATE 05/11/00
PUR	05-12-00	220595	200.000	119.558	23,911.54				0	0.00	CDW COMPUTER CENTERS INC @ 119.5577 MORGAN STANLEY & CO TRADE DATE 05/12/00
PUR	05-22-00	220629	200.000	120.105	24,020.90				0	0.00	CDW COMPUTER CENTERS INC @ 120.1045 MORGAN STANLEY & CO TRADE DATE 05/17/00
PUR	05-31-00	222138	200.000	118.279	23,655.96				0	0.00	CDW Computer Centers Inc
PUR	06-01-00	223604	300.000	115.216	34,564.68				0	0.00	CDW COMPUTER CENTERS INC @ 115.2156 SOUNDVIEW FINANCIAL GROUP TRADE DATE 06/01/(
PUR	06-01-00	223605	100.000	116.000	11,600.00				0	0.00	CDW COMPUTER CENTERS INC @ 116.00 MORGAN STANLEY & CO TRADE DATE 06/01/00
PUR	06-02-00	223619	400.000	129.602	51,840.60				0	0.00	CDW COMPUTER CENTERS INC @ 129.6015 MORGAN STANLEY & CO TRADE DATE 06/02/00
PUR	06-15-00	223714	300.000	119.851	35,955.27				0	0.00	CDW COMPUTER CENTERS INC @ 119.6509 MORGAN STANLEY & CO TRADE DATE 06/15/00
SPLDV	06-22-00	224906	2,200.000		0.00				0	0.00	CDW COMPUTER CENTERS INC 2 FOR 1 STOCK DISTRIBUTION
SAL	07-17-00	226851	-600.000	60.600		36,358.84	-38,880.45	-2,521.61			CDW COMPUTER CENTERS INC @ 60.6001 36 360.06 TAX &/OR SEC 1.22 WILLIAM BLAIR & (
SAL	07-17-00	226852	-200.000	61.350		12,269.59	-12,960.15	-690.56			CDW COMPUTER CENTERS INC @ 61.35 12 270.00 TAX &/OR SEC .41 TROSTER SINGER STEVE
SAL	07-18-00	226859	-100.000	56.875		5,687.31	-6,005.23	-317.92			CDW COMPUTER CENTERS INC @ 56.875 5 687.50 TAX &/OR SEC .19 TROSTER SINGER STEVE
SAL	07-18-00	226860	-800.000	56.682		45,344.24	-47,978.40	-2,634.16			CDW COMPUTER CENTERS INC @ 56.6822 45 345.76 TAX &/OR SEC 1.52 ROBERT W BAIRD &
SAL	07-18-00	226861	-500.000	56.733		28,365.55	-29,904.08	-1,538.53			CDW COMPUTER CENTERS INC @ 56.733 28 366.50 TAX &/OR SEC .95 MORGAN STANLEY & CC
SAL	09-22-00	235121	-600.000	75.685		45,409.36	-35,255.96	10,153.40			CDW COMPUTER CENTERS INC @ 75.6848 45 410.88 TAX &/OR SEC 1.52 WILLIAM BLAIR & (
TROUT	10-01-00	237737	-1,600.000	69.000		88,912.17	-88,912.17	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		0.000		259,896.44	262,347.06	-259,896.44	2,450.62	0	0.00	
F-L	CEG	Constellation Energy Group									
PUR	10-05-00	238047	9,200.000	45.727	421,239.48				9,200	403,882.28	Constellation Energy Group Inc
PUR	10-27-00	239179	6,300.000	40.605	256,193.91				6,300	276,571.57	Constellation Energy Group Inc
PUR	11-13-00	241163	2,000.000	43.193	86,505.20				2,000	87,800.50	Constellation Energy Group Inc
PUR	12-29-00	245592	5,700.000	44.597	254,547.18				5,700	250,231.42	Constellation Energy Group Inc
	TOTAL -		23,200.000		1,018,485.77	0.00	0.00	0.00	23,200	1,018,485.77	
F-L	CELG	Celgene Corp									
TRIN	10-01-00	237738.4	1,000.000	69.139	69,139.10				0	0.00	CCM tfr to Forstmann-Leff

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TRIN	10-01-00	237736.1	100.000	73.313	7,333.25				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237736 2	500.000	71.220	35,610.10				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237736.6	1,500.000	65.063	97,593.90				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237736.5	600.000	66.991	40,194.60				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237736.3	400.000	69.695	27,956.12				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237736.7	200.000	63.924	12,764.76				0	0.00	COM tfr to Forstmann-Leff
SAL	10-04-00	236009	-3,200.000	55.009		175,911.69	-216,270.76	-40,358 87			Celgene Corp
SAL	10-05-00	236011	-1,100.000	55.470		60,976.68	-74,343.07	-13,366.39			Celgene Corp
	TOTAL -		0.000		290,613.83	236,666.57	-290,613.83	-53,725.26	0	0.00	
COM	CELG	Celgene Corp									
PUR	06-30-00	231737	1,000.000	69.139	69,139.10				0	0.00	Celgene Corp @69.1391
PUR	08-31-00	232065	100.000	73.313	7,333.25				0	0.00	Celgene Corp @73.3125
PUR	08-31-00	232072	500.000	71.220	35,610.10				0	0.00	Celgene Corp @71 2202
PUR	09-11-00	234335	1,500.000	65.063	97,593.90				0	0.00	CELGENE CORP @ 65.0626 CANTOR FITZGERALD INC TRADE DATE 09/11/00
PUR	09-14-00	234376	600.000	66.991	40,194.60				0	0.00	CELGENE CORP @ 66.991 PIPER JAFFRAY INC TRADE DATE 09/14/00
PUR	09-16-00	234841	400.000	69.695	27,956.12				0	0.00	CELGENE CORP @ 69.6953 PIPER JAFFRAY INC TRADE DATE 09/16/00
PUR	09-19-00	234851	200.000	63.924	12,764.76				0	0.00	CELGENE CORP @ 63.9236 PIPER JAFFRAY INC TRADE DATE 09/19/00
TROUT	10-01-00	237736	-4,300.000	59.500		290,613.83	-290,613.83	0.00			COM tfr to Forstmann-Leff
	TOTAL -		0.000		290,613.83	290,613 83	-290,613.83	0.00	0	0.00	
OAKASS	CFLO	Cacheflow Inc									
PUR	10-09-00	237664	9,800.000	115 166	1,128,637.50				9,600	1,126,637.5[illegible]	Cacheflow inc
PUR	12-06-00	243020	7,500.000	59.750	448,125.00				7,500	448,125.00	Cacheflow Inc @59 75
PUR	12-19-00	244726	3,300.000	44.500	146,650.00				3,300	146,650.00	Cacheflow Inc @44.50
	TOTAL -		20,600.000		1,723,812.50	0.00	0.00	0.00	20,600	1,723,612.50	
F-L	CHTR	Charter Communication Inc CL A									
PUR	10-31-00	239162	3,900.000	19.366	75,527.79				3,900	75,527.79	Charter Communicationa Inc
PUR	11-01-00	241144	5,400.000	19.649	106,101.90				5,400	106,101.90	Charter Communicationa Inc CLA
PUR	11-06-00	241153	600.000	19.125	11,475.00				600	11,475.00	Charter Communicationa Inc
	TOTAL -		9,900.000		193,104.69	0.00	0 00	0.00	9,900	193,104.69	

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Market Street Trust Company
PURCHASE / SALE DETAIL NISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
F-L	CIEN	Ciena Corp									
TRIN	10-01-00	237739.1	800.000	137.222	54,888.88				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237739.2	1,000.000	132.983	66,491.95				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237739.4	1,000.000	96.493	48,246.70				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237739.3	200.000	131.813	13,181.25				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-04-00	238000	-3,000.000	113.688		341,051.13	-182,808.78	158,242.35			Ciena Corp
	TOTAL -		0.000		182,808.78	341,051.13	-182,808.78	158,242.35	0	0.00	
CCM	CIEN	Ciena Corp									
PUR	03-17-00	213499	900.000	141.750	127,574.55				0	0.00	CIENA CORP @ 141.7495 GOLDMAN SACHS & CO TRADE DATE 03/17/00
PUR	03-20-00	213543	400.000	137.222	54,888.88				0	0.00	CIENA CORP @ 137.2222 GOLDMAN SACHS & CO TRADE DATE 03/20/00
PUR	03-22-00	213564	200.000	144.402	28,880.38				0	0.00	CIENA CORP @ 144.4019 GOLDMAN SACHS & CO TRADE DATE 03/22/00
PUR	03-23-00	213573	100.000	148.028	14,802.77				0	0.00	CIENA CORP @ 148.0277 GOLDMAN SACHS & CO TRADE DATE 03/23/00
PUR	03-30-00	215894	500.000	132.983	66,491.95				0	0.00	Ciena Corp
PUR	04-05-00	217460	500.000	96.493	48,246.70				0	0.00	CIENA CORP @ 96.4934 U S CLEARING CORP TRADE DATE 04/05/00
PUR	05-02-00	220471	100.000	131.813	13,181.25				0	0.00	CIENA CORP @ 131.8125 KNIGHT SECURITIES TRADE DATE 05/02/00
SAL	05-17-00	220624	-400.000	147.476		58,988.23	-57,858.10	1,130.13			CIENA CORP @ 147.4755 58 990.20 TAX &/OR SEC 1.97 GOLDMAN SACHS & CO TRADE DATE
SAL	07-26-00	227913	-400.000	166.500		66,597.78	-56,699.80	9,897.98			CIENA CORP @ 166.50 66 600.00 TAX &/OR SEC 2.22 CREDIT SUISSE FIRST BOSTON CORP
SAL	09-08-00	234313	-400.000	205.378		82,148.26	-56,699.80	25,448.46			CIENA CORP @ 205.3775 82 151.00 TAX &/OR SEC 2.74 SOUNDVIEW FINANCIAL GROUP TRAI
SPLDV	09-19-00	234392	1,500.000		0.00				0	0.00	CIENA CORP 2 FOR 1 STOCK DISTRIBUTION
TROUT	10-01-00	237739	-3,000.000	122.813		182,808.78	-182,808.78	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		0.000		354,066.48	390,543.05	-354,066.48	36,476.57	0	0.00	
CCM	CMOS	Credence Systems Corp									
PUR	01-19-00	208605	200.000	93.050	18,610.00				0	0.00	CREDENCE SYSTEMS CORP @ 93.05 COWEN & CO TRADE DATE 01/19/00
PUR	01-20-00	208623	300.000	94.500	28,350.00				0	0.00	CREDENCE SYSTEMS CORP @ 94.50 COWEN & CO TRADE DATE 01/20/00
PUR	01-21-00	208635	300.000	96.813	29,043.75				0	0.00	CREDENCE SYSTEMS CORP @ 96.8125 COWEN & CO TRADE DATE 01/21/00
PUR	01-24-00	208646	200.000	97.664	19,532.84				0	0.00	CREDENCE SYSTEMS CORP @ 97.6642 COWEN & CO TRADE DATE 01/24/00
PUR	01-31-00	209799	100.000	82.750	8,275.00				0	0.00	Credence Systems Corp
PUR	02-01-00	211941	100.000	87.036	8,703.57				0	0.00	CREDENCE SYSTEMS CORP @ 87.0357 COWEN & CO TRADE DATE 02/01/00
PUR	02-02-00	211948	400.000	90.208	36,083.32				0	0.00	CREDENCE SYSTEMS CORP @ 90.2083 COWEN & CO TRADE DATE 02/02/00
PUR	02-03-00	211953	200.000	93.844	18,768.74				0	0.00	CREDENCE SYSTEMS CORP @ 93.8437 COWEN & CO TRADE DATE 02/03/00
PUR	02-04-00	211961	200.000	95.813	19,162.50				0	0.00	CREDENCE SYSTEMS CORP @ 95.8125 COWEN & CO TRADE DATE 02/04/00
PUR	05-02-00	220472	100.000	148.875	14,887.50				0	0.00	CREDENCE SYSTEMS CORP @ 148.875 KNIGHT SECURITIES TRADE DATE 05/02/00
SPLDV	05-18-00	220627	2,100.000		0.00				0	0.00	CREDENCE SYSTEMS CORP 2 FOR 1 STOCK DISTRIBUTION

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	05-31-00	222142	900.000	54.875	49,387.50				0	0.00	Credence Systems Corp
PUR	06-21-00	224889	900.000	57.226	51,503.76				0	0.00	CREDENCE SYSTEMS CORP @ 57.2264 ADAMS HARKNESS & HILL INC TRADE DATE 06/21/00
SAL	07-27-00	230033	-2,100.000	44.000		92,396.92	-120,661 97	-28,265.05			Credence Systems Corp
SAL	07-28-00	230034	-100.000	43.593		4,357.23	-4,883.21	-525.98			Credence Systems Corp
SAL	07-28-00	230035	-200.000	41.687		8,337.22	-9,766.42	-1,429.20			Credence Systems Corp
SAL	07-28-00	230036	-1,000.000	42.359		42,357.78	-48,206.25	-5,848 47			Credence Systems Corp
SAL	07-31-00	230037	-1,100.000	42.199		46,417.35	-51,771.24	-5,353.89			Credence Systems Corp
SAL	08-01-00	230733	-1,500.000	40.696		61,042.11	-67,019.39	-5,977.28			CREDENCE SYSTEMS CORP @ 40.6961 61 044.15 TAX &/OR SEC 2.04 MERRILL LYNCH PIERCE
	TOTAL -		0.000		302,308.48	254,908.61	-302,308 48	-47,399.87	0	0.00	
F-L	CMS	CMS Energy Corp									
PUR	10-05-00	238046	10,800.000	27.375	296,298 00				10,800	296,298.00	CMS Energy Corp
PUR	10-27-00	239177	2,300.000	26.721	61,598.14				2,300	61,598.14	CMS Energy Corp @26.721
PUR	10-27-00	239178	2,800.000	26.721	74,989.04				2,800	74,989.04	CMS Energy Corp @26.721
PUR	10-30-00	239180	2,000.000	27.346	54,813 20				2,000	54,813 20	CMS Energy Corp @27.346
PUR	12-29-00	245590	10,300.000	31.562	325,703.51				10,300	325,703 51	CMS Energy Corp @31.5617
PUR	12-29-00	245591	3,400.000	31.562	107,513.78				3,400	107,513.78	CMS Energy Corp @31.5617
	TOTAL -		31,600.000		920,915.67	0.00	0.00	0.00	31,600	920,915.67	
CCM	CMTN	Copper Mountain Networks Inc									
PUR	02-16-00	212014	1,200.000	77.502	91,002.64				0	0.00	COPPER MOUNTAIN NETWORKS INC @ 77.5022 SMITH BARNEY INC TRADE DATE 02/16/00
PUR	02-17-00	212018	800.000	78 166	62,532 48				0	0.00	COPPER MOUNTAIN NETWORKS INC @ 78.1656 SMITH BARNEY INC TRADE DATE 02/17/00
PUR	02-18-00	212028	400.000	77.925	31,170.00				0	0.00	COPPER MOUNTAIN NETWORKS INC @ 77.925 SMITH BARNEY INC TRADE DATE 02/18/00
PUR	02-22-00	212040	300.000	77.771	23,331.24				0	0.00	COPPER MOUNTAIN NETWORKS INC @ 77.7708 SMITH BARNEY INC TRADE DATE 02/22/00
SAL	04-14-00	217533	-900.000	56.067		50,458.16	-70,324 98	-19,866 82			COPPER MOUNTAIN NETWORKS INC @ 56.0665 50 459.85 TAX &/OR SEC 1.69 CANTOR FITZGE
PUR	04-26-00	218440	700.000	84.218	58,952.81				0	0.00	Copper Mountain Networks
PUR	05-02-00	220473	100.000	83 438	8,343.75				0	0.00	COPPER MOUNTAIN NETWORKS INC @ 83.4375 KNIGHT SECURITIES TRADE DATE 05/02/00
PUR	05-12-00	220602	300.000	80.436	24,130.74				0	0.00	COPPER MOUNTAIN NETWORKS INC @ 80.4358 PIPER JAFFRAY INC TRADE DATE 05/12/00
PUR	05-31-00	222141	400.000	83 422	33,369.16				0	0.00	Copper Mountain Networks Inc
PUR	06-14-00	223701	400.000	95.771	38,308.36				0	0.00	COPPER MOUNTAIN NETWORKS INC @ 95.7709 PIPER JAFFRAY INC TRADE DATE 06/14/00
SAL	07-17-00	226853	-400.000	118.235		47,292.54	-38,308.36	8,984 18			COPPER MOUNTAIN NETWORKS INC @ 118.2353 47 294.12 TAX &/OR SEC 1.58 PIPER JAFFRA
PUR	07-31-00	230026	300.000	77.062	23,118.75				0	0.00	Copper Mountain Networks Inc
PUR	08-04-00	230757	500.000	67.398	33,699.10				0	0.00	COPPER MOUNTAIN NETWORKS INC @ 67.3982 CANTOR FITZGERALD INC TRADE DATE 08/04/0
SAL	08-25-00	231425	-1,400.000	61 456		86,035.53	-116,752.88	-30,717.35			COPPER MOUNTAIN NETWORKS INC @ 61.456 86 038.40 TAX &/OR SEC 2.87 PIPER JAFFRAY
SAL	08-28-00	231434	-600.000	60.208		36,123.35	-46,975.24	-10,851.89			COPPER MOUNTAIN NETWORKS INC @ 60.2076 36 124.56 TAX &/OR SEC 1.21 PIPER JAFFRA

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	09-08-00	234314	-2,100.000	52.799		110,874.83	-157,597.57	-46,722.74			COPPER MOUNTAIN NETWORKS INC @ 52.7993 110 878.53 TAX &/OR SEC 3.70 BEAR STEARNS
	TOTAL -		0.000		429,959.03	330,784 41	-429,959.03	-99,174.62	0	0.00	
F-L	CMTO	Com21 Inc									
PUR	10-10-00	238080	19,600.000	11.507	225,531.32				19,600	225,531.32	Com21 Inc
	TOTAL -		19,600.000		225,531.32	0.00	0.00	0.00	19,600	225,531.32	
CCM	CMVT	Comverse Technology Inc									
SAL	02-18-00	212029	-500.000	183.709		91,851.28	-22,965.00	68,886.28			COMVERSE TECHNOLOGY INC @ 183.7087 91 854.35 TAX &/OR SEC 3.07 LEHMAN BROTHERS I
SAL	02-22-00	212041	-100.000	175.762		17,575.57	-4,593.00	12,982.57			COMVERSE TECHNOLOGY INC @ 175.7616 17 576.16 TAX &/OR SEC .59 LEHMAN BROTHERS IN
SAL	03-17-00	213500	-600.000	200.312		120,182.89	-27,558.00	92,624.89			COMVERSE TECHNOLOGY INC @ 200.3115 120 186.90 TAX &/OR SEC 4.01 GOLDMAN SACHS &
SPLDV	04-04-00	218476	1,250.000		0.00				0	0.00	Comverse Tech 2 for 1 split
PUR	05-02-00	220474	100.000	83.250	8,325.00				0	0.00	COMVERSE TECHNOLOGY INC @ 83.25 KNIGHT SECURITIES TRADE DATE 05/02/00
PUR	05-31-00	222140	300.000	94.354	28,306.29				0	0.00	Comverse Technology Inc
PUR	08-18-00	230817	600.000	84 257	50,554.08				0	0.00	COMVERSE TECHNOLOGY INC @ 84.2568 CHARLES SCHWAB & CO TRADE DATE 08/18/00
TROUT	10-01-00	237740	-3,500.000	108.000		144,597.87	-144,597.87	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		-2,450.000		87,185.37	374,207.61	-199,713.87	174,493.74	0	0.00	
F-L	CMVT	Comverse Technology Inc									
TRIN	10-01-00	237740.4	2,500.000	68.895	57,412.50				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237740.3	100.000	83.250	8,325.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237740.1	300.000	94.354	28,306.29				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237740.2	600.000	84.257	50,554.08				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-04-00	238001	-2,500.000	100.063		250,147.91	-103,284.19	146,863.72			Comverse Technologies
SAL	10-04-00	238001	-1,000.000	100.063		100,059.16	-41,313.68	58,745.48			Comverse Technologies
	TOTAL -		0.000		144,597.87	350,207.07	-144,597.87	205,609.20	0	0.00	
CCM	COF	Capital One Financial Corp									
PUR	01-26-00	208661	800.000	40.591	32,512.40				0	0.00	CAPITAL ONE FINANCIAL CORP @ 40.5905 32 472 40 BROKERAGE 40.00 OPPENHEIMER & CO
PUR	02-16-00	211987	800.000	38.347	30,717.60				0	0.00	CAPITAL ONE FINANCIAL CORP @ 38.347 30 677.60 BROKERAGE 40.00 MORGAN STANLEY & C
PUR	03-15-00	213453	300.000	41.500	12,465.00				0	0.00	CAPITAL ONE FINANCIAL CORP @ 41.50 12 450.00 BROKERAGE 15.00 BRIDGE TRADING CO T
PUR	03-16-00	213474	600.000	43.046	25,857.54				0	0.00	CAPITAL ONE FINANCIAL CORP @ 43.0459 25 827.54 BROKERAGE 30.00 OPPENHEIMER & CO
SAL	05-01-00	222177	-1,400.000	43.308		60,559.59	-71,465.63	-10,906.04			Capital One Financial Corp

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	05-02-00	220475	200.000	45.500	9,106.00				0	0.00	CAPITAL ONE FINANCIAL CORP @ 45.50 9 100.00 BROKERAGE 6.00 BRIDGE TRADING CO TRA
PUR	06-14-00	223702	700.000	52.514	36,794.45				0	0.00	CAPITAL ONE FINANCIAL CORP @ 52.5135 36 759.45 BROKERAGE 35.00 NATIONSBANC MONTG
TROUT	10-01-00	237741	-6,000.000	70.063		264,104.70	-264,104.70	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		-4,000.000		147,452.99	324,664.29	-335,570.33	-10,906.04	0	0.00	
P-L	COF	Capital One Financial Corp									
TRIN	10-01-00	237741.10	100.000	40.811	4,086.06				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237741.6	1,100.000	43.603	48,017.75				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237741.7	600.000	43.603	26,191.50				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237741.2	400.000	48.863	19,565.20				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237741.3	200.000	46.969	9,403.88				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237741.4	200.000	46.886	9,387.32				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237741.11	800.000	40.591	32,512.40				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237741.12	800.000	38.347	30,717.60				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237741.9	300.000	41.500	12,465.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237741.8	600.000	43.046	25,857.54				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237741.5	200.000	45.500	9,106.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237741.1	700.000	52.514	36,794.45				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-09-00	238029	-6,000.000	66.758		400,176.44	-264,104.70	136,071.74			Capital One Financial Corp
	TOTAL -		0.000		264,104.70	400,176.44	-264,104.70	136,071.74	0	0.00	
CCM	CPWR	COMPUWARE CORP									
SAL	01-05-00	208528	-700.000	31.674		22,171.20	-25,143.16	-2,971.96			COMPUWARE CORP @ 31.6742 22 171.94 TAX &/OR SEC .74 SMITH BARNEY INC TRADE DATE
SAL	01-06-00	208536	-4,000.000	26.711		106,840.83	-120,120.34	-13,279.51			COMPUWARE CORP @ 26.7111 106 844.40 TAX &/OR SEC 3.57 BEAR STEARNS SECURITIES CO
SAL	01-07-00	208547	-1,200.000	27.119		32,542.07	-33,331.00	-788.93			COMPUWARE CORP @ 27.1193 32 543.16 TAX &/OR SEC 1.09 BEAR STEARNS SECURITIES COR
	TOTAL -		-5,900.000		0.00	161,554.10	-178,594.50	-17,040.40	0	0.00	
OAKASS	CSCO	Cisco Systems Inc									
PUR	10-04-00	237651	21,000.000	57.688	1,211,437.50				21,000	1,211,437.50	Cisco Systems Inc
	TOTAL -		21,000.000		1,211,437.50	0.00	0.00	0.00	21,000	1,211,437.50	
WPS	CSCO	Cisco Systems Inc									
PUR	12-06-00	242996	9,800.000	52.271	513,035.88				9,800	513,035.88	CISCO SYSTEMS INC @ 52.2706 512 251.88 BROKERAGE 784.00 NEUBERGER & BERMAN TRADE

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	12-19-00	243852	11,600.000	44.590	518,172.00				11,600	518,172.00	Cisco Systems @44.59
	TOTAL -		21,400.000		1,031,207.88	0.00	0.00	0.00	21,400	1,031,207.88	
CCM	CSGS	CSG Systems International Inc									
SAL	01-05-00	208529	-2,100.000	40.718		85,505.36	-64,728.72	20,776.64			CSG SYSTEMS INTERNATIONAL INC @ 40.7182 85 508.22 TAX &/OR SEC 2.86 DONALDSON LU
PUR	05-01-00	220442	300.000	46.607	13,982.07				0	0.00	CSG SYSTEMS INTERNATIONAL INC @ 46.6069 CANTOR FITZGERALD INC TRADE DATE 05/01/0
PUR	05-02-00	220456	200.000	43.750	8,750.00				0	0.00	CSG SYSTEMS INTERNATIONAL INC @ 43.75 KNIGHT SECURITIES TRADE DATE 05/02/00
PUR	05-31-00	222139	200.000	50.501	10,100.28				0	0.00	CSG Systems International Inc
SAL	06-14-00	223703	-400.000	48.074		19,229.07	-19,421.66	-192.59			CSG SYSTEMS INTERNATIONAL INC @ 48.0743 19 229.72 TAX &/OR SEC .65 DONALDSON LUF
SAL	08-28-00	231435	-100.000	47.052		4,705.05	-4,660.69	44.36			CSG SYSTEMS INTERNATIONAL INC @ 47.0521 4 705.21 TAX &/OR SEC .16 LEHMAN BROTHEF
SAL	08-29-00	231705	-100.000	44.968		4,494.60	-4,375.00	119.60			CSG Systems Int'l @44.9675
SAL	08-29-00	231706	-200.000	44 662		8,932.02	-5,180.62	3,751.40			CSG Systems Int'l @44.6616
SAL	08-29-00	231706	-100.000	44.662		4,466.01	-4,375.00	91.01			CSG Systems Int'l @44 6616
SAL	08-31-00	232074	-100.000	45.516		4,547 40	-2,590.31	1,957.09			CSG Systems Int'l @45.5156
SAL	09-01-00	234084	-300.000	46.531		13,946.92	-7,770.93	6,175.99			CSG SYSTEMS INTERNATIONAL INC @ 46.5313 13 959.39 BROKERAGE 12.00 TAX &/OR SEC
SAL	09-01-00	234085	-100.000	47.063		4,706.09	-2,571.85	2,134 24			CSG SYSTEMS INTERNATIONAL INC @ 47.0625 4 706.25 TAX &/OR SEC .16 JEFFERIES & CC
SAL	09-05-00	234097	-400.000	47.474		18,989.04	-10,216.24	8,772.80			CSG SYSTEMS INTERNATIONAL INC @ 47.4742 18 989.68 TAX &/OR SEC .64 JEFFERIES & C
SAL	09-06-00	234272	-400.000	46.079		18,430.98	-10,181.89	8,249.09			CSG SYSTEMS INTERNATIONAL INC @ 46.079 18 431.60 TAX &/OR SEC .62 JEFFERIES & CC
SAL	09-07-00	234293	-600.000	43.125		25,874.13	-15,136.52	10,737.61			CSG SYSTEMS INTERNATIONAL INC @ 43.125 25 875.00 TAX &/OR SEC .87 JEFFERIES & CC
SAL	09-07-00	234294	-400.000	44.180		17,655.49	-10,060.52	7,594.97			CSG SYSTEMS INTERNATIONAL INC @ 44.1802 17 672.08 BROKERAGE 16.00 TAX &/OR SEC
SAL	09-08-00	234315	-300.000	44.500		13,337.55	-7,350.00	5,987.55			CSG SYSTEMS INTERNATIONAL INC @ 44.50 13 350.00 BROKERAGE 12.00 TAX &/OR SEC .45
SAL	09-11-00	234336	-100.000	45.354		4,531 22	-2,450.00	2,081.22			CSG SYSTEMS INTERNATIONAL INC @ 45.3537 9 070.74 BROKERAGE 8.00 TAX &/OR SEC .31
SAL	09-11-00	234336	-100.000	45.354		4,531.21	-2,425.00	2,106.21			CSG SYSTEMS INTERNATIONAL INC @ 45.3537 9 070.74 BROKERAGE 8.00 TAX &/OR SEC .31
SAL	09-11-00	234337	-300.000	45.000		13,499 55	-7,275.00	6,224.55			CSG SYSTEMS INTERNATIONAL INC @ 45.00 13 500.00 TAX &/OR SEC .45 JEFFERIES & CO
SAL	09-12-00	234355	-600.000	47.922		28,752.42	-14,490.00	14,262.42			CSG SYSTEMS INTERNATIONAL INC @ 47.9223 28 753.38 TAX &/OR SEC .96 JEFFERIES & C
SAL	09-12-00	234356	-600.000	47.896		28,712.46	-14,430.00	14,282.46			CSG SYSTEMS INTERNATIONAL INC @ 47.8957 28 737.42 BROKERAGE 24.00 TAX &/OR SEC
	TOTAL -		-6,600.000		32,832.35	324,846.57	-209,689.95	115,156.62	0	0.00	
CCM	CTL	Centurytel Inc									
PUR	01-31-00	209798	1,300.000	38.000	49,465.00				0	0.00	Centurytel Inc
SAL	04-27-00	218463	-3,600.000	28.721		103,214 67	-159,390.75	-56,176.08			Centurytel Inc
SAL	04-28-00	218468	-1,050.000	25.597		26,823.77	-44,777.25	-17,953.48			Centurytel Inc
SAL	04-28-00	218468	-1,300.000	25.597		33,210.38	-49,465.00	-16,254.62			Centurytel Inc
	TOTAL -		-4,650.000		49,465.00	163,248.82	-253,633.00	-90,384.18	0	0.00	

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CCM	CTS	CTS Corp									
SAL	01-25-00	208655	-900.000	77.244		69,472.55	-56,117.94	13,354 61			CTS CORP @ 77.2443 69 519.87 BROKERAGE 45.00 TAX &/OR SEC 2.32 J P MORGAN SECURI
SAL	02-17-00	212019	-800.000	62.682		50,104.16	-45,055.16	5,049.00			CTS CORP @ 62.6823 50 145.84 BROKERAGE 40.00 TAX &/OR SEC 1.68 WEEDEN & CO TRADE
SAL	02-18-00	212030	-1,000.000	62.501		62,449.01	-52,706.29	9,742.72			CTS CORP @ 62.5011 62 501.10 BROKERAGE 50.00 TAX &/OR SEC 2.09 WEEDEN & CO TRADE
SAL	02-22-00	212042	-700.000	62.028		43,383.29	-34,062.70	9,320.59			CTS CORP @ 62.0282 43 419.74 BROKERAGE 35.00 TAX &/OR SEC 1.45 WEEDEN & CO TRADE
	TOTAL -		-3,400.000		0.00	225,409.01	-187,942.09	37,466.92	0	0.00	
CCM	CTXS	Citrix Systems Inc									
SAL	02-02-00	211949	-200.000	145 969		29,192.78	-7,733.25	21,459 53			CITRIX SYSTEMS INC @ 145.9688 29 193.76 TAX &/OR SEC .96 SOUNDVIEW FINANCIAL GRO
SAL	02-03-00	211954	-500.000	146.152		73,073.71	-19,333.12	53,740.59			CITRIX SYSTEMS INC @ 146.1523 73 076.15 TAX &/OR SEC 2.44 FURMAN SELZ MAGER DIET
SPLDV	02-17-00	211997	2,500.000		0.00				0	0.00	CITRIX SYSTEMS INC 2 FOR 1 STOCK DISTRIBUTION
SAL	03-03-00	213369	-500.000	103.394		51,695.37	-9,666.56	42,028.81			CITRIX SYSTEMS INC @ 103.3942 51 697.10 TAX &/OR SEC 1.73 LEWCO SEC/AGT HAMBRECH
SAL	03-03-00	213370	-100.000	101.750		10,174 66	-1,933.31	8,241 35			CITRIX SYSTEMS INC @ 101.75 10 175.00 TAX &/OR SEC .34 BANCAMERICA ROBERTSON STE
SAL	03-03-00	213371	-400.000	102.783		41,095.98	-7,733.25	33,362.73			CITRIX SYSTEMS INC @ 102.7834 41 113.36 BROKERAGE 16.00 TAX &/OR SEC 1.38 INSTIN
SAL	03-06-00	213381	-200.000	109.830		21,965.32	-3.866.63	18,098 69			CITRIX SYSTEMS INC @ 109.8303 54 915.15 TAX &/OR SEC 1.84 BARINO SECURITIES INC.
SAL	03-06-00	213381	-300.000	109.830		32,947.99	-5,503.13	27,444 86			CITRIX SYSTEMS INC @ 109.8303 54 915.15 TAX &/OR SEC 1.84 BARING SECURITIES INC.
SAL	03-23-00	213574	-800.000	94.145		75,313.16	-14.675.00	60,638 16			CITRIX SYSTEMS INC @ 94.1446 75 315.68 TAX &/OR SEC 2.52 J P MORGAN SECURITIES I
SAL	04-04-00	217443	-1,000.000	65.738		65,735.70	-18,343.75	47,391.95			CITRIX SYSTEMS INC @ 65.7379 65 737.90 TAX &/OR SEC 2.20 BARING SECURITIES INC.
PUR	05-01-00	220445	1,000.000	61.543	61,543.20				0	0.00	CITRIX SYSTEMS INC @ 61.5432 ING BARING LLC TRADE DATE 05/01/00
PUR	05-01-00	220446	900.000	58.077	52,268 85				0	0.00	CITRIX SYSTEMS INC @ 58.0765 J P MORGAN SECURITIES (EQUITIES) TRADE DATE 05/01/0
PUR	05-02-00	220476	100.000	49.936	4,993.75				0	0.00	CITRIX SYSTEMS INC @ 49.9375 KNIGHT SECURITIES TRADE DATE 05/02/00
PUR	05-11-00	220584	600.000	46.133	27,679.98				0	0.00	CITRIX SYSTEMS INC @ 46.1333 ING BARING LLC TRADE DATE 05/11/00
PUR	05-11-00	220585	600.000	47.783	28,669.98				0	0.00	CITRIX SYSTEMS INC @ 47 7833 CANTOR FITZGERALD INC TRADE DATE 05/11/00
PUR	06-02-00	223620	200.000	58.245	11,649.00				0	0.00	CITRIX SYSTEMS INC @ 58.245 SMITH BARNEY INC TRADE DATE 06/02/00
SAL	06-09-00	223684	-1,700.000	42.263		71,644.70	-31,184.37	40,660.33			CITRIX SYSTEMS INC @ 42.263 215 541.30 TAX &/OR SEC 7.19 LEHMAN BROTHERS INC TRA
SAL	06-09-00	223684	-3,400.000	42.263		143,689 41	-186,804 76	-43,115.35			CITRIX SYSTEMS INC @ 42.263 215 541.30 TAX &/OR SEC 7.19 LEHMAN BROTHERS INC TRA
	TOTAL -		-3,200 000		186,804.76	616,728 78	-308,777.13	309,951.65	0	0.00	
WPS	CVS	CVS Corp									
PUR	01-07-00	208055	9,600.000	37.249	358,360.32				9,600	358,360.32	C V S CORP DEL @ 37.2492 357 592.32 BROKERAGE 768.00 NEUBERGER & BERMAN TRADE DA
PUR	07-12-00	226739	3,600.000	43.750	157,788.00				3,600	157,788.00	C V S CORP DEL @ 43.75 157 500.00 BROKERAGE 288.00 NEUBERGER & BERMAN TRADE DATE
PUR	09-06-00	234263	6,500.000	38.500	250,770.00				6,500	250,770.00	C V S CORP DEL @ 38.50 250 250.00 BROKERAGE 520.00 NEUBERGER & BERMAN TRADE DATE
SAL	09-26-00	235326	-29,600.000	45.396		1,341,311.76	-1,396,643 48	-55,331 72			CVS Corp
SAL	10-12-00	236903	-12,000.000	46.776		560,328 48	-548,418.80	11,909.68			C V S CORP DEL @ 46.7756 561 307.20 BROKERAGE 960.00 TAX &/OR SEC 16.72 NEUBERGE

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	10-13-00	236904	-8,200.000	46.451		287,491.22	-281,635.62	5,855.60			C V S CORP DEL @ 46.4511 287 996.82 BROKERAGE 496.00 TAX &/OR SEC 9.60 NEUBERGER
SAL	10-16-00	238160	-1,950.000	45.567		88,696.88	-88,578.95	117.93			CVS Corp @45.5671
	TOTAL -		-30,050.000		766,918.32	2,277,828.34	-2,315,276.85	-37,448.51	19,700	766,918.32	
CCM	CY	Cypress Semiconductor Corp									
PUR	01-26-00	208662	1,300.000	36.843	47,960.38				0	0.00	CYPRESS SEMICONDUCTOR CORP @ 36.8426 47 895.38 BROKERAGE 65.00 PAINE WEBBER JACK
SAL	03-15-00	213454	-1,300.000	43.736		56,789.38	-47,960.38	8,829.00			CYPRESS SEMICONDUCTOR CORP @ 43.7356 56 856.28 BROKERAGE 65.00 TAX &/OR SEC 1.90
SAL	03-16-00	213475	-1,700.000	45.026		76,456.81	-43,773.18	32,683.63			CYPRESS SEMICONDUCTOR CORP @ 45.0261 76 544.37 BRDKERAGE 85.00 TAX &/OR SEC 2.56
SAL	03-17-00	213501	-2,100.000	45.121		94,845.94	-48,035.08	46,810.86			CYPRESS SEMICONDUCTOR CORP @ 45.121 94 754.10 BROKERAGE 105.00 TAX &/OR SEC 3.16
SAL	03-20-00	213544	-200.000	49.219		9,833.43	-4,510.00	5,323.43			CYPRESS SEMICONDUCTOR CORP @ 49.2188 9 843.76 BROKERAGE 10.00 TAX &/OR SEC .33 F
PUR	06-20-00	224876	900.000	48.619	43,783.92				0	0.00	CYPRESS SEMICONDUCTOR CORP @ 48.6188 43 756.92 BROKERAGE 27.00 WEISS PECK GREER
PUR	06-20-00	224877	500.000	49.000	24,515.00				0	0.00	CYPRESS SEMICONDUCTOR CORP @ 49.00 24 500.00 BROKERAGE 15.00 OPPENHEIMER & CO TR
PUR	06-21-00	224890	1,800.000	49.126	88,516.98				0	0.00	CYPRESS SEMICONDUCTOR CORP @ 49.1261 88 426.98 BROKERAGE 90.00 FIDELITY CAPITAL
PUR	06-21-00	224891	1,600.000	49.126	78,681.76				0	0.00	CYPRESS SEMICONDUCTOR CORP @ 49.1261 78 601.76 BROKERAGE 80.00 CANTOR FITZGERALD
PUR	06-30-00	225402	700.000	42.559	29,826.93				0	0.00	Cypress Semiconductor Corp
SAL	08-03-00	230749	-1,900.000	31.963		60,632.67	-93,434.59	-32,801.92			CYPRESS SEMICONDUCTOR CORP @ 31.963 60 729.70 BROKERAGE 95.00 TAX &/OR SEC 2.03
SAL	08-03-00	230750	-1,600.000	31.963		51,059.09	-78,667.15	-27,608.06			CYPRESS SEMICONDUCTOR CORP @ 31.963 51 140.80 BROKERAGE 80.00 TAX &/OR SEC 1.71
SAL	08-04-00	230758	-800.000	32.804		26,202 64	-39,071.52	-12,868.88			CYPRESS SEMICONDUCTOR CORP @ 32.8044 26 243.52 BROKERAGE 40.00 TAX &/OR SEC .88
SAL	08-04-00	230759	-1,200.000	32.804		39,303.96	-54,151.33	-14,847.37			CYPRESS SEMICONDUCTOR CORP @ 32.8044 39 365.28 BROKERAGE 60.00 TAX &/OR SEC 1.32
	TOTAL -		-4,000.000		313,284.97	414,923.92	-409,603.23	5,320.69	0	0.00	
WPS	DELL	Dell Computer Corp									
PUR	02-11-00	211465	11,000.000	37.936	418,174 90				0	0.00	DELL COMPUTER CORP @ 37.9359 417 294.90 BROKERAGE 880.00 NEUBERGER & BERMAN TRAI
PUR	02-16-00	211488	12,000.000	38.070	457,798.80				0	0.00	DELL COMPUTER CORP @ 38.0699 456 838.80 BROKERAGE 960.00 NEUBERGER & BERMAN TRAI
PUR	06-02-00	223583	9,200.000	44.130	406,727.40				0	0.00	Dell Computer Corp @ 44.1295
SAL	09-26-00	235335	-19,300.000	33.250		640,159.60	-877,190.79	-237,031.19			Dell Computer Corp
SAL	11-15-00	240879	-14,800.000	24.862		368,767.25	-672,664.44	-305,897.19			DELL COMPUTER CORP @ 24 8624 1 668 267.04 BROKERAGE 5 368.00 TAX &/OR SEC 55.61
SAL	11-15-00	240879	-52,300.000	24.862		1,296,076.18	-2,104,348.90	-808,272.72			DELL COMPUTER CORP @ 24.8624 1 668 267.04 BROKERAGE 5 368.00 TAX &/OR SEC 55.61
	TOTAL -		-54,200.000		1,282,701.10	2,303,003.03	-3,654,204.13	-1,351,201.10	0	0.00	
CCM	DF	Dean Foods Co									
SAL	03-22-00	213565	-1,100.000	23.705		26,019.30	-47,484.42	-21,465.12			DEAN FOODS CO @ 23.7047 26 075.17 BRDKERAGE 55.00 TAX &/OR SEC .87 EQUITABLE SEC
SAL	03-22-00	213568	-2,500.000	23.705		59,134.77	-104,964.25	-45,829.48			DEAN FOODS CO @ 23.7047 59 261.75 BRDKERAGE 125.00 TAX &/OR SEC 1.98 PRUDENTIAL
SAL	03-23-00	213575	-1,500.000	25.114		37,595.34	-58,229.76	-20,634.42			DEAN FOODS CO @ 25.1144 37 671.60 BRDKERAGE 75.00 TAX &/OR SEC 1 26 PRUDENTIAL S

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	03-24-00	213586	-300.000	24.666		7,384.49	-11,457.69	-4,073.20			DEAN FOODS CO @ 24 6658 7 399.74 BROKERAGE 15.00 TAX 6/DR SEC .25 SANFORD BERNST
	TOTAL -		-5,400.000		0.00	130,133.90	-222,136.12	-92,002.22	0	0.00	
WPS	DG	Dollar General Corp									
SPLDV	05-23-00	220394	48,881.000		0.00				0	0.00	5 FOR 4 STOCK DISTRIBUTION DOLLAR GENERAL CORP
PUR	09-01-00	235616	23,438.000	13.703	321,181.50				0	0.00	To correct shares in spec lots
PUR	09-01-00	235617	35,468.000	14.987	531,543.20				0	0.00	To correct shares in spec lots
PUR	09-01-00	235618	5,197.000	20.586	106,987.11				0	0.00	To correct shares in spec lots
PUR	09-01-00	235619	12,696.000	18.618	236,374.45				0	0.00	To correct shares in spec lots
PUR	09-01-00	235620	13,282.000	16.716	222,018.64				0	0.00	To correct shares in spec lots
PUR	09-01-00	235621	66,407.000	17.139	1,138,167.00				0	0.00	To correct shares in spec lots
PUR	09-01-00	235622	7,562.000	21.332	161,309.34				0	0.00	To correct shares in spec lots
SAL	09-01-00	235608	-23,437.000	13.704		321,181.50	-321,181.50	0.00			To correct shares in spec lots
SAL	09-01-00	235609	-35,469.000	14.986		531,543.20	-531,543.20	0.00			To correct shares in spec lots
SAL	09-01-00	235610	-5,196.000	20.590		106,987.11	-106,987.11	0.00			To correct shares in spec lots
SAL	09-01-00	235611	-12,695.000	18.619		236,374.45	-236,374.45	0.00			To correct shares in spec lots
SAL	09-01-00	235612	-13,281.000	16.717		222,018.64	-222,018.64	0.00			To correct shares in spec lots
SAL	09-01-00	235613	-66,406.000	17.140		1,138,167.00	-1,138,167.00	0.00			To correct shares in spec lots
SAL	09-01-00	235614	-7,566.000	21.320		161,309.34	-161,309.34	0.00			To correct shares in spec lots
SAL	09-26-00	235327	-5,418.000	17.068		92,040.06	-88,679.84	3,360.22			Dollar General Corp
SAL	09-26-00	235626	-97,582.000	17.068		1,657,706.53	-1,703,547.20	-45,840.67			Dollar General Corp @17.06840
SAL	10-16-00	238162	-20,000.000	15.391		306,201.73	-327,352.67	-21,150.94			Dollar General Corp @15.3906
SAL	10-19-00	238163	-1,700.000	15.376		26,001.98	-27,824.98	-1,823.00			Dollar General Corp @15.3758
SAL	10-31-00	238718	-89,145.000	15.425		1,367,893.10	-1,405,564.06	-37,670.96			Dollar General @15.42510
SAL	10-31-00	238718	-30,561.000	15.425		468,945.89	-631,331.17	-162,385.28			Dollar General @15.42510
	TOTAL -		-195,525.000		2,717,581.24	6,636,370.53	-6,901,881.16	-265,510.63	0	0.00	
CCM	DGX	Quest Diagnostics Incorporated									
PUR	07-31-00	230029	400.000	101.038	40,435.20				0	0.00	Quest Diagnostics Inc
PUR	07-31-00	230030	100.000	101.375	10,139.50				0	0.00	Quest Diagnostics Inc
PUR	08-01-00	230731	900.000	102.483	92,279.70				0	0.00	QUEST DIAGNOSTICS INC @ 102.483 92 234.70 BROKERAGE 45.00 NATIONSBANC MONTGOMERY
PUR	08-03-00	230745	200.000	100.620	20,127.92				0	0.00	QUEST DIAGNOSTICS INC @ 100 6196 20 123.92 BROKERAGE 4.00 INVESTMENT TECHNOLOGY
PUR	08-03-00	230746	300.000	100.972	30,306.54				0	0.00	QUEST DIAGNOSTICS INC @ 100.9716 30 291.54 BROKERAGE 15.00 BRIDGE TRADING CO TRP
PUR	08-04-00	230755	100.000	103.186	10,323.62				0	0.00	QUEST DIAGNOSTICS INC @ 103.1862 10 318.62 BROKERAGE 5.00 GOLDMAN SACHS & CO TRP
PUR	08-07-00	230766	200.000	114.176	22,845.22				0	0.00	QUEST DIAGNOSTICS INC @ 114.1761 22 835.22 BROKERAGE 10.00 GOLDMAN SACHS & CO TR

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ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	09-18-00	234840	-200.000	102.750		20,539.31	-22,845.22	-2,305.91			QUEST DIAGNOSTICS INC @ 102.75 20 550.00 BROKERAGE 10.00 TAX &/OR SEC .69 COWEN
SAL	09-19-00	234849	-500.000	104.138		52,042.26	-51,336.82	705.44			QUEST DIAGNOSTICS INC @ 104.138 52 069.00 BROKERAGE 25.00 TAX &/OR SEC 1.74 COWE
SAL	09-22-00	235116	-400.000	108.012		43,183.23	-41,013.20	2,170.03			QUEST DIAGNOSTICS INC @ 108.0117 43 204.68 BROKERAGE 20.00 TAX &/OR SEC 1.45 MEF
TROUT	10-01-00	237742	-1,100.000	114.750		111,262.46	-111,262.46	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		0.000		226,457.70	227,027.26	-226,457.70	569.56	0	0.00	
F-L	DGX	Quest Diagnostics Incorporated									
TRIN	10-01-00	237742.2	100.000	101.375	10,139.50				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237742.3	400.000	101.038	40,435.20				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237742.1	100.000	102.483	10,253.30				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237742.4	300.000	100.972	30,306.54				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237742.5	200.000	100.620	20,127.92				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-03-00	237980	-1,100.000	118.250		130,004.66	-111,262.46	18,742.20			Quest Diagnostics Inc
	TOTAL -		0.000		111,262.46	130,004.66	-111,262.46	18,742.20	0	0.00	
OAKASS	DIGX	Digex Inc									
PUR	10-09-00	237665	29,000.000	38.500	1,116,500.00				29,000	1,116,500.00	Digex Inc
	TOTAL -		29,000.000		1,116,500.00	0.00	0.00	0.00	29,000	1,116,500.00	
F-L	DLTR	Dollar Tree Stores Inc									
TRIN	10-01-00	237743.2	3,300.000	39.176	86,187.86				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237743.6	150.000	34.517	3,451.66				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237743.7	1,950.000	33.500	43,550.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237743.5	150.000	38.125	3,812.50				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237743.3	300.000	38.969	7,797.76				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237743.4	150.000	38.632	3,867.24				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237743.1	800.000	43.924	35,139.52				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-04-00	238002	-5,400.000	38.625		208,568.04	-145,964.02	62,604.02			Dollar Tree Stores Inc
SAL	10-04-00	238002	-1,400.000	38.625		54,073.20	-37,842.52	16,230.68			Dollar Tree Stores Inc
	TOTAL -		0.000		183,806.54	262,641.24	-183,806.54	78,834.70	0	0.00	
CCM	DLTR	Dollar Tree Stores Inc									
SAL	01-20-00	208624	-600.000	49.889		29,932.64	-24,645.75	5,286.89			DOLLAR TREE STORES INC @ 49.8894 29 933.64 TAX &/OR SEC 1.00 MORGAN STANLEY & CO

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ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	01-21-00	208636	-200.000	47.791		9,557.84	-7,850.00	1,707.84			DOLLAR TREE STORES INC @ 47.7908 9 558.16 TAX &/OR SEC .32 MORGAN STANLEY & CO T
SAL	01-26-00	208663	-100.000	48.625		4,862.33	-3,925.00	937.33			DOLLAR TREE STORES INC @ 48.625 4 862.50 TAX &/OR SEC .17 SMITH BARNEY INC TRADE
PUR	02-29-00	212160	500.000	39.594	19,797.10				0	0.00	Dollar Tree Stores Inc
PUR	03-01-00	213356	400.000	40.625	16,250.00				0	0.00	DOLLAR TREE STORES INC @ 40.625 FIRST UNION CAP MKTS TRADE DATE 03/01/00
PUR	03-15-00	213455	200.000	43.375	8,675.00				0	0.00	DOLLAR TREE STORES INC @ 43.375 THOMAS WEISEL PARTNERS LLC TRADE DATE 03/15/00
SAL	04-07-00	217478	-500.000	52.004		26,001.13	-20,862.50	5,138 63			DOLLAR TREE STORES INC @ 52.004 26 002.00 TAX &/OR SEC .87 GOLDMAN SACHS & CO TR
SAL	04-12-00	217515	-300.000	52.448		15,733 99	-11,981.34	3,752 65			DOLLAR TREE STORES INC @ 52 4484 15 734.52 TAX &/OR SEC .53 GOLDMAN SACHS & CO T
PUR	05-02-00	220477	100.000	58.438	5,843.75				0	0.00	DOLLAR TREE STORES INC @ 58 4375 KNIGHT SECURITIES TRADE DATE 05/02/00
SAL	06-07-00	223656	-200.000	56.497		11,299.02	-7,835 26	3,463.76			DOLLAR TREE STORES INC @ 56.497 33 698.20 TAX &/OR SEC 1 11 FIRST UNION CAP MKTS
SAL	06-07-00	223656	-400.000	56 497		22,598 05	-17,722.01	4,876 04			DOLLAR TREE STORES INC @ 56.497 33 698.20 TAX &/OR SEC 1.13 FIRST UNION CAP MKTS
SPLDV	06-20-00	223745	2,000.000		0.00				0	0.00	DOLLAR TREE STORES INC 3 FOR 2 STOCK DISTRIBUTION
PUR	09-25-00	235301	800.000	43.924	35,139.52				0	0.00	DOLLAR TREE STORES INC @ 43.9244 SMITH BARNEY INC TRADE DATE 09/25/00
TROUT	10-01-00	237743	-6,800.000	40.563		183,806.54	-183,806.54	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		-5,100.000		85,705.37	303,791.54	-278,628 40	25,163.14	0	0.00	
F-L	DM	Dime Bancorp Inc									
PUR	10-05-00	238049	8,000.000	23.938	191,980.00				8,000	191,980.80	Dime Bancorp inc
PUR	10-06-00	238060	5,800.000	22 827	132,746.34				5,800	132,746.34	Dime Bancorp Inc
PUR	10-06-00	238083	1,900.000	22.827	43,485.87				1,900	43,485.87	Dime Bancorp Inc
	TOTAL -		15,700.000		368,212.21	0.00	0.00	0.00	15,700	368,212 21	
F-L	DOX	AMDOCS LTD									
TRIN	10-01-00	237744.4	1,000.000	25.963	26,013.30				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237744.6	1,300.000	24 250	31,525.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237744.5	400.000	25.370	10,147.84				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237744.3	700.000	26.029	18,255.02				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237744.2	600.000	26.155	15,723.12				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237744.1	500.000	26.231	13,140.65				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-03-00	237961	-4,500.000	63.063		283,501.79	-114,824 93	168,676 86			Amdocs LTD
	TOTAL -		0.000		114,824 93	283,501.79	-114,824 93	168,676 86	0	0.00	
CCM	DOX	AMDOCS LTD									
SAL	03-16-00	213476	-400.000	73.520		29,386.93	-12,884 27	16,502.66			AMDOCS LTD @ 73.5198 29 407.92 BROKERAGE 20.00 TAX &/OR SEC .99 GEORGE K BAUM &
SAL	04-14-00	217534	-700.000	53.635		37,508 10	-18,659.48	18,848.62			AMDOCS LTD @ 53.6348 37 544.36 BROKERAGE 35.00 TAX &/OR SEC 1.26 COWEN & CO TRA

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	05-02-00	220478	200.000	73.188	14,643.50				0	0.00	AMDOCS LTD @ 73.1875 14 637.50 BROKERAGE 6.00 BRIDGE TRADING CO TRADE DATE 05/0
SAL	05-04-00	220541	-900.000	73.099		65,741.81	-33,302.98	32,438 83			AMDOCS LTD @ 73.0989 65 789.01 BROKERAGE 45.00 TAX &/OR SEC 2.20 LEWCO SEC/AGT
TROUT	10-01-00	237744	-4,500.000	62.375		114,824.93	-114,824.93	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		-6,300.000		14,643.50	247,461.77	-179,671.66	67,790.11	0	0.00	
F-L	ORE	Duke Rlty Invts Inc									
TRIN	10-01-00	237745.1	8,300.000	22.000	183,015.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237745.3	200.000	21.125	4,229.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237745.4	1,200.000	21.021	25,285.32				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237745.2	800.000	21.549	17,279.60				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-05-00	238012	-10,500.000	23.000		240,861.95	-229,808.92	11,053.03			Duke-Weeks Realty Corp
	TOTAL -		0.000		229,808.92	240,861.95	-229,808.92	11,053.03	0	0.00	
CCM	DRE	Duke Rlty Invts Inc									
PUR	05-15-00	220613	10,400.000	22.000	229,320.00				0	0.00	DUKE REALTY INVESTMENT INC @ 22.00 228 800.00 BROKERAGE 520.00 MORGAN STANLEY &
PUR	05-30-00	222131	200.000	21.125	4,229.00				0	0.00	Duke Realty Investment Inc
PUR	05-30-00	222132	1,200.000	21.021	25,285.32				0	0.00	Duke Realty Investment Inc
PUR	05-31-00	222144	800.000	21.549	17,279.60				0	0.00	Duke Realty Investment Inc
PUR	06-21-00	224892	1,000.000	23.297	23,347.00				0	0.00	DUKE REALTY INVESTMENT INC @ 23.297 23 297.00 BROKERAGE 50.00 PRUDENTIAL SECURIT
PUR	06-22-00	224907	200.000	23.545	4,719.00				0	0.00	DUKE REALTY INVESTMENT INC @ 23.545 4 709.00 BROKERAGE 10.00 PRUDENTIAL SECURITI
PUR	06-23-00	224920	100.000	23.500	2,355.00				0	0.00	DUKE REALTY INVESTMENT INC @ 23.50 2 350.00 BROKERAGE 5.00 PRUDENTIAL SECURITIES
SAL	08-31-00	232075	-800.000	24.001		19,159.99	-18,747.50	412.49			Duke-Weeks Realty Corp @24.000
SAL	09-01-00	234086	-200.000	24.000		4,789.84	-4,669.40	120.44			DUKE-WEEKS REALTY CORP @ 24.00 4 800.00 BROKERAGE 10.00 TAX &/OR SEC .16 PRUDENT
SAL	09-05-00	234098	-1,500.000	24.108		36,086.09	-33,464.10	2,621.99			DUKE-WEEKS REALTY CORP @ 24.1082 36 162.30 BROKERAGE 75.00 TAX &/OR SEC 1.21 PRU
SAL	09-06-00	234273	-500.000	24.125		12,037.09	-11,025.00	1,012.09			DUKE-WEEKS REALTY CORP @ 24.125 12 062.50 BROKERAGE 25.00 TAX &/OR SEC .41 PRUDE
SAL	09-08-00	234316	-400.000	24.125		9,629.67	-8,820.00	809.67			DUKE-WEEKS REALTY CORP @ 24.125 9 650.00 BROKERAGE 20.00 TAX &/OR SEC .33 PRUDEN
TROUT	10-01-00	237745	-10,500.000	24.125		229,808.92	-229,808.92	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		0.000		306,534.92	311,511.60	-306,534.92	4,976.68	0	0.00	
F-L	ORI	Darden Restaurants Inc									
TRIN	10-01-00	237746.14	3,300.000	16.958	56,125.74				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237746.15	3,600.000	16.958	61,228.08				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237746.1	400.000	18.177	7,290.96				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237746.2	900.000	18.151	16,381.26				0	0.00	CCM tfr to Forstmann-Leff

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TRIN	10-01-00	237746.3	900.000	18.134	16,365.78				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237746.4	3,000.000	17.981	54,093.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237746.7	900.000	17.723	15,996.42				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237746.5	1,200.000	17.936	21,583.56				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237746.8	400.000	17.500	7,020.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237746.10	200.000	17.495	3,509.04				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237746.9	500.000	17.499	8,774.70				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237746.11	300.000	17.478	5,258.31				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237746.12	200.000	17.460	3,502.06				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237746.13	900.000	17.331	15,642.90				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237746.6	200.000	17.867	3,583.48				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-03-00	237965	-16,900.000	21.000		353,874.17	-296,355.29	57,518.88			Darden Restaurants Inc
	TOTAL -		0.000		296,355.29	353,874.17	-296,355.29	57,518.88	0	0.00	
CCM	DRI	Darden Restaurants Inc									
PUR	07-25-00	227587	3,300.000	16.958	56,125.74				0	0.00	DARDEN RESTAURANTS INC @ 16.9578 55 980.74 BROKERAGE 165.00 A G EDWARDS & SONS I
PUR	07-25-00	227588	3,600.000	16.958	61,228.08				0	0.00	DARDEN RESTAURANTS INC @ 16.9579 61 048.08 BROKERAGE 180.00 LAZARD FRERES & CO I
PUR	07-26-00	227914	900.000	18.151	16,381.26				0	0.00	DARDEN RESTAURANTS INC @ 18.1514 16 336.26 BROKERAGE 45.00 LAZARD FRERES & CO TR
PUR	07-26-00	227915	400.000	18.177	7,290.96				0	0.00	DARDEN RESTAURANTS INC @ 18.1774 7 270.96 BROKERAGE 20.00 BRIDGE TRADING CO TRAI
PUR	07-27-00	230021	900.000	18.134	16,365.78				0	0.00	Darden Restaurants Inc
PUR	07-27-00	230022	3,000.000	17.981	54,093.00				0	0.00	Darden Restaurants Inc
PUR	07-28-00	230024	900.000	17.723	15,996.42				0	0.00	Darden Restaurants Inc
PUR	08-25-00	231426	1,200.000	17.936	21,583.56				0	0.00	DARDEN RESTAURANTS INC @ 17.9363 21 523.56 BROKERAGE 60.00 FIRST ALBANY CORP TRA
PUR	08-28-00	231436	400.000	17.500	7,020.00				0	0.00	DARDEN RESTAURANTS INC @ 17.50 7 000.00 BROKERAGE 20.00 FIRST ALBANY CORP TRADE
PUR	08-29-00	231701	200.000	17.495	3,509.04				0	0.00	Darden Restaurants Inc @17.495
PUR	08-30-00	231738	500.000	17.499	8,774.70				0	0.00	Darden Restaurants @17.4994
PUR	08-31-00	232067	300.000	17.478	5,258.31				0	0.00	Darden Restaurants Inc @17.477
PUR	09-01-00	234087	200.000	17.460	3,502.06				0	0.00	DARDEN RESTAURANTS INC @ 17.4603 3 492.06 BROKERAGE 10.00 FIRST ALBANY CORP TRAI
PUR	09-05-00	234093	900.000	17.331	15,642.90				0	0.00	DARDEN RESTAURANTS INC @ 17.331 15 597.90 BROKERAGE 45.00 FIRST ALBANY CORP TRAI
PUR	09-06-00	234274	200.000	17.867	3,583.48				0	0.00	DARDEN RESTAURANTS INC @ 17.8674 3 573.48 BROKERAGE 10.00 FIRST ALBANY CORP TRAI
TROUT	10-01-00	237746	-16,900.000	20.813		296,355.29	-296,355.29	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		0.000		296,355.29	296,355.29	-296,355.29	0.00	0	0.00	
F-L	DRTE	Dendrite International Inc									
TRIN	10-01-00	237747.1	500.000	27.173	13,586.65				0	0.00	CCM tfr to Forstmann-Leff

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TRIN	10-01-00	237747.5	1,700.000	21.213	36,062.44				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237747.3	200.000	21.789	4,357.70				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237747.4	600.000	21.548	12,928.68				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237747.6	1,600.000	17.978	28,764.64				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237747.7	700.000	17.290	12,103.07				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237747.2	400.000	24.375	9,750.00				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-06-00	238027	-5,700.000	24.000		136,795 44	-117,553.18	19,242.26			Dendrite International Inc
	TOTAL -		0.000		117,553.18	136,795.44	-117,553.18	19,242.26	0	0.00	
CCM	DRTE	Dendrite International Inc									
SAL	01-19-00	208606	-300.000	31.188		9,355.93	-9,419.08	-63.15			DENDRITE INTERNATIONAL INC @ 31.1875 9 356.25 TAX &/OR SEC .32 GOLDMAN SACHS & C
SAL	01-19-00	208607	-100.000	31.125		3,112 39	-3,139.69	-27.30			DENDRITE INTERNATIONAL INC @ 31.125 3 112.50 TAX &/DR SEC .11 TROSTER SINGER STE
SAL	01-19-00	208608	-500.000	31.617		15,787.82	-15,698.47	89 35			DENDRITE INTERNATIONAL INC @ 31 6167 15 808.35 BROKERAGE 20.00 TAX &/OR SEC .53
PUR	03-16-00	213477	1,700.000	21.213	36,062.44				0	0.00	DENDRITE INTERNATIONAL INC @ 21.2132 CREDIT SUISSE FIRST BOSTON CORP TRADE DATE
PUR	03-17-00	213502	600.000	21.548	12,928.68				0	0.00	DENDRITE INTERNATIONAL INC @ 21.5478 CREDIT SUISSE FIRST BOSTON CORP TRADE DATE
PUR	03-17-00	213503	200.000	21.789	4,357.70				0	0.00	DENDRITE INTERNATIONAL INC @ 21.7885 JEFFERIES & CO INC TRADE DATE 03/17/00
PUR	04-14-00	217535	1,600.000	17.978	28,764.64				0	0.00	DENDRITE INTERNATIONAL INC @ 17.9779 GOLDMAN SACHS & CO TRADE DATE 04/14/00
PUR	04-17-00	217548	700.000	17.290	12,103.07				0	0.00	DENDRITE INTERNATIONAL INC @ 17.2901 GOLDMAN SACHS & CO TRADE DATE 04/17/00
PUR	05-02-00	220479	400.000	24.375	9,750.00				0	0.00	DENDRITE INTERNATIONAL INC @ 24.375 KNIGHT SECURITIES TRADE DATE 05/02/00
SAL	07-12-00	226819	-1,300.000	30.750		39,973.66	-40,599.91	-626.25			DENDRITE INTERNATIONAL INC @ 30.75 39 975.00 TAX &/OR SEC 1.34 BEAR STEARNS & CC
SAL	07-13-00	226831	-400.000	30.750		12,299.59	-12,342.66	-43.07			DENDRITE INTERNATIONAL INC @ 30.75 12 300.00 TAX &/OR SEC .41 BEAR STEARNS & CO
SAL	09-08-00	234317	-700.000	27.250		19,074 36	-21,381.15	-2,306.79			DENDRITE INTERNATIONAL INC @ 27.25 19 075.00 TAX &/OR SEC .64 CANTOR FITZGERALD
SAL	09-11-00	234338	-300.000	25.220		7,565.74	-8,998.32	-1,432.58			DENDRITE INTERNATIONAL INC @ 25.22 7 566.00 TAX &/OR SEC .26 CANTOR FITZGERALD I
SAL	09-12-00	234357	-600.000	23.281		13,968.31	-17,996.64	-4,028.33			DENDRITE INTERNATIONAL INC @ 23.2813 13 968.78 TAX &/OR SEC .47 CANTOR FITZGERAI
SAL	09-12-00	234358	-400.000	24.500		9,799.67	-11,904.45	-2,104.78			DENDRITE INTERNATIONAL INC @ 24.50 9 800.00 TAX &/OR SEC .33 FRIEDMAN BILLINGS &
SAL	09-13-00	234366	-2,100.000	23.327		48,984.85	-58,146.48	-9,161.63			DENDRITE INTERNATIONAL INC @ 23.3269 48 986.49 TAX &/DR SEC 1.64 CANTOR FITZGERA
TROUT	10-01-00	237747	-5,700.000	26.813		117,553.18	-117,553.18	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		-7,200.000		103,966.53	297,475.50	-317,180.03	-19,704.53	0	0.00	
F-L	DVN	Devon Energy Corp									
TRIN	10-01-00	237748.4	2,500.000	56.594	141,610.75				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237748.1	1,400.000	58.949	82,599.02				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237748.2	1,200.000	58.644	70,433.28				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237748.3	1,000.000	57.504	57,554.10				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-03-00	237966	-6,100.000	60.850		370,806.62	-352,197.15	18,609.47			Devon Energy Corp

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		0.000		352,197.15	370,806.62	-352,197.15	18,609 47	0	0.00	
CCM	DVN	Devon Energy Corp									
PUR	05-30-00	222130	2,500.000	56.594	141,610.75				0	0.00	Devon Energy Corp
PUR	05-31-00	222143	1,400.000	58.949	82,599.02				0	0.00	Devon Energy Corp
PUR	06-07-00	223657	1,200.000	58.644	70,433.28				0	0.00	DEVON ENERGY CORP @ 58.6444 70 373.28 BROKERAGE 60.00 FIRST ALBANY CORP TRADE DA
PUR	06-21-00	224893	1,000.000	57.504	57,554.10				0	0.00	DEVON ENERGY CORP @ 57.5041 57 504 10 BROKERAGE 50.00 OPPENHEIMER & CO TRADE DAT
TROUT	10-01-00	237748	-6,100.000	60.150		352,197.15	-352,197.15	0.00			CCM tfr to Foratmann-Leff
	TOTAL -		0.000		352,197.15	352,197.15	-352,197.15	0 00	0	0.00	
CCM	DYN	Dynegy Inc									
CNVFR	02-09-00	212143	-8,700.000	31.000		192,864 94	-192,864 94	0 00			Name change Dynegy-Dynegy Cl-A
	TOTAL -		-8,700.000		0.00	192,864.94	-192,864 94	0.00	0	0 00	
F-L	DYN-A	Dynergy Inc Class A									
TRIN	10-01-00	237749.8	690.000	29.694	10,244 40				0	0.00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237749.9	1,794.000	29.664	26,608.27				0	0.00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237749.7	414.000	30 492	6,311.94				0	0.00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237749.6	1,932.000	31.563	30,489 48				0	0 00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237749.4	1,104.000	32.120	17,730.40				0	0 00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237749.3	690.000	32.500	11,212.50				0	0.00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237749.2	230.000	32.591	3,747.92				0	0.00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237749.5	552.000	31.949	8,817.84				0	0.00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237749.1	1,800.000	78.161	70,389.72				0	0.00	CCM tfr to Foratmann-Leff
SAL	10-03-00	237967	-6,854.000	53.063		363,267.01	-138,146 50	225,120.51			Dynegy Inc
SAL	10-03-00	237967	-2,352.000	53.063		124,657.72	-47,405.97	77,251 75			Dynegy Inc
	TOTAL -		0.000		185,552 47	487,924 73	-185,552 47	302,372.26	0	0.00	
CCM	DYN-A	Dynergy Inc Class A									
CNVTO	02-09-00	212143.11	345.000	29.694	10,244 40				0	0.00	Name change Dynegy-Dynegy Cl-A
CNVTO	02-09-00	212143.12	897.000	29 664	26,608.27				0	0.00	Name change Dynegy-Dynegy Cl-A
CNVTO	02-09-00	212143.10	207.000	30.492	6,311.94				0	0 00	Name change Dynegy-Dynegy Cl-A
CNVTO	02-09-00	212143.9	966.000	31.563	30,489 48				0	0.00	Name change Dynegy-Dynegy Cl-A
CNVTO	02-09-00	212143.7	552.000	32.120	17,730.40				0	0.00	Name change Dynegy-Dynegy Cl-A

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CNVTO	02-09-00	212143.3	621.000	32.862	20,407.50				0	0.00	Name change Dynegy-Dynegy Cl-A
CNVTO	02-09-00	212143.4	207.000	32.862	6,802.50				0	0.00	Name change Dynegy-Dynegy Cl-A
CNVTO	02-09-00	212143.6	345.000	32.500	11,212.50				0	0.00	Name change Dynegy-Dynegy Cl-A
CNVTO	02-09-00	212143.5	414.000	32.591	13,492.50				0	0.00	Name change Dynegy-Dynegy Cl-A
CNVTO	02-09-00	212143.2	1,035.000	34.695	35,909.55				0	0.00	Name change Dynegy-Dynegy Cl-A
CNVTO	02-09-00	212143.1	136.000	35.056	4,838.06				0	0.00	Name change Dynegy-Dynegy Cl-A
CNVTO	02-09-00	212143.8	276.000	31.949	8,817.84				0	0.00	Name change Dynegy-Dynegy Cl-A
PUR	05-02-00	220480	200.000	64.313	12,868.50				0	0.00	DYNEGY INC CL A @ 64.3125 12 862.50 BROKERAGE 6.00 BRIDGE TRADING CO TRADE DATE
SAL	05-30-00	222160	-400.000	73.601		29,419.53	-19,857.66	9,561.87			Dynergy Inc Cl A
SAL	06-26-00	224925	-2,100.000	72.515		152,214.05	-70,713.03	81,501.02			DYNEGY INC CL A @ 72.5153 152 282.13 BROKERAGE 63.00 TAX &/O# SEC 5.08 BRIDGE TF
PUR	07-20-00	227574	900.000	78.161	70,389.72				0	0.00	DYNEGY INC CL A @ 78.1608 70 344.72 BROKERAGE 45.00 LAZARD FRERES & CO TRADE DAT
SPLDV	08-22-00	230820	4,603.000		0.00				0	0.00	DYNEGY INC CL A 2 FOR 1 STOCK DISTRIBUTION
TROUT	10-01-00	237749	-9,206.000	57.000		185,552 47	-185,552.47	0.00			COM tfr to Forstmann-Leff
	TOTAL -		0.000		276,123.16	367,186.05	-276,123.16	91,062.89	0	0.00	
F-L	EAT	Brinker International Inc									
TRIN	10-01-00	237750.6	2,800.000	29.870	83,775.72				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237750.7	900.000	29.449	26,549.10				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237750.5	400.000	30.923	12,389.00				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237750.1	1,600.000	34.506	55,288.96				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237750.3	700.000	33.381	23,401.77				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237750.4	200.000	33.000	6,606.00				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237750.2	1,400.000	33.861	47,475.40				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237750.8	1,100.000	25.744	28,372.85				0	0.00	COM tfr to Forstmann-Leff
SAL	10-04-00	237998	-9,100.000	33.067		300,350.02	-283,858.60	16,491.22			Brinker International Inc
	TOTAL -		0.000		283,858.80	300,350.02	-283,858.60	16,491.22	0	0.00	
COM	EAT	Brinker International Inc									
PUR	04-14-00	217536	2,800.000	29.870	83,775.72				0	0.00	BRINKER INTERNATIONAL INC @ 29.8699 83 635.72 BROKERAGE 140.00 BANCAMERICA ROBEF
PUR	04-17-00	217549	900.000	29.449	26,549.10				0	0.00	BRINKER INTERNATIONAL INC @ 29.449 26 504.10 BROKERAGE 45.00 BANCAMERICA ROBERTS
PUR	04-19-00	217557	400.000	30.923	12,389.00				0	0.00	BRINKER INTERNATIONAL INC @ 30.9225 12 369.00 BROKERAGE 20.00 NATIONSBANC MONTGC
PUR	04-25-00	217572	1,600.000	34.506	55,288.96				0	0.00	BRINKER INTERNATIONAL INC @ 34.5056 55 208.96 BROKERAGE 80.00 BANCAMERICA ROBERT
PUR	04-27-00	218446	700.000	33.381	23,401.77				0	0.00	Brinker International Inc
PUR	05-02-00	220481	200.000	33.000	6,606.00				0	0.00	BRINKER INTERNATIONAL INC @ 33.00 6 600.00 BROKERAGE 6.00 BRIDGE TRADING CO TRAI
PUR	05-10-00	220569	1,400.000	33.861	47,475.40				0	0.00	BRINKER INTERNATIONAL INC @ 33.861 47 405.40 BROKERAGE 70.00 FIRST ALBANY CORP T

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Market Street Truat Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	06-21-00	224894	1,100.000	25.744	28,372 85				0	0.00	BRINKER INTERNATIONAL INC @ 25.7435 28 317.85 BROKERAGE 55.00 DONALDSON LUFKIN &
TROUT	10-01-00	237750	-9,100.000	30.125		283,858 80	-283,858 80	0.00			CCM tfr to Foratmann-Leff
	TOTAL -		0.000		283,858.80	283,858 80	-283,858 80	0.00	0	0.00	
WPS	EL	Estee Lauder Companiea									
PUR	11-21-00	241227	12,000.000	42.500	510,960.00				12,000	510,960.00	ESTEE LAUDER COMPANIES CL A @ 42.50 510 000.00 BROKERAGE 960.00 NEUBERGER & BERM
	TOTAL -		12,000.000		510,960.00	0.00	0.00	0.00	12,000	510,960.00	
F-L	ELY	Callaway Golf Company									
PUR	12-14-00	243040	1,000.000	17.444	17,493.50				1,000	17,493.50	Callaway Golf Co. @17.4435
PUR	12-15-00	243968	3,300.000	17.725	58,657.83				3,300	58,657 83	Callaway Golf Co @17.7251
PUR	12-18-00	247108	2,100.000	18.147	38,214.33				2,100	38,214 33	Callaway Golf Co @18.147
PUR	12-19-00	243975	2,100.000	18.436	38,821.23				2,100	38,821.23	Callaway Golf Co @18 4363
PUR	12-20-00	243976	2,100.000	18.048	37,975.14				2,100	37,975 14	Callaway Golf Co @18.0484
PUR	12-21-00	244736	1,800.000	17.937	32,376.60				1,800	32,376 60	Callaway Golf Co @17 937
PUR	12-27-00	247109	2,700.000	18.009	48,760.65				2,700	48,760.65	Callaway Golf Co @18 009
	TOTAL -		15,100.000		272,299.28	0.00	0.00	0.00	15,100	272,299 28	
OAKASS	EMC	EMC Corp									
PUR	10-04-00	237652	13,500.000	90.375	1,220,737.50				13,500	1,220,737.50	EMC Corp Mass
PUR	12-19-00	243858	1,200.000	68.469	82,222 56				1,200	82,222 56	E M C Corp MASS @68 4688
	TOTAL -		14,700.000		1,302,960.06	0.00	0.00	0 00	14,700	1,302,960.06	
F-L	EMLX	Emulex Corp									
PUR	10-09-00	238065	3,500.000	109.500	383,250.00				7,000	383,250.00	Emulex Corp
SPLDV	12-18-00	243045	3,500.000		0.00				0	0.00	Emulex Corp 2 for 1 aplit
	TOTAL -		7,000.000		383,250.00	0.00	0.00	0.00	7,000	383,250.00	
CCM	EMLX	Emulex Corp									
PUR	01-14-00	208590	800.000	117.738	94,190.00				0	0.00	EMULEX CORP @ 117 7375 DAIN RAUSCHER INCORPORATED TRADE DATE 01/14/00
PUR	01-18-00	208600	400.000	131.033	52,413.16				0	0.00	EMULEX CORP @ 131 0329 DAIN RAUSCHER INCORPORATED TRADE DATE 01/18/00
PUR	01-19-00	208609	100.000	133.820	13,382.03				0	0.00	EMULEX CORP @ 133 8203 DAIN RAUSCHER INCORPORATED TRADE DATE 01/19/00

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	01-20-00	208625	100.000	133.678	13,367.82				0	0.00	EMULEX CORP @ 133.6762 TROSTER SINGER STEVENS ROTHCHILD TRADE DATE 01/20/00
PUR	01-26-00	208664	200.000	125.249	25,049.74				0	0.00	EMULEX CORP @ 125.2487 MORGAN KEEGAN & CO INC TRADE DATE 01/26/00
PUR	02-02-00	211950	100.000	106.375	10,637.50				0	0.00	EMULEX CORP @ 106.375 DAIN RAUSCHER INCORPORATED TRADE DATE 02/02/00
PUR	02-03-00	211955	400.000	113.201	45,260.48				0	0.00	EMULEX CORP @ 113.2012 DAIN RAUSCHER INCORPORATED TRADE DATE 02/03/00
SAL	03-15-00	213456	-300.000	165.437		49,629.36	-39,853.14	9,776.24			EMULEX CORP @ 165.4360 49 631.04 TAX &/OR SEC 1.66 CHARLES SCHWAB & CO TRADE DAT
SAL	03-16-00	213478	-100.000	149.961		14,997.61	-13,103.29	1,894.32			EMULEX CORP @ 149.9811 14 998.11 TAX &/OR SEC .50 NEEDHAM & CO TRADE DATE 03/16/
SAL	04-07-00	217479	-200.000	112.269		22,453.09	-26,206.58	-3,753.49			EMULEX CORP @ 112.2692 22 453.84 TAX &/OR SEC .75 BANCAMERICA ROBERTSON STEPHENS
SAL	04-10-00	217482	-200.000	101.643		20,327.90	-25,049.74	-4,721.84			EMULEX CORP @ 101.6429 20 328.56 TAX &/OR SEC .68 BANCAMERICA ROBERTSON STEPHENS
SAL	04-11-00	217484	-100.000	97.490		9,746.67	-11,773.75	-2,025.08			EMULEX CORP @ 97.49 9 749.00 TAX &/OR SEC .33 CHARLES SCHWAB & CO TRADE DATE 04/
SAL	04-14-00	217537	-1,200.000	46.371		55,643.46	-136,334.23	-82,690.77			EMULEX CORP @ 46.3711 55 645.32 TAX &/OR SEC 1.86 TROSTER SINGER STEVENS ROTHCHI
	TOTAL -		0.000		254,320.73	172,800.11	-254,320.73	-81,520.62	0	0.00	
CCM	EMN	Eastman Chemical Company									
PUR	04-11-00	217485	2,400.000	48.698	116,995.44				0	0.00	EASTMAN CHEMICAL CO @ 48.6981 116 875.44 BROKERAGE 120.00 MERRILL LYNCH PIERCE F
PUR	04-12-00	217516	2,000.000	48.942	97,983.20				0	0.00	EASTMAN CHEMICAL CO @ 48.9416 97 883.20 BROKERAGE 100.00 MERRILL LYNCH PIERCE FE
PUR	04-28-00	218452	900.000	51.541	46,432.17				0	0.00	Eastman Chemical Co.
PUR	05-02-00	220482	200.000	52.166	10,443.50				0	0.00	EASTMAN CHEMICAL CO @ 52.1875 10 437.50 BROKERAGE 6.00 BRIDGE TRADING CO TRADE D
SAL	05-30-00	222161	-800.000	48.538		38,789.10	-41,398.28	-2,609.18			Eastman Chemical Co.
SAL	05-31-00	222171	-1,900.000	45.923		87,156.17	-93,863.95	-6,707.78			Eastman Chemical Co
SAL	06-01-00	223606	-300.000	45.423		13,611.56	-14,697.48	-1,085.92			EASTMAN CHEMICAL CO @ 45.4234 13 627.02 BROKERAGE 15.00 TAX &/OR SEC .46 MERRILL
SAL	06-05-00	223642	-2,300.000	46.013		105,711.60	-112,144.98	-6,433.38			EASTMAN CHEMICAL CO @ 46.0131 105 630.13 BROKERAGE 115.00 TAX &/OR SEC 3.53 MERR
SAL	06-06-00	223648	-200.000	46.125		9,214.69	-9,749.62	-534.93			EASTMAN CHEMICAL CO @ 46.125 9 225.00 BROKERAGE 10.00 TAX &/OR SEC .31 MERRILL I
	TOTAL -		0.000		271,854.31	254,483.12	-271,854.31	-17,371.19	0	0.00	
CCM	ENTU	Entrust Technologies Inc									
PUR	01-26-00	208665	600.000	47.247	28,348.14				0	0.00	ENTRUST TECHNOLOGIES INC @ 47.2469 THOMAS WEISEL PARTNERS LLC TRADE DATE 01/26/0
SAL	03-16-00	213479	-500.000	102.376		51,186.39	-23,623.45	27,562.94			ENTRUST TECHNOLOGIES INC @ 102.3762 51 188.10 TAX &/OR SEC 1.71 BANCAMERICA ROBE
PUR	04-05-00	217461	400.000	63.220	25,288.16				0	0.00	ENTRUST TECHNOLOGIES INC @ 63.2204 U S CLEARING CORP TRADE DATE 04/05/00
SAL	04-17-00	217550	-900.000	46.083		41,473.58	-47,037.85	-5,564.27			ENTRUST TECHNOLOGIES INC @ 46.0833 41 474.97 TAX &/OR SEC 1.39 BEAR STEARNS & CO
SAL	04-18-00	217556	-700.000	50.749		35,523.18	-26,741.43	8,781.75			ENTRUST TECHNOLOGIES INC @ 50.7491 35 524.37 TAX &/OR SEC 1.19 BEAR STEARNS & CO
SAL	04-19-00	217558	-2,300.000	46.605		107,188.61	-81,226.18	25,962.43			ENTRUST TECHNOLOGIES INC @ 46.6053 107 192.19 TAX &/OR SEC 3.58 GOLDMAN SACHS &
	TOTAL -		-3,400.000		53,636.30	235,371.76	-178,628.91	56,742.85	0	0.00	
F-L	EOGRI	EOG Resources Inc									

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TRIN	10-01-00	237752.4	1,800.000	24 536	44,255.70				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237752.3	2,700.000	25.774	69,723.72				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237752.2	4,000.000	26 843	107,570.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237752.1	500.000	31.813	15,931.30				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-05-00	238013	-9,000.000	36.875		331,323.93	-237,480.72	93,843.21			EOG Resources Inc
	TOTAL -		0.000		237,480.72	331,323.93	-237,480.72	93,843.21	0	0.00	
CCM	EOGRI	EOG Resources Inc									
PUR	04-28-00	218451	1,800.000	24.536	44.255.70				0	0.00	EOG Resources Inc
PUR	05-01-00	220447	2,700.000	25.774	69,723.72				0	0.00	EOG RESOURCES INC @ 25.7736 69 566.72 BROKERAGE 135.00 COWEN & CO TRADE DATE 05/
PUR	05-02-00	220483	4,000.000	26.843	107,570.00				0	0.00	EOG RESOURCES INC @ 26.6425 107 370.00 BROKERAGE 200.00 J P MORGAN SECURITIES (E
PUR	06-07-00	223658	1,800.000	31.813	57,352.68				0	0.00	EOG RESOURCES INC @ 31.8126 57 262.68 BROKERAGE 90.00 BEAR STEARNS & CO INC TRAI
SAL	09-20-00	234861	-1,300.000	39.693		51,533.65	-41,421.38	10,112 27			EOG RESOURCES INC @ 39.6926 51 600.38 BROKERAGE 65.00 TAX &/OR SEC 1.73 WEEDEN &
TROUT	10-01-00	237752	-9,000.000	38.875		237,480.72	-237,460 72	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		0.000		276,902.10	289,014 37	-276,902.10	10,112.27	0	0.00	
F-L	EOP	Equity Office Properties Trust									
TRIN	10-01-00	237753.3	2,300.000	28.715	66,159.96				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237753.1	6,400.000	28.999	185,910.40				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237753.2	100.000	28.875	2,892.50				0	0 00	CCM tfr to Forstmann-Leff
SAL	10-03-00	237992	-8,800.000	30.909		271,463.01	-254,962 86	16,500 15			Equity Office Properties Trust
	TOTAL -		0.000		254,962.86	271,463 01	-254,962 66	16,500.15	0	0.00	
CCM	EOP	Equity Office Properties Trust									
PUR	07-07-00	226801	2,300.000	28.715	66,159.96				0	0.00	EQUITY OFFICE PROPERTIES TRUST @ 28.7152 66 044 96 BROKERAGE 115 00 GOLDMAN SAC
PUR	07-10-00	226806	7,600.000	28.999	220,768.60				0	0.00	EQUITY OFFICE PROPERTIES TRUST @ 28.9985 220 388 60 BROKERAGE 380.00 GOLDMAN SAC
PUR	07-20-00	227575	100.000	28.875	2,892.50				0	0 00	EQUITY OFFICE PROPERTIES TRUST @ 28 875 2 887.50 BROKERAGE 5.00 FIRST UNION CAP
SAL	08-31-00	232076	-300.000	29.063		8,703.45	-8,714.55	-11.10			Equity Office Properties Trust
SAL	08-31-00	232078	-700.000	29 188		20,416.56	-20,333.95	82 61			Equity Office Properties Trust
SAL	09-01-00	234088	-200.000	29.046		5,798 98	-5,809 70	-10 72			EQUITY OFFICE PROPERTIES TRUST @ 29 0459 5 809 18 BROKERAGE 10.00 TAX &/OR SEC
TROUT	10-01-00	237753	-8,800.000	31.063		254,962 86	-254.962.86	0 00			CCM tfr to Forstmann-Leff
	TOTAL -		0.000		289,821.06	269,881 85	-289,821.06	60 79	0	0.00	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
F-L	EQR	Equity Residential PPT									
TRIN	10-01-00	237754	900.000	47.369	42,677.28				0	0.00	COM tfr to Forstmann-Leff
SAL	10-03-00	237960	-900.000	46.938		42,188.34	-42,677.28	-488.94			Equity Residential Prop Trust
	TOTAL -		0.000		42,677.28	42,188.34	-42,677.28	-488.94	0	0.00	
COM	EQR	Equity Residential PPT									
PUR	09-26-00	235394	900.000	47.369	42,677.28				0	0.00	EQUITY RESIDENTIAL PROPERTIES TRUST S/B/I @ 47.3692 42 632.28 BROKERAGE 45.00 LE
TROUT	10-01-00	237754	-900.000	48.000		42,677.28	-42,677.28	0.00			COM tfr to Forstmann-Leff
	TOTAL -		0.000		42,677.28	42,677.28	-42,677.28	0.00	0	0.00	
F-L	ESV	Ensco International Inc									
TRIN	10-01-00	237755.2	1,600.000	26.637	42,699.68				1,600	55,300.08	COM tfr to Forstmann-Leff
TRIN	10-01-00	237755.3	2,000.000	26.594	53,287.20				2,000	69,125.11	COM tfr to Forstmann-Leff
TRIN	10-01-00	237755.1	1,400.000	27.188	38,132.50				1,400	48,387.57	COM tfr to Forstmann-Leff
TRIN	10-01-00	237755.4	1,100.000	26.538	29,247.13				1,100	38,018.81	COM tfr to Forstmann-Leff
TRIN	10-01-00	237755.5	600.000	25.741	15,474.30				600	20,737.53	COM tfr to Forstmann-Leff
PUR	10-10-00	238074	13,400.000	38.438	515,866.50				13,400	463,138.21	Ensco International
	TOTAL -		20,100.000		694,707.31	0.00	0.00	0.00	20,100	694,707.31	
COM	ESV	Ensco International Inc									
PUR	01-19-00	208610	1,600.000	26.637	42,699.68				0	0.00	ENSCO INTERNATIONAL INC @ 26.6373 42 619.68 BROKERAGE 80.00 PRUDENTIAL SECURITIE
PUR	01-20-00	208626	2,000.000	26.594	53,207.20				0	0.00	ENSCO INTERNATIONAL INC @ 26.5936 53 187.20 BROKERAGE 100.00 PRUDENTIAL SECURITI
PUR	01-21-00	208637	2,600.000	27.188	70,817.50				0	0.00	ENSCO INTERNATIONAL INC @ 27.1875 70 687.50 BROKERAGE 130.00 NATWEST SECURITIES
PUR	01-24-00	208647	1,100.000	26.538	29,247.13				0	0.00	ENSCO INTERNATIONAL INC @ 26.5383 29 192.13 BROKERAGE 55.00 NATWEST SECURITIES C
PUR	01-26-00	208666	600.000	25.741	15,474.30				0	0.00	ENSCO INTERNATIONAL INC @ 25.7405 15 444.30 BROKERAGE 30.00 NATWEST SECURITIES C
PUR	03-15-00	213457	1,400.000	29.753	41,724.20				0	0.00	ENSCO INTERNATIONAL INC @ 29.753 41 654.20 BROKERAGE 70.00 DAIN RAUSCHER INCORPC
SAL	04-27-00	218464	-700.000	33.051		23,100.06	-20,862.10	2,237.96			Ensco International Inc
SAL	04-28-00	218469	-1,100.000	33.144		36,402.18	-31,757.10	4,645.08			Ensco International Inc
PUR	05-02-00	220484	300.000	34.625	10,396.50				0	0.00	ENSCO INTERNATIONAL INC @ 34.625 10 387.50 BROKERAGE 9.00 BRIDGE TRADING CO TRAI
SAL	06-02-00	223621	-1,000.000	32.232		32,181.12	-29,462.75	2,718.37			ENSCO INTERNATIONAL INC @ 32.2322 32 232.20 BROKERAGE 50.00 TAX &/OR SEC 1.08 FI
PUR	07-12-00	226820	1,200.000	36.232	43,537.92				0	0.00	ENSCO INTERNATIONAL INC @ 36.2316 43 477.92 BROKERAGE 60.00 US CLEARING INSTITUT
SAL	09-21-00	235010	-1,300.000	36.411		47,267.72	-46,261.67	1,006.05			ENSCO INTERNATIONAL INC @ 36.411 47 334.30 BROKERAGE 65.00 TAX &/OR SEC 1.50 FIF
TROUT	10-01-00	237755	-6,700.000	38.250		178,840.81	-178,840.81	0.00			COM tfr to Forstmann-Leff

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		0.000		307,184 43	317,791.89	-307,184.43	10,607.46	0	0.00	
CCM	ETEK	E-TEK Dynamics Inc									
PUR	01-12-00	208569	100.000	124.156	12,415.63				0	0.00	E-TEK DYNAMICS INC @ 124.1563 SOUNDVIEW FINANCIAL GROUP TRADE DATE 01/12/00
PUR	01-13-00	208571	200.000	130.021	26,004.16				0	0.00	E-TEK DYNAMICS INC @ 130.0208 SOUNDVIEW FINANCIAL GROUP TRADE DATE 01/13/00
PUR	01-14-00	208591	400.000	134.550	53,820.00				0	0.00	E-TEK DYNAMICS INC @ 134.55 SOUNDVIEW FINANCIAL GROUP TRADE DATE 01/14/00
SAL	03-23-00	213576	-100.000	244.742		24,473.39	-13,455.00	11,018.39			E-TEK DYNAMICS INC @ 244.7421 24 474.21 TAX &/OR SEC .82 JONES & ASSOCIATES TRAI
SAL	03-24-00	213587	-100.000	257.278		25,726.92	-13,455.00	12,271.92			E-TEK DYNAMICS INC @ 257.2778 25 727.78 TAX &/OR SEC 86 JEFFERIES & CO INC TRAI
SAL	04-25-00	217573	-100.000	155.543		15,553.82	-13,455.00	2,098 82			E-TEK DYNAMICS INC @ 155.5434 15 554.34 TAX &/OR SEC .52 JONES & ASSOCIATES TRAI
SAL	05-24-00	221507	-400.000	167.595		67,035 80	-51,874.79	15,161.01			E-TEK DYNAMICS INC @ 167.5951 67 038.04 TAX &/OR SEC 2 24 NATIONSBANC MONTGOMER
	TOTAL -		0.000		92,239.79	132,789.93	-92,239.79	40,550.14	0	0.00	
F-L	ETR	Entergy Corp									
TRIN	10-01-00	237756.5	2,000.000	28.000	56,100.00				2,000	57,834 26	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237756.6	1,900.000	27.817	52,946.73				1,900	54,942.54	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237756.4	900.000	28.366	25,574.85				900	26,025.42	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237756.3	3,000.000	29.306	88,069.20				3,000	86,751.39	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237756.2	1,500.000	29.708	44,636.55				1,500	43,375.69	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237756.1	1,200.000	30.202	36,302.52				1,200	34,700.55	CCM tfr to Forstmann-Leff
	TOTAL -		10,500.000		303,629.85	0.00	0.00	0.00	10,500	303,629.85	
CCM	ETR	Entergy Corp									
PUR	05-24-00	221501	2,000.000	28.000	56,100.00				0	0.00	ENTERGY CORP @ 28.00 56 000.00 BROKERAGE 100.00 WEEDEN & CO TRADE DATE 05/24/00
PUR	05-25-00	221475	1,900.000	27.817	52,946.73				0	0.00	ENTERGY CORP @ 27.8167 52 851.73 BROKERAGE 95.00 WEEDEN & CO TRADE DATE 05/25/0(
PUR	05-30-00	222133	900.000	28.366	25,574.85				0	0.00	Entergy Corp
PUR	05-31-00	222145	3,000.000	29.306	88,069.20				0	0.00	Entergy Corp
PUR	06-07-00	223659	1,500.000	29.708	44,636.55				0	0.00	ENTERGY CORP @ 29.7077 44 561.55 BROKERAGE 75.00 WEEDEN & CO TRADE DATE 06/07/0(
PUR	06-21-00	224895	1,200.000	30.202	36,302.52				0	0.00	ENTERGY CORP @ 30.2021 36 242.52 BROKERAGE 60.00 OPPENHEIMER & CO TRADE DATE 06/
TROUT	10-01-00	237756	-10,500.000	37.250		303,629.85	-303,629.85	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		0.000		303,629.85	303,629.85	-303,629.85	0.00	0	0.00	
CCM	FBN	Furniture Brands Intl									
SAL	01-27-00	209802	-700.000	17.085		11,924 31	-16,103 89	-4,179.58			Furniture Brands Int'l Inc
SAL	01-28-00	209806	-300.000	17.001		5,085.22	-6,653.13	-1,567.91			Furniture Brands Int'l Inc

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	01-31-00	209810	-500.000	17.096		8,522.71	-10,879.71	-2,357.00			Furniture Brands Int'l Inc
SAL	02-01-00	211942	-1,400.000	17.039		23,783.94	-30,317.00	-6,533.06			FURNITURE BRANDS INTERNATIONAL INC @ 17.0391 23 854.74 BROKERAGE 70.00 TAX &/OR
SAL	02-14-00	211971	-100.000	15.500		1,544.94	-2,165.50	-620.56			FURNITURE BRANDS INTERNATIONAL INC @ 15.50 1 550.00 BROKERAGE 5.00 TAX &/OR SEC
SAL	02-16-00	211988	-400.000	15.625		6,229.79	-8,662.00	-2,432.21			FURNITURE BRANDS INTERNATIONAL INC @ 15.625 6 250.00 BROKERAGE 20.00 TAX &/OR SE
SAL	02-17-00	212020	-800.000	15.750		12,559.58	-17,324.00	-4,764.42			FURNITURE BRANDS INTERNATIONAL INC @ 15.75 12 600.00 BROKERAGE 40.00 TAX &/OR SE
SAL	02-18-00	212031	-800.000	15.500		12,359.56	-17,185.32	-4,825.74			FURNITURE BRANDS INTERNATIONAL INC @ 15.50 12 400.00 BROKERAGE 40.00 TAX &/OR SE
SAL	02-22-00	212043	-3,500.000	15.378		53,645.85	-69,359.09	-15,713.24			FURNITURE BRANDS INTERNATIONAL INC @ 15.3779 53 822.65 BROKERAGE 175.00 TAX &/OR
	TOTAL -		-8,500.000		0.00	135,655.92	-178,649.64	-42,993.72	0	0.00	
WPS	FDC	First Data									
PUR	01-28-00	209772	21,000.000	46.804	1,026,560.60				0	0.00	First Data Corp @ 48.80480
PUR	01-31-00	209773	11,000.000	48.991	539,785.40				0	0.00	First Data Corp @ 48.991
PUR	02-22-00	211471	10,300.000	44.804	462,301.08				10,300	462,301.08	FIRST DATA CORP @ 44.8036 461 477.06 BROKERAGE 824.00 NEUBERGER & BERMAN TRADE D
PUR	02-24-00	211472	9,700.000	44.111	428,647.85				9,700	428,647.85	FIRST DATA CORP @ 44.1105 427 871.85 BROKERAGE 776.00 NEUBERGER & BERMAN TRADE D
PUR	03-14-00	213629	8,000.000	40.789	326,950.40				8,000	326,950.40	FIRST DATA CORP @ 40.7868 326 310.40 BROKERAGE 640.00 NEUBERGER & BERMAN TRADE D
PUR	03-15-00	213631	13,000.000	40.946	533,340.60				13,000	533,340.60	FIRST DATA CORP @ 40.9462 533 080.60 BROKERAGE 260.00 NEUBERGER & BERMAN TRADE D
PUR	04-18-00	217414	11,000.000	45.421	500,513.20				75	3,412.59	First Data Corp @ 45.4212
PUR	04-19-00	217415	3,500.000	47.528	166,626.95				0	0.00	First Data Corp @ 47.5277
PUR	07-11-00	226737	6,800.000	49.731	338,715.48				0	0.00	FIRST DATA CORP @ 49.7311 338 171.48 BROKERAGE 544.00 NEUBERGER & BERMAN TRADE D
PUR	07-12-00	226740	1,400.000	48.636	68,201.70				0	0.00	FIRST DATA CORP @ 48.6355 68 089.70 BROKERAGE 112.00 NEUBERGER & BERMAN TRADE DA
PUR	07-13-00	226741	5,300.000	49.106	260,683.15				0	0.00	FIRST DATA CORP @ 49.1055 260 259.15 BROKERAGE 424.00 NEUBERGER & BERMAN TRADE D
PUR	07-14-00	226743	2,450.000	48.210	118,309.28				0	0.00	FIRST DATA CORP @ 48.2095 118 113.28 BROKERAGE 196.00 NEUBERGER & BERMAN TRADE D
SAL	09-26-00	235329	-23,200.000	39.870		923,106.44	-1,144,072.51	-220,966.07			First Data Corp
SAL	11-21-00	240887	-17,875.000	48.966		873,615.22	-873,815.80	-0.58			FIRST DATA CORP @ 48.9664 875 274.40 BROKERAGE 1 430.00 TAX &/OR SEC 29.18 NEUBE
SAL	11-22-00	240900	-10,375.000	48.052		497,687.69	-501,014.45	-3,326.76			First Data Corp @48.0515
SAL	11-22-00	240901	-10,925.000	48.052		524,071 14	-497,100.61	26,970.53			First Data Corp @48.0515
	TOTAL -		41,075.000		4,770,655.89	2,818,680.49	-3,016,003.37	-197,322.88	41,075	1,754,652.52	
COM	FDRY	Foundry Networks Inc									
PUR	07-13-00	226832	100.000	122.000	12,200.00				0	0.00	FOUNDRY NETWORKS INC @ 122.00 BANCAMERICA ROBERTSON STEPHENS TRADE DATE 07/13/0
PUR	07-13-00	226833	400.000	120.633	48,253.24				0	0.00	FOUNDRY NETWORKS INC @ 120.6331 COWEN & CO TRADE DATE 07/13/00
PUR	07-13-00	226834	500.000	120.614	60,327.15				0	0.00	FOUNDRY NETWORKS INC @ 120.6143 60 307.15 BROKERAGE 20.00 INSTINET TRADE DATE 07
PUR	07-19-00	227566	200.000	100.840	20,175.96				0	0.00	FOUNDRY NETWORKS INC @ 100.8398 20 167.96 BROKERAGE 8.00 INSTINET TRADE DATE 07/
PUR	07-31-00	230027	300.000	76.932	23,079.87				0	0.00	Foundry Networks Inc
PUR	08-08-00	230774	400.000	88.000	35,200.00				0	0.00	FOUNDRY NETWORKS INC @ 88.00 LEWCO SEC/AGT HAMBRECHT & QUIST TRADE DATE 08/08/0

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ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	08-09-00	230786	300.000	86.733	26,019.96				0	0.00	FOUNDRY NETWORKS INC @ 86.7332 HAMBRECHT & QUIST TRADE DATE 08/09/00
SAL	08-17-00	230811	-700.000	87.349		61,142.05	-84,590.46	-23,448 41			FOUNDRY NETWORKS INC @ 87.3487 61 144.09 TAX &/OR SEC 2.04 COWEN & CO TRADE DATE
SAL	09-12-00	234359	-800.000	76.182		60,943 48	-82,765.89	-21,822 41			FOUNDRY NETWORKS INC @ 76.1819 60 945.52 TAX &/OR SEC 2.04 BEAR STEARNS & CO INC
SAL	09-13-00	234367	-700.000	73.516		51,459.20	-57,899.83	-6,440.63			FOUNDRY NETWORKS INC @ 73.5156 51 460.92 TAX &/OR SEC 1.72 BEAR STEARNS & CO INC
	TOTAL -		0.000		225,256.18	173,544 73	-225,256.18	-51,711 45	0	0.00	
OAKASS FDRY		Foundry Networks Inc									
PUR	10-04-00	237660	20,000.000	58.563	1,171,250.00				20,000	1,171,250.00	Foundry Networks Inc
	TOTAL -		20,000.000		1,171,250.00	0.00	0.00	0.00	20,000	1,171,250.00	
F-L	FII	Federated Investors Inc Cl B									
TRIN	10-01-00	237757.15	1,200.000	23.000	18,440.00				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237757.16	150.000	22.908	2,295.83				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237757.14	150.000	23.063	2,311.25				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237757.11	1,800.000	23.597	28,376.40				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237757.13	1,200.000	23.078	18,502.72				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237757.17	600.000	22.356	8,962.64				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237757.12	2,100.000	23.500	32,970.00				0	0 00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237757.8	1,500.000	25.627	25,677.30				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237757.7	150.000	25.719	2,573.88				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237757.9	150.000	25.608	2,563.75				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237757.10	300.000	25.378	5,085.64				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237757.6	900.000	26.879	16,157.58				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237757.5	300.000	27.063	5,422.50				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237757.4	1,350.000	28.443	25,643.34				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237757.3	450.000	30.000	9,009.00				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237757.2	1,350.000	31.877	28,734.30				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237757.1	750.000	39.990	20,019.80				0	0.00	COM tfr to Forstmann-Leff
SAL	10-03-00	237969	-14,400.000	26.000		373,523 52	-252,745.93	120,777.59			Federated Investors Inc
	TOTAL -		0.000		252,745.93	373,523 52	-252,745.93	120,777 59	0	0.00	
CCM	FII	Federated Investors Inc Cl B									
PUR	02-17-00	212021	800.000	23.000	18,440.00				0	0.00	FEDERATED INVESTORS INC CL B @ 23.00 18 400.00 BROKERAGE 40.00 WEEDEN & CO TRADE
PUR	02-18-00	212032	100.000	22.908	2,295.83				0	0 00	FEDERATED INVESTORS INC CL B @ 22 9083 2 290 83 BROKERAGE 5.00 WEEDEN & CO TRADE

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	02-22-00	212044	100.000	23.063	2,311.25				0	0.00	FEDERATED INVESTORS INC CL B @ 23.0625 2 306.25 BROKERAGE 5.00 WEEDEN & CO TRADE
PUR	02-23-00	212051	1,200.000	23.597	28,376.40				0	0.00	FEDERATED INVESTORS INC CL B @ 23.597 28 316.40 BROKERAGE 60.00 WEEDEN & CO TRAI
PUR	02-24-00	212058	800.000	23.078	18,502.72				0	0.00	FEDERATED INVESTORS INC CL B @ 23.0784 18 462.72 BROKERAGE 40.00 WEEDEN & CO TRA
PUR	02-28-00	212154	400.000	22.356	8,962.64				0	0.00	Federated Investors Inc CL B
PUR	03-01-00	213357	1,400.000	23.500	32,970.00				0	0.00	FEDERATED INVESTORS INC CL B @ 23.50 32 900.00 BROKERAGE 70.00 WEEDEN & CO TRADE
PUR	03-24-00	213588	1,000.000	25.627	25,677.30				0	0.00	FEDERATED INVESTORS INC CL B @ 25.6273 25 627.30 BROKERAGE 50.00 MERRILL LYNCH I
PUR	03-27-00	213599	100.000	25.608	2,563.75				0	0.00	FEDERATED INVESTORS INC CL B @ 25.6075 2 560.75 BROKERAGE 3.00 BRIDGE TRADING CO
PUR	03-27-00	213600	100.000	25.719	2,573.88				0	0.00	FEDERATED INVESTORS INC CL B @ 25.7188 2 571.88 BROKERAGE 2.00 INVESTMENT TECHNO
PUR	03-28-00	214673	200.000	25.378	5,085.64				0	0.00	FEDERATED INVESTORS INC CL B @ 25.3782 5 075.64 BROKERAGE 10.00 MERRILL LYNCH PF
PUR	03-31-00	215898	600.000	26.879	16,157.58				0	0.00	Federated Investors Inc
PUR	04-04-00	217444	200.000	27.063	5,422.50				0	0.00	FEDERATED INVESTORS INC CL B @ 27.0625 5 412.50 BROKERAGE 10.00 MERRILL LYNCH PF
PUR	04-10-00	217483	900.000	28.443	25,643.34				0	0.00	FEDERATED INVESTORS INC CL B @ 28.4426 25 598.34 BROKERAGE 45.00 MERRILL LYNCH I
PUR	05-02-00	220485	300.000	30.000	9,009.00				0	0.00	FEDERATED INVESTORS INC CL B @ 30.00 9 000.00 BROKERAGE 9.00 BRIDGE TRADING CO 7
PUR	06-02-00	223622	900.000	31.877	28,734.30				0	0.00	FEDERATED INVESTORS INC CL B @ 31.877 28 689.30 BROKERAGE 45.00 MERRILL LYNCH PF
PUR	07-14-00	226845	500.000	39.990	20,019.80				0	0.00	FEDERATED INVESTORS INC CL B @ 39.9896 19 994.80 BROKERAGE 25.00 WEEDEN & CO TRA
SPLDV	07-17-00	230040	250.000		0.00				0	0.00	Federated Investors 3 for 2
SPLDV	07-18-00	226862	4,550.000		0.00				0	0.00	FEDERATED INVESTORS INC CL B 3 FOR 2 STOCK DISTRIBUTION
TROUT	10-01-00	237757	-14,400.000	24.750		252,745.93	-252,745.93	0.00			COM tfr to Forstmann-Leff
	TOTAL -		0.000		252,745.93	252,745.93	-252,745.93	0.00	0	0.00	
F-L	FLC	R & B Falcon Corp									
PUR	10-05-00	238055	14,700.000	26.375	388,594.50				14,700	388,594.50	R & B Falcon Corp
	TOTAL -		14,700.000		388,594.50	0.00	0.00	0.00	14,700	388,594.50	
F-L	FNSR	Finisar Corp									
TRIN	10-01-00	237758.2	1,700.000	43.766	74,402.37				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237758.1	2,100.000	43.948	92,291.64				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237758.3	1,100.000	43.265	47,591.50				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237758.4	700.000	42.466	29,754.13				0	0.00	COM tfr to Forstmann-Leff
SAL	10-04-00	238003	-5,600.000	42.688		239,042.03	-244,039.64	-4,997.61			Finisar Corp
	TOTAL -		0.000		244,039.64	239,042.03	-244,039.64	-4,997.61	0	0.00	
COM	FNSR	Finisar Corp									
PUR	09-20-00	234862	1,700.000	43.766	74,402.37				0	0.00	FINISAR CORP @ 43.7661 BEAR STEARNS & CO INC TRADE DATE 09/20/00

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Market Street Trust Company
PURCHASE / SALE DETAIL NISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	09-21-00	235011	2,100.000	43.948	92,291.64				0	0.00	FINISAR CORP @ 43.9484 BEAR STEARNS & CO INC TRADE DATE 09/21/00
PUR	09-22-00	235122	1,100.000	43 265	47,591.50				0	0.00	FINISAR CORP @ 43.265 BEAR STEARNS & CO INC TRADE DATE 09/22/00
PUR	09-22-00	235123	700.000	42.466	29,754.13				0	0 00	FINISAR CORP @ 42 4659 29 726.13 BROKERAGE 28.00 INSTINET TRADE DATE 09/22/00
TROUT	10-01-00	237758	-5,600.000	48.375		244,039.64	-244,039.64	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		0.000		244,039.64	244,039.64	-244,039.64	0.00	0	0.00	
F-L	FPC	Florida Progress Corp									
TRIN	10-01-00	237759.5	300.000	40.530	12,159.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237759 6	100.000	0.000	4,015.96				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237759.7	1,200.000	37.645	45,174.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237759.8	400.000	37.175	14,870.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237759.4	600.000	42.062	25,267.50				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237759.2	1,200.000	49.929	59,975.28				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237759.3	900.000	49.929	44,981.46				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237759.1	1,000.000	52.080	52,130.30				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-06-00	238020	-2,000.000	53.000		105,876 47	-90,727 55	15,148 92			Florida Progress Corp
SAL	10-06-00	238020	-3,700.000	53.000		195,871 46	-167,845 95	28,025.51			Florida Progress Corp
	TOTAL -		0.000		258,573.50	301,747.93	-258,573.50	43,174 43	0	0.00	
CCM	FPC	Florida Progress Corp									
PUR	01-31-00	209800	600.000	42 062	25,267.50				0	0.00	Florida Progress Corp
PUR	02-01-00	211943	400.000	42.371	16,968.40				0	0.00	FLORIDA PROGRESS CORP @ 42 371 16 948 40 BROKERAGE 20.00 FIDELITY CAPITAL MARKET
PUR	02-02-00	211951	1,100.000	43.875	48,317.50				0	0.00	FLORIDA PROGRESS CORP @ 43 875 48 262 50 BROKERAGE 55.00 OPPENHEIMER & CO TRADE
PUR	02-03-00	211956	300.000	44.578	13,388.37				0	0.00	FLORIDA PROGRESS CORP @ 44 5779 13 373.37 BROKERAGE 15.00 FIDELITY CAPITAL MARKE
PUR	03-24-00	213589	1,000.000	46.087	46,137.00				0	0.00	FLORIDA PROGRESS CORP @ 46.087 46 087.00 BROKERAGE 50.00 BEAR STEARNS SECURITIES
PUR	05-02-00	220486	200.000	47.563	9,518.50				0	0.00	FLORIDA PROGRESS CORP @ 47.5625 9 512.50 BROKERAGE 6.00 BRIDGE TRADING CO TRADE
SAL	07-12-00	226821	-2,000.000	48.393		96,681.97	-91,006.37	5,675 60			FLORIDA PROGRESS CORP @ 48.3926 96 785.20 BROKERAGE 100.00 TAX &/OR SEC 3.23 MCI
SAL	07-13-00	226835	-1,000.000	47.766		47,714 30	-43,323.40	4,390.90			FLORIDA PROGRESS CORP @ 47.7659 47 765.90 BROKERAGE 50.00 TAX &/OR SEC 1.60 MCDX
PUR	08-01-00	230734	1,200.000	49.929	59,975.28				0	0.00	FLORIDA PROGRESS CORP @ 49.9294 59 915.28 BROKERAGE 60.00 LAZARD FRERES & CO TRA
PUR	08-01-00	230735	900.000	49.929	44,981.46				0	0.00	FLORIDA PROGRESS CORP @ 49.9294 44 936 46 BROKERAGE 45.00 MOORS & CABOT INC./IPC
PUR	09-25-00	235302	1,000.000	52.080	52,130.30				0	0.00	FLORIDA PROGRESS CORP @ 52.0803 52 080.30 BROKERAGE 50.00 ABN AMRO CHICAGO CORPC
TROUT	10-01-00	237759	-5,700.000	52.938		258,573.50	-258,573.50	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		-2,000.000		316,684 31	402,969 77	-392,903.27	10,066.50	0	0.00	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
F-L	FRX	Forest Laboratories Inc									
TRIN	10-01-00	237760.6	1,300.000	52.048	67,726.88				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237760.5	100.000	53.188	5,323.75				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237760.4	200.000	54.998	11,009.58				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237760.2	500.000	60.450	30,250.40				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237760.1	600.000	61.321	36,822.96				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237760.3	400.000	59.866	23,966.28				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-03-00	237970	-3,100.000	114.607		355,083.54	-175,099.85	179,983.69			Forest Laboratories Inc
	TOTAL -		0.000		175,099.85	355,083.54	-175,099.85	179,983.69	0	0.00	
CCM	FRX	Forest Laboratories Inc									
PUR	01-03-00	208524	400.000	59.866	23,966.28				0	0.00	Forest Laboratories Inc
PUR	02-16-00	211989	900.000	64.293	57,908.61				0	0.00	FOREST LABORATORIES INC @ 64.2929 57 863.61 BROKERAGE 45.00 STATE STREET BROKER/
PUR	05-02-00	220487	100.000	84.375	8,440.50				0	0.00	FOREST LABORATORIES INC @ 84.375 8 437.50 BRDKERAGE 3.00 BRIDGE TRADING CO TRADE
SAL	05-30-00	222162	-1,000.000	85.810		85,757.83	-66,349.11	19,408.72			Forest Laboratories Inc
TROUT	10-01-00	237760	-3,100.000	114.688		175,099.85	-175,099.85	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		-2,700.000		90,315.39	260,857.68	-241,448.96	19,408.72	0	0.00	
F-L	FST	Forest Oil Corp									
PUR	10-05-00	238050	15,000.000	14.813	222,937.50				7,500	222,937.50	Forest Oil Corp
PUR	10-11-00	238225	48,200.000	15.061	728,335.74				24,100	728,335.74	Forest Oil Corp
SPLDV	12-13-00	243047	-31,600.000		0.00				0	0.00	Forest Oil reverse 1 for 2 spl
	TOTAL -		31,600.000		951,273.24	0.00	0.00	0.00	31,600	951,273.24	
WPS	G	Gillette									
SAL	06-08-00	223569	-6,300.000	33.771		212,244.94	-279,004.53	-66,759.59			Gillette Co. @ 33.7708
SAL	06-09-00	223570	-15,000.000	33.288		498,101.85	-215,974.00	282,127.85			Gillette Co. @ 33.28790
SAL	06-13-00	223571	-11,000.000	32.020		351,332.65	-157,874.50	193,458.15			Gillette Co. @ 32.02040
SAL	06-13-00	223572	-26,200.000	32.020		836,810.51	-365,283.95	471,526.56			Gillette Co. @ 32.02040
SAL	06-14-00	223573	-3,000.000	32.163		96,246.68	-38,744.75	57,501.93			Gillette Co. @ 32.16330
SAL	06-14-00	223574	-12,200.000	32.163		391,403.18	-165,379.85	226,023.33			Gillette Co. @ 32.16330
SAL	06-15-00	223575	-5,000.000	32.061		159,901.65	-61,243.75	98,657.90			Gillette Co. @ 32.06140
SAL	06-15-00	223575	-8,150.000	32.061		260,639.70	-341,929.25	-81,289.55			Gillette Co. @ 32.06140

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		-86,850.000		0.00	2,806,681.16	-1,625,434 58	1,161,246.58	0	0.00	
CCM	GO	General Dynamics Corp									
SAL	02-16-00	211990	-1,200.000	41.625		49,888.33	-71,697.50	-22,009.17			GENERAL DYNAMICS CORP @ 41 625 49 950.00 BROKERAGE 60.00 TAX &/OR SEC 1.67 WEEDF
SAL	02-17-00	212022	-2,400.000	42.445		101,744 60	-133,714 55	-31,969.95			GENERAL DYNAMICS CORP @ 42 445 101 868 00 BROKERAGE 120.00 TAX &/OR SEC 3.40 WEE
	TOTAL -		-3,600.000		0.00	151,632.93	-205,612.05	-53,979.12	0	0.00	
CCM	GDW	Golden West Financisl Corp									
PUR	04-25-00	217596	400.000	35.911	14,384.44				0	0.00	Golden Weat Financial @ 35.911
PUR	04-26-00	218441	600.000	35 417	28,373.68				0	0.00	Golden Weat Financial Corp
PUR	04-27-00	218447	400.000	34.584	13,853.96				0	0.00	Golden Weat Financial Corp
PUR	05-10-00	220570	600.000	36 240	21,774.12				0	0.00	GOLDEN WEST FINANCIAL CORP DEL @ 36.2402 21 744 12 BROKERAGE 30.00 PIPER JAFFRAY
PUR	05-11-00	220586	100.000	36.924	3,697.38				0	0.00	GOLDEN WEST FINANCIAL CORP DEL @ 36.9238 3 692.38 BROKERAGE 5.00 LEWCO SEC/AGT F
PUR	05-12-00	220603	700.000	38.205	26,778.64				0	0.00	GOLDEN WEST FINANCIAL CORP DEL @ 38.2052 26 743 64 BROKERAGE 35.00 LEWCO SEC/AGT
PUR	05-31-00	222147	1,300.000	41.671	54,237.43				0	0.00	Golden Weat Financial Corp
PUR	06-02-00	223623	900.000	45.637	41,116.21				0	0.00	GOLDEN WEST FINANCIAL CORP DEL @ 45.6369 41 073.21 BROKERAGE 45.00 FIDELITY CAPI
PUR	06-21-00	224896	500.000	39.550	19,799.90				0	0.00	GOLDEN WEST FINANCIAL CORP DEL @ 39.5498 19 774.90 BROKERAGE 25.00 JONES & ASSOC
PUR	06-22-00	224908	300.000	40.130	12,053.65				0	0.00	GOLDEN WEST FINANCIAL CORP DEL @ 40 1295 12 038.85 BROKERAGE 15.00 BRIDGE TRADIN
PUR	06-22-00	224909	600.000	40.130	24,107.70				0	0.00	GOLDEN WEST FINANCIAL CORP DEL @ 40.1295 24 077.70 BROKERAGE 30.00 JONES & ASSOC
PUR	06-23-00	224921	300.000	41.251	12,390.15				0	0.00	GOLDEN WEST FINANCIAL CORP DEL @ 41.2505 12 375.15 BROKERAGE 15.00 PRUDENTIAL SE
TROUT	10-01-00	237763	-6,900.000	53.625		272,569 46	-272,569 46	0 00			CCM tfr to Forstmann-Leff
	TOTAL -		0.000		272,569.46	272,569.46	-272,569.46	0.00	0	0.00	
F-L	GDW	Golden West Financial Corp									
TRIN	10-01-00	237763.10	400.000	35.911	14,384.44				400	14,384 44	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237763.11	800.000	35 417	28,373.68				800	28,373.68	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237763.12	400.000	34.584	13,853.96				400	13,853.96	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237763.9	600.000	36.240	21,774.12				600	21,774 12	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237763.8	100.000	36.924	3,697.38				100	3,697.38	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237763.7	700.000	38.205	26,778.64				700	26,778 64	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237763.2	1,300.000	41.671	54,237.43				1,300	54,237 43	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237763.1	900.000	45.637	41,116 21				900	41,118 21	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237763.6	500.000	39.550	19,799.90				500	19,799 90	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237763.4	300.000	40.130	12,053.85				300	12,053 85	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237763.5	600.000	40.130	24,107.70				600	24,107 70	CCM tfr to Forstmann-Leff

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION		TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TRIN			10-01-00	237763.3	300.000	41.251	12,390.15				300	12,390.15	COM tfr to Forstmann-Leff
	TOTAL -				6,900.000		272,569.46	0.00	0.00	0.00	6,900	272,569.46	
WPS	GE	General Electric Co											
SAL			01-03-00	208050	-6,700.000	150.526		1,007,953.91	-558,859.73	449,094.18			GENERAL ELECTRIC CO @ 150.5259 1 008 523.53 BROKERAGE 536.00 TAX &/OR SEC 33.62
SAL			01-04-00	208051	-6,200.000	146.254		906,251.05	-480,853.78	425,397.27			GENERAL ELECTRIC CO @ 146.2544 906 777.28 BROKERAGE 496.00 TAX &/OR SEC 30.23 NE
SAL			01-05-00	208053	-5,400.000	144.364		779,105.45	-401,419.26	377,686.19			GENERAL ELECTRIC CO @ 144.3636 779 563.44 BROKERAGE 432.00 TAX &/OR SEC 25.99 NE
PUR			11-22-00	241230	10,000.000	49.350	494,296.00				10,000	494,296.00	GENERAL ELECTRIC CO @ 49.3496 493 496.00 BROKERAGE 800.00 NEUBERGER & BERMAN TRA
PUR			11-27-00	241233	10,000.000	49.875	499,550.00				10,000	499,550.00	GENERAL ELECTRIC CO @ 49.875 498 750.00 BROKERAGE 800.00 NEUBERGER & BERMAN TRAI
	TOTAL -				1,700.000		993,846.00	2,693,310.41	-1,441,132.77	1,252,177.64	20,000	993,846.00	
F-L	GMH	General Motors Class H											
PUR			10-03-00	237956	33,000.000	35.377	1,169,411.10				33,000	1,169,411.10	General Motors Corp Cl H
PUR			10-09-00	238068	21,800.000	30.743	671,497.99				21,800	671,497.99	General Motors Corp CL H
	TOTAL -				54,800.000		1,840,909.09	0.00	0.00	0.00	54,800	1,840,909.09	
WPS	GPS	GAP Inc											
SAL			09-13-00	234265	-30,200.000	25.033		753,549.16	-1,215,716.10	-462,166.74			GAP INC @ 25.0328 755 990.56 BROKERAGE 2 416.00 TAX &/OR SEC 25.20 NEUBERGER & E
SAL			09-15-00	234266	-74,710.000	24.288		1,808,504.25	-2,832,242.84	-1,023,738.59			GAP INC @ 24.2878 2 194 645.61 BROKERAGE 7 228.80 TAX &/OR SEC 73.16 NEUBERGER &
SAL			09-15-00	234266	-15,650.000	24.288		378,839.40	-530,570.59	-151,731.19			GAP INC @ 24.2878 2 194 645.61 BROKERAGE 7 228.80 TAX &/OR SEC 73.16 NEUBERGER &
	TOTAL -				-120,560.000		0.00	2,940,893.01	-4,578,529.53	-1,637,636.52	0	0.00	
COM	GSB	Golden St Bancorp Inc											
SAL			01-19-00	208611	-1,500.000	14.864		22,219.95	-32,618.22	-10,398.27			GOLDEN STATE BANCORP INC @ 14.8638 22 295.70 BROKERAGE 75.00 TAX &/OR SEC .75 PF
SAL			01-20-00	208627	-6,700.000	14.002		93,473.26	-139,053.58	-45,580.32			GOLDEN STATE BANCORP INC @ 14.0017 93 811.19 BROKERAGE 335.00 TAX &/OR SEC 3.13
	TOTAL -				-8,200.000		0.00	115,693.21	-171,671.80	-55,978.59	0	0.00	
WPS	GTW	Gateway Inc											
PUR			09-07-00	234264	3,300.000	63.867	211,025.76				0	0.00	GATEWAY INC @ 63.8672 210 761.76 BROKERAGE 264.00 NEUBERGER & BERMAN TRADE DATE
SAL			09-26-00	235330	-16,300.000	53.000		862,567.20	-572,651.94	289,915.26			Gateway Inc
SAL			12-01-00	242991	-23,300.000	19.887		461,492.31	-790,981.49	-329,489.18			GATEWAY INC @ 19.8872 528 999.52 BROKERAGE 2 128.00 TAX &/OR SEC 17.64 NEUBERGEF
SAL			12-01-00	242991	-3,300.000	19.887		65,361.57	-211,025.76	-145,664.19			GATEWAY INC @ 19.8872 528 999.52 BROKERAGE 2 128.00 TAX &/OR SEC 17.64 NEUBERGEF

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	12-05-00	242994	-5,800.000	18.677		107,858 40	-191,565.59	-83,707.19			GATEWAY INC @ 18 6769 108 326.02 BROKERAGE 464.00 TAX &/OR SEC 3.62 NEUBERGER &
SAL	12-07-00	242998	-24,200.000	17.217		414,703.93	-763,370.28	-348,666 35			GATEWAY INC @ 17.2171 416 653.82 BROKERAGE 1 936.00 TAX &/OR SEC 13.89 NEUBERGER
	TOTAL -		-69,600.000		211,025.76	1,911,983.41	-2,529,595.06	-617,611.65	0	0.00	
F-L	NCA	HCA-HEALTHCARE Co									
PUR	12-15-00	243969	1,500.000	38.359	57,627.75				1,500	57,627.75	HCA-HEALTHCARE Co @38.3585
	TOTAL -		1,500.000		57,627.75	0.00	0.00	0.00	1,500	57,627.75	
F-L	HCR	Manor Care Inc									
PUR	11-10-00	241157	800.000	15.996	12,837.12				800	12,837.12	Manor Care Inc
PUR	11-10-00	241158	800.000	15.996	12,837.12				800	12,837.12	Manor Care Inc
PUR	11-13-00	241159	3,700.000	15.902	59,020.92				3,700	59,020.92	Manor Care Inc
PUR	11-13-00	241160	3,700.000	15.902	59,020.92				3,700	59,020.92	Manor Care Inc
PUR	11-17-00	241161	2,400.000	18.179	43,750.08				2,400	43,750.08	Manor Care Inc
PUR	12-15-00	243972	4,200.000	16.915	71,252 16				4,200	71,252.16	Manor Care Inc @16.9148
	TOTAL -		15,600.000		258,718 32	0.00	0.00	0.00	15,600	258,718.32	
F-L	HF	Neller Financial CL A									
TRIN	10-01-00	237764.9	1,000.000	27.959	28,008.80				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237764.8	1,300.000	27.999	36,463.31				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237764.4	600.000	28.734	17,270.52				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237764 1	700.000	30.831	21,616.42				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237764.2	500.000	29.976	15,013.10				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237764.3	1,400.000	28.742	40,308 66				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237764.7	400.000	28.375	11,370.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237764.10	1,100.000	27.546	30,355.05				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237764.12	900.000	27.000	24,345.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237764.11	200.000	27.407	5,491.36				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237764.5	900.000	28.506	25,700.04				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237764.6	800.000	28.432	22,785.92				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-04-00	238004	-9,800.000	28 375		277,477.73	-278,728.18	-1,250.45			Heller Financial Inc
	TOTAL -		0.000		278,728 18	277,477.73	-278,728.18	-1,250.45	0	0.00	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CCM	HF	Heller Financial CL A									
PUR	09-06-00	234275	1,000.000	27.959	28,008.40				0	0.00	HELLER FINANCIAL INC CL A @ 27.9588 27 958.80 BROKERAGE 50.00 ABN AMRO CHICAGO C
PUR	09-07-00	234295	1,300.000	27.999	36,463.31				0	0.00	HELLER FINANCIAL INC CL A @ 27.9987 36 398.31 BROKERAGE 65.00 ABN AMRO CHICAGO C
PUR	09-08-00	234318	600.000	28.734	17,270.52				0	0.00	HELLER FINANCIAL INC CL A @ 28.7342 17 240.52 BROKERAGE 30.00 ABN AMRO CHICAGO C
PUR	09-11-00	234339	700.000	30.831	21,616.42				0	0.00	HELLER FINANCIAL INC CL A @ 30.8306 21 581.42 BROKERAGE 35.00 ABN AMRO CHICAGO C
PUR	09-12-00	234360	500.000	29.976	15,013.10				0	0.00	HELLER FINANCIAL INC CL A @ 29.9762 14 988.10 BROKERAGE 25.00 ABN AMRO CHICAGO C
PUR	09-18-00	234842	1,400.000	28.742	40,308.66				0	0.00	HELLER FINANCIAL INC CL A @ 28.7419 40 238.66 BROKERAGE 70.00 LAZARD FRERES & CO
PUR	09-19-00	234852	400.000	28.375	11,370.00				0	0.00	HELLER FINANCIAL INC CL A @ 28.375 11 350.00 BROKERAGE 20.00 LAZARD FRERES & CO
PUR	09-20-00	234863	1,100.000	27.546	30,355.05				0	0.00	HELLER FINANCIAL INC CL A @ 27.5455 30 300.05 BROKERAGE 55.00 LAZARD FRERES & CO
PUR	09-21-00	235012	900.000	27.000	24,345.00				0	0.00	HELLER FINANCIAL INC CL A @ 27.00 24 300.00 BROKERAGE 45.00 LAZARD FRERES & CO 7
PUR	09-22-00	235124	200.000	27.407	5,491.36				0	0.00	HELLER FINANCIAL INC CL A @ 27.4068 5 481.36 BROKERAGE 10.00 LAZARD FRERES & CO
PUR	09-25-00	235303	900.000	28.506	25,700.04				0	0.00	HELLER FINANCIAL INC CL A @ 28.5056 25 655.04 BROKERAGE 45.00 LAZARD FRERES & CO
PUR	09-26-00	235395	800.000	28.432	22,785.92				0	0.00	HELLER FINANCIAL INC CL A @ 28.4324 22 745.92 BROKERAGE 40.00 LAZARD FRERES & CO
TROUT	10-01-00	237764	-9,800.000	28.563		278,728.18	-278,728.18	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		0.000		278,728.18	278,728.18	-278,728.18	0.00	0	0.00	
CCM	HLIT	Harmonic Inc									
PUR	04-05-00	217462	700.000	76.568	53,597.53				0	0.00	HARMONIC INC @ 76.5679 U S CLEARING CORP TRADE DATE 04/05/00
PUR	05-02-00	220488	100.000	79.813	7,981.25				0	0.00	HARMONIC INC @ 79.8125 KNIGHT SECURITIES TRADE DATE 05/02/00
PUR	05-11-00	220587	300.000	63.844	19,153.29				0	0.00	HARMONIC INC @ 63.8443 SOUNDVIEW FINANCIAL GROUP TRADE DATE 05/11/00
SAL	05-17-00	220625	-2,400.000	53.536		128,482.83	-167,822.43	-39,339.60			HARMONIC INC @ 53.5363 128 487.12 TAX &/OR SEC 4.29 COWEN & CO TRADE DATE 05/17/
SAL	05-18-00	221478	-1,100.000	51.153		56,266.53	-61,339.30	-5,072.77			HARMONIC INC @ 51.1531 56 268.41 TAX &/OR SEC 1.88 COWEN & CO TRADE DATE 05/18/0
	TOTAL -		-2,400.000		80,732.07	184,749.36	-229,161.73	-44,412.37	0	0.00	
F-L	HOT	Starwood Hotels & Resorts									
PUR	10-10-00	238076	17,100.000	30.250	518,301.00				17,100	518,301.00	Starwood Hotels & Resorts
PUR	12-28-00	245441	4,500.000	36.699	165,413.25				4,500	165,413.25	Starwood Hotels & Resorts @36.
	TOTAL -		21,600.000		683,714.25	0.00	0.00	0.00	21,600	683,714.25	
F-L	HRC	Healthsouth Corp									
PUR	10-04-00	238039	25,800.000	9.209	238,869.30				25,800	238,869.30	Healthsouth Corp
PUR	10-11-00	238085	3,000.000	10.191	30,723.30				3,000	30,723.30	Healthsouth Corp
PUR	10-12-00	238087	6,800.000	10.016	68,450.84				6,800	68,450.84	Healthsouth Corp
PUR	10-13-00	238090	11,400.000	9.695	111,097.56				11,400	111,097.56	Healthsouth Corp

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	10-16-00	238224	12,900.000	10.130	131,322.00				12,900	131,322.00	Healthsouth Corp
PUR	12-15-00	243970	2,800.000	13.571	38,137.96				2,800	38,137.96	Healthsouth Corp @13.5707
	TOTAL -		62,700.000		618,600.96	0.00	0.00	0.00	62,700	618,600.96	
F-L	HUM	Humana Inc									
PUR	10-11-00	238084	11,100.000	10.750	119,880.00				0	0.00	Humana Inc
SAL	10-27-00	239187	-800.000	11.663		9,290.16	-8,640.00	650.16			Humana Inc @11.663
SAL	10-30-00	239188	-1,200.000	11.626		13,891.09	-12,960.00	931.09			Humana Inc @11.626
SAL	10-31-00	239189	-5,600.000	11.721		65,360.45	-60,480.00	4,880.45			Humana Inc @11.721
SAL	11-01-00	241167	-3,500.000	12.014		41,870.84	-37,800.00	4,070.84			Humana Inc
	TOTAL -		0.000		119,880.00	130,412.54	-119,880.00	10,532.54	0	0.00	
F-L	IBI	Intimate Brands Inc									
TRIN	10-01-00	237765.2	3,730.000	41.155	76,651.50				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237765.7	1,400.000	34.000	23,821.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237765.5	200.000	35.188	3,521.75				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237765.6	1,800.000	34.608	31,192.47				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237765.1	800.000	20.568	16,494.32				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237765.3	900.000	19.954	18,003.51				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237765.4	1,300.000	19.954	26,005.07				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-03-00	237971	-3,730.000	20.000		74,411.01	-72,055.51	2,355.50			Intimate Brands Inc Cl A
SAL	10-03-00	237971	-6,400.000	20.000		127,675.73	-123,634.11	4,041.62			Intimate Brands Inc Cl A
	TOTAL -		0.000		195,689.62	202,086.74	-195,689.62	6,397.12	0	0.00	
CCM	IBI	Intimate Brands Inc									
PUR	01-13-00	208572	700.000	34.000	23,821.00				0	0.00	INTIMATE BRANDS INC CL A @ 34.00 23 800.00 BROKERAGE 21.00 WEISS PECK GREER TRAI
PUR	01-14-00	208592	900.000	34.608	31,192.47				0	0.00	INTIMATE BRANDS INC CL A @ 34.6081 31 147.47 BROKERAGE 45.00 JEFFERIES & CO INC
PUR	01-14-00	208593	100.000	35.188	3,521.75				0	0.00	INTIMATE BRANDS INC CL A @ 35.1875 3 518.75 BROKERAGE 3.00 CANTOR FITZGERALD INC
PUR	05-02-00	220489	200.000	42.688	8,543.50				0	0.00	INTIMATE BRANDS INC CL A @ 42.6875 8 537.50 BROKERAGE 6.00 BRIDGE TRADING CO TRA
SPLDV	05-31-00	221515	6,815.000		0.00				0	0.00	INTIMATE BRANDS INC CL A 2 FOR 1 STOCK DISTRIBUTION
SAL	06-07-00	223660	-300.000	20.719		6,209 43	-6,905 12	-695.69			INTIMATE BRANDS INC CL A @ 20.7188 6 215.64 BROKERAGE 6.00 TAX &/OR SEC .21 INVE
SAL	06-07-00	223661	-400.000	20.734		8,273 44	-9,206 82	-933.38			INTIMATE BRANDS INC CL A @ 20.7343 8 293.72 BROKERAGE 20.00 TAX &/OR SEC .28 MOF
SAL	06-07-00	223662	-100.000	21.061		2,100 98	-2,209.29	-108.31			INTIMATE BRANDS INC CL A @ 21.0605 4 212 10 BROKERAGE 10.00 TAX &/OR SEC .15 J I
SAL	06-07-00	223662	-100.000	21.061		2,100.97	-2,301.70	-200.73			INTIMATE BRANDS INC CL A @ 21.0605 4 212.10 BROKERAGE 10.00 TAX &/OR SEC 15 J I

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BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	06-08-00	223673	-400.000	20.079		8,011.21	-8,837 14	-825.93			INTIMATE BRANDS INC CL A @ 20.0767 8 031.48 BROKERAGE 20.00 TAX &/OR SEC .27 J E
SAL	06-08-00	223674	-100.000	20.594		2,057.31	-2,209.29	-151.98			INTIMATE BRANDS INC CL A @ 20.5938 2 059.38 BROKERAGE 2.00 TAX &/OR SEC .07 INVE
SAL	06-08-00	223675	-100.000	20.281		2,026.06	-2,209.29	-183.23			INTIMATE BRANDS INC CL A @ 20.2813 2 028.13 BROKERAGE 2.00 TAX &/DR SEC .07 INVE
SAL	06-09-00	223685	-400.000	20.337		8,114.40	-8,837.14	-722.74			INTIMATE BRANDS INC CL A @ 20.3367 8 134.68 BROKERAGE 20.00 TAX &/OR SEC .28 J E
SAL	08-02-00	230738	-900.000	16.664		14,952.19	-19,883.57	-4,931.38			INTIMATE BRANDS INC CL A @ 16.6641 14 997.69 BROKERAGE 45.00 TAX &/OR SEC .50 LP
SAL	08-03-00	230751	-1,400.000	16.761		23,394.61	-30,868.28	-7,473.67			INTIMATE BRANDS INC CL A @ 16.761 30 169.60 BROKERAGE 90.00 TAX &/OR SEC 1.01 LP
SAL	08-03-00	230751	-400.000	16.761		6,684.18	-8,543.50	-1,859.32			INTIMATE BRANDS INC CL A @ 16.761 30 169.80 BROKERAGE 90.00 TAX &/OR SEC 1.01 LP
SAL	08-04-00	230760	-800.000	16.854		13,442.35	-16,440.00	-2,997.65			INTIMATE BRANDS INC CL A @ 16.8535 13 482.80 BROKERAGE 40.00 TAX &/OR SEC .45 PS
SAL	08-04-00	230761	-1,100.000	16.854		18,483.23	-22,605.00	-4,121.77			INTIMATE BRANDS INC CL A @ 16.8535 18 538.85 BROKERAGE 55.00 TAX &/OR SEC .62 LP
PUR	09-25-00	235304	800.000	20.568	16,494.32				0	0.00	INTIMATE BRANDS INC CL A @ 20.5679 16 454.32 BROKERAGE 40.00 WEISS PECK GREER TR
PUR	09-26-00	235396	900.000	19.954	18,003.51				0	0.00	INTIMATE BRANDS INC CL A @ 19.9539 17 958.51 BROKERAGE 45.00 MILLER TABAK HISCH
PUR	09-26-00	235397	1,300.000	19.954	26,005.07				0	0.00	INTIMATE BRANDS INC CL A @ 19.9539 25 940.07 BROKERAGE 65.00 WEISS PECK GREER TR
TROUT	10-01-00	237765	-10,130.000	18.688		195,689.62	-195,689.62	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		-4,915.000		127,581.62	311,539.98	-336,745.76	-25,205.78	0	0.00	
F-L	IDIT	Integrated Device Tech									
TRIN	10-01-00	237766.1	300.000	18.000	5,400.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237766.2	1,100.000	17.577	19,335.03				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-03-00	237993	-1,400.000	92.938		130,108.16	-24,735.03	105,373.13			Integrated Device Tech. Inc
	TOTAL -		0.000		24,735.03	130,108.16	-24,735.03	105,373.13	0	0.00	
CCM	IDIT	Integrated Device Tech									
SAL	04-04-00	217445	-600.000	32.188		19,312.39	-14,121.24	5,191.15			INTEGRATED DEVICE TECHNOLOGY INC @ 32.1884 19 313.04 TAX &/DR SEC .65 SMITH BARN
PUR	05-02-00	220490	200.000	47.563	9,512.50				0	0.00	INTEGRATED DEVICE TECHNOLOGY INC @ 47.5625 KNIGHT SECURITIES TRADE DATE 05/02/00
PUR	06-02-00	223624	600.000	51.399	30,839.34				0	0.00	INTEGRATED DEVICE TECHNOLOGY INC @ 51.3989 SMITH BARNEY INC TRADE DATE 06/02/00
SAL	07-07-00	226802	-400.000	59.594		23,836.72	-20,559.56	3,277.16			INTEGRATED DEVICE TECHNOLOGY INC @ 59.5938 23 837.52 TAX &/OR SEC .80 CANTOR FIT
SAL	07-10-00	226807	-600.000	58.747		35,247.26	-24,499.36	10,747.90			INTEGRATED DEVICE TECHNOLOGY INC @ 58.7474 35 248.44 TAX &/OR SEC 1.18 CANTOR FI
SAL	07-11-00	226813	-400.000	60.035		24,013.03	-9,414.16	14,598.87			INTEGRATED DEVICE TECHNOLOGY INC @ 60.0346 24 013.84 TAX &/OR SEC .81 CANTOR FIT
SAL	07-12-00	226822	-300.000	61.763		18,534.31	-6,957.88	11,576.43			INTEGRATED DEVICE TECHNOLOGY INC @ 61.7831 18 534.93 TAX &/OR SEC .62 U S CLEARI
SAL	07-12-00	226823	-400.000	60.505		24,201.19	-9,208.68	14,992.51			INTEGRATED DEVICE TECHNOLOGY INC @ 60.505 24 202.00 TAX &/OR SEC .81 CANTOR FIT
SAL	08-03-00	230752	-2,900.000	52.794		153,096.62	-64,180.95	88,915.67			INTEGRATED DEVICE TECHNOLOGY INC @ 52.7937 153 101.73 TAX &/DR SEC 5.11 GERARD P
SAL	08-29-00	231707	-200.000	77.000		15,399.48	-4,112.50	11,286.98			Integrated Device Tech @77.00
SAL	08-30-00	231741	-100.000	76.662		7,665.98	-2,056.25	5,609.73			Integrated Device Tech @76.662
SAL	09-07-00	234296	-300.000	92 271		27,680.37	-6,168.75	21,511.62			INTEGRATED DEVICE TECHNOLOGY INC @ 92.271 27 681.30 TAX &/DR SEC .93 MERZOG MEIN
TROUT	10-01-00	237766	-1,400.000	90.500		24,735.03	-24,735.03	0.00			CCM tfr to Forstmann-Leff

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		-6,600.000		40,351.84	373,722 36	-186,014.36	187,706.02	0	0.00	
COM	IDPH	IDEC Pharmaceuticals Corp									
SAL	01-25-00	208656	-100.000	132.162		13,213.73	-6,226.57	6,987.16			IDEC PHARMACEUTICALS CORP @ 132.1818 13 216.18 BROKERAGE 4.00 TAX &/OR SEC .45 I
SAL	03-03-00	213372	-400.000	142 236		56,892.66	-24,906.26	31,986.40			IDEC PHARMACEUTICALS CORP @ 142.2364 56 694.56 TAX &/OR SEC 1.90 PIPER JAFFRAY I
PUR	04-20-00	217561	800.000	62.698	50,316 16				0	0.00	IDEC PHARMACEUTICALS CORP @ 62.6977 CANTOR FITZGERALD INC TRADE DATE 04/20/00
PUR	04-24-00	217567	100.000	60.037	6,003.66				0	0.00	IDEC PHARMACEUTICALS CORP @ 60.0366 CHARLES SCHWAB & CO TRADE DATE 04/24/00
PUR	04-25-00	217574	300.000	59.957	17,987.10				0	0.00	IDEC PHARMACEUTICALS CORP @ 59.957 CHARLES SCHWAB & CO TRADE DATE 04/25/00
PUR	05-02-00	220491	100.000	69.063	6,906.25				0	0.00	IDEC PHARMACEUTICALS CORP @ 69.0625 KNIGHT SECURITIES TRADE DATE 05/02/00
SAL	06-22-00	224910	-400.000	112.349		44,936 10	-25,775.56	19,162.54			IDEC PHARMACEUTICALS CORP @ 112.349 44 939.60 TAX &/OR SEC 1.50 CREDIT SUISSE FI
SAL	06-23-00	224922	-100.000	109.250		10,924 63	-6,289 77	4,634.86			IDEC PHARMACEUTICALS CORP @ 109.25 10 925.00 TAX &/OR SEC .37 CREDIT SUISSE FIRS
SAL	08-31-00	232083	-400.000	139.031		55,610.50	-25,159.08	30,451 42			IDEC Pharmaceuticals @139.0309
SAL	09-01-00	234089	-200.000	141.139		28,226 63	-12,453 13	15,773.70			IDEC PHARMACEUTICALS CORP @ 141.1389 26 227.76 TAX &/OR SEC .95 PIPER JAFFRAY IN
TROUT	10-01-00	237767	-2,700.000	175.359		162,150.95	-162,150.95	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		-3,000.000		81,215.17	371,957.40	-262,961 32	108,996.08	0	0.00	
F-L	IDPH	IDEC Pharmaceuticals Corp									
TRIN	10-01-00	237767.5	1,000.000	117.563	56,791.65				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237767 4	600.000	119 275	35,782.59				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237767.1	700.000	124 531	43,585.95				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237767.2	100.000	60.037	6,003.66				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237767.3	300.000	59.957	17,987.10				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-06-00	238021	-2,700.000	161.250		435,265 98	-162,150 95	273,115.03			IDEC Pharmaceuticals Corp
	TOTAL -		0.000		162,150.95	435,265.98	-162,150.95	273,115.03	0	0.00	
F-L	IGL	IMC Global Inc									
PUR	10-09-00	238066	5,300.000	14.500	77,168.00				5,300	77,168.00	IMC Global Inc
	TOTAL -		5,300.000		77,168.00	0 00	0.00	0.00	5,300	77,168.00	
COM	IMNX	Immunex Corp									
PUR	07-12-00	226824	900.000	61.613	55,451.25				0	0.00	IMMUNEX CORP @ 61.6125 CANTOR FITZGERALD INC TRADE DATE 07/12/00
PUR	07-13-00	226836	100.000	59.547	5,954 69				0	0.00	IMMUNEX CORP @ 59.5469 DONALDSON LUFKIN & JENRETTE SECS TRADE DATE 07/13/00
PUR	07-13-00	226837	600.000	57.976	34,809 48				0	0.00	IMMUNEX CORP @ 57.9758 34 785.48 BROKERAGE 24.00 INSTINET TRADE DATE 07/13/00
PUR	07-14-00	226846	500.000	55.911	27,955 40				0	0.00	IMMUNEX CORP @ 55.9108 LEHMAN BROTHERS INC TRADE DATE 07/14/00

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	07-18-00	226863	900.000	60.069	54,061.92				0	0.00	IMMUNEX CORP @ 60.0688 LEHMAN BROTHERS INC TRADE DATE 07/18/00
PUR	07-19-00	227567	200.000	60.557	12,111.42				0	0.00	IMMUNEX CORP @ 60.5571 LEHMAN BROTHERS INC TRADE DATE 07/19/00
PUR	07-20-00	227576	900.000	54.181	48,763.08				0	0.00	IMMUNEX CORP @ 54.1812 LEHMAN BROTHERS INC TRADE DATE 07/20/00
PUR	07-31-00	230028	600.000	51.112	30,667.74				0	0.00	Immunex Corp
PUR	08-10-00	230793	400.000	46.735	18,709.92				0	0.00	IMMUNEX CORP @ 46.7348 18 693.92 BROKERAGE 16.00 INSTINET TRADE DATE 08/10/00
PUR	08-17-00	230812	1,700.000	43.787	74,438.41				0	0.00	IMMUNEX CORP @ 43.7873 GOLDMAN SACHS & CO TRADE DATE 08/17/00
TROUT	10-01-00	237768	-6,800.000	43.500		362,923.31	-362,923.31	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		0.000		362,923.31	362,923.31	-362,923.31	0.00	0	0.00	
F-L	IMNX	Immunex Corp									
TRIN	10-01-00	237768.1	900.000	61.613	55,451.25				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237768.4	100.000	59.547	5,954.69				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237768.5	600.000	57.976	34,809.48				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237768.6	500.000	55.911	27,955.40				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237768.3	900.000	60.069	54,061.92				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237768.2	200.000	60.557	12,111.42				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237768.7	900.000	54.181	48,763.08				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237768.8	600.000	51.112	30,667.74				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237768.9	400.000	46.735	18,709.92				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237768.10	1,700.000	43.787	74,438.41				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-04-00	238005	-6,800.000	38.280		260,295.32	-362,923.31	-102,627.99			Immunex Corp
	TOTAL -		0.000		362,923.31	260,295.32	-362,923.31	-102,627.99	0	0.00	
F-L	INKT	INKTOMI CORP									
PUR	12-01-00	243807	2,600.000	25.875	67,275.00				0	0.00	Inktomi Corp @ 25.875
SAL	12-05-00	243808	-2,600.000	26.604		69,168.61	-67,275.00	1,893.61			Inktomi Corp @26.6042
	TOTAL -		0.000		67,275.00	69,168.61	-67,275.00	1,893.61	0	0.00	
WPS	INTC	Intel Corporation									
SAL	02-08-00	211463	-1,700.000	109.000		185,157.82	-13,497.58	171,660.24			INTEL CORP @ 109.00 185 300.00 BRDKERAGE 136.00 TAX &/OR SEC 6.18 NEUBERGER & BE
SAL	07-21-00	227556	-5,000.000	139.698		698,065.21	-39,698.75	658,366.46			Intel Corp @ 139.69770
SPLDV	07-31-00	227901	57,100.000		0.00				0	0.00	Intel Corp 2 for 1 split
SAL	09-26-00	235336	-25,600.000	43.375		1,108,314.98	-101,628.80	1,006,686.18			Intel Corp

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		24,800.000		0.00	1,991,538.01	-154,825.13	1,836,712.88	0	0.00	
COM	ISSX	Internet Security Systems Inc									
PUR	01-05-00	208530	500.000	56.198	28,099.00				0	0.00	ISS GROUP INC @ 56.198 GOLDMAN SACHS & CO TRADE DATE 01/05/00
SAL	03-16-00	213480	-100.000	105.250		10,524 64	-5,619 80	4,904 84			ISS GROUP INC @ 105.25 10 525.00 TAX &/OR SEC .36 BANCAMERICA ROBERTSON STEPHENS
SAL	03-17-00	213504	-500.000	106.687		53,341.92	-27,802.66	25,539 26			ISS GROUP INC @ 106.6874 53 343.70 TAX &/OR SEC 1.78 BANCAMERICA ROBERTSON STEPH
SAL	04-04-00	217446	-500.000	87.058		43,527 69	-25,894 77	17,632.92			ISS GROUP INC @ 87.0583 43 529.15 TAX &/OR SEC 1.46 JEFFERIES & CO INC TRADE DAT
PUR	05-01-00	220448	100.000	95.802	9,580.18				0	0.00	ISS GROUP INC @ 95.8018 SOUNDVIEW FINANCIAL GROUP TRADE DATE 05/01/00
PUR	06-02-00	223625	100.000	89.742	8,974.17				0	0.00	ISS GROUP INC @ 89.7417 GOLDMAN SACHS & CO TRADE DATE 06/02/00
PUR	06-15-00	223717	100.000	79.500	7,950.00				0	0.00	ISS GROUP INC @ 79.50 TROSTER SINGER STEVENS ROTHCHILD TRADE DATE 06/15/00
PUR	06-15-00	223718	700.000	77.350	54,144.72				0	0.00	ISS GROUP INC @ 77.3496 PRUDENTIAL SECURITIES TRADE DATE 06/15/00
SAL	06-26-00	224926	-700.000	82.963		58,071.95	-57,444 19	627 76			ISS GROUP INC @ 82.9627 58 073.89 TAX &/OR SEC 1.94 SOUNDVIEW FINANCIAL GROUP TF
SAL	08-11-00	230799	-300.000	61.319		18,395.08	-23,204 88	-4,809 80			INTERNET SECURITY SYSTEMS INC @ 61.319 18 395.70 TAX &/OR SEC .62 BANCAMERICA RC
SAL	08-14-00	230803	-300.000	58 625		17,586.91	-15,344 61	2,242.30			INTERNET SECURITY SYSTEMS INC @ 58.625 17 587.50 TAX &/OR SEC .59 BANCAMERICA RC
SAL	08-16-00	230808	-200.000	54.589		10,917.39	-10,185.60	731 79			INTERNET SECURITY SYSTEMS INC @ 54.5888 10 917.76 TAX &/OR SEC .37 BANCAMERICA F
SAL	09-07-00	234297	-500.000	80.418		40,207 45	-25,378 40	14,829.05			INTERNET SECURITY SYSTEMS INC @ 80 4176 40 208.80 TAX &/OR SEC 1.35 BEAR STEARNS
SAL	09-22-00	235125	-1,100.000	72.571		79,825.76	-54,259 48	25,566 28			INTERNET SECURITY SYSTEMS INC @ 72.5713 79 828.43 TAX &/OR SEC 2.67 NATIONSBANC
SAL	09-25-00	235305	-500.000	70.428		35,212.97	-24,663.40	10,549.57			INTERNET SECURITY SYSTEMS INC @ 70.4283 35 214.15 TAX &/OR SEC 1.18 NATIONSBANC
	TOTAL -		-3,200.000		108,748.07	367,611.76	-269,797.79	97,813.97	0	0.00	
COM	ITWO	I2 Technologies Inc									
PUR	02-16-00	211991	200.000	268.286	53,657.18				0	0.00	I2 TECHNOLOGIES INC @ 268 2859 GOLDMAN SACHS & CO TRADE DATE 02/16/00
PUR	02-17-00	212023	100.000	279.735	27,973.46				0	0.00	I2 TECHNOLOGIES INC @ 279 7346 GOLDMAN SACHS & CO TRADE DATE 02/17/00
SPLDV	02-23-00	212052	300.000		0.00				0	0.00	I2 TECHNOLOGIES INC 2 FOR 1 STOCK DISTRIBUTION
SAL	04-04-00	217447	-500.000	92.312		46,154 41	-68,216 35	-22,061 94			I2 TECHNOLOGIES INC @ 92 3119 46 155.95 TAX &/OR SEC 1.54 CHARLES SCHWAB & CO TF
SAL	04-04-00	217448	-100.000	95.125		9,512 18	-13,414 29	-3,902.11			I2 TECHNOLOGIES INC @ 95.125 9 512.50 TAX &/OR SEC .32 ADAMS HARKNESS & HILL INC
	TOTAL -		0.000		81,630 64	55,666.59	-81,630.64	-25,964.05	0	0.00	
COM	IVGN	Invitrogen Corp									
PUR	06-26-00	224949	400.000	72 804	29,121.52				0	0 00	Invitrogen Corp
PUR	06-27-00	224950	200.000	73.250	14,650.00				0	0.00	Invitrogen Corp
PUR	06-28-00	225399	400.000	73.875	29,550.00				0	0.00	Invitrogen Corp
PUR	06-29-00	225401	500.000	71.522	35,761.15				0	0.00	Invitrogen Corp
PUR	06-30-00	225403	1,700.000	74 448	126,562.28				0	0.00	Invitrogen Corp
PUR	07-11-00	226814	800.000	62.291	49,832.88				0	0.00	INVITROGEN CORP @ 62.2911 TROSTER SINGER STEVENS ROTHCHILD TRADE DATE 07/11/00

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	07-17-00	226854	300.000	65.422	19,626.63				0	0.00	INVITROGEN CORP @ 65.4221 PIPER JAFFRAY INC TRADE DATE 07/17/00
PUR	08-02-00	230739	300.000	59.659	17,897.55				0	0.00	INVITROGEN CORP @ 59.6585 PIPER JAFFRAY INC TRADE DATE 08/02/00
PUR	08-18-00	231419	500.000	55.320	27,659.75				0	0.00	INVITROGEN CORP @ 55.3195 PIPER JAFFRAY INC TRADE DATE 08/18/00
PUR	08-21-00	231420	100.000	54 620	5,461.99				0	0.00	INVITROGEN CORP @ 54.6199 PIPER JAFFRAY INC TRADE DATE 08/21/00
PUR	09-14-00	236191	1,300.000	56.544	73,508.11				0	0.00	Invitrogen Corp @56.544
TROUT	10-01-00	237769	-6,500.000	71.125		429,631.66	-429,631.86	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		0.000		429,631.86	429,631.86	-429,631.86	0.00	0	0.00	
F-L	IVGN	Invitrogen Corp									
TRIN	10-01-00	237769.4	400.000	72.804	29,121.52				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237769.3	200.000	73.250	14,650.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237769.2	400.000	73.875	29,550.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237769.5	500.000	71.522	35,761.15				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237769.1	1,700.000	74.448	126,562.28				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237769.7	800.000	62.291	49,832.88				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237769.6	300.000	65.422	19,626.63				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237769.8	300.000	59.659	17,897.55				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237769.10	500.000	55.320	27,659.75				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237769.11	100.000	54.620	5,461.99				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237769.9	1,300.000	56.544	73,508.11				1,300	85,926 39	CCM tfr to Forstmann-Leff
SAL	10-10-00	238032	-5,200.000	56.752		305,502.29	-343,705.47	-38,203.18			Invitrogen Corp
	TOTAL -		1,300.000		429,631.66	305,502.29	-343,705.47	-38,203.18	1,300	85,926.39	
CCM	JBL	Jabil Circuit Inc									
PUR	01-26-00	208667	500.000	69.874	34,962.15				0	0.00	JABIL CIRCUIT INC @ 69.8743 34 937.15 BROKERAGE 25.00 MORGAN KEEGAN & CO INC TRA
SAL	02-17-00	212024	-200.000	71.125		14,214.52	-13,984.86	229.66			JABIL CIRCUIT INC @ 71.125 14 225.00 BROKERAGE 10.00 TAX &/OR SEC .48 ROBINSON H
SAL	02-18-00	212033	-700.000	69.000		48,263.39	-43,459.89	4,803.50			JABIL CIRCUIT INC @ 69.00 48 300.00 BROKERAGE 35.00 TAX &/OR SEC 1.61 SOUNDVIEW
SAL	02-18-00	212034	-600.000	68.637		41,271.06	-33,471.69	7,799.37			JABIL CIRCUIT INC @ 68.6374 41 302.44 BROKERAGE 30.00 TAX &/OR SEC 1.38 ROBINSON
SAL	02-22-00	212045	-1,900.000	67.247		127,670.61	-102,592.30	25,078.31			JABIL CIRCUIT INC @ 67.2473 127 769.87 BROKERAGE 95.00 TAX &/OR SEC 4.26 ROBINSO
	TOTAL -		-2,900.000		34,962.15	231,419.58	-193,508.74	37,910.84	0	0.00	
CCM	JCI	Johnson Controls Inc									
PUR	04-28-00	218453	800.000	63.094	50,515.52				0	0.00	Johnson Controls Inc
PUR	05-01-00	220449	500.000	62.919	31,484.25				0	0.00	JOHNSON CONTROLS INC @ 62.9165 31 459.25 BROKERAGE 25.00 MCDONALD & CO SECURITIE

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	05-02-00	220492	300.000	62.832	18,864.69				0	0.00	JOHNSON CONTROLS INC @ 62.8323 18 849.69 BROKERAGE 15.00 MCDONALD & CO SECURITIE
PUR	05-03-00	220538	200.000	61.518	12,313.64				0	0.00	JOHNSON CONTROLS INC @ 61.5182 12 303.64 BROKERAGE 10.00 MCDONALD & CO SECURITIE
PUR	05-10-00	220571	400.000	60.207	24,102 60				0	0.00	JOHNSON CONTROLS INC @ 60.2065 24 082.60 BROKERAGE 20.00 FIDELITY CAPITAL MARKET
PUR	05-11-00	220588	300.000	61.285	18,400.47				0	0.00	JOHNSON CONTROLS INC @ 61.2849 18 385.47 BROKERAGE 15.00 FIDELITY CAPITAL MARKET
PUR	05-12-00	220604	400.000	62.322	24,940.60				0	0.00	JOHNSON CONTROLS INC @ 62.3215 24 926.60 BROKERAGE 12.00 FIDELITY CAPITAL MARKET
SAL	06-02-00	223626	-300.000	59.034		17,694 45	-18,943.32	-1,248.87			JOHNSON CONTROLS INC @ 59.0335 17 710.05 BROKERAGE 15.00 TAX &/OR SEC .60 STATE
SAL	06-02-00	223627	-200.000	56.944		11,782.32	-12,628.88	-846.56			JOHNSON CONTROLS INC @ 58.9436 11 788.72 BROKERAGE 6.00 TAX &/OR SEC .40 BRIDGE
SAL	06-05-00	223643	-300.000	59.862		17,943.03	-18,943.32	-1,000.29			JOHNSON CONTROLS INC @ 59.8621 17 956.63 BROKERAGE 15.00 TAX &/OR SEC .60 STATE
SAL	06-06-00	223649	-100.000	57.779		5,772.69	-6,296.85	-524.16			JOHNSON CONTROLS INC @ 57.7789 5 777.89 BROKERAGE 5.00 TAX &/OR SEC .20 STATE ST
SAL	06-14-00	223704	-1,100.000	54.550		59,947.66	-68,992.69	-9,045.03			JOHNSON CONTROLS INC @ 54.5497 60 004.67 BROKERAGE 55.00 TAX &/OR SEC 2.01 HOAK
SAL	06-15-00	223719	-500.000	52.573		26,260.67	-30,714.11	-4,453 44			JOHNSON CONTROLS INC @ 52.5731 26 286.55 BROKERAGE 25.00 TAX &/OR SEC .88 HOAK B
SAL	06-16-00	223732	-400.000	53.000		21,179.29	-24,102.60	-2,923.31			JOHNSON CONTROLS INC @ 53.00 21 200.00 BROKERAGE 20.00 TAX &/OR SEC .71 HOAK BRE
	TOTAL -		0.000		180,621 77	160,580 11	-180,621.77	-20,041 66	0	0.00	
CCM	JDSU	JDS Uniphase Corp									
SAL	01-06-00	208537	-400.000	161.418		64,565.04	-23,152.01	41,413.03			JDS UNIPHASE CORP @ 161 418 64 567.20 TAX &/OR SEC 2.16 MERRILL LYNCH PIERCE FEN
SAL	01-14-00	208594	-100.000	194 187		19,418.02	-5,696.37	13,721 65			JDS UNIPHASE CORP @ 194.1867 19 418.67 TAX &/OR SEC 65 CANTOR FITZGERALD INC TF
SAL	01-31-00	209811	-100.000	204.500		20,449.31	-5,696 36	14,752 95			JDS Uniphase Corp
SPLDV	03-13-00	213410	1,000.000		0.00				0	0.00	JDS UNIPHASE CORP 2 FOR 1 STOCK DISTRIBUTION
SAL	04-13-00	217522	-800.000	97.662		78,126.83	-22,593 49	55,533.34			JDS UNIPHASE CORP @ 97.6616 78 129.44 TAX &/OR SEC 2 61 CANTOR FITZGERALD INC TF
PUR	05-01-00	220450	900.000	104 636	94,352.13				0	0.00	JDS UNIPHASE CORP @ 104.8357 SMITH BARNEY INC TRADE DATE 05/01/00
PUR	05-02-00	220493	100.000	96.313	9,631.25				0	0.00	JDS UNIPHASE CORP @ 96.3125 KNIGHT SECURITIES TRADE DATE 05/02/00
SAL	05-30-00	222163	-500.000	84.022		42,010.04	-52,417.85	-10,407.81			JDS Uniphase Corp
SAL	05-30-00	222164	-300.000	84.919		25,463.12	-31,450.71	-5,987.59			JDS Uniphase Corp
SAL	07-11-00	226815	-600.000	97.685		58,608.92	-31,515.58	27,093.34			JDS UNIPHASE CORP @ 97.6848 58 610 88 TAX &/OR SEC 1.96 MERRILL LYNCH PIERCE FEN
SAL	07-12-00	226825	-800.000	99.182		79,343.11	-22,401.52	56,941.59			JDS UNIPHASE CORP @ 99.1822 79 345.76 TAX &/OR SEC 2.65 MERRILL LYNCH PIERCE FEN
	TOTAL -		-1,600.000		104,183.38	387,984.39	-194,923.89	193,060.50	0	0.00	
OAKASS	JDSU	JDS Uniphase Corp									
PUR	10-04-00	237654	13,000.000	91.563	1,190,312.50				13,000	1,190,312 50	JDS Uniphase Corp
PUR	12-19-00	243856	4,200.000	57.875	243,075.00				4,200	243,075.00	JDS Uniphase @57.875
	TOTAL -		17,200.000		1,433,387.50	0.00	0.00	0.00	17,200	1,433,387 50	
WPS	JNJ	Johnson & Johnson									

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	01-24-00	208060	5,800.000	88.770	515,330.00				0	0.00	JOHNSON & JOHNSON @ 88.77 514 866.00 BROKERAGE 464.00 NEUBERGER & BERMAN TRADE [
SAL	04-18-00	217419	-22,800.000	81.369		1,853,318.23	-1,356,840.90	496,477.33			Johnson & Johnson @ 81.3686
SAL	04-25-00	217421	-3,500.000	84.146		294,220.13	-203,906.20	90,313.93			Johnson & Johnson @ 84.1457
SAL	04-26-00	217426	-4,700.000	82.771		388,633.79	-273,305.00	115,328.79			Johnson & Johnson @ 82.77080
SAL	04-26-00	217426	-5,800.000	82.771		479,590.64	-515,330.00	-35,739.36			Johnson & Johnson @ 82.77080
	TOTAL -		-31,000.000		515,330.00	3,015,762.79	-2,349,382.10	666,380.69	0	0.00	
OAKASS JNPR		Juniper Networks Inc									
PUR	10-04-00	237655	5,900.000	203.000	1,197,700.00				5,900	1,197,700.00	Juniper Networks Inc
	TOTAL -		5,900.000		1,197,700.00	0.00	0.00	0.00	5,900	1,197,700.00	
CCM	JPMSWEEP	J P Morgan Money Market Sweep									
PUR	01-03-00	208519	96,128.930	1.000	96,128.93				0	0.00	Prime Money Market Sweep Fund
SAL	01-04-00	208520	-244,672.000	1.000		244,672.00	-244,672.00	0.00			Prime Money Market Sweep Fund
SAL	01-05-00	208521	-44,985.550	1.000		44,985.55	-44,985.55	0.00			Prime Money Market Sweep Fund
SAL	01-06-00	208522	-23,966.280	1.000		23,966.28	-23,966.28	0.00			Prime Money Market Sweep Fund
PUR	01-07-00	208523	6,441.260	1.000	6,441.26				0	0.00	Prime Money Market Sweep fund
PUR	01-31-00	208581	56,975.800	1.000	56,975.80				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	01-31-00	208582	17,866.590	1.000	17,866.59				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	01-31-00	208583	16,877.720	1.000	16,877.72				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	01-31-00	208677	163,592.950	1.000	163,592.95				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	01-31-00	208678	146,325.220	1.000	146,325.22				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	01-31-00	208679	108,187.110	1.000	108,187.11				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	01-31-00	208680	123,695.080	1.000	123,695.08				0	0.00	PRIME MONEY MARKET SWEEP FUND
SAL	01-31-00	208584	-26,237.190	1.000	*	26,237.19	-26,237.19	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	01-31-00	208585	-104,871.670	1.000		104,871.67	-104,871.67	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	01-31-00	208586	-39,755.280	1.000		39,755.28	-39,755.28	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	01-31-00	208587	-44,126.630	1.000		44,126.63	-44,126.63	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	01-31-00	208681	-109,278.250	1.000		109,278.25	-109,278.25	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	01-31-00	208682	-71,728.260	1.000		71,728.26	-71,728.26	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	01-31-00	208683	-112,606.560	1.000		112,606.56	-112,606.56	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	01-31-00	208684	-255,744.570	1.000		255,744.57	-255,744.57	0.00			PRIME MONEY MARKET SWEEP FUND
PUR	02-01-00	212072	57,056.910	1.000	57,056.91				0	0.00	Prime Money Market Sweep Fund
PUR	02-02-00	212073	100,447.300	1.000	100,447.30				0	0.00	Prime Money Market Sweep Fund
SAL	02-03-00	212074	-33,986.140	1.000		33,986.14	-33,986.14	0.00			Prime Money Market Sweep Fund

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	02-29-00	212001	77,531.380	1.000	77,531.38				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	02-29-00	212002	176,140.000	1.000	176,140.00				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	02-29-00	212003	104,963.920	1.000	104,963.92				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	02-29-00	212004	237,150.140	1.000	237,150.14				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	02-29-00	212066	277,149.740	1.000	277,149.74				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	02-29-00	212067	330,134.680	1.000	330,134.68				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	02-29-00	212068	61,365.010	1.000	61,365.01				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	02-29-00	212069	106,747.870	1.000	106,747.87				0	0.00	PRIME MONEY MARKET SWEEP FUND
SAL	02-29-00	212005	-59,738.880	1.000		59,738.88	-59,738.88	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	02-29-00	212006	-75,045.950	1.000		75,045.95	-75,045.95	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	02-29-00	212007	-20,290.000	1.000		20,290.00	-20,290.00	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	02-29-00	212008	-159,982.620	1.000		159,982.62	-159,982.62	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	02-29-00	212009	-559,715.440	1.000		559,715.44	-559,715.44	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	02-29-00	212070	-200,071.670	1.000		200,071.67	-200,071.67	0.00			PRIME MONEY MARKET SWEEP FUND
PUR	03-01-00	213324	347,888.540	1.000	347,888.54				0	0.00	Prime Money Market Sweep Fund
PUR	03-02-00	213325	31,707.180	1.000	31,707.18				0	0.00	Prime Money Market Sweep Fund
SAL	03-03-00	213326	-97,843.730	1.000		97,843.73	-97,843.73	0.00			Prime Money Market Sweep Fund
PUR	03-16-00	213424	187,902.210	1.000	187,902.21				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	03-16-00	213425	58,234.140	1.000	58,234.14				0	0.00	PRIME MONEY MARKET SWEEP FUND
SAL	03-16-00	213426	-318,962.380	1.000		318,962.38	-318,962.38	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	03-16-00	213427	-124,148.760	1.000		124,148.76	-124,148.76	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	03-16-00	213428	-125,696.300	1.000		125,696.30	-125,696.30	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	03-16-00	213429	-179,327.520	1.000		179,327.52	-179,327.52	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	03-16-00	213430	-17,121.410	1.000		17,121.41	-17,121.41	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	03-16-00	213431	-5,309.260	1.000		5,309.26	-5,309.26	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	03-16-00	213432	-49,115.700	1.000		49,115.70	-49,115.70	0.00			PRIME MONEY MARKET SWEEP FUND
PUR	03-22-00	213525	601,901.240	1.000	601,901.24				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	03-22-00	213526	298,621.650	1.000	298,621.65				0	0.00	PRIME MONEY MARKET SWEEP FUND
SAL	03-22-00	213527	-2,399.600	1.000		2,399.60	-2,399.60	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	03-22-00	213528	-146,919.000	1.000		146,919.00	-146,919.00	0.00			PRIME MONEY MARKET SWEEP FUND
PUR	03-30-00	213604	86,102.140	1.000	86,102.14				0	0.00	PRIME MONEY MARKET SWEEP FUND
SAL	03-30-00	213605	-78,428.170	1.000		78,428.17	-78,428.17	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	03-30-00	213606	-55,423.460	1.000		55,423.46	-55,423.46	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	03-30-00	213607	-46,881.440	1.000		46,881.44	-46,881.44	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	03-30-00	213608	-330,400.080	1.000		330,400.08	-330,400.08	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	03-30-00	213609	-85,788.480	1.000		85,788.48	-85,788.48	0.00			PRIME MONEY MARKET SWEEP FUND
PUR	03-31-00	216161	146,948.170	1.000	146,948.17				0	0.00	To correct Sweep Pds/Pierpont

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	03-31-00	214681	-152,591.920	1.000		152,591.92	-152,591.92	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	04-03-00	217439	-137,423.030	1.000		137,423.03	-137,423.03	0.00			Prime Money Market Sweep Fund
SAL	04-04-00	217440	-107,622.780	1.000		107,622.78	-107,622.78	0.00			Prime Money Market Sweep Fund
SAL	04-05-00	217441	-87,815.220	1.000		87,815.22	-87,815.22	0.00			Prime Money Market Sweep Fund
PUR	04-14-00	217490	522,468.620	1.000	522,468.62				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	04-14-00	217491	63,428.030	1.000	63,428.03				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	04-14-00	217492	73,732.650	1.000	73,732.65				0	0.00	PRIME MONEY MARKET SWEEP FUND
SAL	04-14-00	217493	-74,672.680	1.000		74,672.68	-74,672.68	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	04-14-00	217494	-5,315.440	1.000		5,315.44	-5,315.44	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	04-14-00	217495	-128,729.000	1.000		128,729.00	-128,729.00	0.00			PRIME MONEY MARKET SWEEP FUND
PUR	04-28-00	217581	133,867.850	1.000	133,867.85				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	04-28-00	217582	59,815.880	1.000	59,815.88				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	04-28-00	217583	136,524.530	1.000	136,524.53				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	04-28-00	217584	46,644.240	1.000	46,644.24				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	04-28-00	217585	65,725.520	1.000	65,725.52				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	04-28-00	217586	38,200.930	1.000	38,200.93				0	0.00	PRIME MONEY MARKET SWEEP FUND
SAL	04-28-00	217587	-212,309.770	1.000		212,309.77	-212,309.77	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	04-28-00	217588	-15,576.320	1.000		15,576.32	-15,576.32	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	04-28-00	217589	-66,049.180	1.000		66,049.18	-66,049.18	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	05-01-00	220417	-111,941.650	1.000		111,941.65	-111,941.65	0.00			Prime Money Market Sweep Fund
PUR	05-02-00	220419	648,115.540	1.000	648,115.54				0	0.00	Prime Money Market Sweep Fund
SAL	05-03-00	220418	-202,440.650	1.000		202,440.65	-202,440.65	0.00			Prime Money Market Sweep Fund
PUR	05-22-00	220632	65,674.260	1.000	65,674.26				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	05-22-00	220633	826,656.770	1.000	826,656.77				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	05-22-00	220634	156,165.680	1.000	156,165.68				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	05-22-00	220635	62,977.740	1.000	62,977.74				0	0.00	PRIME MONEY MARKET SWEEP FUND
SAL	05-22-00	220636	-438,785.010	1.000		438,785.01	-438,785.01	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	05-22-00	220637	-836,492.770	1.000		836,492.77	-836,492.77	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	05-22-00	220638	-26,713.070	1.000		26,713.07	-26,713.07	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	05-22-00	220639	-44,715.430	1.000		44,715.43	-44,715.43	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	05-22-00	220640	-217,522.340	1.000		217,522.34	-217,522.34	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	05-22-00	220641	-266,097.720	1.000		266,097.72	-266,097.72	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	05-22-00	220642	-146,292.700	1.000		146,292.70	-146,292.70	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	05-22-00	220643	-151,400.550	1.000		151,400.55	-151,400.55	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	05-22-00	220644	-8,570.000	1.000		8,570.00	-8,570.00	0.00			PRIME MONEY MARKET SWEEP FUND
PUR	05-31-00	221516	20,342.380	1.000	20,342.38				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	05-31-00	221517	349,055.190	1.000	349,055.19				0	0.00	PRIME MONEY MARKET SWEEP FUND

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	05-31-00	221518	347,579.090	1.000	347,579.09				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	05-31-00	221519	157,511.410	1.000	157,511.41				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	05-31-00	221520	37,400.140	1.000	37,400.14				0	0.00	PRIME MONEY MARKET SWEEP FUND
SAL	05-31-00	221521	-194,707.330	1.000		194,707.33	-194,707.33	0.00			PRIME MONEY MARKET SWEEP FUND
PUR	06-01-00	223601	73,430.590	1.000	73,430.59				0	0.00	Prime Money Market Sweep Fund
PUR	06-02-00	223602	183,650.800	1.000	183,650.80				0	0.00	Prime Money Market Sweep Fund
SAL	06-05-00	223603	-441,375.090	1.000		441,375.09	-441,375.09	0.00			Prime Money Market Sweep Fund
PUR	06-21-00	223752	104,395.130	1.000	104,395.13				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	06-21-00	223753	1,081.240	1.000	1,081.24				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	06-21-00	223754	173,622.360	1.000	173,622.36				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	06-21-00	223755	126,938.360	1.000	126,938.36				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	06-21-00	223756	101,121.870	1.000	101,121.87				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	06-21-00	223757	136,507.330	1.000	136,507.33				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	06-21-00	223758	60,979.100	1.000	60,979.10				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	06-21-00	223759	165,098.840	1.000	165,096.84				0	0.00	PRIME MONEY MARKET SWEEP FUND
SAL	06-21-00	223760	-84,762.070	1.000		84,762.07	-84,762.07	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	06-21-00	223761	-341,323.610	1.000		341,323.61	-341,323.61	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	06-21-00	223762	-3,199.510	1.000		3,199.51	-3,199.51	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	06-21-00	223763	-227,184.530	1.000		227,184.53	-227,184.53	0.00			PRIME MONEY MARKET SWEEP FUND
PUR	06-30-00	224935	157,108.100	1.000	157,108.10				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	06-30-00	224936	32,238.950	1.000	32,238.95				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	06-30-00	224937	158,524.920	1.000	158,524.92				0	0.00	PRIME MONEY MARKET SWEEP FUND
SAL	06-30-00	224938	-296,607.970	1.000		296,607.97	-296,607.97	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	06-30-00	224939	-88,027.370	1.000		88,027.37	-88,027.37	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	06-30-00	224940	-49,583.430	1.000		49,583.43	-49,583.43	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	06-30-00	224941	-41,005.460	1.000		41,005.46	-41,005.48	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	07-03-00	226884	-75,457.520	1.000		75,457.52	-75,457.52	0.00			Prime Money Market Sweep Fund
PUR	07-05-00	226883	66,162.250	1.000	66,162.25				0	0.00	Prime Money Market Sweep Fund
SAL	07-06-00	226885	-180,077.450	1.000		180,077.45	-180,077.45	0.00			Prime Money Market Sweep Fund
PUR	07-20-00	226868	62,343.260	1.000	62,343.26				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	07-20-00	226869	37,260.760	1.000	37,260.76				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	07-20-00	226870	159,293.040	1.000	159,293.04				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	07-20-00	226871	156,571.410	1.000	156,571.41				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	07-20-00	226872	161,082.600	1.000	161,082.60				0	0.00	PRIME MONEY MARKET SWEEP FUND
SAL	07-20-00	226873	-25,525.650	1.000		25,525.65	25,525.65	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	07-20-00	226874	-104,549.270	1.000		104,549.27	-104,549.27	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	07-20-00	226875	-37,630.090	1.000		37,630.09	-37,630.09	0.00			PRIME MONEY MARKET SWEEP FUND

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	07-20-00	226876	-8,924.140	1.000		8,924.14	-8,924.14	0.00			PRIME MONEY MARKET SWEEP FUND
PUR	07-31-00	227591	72,615.450	1.000	72,615.45				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	07-31-00	227592	37,587.730	1.000	37,587.73				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	07-31-00	227918	100,183.040	1.000	100,183.04				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	07-31-00	227593	-13,369.230	1.000		13,369.23	-13,369.23	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	07-31-00	227594	-231,065.280	1.000		231,065.28	-231,065.28	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	08-01-00	232917	-25,682.890	1.000		25,682.89	-25,682.89	0.00			Prime Money Market Sweep Fund
PUR	08-02-00	232918	6,463.030	1.000	6,463.03				0	0.00	Prime Money Market Sweep Fund
SAL	08-03-00	230729	-176,057.410	1.000		176,057.41	-176,057.41	0.00			Prime Money Market Sweep Fund
PUR	08-23-00	230826	140,835.030	1.000	140,835.03				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	08-23-00	230827	61,712.740	1.000	61,712.74				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	08-23-00	230828	83,961.730	1.000	83,961.73				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	08-23-00	230829	30,943.600	1.000	30,943.60				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	08-23-00	230830	31,069.770	1.000	31,069.77				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	08-23-00	230831	19,796.410	1.000	19,796.41				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	08-23-00	230832	-153,309.210	1.000		153,309.21	-153,309.21	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	08-23-00	230833	-333,713.290	1.000		333,713.29	-333,713.29	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	08-23-00	230834	-64,499.200	1.000		64,499.20	-64,499.20	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	08-23-00	230835	-29,078.600	1.000		29,078.60	-29,078.60	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	08-23-00	230836	-50,358.550	1.000		50,358.55	-50,358.55	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	08-23-00	230837	-117,994.100	1.000		117,994.10	-117,994.10	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	08-23-00	230838	-58,399.080	1.000		58,399.08	-58,399.08	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	08-23-00	230839	-63,299.140	1.000		63,299.14	-63,299.14	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	08-31-00	231444	75,520.720	1.000	75,520.72				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	08-31-00	231445	12,179.590	1.000	12,179.59				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	08-31-00	231446	181,639.020	1.000	181,639.02				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	08-31-00	231447	118,451.520	1.000	118,451.52				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	08-31-00	231448	-126,860.240	1.000		126,860.24	-126,860.24	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	09-01-00	234246	81,834.220	1.000	81,834.22				0	0.00	Prime Money Market Sweep Fund
SAL	09-05-00	234248	-59,780.440	1.000		59,780.44	-59,780.44	0.00			Prime Money Market Sweep Fund
PUR	09-06-00	234247	140,739.260	1.000	140,739.26				0	0.00	Prime Money Market Sweep Fund
PUR	09-08-00	234105	155,028.010	1.000	155,028.01				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	09-08-00	234106	-173,751.020	1.000		173,751.02	-173,751.02	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	09-20-00	234395	23,480.440	1.000	23,480.44				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	09-20-00	234396	443,276.160	1.000	443,276.16				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	09-20-00	234397	119,481.760	1.000	119,481.76				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	09-20-00	234398	380,465.930	1.000	380,465.93				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	09-20-00	234399	29,749.610	1.000	29,749.61				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	09-20-00	234400	-147,618.060	1.000		147,618.06	-147,618.06	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	09-20-00	234401	-161,295.200	1.000		161,295.20	-161,295.20	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	09-20-00	234402	-114,780.450	1.000		114,780.45	-114,780.45	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	09-25-00	234874	22,355.350	1.000	22,355.35				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	09-25-00	234875	17,478.960	1.000	17,478.96				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	09-25-00	234876	-152,789.620	1.000		152,789.62	-152,789.62	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	09-26-00	235022	190,443.680	1.000	190,443.68				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	09-27-00	235132	114,286.690	1.000	114,286.69				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	09-28-00	235323	-490,138.620	1.000		490,138.62	-490,138.62	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	09-29-00	235406	41,217.910	1.000	41,217.91				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
TROUT	10-01-00	237770	-1,678,179.190	1.000		1,678,179.19	-1,678,179.19	0.00			COM tfr to Forstmann-Leff
	TOTAL -		-1,223,238.790		12,688,386.00	13,911,624.79	-13,911,624.79	0.00	0	0.00	
WPS	JPMSWEEP	J P Morgan Money Market Sweep									
PUR	01-31-00	208063	1,007,953.910	1.000	1,007,953.91				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	01-31-00	208064	713,670.250	1.000	713,670.25				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	01-31-00	208065	779,105.450	1.000	779,105.45				0	0.00	PRIME MONEY MARKET SWEEP FUND
SAL	01-31-00	208067	-733,338.200	1.000		733,338.20	-733,338.20	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	01-31-00	208068	-358,360.320	1.000		358,360.32	-358,360.32	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	01-31-00	208069	-733,488.000	1.000		733,488.00	-733,488.00	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	01-31-00	208070	-2,113.770	1.000		2,113.77	-2,113.77	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	01-31-00	208071	-515,330.000	1.000		515,330.00	-515,330.00	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	02-01-00	211488	-34,550.000	1.000		34,550.00	-34,550.00	0.00			Prime Money Market Sweep Fund
PUR	02-02-00	211489	173,670.390	1.000	173,670.39				0	0.00	Prime Money Market Sweep Fund
SAL	02-03-00	211490	-491,268.860	1.000		491,268.86	-491,268.86	0.00			Prime Money Market Sweep Fund
PUR	02-29-00	211473	19,682.630	1.000	19,682.63				6,776	8,775.69	PRIME MONEY MARKET SWEEP FUND
PUR	02-29-00	211474	314,991.210	1.000	314,991.21				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	02-29-00	211475	494,340.580	1.000	494,340.58				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	02-29-00	211476	209,280.030	1.000	209,280.03				0	0.00	PRIME MONEY MARKET SWEEP FUND
SAL	02-29-00	211477	-76,286.240	1.000		76,286.24	-76,286.24	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	02-29-00	211478	-462,301.080	1.000		462,301.08	-462,301.08	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	02-29-00	211479	-428,647.850	1.000		428,647.85	-428,647.85	0.00			PRIME MONEY MARKET SWEEP FUND
PUR	03-20-00	213633	581,830.960	1.000	581,830.96				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	03-20-00	213634	48,956.090	1.000	48,956.09				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	03-20-00	213635	544,905.750	1.000	544,905.75				0	0.00	PRIME MONEY MARKET SWEEP FUND

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Market Street Trust Company
PURCHASE / SALE DETAIL NISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	03-20-00	213636	-67,900.000	1.000		67,900.00	-67,900.00	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	03-20-00	213637	-562,427.400	1.000		562,427.40	-562,427.40	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	03-20-00	213638	-39,729.480	1.000		39,729.48	-39,729.48	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	03-20-00	213639	-29,789.450	1.000		29,789 45	-29,789.45	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	03-20-00	213640	-533,340.600	1.000		533,340.60	-533,340.60	0.00			PRIME MONEY MARKET SWEEP FUND
PUR	04-03-00	218438	403,020.000	1.000	403,020.00				71,298	71,298.31	Prime Money Market Sweep Fund
SAL	04-04-00	218439	-194,500.000	1.000		194,500.00	-194,500.00	0.00			Prime Money Market Sweep Fund
SAL	04-18-00	216509	-2,789.460	1.000		2,789.46	-2,789.46	0.00			Prime Money Market Sweep Fund
PUR	04-24-00	217413	1,352,805.030	1.000	1,352,805.03				0	0.00	Prime Money Market Sweep Fund
SAL	04-25-00	217423	-166,626.950	1.000		166,626.95	-166,626.95	0.00			Prime Money Market Sweep Fund
SAL	04-28-00	217424	-785,139 460	1.000		785,139.46	-785,139.46	0.00			Prime Money Market Sweep Fund
PUR	05-15-00	220411	27.270	1.000	27.27				0	0.00	Prime Money Market Sweep Fund
PUR	05-23-00	220395	817,579.720	1.000	817,579.72				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	05-23-00	220396	489,862.740	1.000	489,862.74				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	05-23-00	220397	123,277.870	1.000	123,277.87				0	0.00	PRIME MONEY MARKET SWEEP FUND
SAL	05-23-00	220398	-1,247,895.700	1.000		1,247,895.70	-1,247,895.70	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	05-23-00	220399	-180,915.900	1.000		180,915.90	-180,915.90	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	05-23 00	220400	-282,750.800	1.000		282,750.80	-282,750.80	0.00			PRIME MONEY MARKET SWEEP FUND
PUR	06-02-00	223585	501,246.610	1.000	501,246.61				0	0.00	Prime Money Market Sweep Fund
PUR	06-05-00	223586	105,114.380	1.000	105,114.38				0	0.00	Prime Money Market Sweep Fund
PUR	06-06-00	223587	1,137,186.330	1.000	1,137,186.33				0	0.00	Prime Money Market Sweep Fund
SAL	06-07-00	223593	-406,727.400	1.000		406,727.40	-406,727.40	0.00			Prime Money Market Sweep Fund
PUR	06-13-00	223588	212,244.940	1.000	212,244.94				0	0.00	Prime Money Market Sweep Fund
PUR	06-14-00	223589	498,101.850	1.000	498,101.85				0	0.00	Prime Money Market Sweep Fund
PUR	06-16-00	223590	1,188,143.160	1.000	1,188,143.16				0	0.00	Prime Money Market Sweep Fund
PUR	06-19-00	223591	487,649.860	1.000	487,649.86				0	0.00	Prime Money Market Sweep Fund
PUR	06-20-00	223592	420,541.350	1.000	420,541.35				0	0.00	Prime Money Market Sweep Fund
SAL	06-22-00	224832	-603,239.400	1.000		603,239.40	-603,239.40	0.00			Prime Money Market Sweep Fund
SAL	06-28-00	224833	-630,928.980	1.000		630,928.98	-630,928.98	0.00			Prime Money Market Sweep Fund
SAL	06-29-00	224834	-229,096.410	1.000		229,096.41	-229,096.41	0.00			Prime Money Market Sweep Fund
PUR	07-20-00	226748	488,192.620	1.000	488,192.62				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	07-20-00	226749	409,637.150	1.000	409,637.15				0	0.00	PRIME MONEY MARKET SWEEP FUND
SAL	07-20-00	226750	-104,000.000	1.000		104,000.00	-104,000.00	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	07-20-00	226751	-777,749.910	1.000		777,749.91	-777,749.91	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	07-20-00	226752	-283,965.260	1.000		283,965.26	-283,965.26	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	07-20-00	226753	-1,423,095.960	1.000		1,423,095.96	-1,423,095.96	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	07-20-00	226754	-225,989.700	1.000		225,989.70	-225,989.70	0.00			PRIME MONEY MARKET SWEEP FUND

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	07-26-00	227552	-440,016.910	1.000		440,016.91	-440,016.91	0.00			Prime Money Market Sweep Fund
SAL	07-27-00	227553	-457,102.500	1.000		457,102.50	-457,102.50	0.00			Prime Money Market Sweep Fund
SAL	07-28-00	227554	-123,591.330	1.000		123,591.33	-123,591.33	0.00			Prime Money Market Sweep Fund
PUR	08-23-00	230711	302,820.740	1.000	302,820.74				302,821	302,820.74	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	08-23-00	230712	1,729,289.500	1.000	1,729,289.50				86,712	86,711.98	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	08-23-00	230713	-21,453.270	1.000		21,453.27	-21,453.27	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	08-23-00	230714	-474,941.750	1.000		474,941.75	-474,941.75	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	08-23-00	230715	-21,605.080	1.000		21,605.08	-21,605.08	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	08-23-00	230716	-672,483.000	1.000		672,483.00	-672,483.00	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	09-01-00	234081	341,875.000	1.000	341,875.00				0	0.00	Prime Money Market Sweep Fund
PUR	09-20-00	234267	753,549.360	1.000	753,549.36				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	09-20-00	234268	2,187,343.650	1.000	2,187,343.65				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	09-20-00	234269	-250,770.000	1.000		250,770.00	-250,770.00	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	09-20-00	234270	-211,025.760	1.000		211,025.76	-211,025.76	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	09-21-00	234900	-3,273,066.670	1.000		3,273,066.67	-3,273,066.67	0.00			Prime Money Market Sweep Funds
PUR	09-29-00	235393	13,697,184.550	1.000	13,697,184.55				122,050	122,049.53	Prime Money Market Sweep Fund
PUR	10-18-00	236907	62,844.430	1.000	62,844.43				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	10-18-00	236908	560,328.480	1.000	560,328.48				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	10-18-00	236909	287,491.220	1.000	287,491.22				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	10-18-00	236910	-14,000,000.000	1.000		14,000,000.00	-14,000,000.00	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	10-19-00	238158	392,855.230	1.000	392,855.23				0	0.00	Prime Money Market Sweep Fund
PUR	10-24-00	238159	26,001.980	1.000	26,001.98				0	0.00	Prime Money Market Sweep Fund
PUR	10-30-00	238482	1,436,428.830	1.000	1,436,428.83				0	0.00	Prime Money Market Sweep Fund
SAL	11-02-00	242152	-85,798.670	1.000		85,798.67	-85,798.67	0.00			Prime Money Market Sweep Fund
PUR	11-27-00	240891	1,836,838.990	1.000	1,836,838.99				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	11-27-00	240892	1,253,674.390	1.000	1,253,674.39				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	11-27-00	240893	1,327,675.670	1.000	1,327,675.67				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	11-27-00	240894	-966,703.650	1.000		966,703.65	-966,703.65	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	11-27-00	240895	-1,008,360.010	1.000		1,008,360.01	-1,008,360.01	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	11-30-00	241236	-973,500.530	1.000		973,500.53	-973,500.53	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	11-30-00	241237	-2,155,850.020	1.000		2,155,850.02	-2,155,850.02	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	12-19-00	243004	1,188,742.700	1.000	1,188,742.70				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	12-19-00	243005	526,853.880	1.000	526,853.88				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	12-19-00	243006	414,703.930	1.000	414,703.93				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	12-19-00	243007	-180,000.000	1.000		1 0,000.00	-180,000.00	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	12-19-00	243008	-330,705.850	1.000		330,705.85	-330,705.85	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	12-19-00	243009	-511,331.100	1.000		511,331.10	-511,331.10	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	12-19-00	243010	-1,069,447.260	1.000		1,069,447.26	-1,069,447.26	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	12-19-00	243011	-541,212.340	1.000		541,212.34	-541,212.34	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	12-19-00	243012	-163,569.800	1.000		163,569.80	-163,569.80	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	12-20-00	243849	-384,923.520	1.000		384,923.52	-384,923.52	0.00			Prime Money Market Sweep Fund
SAL	12-22-00	243850	-518,172.000	1.000		518,172.00	-518,172.00	0.00			Prime Money Market Sweep Fund
PUR	12-28-00	244719	26.550	1.000	26.55				27	26.55	Prime Money Market Sweep Fund
	TOTAL -		397,633.650		41,849,547.21	41,451,913.56	-41,451,913.56	0.00	591,683	591,682.80	
F-L	JPMSWEEP	J P Morgan Money Market Sweep									
TRIN	10-01-00	237770.1	167.230	1.000	167.23				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237770.2	140,739.260	1.000	140,739.26				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237770.3	155,028.010	1.000	155,028.01				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237770.4	23,488.440	1.000	23,488.44				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237770.5	443,276.160	1.000	443,276.16				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237770.6	119,481.760	1.000	119,481.76				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237770.7	380,465.930	1.000	380,465.93				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237770.8	29,749.810	1.000	29,749.81				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237770.9	22,355.350	1.000	22,355.35				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237770.10	17,478.960	1.000	17,478.96				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237770.11	190,443.680	1.000	190,443.68				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237770.12	114,286.690	1.000	114,286.69				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237770.13	41,217.910	1.000	41,217.91				0	0.00	CCM tfr to Forstmann-Leff
PUR	10-05-00	237928	73,508.110	1.000	73,508.11				0	0.00	Prime Money Market Sweep Fund
PUR	10-06-00	237930	4,627,970.420	1.000	4,627,970.42				0	0.00	Prime Money Market Sweep Fund
PUR	10-10-00	237931	2,137,856.800	1.000	2,137,856.80				0	0.00	Prime Money Market Sweep Fund
SAL	10-11-00	237933	-2,608,163.320	1.000		2,608,163.32	-2,608,163.32	0.00			Prime Money Market Sweep Fund
SAL	10-12-00	237935	-853,602.840	1.000		853,602.84	-853,602.84	0.00			Prime Money Market Sweep Fund
SAL	10-13-00	237936	-3,327,014.030	1.000		3,327,014.03	-3,327,014.03	0.00			Prime Money Market Sweep Fund
SAL	10-16-00	237938	-698,365.240	1.000		698,365.24	-698,365.24	0.00			Prime Money Market Sweep Fund
SAL	10-17-00	237939	-876,427.390	1.000		876,427.39	-876,427.39	0.00			Prime Money Market Sweep Fund
SAL	10-18-00	237940	-153,941.700	1.000		153,941.70	-153,941.70	0.00			Prime Money Market Sweep Fund
PUR	10-26-00	238222	407,752.600	1.000	407,752.60				0	0.00	Prime Money Market Sweep Fund
PUR	10-30-00	238484	196,288.420	1.000	196,288.42				0	0.00	Prime Money Market Sweep Fund
PUR	10-31-00	238485	163,701.720	1.000	163,701.72				0	0.00	Prime Money Market Sweep Fund
SAL	11-01-00	241134	-383,490.930	1.000		383,490.93	-383,490.93	0.00			Prime Money Market Sweep Fund
SAL	11-02-00	241135	-40,922.110	1.000		40,922.11	-40,922.11	0.00			Prime Money Market Sweep Fund

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	11-03-00	241129	1,635,513.580	1.000	1,635,513.58				647,165	647,164 62	Prime Money Market Sweep Fund
SAL	11-06-00	241136	-89,420.980	1.000		89,420.98	-89,420.98	0.00			Prime Money Market Sweep Fund
SAL	11-07-00	241137	-68,849.350	1.000		68,849.35	-68,849.35	0.00			Prime Money Market Sweep Fund
SAL	11-08-00	241138	-45,775.050	1.000		45,775.05	-45,775.05	0.00			Prime Money Market Sweep Fund
SAL	11-09-00	241139	-109,255.840	1.000		109,255.84	-109,255.84	0.00			Prime Money Market Sweep Fund
SAL	11-10-00	241140	-68,423.630	1.000		68,423.63	-68,423.63	0.00			Prime Money Market Sweep Fund
PUR	11-14-00	241130	135,805.330	1.000	135,805.33				135,805	135,805.33	Prime Money Market Sweep Fund
SAL	11-15-00	241141	-25,674.240	1.000		25,674 24	-25,674 24	0.00			Prime Money Market Sweep Fund
PUR	11-16-00	241131	291,173.220	1.000	291,173.22				291,173	291,173.22	Prime Money Market Sweep Fund
PUR	11-17-00	241132	60,245.830	1.000	60,245.83				60,246	60,245 83	Prime Money Market Sweep Fund
PUR	11-20-00	241133	40,907.260	1.000	40,907.26				40,907	40,907.26	Prime Money Market Sweep Fund
SAL	11-21-00	241142	-78,753.180	1.000		78,753.18	-78,753.18	0.00			Prime Money Market Sweep Fund
SAL	11-22-00	241143	-164,750.130	1.000		164,750.13	-164,750.13	0.00			Prime Money Market Sweep Fund
SAL	11-28-00	241246	-27,458.360	1.000		27,458.36	-27,458.36	0.00			Prime Money Market Sweep Fund
SAL	12-05-00	243041	-67,275.000	1.000		67,275.00	-67,275.00	0.00			Prime Money Market Sweep Fund
PUR	12-06-00	243038	44,398.520	1.000	44,398.52				44,399	44,398.52	Prime Money Market Sweep Fund
PUR	12-07-00	243039	91,945.810	1.000	91,945.81				91,946	91,945.81	Prime Money Market Sweep Fund
PUR	12-08-00	243809	69,168.610	1.000	69,168.61				69,169	69,168.61	Prime Money Market Sweep Fund
SAL	12-19-00	243042	-17,493.500	1.000		17,493.50	-17,493 50	0 00			Prime Money Market Sweep Fund
SAL	12-20-00	243978	-95,474.430	1.000		95,474 43	-95,474 43	0.00			Prime Money Market Sweep Fund
PUR	12-21-00	243962	58,217.190	1.000	58,217.19				58,217	58,217 19	Prime Money Market Sweep Fund
SAL	12-22-00	243981	-38,821.230	1.000		38,821 23	-38,821.23	0.00			Prime Money Market Sweep Fund
SAL	12-26-00	243982	-37,975.140	1.000		37,975 14	-37,975.14	0.00			Prime Money Market Sweep Fund
SAL	12-27-00	243983	-358,064.270	1.000		358,064 27	-358,064 27	0.00			Prime Money Market Sweep Fund
PUR	12-28-00	244732	12,456.520	1.000	12,456.52				12,457	12,456 52	Prime Money Market Sweep Fund
SAL	12-29-00	244738	-38,214.330	1.000		38,214 33	-38,214 33	0 00			Prime Money Market Sweep Fund
	TOTAL -		1,451,482.910		11,725,089.13	10,273,606.22	-10,273,606 22	0.00	1,451,483	1,451,482.91	
OAKASS JPMSWEEP	J P Morgan Money Market Sweep										
PUR	09-18-00	234460	16,328,400.000	1.000	16,328,400.00				0	0 00	Prime Money Market Sweep Fund
PUR	10-03-00	237640	4,780,267.000	1.000	4,780,267.00				0	0.00	Prime Money Market Sweep Fund
SAL	10-10-00	237644	-11,932,309.750	1.000		11,932,309.75	-11,932,309.75	0.00			Prime Money Market Sweep Fund
SAL	10-12-00	237645	-4,505,731.050	1.000		4,505,731 05	-4,505,731.05	0.00			Prime Money Market Sweep Fund
PUR	10-13-00	237643	2,260,393.550	1.000	2,260,393.55				0	0 00	Prime Money Market Sweep Fund
SAL	10-16-00	237646	-5,635,268.170	1.000		5,635,268.17	-5,635,268.17	0.00			Prime Money Market Sweep Fund
SAL	11-16-00	241075	-850.040	1.000		850.04	-850.04	0.00			Prime Money Market Sweep Fund

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ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	11-28-00	241252	-27,458.370	1.000		27,458.37	-27,458.37	0.00			Prime Money Market Sweep Fund
PUR	12-05-00	243019	173,667.000	1.000	173,667.00				62,438	62,437.61	Prime Money Market Sweep Fund
SAL	12-11-00	243021	-448,125.000	1.000		448,125.00	-448,125.00	0.00			Prime Money Market Sweep Fund
SAL	12-22-00	243854	-848,325.000	1.000		848,325.00	-848,325.00	0.00			Prime Money Market Sweep Fund
SAL	12-26-00	243855	-82,222.560	1.000		82,222.56	-82,222.56	0.00			Prime Money Market Sweep Fund
PUR	12-28-00	244723	26.540	1.000	26.54				27	26.54	Prime Money Market Sweep Fund
	TOTAL -		62,464.150		23,542,754.09	23,480,289.94	-23,480,289.94	0.00	62,464	62,464.15	
CCM	KBL	Keebler Foods Co.									
PUR	05-24-00	221502	5,300.000	35.901	190,538.71				0	0.00	KEEBLER FOODS CO @ 35.9007 190 273.71 BROKERAGE 265.00 MERRILL LYNCH PIERCE FENN
PUR	05-25-00	221476	600.000	35.696	21,447.66				0	0.00	KEEBLER FOODS CO @ 35.6961 21 417.66 BROKERAGE 30.00 MERRILL LYNCH PIERCE FENNEF
PUR	05-26-00	222129	200.000	35.964	7,202.82				0	0.00	Keebler Foods Co.
PUR	05-30-00	222134	900.000	36.592	32,978.25				0	0.00	Keebler Foods Co.
PUR	07-20-00	227577	300.000	44.063	13,233.75				0	0.00	KEEBLER FOODS CO @ 44.0625 13 218.75 BROKERAGE 15.00 FIRST UNION CAP MKTS TRADE
SAL	08-10-00	230794	-1,500.000	44.115		66,095.44	-57,009.89	9,085.55			KEEBLER FOODS CO @ 44.1151 66 172.65 BROKERAGE 75.00 TAX &/OR SEC 2.21 JEFFERIES
SAL	08-11-00	230800	-1,600.000	44.275		70,758.27	-57,521.12	13,237.15			KEEBLER FOODS CO @ 44.2754 70 840.64 BROKERAGE 80.00 TAX &/OR SEC 2.37 GEORGE K
SAL	08-14-00	230804	-300.000	44.574		13,356.69	-10,785.21	2,571.48			KEEBLER FOODS CO @ 44.5738 13 372.14 BROKERAGE 15.00 TAX &/OR SEC .45 GEORGE K E
SAL	08-15-00	230806	-400.000	44.383		17,732.76	-14,380.28	3,352.48			KEEBLER FOODS CO @ 44.3834 17 753.36 BROKERAGE 20.00 TAX &/OR SEC .60 GEORGE K E
SAL	08-15-00	230807	-300.000	44.508		13,337.01	-10,785.21	2,551.80			KEEBLER FOODS CO @ 44.5082 13 352.46 BROKERAGE 15.00 TAX &/OR SEC .45 SHERWOOD S
SAL	08-16-00	230809	-400.000	44.505		17,781.52	-14,380.28	3,401.24			KEEBLER FOODS CO @ 44.5053 17 802.12 BROKERAGE 20.00 TAX &/OR SEC .60 SHERWOOD S
SAL	08-17-00	230813	-200.000	44.757		8,941.18	-7,190.14	1,751.04			KEEBLER FOODS CO @ 44.7574 8 951.48 BROKERAGE 10.00 TAX &/OR SEC .30 SHERWOOD SE
SAL	08-18-00	230818	-400.000	44.898		17,938.44	-14,380.28	3,558.16			KEEBLER FOODS CO @ 44.8976 17 959.04 BROKERAGE 20.00 TAX &/OR SEC .60 SHERWOOD S
SAL	08-25-00	231427	-200.000	44.750		8,939.70	-7,190.14	1,749.56			KEEBLER FOODS CO @ 44.75 8 950.00 BROKERAGE 10.00 TAX &/OR SEC .30 JEFFERIES & C
SAL	08-29-00	231708	-300.000	45.000		13,484.55	-10,785.21	2,699.34			Keebler Foods Co @45.00
SAL	08-31-00	232079	-400.000	45.501		18,179.87	-14,380.28	3,799.59			Keebler Foods Co @45.5012
SAL	09-18-00	234843	-1,300.000	43.188		56,077.00	-46,613.15	9,463.85			KEEBLER FOODS CO @ 43.1876 56 143.88 BROKERAGE 65.00 TAX &/OR SEC 1.88 PIPER JAF
	TOTAL -		0.000		265,401.19	322,622.43	-265,401.19	57,221.24	0	0.00	
CCM	KLAC	KLA-Tencor Corp									
SPLDV	01-19-00	208580	3,100.000		0.00				0	0.00	KLA-TENCOR CORP 2 FOR 1 STOCK DISTRIBUTION
SAL	03-17-00	213505	-700.000	78.174		54,719.83	-25,551.23	29,168.60			KLA-TENCOR CORP @ 78.1738 54 721.66 TAX &/OR SEC 1.83 DONALDSON LUFKIN & JENRETT
PUR	05-02-00	220494	200.000	71.813	14,362.50				0	0.00	KLA-TENCOR CORP @ 71.8125 KNIGHT SECURITIES TRADE DATE 05/02/00
SAL	05-04-00	220542	-1,800.000	63.432		114,174.33	-68,018.27	46,156.06			KLA-TENCOR CORP @ 63.4323 114 178.14 TAX &/OR SEC 3.81 HERZOG HEINE GEDULD INC T
SAL	05-19-00	221482	-900.000	52.788		47,507.16	-29,241.58	18,265.58			KLA-TENCOR CORP @ 52.7875 47 508.75 TAX &/OR SEC 1.59 MORGAN STANLEY & CO TRADE
SAL	05-22-00	221489	-3,000.000	49.076		147,221.59	-97,062.31	50,159.28			KLA-TENCOR CORP @ 49.0755 147 226.50 TAX &/OR SEC 4.91 MORGAN STANLEY & CO TRADE

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		-3,100.000		14,362.50	363,622.91	-219,873 39	143,749 52	0	0.00	
COM	KMG	Kerr-McGee Corp									
PUR	02-10-00	211966	1,500.000	47.135	70,777.50				0	0.00	KERR-MC GEE CORP @ 47.135 70 702.50 BROKERAGE 75.00 WEEDEN & CO TRADE DATE 02/1(
PUR	05-02-00	220495	200.000	54.000	10,806.00				0	0.00	KERR-MC GEE CORP @ 54.00 10 800.00 BROKERAGE 6.00 BRIDGE TRADING CO TRADE DATE (
TROUT	10-01-00	237771	-5,400.000	66.250		273,834 62	-273,834.62	0.00			COM tfr to Forstmann-Leff
	TOTAL -		-3,700.000		81,583.50	273,834.62	-273,834 62	0.00	0	0.00	
F-L	KMG	Kerr-McGee Corp									
TRIN	10-01-00	237771.8	700.000	50.036	35,026.74				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237771.7	300.000	50.502	15,150.51				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237771.3	400.000	51.483	20,593.00				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237771.5	100.000	51.245	5,124.45				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237771.6	1,400.000	51.038	71,523.06				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237771.4	400.000	51.288	20,535.36				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237771.1	400.000	60.695	24,298.00				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237771.9	1,500.000	47.135	70,777.50				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237771.2	200.000	54.000	10,806.00				0	0.00	COM tfr to Forstmann-Leff
SAL	10-03-00	237991	-3,300.000	66 625		219,657.17	-167,343 38	52,313 79			Kerr-McGee Corp
SAL	10-03-00	237991	-2,100.000	66.625		139,781.83	-106,491 24	33,290.59			Kerr-McGee Corp
	TOTAL -		0.000		273,834.62	359,439.00	-273,834.62	85,604 38	0	0.00	
WPS	KSS	Kohls Corp									
PUR	11-16-00	240883	9,000.000	53.875	485,595.00				9,000	485,595.00	KOHLS CORP @ 53 875 484 875 00 BROKERAGE 720.00 NEUBERGER & BERMAN TRADE DATE 11
PUR	11-21-00	240888	11,300.000	50 482	571,347.21				11,300	571,347.21	KOHLS CORP @ 50 4817 570 443 21 BROKERAGE 904 00 NEUBERGER & BERMAN TRADE DATE
	TOTAL -		20,300.000		1,056,942.21	0 00	0.00	0 00	20,300	1,056,942 21	
COM	LEH	Lehman Brothers Holdings Inc.									
PUR	03-17-00	213506	1,600.000	91.842	147,027.36				0	0.00	LEHMAN BROTHERS HOLDINGS INC @ 91.8421 146 947.36 BROKERAGE 80.00 ABN AMRO CHICA
PUR	03-20-00	213545	400.000	90.572	36,248.96				0	0.00	LEHMAN BROTHERS HOLDINGS INC @ 90.5724 36 228 96 BROKERAGE 20.00 ABN AMRO CHICAC
PUR	03-21-00	213554	600.000	89.474	53,714.64				0	0 00	LEHMAN BROTHERS HOLDINGS INC @ 89 4744 53 684 64 BROKERAGE 30.00 ABN AMRO CHICAC
SAL	04-28-00	218470	-1,200.000	81.852		98,159 48	-110,270.52	-12,111.04			Lehman Brothers Holdings Inc
SAL	05-16-00	221479	-500.000	88.854		44,400 26	-45,819.08	-1,416 82			LEHMAN BROTHERS HOLDINGS INC @ 88 8535 44 426.75 BROKERAGE 25.00 TAX &/OR SEC 1
SAL	05-19-00	221483	-500.000	82.678		41,312 62	-45,091.60	-3,778.98			LEHMAN BROTHERS HOLDINGS INC @ 82 678 41 339.00 BROKERAGE 25.00 TAX &/OR SEC 1

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	05-22-00	221490	-400.000	79.474		31,768.70	-35,809.76	-4,041.06			LEHMAN BROTHERS HOLDINGS INC @ 79.4744 31 789.76 BROKERAGE 20.00 TAX &/OR SEC 1.
PUR	08-29-00	231702	500.000	140.226	70,137.75				0	0.00	Lehman Brothers Holdings Inc
PUR	09-06-00	234276	800.000	150.933	120,786.00				0	0.00	LEHMAN BROTHERS HOLDINGS INC @ 150.9325 120 746.00 BROKERAGE 40.00 NEUBERGER & E
PUR	09-07-00	234298	200.000	146.327	29,275.34				0	0.00	LEHMAN BROTHERS HOLDINGS INC @ 146.3267 29 265.34 BROKERAGE 10.00 NEUBERGER & BE
PUR	09-08-00	234319	100.000	149.164	14,921.38				0	0.00	LEHMAN BROTHERS HOLDINGS INC @ 149.1638 14 916.38 BROKERAGE 5.00 BHF SECURITIES
PUR	09-19-00	234853	200.000	142.737	28,557.38				0	0.00	LEHMAN BROTHERS HOLDINGS INC @ 142.7369 28 547.38 BROKERAGE 10.00 SOUNDVIEW FINA
PUR	09-25-00	235306	300.000	146.136	43,855.86				0	0.00	LEHMAN BROTHERS HOLDINGS INC @ 146.1362 43 840.86 BROKERAGE 15.00 NATIONSBANC MO
TROUT	10-01-00	237772	-2,100.000	147.750		307,533.71	-307,533.71	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		0.000		544,524.67	523,174.77	-544,524.67	-21,349.90	0	0.00	
F-L	LEH	Lehman Brothers Holdings Inc.									
TRIN	10-01-00	237772.6	500.000	140.226	70,137.75				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237772.1	800.000	150.933	120,786.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237772.3	200.000	146.327	29,275.34				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237772.2	100.000	149.164	14,921.38				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237772.5	200.000	142.737	28,557.38				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237772.4	300.000	146.136	43,855.86				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-06-00	238031	-1,000.000	139.000		138,935.36	-146,444.63	-7,509.27			Lehman Brothers Holdings Inc
SAL	10-09-00	238028	-1,100.000	132.000		145,129.16	-161,089.08	-15,959.92			Lehman Brothers Holdings Inc
	TOTAL -		0.000		307,533.71	284,064.52	-307,533.71	-23,469.19	0	0.00	
CCM	LEN	Lennar Corp									
PUR	03-17-00	213541	800.000	20.442	16,393.52				0	0.00	LENNAR CORP @ 20.4419 16 353.52 BROKERAGE 40.00 CREDIT SUISSE FIRST BOSTON CORP
PUR	03-20-00	213546	500.000	20.482	10,265.75				0	0.00	LENNAR CORP @ 20.4815 10 240.75 BROKERAGE 25.00 CREDIT SUISSE FIRST BOSTON CORP
PUR	03-21-00	213555	800.000	20.766	16,652.48				0	0.00	LENNAR CORP @ 20.7656 16 612.48 BROKERAGE 40.00 CREDIT SUISSE FIRST BOSTON CORP
PUR	03-22-00	213567	900.000	20.500	18,495.00				0	0.00	LENNAR CORP @ 20.50 18 450.00 BROKERAGE 45.00 CREDIT SUISSE FIRST BOSTON CORP TR
PUR	03-24-00	213590	200.000	20.688	4,147.50				0	0.00	LENNAR CORP @ 20.6875 4 137.50 BROKERAGE 10.00 CANTOR FITZGERALD INC TRADE DATE
PUR	03-28-00	214674	800.000	20.707	16,605.36				0	0.00	LENNAR CORP @ 20.7067 16 565.36 BROKERAGE 40.00 CANTOR FITZGERALD INC TRADE DATE
PUR	03-29-00	215888	500.000	20.589	10,319.50				0	0.00	Lennar Corp
PUR	03-30-00	215895	1,100.000	21.167	23,338.81				0	0.00	Lennar Corp
PUR	05-02-00	220496	200.000	18.313	3,668.50				0	0.00	LENNAR CORP @ 18.3125 3 662.50 BROKERAGE 6.00 BRIDGE TRADING CO TRADE DATE 05/02
PUR	05-05-00	220550	1,200.000	18.750	22,560.00				0	0.00	LENNAR CORP @ 18.75 22 500.00 BROKERAGE 60.00 OPPENHEIMER & CO TRADE DATE 05/05/
PUR	05-08-00	220556	200.000	18.000	3,610.00				0	0.00	LENNAR CORP @ 18.00 3 600.00 BROKERAGE 10.00 DONALDSON LUFKIN & JENRETTE SECS TR
PUR	05-09-00	220561	500.000	18.375	9,212.50				0	0.00	LENNAR CORP @ 18.375 9 187.50 BROKERAGE 25.00 BANCAMERICA ROBERTSON STEPHENS TRA
PUR	05-10-00	220572	400.000	18.025	7,230.00				0	0.00	LENNAR CORP @ 18.025 7 210.00 BROKERAGE 20.00 SCOTT STRINGFELLON INC TRADE DATE

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	05-11-00	220589	100.000	18.188	1,823.75				0	0.00	LENNAR CORP @ 18.1875 1 818 75 BROKERAGE 5.00 SCOTT STRINGFELLON INC TRADE DATE
PUR	05-12-00	220605	200.000	18.969	3,803.78				0	0.00	LENNAR CORP @ 18.9689 3 793.78 BROKERAGE 10.00 BANCAMERICA ROBERTSON STEPNENS TF
PUR	06-02-00	223628	800.000	19.423	15,578.56				0	0.00	LENNAR CORP @ 19.4232 15 538.56 BROKERAGE 40.00 OPPENHEIMER & CO TRADE DATE 06/0
PUR	06-05-00	223644	200.000	19.374	3,884.76				0	0.00	LENNAR CORP @ 19.3738 3 874.76 BROKERAGE 10.00 OPPENHEIMER & CO TRADE DATE 06/0
PUR	06-06-00	223650	1,200.000	19.449	23,398.92				0	0.00	LENNAR CORP @ 19.4491 23 338.92 BROKERAGE 60.00 OPPENHEIMER & CO TRADE DATE 06/0
PUR	06-07-00	223663	600.000	18.970	11,412.12				0	0.00	LENNAR CORP @ 18.9702 11 382.12 BROKERAGE 30.00 OPPENHEIMER & CO TRADE DATE 06/0
PUR	06-08-00	223676	400.000	19.000	7,620.00				0	0 00	LENNAR CORP @ 19.00 7 600.00 BROKERAGE 20.00 OPPENHEIMER & CO TRADE DATE 06/08/0
PUR	06-09-00	223686	600.000	19.325	11,624.76				0	0.00	LENNAR CORP @ 19.3246 11 594.76 BROKERAGE 30.00 OPPENHEIMER & CO TRADE DATE 06/0
TROUT	10-01-00	237774	-12,200.000	29.688		241,645.57	-241,645.57	0.00			COM tfr to Forstmann-Leff
	TOTAL -		0.000		241,645.57	241,645 57	-241,645.57	0.00	0	0.00	
F-L	LEN	Lennar Corp									
TRIN	10-01-00	237774.8	800.000	20.442	16,393.52				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237774.7	500.000	20.482	10,265.75				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237774 2	800.000	20.766	16,652.48				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237774.6	900.000	20.500	18,495.00				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237774.4	200.000	20.688	4,147.50				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237774.3	800.000	20.707	16,605.36				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237774.5	500.000	20.589	10,319.50				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237774.1	1,100.000	21.167	23,338 81				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237774 18	200.000	18.313	3,668.50				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237774 16	1,200.000	18.750	22,560.00				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237774.21	200.000	18.000	3,610.00				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237774.17	500.000	18.375	9,212.50				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237774.20	400.000	18.025	7,230.00				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237774.19	100.000	18.188	1,823.75				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237774.15	200.000	18.969	3,803.78				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237774.10	800.000	19.423	15,578.56				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237774.11	200.000	19 374	3,884.76				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237774.9	1,200.000	19.449	23,398.92				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237774.14	600.000	18.970	11,412.12				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237774.13	400.000	19.000	7,620.00				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237774.12	600.000	19.325	11,624.76				0	0.00	COM tfr to Forstmann-Leff
SAL	10-06-00	238022	-12,200.000	28.963		352,606.04	-241,645.57	110,960.47			Lennar Corp
	TOTAL -		0.000		241,645.57	352,606.04	-241,645.57	110,960.47	0	0.00	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CCM	LGTO	Legato Systems Inc									
PUR	01-06-00	208538	300.000	64.656	19,408.89				0	0.00	LEGATO SYSTEMS INC @ 64.6563 19 396.89 BROKERAGE 12.00 INSTINET TRADE DATE 01/06
PUR	01-06-00	208539	600.000	65.557	39,334.26				0	0.00	LEGATO SYSTEMS INC @ 65.5571 BANCAMERICA ROBERTSON STEPNENS TRADE DATE 01/06/00
PUR	01-11-00	208567	300.000	59.938	17,981.25				0	0.00	LEGATO SYSTEMS INC @ 59.9375 SOUNDVIEW FINANCIAL GROUP TRADE DATE 01/11/00
SAL	02-03-00	211957	-3,100.000	28.226		87,497.68	-119,407.65	-31,909.97			LEGATO SYSTEMS INC @ 28.226 87 500.60 TAX &/OR SEC 2.92 SOUNDVIEW FINANCIAL GROU
SAL	02-04-00	211962	-500.000	27.921		13,960.18	-11,187.50	2,772.68			LEGATO SYSTEMS INC @ 27.9213 13 960.65 TAX &/OR SEC .47 SOUNDVIEW FINANCIAL GROU
	TOTAL -		-2,400.000		76,724.40	101,457.86	-130,595.15	-29,137.29	0	0.00	
WPS	LLTC	Linear Technology Corp									
PUR	07-31-00	229427	15,500.000	53.491	830,359.60				0	0.00	Linear Technology Corp @ 53.49
PUR	06-02-00	230708	8,500.000	55.796	474,941.75				0	0.00	LINEAR TECHNOLOGY CORP @ 55.7955 474 261.75 BROKERAGE 660.00 NEUBERGER & BERMAN
SAL	11-28-00	241334	-24,000.000	49.613		1,188,742.70	-1,305,301.55	-116,558.85			Linear Technology Corp @49.612
	TOTAL -		0.000		1,305,301.55	1,188,742.70	-1,305,301.55	-116,558.85	0	0.00	
WPS	LLY	Eli Lilly & Co									
PUR	11-15-00	240881	6,000.000	86.250	517,980.00				6,000	517,980.00	ELI LILLY & CO @ 86.25 517 500.00 BROKERAGE 480.00 NEUBERGER & BERMAN TRADE DATE
PUR	11-16-00	240884	5,500.000	87.394	481,108.65				5,500	481,108.65	ELI LILLY & CO @ 87.3943 480 668.65 BROKERAGE 440.00 NEUBERGER & BERMAN TRADE DA
	TOTAL -		11,500.000		999,088.65	0.00	0.00	0.00	11,500	999,088.65	
F-L	LM	Legg Mason Inc									
PUR	10-05-00	238051	4,100.000	57.936	237,789.75				4,100	234,100.86	Legg Mason Inc
	TOTAL -		4,100.000		237,789.75	0.00	0.00	0.00	4,100	234,100.86	
CCM	LNC	Lincoln National Corp.									
PUR	04-12-00	217517	800.000	32.907	26,365.36				0	0.00	LINCOLN NATIONAL CORP @ 32.9067 26 325.36 BROKERAGE 40.00 BEAR STEARNS SECURITIE
PUR	04-13-00	217523	1,900.000	34.649	65,928.48				0	0.00	LINCOLN NATIONAL CORP @ 34.6492 65 833.48 BROKERAGE 95.00 DONALDSON LUFKIN & JEN
PUR	04-14-00	217538	400.000	32.463	13,005.20				0	0.00	LINCOLN NATIONAL CORP @ 32.463 12 985.20 BROKERAGE 20.00 DONALDSON LUFKIN & JENR
PUR	04-19-00	217559	2,600.000	32.307	84,126.90				0	0.00	LINCOLN NATIONAL CORP @ 32.3065 83 996.90 BROKERAGE 130.00 SOUNDVIEW FINANCIAL C
PUR	04-20-00	217562	900.000	32.879	29,636.10				0	0.00	LINCOLN NATIONAL CORP @ 32.879 29 591.10 BROKERAGE 45.00 SOUNDVIEW FINANCIAL GRO
PUR	05-02-00	220497	200.000	35.000	7,006.00				0	0.00	LINCOLN NATIONAL CORP @ 35.00 7 000.00 BROKERAGE 6.00 BRIDGE TRADING CO TRADE DA
PUR	06-14-00	223705	800.000	39.130	31,344.16				0	0.00	LINCOLN NATIONAL CORP @ 39.1302 31 304.16 BROKERAGE 40.00 DAIN RAUSCHER INCORPOR
PUR	06-15-00	223720	100.000	39.307	3,935.68				0	0.00	LINCOLN NATIONAL CORP @ 39.3068 3 930.68 BROKERAGE 5.00 ADAMS HARKNESS & HILL IN

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ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	07-10-00	226808	-1,300.000	39.577		51,383.38	-49,225.68	2,157.70			LINCOLN NATIONAL CORP @ 39.577 51 450.10 BROKERAGE 65.00 TAX &/OR SEC 1.72 ING E
SAL	07-10-00	226809	-500.000	39.000		19,474 35	-17,349.60	2,124 75			LINCOLN NATIONAL CORP @ 39.00 19 500.00 BROKERAGE 25.00 TAX &/OR SEC .65 SMITH E
SAL	07-17-00	226855	-1,900.000	42.303		80,277.07	-64,708.73	15,568 34			LINCOLN NATIONAL CORP @ 42.3025 80 374.75 BROKERAGE 95.00 TAX &/OR SEC 2.68 KEEF
SAL	07-18-00	226864	-400.000	42.016		16,797.71	-13,174 37	3,623.34			LINCOLN NATIONAL CORP @ 42.0157 16 806.26 BROKERAGE 8.00 TAX &/OR SEC .57 INVEST
SAL	07-18-00	226865	-900.000	42.235		37,965.05	-29,511.30	8,453.75			LINCOLN NATIONAL CORP @ 42.2348 38 011.32 BROKERAGE 45.00 TAX &/OR SEC 1.27 KEEF
SAL	07-19-00	227568	-700.000	43.025		30,081.21	-22,665.20	7,416.01			LINCOLN NATIONAL CORP @ 43.0246 30 117.22 BROKERAGE 35.00 TAX &/OR SEC 1.01 KEEF
SAL	07-19-00	227569	-100.000	42.774		4,272.29	-3,235.65	1,036 64			LINCOLN NATIONAL CORP @ 42.7744 4 277.44 BROKERAGE 5.00 TAX &/OR SEC .15 BOSTON
SAL	07-20-00	227578	-200.000	45.250		9,039.69	-6,471.30	2,568 39			LINCOLN NATIONAL CORP @ 45.25 9 050.00 BROKERAGE 10.00 TAX &/OR SEC .31 FIRST UN
SAL	07-20-00	227579	-1,500.000	44.060		66,012.34	-48,534 75	17,477.59			LINCOLN NATIONAL CORP @ 44 0597 66 089.55 BROKERAGE 75.00 TAX &/OR SEC 2.21 KEEF
SAL	07-21-00	227584	-200.000	43.595		8,708 70	-6,471.30	2,237 40			LINCOLN NATIONAL CORP @ 43.595 8 719.00 BROKERAGE 10.00 TAX &/OR SEC .30 KEEFE E
PUR	08-30-00	231739	500.000	51.868	25,959.05				0	0.00	Lincoln National Corp @51.8661
PUR	08-31-00	232068	700.000	53.682	37,612.05				0	0.00	Lincoln National Corp @53 6815
PUR	09-01-00	234090	900.000	52.657	47,436.48				0	0.00	LINCOLN NATIONAL CORP @ 52.6572 47 391 48 BROKERAGE 45.00 SIMMONS & CO INTERNATI
PUR	09-05-00	234100	1,800.000	51.323	92,470.86				0	0.00	LINCOLN NATIONAL CORP @ 51.3227 92 380.86 BROKERAGE 90.00 SIMMONS & CO INTERNATI
PUR	09-07-00	234299	100.000	52.485	5,253.47				0	0.00	LINCOLN NATIONAL CORP @ 52.4647 5 246.47 BROKERAGE 5.00 SIMMONS & CO INTERNATION
PUR	09-08-00	234320	500.000	54.072	27,061.15				0	0.00	LINCOLN NATIONAL CORP @ 54.0723 27 036.15 BROKERAGE 25.00 CANTOR FITZGERALD INC
PUR	09-08-00	234321	200.000	53.394	10,688 84				0	0.00	LINCOLN NATIONAL CORP @ 53.3942 10 676.84 BROKERAGE 10.00 BHF SECURITIES CORP TF
PUR	09-25-00	235307	1,000.000	52.326	52,376.00				0	0.00	LINCOLN NATIONAL CORP @ 52.326 52 326.00 BROKERAGE 50.00 GERARD KLAUER MATTISON
TROUT	10-01-00	237775	-5,700.000	48.125		298,857.90	-298,857 90	0.00			COM tfr to Forstmann-Leff
	TOTAL -		0.000		560,205.78	622,869.69	-560,205 78	62,663 91	0	0.00	
F-L	LNC	Lincoln National Corp.									
TRIN	10-01-00	237775.7	500.000	51 868	25,959.05				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237775.2	700.000	53.682	37,612.05				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237775.4	900.000	52.657	47,436.48				0	0 00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237775.8	1,800.000	51.323	92,470.86				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237775.5	100.000	52.485	5,253.47				0	0 00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237775.1	500.000	54.072	27,061.15				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237775.3	200.000	53.394	10,688 84				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237775.6	1,000.000	52.326	52,376.00				0	0.00	COM tfr to Forstmann-Leff
SAL	10-03-00	237973	-4,000.000	47.875		191,253.61	-209,724 85	-18,471 24			Lincoln National Corp
SAL	10-04-00	238006	-1,700.000	48.107		81,676 49	-89,133 05	-7,456.56			Lincoln National Corp
	TOTAL -		0.000		298,857.90	272,930.10	-298,857.90	-25,927 60	0	0.00	
COM	LRCX	Lam Resh Corp									

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	03-16-00	213481	-600.000	134.367		107,489.61	-49,607.83	57,881.78			LAM RESEARCH CORP @ 134.3665 107 493.20 TAX &/OR SEC 3.59 CANTOR FITZGERALD INC
SPLDV	03-22-00	213536	5,200.000		0.00				0	0.00	Lam Research - 3 for 1 split
SAL	04-04-00	217449	-2,000.000	38.625		77,247.42	-38,592.10	38,655.32			LAM RESEARCH CORP @ 38.625 77 250.00 TAX &/OR SEC 2.58 SMITH BARNEY INC TRADE DA
PUR	05-02-00	220498	200.000	42.500	8,500.00				0	0.00	LAM RESEARCH CORP @ 42.50 KNIGHT SECURITIES TRADE DATE 05/02/00
SAL	05-19-00	221484	-2,200.000	30.423		66,928.58	-46,509.70	20,418.88			LAM RESEARCH CORP @ 30.4231 66 930.82 TAX &/OR SEC 2.24 MORGAN STANLEY & CO TRAI
SAL	05-22-00	221491	-3,800.000	28.010		106,434.45	-70,043.57	36,390.88			LAM RESEARCH CORP @ 28.01 106 438.00 TAX &/OR SEC 3.55 MORGAN STANLEY & CO TRADE
	TOTAL -		-3,400.000		8,500.00	358,100.06	-204,753.20	153,346.86	0	0.00	
CCM	LRY	Liberty Property Trust									
SAL	01-26-00	208668	-1,000.000	23.938		23,876.70	-21,592.50	2,284.20			LIBERTY PROPERTY TRUST S/B/I @ 23.9375 23 937.50 BROKERAGE 60.00 TAX &/OR SEC .8
PUR	02-16-00	211992	2,700.000	23.375	63,247.50				0	0.00	LIBERTY PROPERTY TRUST S/B/I @ 23.375 63 112.50 BROKERAGE 135.00 ROBINSON HUMPHR
PUR	03-15-00	213458	2,100.000	22.914	48,224.82				0	0.00	LIBERTY PROPERTY TRUST S/B/I @ 22.9142 48 119.62 BROKERAGE 105.00 CREDIT SUISSE
PUR	03-16-00	213482	500.000	23.664	11,857.15				0	0.00	LIBERTY PROPERTY TRUST S/B/I @ 23.6643 11 832.15 BROKERAGE 25.00 CREDIT SUISSE F
PUR	03-17-00	213507	800.000	23.086	18,506.72				0	0.00	LIBERTY PROPERTY TRUST S/B/I @ 23.0859 18 468.72 BROKERAGE 40.00 CREDIT SUISSE F
PUR	05-02-00	220499	400.000	25.375	10,162.00				0	0.00	LIBERTY PROPERTY TRUST S/B/I @ 25.375 10 150.00 BROKERAGE 12.00 BRIDGE TRADING C
SAL	08-31-00	232080	-500.000	26.625		13,287.05	-12,533.43	753.62			Liberty Property Trust @26.625
SAL	09-01-00	234091	-100.000	26.587		2,653.61	-2,371.43	282.18			LIBERTY PROPERTY TRUST S/B/I @ 26.587 2 658.70 BROKERAGE 5.00 TAX &/OR SEC .09 E
SAL	09-05-00	234101	-1,000.000	26.088		26,037.03	-23,511.79	2,525.24			LIBERTY PROPERTY TRUST S/B/I @ 26.0879 26 087.90 BROKERAGE 50.00 TAX &/OR SEC .8
SAL	09-06-00	234277	-500.000	26.270		13,109.46	-11,712.50	1,396.96			LIBERTY PROPERTY TRUST S/B/I @ 26.2698 13 134.90 BROKERAGE 25.00 TAX &/OR SEC .4
SAL	09-07-00	234300	-200.000	26.751		5,339.94	-4,685.00	654.94			LIBERTY PROPERTY TRUST S/B/I @ 26.7506 5 350.12 BROKERAGE 10.00 TAX &/OR SEC .16
SAL	09-08-00	234322	-500.000	26.927		13,437.80	-11,712.50	1,725.30			LIBERTY PROPERTY TRUST S/B/I @ 26.9265 13 463.25 BROKERAGE 25.00 TAX &/OR SEC .4
SAL	09-11-00	234340	-400.000	27.125		10,829.63	-9,370.00	1,459.63			LIBERTY PROPERTY TRUST S/B/I @ 27.125 10 850.00 BROKERAGE 20.00 TAX &/OR SEC .37
SAL	09-11-00	234341	-200.000	27.070		5,403.71	-4,685.00	718.71			LIBERTY PROPERTY TRUST S/B/I @ 27.0695 5 413.90 BROKERAGE 10.00 TAX &/OR SEC .15
TROUT	10-01-00	237776	-9,300.000	27.500		203,924.29	-203,924.29	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		-7,200.000		152,000.19	317,899.22	-306,098.44	11,800.78	0	0.00	
F-L	LRY	Liberty Property Trust									
TRIN	10-01-00	237776.4	3,500.000	21.593	75,573.75				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237776.5	100.000	21.175	2,117.50				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237776.6	2,600.000	21.083	54,814.50				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237776.1	200.000	23.375	4,685.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237776.3	2,100.000	22.914	48,224.82				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237776.2	800.000	23.086	18,506.72				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-03-00	237972	-6,200.000	27.125		167,797.39	-135,949.52	31,847.87			Liberty Property Trust
SAL	10-03-00	237972	-3,100.000	27.125		83,898.70	-67,974.77	15,923.93			Liberty Property Trust

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		0.000		203,924.29	251,696.09	-203,924 29	47,771 80	0	0.00	
COM	LSI	LSI Logic Corp									
PUR	01-07-00	208548	500.000	60.603	30,326.70				0	0.00	LSI LOGIC CORP @ 60.6034 30 301.70 BROKERAGE 25.00 MERRILL LYNCH PIERCE FENNER S
PUR	01-10-00	208558	600.000	64.696	38,847.78				0	0.00	LSI LOGIC CORP @ 64.6963 38 817.78 BROKERAGE 30.00 MERRILL LYNCH PIERCE FENNER S
SPLDV	02-17-00	211998	3,600.000		0.00				0	0.00	LSI LOGIC CORP 2 FOR 1 STOCK DISTRIBUTION
SAL	03-14-00	213442	-900.000	85.478		76,882.45	-31,226.27	45,656.18			LSI LOGIC CORP @ 85 4778 76 930.02 BROKERAGE 45.00 TAX &/OR SEC 2.57 COWEN & CO
SAL	03-16-00	213483	-200.000	76.506		15,290.58	-6,939.17	8,351 41			LSI LOGIC CORP @ 76.5055 15 301.10 BROKERAGE 10.00 TAX &/OR SEC .52 BARING SECUR
SAL	03-17-00	213508	-1,300.000	78.030		101,370 48	-44,917.76	56,452.72			LSI LOGIC CORP @ 78.0299 101 438.87 BROKERAGE 65.00 TAX &/OR SEC 3.39 JEFFERIES
PUR	05-02-00	220500	200.000	58.625	11,731.00				0	0.00	LSI LOGIC CORP @ 58.625 11 725.00 BROKERAGE 6 00 BRIDGE TRADING CO TRADE DATE 05
SAL	05-19-00	221485	-1,800.000	46.107		82,899.11	-63,468 44	19,430.67			LSI LOGIC CORP @ 46.1066 82 991.88 BROKERAGE 90.00 TAX &/OR SEC 2.77 LAZARD FRER
SAL	05-22-00	221492	-700.000	44.622		31,199.21	-22,390.02	8,809 19			LSI LOGIC CORP @ 44 6218 31 235.26 BROKERAGE 35.00 TAX &/OR SEC 1.05 LAZARD FRER
SAL	07-26-00	227916	-600.000	33.167		19,869 35	-18,872 13	997 22			LSI LOGIC CORP @ 33.1667 19 900.02 BROKERAGE 30.00 TAX &/OR SEC .67 LAZARD FRERE
SAL	07-26-00	227917	-1,900.000	30.638		58,114 87	-57,670.54	444.33			LSI LOGIC CORP @ 30.6378 58 211.82 BROKERAGE 95.00 TAX &/OR SEC 1.95 LEWCO SEC/
	TOTAL -		-2,500.000		80,905.48	385,626.05	-245,484 33	140,141 72	0	0.00	
F-L	LWIN	Leap Wireless International									
PUR	10-05-00	238059	7,200.000	60.544	435,918 96				0	0.00	Leap Wireless Int'l Inc
SAL	10-26-00	238487	-3,900.000	41.976		163,701 72	-236,122 77	-72,421.05			Leap Wireless Int'l @41.9762
SAL	10-31-00	239190	-3,300.000	48 107		158,748 13	-199,796 19	-41,048 06			Leap Wireless Int'l @48 107
	TOTAL -		0.000		435,918.96	322,449.85	-435,918 96	-113,469 11	0	0 00	
WPS	MAR	Marriott International Inc									
PUR	09-18-00	234902	36,150.000	37.029	1,341,504 81				36,150	1,341,504 81	Marriott Int'l Inc CL A
	TOTAL -		36,150.000		1,341,504 81	0.00	0.00	0.00	36,150	1,341,504 81	
COM	MCCRK	McCormick & Co									
PUR	03-15-00	213459	1,200.000	27.507	33,068.76				0	0 00	MC CORMICK & CO INC NON-VOTING @ 27.5073 33 008 76 BROKERAGE 60.00 CANTOR FITZGE
PUR	05-02-00	220501	300.000	31.938	9,590.25				0	0.00	MC CORMICK & CO INC NON-VOTING @ 31.9375 9 581.25 BROKERAGE 9.00 BRIDGE TRADING
PUR	06-15-00	223721	500.000	34.551	17,300.65				0	0.00	MC CORMICK & CO INC NON-VOTING @ 34 5513 17 275.65 BROKERAGE 25.00 ING BARING LI
PUR	08-02-00	230740	300.000	31.008	9,317.25				0	0.00	MC CORMICK & CO INC NON-VOTING @ 31 0075 9 302.25 BROKERAGE 15.00 LAZARD FRERES
PUR	08-03-00	230753	400.000	30.937	12,394.72				0	0.00	MC CORMICK & CO INC NON-VOTING @ 30.9368 12 374 72 BROKERAGE 20.00 LAZARD FRERES
PUR	08-04-00	230762	700.000	31.174	21,856.87				0	0.00	MC CORMICK & CO INC NON-VOTING @ 31.1741 21 821.87 BROKERAGE 35.00 LAZARD FRERES
PUR	09-19-00	234854	1,100.000	29 516	32,523.04				0	0 00	MC CORMICK & CO INC NON-VOTING @ 29 5164 32 468.04 BROKERAGE 55.00 GOLDMAN SACHS

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TROUT	10-01-00	237777	-10,800.000	29.750		317,572.77	-317,572.77	0.00			COM tfr to Forstmann-Leff
	TOTAL -		-6,300.000		136,051.54	317,572.77	-317,572.77	0.00	0	0.00	
F-L	MCCRK	McCormick & Co									
TRIN	10-01-00	237777.14	3,500.000	28.051	98,179.55				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237777.12	100.000	29.302	2,930.23				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237777.13	1,900.000	29.125	55,337.50				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237777.4	100.000	32.248	3,229.78				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237777.2	200.000	33.000	6,610.00				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237777.3	100.000	32.657	3,270.69				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237777.10	200.000	29 759	5,961.98				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237777.9	200.000	29.957	6,001.50				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237777.15	1,200.000	27.507	33,068.76				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237777.5	300.000	31.938	9,590.25				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237777.1	500.000	34.551	17,300.65				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237777.7	300.000	31.008	9,317.25				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237777.8	400.000	30.937	12,394.72				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237777.6	700.000	31.174	21,856.87				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237777.11	1,100.000	29.516	32,523.04				0	0.00	COM tfr to Forstmann-Leff
SAL	10-03-00	237974	-5,900.000	30.278		178,282.60	-173,488.83	4,793.77			McCormick & Co
SAL	10-03-00	237974	-4,900.000	30.278		148,065.21	-144,083.94	3,981.27			McCormick & Co
	TOTAL -		0.000		317,572.77	326,347.81	-317,572.77	8,775.04	0	0.00	
WPS	MCD	McDonalds - w/ Rights									
SAL	07-13-00	226742	-24,000.000	31.284		748,875.77	-975,721.65	-226,845.88			MC DONALDS CORP @ 31 2842 750 820.80 BROKERAGE 1 920.00 TAX &/OR SEC 25.03 NEUBE
SAL	07-31-00	229428	-62,750.000	32.056		2,006,470.88	-2,300,843.78	-294,372.90			McDonalds Corp @32.056
SAL	07-31-00	229428	-17,300.000	32.056		553,178.42	-714,330.53	-161,152.11			McDonalds Corp @32.056
	TOTAL -		-104,050.000		0.00	3,308,525.07	-3,990,895.96	-682,370.89	0	0.00	
COM	MCH	Nillennium Chemicals Inc.									
PUR	04-11-00	217486	500.000	20.000	10,025.00				0	0.00	MILLENNIUM CHEMICALS INC @ 20.00 10 000.00 BROKERAGE 25.00 DONALDSON LUFKIN & JE
PUR	04-11-00	217487	200.000	19.375	3,880.94				0	0.00	MILLENNIUM CHEMICALS INC @ 19.3747 3 874.94 BROKERAGE 6.00 WEISS PECK GREER TRA
PUR	04-12-00	217518	1,900.000	20.228	38,528.58				0	0.00	MILLENNIUM CHEMICALS INC @ 20.2282 38 433.58 BROKERAGE 95.00 DONALDSON LUFKIN &
PUR	04-13-00	217524	300.000	21.137	6,356.16				0	0.00	MILLENNIUM CHEMICALS INC @ 21.1372 6 341.16 BROKERAGE 15.00 DONALDSON LUFKIN & J

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	04-14-00	217539	800.000	21.034	16,867.44				0	0.00	MILLENNIUM CHEMICALS INC @ 21.0343 16 827.44 BROKERAGE 40.00 DONALDSON LUFKIN &
PUR	04-17-00	217552	1,000.000	20.836	20,885.50				0	0.00	MILLENNIUM CHEMICALS INC @ 20.8355 20 835.50 BROKERAGE 50.00 DONALDSON LUFKIN &
PUR	04-25-00	217575	200.000	19.125	3,835.00				0	0.00	MILLENNIUM CHEMICALS INC @ 19.125 3 825.00 BROKERAGE 10.00 PRUDENTIAL SECURITIES
PUR	04-26-00	218442	200.000	19.450	3,900.16				0	0.00	Millennium Chemicals Inc
PUR	04-26-00	218443	1,300.000	18.750	24,440.00				0	0.00	Millennium Chemicals Inc
PUR	04-28-00	218454	300.000	19.951	6,000.39				0	0.00	Millenium Chemicals Inc
PUR	05-02-00	220502	200.000	20.375	4,081.00				0	0.00	MILLENNIUM CHEMICALS INC @ 20.375 4 075.00 BROKERAGE 6.00 BRIDGE TRADING CO TRA
PUR	05-05-00	220551	600.000	20.201	12,150 48				0	0.00	MILLENNIUM CHEMICALS INC @ 20.2008 12 120.48 BROKERAGE 30.00 BANCAMERICA ROBERTS
PUR	05-08-00	220557	300.000	20.139	6,056.67				0	0.00	MILLENNIUM CHEMICALS INC @ 20.1389 6 041.67 BROKERAGE 15.00 SANDLERO'NEILL&PARTN
PUR	05-09-00	220562	200.000	20.625	4,135.00				0	0.00	MILLENNIUM CHEMICALS INC @ 20.625 4 125.00 BROKERAGE 10.00 CANTOR FITZGERALD INC
PUR	05-09-00	220563	600.000	20.364	12,248 64				0	0.00	MILLENNIUM CHEMICALS INC @ 20.3644 12 218.64 BROKERAGE 30.00 SANDLERO'NEILL&PART
PUR	05-10-00	220573	200.000	20.893	4,188.52				0	0.00	MILLENNIUM CHEMICALS INC @ 20.8926 4 178.52 BROKERAGE 10.00 SANDLERO'NEILL&PARTN
PUR	05-10-00	220574	400.000	20.851	8,360.44				0	0.00	MILLENNIUM CHEMICALS INC @ 20.8511 8 340.44 BROKERAGE 20.00 CANTOR FITZGERALD IN
PUR	05-11-00	220590	800.000	21.207	17,005 68				0	0.00	MILLENNIUM CHEMICALS INC @ 21.2071 16 965 68 BROKERAGE 40.00 CANTOR FITZGERALD 1
PUR	05-12-00	220606	300.000	21.271	6,390.39				0	0.00	MILLENNIUM CHEMICALS INC @ 21.2713 6 381.39 BROKERAGE 9.00 FIDELITY CAPITAL MARK
PUR	05-15-00	220616	100.000	21.914	2,196.41				0	0.00	MILLENNIUM CHEMICALS INC @ 21.9141 2 191 41 BROKERAGE 5.00 CANTOR FITZGERALD INC
PUR	05-16-00	220621	400.000	21.375	8,570 00				0	0 00	MILLENNIUM CHEMICALS INC @ 21.375 8 550.00 BROKERAGE 20.00 CANTOR FITZGERALD INC
SAL	05-31-00	222172	-2,200.000	19.852		43,564 70	-46,843.93	-3,279 23			Millennium Chemicals Inc
SAL	06-01-00	223607	-1,600.000	19.260		30,735.45	-33,533 86	-2,798 41			MILLENNIUM CHEMICALS INC @ 19.2603 30 816.48 BROKERAGE 80.00 TAX &/OR SEC 1.03 C
SAL	06-02-00	223629	-200.000	19.328		3,855 47	-4,177 10	-321.63			MILLENNIUM CHEMICALS INC @ 19.328 3 865.60 BROKERAGE 10.00 TAX &/OR SEC .13 GOLD
SAL	06-02-00	223630	-900.000	18.875		16,941 93	-18,566 41	-1,624 48			MILLENNIUM CHEMICALS INC @ 18.875 16 987.50 BROKERAGE 45.00 TAX &/OR SEC .57 PAI
SAL	06-05-00	223645	-100.000	18.875		1,882.43	-2,041 44	-159.01			MILLENNIUM CHEMICALS INC @ 18.875 1 887.50 BROKERAGE 5.00 TAX &/OR SEC .07 PAINE
SAL	06-06-00	223651	-1,800.000	18 614		33,413 36	-36,539.74	-3,126.38			MILLENNIUM CHEMICALS INC @ 18.6136 33 504 48 BROKERAGE 90.00 TAX &/OR SEC 1.12 P
SAL	06-07-00	223664	-700.000	18.500		12,914 56	-14,186.52	-1,271 96			MILLENNIUM CHEMICALS INC @ 18.50 12 950.00 BROKERAGE 35.00 TAX &/OR SEC .44 PAIN
SAL	06-08-00	223677	-400.000	18.500		7,379 75	-8,094 13	-714 38			MILLENNIUM CHEMICALS INC @ 18.50 7 400.00 BROKERAGE 20.00 TAX &/OR SEC .25 PAINE
SAL	06-09-00	223687	-1,300.000	18.375		23,821 70	-25,903 80	-2,082.10			MILLENNIUM CHEMICALS INC @ 18.375 23 887.50 BROKERAGE 65.00 TAX &/OR SEC .80 PAI
SAL	06-12-00	223690	-1,600.000	18.375		29,319.02	-30,215.47	-896 45			MILLENNIUM CHEMICALS INC @ 18.375 29 400.00 BROKERAGE 80.00 TAX &/OR SEC .98 PAI
	TOTAL -		0.000		220,102 40	203,828 37	-220,102 40	-16,274 03	0	0.00	
COM	MCHP	Microchip Technology Inc.									
PUR	03-14-00	213443	1,700.000	66.790	113,542.66				0	0.00	MICROCHIP TECHNOLOGY INC @ 66.7898 CREDIT SUISSE FIRST BOSTON CORP TRADE DATE 03
PUR	03-14-00	213444	100.000	61.000	6,104 00				0	0.00	MICROCHIP TECHNOLOGY INC @ 61.00 6 100.00 BROKERAGE 4.00 INSTINET TRADE DATE 03/
PUR	03-14-00	213445	100.000	64.938	6,495.75				0	0.00	MICROCHIP TECHNOLOGY INC @ 64 9375 6 493.75 BROKERAGE 2 00 INVESTMENT TECHNOLOGY
PUR	03-17-00	213509	600.000	62.504	37,502.52				0	0.00	MICROCHIP TECHNOLOGY INC @ 62.5042 MORGAN STANLEY & CO TRADE DATE 03/17/00
PUR	03-20-00	213547	300.000	64.218	19,265.37				0	0.00	MICROCHIP TECHNOLOGY INC @ 64.2179 MORGAN STANLEY & CO TRADE DATE 03/20/00
PUR	03-21-00	213556	100.000	61.208	6,120 83				0	0.00	MICROCHIP TECHNOLOGY INC @ 61 2083 MORGAN STANLEY & CO TRADE DATE 03/21/00

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	03-22-00	213568	200.000	65.125	13,025.00				0	0.00	MICROCHIP TECHNOLOGY INC @ 65.125 MORGAN STANLEY & CO TRADE DATE 03/22/00
PUR	03-23-00	213577	100.000	64.000	6,400.00				0	0.00	MICROCHIP TECHNOLOGY INC @ 64.00 CREDIT SUISSE FIRST BOSTON CORP TRADE DATE 03/2
PUR	03-23-00	213578	200.000	63.500	12,700.00				0	0.00	MICROCHIP TECHNOLOGY INC @ 63.50 CREDIT SUISSE FIRST BOSTON CORP TRADE DATE 03/2
PUR	03-24-00	213591	500.000	66.307	33,153.45				0	0.00	MICROCHIP TECHNOLOGY INC @ 66.3069 CREDIT SUISSE FIRST BOSTON CORP TRADE DATE 03
PUR	05-01-00	220451	400.000	63.895	25,558.06				0	0.00	MICROCHIP TECHNOLOGY INC @ 63.8952 SMITH BARNEY INC TRADE DATE 05/01/00
PUR	05-02-00	220503	100.000	62.063	6,206.25				0	0.00	MICROCHIP TECHNOLOGY INC @ 62.0625 KNIGHT SECURITIES TRADE DATE 05/02/00
PUR	05-31-00	222148	300.000	58.811	17,643.48				0	0.00	Microchip Technology Inc
PUR	06-14-00	223706	1,100.000	58.964	64,660.51				0	0.00	MICROCHIP TECHNOLOGY INC @ 58.9641 CREDIT SUISSE FIRST BOSTON CORP TRADE DATE 06
PUR	06-15-00	223722	200.000	57.061	11,412.26				0	0.00	MICROCHIP TECHNOLOGY INC @ 57.0613 CREDIT SUISSE FIRST BOSTON CORP TRADE DATE 06
SAL	07-19-00	227570	-400.000	67.000		26,799.10	-26,715.92	83.18			MICROCHIP TECHNOLOGY INC @ 67.00 26 800.00 TAX &/OR SEC .90 MORGAN STANLEY & CO
SAL	07-20-00	227580	-1,200.000	69.094		82,910.51	-80,147.76	2,762.75			MICROCHIP TECHNOLOGY INC @ 69.0944 82 913.28 TAX &/OR SEC 2.77 MORGAN STANLEY &
SAL	08-29-00	231710	-700.000	67.356		47,147.69	-46,344.93	802.76			Microchip Technology @67.3561
SAL	09-26-00	235398	-3,100.000	54.467		168,843.00	-130,830.63	38,012.37			MICROCHIP TECHNOLOGY INC @ 54.4673 168 848.63 TAX &/OR SEC 5.63 HERZOG HEINE GEI
SAL	09-26-00	235399	-100.000	57.500		5,749.80	-4,000.14	1,749.66			MICROCHIP TECHNOLOGY INC @ 57.50 5 750.00 TAX &/OR SEC .20 SOUNDVIEW FINANCIAL C
SPLDV	09-27-00	235133	1,650.000		0.00				0	0.00	MICROCHIP TECHNOLOGY INC 3 FOR 2 STOCK DISTRIBUTION
TROUT	10-01-00	237778	-2,350.000	33.063		91,950.78	-91,950.78	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		0.000		379,990.16	423,400.86	-379,990.16	43,410.72	0	0.00	
F-L	MCHP	Microchip Technology Inc.									
TRIN	10-01-00	237778.2	450.000	58.811	17,643.48				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237778.1	1,600.000	58.964	62,695.04				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237778.3	300.000	57.061	11,412.26				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-05-00	238017	-2,350.000	10.212		23,997.49	-91,950.78	-67,953.29			Microchip Technology Inc
	TOTAL -		0.000		91,950.78	23,997.49	-91,950.78	-67,953.29	0	0.00	
F-L	MCN	MCN Energy Group Inc									
PUR	10-10-00	238081	25,000.000	24.009	601,725.00				25,000	601,725.00	MCN Energy Group Inc
	TOTAL -		25,000.000		601,725.00	0.00	0.00	0.00	25,000	601,725.00	
CCM	MEDI	Medimunne Inc									
SAL	01-05-00	208531	-700.000	141.104		98,769.78	-38,992.44	59,777.34			MEDIMMUNE INC @ 141.1044 98 773.08 TAX &/OR SEC 3.30 MORGAN STANLEY & CO TRADE I
SAL	01-06-00	208540	-200.000	141.143		28,227.63	-10,798.32	17,429.31			MEDIMMUNE INC @ 141.1429 26 228.58 TAX &/OR SEC .95 CHARLES SCHWAB & CO TRADE DA
SAL	01-14-00	208595	-300.000	154.411		46,321.75	-16,186.66	30,135.09			MEDIMMUNE INC @ 154.411 46 323.30 TAX &/OR SEC 1.55 CREDIT SUISSE FIRST BOSTON C
SAL	01-27-00	209803	-1,000.000	144.834		144,829.57	-52,908.62	91,920.95			Medimmune Inc

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Market Street Trust Company
PURCHASE / SALE DETAIL NISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	01-28-00	209807	-300.000	139.506		41,850.67	-15,152.37	26,698.30			Medimmune Inc
	TOTAL -		-2,500.000		0.00	359,999.40	-134,038.41	225,960.99	0	0.00	
CCM	MGG	MGM GRAND INC									
SAL	02-23-00	212053	-400.000	41.813		16,704.44	-19,882.56	-3,178.12			MGM GRAND INC @ 41.8125 16 725.00 BROKERAGE 20.00 TAX &/OR SEC .56 RAYMOND JAMES
SAL	02-23-00	212054	-300.000	39.233		11,754.53	-14,860.88	-3,126.35			MGM GRAND INC @ 39.2331 11 769.93 BROKERAGE 15.00 TAX &/OR SEC .40 MORGAN KEEGAN
SAL	02-23-00	212055	-700.000	39.233		27,427.25	-34,684 30	-7,257.05			MGM GRAND INC @ 39.2331 27 463.17 BROKERAGE 35.00 TAX &/OR SEC .92 NEUBERGER & B
SAL	02-24-00	212059	-300.000	39.500		11,634 60	-14,864.70	-3,030.10			MGM GRAND INC @ 39 50 11 650.00 BROKERAGE 15.00 TAX &/OR SEC .40 PRUDENTIAL SECU
SAL	02-24-00	212060	-800.000	39.612		31,648.70	-39,584 20	-7,935.50			MGM GRAND INC @ 39.6122 31 689.76 BROKERAGE 40.00 TAX &/OR SEC 1.06 RAYMOND JAME
SAL	02-25-00	212162	-900.000	40.698		36,582.33	-37,244.04	-661.71			MGM Grand Inc
SPLDV	02-28-00	212064	200.000		0.00				0	0.00	MGM GRAND INC 2 FOR 1 STOCK DISTRIBUTION
SAL	02-28-00	212165	-400.000	19 456		7,762.18	-16,382.44	-8,620.26			MGM Grand Inc
	TOTAL -		-3,600.000		0.00	143,714 03	-177,523.12	-33,809.09	0	0 00	
CCM	MIL	Millipore									
SAL	05-01-00	220452	-200.000	71.293		14,248 06	-6,320.20	5,927 86			MILLIPORE CORP @ 71.2927 14 258.54 BROKERAGE 10.00 TAX &/OR SEC .48 SBC WARBURG
SAL	05-05-00	220552	-700.000	67.024		46,680.51	-28,866.36	18,014.15			MILLIPORE CORP @ 67.0244 46 917.08 BROKERAGE 35.00 TAX &/OR SEC 1.57 BANCAMERICA
SAL	05-30-00	222165	-1,000.000	68 944		68,892.30	-39,728.71	29,163.59			Millipore Corp
SAL	05-31-00	222173	-200.000	71.713		14,332.30	-7,801.05	6,531.25			Millipore Corp
SAL	07-06-00	226800	-900.000	69.323		62,343 26	-34,459.18	27,884.08			MILLIPORE CORP @ 69 3226 62 390.34 BROKERAGE 45.00 TAX &/OR SEC 2.08 BRIDGE TRAI
SAL	07-07-00	226803	-800.000	69.075		55,218 39	-30,540.00	24,678 39			MILLIPORE CORP @ 69.0753 55 260.24 BROKERAGE 40.00 TAX &/OR SEC 1.85 BRIDGE TRAI
SAL	07-07-00	226804	-100.000	69.434		6,941.20	-3,817.50	3,123.70			MILLIPORE CORP @ 69 4344 6 943.44 BROKERAGE 2.00 TAX &/OR SEC 24 INVESTMENT TEC
SAL	07-10-00	226810	-600.000	69.656		41,762.38	-22,905.00	18,857 38			MILLIPORE CORP @ 69.6563 41 793.78 BROKERAGE 30.00 TAX &/OR SEC 1.40 BRIDGE TRAI
SAL	07-10-00	226811	-100.000	68.479		6,845 69	-3,715.33	3,130.36			MILLIPORE CORP @ 68.4792 6 847.92 BROKERAGE 2.00 TAX &/OR SEC .23 INVESTMENT TEC
SAL	07-11-00	226816	-700.000	65.750		45,988 46	-25,648.93	20,339.53			MILLIPORE CORP @ 65.75 46 025.00 BROKERAGE 35.00 TAX &/OR SEC 1.54 FIDELITY CAPI
SAL	07-11-00	226817	-700.000	67.044		46,894 44	-24,846.50	22,047 94			MILLIPORE CORP @ 67.0443 46 931.01 BROKERAGE 35.00 TAX &/OR SEC 1.57 BRIDGE TRAI
	TOTAL -		-6,000.000		0.00	410,346 99	-230,648.76	179,698.23	0	0.00	
CCM	MLM	Martin Marietta Mtls									
PUR	05-02-00	220504	100.000	52.500	5,253.00				0	0.00	MARTIN MARIETTA MATERIALS INC @ 52.50 5 250.00 BROKERAGE 3.00 BRIDGE TRADING CO
SAL	08-24-00	231423	-2,000.000	38.315		76,527.84	-105,606 76	-29,078 92			MARTIN MARIETTA MATERIALS INC @ 38.3152 95 788.00 BROKERAGE 125.00 TAX &/OR SEC
SAL	08-24-00	231423	-500.000	38.315		19,131.96	-22,077.36	-2,945.40			MARTIN MARIETTA MATERIALS INC @ 38.3152 95 788.00 BROKERAGE 125.00 TAX &/OR SEC
SAL	08-25-00	231428	-700.000	39.609		27,690 44	-29,087.87	-1,397.43			MARTIN MARIETTA MATERIALS INC @ 39.6091 27 726.37 BROKERAGE 35.00 TAX &/OR SEC
SAL	08-28-00	231437	-900.000	38 606		34,699 51	-37,119.79	-2,420.28			MARTIN MARIETTA MATERIALS INC @ 38.6063 34 745 67 BROKERAGE 45.00 TAX &/OR SEC 1

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	08-29-00	231709	-500.000	40.153		20,050.98	-19,625.30	425.68			Martin Marietta @40.1533
	TOTAL -		-4,500.000		5,253.00	178,100.73	-213,517.08	-35,416.35	0	0.00	
F-L	MNMD	Minimed Inc									
PUR	10-04-00	238038	2,000.000	78.438	156,875.00				0	0.00	Minimed Inc
PUR	10-09-00	238067	1,800.000	76.500	137,700.00				0	0.00	Minimed Inc
SAL	10-18-00	238231	-3,800.000	65.043		247,156.68	-294,575.00	-47,418.32			Minimed Inc
	TOTAL -		0.000		294,575.00	247,156.68	-294,575.00	-47,418.32	0	0.00	
WPS	MRK	Merck & Company									
SAL	03-03-00	213622	-2,600.000	59.214		153,743.52	-199,375.80	-45,632.28			MERCK & CO INC @ 59.2141 153 956.66 BROKERAGE 208.00 TAX &/OR SEC 5.14 NEUBERGEI
SAL	03-06-00	213624	-6,400.000	57.324		366,351.29	-490,771.20	-124,419.91			MERCK & CO INC @ 57.3243 366 479.29 BROKERAGE 128.00 NEUBERGER & BERMAN TRADE DA
SAL	03-14-00	213630	-17,000.000	55.962		949,965 68	-1,303,611.00	-353,645.32			MERCK & CO INC @ 55.9622 951 357.40 BROKERAGE 1 360.00 TAX &/OR SEC 31.72 NEUBEI
PUR	07-06-00	226735	10,300.000	75.430	777,749.91				3,900	294.487.83	MERCK & CO INC @ 75.4297 776 925.91 BROKERAGE 824.00 NEUBERGER & BERMAN TRADE DA
PUR	07-07-00	226736	3,800.000	74.648	283,965.26				3,800	283,965.26	MERCK & CO INC @ 74.6477 263 661.26 BROKERAGE 304.00 NEUBERGER & BERMAN TRADE DA
PUR	07-11-00	226738	14,400.000	75.224	1,084,380.48				14,400	1,084,380.48	MERCK & CO INC @ 75.2242 1 083 228.48 BROKERAGE 1 152.00 NEUBERGER & BERMAN TRAI
SAL	09-26-00	235331	-6,400.000	71.625		457,872.72	-483,262.08	-25,389.36			Merck & Company Inc
	TOTAL -		-3,900.000		2,146,095.65	1,927,933.21	-2,477,020.08	-549,086.87	22,100	1,662,833.57	
COM	MRO	USX - Marathon Group									
PUR	01-05-00	208526	2,300.000	24.000	55,315.00				0	0.00	USX-MARATHON GROUP @ 24.00 55 200.00 BROKERAGE 115.00 NATWEST SECURITIES CORP TI
PUR	01-06-00	208534	2,800.000	25.667	72,007.86				0	0.00	USX-MARATHON GROUP @ 25.6671 71 867.88 BROKERAGE 140.00 NATWEST SECURITIES CORP
PUR	01-10-00	208555	1,600.000	26.324	42,197.60				0	0.00	USX-MARATHON GROUP @ 26.3235 42 117.60 BROKERAGE 80.00 OPPENHEIMER & CO TRADE DA
PUR	01-21-00	208638	600.000	26.590	15,963.70				0	0.00	USX-MARATHON GROUP @ 26.5895 15 953.70 BROKERAGE 30.00 NATWEST SECURITIES CORP T
PUR	01-26-00	208669	500.000	24.726	12,367.75				0	0.00	USX-MARATHON GROUP @ 24.7255 12 362.75 BROKERAGE 25.00 NATWEST SECURITIES CORP T
PUR	03-15-00	213460	1,800.000	24.592	44,355.06				0	0.00	USX-MARATHON GROUP @ 24.5917 44 265.06 BROKERAGE 90.00 JEFFERIES & CO INC TRADE
PUR	04-28-00	218459	1,000.000	23.720	23,770.60				0	0.00	USX-Marathon Group
PUR	04-28-00	218460	400.000	23.908	9,583.40				0	0.00	USX-Marathon Group
PUR	05-02-00	220505	400.000	24.000	9,612.00				0	0.00	USX-MARATHON GROUP @ 24.00 9 600.00 BROKERAGE 12.00 BRIDGE TRADING CO TRADE DATE
SAL	09-06-00	234278	-2,300.000	28.693		65,877.16	-60,753.01	5,124.15			USX-MARATHON GROUP @ 28.6932 65 994.36 BROKERAGE 115.00 TAX &/OR SEC 2.20 DAIN F
SAL	09-07-00	234301	-2,900.000	28.447		82,348.26	-74,391.27	7,956.99			USX-MARATHON GROUP @ 28.4469 82 496.01 BROKERAGE 145.00 TAX &/OR SEC 2.75 DAIN F
SAL	09-08-00	234323	-900.000	28.309		25,432.61	-22,217.67	3,214.94			USX-MARATHON GROUP @ 28.3094 25 478.46 BROKERAGE 45.00 TAX &/OR SEC .85 DAIN RAU
SAL	09-08-00	234324	-900.000	28.252		25,380.50	-22,177.53	3,202.97			USX-MARATHON GROUP @ 28.2515 25 426.35 BROKERAGE 45.00 TAX &/OR SEC .85 ADVEST I
SAL	09-11-00	234343	-1,400.000	28.998		40,525.56	-33,847.51	6,678.05			USX-MARATHON GROUP @ 28.9978 40 596.92 BROKERAGE 70.00 TAX &/OR SEC 1.36 DAIN RA

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	09-15-00	234364	-700.000	29 172		20,398.64	-16,835.00	3,563.64			USX-MARATHON GROUP @ 29.1719 20 420.33 BROKERAGE 21.00 TAX &/OR SEC .69 CHARLES
SAL	09-18-00	234844	-1,100.000	29.280		32,174.14	-26,428.70	5,745.44			USX-MARATHON GROUP @ 29.2802 32 208.22 BROKERAGE 33.00 TAX &/OR SEC 1.08 BRIDGE
SAL	09-18-00	234845	-300.000	29.375		8,803.20	-7,168.76	1,634 44			USX-MARATHON GROUP @ 29.375 8 812.50 BROKERAGE 9.00 TAX &/OR SEC .30 CHARLES SCH
SAL	09-19-00	234855	-500.000	28.375		14,172.02	-11,885.30	2,286.72			USX-MARATHON GROUP @ 28 375 14 187.50 BROKERAGE 15.00 TAX &/OR SEC .48 SANDLERO'
SAL	09-20-00	234864	-400.000	28.881		11,532.13	-9,508.24	2,023 89			USX-MARATHON GROUP @ 28.8813 11 552.52 BROKERAGE 20.00 TAX &/OR SEC .39 SANDLERC
	TOTAL -		0.000		285,212.99	326,644.22	-285,212.99	41,431 23	0	0.00	
WFS	MSFT	Microsoft									
PUR	03-02-00	213620	6,000.000	93.658	562,427.40				0	0.00	MICROSOFT CORP @ 93.6579 561 947.40 BROKERAGE 480.00 NEUBERGER & BERMAN TRADE DA
PUR	03-03-00	213623	1,100.000	95.181	104,787.43				0	0.00	MICROSOFT CORP @ 95.1813 104 699.43 BROKERAGE 88.00 NEUBERGER & BERMAN TRADE DAT
PUR	03-06-00	213625	4,350.000	93 272	406,080.77				0	0.00	MICROSOFT CORP @ 93.2719 405 732.77 BROKERAGE 348.00 NEUBERGER & BERMAN TRADE DA
PUR	03-07-00	213627	1,150.000	93.745	107,898.98				0	0.00	MICROSOFT CORP @ 93.7452 107 806.98 BROKERAGE 92.00 NEUBERGER & BERMAN TRADE DAT
PUR	04-27-00	217431	21,800.000	68.935	1,504,520.46				17,700	1,221,560.19	Microsoft Corp @ 68.93470
SAL	09-26-00	235337	-7,700.000	62 500		480,617.95	-721,557.97	-242,940 02			Microsoft Corp
SAL	11-15-00	240882	-9,000.000	70.444		633,258 46	-740,596.88	-107,338.42			MICROSOFT CORP @ 70.4444 633 999.60 BROKERAGE 720.00 TAX &/OR SEC 21.14 NEUBERGE
	TOTAL -		17,700.000		2,685,715.04	1,113,876.41	-1,464,154 85	-350,278.44	17,700	1,221,560.19	
F-L	MTP	Montana Power Co									
PUR	10-05-00	238053	6,000.000	32.375	194,610.00				6,000	177,387.46	Montana Power Co
PUR	10-31-00	239185	1,800.000	27.125	48,888.00				1,800	53,216.24	Montana Power Co @27.125
PUR	10-31-00	239186	700.000	27.125	19,029.50				700	20,695.20	Montana Power Co @27.125
PUR	11-06-00	241154	2,800.000	27.125	76,048.00				2,800	82,780.82	Montana Power Co
PUR	11-07-00	241156	1,700.000	26.885	45,764.00				1,700	50,259 78	Montana Power Company
	TOTAL -		13,000.000		384,339.50	0.00	0.00	0.00	13,000	384,339.50	
CCM	MUR	Murphy Oil Corp									
PUR	01-07-00	208549	2,000.000	57.000	114,100.00				0	0.00	MURPHY OIL CORP @ 57.00 114 000.00 BROKERAGE 100.00 MERRILL LYNCH PIERCE FENNER
PUR	01-10-00	208559	1,000.000	58.970	59,020.10				0	0.00	MURPHY OIL CORP @ 58.9701 58 970.10 BROKERAGE 50.00 OPPENHEIMER & CO TRADE DATE
PUR	01-26-00	208670	200.000	60.063	12,022.50				0	0.00	MURPHY OIL CORP @ 60.0625 12 012.50 BROKERAGE 10.00 FURMAN SELZ MAGER DIETZ & BI
PUR	02-10-00	211967	600.000	53.185	31,941.12				0	0.00	MURPHY OIL CORP @ 53 1852 31 911.12 BROKERAGE 10.00 WACHOVIA SECURITIES INC. TRA
PUR	03-15-00	213461	500.000	55.272	27,660.85				0	0.00	MURPHY OIL CORP @ 55.2717 27 635.85 BROKERAGE 25.00 WACHOVIA SECURITIES INC. TRA
PUR	03-16-00	213484	400.000	56.714	22,705.76				0	0.00	MURPHY OIL CORP @ 56.7144 22 685.76 BROKERAGE 20.00 GEORGE K BAUM & CO TRADE DAT
PUR	03-17-00	213510	200.000	55.198	11,049.56				0	0.00	MURPHY OIL CORP @ 55 1978 11 039 56 BROKERAGE 10.00 JEFFERIES & CO INC TRADE DAT
PUR	05-02-00	220506	200.000	59 813	11,968.50				0	0.00	MURPHY OIL CORP @ 59.8125 11 962.50 BROKERAGE 6.00 BRIDGE TRADING CO TRADE DATE

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	08-31-00	232081	-200.000	67.120		13,413.53	-12,022.50	1,391.03			Murphy Oil Corp @67.1199
SAL	09-01-00	234092	-200.000	67.031		13,395.77	-11,968.50	1,427.27			MURPHY OIL CORP @ 67.0311 13 406.22 BROKERAGE 10.00 TAX &/OR SEC .45 SOUTHCOAT C
SAL	09-06-00	234279	-200.000	67.135		13,416.51	-11,804.02	1,612.49			MURPHY OIL CORP @ 67.1348 13 426.96 BROKERAGE 10.00 TAX &/OR SEC .45 SOUTHCOAT C
SAL	09-06-00	234280	-500.000	68.307		34,127.41	-29,510.05	4,617.36			MURPHY OIL CORP @ 68.3071 34 153.55 BROKERAGE 25.00 TAX &/OR SEC 1.14 WESTMINSTE
SAL	09-07-00	234302	-100.000	68.188		6,817.52	-5,902.01	915.51			MURPHY OIL CORP @ 68.1875 6 818.75 BROKERAGE 1.00 TAX &/OR SEC .23 INSTINET TRAI
SAL	09-07-00	234303	-800.000	67.206		53,723.24	-46,034.02	7,689.22			MURPHY OIL CORP @ 67.2063 53 765.04 BROKERAGE 40.00 TAX &/OR SEC 1.80 WESTMINSTE
SAL	09-11-00	234344	-1,300.000	67.214		87,310.28	-74,165.00	13,145.28			MURPHY OIL CORP @ 67.214 87 378.20 BROKERAGE 65.00 TAX &/OR SEC 2.92 BEAR STEARN
SAL	09-12-00	234361	-400.000	67.750		27,079.01	-22,734.32	4,344.69			MURPHY OIL CORP @ 67.7498 27 099.92 BROKERAGE 20.00 TAX &/OR SEC .91 BEAR STEARN
SAL	09-13-00	234368	-100.000	65.098		6,504.60	-5,676.44	828.16			MURPHY OIL CORP @ 65.0982 6 509.82 BROKERAGE 5.00 TAX &/OR SEC .22 BEAR STEARNS
SAL	09-14-00	234377	-300.000	65.473		19,626.33	-16,596.51	3,029.82			MURPHY OIL CORP @ 65.4733 19 641.99 BROKERAGE 15.00 TAX &/OR SEC .66 BEAR STEARN
SAL	09-15-00	234385	-500.000	66.854		33,401.03	-27,437.42	5,963.61			MURPHY OIL CORP @ 66.8543 33 427.15 BROKERAGE 25.00 TAX &/OR SEC 1.12 BEAR STEAR
SAL	09-18-00	234846	-100.000	67.500		6,744.77	-5,323.52	1,421.25			MURPHY OIL CORP @ 67.50 6 750.00 BROKERAGE 5.00 TAX &/OR SEC .23 BEAR STEARNS &
SAL	09-20-00	234865	-300.000	67.048		20,098.78	-15,970.56	4,128.22			MURPHY OIL CORP @ 67.0482 20 114.46 BROKERAGE 15.00 TAX &/OR SEC .68 NATIONSBANK
SAL	09-21-00	235013	-100.000	63.666		6,361.41	-5,323.52	1,037.89			MURPHY OIL CORP @ 63.6663 6 366.63 BROKERAGE 5.00 TAX &/OR SEC .22 BEAR STEARNS
	TOTAL -		0.000		290,468.39	342,020.19	-290,468.39	51,551.80	0	0.00	
OAKASS MWD		Morgan Stanley Dean Witter& Co									
PUR	10-04-00	237661	13,000.000	91.119	1,185,199.60				13,000	1,185,199.60	Morgan Stanley Dean Witter
	TOTAL -		13,000.000		1,185,199.60	0.00	0.00	0.00	13,000	1,185,199.60	
OAKASS MXIM		Maxim Integrated Products Inc									
PUR	10-10-00	237666	14,900.000	75.790	1,129,275.47				14,900	1,129,275.47	Maxim integrated Products Inc
	TOTAL -		14,900.000		1,129,275.47	0.00	0.00	0.00	14,900	1,129,275.47	
F-L	HYGN	Myriad Genetica Inc									
PUR	10-06-00	238061	8,300.000	87.904	729,599.88				8,300	729,599.88	Myriad Genetica Inc
	TOTAL -		8,300.000		729,599.88	0.00	0.00	0.00	8,300	729,599.88	
F-L	NBL	Noble Affiliates Inc									
TRIN	10-01-00	237779.1	400.000	41.305	16,542.12				400	16,361.35	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237779.3	900.000	40.830	36,791.64				900	36,813.05	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237779.4	1,800.000	40.830	73,583.28				1,800	73,626.11	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237779.5	500.000	40.250	20,150.00				500	20,451.70	CCM tfr to Forstmann-Leff

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TRIN	10-01-00	237779.2	2,000.000	41.119	82,337 20				2,000	81,806.78	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237779.6	500.000	40.163	20,106.45				500	20,451.70	CCM tfr to Forstmann-Leff
	TOTAL -		6,100.000		249,510.69	0.00	0.00	0.00	6,100	249,510.69	
COM	NBL	Noble Affilistes Inc									
PUR	09-06-00	234281	400.000	41.305	16,542.12				0	0.00	NOBLE AFFILIATES INC @ 41.3053 16 522.12 BROKERAGE 20.00 LAZARD FRERES & CO TRAI
PUR	09-06-00	234282	500.000	40.250	20,150.00				0	0.00	NOBLE AFFILIATES INC @ 40.25 20 125 00 BROKERAGE 25.00 JONES & ASSOCIATES TRADE
PUR	09-06-00	234283	900.000	40.830	36,791.64				0	0.00	NOBLE AFFILIATES INC @ 40.8296 36 746.64 BROKERAGE 45.00 BEAR STEARNS & CO INC T
PUR	09-06-00	234284	1,800.000	40.830	73,583.28				0	0.00	NOBLE AFFILIATES INC @ 40.8296 73 493.28 BROKERAGE 90.00 WESTMINSTER RESEARCH AS
PUR	09-07-00	234304	2,000.000	41.119	82,337.20				0	0.00	NOBLE AFFILIATES INC @ 41.1186 82 237.20 BROKERAGE 100.00 LAZARD FRERES & CO TRA
PUR	09-08-00	234325	500.000	40.163	20,106 45				0	0.00	NOBLE AFFILIATES INC @ 40.1629 20 081 45 BROKERAGE 25.00 LAZARD FRERES & CO TRAI
TROUT	10-01-00	237779	-6,100.000	37.125		249,510 69	-249,510 69	0 00			CCM tfr to Forstmann-Leff
	TOTAL -		0.000		249,510.69	249,510 69	-249,510 69	0.00	0	0.00	
COM	NBR	Nabors Industries Inc									
PUR	03-01-00	213358	1,300.000	37 452	48,752.86				0	0.00	NABORS INDUSTRIES INC @ 37.4522 48 687.86 BROKERAGE 65.00 GERARD KLAUER MATTISON
PUR	03-01-00	213359	600.000	37.500	22,530.00				0	0.00	NABORS INDUSTRIES INC @ 37.50 22 500.00 BROKERAGE 30.00 BARING SECURITIES INC. T
PUR	03-02-00	213363	900.000	39.739	35,810.01				0	0.00	NABORS INDUSTRIES INC @ 39.7389 35 765.01 BROKERAGE 45.00 RAYMOND JAMES & ASSOCI
PUR	03-02-00	213364	300.000	40.000	12,015.00				0	0.00	NABORS INDUSTRIES INC @ 40.00 12 000.00 BROKERAGE 15.00 BARING SECURITIES INC. T
PUR	03-02-00	213365	600.000	39.202	23,551 44				0	0.00	NABORS INDUSTRIES INC @ 39.2024 23 521.44 BROKERAGE 30.00 GERARD KLAUER MATTISON
PUR	03-03-00	213373	1,100.000	37.644	41,463.18				0	0.00	NABORS INDUSTRIES INC @ 37.6438 41 408.18 BROKERAGE 55.00 WILLIAM BLAIR & CO TRA
PUR	03-06-00	213382	1,100.000	38.038	41,896.58				0	0.00	NABORS INDUSTRIES INC @ 38 0378 41 841.58 BROKERAGE 55.00 FIRST UNION CAP MKTS T
PUR	03-07-00	213389	500.000	39.540	19,795.05				0	0.00	NABORS INDUSTRIES INC @ 39.5401 19 770.05 BROKERAGE 25.00 FIRST UNION CAP MKTS T
PUR	03-08-00	213396	1,300.000	36.888	48,019.53				0	0.00	NABORS INDUSTRIES INC @ 36.8881 47 954.53 BROKERAGE 65.00 US CLEARING INSTITUTIC
PUR	03-09-00	213403	300.000	36.334	10,909.29				0	0.00	NABORS INDUSTRIES INC @ 36.3343 10 900.29 BROKERAGE 9.00 US CLEARING INSTITUTION
PUR	05-02-00	220507	300.000	40.750	12,234.00				0	0.00	NABORS INDUSTRIES INC @ 40.75 12 225.00 BROKERAGE 9.00 BRIDGE TRADING CO TRADE I
SAL	05-30-00	222166	-900.000	40.586		36,481.99	-36,185 67	296 32			Nabors Industries Inc
SAL	05-31-00	222174	-500.000	42 401		21,175 14	-19,894 45	1,280.69			Nabors Industries Inc
SAL	06-02-00	223631	-1,200.000	40 482		48,516.90	-47,325.38	1,191.52			NABORS INDUSTRIES INC @ 40 4821 48 578.52 BROKERAGE 60.00 TAX &/OR SEC 1 62 FIRS
PUR	06-22-00	224911	800.000	43.046	34,477.12				0	0.00	NABORS INDUSTRIES INC @ 43.0464 34 437.12 BROKERAGE 40.00 NATIONSBANC MONTGOMERY
PUR	06-22-00	224912	900.000	42.312	38,125.80				0	0.00	NABORS INDUSTRIES INC @ 42.312 38 080.80 BROKERAGE 45.00 PRUDENTIAL SECURITIES T
SAL	09-21-00	235014	-200.000	47.780		9,545.66	-8,619 28	926.38			NABORS INDUSTRIES INC @ 47.7799 9 555.98 BROKERAGE 10.00 TAX &/OR SEC .32 WEEDEN
SAL	09-21-00	235015	-900.000	47.780		42,955.47	-38,566 44	4,389.03			NABORS INDUSTRIES INC @ 47.7799 43 001 91 BROKERAGE 45 00 TAX &/OR SEC 1.44 MILI
SAL	09-22-00	235126	-900.000	47.560		42,757 48	-36,843.54	5,913.94			NABORS INDUSTRIES INC @ 47.5599 42 803 91 BROKERAGE 45.00 TAX &/OR SEC 1 43 WEEI
TROUT	10-01-00	237780	-5,400.000	52.400		202,145 10	-202,145.10	0.00			CCM tfr to Forstmann-Leff

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		0.000		389,579.86	403,577.74	-389,579.86	13,997.88	0	0.00	
F-L	NBR	Nabors Industries Inc									
TRIN	10-01-00	237780.3	600.000	37.500	22,530.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237780.4	1,300.000	37.452	48,752.86				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237780.2	1,100.000	37.644	41,463.18				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237780.1	800.000	38.038	30,470.24				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237780.5	1,300.000	36.888	48,019.53				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237780.6	300.000	36.334	10,909.29				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-05-00	238014	-5,400.000	51.400		277,226.74	-202,145.10	75,081.64			Nabors Industries Inc
	TOTAL -		0.000		202,145.10	277,226.74	-202,145.10	75,081.64	0	0.00	
CCM	NE	Noble Drilling Corp									
PUR	03-24-00	213592	500.000	39.749	19,899.60				0	0.00	NOBLE DRILLING CORP @ 39.7492 19 874.60 BROKERAGE 25.00 PAINE WEBBER JACKSON & C
PUR	03-24-00	213593	600.000	39.581	23,778.72				0	0.00	NOBLE DRILLING CORP @ 39.5812 23 748.72 BROKERAGE 30.00 J P MORGAN SECURITIES (F
PUR	03-24-00	213594	500.000	40.874	20,462.15				0	0.00	NOBLE DRILLING CORP @ 40.8743 20 437.15 BROKERAGE 25.00 WEISS PECK GREER TRADE D
PUR	03-27-00	213601	1,400.000	39.719	55,648.88				0	0.00	NOBLE DRILLING CORP @ 39.7192 55 606.88 BROKERAGE 42.00 WEISS PECK GREER TRADE D
PUR	03-28-00	214675	1,600.000	39.538	63,340.48				0	0.00	NOBLE DRILLING CORP @ 39.5378 63 260.48 BROKERAGE 80.00 WEISS PECK GREER TRADE D
PUR	03-28-00	214676	1,200.000	39.538	47,505.36				0	0.00	NOBLE DRILLING CORP @ 39.5378 47 445.36 BROKERAGE 60.00 JANNEY MONTGOMERY SCOTT
PUR	03-29-00	215889	300.000	39.347	11,813.31				0	0.00	Noble Drilling Corp
PUR	05-02-00	220508	200.000	39.750	7,956.00				0	0.00	NOBLE DRILLING CORP @ 39.75 7 950.00 BROKERAGE 6.00 BRIDGE TRADING CO TRADE DATE
PUR	05-05-00	220553	500.000	39.144	19,597.00				0	0.00	NOBLE DRILLING CORP @ 39.144 19 572.00 BROKERAGE 25.00 BANCAMERICA RDBERTSON STE
SAL	05-30-00	222167	-1,100.000	40.889		44,921.95	-44,339.75	582.20			Noble Drilling Corp
PUR	07-12-00	226826	1,000.000	42.485	42,535.00				0	0.00	NOBLE DRILLING CORP @ 42.485 42 485.00 BROKERAGE 50.00 PRUDENTIAL SECURITIES TRA
SAL	09-21-00	235016	-1,400.000	46.474		64,990.73	-58,437.76	6,552.97			NOBLE DRILLING CORP @ 46.4735 65 062.90 BROKERAGE 70.00 TAX &/OR SEC 2.17 WEEDEN
TROUT	10-01-00	237781	-5,300.000	50.250		209,758.99	-209,758.99	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		0.000		312,536.50	319,671.67	-312,536.50	7,135.17	0	0.00	
F-L	NE	Noble Drilling Corp									
TRIN	10-01-00	237781.2	600.000	39.581	23,778.72				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237781.1	1,100.000	39.719	43,724.12				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237781.3	1,600.000	39.538	63,340.48				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237781.4	1,200.000	39.538	47,505.36				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237781.5	300.000	39.347	11,813.31				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237781.6	500.000	39.144	19,597.00				0	0.00	CCM tfr to Forstmann-Leff

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	10-06-00	238023	-5,300.000	49.063		259,704 58	-209,758 99	49,945.59			Noble Drilling Corp
	TOTAL -		0.000		209,758.99	259,704 58	-209,758 99	49,945 59	0	0.00	
COM	NETA	Networks Associates Inc									
PUR	05-04-00	220543	2,100.000	25.370	53,275.95				0	0.00	NETWORKS ASSOCIATES INC @ 25.3695 HERZOG HEINE GEDULD INC TRADE DATE 05/04/00
PUR	05-05-00	220554	2,500.000	26.148	65,369.75				0	0.00	NETWORKS ASSOCIATES INC @ 26 1479 HERZOG HEINE GEDULD INC TRADE DATE 05/05/00
PUR	05-08-00	220558	800.000	25.039	20,031.28				0	0.00	NETWORKS ASSOCIATES INC @ 25.0391 HERZOG HEINE GEDULD INC TRADE DATE 05/08/00
PUR	05-09-00	220564	900.000	24.349	21,914.46				0	0.00	NETWORKS ASSOCIATES INC @ 24 3494 HERZOG HEINE GEDULD INC TRADE DATE 05/09/00
PUR	05-10-00	220575	800.000	23.510	18,808.08				0	0.00	NETWORKS ASSOCIATES INC @ 23.5101 HERZOG HEINE GEDULD INC TRADE DATE 05/10/00
PUR	05-11-00	220591	600.000	23.243	13,945.98				0	0 00	NETWORKS ASSOCIATES INC @ 23.2433 GERARD KLAUER MATTISON TRADE DATE 05/11/00
PUR	05-17-00	220626	1,800.000	23.499	42,297.84				0	0.00	NETWORKS ASSOCIATES INC @ 23.4988 COWEN & CO TRADE DATE 05/17/00
PUR	06-02-00	223632	500.000	24.341	12,170.25				0	0.00	NETWORKS ASSOCIATES INC @ 24.3405 MORGAN STANLEY & CO TRADE DATE 06/02/00
PUR	06-22-00	224913	300.000	21.750	6,525.00				0	0 00	NETWORKS ASSOCIATES INC @ 21.75 MERRILL LYNCH PIERCE FENNER SMITH TRADE DATE 06/
PUR	06-22-00	224914	700.000	21.908	15,335.46				0	0.00	NETWORKS ASSOCIATES INC @ 21.9078 TROSTER SINGER STEVENS ROTHCHILD TRADE DATE 06
PUR	06-22-00	224915	200.000	21.900	4,388.00				0	0.00	NETWORKS ASSOCIATES INC @ 21.90 4 380.00 BROKERAGE 8.00 INSTINET TRADE DATE 06/2
PUR	06-22-00	224916	300.000	21.870	6,561.09				0	0.00	NETWORKS ASSOCIATES INC @ 21 8703 SHERWOOD SEC/BROADCORT CAP CLNG TRADE DATE 06/
PUR	06-23-00	224923	200.000	20.833	4,174 50				0	0.00	NETWORKS ASSOCIATES INC @ 20.8325 4 166.50 BROKERAGE 8.00 INSTINET TRADE DATE 06
PUR	06-26-00	224927	300.000	21.050	6,314.97				0	0.00	NETWORKS ASSOCIATES INC @ 21.0499 SOUNDVIEW FINANCIAL GROUP TRADE DATE 06/26/00
SAL	09-08-00	234326	-1,700.000	23.389		39,760.65	-44.451 43	-4,690 78			NETWORKS ASSOCIATES INC @ 23.3894 39 761.98 TAX &/OR SEC 1.33 BEAR STEARNS & CO
PUR	09-25-00	235308	2,000.000	22.739	45,477.80				0	0.00	NETWORKS ASSOCIATES INC @ 22.7389 MORGAN STANLEY & CO TRADE DATE 09/25/00
PUR	09-26-00	235400	1,000.000	22.272	22,272.00				0	0.00	NETWORKS ASSOCIATES INC @ 22.272 MORGAN STANLEY & CO TRADE DATE 09/26/00
TROUT	10-01-00	237782	-13,300.000	22.625		314,410.98	-314,410.98	0.00			COM tfr to Forstmann-Leff
	TOTAL -		0.000		358,862.41	354,171.63	-358,862.41	-4,690.78	0	0.00	
F-L	NETA	Networks Associates Inc									
TRIN	10-01-00	237782.2	2,100.000	25.370	53,275.95				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237782.1	800.000	26.148	20,918.32				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237782.3	800.000	25.039	20,031.28				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237782.4	900.000	24.349	21,914.46				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237782 6	800.000	23.510	18,808.08				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237782.8	600.000	23.243	13,945.98				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237782.7	1,800.000	23.499	42,297.84				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237782 5	500.000	24 341	12,170.25				0	0 00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237782.11	200.000	21 900	4,388.00				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237782.12	700.000	21 908	15,335 46				0	0.00	COM tfr to Forstmann-Leff

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TRIN	10-01-00	237782.13	300.000	21.870	6,561.09				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237782.14	300.000	21.750	6,525.00				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237782.16	200.000	20.833	4,174.50				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237782.15	300.000	21.050	6,314.97				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237782.9	2,000.000	22.739	45,477.80				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237782.10	1,000.000	22.272	22,272.00				0	0.00	COM tfr to Forstmann-Leff
SAL	10-03-00	237975	-13,300.000	23.313		310,045.91	-314,410.98	-4,365.07			Networks Associates Inc
	TOTAL -		0.000		314,410.98	310,045.91	-314,410.98	-4,365.07	0	0.00	
COM	NITE	Knight/Trimark Group 1									
PUR	02-16-00	211993	900.000	35.994	32,394.87				0	0.00	KNIGHT/TRIMARK GROUP INC CL A @ 35.9943 WEEDEN & CO TRADE DATE 02/16/00
PUR	02-17-00	212025	2,000.000	38.457	76,914.60				0	0.00	KNIGHT/TRIMARK GROUP INC CL A @ 38.4573 WEEDEN & CO TRADE DATE 02/17/00
PUR	02-24-00	212061	1,600.000	38.833	62,133.12				0	0.00	KNIGHT/TRIMARK GROUP INC CL A @ 38.8332 CHARLES SCHWAB & CO TRADE DATE 02/24/00
PUR	02-25-00	212152	500.000	40.513	20,256.80				0	0.00	Knight/Trimark Group Inc
PUR	02-28-00	212156	300.000	41.308	12,392.49				0	0.00	Knight/Trimark Group Inc
SAL	04-19-00	217560	-1,500.000	36.703		55,052 81	-59,832.53	-4,779.72			KNIGHT/TRIMARK GROUP INC CL A @ 36.7031 55 054.65 TAX &/OR SEC 1.64 SMITH BARNEY
SAL	04-20-00	217563	-400.000	34.157		13,662.14	-15,533.28	-1,871.14			KNIGHT/TRIMARK GROUP INC CL A @ 34.1565 13 662.60 TAX &/OR SEC .46 SMITH BARNEY
SAL	04-24-00	217568	-200.000	34.033		6,806.43	-7,766.64	-960.21			KNIGHT/TRIMARK GROUP INC CL A @ 34.0333 6 806.66 TAX &/OR SEC .23 SMITH BARNEY I
PUR	05-02-00	220509	100.000	36.250	3,625.00				0	0.00	KNIGHT/TRIMARK GROUP INC CL A @ 36.25 CREDIT SUISSE FIRST BOSTON CORP TRADE DATE
SAL	05-11-00	220592	-1,500.000	29.031		43,545.49	-57,798.72	-14,253.23			KNIGHT/TRIMARK GROUP INC CL A @ 29.0313 43 546.95 TAX &/OR SEC 1.46 CANTOR FITZC
SAL	05-12-00	220607	-1,400.000	29.464		41,247.52	-52,387.99	-11,140.47			KNIGHT/TRIMARK GROUP INC CL A @ 29.4635 41 248.90 TAX &/OR SEC 1.38 CANTOR FITZC
SAL	05-15-00	220617	-400.000	28.354		11,341.30	-14,397.72	-3,056.42			KNIGHT/TRIMARK GROUP INC CL A @ 28.3542 11 341.68 TAX &/OR SEC .38 CANTOR FITZGE
	TOTAL -		0.000		207,716.88	171,655.69	-207,716.88	-36,061.19	0	0.00	
F-L	NOC	Northrop Grumman Corp									
TRIN	10-01-00	237783.8	600.000	67.293	40,405.98				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237783.6	500.000	69.254	34,652.00				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237783.5	200.000	71.616	14,329.18				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237783.7	300.000	69.000	20,715.00				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237783.4	800.000	76.678	61,382.56				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237783.2	700.000	78.663	55,099.03				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237783.1	400.000	79.190	31,695.86				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237783.3	300.000	78.055	23,431.38				0	0.00	COM tfr to Forstmann-Leff
SAL	10-03-00	237976	-3,800.000	93.266		354,172.50	-281,711.01	72,461.49			Northrop Grumman Corp

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		0.000		281,711.01	354,172.50	-281,711.01	72,461.49	0	0.00	
COM	NOC	Northrop Grumman Corp									
PUR	04-20-00	217564	600.000	67.293	40,405.98				0	0.00	NORTHROP GRUMMAN CORP @ 67.2933 40 375.98 BROKERAGE 30.00 WEEDEN & CO TRADE DATE
PUR	04-24-00	217569	500.000	69.254	34,652.00				0	0.00	NORTHROP GRUMMAN CORP @ 69.254 34 627.00 BROKERAGE 25.00 JANNEY MONTGOMERY SCOTT
PUR	04-25-00	217577	400.000	71.616	28,658.36				0	0.00	NORTHROP GRUMMAN CORP @ 71 6159 28 646.36 BROKERAGE 12.00 MERRILL LYNCH PROF CI
PUR	04-26-00	218444	300.000	69.000	20,715.00				0	0.00	Northrop Grumman Corp
PUR	04-27-00	218448	400.000	72.221	28,908 64				0	0.00	Northrop Grumman Corp
PUR	04-28-00	218455	800.000	71.601	57,321.44				0	0.00	Northrop Grumman Corp
PUR	05-02-00	220510	100.000	73.500	7,353.00				0	0.00	NORTHROP GRUMMAN CORP @ 73.50 7 350.00 BROKERAGE 3.00 BRIDGE TRADING CO TRADE DA
PUR	05-31-00	222149	200.000	76.045	15,219.16				0	0.00	Northrop Grumman Corp
PUR	06-01-00	223608	200.000	76.884	15,386.80				0	0.00	NORTHROP GRUMMAN CORP @ 76 884 15 376 80 BROKERAGE 10.00 THOMAS WEISEL PARTNERS
SAL	06-13-00	223694	-1,700.000	71.909		122,156 05	-124,189.04	-2,032 99			NORTHROP GRUMMAN CORP @ 71 9089 122 245.13 BROKERAGE 85.00 TAX &/OR SEC 4.08 DON
SAL	06-13-00	223695	-200.000	71.779		14,351 28	14,329 18	22 10			NORTHROP GRUMMAN CORP @ 71.7788 14 355.76 BROKERAGE 4.00 TAX &/OR SEC .48 INVEST
PUR	08-08-00	230775	800.000	76.678	61,382.56				0	0.00	NORTHROP GRUMMAN CORP @ 76 6782 61 342.56 BROKERAGE 40.00 GOLDMAN SACHS & CO TRA
PUR	08-09-00	230787	700.000	78.663	55,099.03				0	0.00	NORTHROP GRUMMAN CORP @ 78.6629 55 064.03 BROKERAGE 35.00 GOLDMAN SACHS & CO TRA
PUR	08-31-00	232070	400.000	79.190	31,695.88				0	0.00	Northrop Grumman Corp @79 1897
PUR	09-01-00	234093	300.000	78.055	23,431.38				0	0.00	NORTHROP GRUMMAN CORP @ 78.0546 23 416.38 BROKERAGE 15.00 CANTOR FITZGERALD INC
TROUT	10-01-00	237783	-3,800.000	90.875		281,711 01	-281,711 01	0.00			COM tfr to Forstmann-Leff
	TOTAL -		0.000		420,229.23	418,218 34	-420,229 23	-2,010 89	0	0.00	
COM	NSM	National Semiconductor Corp									
PUR	01-06-00	208541	2,300.000	41.874	96,424 97				0	0 00	NATIONAL SEMICONDUCTOR CORP @ 41.8739 96 309.97 BROKERAGE 115 00 MERRILL LYNCH &
PUR	01-07-00	208550	1,500.000	42.340	63,584.55				0	0.00	NATIONAL SEMICONDUCTOR CORP @ 42.3397 63 509.55 BROKERAGE 75.00 MERRILL LYNCH PI
PUR	01-10-00	208560	400.000	46.415	18,586.08				0	0 00	NATIONAL SEMICONDUCTOR CORP @ 46.4152 18 566.08 BROKERAGE 20.00 OPPENHEIMER & CO
SAL	03-15-00	213462	-400.000	60.268		24,094 43	-18,586.08	5,508.35			NATIONAL SEMICONDUCTOR CORP @ 60.2681 24 107.24 BROKERAGE 12.00 TAX &/OR SEC 81
SAL	03-16-00	213485	-1,600.000	58.794		93,986.62	-67,776 94	26,209.68			NATIONAL SEMICONDUCTOR CORP @ 58 7936 94 069.76 BROKERAGE 80.00 TAX &/OR SEC 3.1
SAL	03-17-00	213511	-200.000	61.238		12,237.09	-8,384 78	3,852 31			NATIONAL SEMICONDUCTOR CORP @ 61.2375 12 247.50 BROKERAGE 10.00 TAX &/OR SEC .41
SAL	03-17-00	213512	-1,400.000	62.359		87,229.82	-58,693.46	28,536.36			NATIONAL SEMICONDUCTOR CORP @ 62.3591 87 302.74 BROKERAGE 70.00 TAX &/OR SEC 2.9
SAL	03-20-00	213548	-100.000	66.719		6,666.65	-4.192 39	2,474 26			NATIONAL SEMICONDUCTOR CORP @ 66.7188 6 671.88 BROKERAGE 5.00 TAX &/OR SEC .23
SAL	03-21-00	213557	-500.000	60.125		30,036 24	-20,961 95	9,074 29			NATIONAL SEMICONDUCTOR CORP @ 60.1245 30 062.25 BROKERAGE 25.00 TAX &/OR SEC 1.0
	TOTAL -		0.000		178,595.60	254,250 85	-178,595 60	75,655 25	0	0 00	
COM	NSOL	Network Solutions									
SPLDV	03-13-00	213411	900.000		0 00				0	0.00	NETWORK SOLUTIONS INC DEL 2 FOR 1 STOCK DISTRIBUTION

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	04-13-00	217525	-1,000.000	127.983		127,978.73	-74,564.59	53,414.14			NETWORK SOLUTIONS INC DEL @ 127.983 127 983.00 TAX &/OR SEC 4.27 CHARLES SCHWAB
SAL	04-17-00	217553	-800.000	109.743		87,791.63	-57,240.09	30,551.54			NETWORK SOLUTIONS INC DEL @ 109.7432 87 794.56 TAX &/OR SEC 2.93 CHARLES SCHWAB
	TOTAL -		-900.000		0.00	215,770.36	-131,804.68	83,965.68	0	0.00	
COM	NTAP	Network Appliance Inc									
SAL	01-31-00	209812	-400.000	101.250		40,498.65	-15,030.67	25,467.98			Network Appliance Inc
SAL	02-01-00	211944	-400.000	105.769		42,306.30	-14,873.84	27,432.46			NETWORK APPLIANCE INC @ 105.7693 42 307.72 TAX &/OR SEC 1.42 SOUNDVIEW FINANCIAL
SAL	02-28-00	212166	-400.000	185.456		74,180.20	-14,857.27	59,322.93			Network Appliance Inc
SAL	03-08-00	213397	-600.000	218.282		130,965.07	-22,249.27	108,715.80			NETWORK APPLIANCE INC @ 218.2824 130 969.44 TAX &/OR SEC 4.37 CANTOR FITZGERALD
SAL	03-16-00	213486	-400.000	172.376		68,947.98	-14,817.14	54,130.84			NETWORK APPLIANCE INC @ 172.3757 68 950.28 TAX &/OR SEC 2.30 MORGAN STANLEY & CO
SAL	03-17-00	213513	-100.000	191.839		19,183.23	-3,704.29	15,478.94			NETWORK APPLIANCE INC @ 191.8387 19 183.87 TAX &/OR SEC .64 PRUDENTIAL SECURITIE
SPLDV	03-23-00	213579	1,900.000		0.00				0	0.00	NETWORK APPLIANCE INC 2 FOR 1 STOCK DISTRIBUTION
SAL	04-04-00	217450	-1,300.000	63.289		82,272.30	-23,422.41	58,849.89			NETWORK APPLIANCE INC @ 63.2885 82 275.05 TAX &/OR SEC 2.75 CANTOR FITZGERALD IN
SAL	04-05-00	217463	-800.000	71.367		57,091.53	-13,962.06	43,129.47			NETWORK APPLIANCE INC @ 71.3668 57 093.44 TAX &/OR SEC 1.91 CANTOR FITZGERALD IN
SAL	04-20-00	217565	-800.000	58.522		46,815.71	-13,917.36	32,898.35			NETWORK APPLIANCE INC @ 58.5216 46 817.28 TAX &/OR SEC 1.57 GOLDMAN SACHS & CO T
SAL	04-24-00	217570	-400.000	45.684		18,272.91	-6,958.68	11,314.23			NETWORK APPLIANCE INC @ 45.6830 18 273.52 TAX &/OR SEC .61 GOLDMAN SACHS & CO TF
SAL	04-25-00	217578	-500.000	59.559		29,778.45	-8,698.35	21,080.10			NETWORK APPLIANCE INC @ 59.5589 29 779.45 TAX &/OR SEC 1.00 GOLDMAN SACHS & CO T
	TOTAL -		-4,200.000		0.00	610,312.33	-152,491.34	457,820.99	0	0.00	
WPS	NTRS	Northern Trust Corp									
PUR	11-17-00	240885	6,175.000	81.620	504,494.41				6,175	504,494.41	NORTHERN TRUST CORP @ 81.6195 504 000.41 BROKERAGE 494.00 NEUBERGER & BERMAN TRA
PUR	12-14-00	243001	2,000.000	81.705	163,569.80				2,000	163,569.80	NORTHERN TRUST CORP @ 81.7049 163 409.80 BROKERAGE 160.00 NEUBERGER & BERMAN TRA
	TOTAL -		8,175.000		668,064.21	0.00	0.00	0.00	8,175	668,064.21	
P-L	NVLS	Novellus Systems Inc									
TRIN	10-01-00	237785.2	1,200.000	52.951	63,540.84				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237785.1	1,000.000	54.748	54,748.20				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237785.3	900.000	49.637	44,673.48				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-03-00	237977	-3,100.000	44.125		136,782.94	-162,962.52	-26,179.58			Novellus Systems Inc
	TOTAL -		0.000		162,962.52	136,782.94	-162,962.52	-26,179.58	0	0.00	
COM	NVLS	Novellus Systems Inc									
PUR	01-19-00	208612	1,200.000	52.951	63,540.84				0	0.00	NOVELLUS SYSTEMS INC @ 52.9507 MORGAN STANLEY & CO TRADE DATE 01/19/00

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	01-20-00	208628	1,200.000	55.730	66,876.24				0	0.00	NOVELLUS SYSTEMS INC @ 55.7302 MORGAN STANLEY & CO TRADE DATE 01/20/00
PUR	01-21-00	208639	1,000.000	54.748	54,748.20				0	0.00	NOVELLUS SYSTEMS INC @ 54.7482 MORGAN STANLEY & CO TRADE DATE 01/21/00
PUR	01-24-00	208649	400.000	55.088	22,035.12				0	0.00	NOVELLUS SYSTEMS INC @ 55.0878 CREDIT SUISSE FIRST BOSTON CORP TRADE DATE 01/24/
PUR	03-03-00	213374	800.000	61.904	49,523.20				0	0.00	NOVELLUS SYSTEMS INC @ 61.904 BANCAMERICA ROBERTSON STEPHENS TRADE DATE 03/03/0(
PUR	05-02-00	220511	200.000	66.188	13,237.50				0	0.00	NOVELLUS SYSTEMS INC @ 66.1875 KNIGHT SECURITIES TRADE DATE 05/02/00
PUR	05-31-00	222150	900.000	49.637	44,673.48				0	0.00	Novellus Systems Inc
SAL	09-26-00	235401	-2,600.000	46.496		120,886.61	-151,672 06	-30,785.45			NOVELLUS SYSTEMS INC @ 46.4964 120 890.64 TAX &/OR SEC 4.03 HERZOG HEINE GEDULD
TROUT	10-01-00	237785	-3,100.000	46.563		162,962 52	-162,962.52	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		0.000		314,634.58	283,849.13	-314,634 58	-30,785 45	0	0.00	
WPS	NYT	New York Times Co A									
PUR	09-18-00	234904	44,600.000	38.486	1,720,039 14				44,600	1,720,039.14	New York Times Co A
PUR	11-21-00	240889	4,000.000	35.813	143,570.00				4,000	143,570.00	NEW YORK TIMES CO A @ 35.8125 143 250.00 BROKERAGE 320.00 NEUBERGER & BERMAN TRA
	TOTAL -		48,600.000		1,863,609.14	0.00	0.00	0.00	48,600	1,863,609 14	
F-L	OEI	Ocean Energy Inc Tex									
TRIN	10-01-00	237786.1	300.000	15.126	4,552.86				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237786.6	1,000.000	14.715	14,764.50				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237786.7	1,200.000	14.615	17,598.36				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237786.4	2,600.000	15.038	39,228.54				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237786.2	2,600.000	15.046	39,249.60				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237786.3	4,400.000	15.046	66,422.40				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237786.8	4,000.000	14.221	57,085.60				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237786.5	800.000	14.750	11,840.00				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-06-00	238024	-16,900.000	14.813		249,477.90	-250,741.86	-1,263 96			Ocean Energy Inc Tex
	TOTAL -		0.000		250,741.86	249,477.90	-250,741.86	-1,263 96	0	0.00	
CCM	OEI	Ocean Energy Inc Tex									
PUR	05-22-00	221486	2,600.000	15.126	39,458 12				0	0.00	OCEAN ENERGY INC TEX @ 15 1262 39 328.12 BROKERAGE 130.00 LAZARD FRERES & CO TRA
PUR	05-24-00	221503	1,000.000	14.715	14,764.50				0	0.00	OCEAN ENERGY INC TEX @ 14.7145 14 714.50 BROKERAGE 50.00 ABN AMRO CHICAGO CORPOI
PUR	05-25-00	221477	1,200.000	14.615	17,598.36				0	0.00	OCEAN ENERGY INC TEX @ 14.6153 17 538.36 BROKERAGE 60.00 LAZARD FRERES & CO TRA(
PUR	05-30-00	222135	2,600.000	15.046	39,249 60				0	0.00	Ocean Energy Inc Tex
PUR	05-30-00	222136	4,400.000	15.046	66,422.40				0	0.00	Ocean Energy Inc Tex
PUR	05-31-00	221514	2,600.000	15.038	39,228.54				0	0.00	OCEAN ENERGY INC TEX @ 15 0379 39 098.54 BROKERAGE 130.00 LAZARD FRERES & CO TRA

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	06-07-00	223665	4,000.000	14.221	57,085.60				0	0.00	OCEAN ENERGY INC TEX @ 14.2214 56 885.60 BROKERAGE 200.00 WASSERSTEIN & PERELLA
PUR	06-08-00	223678	800.000	14.750	11,840.00				0	0.00	OCEAN ENERGY INC TEX @ 14.75 11 800.00 BROKERAGE 40.00 JEFFERIES & CO INC TRADE
SAL	09-20-00	234866	-400.000	16.009		6,383.46	-6,070.48	312.98			OCEAN ENERGY INC TEX @ 16.0092 6 403.68 BROKERAGE 20.00 TAX &/OR SEC .22 WEEDEN
SAL	09-21-00	235017	-500.000	15.563		7,756.24	-7,588.10	168.14			OCEAN ENERGY INC TEX @ 15.563 7 781.50 BROKERAGE 25.00 TAX &/OR SEC .26 WEEDEN &
SAL	09-22-00	235127	-800.000	15.119		12,054.95	-12,140.96	-86.01			OCEAN ENERGY INC TEX @ 15.1192 12 095.36 BROKERAGE 40.00 TAX &/OR SEC .41 WEEDEN
SAL	09-25-00	235309	-300.000	14.194		4,242.99	-4,552.86	-309.87			OCEAN ENERGY INC TEX @ 14.1938 4 258.14 BROKERAGE 15.00 TAX &/OR SEC .15 WEEDEN
SAL	09-25-00	235310	-300.000	14.194		4,242.99	-4,552.86	-309.87			OCEAN ENERGY INC TEX @ 14 1938 4 258.14 BROKERAGE 15.00 TAX &/OR SEC .15 SOUTHCO
TROUT	10-01-00	237786	-16,900.000	15.438		250,741.86	-250,741.86	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		0.000		285,647.12	285,422.49	-285,647.12	-224.63	0	0.00	
F-L	ORI	Old Republic International Cor									
TRIN	10-01-00	237787.13	1,200.000	24.489	29,446.32				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237787.7	2,700.000	25.877	70,001.82				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237787.12	200.000	24.688	4,939.50				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237787.1	800.000	26.838	21,510.72				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237787.3	1,100.000	26.354	29,044.84				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237787.8	1,300.000	25.812	33,620.34				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237787.10	300.000	25.763	7,743.84				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237787.11	100.000	25.597	2,561.68				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237787.2	300.000	26.365	7,924.41				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237787.4	400.000	26.058	10,443.32				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237787.6	100.000	25.982	2,603.21				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237787.5	1,100.000	26.009	28,664.46				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237787.9	1,800.000	25.808	46,544.22				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-05-00	238015	-11,400.000	24.000		272,906.88	-295,048.68	-22,141.80			Old Republic Int'l Corp
	TOTAL -		0.000		295,048.68	272,906.88	-295,048.68	-22,141 80	0	0.00	
CCM	ORI	Old Republic International Cor									
PUR	09-06-00	234285	1,200.000	24.489	29,446.32				0	0.00	OLD REPUBLIC INTERNATIONAL CORP @ 24.4886 29 386.32 BROKERAGE 60.00 KEEFE BRUYET
PUR	09-07-00	234305	2,700.000	25.877	70,001.82				0	0.00	OLD REPUBLIC INTERNATIONAL CORP @ 25.8766 69 866.82 BROKERAGE 135.00 KEEFE BRUYE
PUR	09-07-00	234306	200.000	24.688	4,939.50				0	0.00	OLD REPUBLIC INTERNATIONAL CORP @ 24.6875 4 937.50 BROKERAGE 2.00 INSTINET TRADE
PUR	09-08-00	234327	800.000	26.838	21,510.72				0	0.00	OLD REPUBLIC INTERNATIONAL CORP @ 26.8384 21 470.72 BROKERAGE 40.00 CANTOR FITZC
PUR	09-08-00	234328	1,100.000	26.354	29,044.84				0	0.00	OLD REPUBLIC INTERNATIONAL CORP @ 26.3544 28 989.84 BROKERAGE 55.00 KEEFE BRUYET
PUR	09-11-00	234346	1,300.000	25.812	33,620.34				0	0.00	OLD REPUBLIC INTERNATIONAL CORP @ 25.8118 33 555.34 BROKERAGE 65.00 KEEFE BRUYET
PUR	09-11-00	234347	300.000	25.763	7,743.84				0	0.00	OLD REPUBLIC INTERNATIONAL CORP @ 25.7628 7 728.84 BROKERAGE 15.00 SHERWOOD SEC/

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ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	09-11-00	234348	100.000	25.597	2,561.68				0	0.00	OLD REPUBLIC INTERNATIONAL CORP @ 25.5968 2 559 68 BROKERAGE 2.00 INVESTMENT TEC
PUR	09-19-00	234856	300.000	26.365	7,924.41				0	0.00	OLD REPUBLIC INTERNATIONAL CORP @ 26.3647 7 909.41 BROKERAGE 15.00 LEHMAN BROTHE
PUR	09-20-00	234867	400.000	26.058	10,443.32				0	0.00	OLD REPUBLIC INTERNATIONAL CORP @ 26.0583 10 423.32 BROKERAGE 20.00 LEHMAN BROTH
PUR	09-21-00	235018	100.000	25.982	2,603.21				0	0.00	OLD REPUBLIC INTERNATIONAL CORP @ 25.9821 2 598.21 BROKERAGE 5.00 LEHMAN BROTHER
PUR	09-22-00	235128	1,100.000	26.009	28,664 46				0	0.00	OLD REPUBLIC INTERNATIONAL CORP @ 26.0086 28 609.46 BROKERAGE 55.00 LEHMAN BROTH
PUR	09-25-00	235311	1,800.000	25.808	46,544 22				0	0.00	OLD REPUBLIC INTERNATIONAL CORP @ 25.8079 46 454.22 BROKERAGE 90.00 NATIONSBANC
TROUT	10-01-00	237787	-11,400.000	24.063		295,048.68	-295,048.68	0.00			COM tfr to Forstmann-Leff
	TOTAL -		0.000		295,048.68	295,048.68	-295,048.68	0.00	0	0.00	
CCM	OXY	Occidental Petr Corp									
SAL	02-14-00	211972	-5,800.000	17.262		99,825 10	-124.985 99	-25,160 89			OCCIDENTAL PETROLEUM CORP @ 17.2618 100 118.44 BROKERAGE 290.00 TAX &/OR SEC 3.3
SAL	02-15-00	211977	-4.600.000	17.373		79,684.51	-93,157 48	-13,472 97			OCCIDENTAL PETROLEUM CORP @ 17.3733 79 917 18 BROKERAGE 230.00 TAX &/OR SEC 2.67
	TOTAL -		-10,400.000		0.00	179,509.61	-218,143.47	-38,633 86	0	0.00	
CCM	PBG	Pepsi Bottling Group Inc									
PUR	05-17-00	220622	500.000	25.307	12,678.50				0	0.00	PEPSI BOTTLING GROUP INC @ 25 307 12 653.50 BROKERAGE 25.00 DONALDSON LUFKIN &
PUR	05-17-00	220623	1,800.000	25.226	45,496.08				0	0.00	PEPSI BOTTLING GROUP INC @ 25.2256 45 406.08 BROKERAGE 90.00 J P MORGAN SECURITI
PUR	05-18-00	221480	3,100.000	25.861	80,324 41				0	0.00	PEPSI BOTTLING GROUP INC @ 25 8611 80 169 41 BROKERAGE 155.00 J P MORGAN SECURIT
PUR	05-22-00	221493	1,300.000	25.500	33,215.00				0	0.00	PEPSI BOTTLING GROUP INC @ 25.50 33 150.00 BROKERAGE 65.00 J P MORGAN SECURITIES
PUR	05-22-00	221494	900.000	25.250	22,770.00				0	0.00	PEPSI BOTTLING GROUP INC @ 25 25 22 725.00 BROKERAGE 45.00 NEUBERGER & BERMAN TR
PUR	05-24-00	221508	1,000.000	26.315	26,365.30				0	0.00	PEPSI BOTTLING GROUP INC @ 26.3153 26 315.30 BROKERAGE 50.00 NATIONSBANC MONTGOM
PUR	06-07-00	223666	1,600.000	26.037	41,738.56				0	0.00	PEPSI BOTTLING GROUP INC @ 26.0366 41 658 56 BROKERAGE 80.00 BEAR STEARNS & CO I
PUR	06-07-00	223667	200.000	26.356	5,277.10				0	0.00	PEPSI BOTTLING GROUP INC @ 26.3555 5 271 10 BROKERAGE 6 00 BRIDGE TRADING CO TRA
PUR	06-08-00	223679	300.000	26.291	7,902 27				0	0.00	PEPSI BOTTLING GROUP INC @ 26 2909 7 887 27 BROKERAGE 15.00 BEAR STEARNS & CO IN
SAL	09-06-00	234286	-2,100.000	28.619		59,991.84	-55,196 63	4,795 21			PEPSI BOTTLING GROUP INC @ 28.6185 60 098 85 BROKERAGE 105.00 TAX &/OR SEC 2.01
PUR	09-25-00	235312	100.000	29.844	2,986.38				0	0.00	PEPSI BOTTLING GROUP INC @ 29.8438 2 984.38 BROKERAGE 2.00 INVESTMENT TECHNOLOGY
PUR	09-25-00	235313	400.000	30.182	12,092.76				0	0.00	PEPSI BOTTLING GROUP INC @ 30.1819 12 072.76 BROKERAGE 20.00 FIRST UNION CAP MKT
PUR	09-26-00	235402	300.000	30.626	9,202 80				0	0.00	PEPSI BOTTLING GROUP INC @ 30.626 9 187.80 BROKERAGE 15.00 FIRST UNION CAP MKTS
PUR	09-26-00	235403	100.000	30.228	3,024 84				0	0.00	PEPSI BOTTLING GROUP INC @ 30.2284 3 022.84 BROKERAGE 2.00 INVESTMENT TECHNOLOGY
TROUT	10-01-00	237788	-9,500.000	30.063		247,877.37	-247,877 37	0 00			COM tfr to Forstmann-Leff
	TOTAL -		0.000		303,074 00	307,869 21	-303,074 00	4,795 21	0	0.00	
F-L	PBG	Pepsi Bottling Group Inc									
TRIN	10-01-00	237788.8	500.000	25.307	12,678.50				0	0 00	COM tfr to Forstmann-Leff

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TRIN	10-01-00	237788.10	1,800.000	25.226	45,496.08				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237788.6	3,100.000	25.861	80,324.41				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237788.7	1,300.000	25.500	33,215.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237788.9	900.000	25.250	22,770.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237788.5	1,000.000	26.037	26,086.60				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237788.3	400.000	30.182	12,092.76				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237788.4	100.000	29.844	2,986.38				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237788.1	300.000	30.626	9,202.80				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237788.2	100.000	30.228	3,024.84				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-03-00	237978	-9,500.000	30.799		292,013.59	-247,877.37	44,136.22			Pepsi Bottling Group Inc
	TOTAL -		0.000		247,877.37	292,013.59	-247,877.37	44,136.22	0	0.00	
F-L	PDE	Pride International Inc									
PUR	10-05-00	238054	18,900.000	25.750	487,809.00				18,900	487,809.00	Pride International inc
	TOTAL -		18,900.000		487,809.00	0.00	0.00	0.00	18,900	487,809.00	
WPS	PFE	Pfizer									
PUR	08-07-00	230710	15,000.000	44.752	672,483.00				15,000	672,483.00	PFIZER INC @ 44.7522 671 283.00 BROKERAGE 1 200.00 NEUBERGER & BERMAN TRADE DATE
SAL	09-26-00	235332	-23,600.000	43.688		1,029,102.63	-341,499.87	687,602.76			Pfizer Inc
SAL	10-09-00	236901	-6,200.000	43.313		268,032.54	-76,527.70	191,504.84			PFIZER INC @ 43.3125 268 537.50 BROKERAGE 496.00 TAX &/OR SEC 8.96 NEUBERGER & B
	TOTAL -		-14,800.000		672,483.00	1,297,135.17	-418,027.57	879,107.60	15,000	672,483.00	
WPS	PG	Procter & Gamble									
SAL	01-28-00	209774	-7,700.000	101.370		779,910.06	-673,728.44	106,181.62			Procter & Gamble Co @ 101.37
SAL	01-28-00	209774	-4,150.000	101.370		420,341.13	-367,754.33	52,586.80			Procter & Gamble Co @ 101.37
	TOTAL -		-11,850.000		0.00	1,200,251.19	-1,041,482.77	158,768.42	0	0.00	
CCM	PHBK	Peoples Heritage Financial									
PUR	03-15-00	213463	1,800.000	12.734	22,921.92				0	0.00	PEOPLES HERITAGE FINANCIAL GROUP INC @ 12.7344 CANTOR FITZGERALD INC TRADE DATE
PUR	03-16-00	213487	1,200.000	14.946	17,934.96				0	0.00	PEOPLES HERITAGE FINANCIAL GROUP INC @ 14.9458 CANTOR FITZGERALD INC TRADE DATE
PUR	03-17-00	213514	1,000.000	14.570	14,570.10				0	0.00	PEOPLES HERITAGE FINANCIAL GROUP INC @ 14.5701 JEFFERIES & CO INC TRADE DATE 03/
PUR	03-20-00	213549	100.000	14.000	1,400.00				0	0.00	PEOPLES HERITAGE FINANCIAL GROUP INC @ 14.00 CANTOR FITZGERALD INC TRADE DATE 03
PUR	03-21-00	213558	300.000	14.625	4,387.50				0	0.00	PEOPLES HERITAGE FINANCIAL GROUP INC @ 14.625 KEEFE BRUYETTE & WOODS TRADE DATE

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	03-21-00	213559	100.000	13.906	1,390.63				0	0.00	PEOPLES HERITAGE FINANCIAL GROUP INC @ 13.9063 CANTOR FITZGERALD INC TRADE DATE
PUR	03-22-00	213569	200.000	14.500	2,900.00				0	0.00	PEOPLES HERITAGE FINANCIAL GROUP INC @ 14.50 CANTOR FITZGERALD INC TRADE DATE 03
SAL	04-28-00	218471	-600.000	13.062		7,837.24	-10,474.50	-2,637.26			Peoplea Heritage Financial GP
SAL	04-28-00	218471	-700.000	13.062		9,143.44	-12,252.17	-3,108.73			Peoplea Heritage Financial GP
SAL	05-01-00	220453	-1,700.000	12.824		21,799.90	-29,677.75	-7,877.85			PEOPLES HERITAGE FINANCIAL GROUP INC @ 12 8239 21 800.63 TAX &/OR SEC .73 CHARLE
SAL	05-02-00	220512	-600.000	12.000		7,199.76	-10,474 50	-3,274.74			PEOPLES HERITAGE FINANCIAL GROUP INC @ 12.00 7 200.00 TAX &/OR SEC .24 SANDLERO'
SAL	05-04-00	220544	-400.000	12.199		4,879.39	-6,983.00	-2,103.61			PEOPLES HERITAGE FINANCIAL GROUP INC @ 12.1989 4 879.56 TAX &/OR SEC .17 SANDLER
SAL	05-05-00	220555	-100.000	12.908		1,290.76	-1,745.75	-454.99			PEOPLES HERITAGE FINANCIAL GROUP INC @ 12.9081 1 290.81 TAX &/OR SEC .05 SANDLER
CNVFR	05-15-00	220767	-9,200.000	13.063		142,993.17	-142,993.17	0.00			Peoplea Heritage to BankNorth
	TOTAL -		-8,600.000		65,505.11	195,143.66	-214,600.84	-19,457.18	0	0.00	
F-L	PKI	Perkinelmer Inc									
TRIN	10-01-00	237789.5	1,000.000	57.989	58,038.90				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237789.4	900.000	61.522	55,414.89				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237789.2	700.000	65.104	45,607.45				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237789.1	900.000	67.370	60,678.27				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237789.3	1,000.000	63.379	63,428.90				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-10-00	238033	-4,500.000	96.578		434,315 61	-283,168 41	151,147.20			Perkinelmer Inc
	TOTAL -		0.000		283,168.41	434,315 61	-283,168 41	151,147.20	0	0.00	
COM	PKI	Perkinelmer Inc									
PUR	06-23-00	224946	1,000.000	57.989	58,038.90				0	0.00	Perkinelmer Inc
PUR	06-26-00	224947	900.000	61.522	55,414 89				0	0.00	Perkinelmer Inc
PUR	06-27-00	224948	700.000	65.104	45,607.45				0	0.00	Perkinelmer Inc
PUR	06-28-00	225400	900.000	67.370	60,678 27				0	0.00	Perkinelmer Inc
PUR	08-04-00	230763	1,000.000	63.379	63,428 90				0	0.00	PERKINELMER INC @ 63.3789 63 378.90 BROKERAGE 50.00 DONALDSON LUFKIN & JENRETTE
TROUT	10-01-00	237789	-4,500.000	104.375		283,168 41	-283,168 41	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		0.000		283,168 41	283,168 41	-283,168 41	0.00	0	0.00	
COM	PLL	Pall Corp									
SAL	01-19-00	208613	-400.000	20.625		8,229 72	-10,003.60	-1,773.88			PALL CORP @ 20.625 8 250 00 BROKERAGE 20.00 TAX &/OR SEC .28 CREDIT SUISSE FIRST
SAL	01-20-00	208629	-2,500.000	19.945		49,736 58	-62,522 50	-12,785 92			PALL CORP @ 19 9453 49 863.25 BROKERAGE 125.00 TAX &/OR SEC 1.67 FIDELITY CAPITA
SAL	01-27-00	209804	-1,000.000	19.294		19,244 25	-23,751 41	-4,507.16			Pall Corp
SAL	01-28-00	209808	-500.000	18.842		9,395 78	-11,583.14	-2,187.36			Pall Corp

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	02-01-00	211945	-300.000	18.500		5,534.81	-6,915.00	-1,380.19			PALL CORP @ 18.50 5 550.00 BROKERAGE 15.00 TAX &/OR SEC .19 J P MORGAN SECURITIE
SAL	02-14-00	211974	-200.000	18.000		3,593.88	-4,610.00	-1,016.12			PALL CORP @ 18.00 3 600.00 BROKERAGE 6.00 TAX &/OR SEC .12 WEISS PECK GREER TRAI
SAL	02-16-00	211994	-300.000	18.000		5,390.82	-6,915.00	-1,524.18			PALL CORP @ 18.00 5 400.00 BROKERAGE 9.00 TAX &/OR SEC .18 WEISS PECK GREER TRAI
SAL	02-18-00	212035	-200.000	18.010		3,591.77	-4,610.00	-1,018.23			PALL CORP @ 18.0095 3 601.90 BROKERAGE 10.00 TAX &/OR SEC .13 MERRILL LYNCH PROF
SAL	02-22-00	212046	-500.000	18.250		9,109.69	-11,525.00	-2,415.31			PALL CORP @ 18.25 9 125.00 BROKERAGE 15.00 TAX &/DR SEC .31 COWEN & CO TRADE DAI
SAL	02-22-00	212047	-1,600.000	18.174		29,028.95	-36,555.48	-7,526.53			PALL CORP @ 18.1737 29 077.92 BROKERAGE 48.00 TAX &/OR SEC .97 BRIDGE TRADING CC
SAL	03-08-00	213398	-300.000	20.777		6,223.80	-6,429.42	-205.62			PALL CORP @ 20.7767 6 233.01 BROKERAGE 9.00 TAX &/OR SEC .21 MERRILL LYNCH PROP.
	TOTAL -		-7,800.000		0.00	149,080.05	-185,420.55	-36,340.50	0	0.00	
F-L	PMA	PMI GROUP INC									
TRIN	10-01-00	237790.10	300.000	45.648	13,709.25				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237790.9	300.000	47.303	14,205.99				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237790.6	900.000	48.820	43,964.55				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237790.7	200.000	48.425	9,695.08				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237790.8	100.000	48.313	4,836.25				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237790.1	500.000	50.805	25,427.70				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237790.2	500.000	50.247	25,148.35				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237790.3	200.000	49.222	9,854.46				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237790.5	100.000	49.000	4,905.07				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237790.14	500.000	40.897	20,473.60				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237790.13	100.000	42.257	4,230.75				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237790.12	800.000	42.596	34,117.52				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237790.11	100.000	44.301	4,435.15				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237790.4	200.000	49.188	9,843.50				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-09-00	238030	-4,800.000	67.688		324,601.17	-224,847.22	99,753.95			PMI Group Inc
	TOTAL -		0.000		224,847.22	324,601.17	-224,847.22	99,753.95	0	0.00	
CCM	PMA	PMI GROUP INC									
PUR	01-26-00	208671	500.000	40.897	20,473.60				0	0.00	PMI GROUP INC @ 40.8972 20 448.60 BROKERAGE 25.00 PAINE WEBBER JACKSON & CURTIS
PUR	01-27-00	209793	100.000	42 257	4,230.75				0	0.00	PMI Group Inc
PUR	03-29-00	215890	800.000	42.596	34,117.52				0	0.00	PMI Group Inc
PUR	03-30-00	215896	100.000	44.301	4,435.15				0	0.00	PMI Group Inc
PUR	05-02-00	220513	200.000	49.188	9,843.50				0	0.00	PMI GROUP INC @ 49.1875 9 837.50 BROKERAGE 6.00 BRIDGE TRADING CO TRADE DATE 05/
SAL	09-06-00	234287	-400.000	63.046		25,197.47	-20,448.40	4,749.07			PMI GROUP INC @ 63.0458 25 218.32 BROKERAGE 20.00 TAX &/OR SEC .85 FIDELITY CAPI
SAL	09-07-00	234307	-300.000	63.658		19,081.73	-15,256.62	3,825.11			PMI GROUP INC @ 63.6579 19 097.37 BROKERAGE 15.00 TAX &/OR SEC .64 FIDELITY CAPI

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TROUT	10-01-00	237790	-4,800.000	67.750		224,847.22	-224,847.22	0.00			CCM tfr to Foratmann-Leff
	TOTAL -		-3,800.000		73,100.52	269,126 42	-260,552 24	8,574.18	0	0.00	
OAKASS	PMCS	PMC-Sierra Inc									
PUR	10-10-00	237668	5,800.000	194.750	1,129,550.00				5,800	1,129,550.00	PMC-Sierra Inc
PUR	12-19-00	243857	4,800.000	95.500	458,400.00				4,800	458,400.00	PMC-Sierra Inc @95.50
	TOTAL -		10,600.000		1,587,950.00	0.00	0.00	0.00	10,600	1,587,950.00	
CCM	PMCS	PMC-Sierra Inc									
SAL	01-19-00	208614	-400.000	167.833		67,131 04	-42,868.60	24.262 44			PMC-SIERRA INC @ 167.8332 67 133.28 TAX &/OR SEC 2 24 MERRILL LYNCH PIERCE FENNE
SPLDV	02-14-00	211975	1,200.000		0.00				0	0.00	PMC-SIERRA INC 2 FOR 1 STOCK DISTRIBUTION
SAL	03-15-00	213464	-400.000	195.681		78,269.63	-14,963.55	63,306.08			PMC-SIERRA INC @ 195.6806 78 272.24 TAX &/OR SEC 2 61 CREDIT SUISSE FIRST BOSTON
SAL	03-16-00	213488	-100.000	195.119		19,511 26	-2,123 20	17,388 06			PMC-SIERRA INC @ 195.1192 19 511.92 TAX &/OR SEC .66 THOMAS WEISEL PARTNERS LLC
SAL	03-20-00	213550	-200.000	211.766		42,351.72	-4,246 40	38,105.32			PMC-SIERRA INC @ 211.7657 42 353.14 TAX &/OR SEC 1.42 BEAR STEARNS SECURITIES CO
SAL	04-04-00	217451	-800.000	161.036		128,824 50	-16,157 83	112,666 67			PMC-SIERRA INC @ 161.036 128 828.80 TAX &/OR SEC 4.30 SMITH BARNEY INC TRADE DAT
SAL	04-06-00	217469	-100.000	183.000		18,299.39	-1,889.37	16,410.02			PMC-SIERRA INC @ 183.00 18 300.00 TAX &/OR SEC .61 U S CLEARING CORP TRADE DATE
SAL	04-07-00	217460	-100.000	194.712		19,470.54	-1,869.38	17,581.16			PMC-SIERRA INC @ 194.7119 19 471.19 TAX &/OR SEC .65 SMITH BARNEY INC TRADE DATE
SAL	04-20-00	217566	-700.000	140.124		96,083.32	-13,225.62	84,857.70			PMC-SIERRA INC @ 140 1237 98 086.59 TAX &/OR SEC 3.27 SOUNDVIEW FINANCIAL GROUP
	TOTAL -		-1,600.000		0.00	471,941 40	-97,363.95	374,577 45	0	0.00	
F-L	PNW	Pinnacle West Capital Corp									
TRIN	10-01-00	237791.2	2,000.000	46.111	92,322.20				0	0.00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237791.3	200.000	46.000	9,210.00				0	0.00	CCM tfr to Foratmann-Leff
TRIN	10-01-00	237791 1	2,000.000	48.502	97,104.80				0	0.00	CCM tfr to Foratmann-Leff
SAL	10-06-00	238025	-4,200.000	50.000		209,741 00	-198,637.00	11,104 00			Pinnacle West Capital Corp
	TOTAL -		0.000		198,637.00	209,741.00	-198,637 00	11,104.00	0	0.00	
CCM	PNW	Pinnacle West Capital Corp									
PUR	09-22-00	235115	2,000.000	46.111	92,322.20				0	0 00	PINNACLE WEST CAPITAL CORP @ 46.1111 92 222.20 BROKERAGE 100.00 ABN AMRO CHICAGO
PUR	09-25-00	235314	200.000	46.000	9,210.00				0	0.00	PINNACLE WEST CAPITAL CORP @ 46.00 9 200.00 BROKERAGE 10.00 ABN AMRO CHICAGO COR
PUR	09-26-00	235404	2,000.000	48.502	97,104 80				0	0.00	PINNACLE WEST CAPITAL CORP @ 48 5024 97 004.80 BROKERAGE 100.00 ABN AMRO CHICAGO
TROUT	10-01-00	237791	-4,200.000	50.875		198,637.00	-198,637.00	0.00			CCM tfr to Forstmann-Leff

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		0.000		198,637.00	198,637.00	-198,637.00	0.00	0	0.00	
F-L	PPE	Park Place Entertainment Corp									
PUR	10-03-00	237957	35,000.000	14.063	493,937.50				35,000	493,937.50	Park Place Entertainment
	TOTAL -		35,000.000		493,937.50	0.00	0.00	0.00	35,000	493,937.50	
F-L	PPL	PPL Corp									
TRIN	10-01-00	237792.6	900.000	29.250	26,370.00				900	26,574.44	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237792.3	2,300.000	29.737	68,508.95				2,300	67,912.43	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237792.5	3,900.000	29.264	114,322.65				3,900	115,155.86	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237792.4	300.000	29.625	8,902.50				300	8,858.14	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237792.2	500.000	30.129	15,089.30				500	14,763.57	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237792.1	100.000	30.188	3,023.75				100	2,952.71	CCM tfr to Forstmann-Leff
	TOTAL -		8,000.000		236,217.15	0.00	0.00	0.00	8,000	236,217.15	
CCM	PPL	PPL Corp									
PUR	06-06-00	230769	900.000	29.250	26,370.00				0	0.00	PPL CORP @ 29.25 26 325.00 BROKERAGE 45.00 DONALDSON LUFKIN & JENRETTE SECS TRAI
PUR	08-09-00	230783	2,300.000	29.737	68,508.95				0	0.00	PPL CORP @ 29.7365 68 393.95 BROKERAGE 115.00 DONALDSON LUFKIN & JENRETTE SECS T
PUR	08-10-00	230791	3,900.000	29.264	114,322.65				0	0.00	PPL CORP @ 29.2635 114 127.65 BROKERAGE 195.00 DONALDSON LUFKIN & JENRETTE SECS
PUR	08-16-00	230821	300.000	29.625	8,902.50				0	0.00	PPL CORP @ 29.625 8 887.50 BROKERAGE 15.00 DONALDSON LUFKIN & JENRETTE SECS TRAI
PUR	08-17-00	230810	500.000	30.129	15,089.30				0	0.00	PPL CORP @ 30.1286 15 064.30 BROKERAGE 25.00 DONALDSON LUFKIN & JENRETTE SECS TF
PUR	08-18-00	230816	100.000	30.188	3,023.75				0	0.00	PPL CORP @ 30.1875 3 018.75 BROKERAGE 5.00 DONALDSON LUFKIN & JENRETTE SECS TRAI
TROUT	10-01-00	237792	-8,000.000	41.750		236,217.15	-236,217.15	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		0.000		236,217.15	236,217.15	-236,217.15	0.00	0	0.00	
CCM	PRGX	Profit Recovery Group									
PUR	01-05-00	208532	200.000	25.250	5,050.00				0	0.00	PROFIT RECOVERY GROUP INTERNATIONAL @ 25.25 JEFFERIES & CO INC TRADE DATE 01/05/
SAL	02-22-00	212048	-2,200.000	21.129		46,482.69	-95,107.73	-48,625.04			PROFIT RECOVERY GROUP INTERNATIONAL @ 21.1292 46 484.24 TAX &/OR SEC 1.55 ROBINS
SAL	02-23-00	212056	-1,600.000	21.160		33,855.19	-63,311.95	-29,456.76			PROFIT RECOVERY GROUP INTERNATIONAL @ 21.1602 33 856.32 TAX &/OR SEC 1.13 ROBINS
SAL	02-24-00	212062	-1,700.000	19.947		33,908.08	-60,909.04	-27,000.96			PROFIT RECOVERY GROUP INTERNATIONAL @ 19.9466 33 909.22 TAX &/OR SEC 1.14 ROBINS
SAL	02-25-00	212163	-200.000	20.025		4,004.86	-6,882.12	-2,877.26			Profit Recovery Group Int'l
SAL	02-28-00	212167	-400.000	19.256		7,702.26	-12,165.58	-4,463.32			Profit Recovery Group Int'l
SAL	02-29-00	212168	-700.000	19.121		13,384.60	-17,200.28	-3,815.68			Profit Recovery Group Int'l

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		-6,600.000		5,050.00	139,337.68	-255,576.70	-116,239.02	0	0.00	
F-L	PTEN	Patterson Energy Inc									
TRIN	10-01-00	237793.1	3,600.000	34.500	124,200.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237793.3	100.000	34.063	3,406.25				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237793.4	900.000	33.676	30,308.04				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237793.5	100.000	32.343	3,238.33				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237793.6	2,100.000	31.726	66,625.44				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237793.2	700.000	34.398	24,078.32				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-05-00	238018	-7,500.000	31.625		237,179.59	-251,858.38	-14,678.79			Patterson Energy Inc
	TOTAL -		0.000		251,858.38	237,179.59	-251,858.38	-14,678.79	0	0.00	
CCM	PTEN	Patterson Energy Inc									
PUR	09-11-00	234349	3,600.000	34.500	124,200.00				0	0.00	PATTERSON ENERGY INC @ 34.50 OPPENHEIMER & CO TRADE DATE 09/11/00
PUR	09-18-00	234847	900.000	33.676	30,308.04				0	0.00	PATTERSON ENERGY INC @ 33.6756 RAYMOND JAMES & ASSOCIATES TRADE DATE 09/18/00
PUR	09-18-00	234848	100.000	34.063	3,408.25				0	0.00	PATTERSON ENERGY INC @ 34.0625 3 406.25 BROKERAGE 2.00 INVESTMENT TECHNOLOGY GRC
PUR	09-19-00	234857	100.000	32.343	3,238.33				0	0.00	PATTERSON ENERGY INC @ 32.3433 3 234.33 BROKERAGE 4.00 INSTINET TRADE DATE 09/19
PUR	09-19-00	234858	2,100.000	31.726	66,625.44				0	0.00	PATTERSON ENERGY INC @ 31.7264 RAYMOND JAMES & ASSOCIATES TRADE DATE 09/19/00
PUR	09-20-00	234868	700.000	34.398	24,078.32				0	0.00	PATTERSON ENERGY INC @ 34.3976 RAYMOND JAMES & ASSOCIATES TRADE DATE 09/20/00
TROUT	10-01-00	237793	-7,500.000	34.375		251,858.38	-251,858.38	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		0.000		251,858.38	251,858.38	-251,858.38	0.00	0	0.00	
F-L	PVN	Providian Finl Corp									
TRIN	10-01-00	237794.1	900.000	99.905	89,914.50				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237794.2	500.000	95.536	47,793.20				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237794.4	200.000	89.928	17,995.66				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237794.7	200.000	85.000	17,010.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237794.8	700.000	77.460	54,257.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237794.3	100.000	92.500	9,253.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237794.6	100.000	88.357	8,840.74				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237794.5	300.000	89.606	26,896.71				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-06-00	238026	-1,400.000	123.875		173,335.22	-126,915.04	46,420.18			Providian Financisl Corp
SAL	10-06-00	238026	-1,600.000	123.875		198,097.39	-145,045.77	53,051.62			Providian Financial Corp
	TOTAL -		0.000		271,960.81	371,432.61	-271,960.81	99,471.80	0	0.00	

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CCM	PVN	Providian Finl Corp									
PUR	01-27-00	209794	200.000	85.000	17,010.00				0	0.00	Providian Financial Corp
PUR	03-15-00	213465	700.000	77.460	54,257.00				0	0.00	PROVIDIAN FINANCIAL CORP @ 77.46 54 222.00 BROKERAGE 35.00 FIRST UNION CAP MKTS
SAL	04-28-00	218472	-500.000	89.047		44,497.21	-49,952.50	-5,455.29			Providian Financial Corp
PUR	05-02-00	220514	100.000	92.500	9,253.00				0	0.00	PROVIDIAN FINANCIAL CORP @ 92.50 9 250.00 BROKERAGE 3.00 BRIDGE TRADING CO TRADE
PUR	05-31-00	222151	100.000	88.357	8,840.74				0	0.00	Providian Financial Corp
PUR	06-01-00	223609	300.000	89.606	26,896.71				0	0.00	PROVIDIAN FINANCIAL CORP @ 89.6057 26 881.71 BROKERAGE 15.00 FIDELITY CAPITAL MA
TROUT	10-01-00	237794	-3,000.000	127.000		271,960.81	-271,960.81	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		-2,100.000		116,257.45	316,458.02	-321,913.31	-5,455.29	0	0.00	
CCM	PWER	Power-One Inc									
PUR	07-25-00	227585	900.000	134.084	120,675.60				0	0.00	POWER-ONE INC @ 134.084 BANCAMERICA ROBERTSON STEPHENS TRADE DATE 07/25/00
SPLDV	09-12-00	234362	900.000		0.00				0	0.00	POWER-ONE INC 2 FOR 1 STOCK DISTRIBUTION
PUR	09-25-00	235315	700.000	65.642	45,949.40				0	0.00	POWER-ONE INC @ 65.642 NATIONSBANC MONTGOMERY SECS INC/S. TRADE DATE 09/25/00
TROUT	10-01-00	237795	-2,500.000	60.516		166,625.00	-166,625.00	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		0.000		166,625.00	166,625.00	-166,625.00	0.00	0	0.00	
P-L	PWER	Power-One Inc									
TRIN	10-01-00	237795.1	1,800.000	134.084	120,675.60				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237795.2	700.000	65.642	45,949.40				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-03-00	237979	-2,500.000	56.250		140,620.31	-166,625.00	-26,004.69			Power One Inc
	TOTAL -		0.000		166,625.00	140,620.31	-166,625.00	-26,004.69	0	0.00	
CCM	Pierpont	J.P. Morgan Funds									
SAL	03-31-00	216160	-146,948.170	1.000		146,948.17	-146,948.17	0.00			To correct Sweep Fds/Pierpont
	TOTAL -		-146,948.170		0.00	146,948.17	-146,948.17	0.00	0	0.00	
CCM	QLGC	Qlogic Corp									
SPLDV	02-09-00	211965	1,400.000		0.00				0	0.00	QLOGIC CORP 2 FOR 1 STOCK DISTRIBUTION
SAL	03-15-00	213466	-200.000	131.886		26,376.22	-9,594.59	16,781.63			QLOGIC CORP @ 131.8855 26 377.10 TAX &/OR SEC .88 PRUDENTIAL SECURITIES TRADE DA
SAL	03-17-00	213515	-200.000	156.571		31,313.23	-9,417.81	21,895.42			QLOGIC CORP @ 156.5714 31 314.28 TAX &/OR SEC 1.05 PRUDENTIAL SECURITIES TRADE D
SAL	04-04-00	217452	-200.000	98.391		19,677.46	-9,417.81	10,259.65			QLOGIC CORP @ 98.3906 19 678.12 TAX &/OR SEC .66 PIPER JAFFRAY INC TRADE DATE 04

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	04-27-00	218449	200.000	80.837	16,167.50				0	0.00	Qlogic Corp
PUR	05-02-00	220515	100.000	101.063	10,106.25				0	0.00	QLOGIC CORP @ 101.0625 KNIGHT SECURITIES TRADE DATE 05/02/00
SAL	05-08-00	220559	-1,100.000	83.606		91,963.53	-63,638.75	28,124.78			QLOGIC CORP @ 83.606 91 966.60 TAX &/OR SEC 3.07 CANTOR FITZGERALD INC TRADE DAT
SAL	05-08-00	220560	-1,200.000	78.253		93,900.10	-51,760.60	42,139.30			QLOGIC CORP @ 78.2527 93 903.24 TAX &/OR SEC 3.14 PIPER JAFFRAY INC TRADE DATE (
SAL	05-09-00	220565	-200.000	68.669		13,733.32	-7,433.44	6,299.88			QLOGIC CORP @ 68.6689 13 733.76 TAX &/OR SEC .46 PIPER JAFFRAY INC TRADE DATE 0
	TOTAL -		-1,400.000		26,273.75	276,963.86	-151,463.20	125,500.66	0	0.00	
CCM	RATL	Rational Software Corp									
SAL	04-12-00	217519	-200.000	59.875		11,974.60	-9,639.42	2,335.18			RATIONAL SOFTWARE CORP @ 59.875 11 975.00 TAX &/OR SEC .40 CHARLES SCHWAB & CO I
PUR	05-02-00	220516	100.000	79.563	7,956.25				0	0.00	RATIONAL SOFTWARE CORP @ 79.5625 KNIGHT SECURITIES TRADE DATE 05/02/00
SAL	05-04-00	220545	-3,700.000	78.670		291,068.92	-170,282.95	120,785.97			RATIONAL SOFTWARE CORP @ 78.6699 291 078.63 TAX &/OR SEC 9.71 GERARD KLAUER MATI
	TOTAL -		-3,800.000		7,956.25	303,043.52	-179,922.37	123,121.15	0	0.00	
CCM	RBAK	Redback Networks Inc									
PUR	07-12-00	226827	500.000	172.513	86,256.45				0	0.00	REDBACK NETWORKS INC @ 172.5129 MORGAN STANLEY & CO TRADE DATE 07/12/00
PUR	07-13-00	226838	200.000	170.383	34,076.54				0	0.00	REDBACK NETWORKS INC @ 170.3827 MORGAN STANLEY & CO TRADE DATE 07/13/00
PUR	07-19-00	227571	300.000	140.782	42,234.45				0	0.00	REDBACK NETWORKS INC @ 140.7815 LEHMAN BROTHERS INC TRADE DATE 07/19/00
PUR	08-02-00	230741	300.000	117.608	35,282.40				0	0.00	REDBACK NETWORKS INC @ 117.608 PIPER JAFFRAY INC TRADE DATE 08/02/00
PUR	08-04-00	230764	200.000	132.930	26,585.98				0	0.00	REDBACK NETWORKS INC @ 132.9299 CANTOR FITZGERALD INC TRADE DATE 08/04/00
PUR	08-08-00	230776	100.000	144.578	14,457.80				0	0.00	REDBACK NETWORKS INC @ 144.578 PIPER JAFFRAY INC TRADE DATE 08/08/00
PUR	08-28-00	231438	500.000	136.509	68,254.50				0	0.00	REDBACK NETWORKS INC @ 136.509 CANTOR FITZGERALD INC TRADE DATE 08/28/00
SAL	09-08-00	234329	-600.000	133.472		80,080.29	-103,294.72	-23,214.43			REDBACK NETWORKS INC @ 133.4716 80 082.96 TAX &/OR SEC 2.67 BEAR STEARNS & CO I
SAL	09-13-00	234369	-100.000	130.362		13,035.74	-17,038.27	-4,002.53			REDBACK NETWORKS INC @ 130.3618 13 036.18 TAX &/OR SEC .44 HERZOG HEINE GEDULD I
SAL	09-13-00	234370	-400.000	126.597		50,637.19	-56,692.25	-6,055.06			REDBACK NETWORKS INC @ 126.5972 50 638.88 TAX &/OR SEC 1.69 BEAR STEARNS & CO I
PUR	09-20-00	234869	200.000	157.031	31,406.16				0	0.00	REDBACK NETWORKS INC @ 157.0308 BEAR STEARNS & CO INC TRADE DATE 09/20/00
TROUT	10-01-00	237797	-1,200.000	164.000		161,529.04	-161,529.04	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		0.000		338,554.28	305,282.26	-338,554.28	-33,272.02	0	0.00	
F-L	RBAK	Redback Networks Inc									
TRIN	10-01-00	237797.4	300.000	117.608	35,282.40				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237797.3	200.000	132.930	26,585.98				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237797.2	500.000	136.509	68,254.50				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237797.1	200.000	157.031	31,406.16				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-03-00	237982	-1,200.000	153.000		183,593.88	-161,529.04	22,064.84			Redback networks inc

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		0.000		161,529.04	183,593.88	-161,529.04	22,064.84	0	0.00	
F-L	RDA	Readers Digest Assn Inc									
TRIN	10-01-00	237798.1	2,000.000	37.000	74,100.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237798.2	400.000	36.479	14,611.64				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237798.9	400.000	33.695	13,497.96				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237798.3	1,500.000	34.828	52,317.45				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237798.4	900.000	34.782	31,348.62				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237798.7	800.000	34.299	27,479.52				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237798.5	800.000	34.568	27,694.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237798.10	200.000	30.688	6,143.50				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237798.8	400.000	33.827	13,551.16				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237798.6	500.000	34.466	17,257.75				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-03-00	237981	-7,900.000	37.250		293,791.19	-278,001.60	15,789.59			Readers Digest Association
	TOTAL -		0.000		278,001.60	293,791.19	-278,001.60	15,789.59	0	0.00	
CCM	RDA	Readers Digest Assn Inc									
PUR	01-27-00	209795	2,000.000	37.000	74,100.00				0	0.00	Readers Digest Assn Inc A
PUR	01-28-00	209797	400.000	36.479	14,611.64				0	0.00	Readers Digest Assn Inc A
PUR	02-16-00	211995	400.000	33.695	13,497.96				0	0.00	READERS DIGEST ASSN INC A NON VOTING @ 33.6949 13 477.96 BROKERAGE 20.00 DONALDS
PUR	02-17-00	212026	1,500.000	34.828	52,317.45				0	0.00	READERS DIGEST ASSN INC A NON VOTING @ 34.8283 52 242.45 BROKERAGE 75.00 DONALDS
PUR	02-18-00	212036	900.000	34.782	31,348.62				0	0.00	READERS DIGEST ASSN INC A NON VOTING @ 34.7818 31 303.62 BROKERAGE 45.00 DONALDS
PUR	02-22-00	212049	800.000	34.299	27,479.52				0	0.00	READERS DIGEST ASSN INC A NON VOTING @ 34.2994 27 439.52 BROKERAGE 40.00 DONALDS
PUR	03-16-00	213489	800.000	34.568	27,694.00				0	0.00	READERS DIGEST ASSN INC A NON VOTING @ 34.5675 27 654.00 BROKERAGE 40.00 GEORGE
PUR	05-02-00	220517	200.000	30.688	6,143.50				0	0.00	READERS DIGEST ASSN INC A NON VOTING @ 30.6875 6 137.50 BROKERAGE 6.00 BRIDGE TR
PUR	05-31-00	222153	400.000	33.827	13,551.16				0	0.00	Readers Digest Assn Inc A
PUR	06-01-00	223610	500.000	34.466	17,257.75				0	0.00	READERS DIGEST ASSN INC A NON VOTING @ 34.4655 17 232.75 BROKERAGE 25.00 ABN AMR
TROUT	10-01-00	237798	-7,900.000	35.313		278,001.60	-278,001.60	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		0.000		278,001.60	278,001.60	-278,001.60	0.00	0	0.00	
CCM	RFMD	RF Micro Devices Inc									
SAL	03-15-00	213467	-200.000	138.368		27,672.75	-7,731.50	19,941.25			RF MICRO DEVICES INC @ 138.3684 27 673.68 TAX &/OR SEC .93 CREDIT SUISSE FIRST B
SAL	03-17-00	213516	-500.000	144.930		72,462.43	-18,825.35	53,637.08			RF MICRO DEVICES INC @ 144.9297 72 464.85 TAX &/OR SEC 2.42 GOLDMAN SACHS & CO 7
SAL	04-25-00	217579	-600.000	85.160		51,094.29	-22,421.04	28,673.25			RF MICRO DEVICES INC @ 85.16 51 096.00 TAX &/OR SEC 1.71 CREDIT SUISSE FIRST BOS
PUR	05-31-00	222152	300.000	107.334	32,200.32				0	0.00	RF Micro Devices Inc

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	06-02-00	223633	300.000	125.212	37,563.66				0	0.00	RF MICRO DEVICES INC @ 125.2122 CREDIT SUISSE FIRST BOSTON CORP TRADE DATE 06/02
PUR	06-14-00	223707	900.000	109.653	98,687.79				0	0.00	RF MICRO DEVICES INC @ 109.6531 NATIONSBANC MONTGOMERY SECS INC/S. TRADE DATE 06
SAL	07-07-00	226805	-200.000	87.125		17,424 41	-25,042.44	-7,616.03			RF MICRO DEVICES INC @ 87.125 17 425.00 TAX &/OR SEC .59 CANTOR FITZGERALD INC T
SAL	07-10-00	226812	-500.000	81.063		40,529.89	-56,382.46	-15,852.57			RF MICRO DEVICES INC @ 81.0625 40 531.25 TAX &/OR SEC 1.36 TROSTER SINGER STEVEN
SAL	07-11-00	226818	-400.000	84.056		33,621.07	-43,861.24	-10,240.17			RF MICRO DEVICES INC @ 84.0555 33 622 20 TAX &/OR SEC 1.13 TROSTER SINGER STEVEN
SAL	07-12-00	226828	-600.000	86.321		51,790.99	-50,639.31	1,151.68			RF MICRO DEVICES INC @ 86.3212 51 792.72 TAX &/OR SEC 1.73 TROSTER SINGER STEVEN
SAL	07-13-00	226839	-100.000	93.625		9,362.18	-3,736.84	5,625.34			RF MICRO DEVICES INC @ 93.625 9 362.50 TAX &/OR SEC .32 TROSTER SINGER STEVENS F
SAL	07-13-00	226840	-1,100.000	94.602		104,058 73	-39,633.27	64,425.46			RF MICRO DEVICES INC @ 94.602 104 062 20 TAX &/OR SEC 3.47 CREDIT SUISSE FIRST B
	TOTAL -		-2,700.000		168,451.77	408,016.74	-268,273.45	139,743.29	0	0.00	
F-L	RIG	Transocean Sedco Forex, Inc.									
PUR	10-04-00	238037	10,000.000	57.044	571,036.00				10,000	583,645.57	Transocean Sedco Forex Inc
PUR	10-05-00	238058	2,200.000	55.927	123,171.84				2,200	128,402.02	Transocean Sedco Forex Inc
PUR	10-10-00	238088	7,900.000	60.563	478,917.75				7,900	446,619.00	Transocean Sedco Forex Inc
	TOTAL -		20,100.000		1,173,127.59	0.00	0.00	0.00	20,100	1,158,666 59	
WPS	RTOKY	Rentokil Group PLC									
SAL	04-25-00	217422	-1,700.000	28.000		47,462 41	-31,552.00	15,910 41			Rentokil @ 26.00
SAL	04-26-00	217425	-25,700.000	28.052		718,664.07	469,343.25	249,520 82			Rentokil @ 26.05230
SAL	04-27-00	217429	-8,500.000	27.740		235,096.74	-147,836.25	87,262.49			Rentokil @ 27.73960
SAL	04-27-00	217429	-11,700.000	27.740		323,606.50	-459,214.47	-135,607.97			Rentokil @ 27.73960
SAL	05-03-00	220404	-18,800.000	26.137		489,862.74	-737,883.08	-248,020.34			Rentokil PLC @ 26.1374
SAL	05-04-00	220405	-5,000.000	24.736		123,277.87	-196,245.50	-72,967.63			Rentokil PLC @ 24.4364
	TOTAL -		-71,400.000		0.00	1,938,172.33	-2,042,074.55	-103,902 22	0	0.00	
F-L	SANM	Sanmina Corp									
TRIN	10-01-00	237799.4	1,100.000	96.159	105,774.68				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237799.3	1,200.000	96.785	116,141.64				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237799.2	100.000	99.518	9,951.83				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237799.1	500.000	101.934	50,967.10				0	0.00	COM tfr to Forstmann-Leff
SAL	10-03-00	237984	-2,900.000	96.875		280,928.13	-282,835.25	-1,907.12			Sanmina Corp
	TOTAL -		0.000		282,835.25	280,928 13	-282,835.25	-1,907.12	0	0 00	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
COM	SANM	Sanmina Corp									
PUR	07-12-00	226829	1,100.000	96.159	105,774.68				0	0.00	SANMINA CORP @ 96.1588 SMITH BARNEY INC TRADE DATE 07/12/00
PUR	07-13-00	226841	1,200.000	96.785	116,141.64				0	0.00	SANMINA CORP @ 96.7847 SMITH BARNEY INC TRADE DATE 07/13/00
PUR	07-14-00	226849	200.000	99.518	19,903.66				0	0.00	SANMINA CORP @ 99.5183 CHARLES SCHWAB & CO TRADE DATE 07/14/00
PUR	07-20-00	227581	400.000	102.307	40,922.80				0	0.00	SANMINA CORP @ 102.307 SMITH BARNEY INC TRADE DATE 07/20/00
SAL	09-08-00	234330	-500.000	106.042		53,019.08	-50,874.63	2,144 45			SANMINA CORP @ 106.0417 53 020.85 TAX &/OR SEC 1.77 BEAR STEARNS & CO INC TRADE
PUR	09-20-00	234870	500.000	101.934	50,967.10				0	0.00	SANMINA CORP @ 101.9342 COWEN & CO TRADE DATE 09/20/00
TROUT	10-01-00	237799	-2,900.000	93.625		282,835.25	-282,835.25	0.00			COM tfr to Forstmann-Leff
	TOTAL -		0.000		333,709.88	335,854.33	-333,709.88	2,144.45	0	0.00	
COM	SAPE	Sapient Corp									
PUR	08-08-00	230777	600.000	146.585	87,950.76				0	0.00	SAPIENT CORP @ 146.5846 MORGAN STANLEY & CO TRADE DATE 08/08/00
PUR	08-09-00	230788	600.000	140.765	84,458.88				0	0.00	SAPIENT CORP @ 140.7648 MORGAN STANLEY & CO TRADE DATE 08/09/00
PUR	08-10-00	230796	200.000	131.727	26,345.42				0	0.00	SAPIENT CORP @ 131.7271 MORGAN STANLEY & CO TRADE DATE 08/10/00
PUR	08-28-00	231439	300.000	109.556	32,866.89				0	0.00	SAPIENT CORP @ 109.5563 CANTOR FITZGERALD INC TRADE DATE 08/28/00
SPLDV	08-29-00	231442	1,400.000		0.00				0	0.00	SAPIENT CORP 2 FOR 1 STOCK DISTRIBUTION
SAL	09-01-00	234094	-2,700.000	44.510		120,172.99	-192,168.71	-71,995.72			SAPIENT CORP @ 44.51 120 177.00 TAX &/OR SEC 4.01 MORGAN STANLEY & CO TRADE DATE
SAL	09-05-00	234109	-700.000	46.313		32,417.66	-39,453.24	-7,035.58			Sapient Corp @46.3125
SPLDV	09-07-00	234108	300.000		0.00				0	0.00	Sapient 2 for 1 stock split
	TOTAL -		0.000		231,621.95	152,590.65	-231,621.95	-79,031.30	0	0.00	
COM	SBL	Symbol Technologies Inc									
SAL	02-15-00	211979	-600.000	88.268		52,929.09	-22,752.30	30,176.79			SYMBOL TECHNOLOGIES INC @ 88.2681 52 960.86 BROKERAGE 30.00 TAX &/OR SEC 1.77 ME
SAL	02-15-00	211980	-900.000	88.268		79,393.64	-31,630.50	47,763.14			SYMBOL TECHNOLOGIES INC @ 88.2681 79 441.29 BROKERAGE 45.00 TAX &/OR SEC 2.65 WE
SAL	03-17-00	213517	-600.000	88.248		52,916.79	-21,087.00	31,829.79			SYMBOL TECHNOLOGIES INC @ 88 2476 52 948.56 BROKERAGE 30.00 TAX &/OR SEC 1.77 GC
SAL	04-04-00	217453	-1,200.000	70.081		84,034.03	-42,174.00	41,860.03			SYMBOL TECHNOLOGIES INC @ 70.0807 84 096.84 BROKERAGE 60.00 TAX &/OR SEC 2.81 GC
SAL	04-05-00	217464	-250.000	72.489		18,109.12	-8,786.25	9,322.87			SYMBOL TECHNOLOGIES INC @ 72.4889 28 995.56 BROKERAGE 20.00 TAX &/OR SEC .97 GOI
SAL	04-05-00	217464	-150.000	72.489		10,865.47	-4,424.40	6,441.07			SYMBOL TECHNOLOGIES INC @ 72.4889 28 995.56 BROKERAGE 20.00 TAX &/OR SEC .97 GOI
SPLDV	04-06-00	217499	825.000		0.00				0	0.00	Symbol Technologies 3 for 2
PUR	04-28-00	218457	900.000	55.883	50,340.42				0	0.00	Symbol Technologies Inc
PUR	05-01-00	220454	300.000	57.516	17,269.92				0	0.00	SYMBOL TECHNOLOGIES INC @ 57.5164 17 254.92 BROKERAGE 15.00 COWEN & CO TRADE DAT
PUR	05-02-00	220518	200.000	57.159	11,441.78				0	0.00	SYMBOL TECHNOLOGIES INC @ 57.1589 11 431.78 BROKERAGE 10.00 ROBERT W BAIRD & CO
PUR	05-11-00	220593	1,300.000	43.131	56,135.30				0	0.00	SYMBOL TECHNOLOGIES INC @ 43.131 56 070.30 BROKERAGE 65.00 COWEN & CO TRADE DATE
PUR	05-31-00	222155	200.000	44.108	8,831.62				0	0.00	Symbol Technologies Inc
PUR	06-01-00	223611	700.000	46.106	32,309.41				0	0.00	SYMBOL TECHNOLOGIES INC @ 46.1063 32 274.41 BROKERAGE 35.00 COWEN & CO TRADE DAT

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	08-02-00	230742	1,100.000	39.780	43,812.45				0	0.00	SYMBOL TECHNOLOGIES INC @ 39.7795 43 757.45 BROKERAGE 55.00 COWEN & CO TRADE DAT
PUR	09-25-00	235316	700.000	33.000	23,135.00				0	0.00	SYMBOL TECHNOLOGIES INC @ 33.00 23 100.00 BROKERAGE 35.00 SBC WARBURO DILLON REP
PUR	09-25-00	235317	1,100.000	33.797	37,231.81				0	0.00	SYMBOL TECHNOLOGIES INC @ 33.7971 37 176.81 BROKERAGE 55.00 NATIONSBANC MONTGOME
TROUT	10-01-00	237600	-8,975.000	35.938		319,176.11	-329,176.11	0.00			COM tfr to Forstmann-Leff
	TOTAL -		-5,350.000		280,507.71	627,424 25	-460,030.56	167,393.69	0	0.00	
F-L	SBL	Symbol Technologies Inc									
TRIN	10-01-00	237800.10	2,475.000	44.244	48,668.40				2,475	48,668 40	COM tfr to Forstmann-Leff
TRIN	10-01-00	237800.3	900.000	55.683	50,340.42				900	50,340.42	COM tfr to Forstmann-Leff
TRIN	10-01-00	237600.1	300.000	57.516	17,269.92				300	17,269.92	COM tfr to Forstmann-Leff
TRIN	10-01-00	237800.2	200.000	57.159	11,441.78				200	11,441.78	COM tfr to Forstmann-Leff
TRIN	10-01-00	237800.6	1,300.000	43.131	56,135.30				1,300	56,135.30	COM tfr to Forstmann-Leff
TRIN	10-01-00	237800.5	200.000	44.108	8,831.62				200	8,831.62	COM tfr to Forstmann-Leff
TRIN	10-01-00	237800.4	700.000	46.106	32,309.41				700	32,309 41	COM tfr to Forstmann-Leff
TRIN	10-01-00	237800.7	1,100.000	39.780	43,812.45				1,100	43,812 45	COM tfr to Forstmann-Leff
TRIN	10-01-00	237800.8	1,100.000	33.797	37,231.81				1,100	37,231.81	COM tfr to Forstmann-Leff
TRIN	10-01-00	237800.9	700.000	33.000	23,135.00				700	23,135.00	COM tfr to Forstmann-Leff
PUR	10-03-00	237959	15,700.000	35.500	556,292.00				15,700	556,292 00	Symbol Technologies Inc.
PUR	10-03-00	238226	5,300.000	35.500	188,468.00				5,300	188,468.00	Symbol Technology Inc
PUR	10-05-00	238057	15,000.000	33.875	509,025.00				15,000	509,025.00	Symbol Technologies Inc
PUR	10-20-00	238227	1,000.000	33.563	33,622.50				1,000	33,622.50	Symbol Technology Inc
PUR	10-20-00	238228	1,400.000	34.000	47,684.00				1,400	47,684.00	Symbol Technology Inc
PUR	12-28-00	245438	4,600.000	36.510	168,220.62				4,600	168,220.62	Symbol Technologies @36 5097
	TOTAL -		51,975.000		1,834,488.23	0.00	0.00	0.00	51,975	1,834,488.23	
WPS	SBUX	Starbucks Corp									
PUR	01-04-00	208052	7,950.000	24.144	192,580.80				7,950	192,580.80	STARBUCKS CORP @ 24.144 191 944 80 BROKERAGE 636.00 NEUBERGER & BERMAN TRADE DAT
PUR	01-06-00	208054	29,050.000	25.164	733,338.20				29,050	733,338.20	STARBUCKS CORP @ 25.164 731 014 20 BROKERAGE 2 324.00 NEUBERGER & BERMAN TRADE D
PUR	01-10-00	208056	28,000.000	26.116	733,488.00				24,300	636,562 80	STARBUCKS CORP @ 26.116 731 248.00 BROKERAGE 2 240.00 NEUBERGER & BERMAN TRADE D
PUR	05-05-00	220387	5,500.000	32 814	180,915.90				0	0.00	STARBUCKS CORP @ 32.8138 180 475.90 BROKERAGE 440.00 NEUBERGER & BERMAN TRADE DA
PUR	05-08-00	220388	8,500.000	33.185	282,750.80				0	0.00	STARBUCKS CORP @ 33.1848 282 070.80 BROKERAGE 680.00 NEUBERGER & BERMAN TRADE DA
SAL	09-26-00	235338	-17,700.000	39.250		693,285.84	-560,591 90	132,693 94			Starbucks Corp
	TOTAL -		61,300.000		2,123,073 70	693,285 84	-560,591 90	132,693.94	61,300	1,562,481 80	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
OAKASS	SCMR	Sycamore Networks Inc.									
PUR	10-04-00	237657	11,500.000	101.701	1,169,562.65				11,500	1,169,562.65	Sycamore Networks Inc
	TOTAL -		11,500.000		1,169,562.65	0.00	0.00	0.00	11,500	1,169,562.65	
COM	SCNT	Scient Corp									
PUR	06-02-00	223614	1,900.000	54.293	103,156.51				0	0.00	SCIENT CORP @ 54.2929 MORGAN STANLEY & CO TRADE DATE 06/02/00
PUR	06-05-00	223641	300.000	57.484	17,257.17				0	0.00	SCIENT CORP @ 57.4839 17 245.17 BROKERAGE 12.00 INSTINET TRADE DATE 06/05/00
PUR	06-06-00	223646	200.000	56.539	11,307.82				0	0.00	SCIENT CORP @ 56.5391 MORGAN STANLEY & CO TRADE DATE 06/06/00
PUR	06-06-00	223647	300.000	56.292	16,893.51				0	0.00	SCIENT CORP @ 56.2917 16 887.51 BROKERAGE 6.00 INVESTMENT TECHNOLOGY GROUP INC T
PUR	06-07-00	223652	200.000	55.061	11,020.18				0	0.00	SCIENT CORP @ 55.0609 11 012.18 BROKERAGE 8.00 INSTINET TRADE DATE 06/07/00
PUR	06-07-00	223653	100.000	55.125	5,512.50				0	0.00	SCIENT CORP @ 55.125 MORGAN STANLEY & CO TRADE DATE 06/07/00
PUR	06-14-00	223697	100.000	55.708	5,570.83				0	0.00	SCIENT CORP @ 55.7083 MORGAN STANLEY & CO TRADE DATE 06/14/00
PUR	06-14-00	223698	900.000	57.375	51,637.50				0	0.00	SCIENT CORP @ 57.375 SOUNDVIEW FINANCIAL GROUP TRADE DATE 06/14/00
PUR	06-15-00	223712	100.000	54.942	5,494.21				0	0.00	SCIENT CORP @ 54.9421 MORGAN STANLEY & CO TRADE DATE 06/15/00
PUR	06-21-00	223748	200.000	57.125	11,425.00				0	0.00	SCIENT CORP @ 57.125 MERRILL LYNCH PIERCE FENNER SMITH TRADE DATE 06/08/00
PUR	06-21-00	223749	900.000	57.872	52,084.89				0	0.00	SCIENT CORP @ 57.8721 WILLIAM BLAIR & CO TRADE DATE 06/08/00
PUR	06-21-00	223750	200.000	56.138	11,235.50				0	0.00	SCIENT CORP @ 56.1375 11 227.50 BROKERAGE 8.00 INSTINET TRADE DATE 06/14/00
PUR	06-21-00	223751	500.000	54.446	27,222.80				0	0.00	SCIENT CORP @ 54.4456 MORGAN STANLEY & CO TRADE DATE 06/16/00
SAL	06-26-00	224928	-700.000	38.907		27,234.20	-40,510.47	-13,276.27			SCIENT CORP @ 38.9073 27 235.11 TAX &/OR SEC .91 LEWCO SEC/AGT HAMBRECHT & QUIST
SAL	06-26-00	224929	-200.000	38.313		7,662.24	-11,574.42	-3,912.18			SCIENT CORP @ 38.3125 7 662.50 TAX &/OR SEC .26 THOMAS WEISEL PARTNERS LLC TRADE
SAL	08-08-00	230778	-1,200.000	51.951		62,339.00	-68,894.67	-6,555.67			SCIENT CORP @ 51.9509 62 341.08 TAX &/OR SEC 2.08 LEWCO SEC/AGT HAMBRECHT & QUIS
SAL	08-09-00	230789	-3,800.000	43.295		164,515.51	-208,838.86	-44,323.35			SCIENT CORP @ 43.295 164 521.00 TAX &/OR SEC 5.49 LEWCO SEC/AGT HAMBRECHT & QUIS
	TOTAL -		0.000		329,818.42	261,750.95	-329,618.42	-68,067 47	0	0.00	
F-L	SDLI	SDL Inc									
TRIN	10-01-00	237801	400.000	98.675	19,735.14				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-03-00	237983	-400.000	305.000		121,995.93	-19,735.14	102,260.79			SDL Inc
	TOTAL -		0.000		19,735.14	121,995.93	-19,735.14	102,260.79	0	0.00	
COM	SDLI	SDL Inc									
PUR	01-07-00	208551	300.000	195.962	58,788.57				0	0.00	SDL INC @ 195.9619 LEWCO SEC/AGT WERTHEIM SCHRODER TRADE DATE 01/07/00
SPLDV	03-14-00	213415	1,100.000		0.00				0	0.00	SDL INC 2 FOR 1 STOCK DISTRIBUTION
SAL	03-16-00	213490	-300.000	174.650		52,453.25	-29,394.29	23,058.96			SDL INC @ 174.85 52 455.00 TAX &/OR SEC 1.75 MORGAN STANLEY & CO TRADE DATE 03/1
SAL	03-17-00	213516	-200.000	199.328		39,864.23	-19,596.19	20,268.04			SDL INC @ 199.3278 39 865.56 TAX &/OR SEC 1.33 MERRILL LYNCH PIERCE FENNER SMITH

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ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	03-21-00	213560	-300.000	208.433		62,527.69	-26,571.84	35,955.85			SDL INC @ 208.4326 62 528.76 TAX &/DR SEC 2.09 CHARLES SCHWAB & CO TRADE DATE 03
SAL	05-04-00	220546	-100.000	175.000		17,495.41	-8,122.59	9,372.82			SDL INC @ 175.00 17 500.00 BROKERAGE 4.00 TAX &/DR SEC .59 INSTINET TRADE DATE 0
SAL	05-04-00	220547	-600.000	176.969		106,178.04	-35,451.26	70,726.78			SDL INC @ 176.9693 106 181.58 TAX &/OR SEC 3.54 TROSTER SINGER STEVENS ROTHCHILD
PUR	06-02-00	223634	200.000	268.184	53,636.76				0	0.00	SDL INC @ 268.1838 KNIGHT SECURITIES TRADE DATE 06/02/00
SAL	07-13-00	226842	-100.000	334.077		33,406.56	-26,818.36	6,588.18			SDL INC @ 334.0768 33 407.68 TAX &/OR SEC 1.12 SMITH BARNEY INC TRADE DATE 07/13
SAL	07-14-00	226850	-200.000	364.288		72,855.11	-31,994.60	40,860.51			SDL INC @ 364.2877 72 857.54 TAX &/OR SEC 2.43 NATIONSBANC MONTGOMERY SECS INC/E
SAL	07-20-00	227582	-200.000	411.793		82,355.75	-9,867.57	72,488.18			SDL INC @ 411.7925 82 358.50 TAX &/OR SEC 2.75 FIRST UNION CAP MKTS TRADE DATE 0
TROUT	10-01-00	237801	-400.000	309.313		19,735.14	-19,735.14	0.00			COM tfr to Forstmann-Leff
	TOTAL -		-800.000		112,425.33	486,671.18	-207,551.86	279,319.32	0	0.00	
COM	SFA	Scientific Atlanta Inc									
PUR	02-03-00	211958	1,100.000	88.413	97,308.75				0	0.00	SCIENTIFIC ATLANTA INC @ 88.4125 97 253.75 BROKERAGE 55.00 OPPENHEIMER & CO TRAD
PUR	02-04-00	211963	1,100.000	93.596	103,010.16				0	0.00	SCIENTIFIC ATLANTA INC @ 93.5956 102 955.16 BROKERAGE 55.00 OPPENHEIMER & CO TRA
SAL	03-17-00	213519	-300.000	126.996		38,082.47	-28,093.68	9,988.79			SCIENTIFIC ATLANTA INC @ 126.9958 38 096.74 BROKERAGE 15.00 TAX &/OR SEC 1.27 JE
SPLDV	03-28-00	213603	1,900.000		0.00				0	0.00	SCIENTIFIC ATLANTA INC 2 FOR 1 STOCK DISTRIBUTION
PUR	05-02-00	220519	100.000	65.625	6,565.50				0	0.00	SCIENTIFIC ATLANTA INC @ 65 625 6 562.50 BROKERAGE 3.00 BRIDGE TRADING CO TRADE
PUR	05-31-00	222154	800.000	56.150	44,960.32				0	0.00	Scientific Atlanta Inc
SAL	08-08-00	230779	-400.000	85.699		34,258 45	-23,425.62	10,832.83			SCIENTIFIC ATLANTA INC @ 85.699 34 279.60 BROKERAGE 20.00 TAX &/DR SEC 1.15 LEEF
SAL	08-08-00	230780	-800.000	84.750		67,757.74	-42,147.04	25,610.70			SCIENTIFIC ATLANTA INC @ 84.75 67 800.00 BROKERAGE 40.00 TAX &/DR SEC 2.26 CREDI
PUR	09-20-00	234871	1,000.000	62.586	62,636.00				0	0.00	SCIENTIFIC ATLANTA INC @ 62.586 62 586.00 BROKERAGE 50.00 SOUNDVIEW FINANCIAL GF
PUR	09-21-00	235019	100.000	62.988	6,303.79				0	0.00	SCIENTIFIC ATLANTA INC @ 62.9879 6 298.79 BROKERAGE 5.00 SOUNDVIEW FINANCIAL GRO
TROUT	10-01-00	237802	-4,600.000	63.625		227,118.18	-227,118 18	0.00			COM tfr to Forstmann-Leff
	TOTAL -		0.000		320,784.52	367,216 84	-320,784 52	46,432.32	0	0.00	
F-L	SFA	Scientific Atlanta Inc									
TRIN	10-01-00	237802.4	2,200.000	88.413	97,308.75				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237802.3	1,300.000	93.596	60,869.64				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237802.2	1,000.000	62.586	62,636.00				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237802.1	100.000	62.988	6,303.79				0	0.00	COM tfr to Forstmann-Leff
SAL	10-04-00	238007	-4,600.000	61.147		280,989 44	-227,118.16	53,871.26			Scientific Atlanta inc
	TOTAL -		0.000		227,118.18	280,989 44	-227,118.16	53,871.26	0	0.00	
COM	SHW	Sherwin-Williams Co.									
PUR	04-11-00	217486	300.000	25.204	7,576.29				0	0.00	SHERWIN-WILLIAMS CO @ 25 2043 7 561 29 BROKERAGE 15.00 MCDONALD & CO SECURITIES

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	04-12-00	217520	200.000	26.392	5,282.34				0	0.00	SHERWIN-WILLIAMS CO @ 26.3917 5 278.34 BROKERAGE 4.00 INVESTMENT TECHNOLOGY GROU
PUR	04-12-00	217521	2,700.000	26.564	71,858.88				0	0.00	SHERWIN-WILLIAMS CO @ 26.5644 71 723.88 BROKERAGE 135.00 MCDONALD & CO SECURITIE
PUR	04-13-00	217526	300.000	26.250	7,890.00				0	0.00	SHERWIN-WILLIAMS CO @ 26.25 7 875.00 BROKERAGE 15.00 OPPENHEIMER & CO TRAGE DATE
PUR	04-13-00	217527	2,700.000	26.467	71,595.09				0	0.00	SHERWIN-WILLIAMS CO @ 26.4667 71 460.09 BROKERAGE 135.00 MCDONALD & CO SECURITIE
PUR	04-14-00	217540	1,000.000	25.683	25,733.20				0	0.00	SHERWIN-WILLIAMS CO @ 25.6832 25 683.20 BROKERAGE 50.00 STATE STREET BROKERAGE S
PUR	04-17-00	217554	700.000	26.051	18,270.35				0	0.00	SHERWIN-WILLIAMS CO @ 26.0505 18 235.35 BROKERAGE 35.00 COWEN & CO TRADE DATE 04
PUR	05-02-00	220520	300.000	26.125	7,846.50				0	0.00	SHERWIN-WILLIAMS CO @ 26.125 7 837.50 BROKERAGE 9.00 BRIDGE TRADING CO TRAGE DAT
PUR	05-10-00	220576	1,400.000	24.750	34,720.00				0	0.00	SHERWIN-WILLIAMS CO @ 24.75 34 650.00 BROKERAGE 70.00 THOMAS WEISEL PARTNERS LLC
PUR	05-11-00	220594	200.000	24.627	4,935.30				0	0.00	SHERWIN-WILLIAMS CO @ 24.6265 4 925.30 BROKERAGE 10.00 THOMAS WEISEL PARTNERS LI
PUR	05-12-00	220608	300.000	24.625	7,402.50				0	0.00	SHERWIN-WILLIAMS CO @ 24.625 7 387.50 BROKERAGE 15.00 THOMAS WEISEL PARTNERS LLC
PUR	05-15-00	220619	800.000	25.919	20,775.52				0	0.00	SHERWIN-WILLIAMS CO @ 25.9194 20 735.52 BROKERAGE 40.00 US CLEARING INSTITUTIONA
SAL	05-30-00	222168	-1,000.000	23.587		23,536.81	-26,614 40	-3,077.59			Sherwin Williams Co.
SAL	06-01-00	223612	-100.000	23.853		2,380.25	-2,661.44	-281.19			SHERWIN-WILLIAMS CO @ 23.8533 2 385.33 BROKERAGE 5.00 TAX &/OR SEC .08 FIRST UNI
SAL	06-07-00	223668	-100.000	25.188		2,513.66	-2,661 44	-147.78			SHERWIN-WILLIAMS CO @ 25.1875 2 518.75 BROKERAGE 5.00 TAX &/OR SEC .09 BEAR STEA
SAL	06-07-00	223669	-1,700.000	25.063		42,519.82	-45,224.94	-2,705.12			SHERWIN-WILLIAMS CO @ 25.0625 42 606.25 BROKERAGE 85.00 TAX &/OR SEC 1.43 J P MC
SAL	06-08-00	223680	-400.000	24.614		9,825.27	-10,606.68	-781.41			SHERWIN-WILLIAMS CO @ 24.614 9 845.60 BROKERAGE 20.00 TAX &/OR SEC .33 J P MORGA
SAL	06-15-00	223724	-3,200.000	23.150		73,917.85	-84,534.06	-10,616.21			SHERWIN-WILLIAMS CO @ 23.1501 74 080.32 BROKERAGE 160.00 TAX &/OR SEC 2.47 WEEDE
SAL	06-16-00	223733	-900.000	22.773		20,449.83	-23,424.90	-2,975.07			SHERWIN-WILLIAMS CO @ 22.7728 20 495.52 BROKERAGE 45.00 TAX &/OR SEC .69 GEORGE
SAL	06-16-00	223734	-1,300.000	22.773		29,538.65	-33,524.02	-3,985.37			SHERWIN-WILLIAMS CO @ 22.7728 29 604.64 BROKERAGE 65.00 TAX &/OR SEC .99 WEEDEN
SAL	06-19-00	224869	-2,200.000	22.895		50,258.20	-54,634.09	-4,375.89			SHERWIN-WILLIAMS CO @ 22.8954 50 369.88 BROKERAGE 110.00 TAX &/OR SEC 1.68 BEAR
	TOTAL -		0.000		283,885.97	254,940.34	-283,885.97	-28,945.63	0	0.00	
F-L	SIAL	Sigma Aldrich Corp									
PUR	11-01-00	241145	700.000	35.986	25,189.92				700	25,189.92	Sigma Aldrich Corp
PUR	11-02-00	241146	1,900.000	36.237	68,849.35				1,900	68,849.35	Sigma Aldrich Corp
PUR	11-03-00	241147	1,000.000	36.194	36,193.80				1,000	36,193.80	Sigma Aldrich Corp
PUR	11-06-00	241148	600.000	36.221	21,732.84				600	21,732.84	Sigma Aldrich Corp
PUR	11-09-00	241149	1,000.000	36.063	36,062.50				1,000	36,062.50	Sigma Aldrich Corp
PUR	11-13-00	241150	500.000	36.250	18,125.00				500	18,125.00	Sigma Aldrich Corp
PUR	11-16-00	241151	1,400.000	36.172	50,640.66				1,400	50,640.66	Sigma Aldrich Corp
	TOTAL -		7,100.000		256,794.07	0.00	0.00	0.00	7,100	256,794.07	
CCM	SII	Smith International Inc									
PUR	04-04-00	217454	1,200.000	78.382	94,118.52				0	0.00	SMITH INTERNATIONAL INC @ 78.3821 94 058.52 BROKERAGE 60.00 NATIONSBANC MONTGOME
PUR	04-04-00	217455	1,200.000	78.382	94,118.52				0	0.00	SMITH INTERNATIONAL INC @ 78.3821 94 058.52 BROKERAGE 60.00 WEISS PECK GREER TRA

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

05-03-01
FC3.3 1

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	04-05-00	217465	400.000	77.098	30,859.20				0	0.00	SMITH INTERNATIONAL INC @ 77.098 30 839.20 BROKERAGE 20.00 GOLDMAN SACHS & CO TF
PUR	04-06-00	217470	600.000	72.536	43,551.66				0	0.00	SMITH INTERNATIONAL INC @ 72.5361 43 521.66 BROKERAGE 30.00 GOLDMAN SACHS & CO T
PUR	04-07-00	217481	300.000	75.804	22,756.11				0	0.00	SMITH INTERNATIONAL INC @ 75.8037 22 741.11 BROKERAGE 15.00 GOLDMAN SACHS & CO T
PUR	05-02-00	220521	100.000	78.875	7,890.50				0	0.00	SMITH INTERNATIONAL INC @ 78.875 7 887.50 BROKERAGE 3.00 BRIDGE TRADING CO TRADE
SAL	05-30-00	222169	-900.000	77.229		69,459.23	-70,636.18	-1,176.95			Smith International Inc
SAL	06-02-00	223635	-1,300.000	69.194		89,884.72	-101,961.73	-12,077.01			SMITH INTERNATIONAL INC @ 69.1944 89 952.72 BROKERAGE 65.00 TAX &/OR SEC 3.00 FI
SAL	06-07-00	223670	-500.000	70.378		35,162 62	-38,959.23	-3,796.61			SMITH INTERNATIONAL INC @ 70.3776 35 188 80 BROKERAGE 25.00 TAX &/OR SEC 1.18 FI
SAL	06-08-00	223681	-900.000	70.922		63,782 85	-67,220.15	-3,437.30			SMITH INTERNATIONAL INC @ 70.9222 63 829.98 BROKERAGE 45.00 TAX &/OR SEC 2.13 FI
SAL	06-14-00	223708	-200.000	72.385		14,466.57	-14,517.22	-50.65			SMITH INTERNATIONAL INC @ 72.3853 14 477.06 BROKERAGE 10.00 TAX &/OR SEC .49 FIF
	TOTAL -		0.000		293,294.51	272,755.99	-293,294.51	-20,538.52	0	0.00	
CCM	SNDK	Sandisk Corp									
PUR	01-14-00	208596	200.000	88.238	17,647.50				0	0.00	SANDISK CORP @ 88.2375 MORGAN STANLEY & CO TRADE DATE 01/14/00
PUR	01-18-00	208601	600.000	92.486	55,491 48				0	0.00	SANDISK CORP @ 92.4858 MORGAN STANLEY & CO TRADE DATE 01/18/00
PUR	01-19-00	208615	200.000	97.178	19,435.66				0	0.00	SANDISK CORP @ 97 1783 MORGAN STANLEY & CO TRADE DATE 01/19/00
PUR	01-20-00	208630	400.000	104.025	41,610.00				0	0.00	SANDISK CORP @ 104.025 BANCAMERICA ROBERTSON STEPHENS TRADE DATE 01/20/00
PUR	01-21-00	208640	400.000	107.237	42,894 60				0	0.00	SANDISK CORP @ 107.2365 BANCAMERICA ROBERTSON STEPHENS TRADE DATE 01/21/00
PUR	01-27-00	209796	200.000	117.500	23,500.00				0	0.00	Sandisk Corp
SPLDV	02-23-00	212057	2,000.000		0.00				0	0.00	SANDISK CORP 2 FOR 1 STOCK DISTRIBUTION
SAL	03-16-00	213491	-400.000	115.885		46,352.33	-23,500.00	22,852.33			SANDISK CORP @ 115 8847 46 353.88 TAX &/OR SEC 1.55 MORGAN STANLEY & CO TRADE DA
SAL	04-04-00	217456	-1,400.000	86.985		121,774 66	-74,102 10	47,672.56			SANDISK CORP @ 86.9848 121 778.72 TAX &/OR SEC 4 06 SMITH BARNEY INC TRADE DATE
PUR	04-28-00	218456	300.000	91.625	27,487.50				0	0.00	Sandisk Corp
PUR	05-02-00	220522	100.000	96.063	9,606.25				0	0.00	SANDISK CORP @ 96.0625 KNIGHT SECURITIES TRADE DATE 05/02/00
SAL	05-10-00	220577	-900.000	65.633		59,068.09	-62,073.00	-3,004.91			SANDISK CORP @ 65.6334 59 070.06 TAX &/OR SEC 1 97 MERRILL LYNCH PIERCE FENNER S
PUR	06-02-00	223636	600.000	72.797	43,678 26				0	0.00	SANDISK CORP @ 72.7971 GOLDMAN SACHS & CO TRADE DATE 06/02/00
PUR	06-02-00	223637	200.000	76 219	15,247.76				0	0.00	SANDISK CORP @ 76 2188 15 243 76 BROKERAGE 4.00 INVESTMENT TECHNOLOGY GROUP INC
PUR	06-02-00	223638	900.000	73.835	66,451.50				0	0.00	SANDISK CORP @ 73.835 DONALDSON LUFKIN & JENRETTE SECS TRADE DATE 06/02/00
PUR	06-14-00	223709	500.000	70.913	35,456.50				0	0 00	SANDISK CORP @ 70.913 TROSTER SINGER STEVENS ROTHCHILD TRADE DATE 06/14/00
SAL	08-04-00	230765	-1,300.000	59.907		77,877.02	-96,258 68	-18,381.66			SANDISK CORP @ 59.9074 77 879.62 TAX &/OR SEC 2.60 CANTOR FITZGERALD INC TRADE D
SAL	08-07-00	230768	-500.000	60.495		30,246.69	-36,210.14	-5,963.45			SANDISK CORP @ 60.4954 30 247.70 TAX &/OR SEC 1.01 CANTOR FITZGERALD INC TRADE D
SAL	08-29-00	231712	-400.000	83.352		33,339.72	-28,365.20	4,974 52			Sandisk Corp @83.3521
SAL	08-30-00	231742	-200.000	82.482		16,495.81	-9,483.20	7,012.61			Sandisk Corp @82.4818
TROUT	10-01-00	237803	-1,500.000	66.750		68,514.69	-68,514.69	0.00			COM tfr to Forstmann-Leff
	TOTAL -		0.000		398,507.01	453,669.01	-398,507.01	55,162.00	0	0.00	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
F-L	SNDK	Sandisk Corp									
TRIN	10-01-00	237803.2	400.000	88.238	17,647.50				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237803.1	1,100.000	92.486	50,867.19				1,100	50,244.11	CCM tfr to Forstmann-Leff
SAL	12-22-00	244737	-400.000	31.111		12,429.98	-18,270.58	-5,840.60			Sandisk Corp @31.111
	TOTAL -		1,100.000		68,514.69	12,429.98	-18,270.58	-5,840.60	1,100	50,244.11	
F-L	SNRZ	Sunrise Assisted Living									
PUR	10-03-00	237958	30,000.000	20.330	609,912.00				0	0.00	Sunrise Assisted living Inc
SAL	11-13-00	241169	-21,700.000	24.760		537,262.76	-441,169.68	96,113.08			Sunrise Assisted Living Inc
SAL	11-14-00	241170	-4,000.000	24.253		97,009.16	-81,321.60	15,687.56			Sunrise Assisted Living Inc
SAL	11-15-00	241171	-4,300.000	25.363		109,057.26	-87,420.72	21,636.54			Sunrise Assisted Living Inc
	TOTAL -		0.000		609,912.00	743,349.18	-609,912.00	133,437.18	0	0.00	
F-L	SOTR	Southtrust Corp									
PUR	10-04-00	238040	5,100.000	32.423	165,358.83				5,100	165,358.83	Southtrust Corp
PUR	10-05-00	238082	9,800.000	32.569	319,176.20				9,800	319,176.20	Southtrust Corp
	TOTAL -		14,900.000		484,535.03	0.00	0.00	0.00	14,900	484,535.03	
F-L	SPOT	Panamsat Corp									
PUR	10-10-00	238075	18,400.000	29.250	538,200.00				18,400	538,200.00	Panamsat Corp
	TOTAL -		18,400.000		538,200.00	0.00	0.00	0.00	18,400	538,200.00	
CCM	SSCC	Smurfit-Stone Container Corp									
PUR	01-24-00	208650	3,400.000	19.313	65,662.50				0	0.00	SMURFIT-STONE CONTAINER CORP @ 19.3125 ABN AMRD CHICAGO CORPORATION TRADE DATE (
PUR	01-25-00	208656	1,500.000	19.288	28,931.25				0	0.00	SMURFIT-STONE CONTAINER CORP @ 19.2875 ABN AMRO CHICAGO CORPORATION TRADE DATE (
PUR	01-26-00	208672	5,200.000	19.750	102,700.00				0	0.00	SMURFIT-STONE CONTAINER CORP @ 19.75 ABN AMRO CHICAGO CORPORATION TRADE DATE 01/
SAL	02-24-00	212063	-6,000.000	13.750		109,992.33	-156,775.00	-46,782.67			SMURFIT-STONE CONTAINER CORP @ 13.7495 109 996.00 TAX &/OR SEC 3.67 J P MORGAN S
SAL	02-25-00	212164	-2,100.000	13.194		27,708.15	-40,518.75	-12,810.60			Smurfit-Stone Container Corp
	TOTAL -		0.000		197,293.75	137,700.48	-197,293.75	-59,593.27	0	0.00	
F-L	SSCC	Smurfit-Stone Container Corp									
PUR	12-21-00	243977	37,700.000	14.063	530,156.25				37,700	530,156.25	Smurfit-Stone Container Corp

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		37,700.000		530,156.25	0.00	0.00	0.00	37,700	530,156.25	
WPS	STRY	Stryker Corp									
SPLDV	05-15-00	220389	85,700.000		0.00				0	0.00	STRYKER CORP 2 FOR 1 STOCK DISTRIBUTION
SAL	05-30-00	222101	-4,200.000	38 741		162,371.61	-95,198 67	67,172.94			Stryker Corp @ 38 74120
SAL	05-31-00	222107	-5,900.000	38.329		225,662.74	-133,731 47	91,931.27			Stryker Corp @ 38.32920
SAL	06-01-00	223576	-3,200.000	38.077		121,586.33	-72,532 32	49,054.01			Stryker Corp @ 38.077
SAL	07-14-00	226745	-11,900.000	44.631		530,135.62	-269,729.56	260,406.06			STRYKER CORP @ 44.6307 531 105.33 BROKERAGE 952.00 TAX &/OR SEC 17.71 NEUBERGER
SAL	09-26-00	235333	-25,300.000	39.408		994,962.63	-573,458.66	421,503.97			Stryker Corp
SAL	10-25-00	238483	-32,000.000	44 970		1,436,428.83	-648,554 38	787,874 45			Stryker Corp @44.9699
	TOTAL -		3,200.000		0.00	3,471,147.76	-1,793,205.06	1,677,942.70	0	0.00	
WPS	STT	State Street Corp									
PUR	11-17-00	240886	4,000.000	125.886	503,865.60				4,000	503,865.60	STATE STREET CORP @ 125.8864 503 545.60 BROKERAGE 320.00 NEUBERGER & BERMAN TRAI
PUR	11-22-00	241231	4,500.000	119.000	535,860.00				4,500	535,860.00	STATE STREET CORP @ 119.00 535 500.00 BROKERAGE 360.00 NEUBERGER & BERMAN TRADE
	TOTAL -		8,500.000		1,039,725.60	0.00	0.00	0.00	8,500	1,039,725.60	
WPS	SVM	Servicemaster Co									
SAL	02-16-00	211469	-32,200.000	11.867		379,512 56	-597,564 46	-218,051.90			SERVICEMASTER CO @ 11.8665 382 101.30 BROKERAGE 2 576.00 TAX &/OR SEC 12.74 NEUE
SAL	02-17-00	211470	-17,800.000	11.838		209,280.03	-325,905.54	-116,625.51			SERVICEMASTER CO @ 11.8377 210 711.06 BROKERAGE 1 424 00 TAX &/OR SEC 7.03 NEUBE
SAL	02-29-00	212123	-53,900.000	10.875		581,830.96	-982,455 56	-400,624 60			Servicemaster Co @ 10.875
	TOTAL -		-103,900.000		0.00	1,170,623.55	-1,905,925.56	-735,302 01	0	0.00	
F-L	SVU	Supervalu Inc									
TRIN	10-01-00	237804 1	2,500.000	23.405	58,512.50				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237804 2	100.000	21.309	2,130.92				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237804 4	2,400.000	21.015	50,435.52				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237804 3	1,000.000	21.186	21,236.50				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237804.14	400.000	18.362	7,364 80				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237804.13	400.000	18 533	7,433.16				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237804.10	500.000	19.045	9,547.65				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237804 11	200.000	19.029	3,815.74				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237804.6	200.000	19.895	3,989 18				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237804 9	500.000	19 621	9,835.60				0	0.00	CCM tfr to Forstmann-Leff

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Market Street Truat Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TRIN	10-01-00	237804.15	100.000	17.884	1,793.46				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237804.12	4,600.000	18.927	87,295.58				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237804.5	400.000	20.500	8,212.00				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237804.7	600.000	19.690	11,844.12				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237804.8	600.000	19.690	11,844.12				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237804.16	900.000	16.045	14,485.59				0	0.00	COM tfr to Foratmann-Leff
SAL	10-03-00	237985	-6,000.000	14.875		88,947.02	-120,692.12	-31,745.10			Supervalu Inc
SAL	10-03-00	237985	-9,400.000	14.875		139,350.34	-189,084.32	-49,733.98			Supervalu Inc
	TOTAL -		0.000		309,776.44	228,297.36	-309,776.44	-81,479.08	0	0.00	
COM	SVU	Supervalu Inc									
SAL	02-15-00	211981	-1,500.000	16.813		25,142.90	-35,107.50	-9,964.60			SUPERVALU INC @ 16.8125 25 218.75 BROKERAGE 75.00 TAX &/OR SEC .85 GRUNTAL & CO
PUR	03-30-00	215897	100.000	17.884	1,793.46				0	0.00	Supervalu Inc
PUR	03-31-00	215899	4,600.000	18.927	87,295.58				0	0.00	Supervalu Inc
PUR	05-02-00	220523	400.000	20.500	8,212.00				0	0.00	SUPERVALU INC @ 20.50 8 200.00 BROKERAGE 12.00 BRIDGE TRADING CO TRADE DATE 05/0
PUR	06-30-00	225404	600.000	19.690	11,844.12				0	0.00	Supervalu Inc
PUR	06-30-00	225524	600.000	19.690	11,844.12				0	0.00	Supervalu Inc @19.6902
PUR	09-25-00	235318	900.000	16.045	14,485.59				0	0.00	SUPERVALU INC @ 16.0451 14 440.59 BROKERAGE 45.00 JEFFERIES & CO INC TRADE DATE
TROUT	10-01-00	237804	-15,400.000	15.063		309,776.44	-309,776.44	0.00			COM tfr to Forstmann-Leff
	TOTAL -		-9,700.000		135,474.87	334,919.34	-344,883.94	-9,964.60	0	0.00	
WPS	SWY	Safeway Inc									
PUR	11-15-00	240878	9,500.000	55.125	524,447.50				9,500	524,447.50	SAFEWAY INC @ 55.125 523 687.50 BROKERAGE 760.00 NEUBERGER & BERMAN TRADE DATE 1
PUR	11-22-00	241232	7,000.000	60.875	426,685.00				7,000	426,685.00	SAFEWAY INC @ 60.875 426 125.00 BROKERAGE 560.00 NEUBERGER & BERMAN TRADE DATE 1
PUR	11-27-00	241234	9,000.000	58.969	531,436.50				9,000	531,436.50	SAFEWAY INC @ 58.9685 530 716.50 BROKERAGE 720.00 NEUBERGER & BERMAN TRADE DATE
PUR	12-11-00	243000	9,950.000	54.313	541,212.34				9,950	541,212.34	SAFEWAY INC @ 54.3132 540 416.34 BROKERAGE 796.00 NEUBERGER & BERMAN TRADE DATE
	TOTAL -		35,450.000		2,023,781.34	0.00	0.00	0.00	35,450	2,023,781.34	
WPS	SYY	Sysco									
PUR	11-27-00	241235	21,200.000	52.980	1,124,863.52				42,400	1,124,863.52	SYSCO CORP @ 52.9796 1 123 167.52 BROKERAGE 1 696.00 NEUBERGER & BERMAN TRADE DA
SPLDV	12-18-00	243003	21,200.000		0.00				0	0.00	SYSCO CORP 2 FOR 1 STOCK DISTRIBUTION
	TOTAL -		42,400.000		1,124,863.52	0.00	0.00	0.00	42,400	1,124,863.52	

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
COM	TCM	Tycom LTD									
PUR	07-26-00	230019	1,200.000	32.000	38,400.00				0	0.00	Tycom LTD
PUR	07-27-00	230020	1,000.000	38.000	38,050.00				0	0.00	Tycom LTD
PUR	07-28-00	230023	900.000	36.164	32,592.78				0	0.00	Tycom LTD
PUR	08-01-00	230822	800.000	35.429	28,383.20				0	0.00	TYCOM LTD @ 35.429 28 343.20 BROKERAGE 40.00 LAZARD FRERES & CO TRADE DATE 08/01
PUR	08-01-00	230823	1,500.000	35.657	53,560.20				0	0.00	TYCOM LTD @ 35.6568 53 485.20 BROKERAGE 75.00 GOLDMAN SACHS & CO TRADE DATE 08/0
PUR	08-04-00	230824	1,600.000	36.160	57,936.48				0	0.00	TYCOM LTD @ 36.1603 57 656.48 BROKERAGE 80.00 THOMAS WEISEL PARTNERS LLC TRADE D
PUR	08-07-00	230825	700.000	37.600	26,355.07				0	0.00	TYCOM LTD @ 37.6001 26 320.07 BROKERAGE 35.00 LAZARD FRERES & CO TRADE DATE 08/0
PUR	09-25-00	235319	1,400.000	36.984	51,847.60				0	0.00	TYCOM LTD @ 36.984 51 777.60 BROKERAGE 70.00 NATIONSBANC MONTGOMERY SECS INC/S.
TROUT	10-01-00	237805	-9,100.000	38.375		327,125.33	-327,125.33	0.00			COM tfr to Foratmann-Leff
	TOTAL -		0.000		327,125.33	327,125.33	-327,125.33	0.00	0	0.00	
F-L	TCM	Tycom LTD									
TRIN	10-01-00	237605.8	1,200.000	32.000	38,400.00				0	0.00	COM tfr to Foratmann-Leff
TRIN	10-01-00	237805.1	1,000.000	38.000	38,050.00				0	0.00	COM tfr to Foratmann-Leff
TRIN	10-01-00	237805.4	900.000	36.164	32,592.78				0	0.00	COM tfr to Foratmann-Leff
TRIN	10-01-00	237805.6	1,500.000	35.657	53,560.20				0	0.00	COM tfr to Foratmann-Leff
TRIN	10-01-00	237805.7	800.000	35 429	28,383.20				0	0.00	COM tfr to Foratmann-Leff
TRIN	10-01-00	237805.5	1,600.000	36.160	57,936.48				0	0.00	COM tfr to Foratmann-Leff
TRIN	10-01-00	237805.2	700.000	37.600	26,355.07				0	0.00	COM tfr to Foratmann-Leff
TRIN	10-01-00	237805.3	1,400.000	36.984	51,847.60				0	0.00	COM tfr to Foratmann-Leff
SAL	10-05-00	238010	-9,100.000	36.000		327,043 08	-327,125.33	-82 25			Tycom LTD
	TOTAL -		0.000		327,125.33	327,043 08	-327,125.33	-82 25	0	0.00	
COM	TEK	Tektronix Inc									
SAL	03-16-00	213492	-400.000	62 413		24,944 28	-14,910.30	10,033.98			TEKTRONIX INC @ 62.4128 24 965.12 BROKERAGE 20.00 TAX &/OR SEC .84 GEORGE K BAUM
PUR	05-02-00	220524	200.000	56.625	11,331.00				0	0.00	TEKTRONIX INC @ 56.625 11 325.00 BROKERAGE 6.00 BRIDGE TRADING CO TRADE DATE 05/
SAL	09-13-00	234371	-2,000.000	70.753		141,400.66	-75,975.64	65,425 04			TEKTRONIX INC @ 70.7527 141 505 40 BROKERAGE 100.00 TAX &/OR SEC 4 72 THOMAS WEI
SAL	09-13-00	234372	-300.000	70.588		21,160 81	-10,427.31	10,733.50			TEKTRONIX INC @ 70.5684 21 176.52 BROKERAGE 15.00 TAX &/OR SEC .71 WEISS PECK GR
SAL	09-15-00	234386	-100.000	73.298		7,324 56	-3,475.77	3,848 79			TEKTRONIX INC @ 73.2981 7 329 81 BROKERAGE 5.00 TAX &/OR SEC .25 MERRILL LYNCH F
TROUT	10-01-00	237806	-3,400.000	76 813		114,925 57	-114,925.57	0.00			COM tfr to Foratmann-Leff
	TOTAL -		-6,000.000		11,331.00	309,755 90	-219,714 59	90,041.31	0	0.00	
F-L	TEK	Tektronix Inc									

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TRIN	10-01-00	237806.8	400.000	33.511	13,424.36				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237806.3	300.000	34.000	10,215.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237806.5	300.000	33.808	10,157.43				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237806.10	200.000	30.706	6,151.16				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237806.1	400.000	34.708	13,903.08				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237806.7	300.000	33.523	10,072.02				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237806.9	300.000	33.494	10,063.32				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237806.4	300.000	33.984	10,210.17				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237806.2	600.000	34.246	20,577.78				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237806.6	300.000	33.788	10,151.25				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-03-00	237986	-2,200.000	74.250		163,212.55	-74,363.61	88,848.94			Tektronix Inc
SAL	10-03-00	237986	-1,200.000	74.250		89,025.03	-40,561.96	48,463.07			Tektronix Inc
	TOTAL -		0.000		114,925.57	252,237.58	-114,925.57	137,312.01	0	0.00	
F-L	THC	Tenet Healthcare Corp									
TRIN	10-01-00	237807.3	2,200.000	30.750	67,761.10				2,200	76,498.39	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237807.4	3,500.000	30.578	107,199.05				3,500	121,701.99	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237807.1	1,400.000	31.358	43,971.34				1,400	48,680.79	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237807.2	1,700.000	31.046	52,863.20				1,700	59,112.39	CCM tfr to Forstmann-Leff
PUR	10-10-00	238223	8,500.000	38.653	329,058.80				8,500	295,561.97	Tenet Healthcare Corp
PUR	12-15-00	243973	200.000	38.222	7,656.44				200	6,954.40	Tenet Healthcare Corp @38.2222
	TOTAL -		17,500.000		608,509.93	0.00	0.00	0.00	17,500	608,509.93	
COM	THC	Tenet Healthcare Corp									
PUR	07-31-00	230031	2,200.000	30.750	67,761.10				0	0.00	Tenet Healthcare Corp
PUR	08-01-00	230736	3,500.000	30.578	107,199.05				0	0.00	TENET HEALTHCARE CORP @ 30.5783 107 024.05 BROKERAGE 175.00 PRUDENTIAL SECURITIE
PUR	08-02-00	230743	1,400.000	31.358	43,971.34				0	0.00	TENET HEALTHCARE CORP @ 31.3581 43 901.34 BROKERAGE 70.00 PRUDENTIAL SECURITIES
PUR	08-03-00	230754	1,700.000	31.046	52,863.20				0	0.00	TENET HEALTHCARE CORP @ 31.046 52 778.20 BROKERAGE 85.00 PRUDENTIAL SECURITIES 7
TROUT	10-01-00	237807	-8,800.000	36.375		271,794.69	-271,794 69	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		0.000		271,794.69	271,794.69	-271,794.69	0.00	0	0.00	
COM	TIBX	Tibco Software Inc									
PUR	07-12-00	226830	700.000	116.456	81,519.20				0	0.00	TIBCO SOFTWARE INC @ 116.456 COWEN & CO TRADE DATE 07/12/00
PUR	07-13-00	226843	300.000	121.363	36,408.93				0	0.00	TIBCO SOFTWARE INC @ 121.3631 COWEN & CO TRADE DATE 07/13/00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	08-17-00	230815	400.000	97.387	38,954.60				0	0.00	TIBCO SOFTWARE INC @ 97.3865 HERZOG HEINE GEDULD INC TRADE DATE 08/17/00
PUR	08-28-00	231440	600.000	97.850	58,710.18				0	0.00	TIBCO SOFTWARE INC @ 97.8503 CANTOR FITZGERALD INC TRADE DATE 08/28/00
SAL	09-13-00	234373	-500.000	89.632		44,814.30	-59,700.13	-14,885.83			TIBCO SOFTWARE INC @ 89.6316 44 815.80 TAX &/OR SEC 1.50 BEAR STEARNS & CO INC T
SAL	09-14-00	234378	-100.000	91.405		9,140.18	-11,645.60	-2,505.42			TIBCO SOFTWARE INC @ 91.4049 9 140.49 TAX &/OR SEC .31 CHARLES SCHWAB & CO TRADE
SAL	09-20-00	234872	-1,200.000	75.425		90,507.34	-124,769.88	-34,262.54			TIBCO SOFTWARE INC @ 75.4253 90 510.36 TAX &/OR SEC 3.02 BEAR STEARNS & CO INC T
SAL	09-21-00	235020	-200.000	76.519		15,303.22	-19,477.30	-4,174.08			TIBCO SOFTWARE INC @ 76.5187 15 303.74 TAX &/OR SEC .52 BEAR STEARNS & CO INC TR
	TOTAL -		0.000		215,592.91	159,765.04	-215,592.91	-55,827.87	0	0.00	
F-L	TIF	Tiffany & Co									
TRIN	10-01-00	237808.3	2,900.000	43.378	125,939.75				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237808.1	1,800.000	43.994	79,278.84				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237808.5	800.000	42 407	33,965.76				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237808.4	100.000	42.844	4,289.38				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237808.2	100.000	43.437	4,348.65				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237808.6	1,700.000	39.868	67,859.92				0	0.00	COM tfr to Forstmann-Leff
SAL	10-03-00	237987	-7,400.000	37.625		277,971.71	-315,682.30	-37,710.59			Tiffany & Company
	TOTAL -		0.000		315,682.30	277,971.71	-315,682.30	-37,710.59	0	0.00	
COM	TIF	Tiffany & Co									
SAL	01-20-00	208631	-500.000	82 203		41,075.32	-31,246.30	9,829.02			TIFFANY & CO @ 82.2034 41 101.70 BROKERAGE 25.00 TAX &/OR SEC 1.38 ROBINSON HUMI
SAL	01-21-00	208641	-300.000	79.835		23,934.73	-18,747.78	5,186 95			TIFFANY & CO @ 79.8351 23 950 53 BROKERAGE 15.00 TAX &/OR SEC 80 ROBINSON HUMPH
SAL	02-18-00	212037	-400.000	55.500		22,179.26	-24,954.86	-2,775.60			TIFFANY & CO @ 55.50 22 200.00 BROKERAGE 20.00 TAX &/OR SEC .74 SOUNDVIEW FINANC
SAL	02-18-00	212038	-1,300.000	57.744		4,999.04	-79,344.15	-4,345.11			TIFFANY & CO @ 57.7435 75 066.55 BROKERAGE 65.00 TAX &/OR SEC 2.51 JEFFERIES & C
SAL	02-22-00	212050	-700.000	56.718		39,666.20	-39,947.28	-281.08			TIFFANY & CO @ 56.7179 39 702.53 BROKERAGE 35.00 TAX &/OR SEC 1.33 JEFFERIES & C
PUR	09-05-00	234102	2,900.000	43.378	125,939.75				0	0.00	TIFFANY & CO @ 43.3775 125 794.75 BROKERAGE 145.00 SANFORD BERNSTEIN & CO INC TR
PUR	09-06-00	234288	1,800.000	43.994	79,278.84				0	0.00	TIFFANY & CO @ 43.9938 79 188.84 BROKERAGE 90.00 SANFORD BERNSTEIN & CO INC TRA
PUR	09-07-00	234308	800.000	42.407	33,965.76				0	0.00	TIFFANY & CO @ 42.4072 33 925.76 BROKERAGE 40.00 SANFORD BERNSTEIN & CO INC TRA
PUR	09-08-00	234331	100.000	42.844	4,289.38				0	0.00	TIFFANY & CO @ 42.8438 4 284 38 BROKERAGE 5.00 SANFORD BERNSTEIN & CO INC TRADE
PUR	09-11-00	234350	100.000	43.437	4,348.65				0	0.00	TIFFANY & CO @ 43.4365 4 343 65 BROKERAGE 5.00 WEISS PECK GREER TRADE DATE 09/11
PUR	09-25-00	235320	1,700.000	39.868	67,859.92				0	0.00	TIFFANY & CO @ 39.8676 67 774.92 BROKERAGE 85.00 NATIONSBANC MONTGOMERY SECS INC
TROUT	10-01-00	237808	-7,400.000	38.563		315,682.30	-315,682.30	0.00			COM tfr to Forstmann-Leff
	TOTAL -		-3,200.000		315,682 30	517,536.85	-509,922 67	7,614 18	0	0.00	
COM	TIN	Temple Inland Inc									

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	01-24-00	208651	-200.000	58.375		11,664.61	-14,075.34	-2,410.73			TEMPLE INLAND INC @ 58.375 11 675.00 BROKERAGE 10.00 TAX &/OR SEC .39 SBC WARBUI
SAL	01-24-00	208652	-1,100.000	58.000		63,797.87	-75,921 89	-12,124.02			TEMPLE INLAND INC @ 58.00 63 800.00 TAX &/OR SEC 2.13 SMITH BARNEY INC TRADE DAT
SAL	01-25-00	208659	-800.000	58.250		46,558.44	-51,571.08	-5,012.64			TEMPLE INLAND INC @ 58.25 46 600.00 BROKERAGE 40.00 TAX &/OR SEC 1.56 SMITH BARN
SAL	01-26-00	208673	-200.000	59.326		11,864.76	-12,631.54	-766.78			TEMPLE INLAND INC @ 59.3258 11 865.16 TAX &/OR SEC .40 SMITH BARNEY INC TRADE DA
SAL	02-03-00	211959	-500.000	54.064		27,005.84	-29,350.59	-2,344.75			TEMPLE INLAND INC @ 54.0635 27 031.75 BROKERAGE 25.00 TAX &/OR SEC .91 SMITH BAR
SAL	02-04-00	211964	-600.000	55.329		33,166.53	-33,652.90	-486.37			TEMPLE INLAND INC @ 55.3294 33 197.64 BROKERAGE 30.00 TAX &/OR SEC 1.11 SMITH BA
	TOTAL -		-3,400.000		0.00	194,058.05	-217,203.34	-23,145.29	0	0.00	
CCM	TJX	TJX Companies Inc.									
PUR	04-04-00	217457	5,200.000	23.477	122,341.96				0	0.00	TJX COMPANIES INC @ 23.4773 122 081.96 BROKERAGE 260.00 COWEN & CO TRADE DATE 04
PUR	04-05-00	217466	1,300.000	23.640	30,797.26				0	0.00	TJX COMPANIES INC @ 23.6402 30 732.26 BROKERAGE 65.00 COWEN & CO TRADE DATE 04/(
PUR	04-06-00	217471	900.000	22.500	20,295.00				0	0.00	TJX COMPANIES INC @ 22.50 20 250.00 BROKERAGE 45.00 GOLDMAN SACHS & CO TRADE DAT
PUR	04-06-00	217472	1,100.000	22.936	25,285.04				0	0.00	TJX COMPANIES INC @ 22.9364 25 230.04 BROKERAGE 55.00 COWEN & CO TRADE DATE 04/(
PUR	04-06-00	217473	900.000	22.911	20,664.63				0	0.00	TJX COMPANIES INC @ 22.9107 20 619.63 BROKERAGE 45.00 DAIN RAUSCHER INCORPORATE
PUR	04-14-00	217541	400.000	20.094	8,057.68				0	0.00	TJX COMPANIES INC @ 20.0942 8 037.68 BROKERAGE 20.00 MERRILL LYNCH PROF. CLEARIN
PUR	04-14-00	217542	1,300.000	20.629	26,882.05				0	0.00	TJX COMPANIES INC @ 20.6285 26 817.05 BROKERAGE 65.00 MORGAN STANLEY & CO TRADE
PUR	04-28-00	218458	3,700.000	19.379	71,887.30				0	0.00	TJX Companies Inc
PUR	05-02-00	220525	700.000	19.063	13,364.75				0	0.00	TJX COMPANIES INC @ 19.0625 13 343.75 BROKERAGE 21.00 BRIDGE TRADING CO TRADE DA
SAL	06-07-00	223671	-2,900.000	21.073		60,964.66	-68,440.94	-7,476.28			TJX COMPANIES INC @ 21.073 61 111.70 BROKERAGE 145.00 TAX &/OR SEC 2.04 THOMAS W
SAL	06-08-00	223682	-1,500.000	20.561		30,765.62	-35,290.95	-4,525.33			TJX COMPANIES INC @ 20.5611 30 841.65 BROKERAGE 75.00 TAX &/OR SEC 1.03 THOMAS W
SAL	06-16-00	223735	-2,500.000	19.875		49,560.84	-58,601 89	-9,041.05			TJX COMPANIES INC @ 19.875 49 687.50 BROKERAGE 125.00 TAX &/OR SEC 1.66 MORGAN S
SAL	06-16-00	223736	-400.000	20.000		7,979.73	-9,194.56	-1,214.83			TJX COMPANIES INC @ 20.00 8 000.00 BROKERAGE 20.00 TAX &/OR SEC .27 GERARD KLAUE
SAL	06-19-00	224870	-1,100.000	19.758		21,678.51	-25,264.48	-3,585.97			TJX COMPANIES INC @ 19.7584 21 734.24 BROKERAGE 55.00 TAX &/OR SEC .73 GERARD KI
SAL	06-19-00	224871	-1,300.000	19.750		25,609.14	-28,794.62	-3,185.48			TJX COMPANIES INC @ 19.75 25 675.00 BROKERAGE 65.00 TAX &/OR SEC .86 DONALDSON I
SAL	06-20-00	224878	-2,200.000	18.933		41,541.43	-44,279.38	-2,737.95			TJX COMPANIES INC @ 18.9331 41 652.82 BROKERAGE 110.00 TAX &/OR SEC 1.39 DONALDS
SAL	06-21-00	224897	-3,600.000	18.390		66,022.87	-69,708.85	-3,685.98			TJX COMPANIES INC @ 18.3903 66 205.08 BROKERAGE 180.00 TAX &/OR SEC 2.21 DONALDS
	TOTAL -		0.000		339,575.67	304,122.80	-339,575.67	-35,452.87	0	0.00	
F-L	TLB	Talbots Inc									
TRIN	10-01-00	237809.3	400.000	72.072	28,848.64				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237809.2	1,000.000	72.710	72,760.30				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237809.1	300.000	74.854	22,471.29				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237809.4	300.000	65.904	19,786.20				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237809.5	200.000	65.876	13,185.28				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-04-00	238008	-2,200.000	65.938		144,925.66	-157,051.71	-12,126.05			Talbots Inc

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		0.000		157,051.71	144,925.66	-157,051.71	-12,126.05	0	0.00	
COM	TLB	Talbots Inc									
PUR	09-06-00	234289	400.000	72.072	28,848.64				0	0.00	TALBOTS INC @ 72.0716 28 828.64 BROKERAGE 20.00 SANFORD BERNSTEIN & CO INC TRADE
PUR	09-07-00	234309	1,000.000	72.710	72,760.30				0	0.00	TALBOTS INC @ 72.7103 72 710.30 BROKERAGE 50.00 SANFORD BERNSTEIN & CO INC TRADE
PUR	09-08-00	234332	300.000	74.854	22,471.29				0	0.00	TALBOTS INC @ 74.8543 22 456.29 BROKERAGE 15.00 CANTOR FITZGERALD INC TRADE DATE
PUR	09-25-00	235321	300.000	65.904	19,786.20				0	0.00	TALBOTS INC @ 65.904 19 771.20 BROKERAGE 15.00 NATIONSBANC MONTGOMERY SECS INC/S
PUR	09-26-00	235405	200.000	65.876	13,185.28				0	0.00	TALBOTS INC @ 65.8764 13 175 28 BROKERAGE 10.00 NATIONSBANC MONTGOMERY SECS INC/
TROUT	10-01-00	237809	-2,200.000	66.250		157,051.71	-157,051.71	0.00			COM tfr to Forstmann-Leff
	TOTAL -		0.000		157,051.71	157,051.71	-157,051.71	0.00	0	0.00	
COM	TMC	Times Mirror Co Cl-A									
SAL	03-14-00	213446	-600.000	87.905		52,711.36	-42,517.27	10,194.09			TIMES MIRROR CO CL A @ 87.9052 52 743.12 BROKERAGE 30.00 TAX &/OR SEC 1.76 WACHO
SAL	03-16-00	213493	-200.000	92.833		18,556.04	-14,143.17	4,412.87			TIMES MIRROR CO CL A @ 92.8333 18 566.66 BROKERAGE 10.00 TAX &/OR SEC 62 JONES
SAL	03-16-00	213494	-200.000	91.063		18,201 89	-14,092.36	4,109.53			TIMES MIRROR CO CL A @ 91.0625 18 212.50 BROKERAGE 10.00 TAX &/OR SEC .61 LEWCO
SAL	03-17-00	213520	-100.000	93.542		9,348.85	-7,010.46	2,338 39			TIMES MIRROR CO CL A @ 93.5417 9 354.17 BROKERAGE 5.00 TAX &/OR SEC .32 JONES &
SAL	03-20-00	213551	-100.000	94.208		9,417.51	-7,010.46	2,407.05			TIMES MIRROR CO CL A @ 94.2083 9 420.83 BROKERAGE 3.00 TAX &/OR SEC .32 BRIDGE T
SAL	03-21-00	213561	-100.000	93.687		9,363.37	-7,010 46	2,352 91			TIMES MIRROR CO CL A @ 93.6869 9 368.69 BROKERAGE 5.00 TAX &/OR SEC .32 JONES &
SAL	03-22-00	213570	-100.000	94.528		9,447.49	-6,992.85	2,454 64			TIMES MIRROR CO CL A @ 94.5281 9 452.81 BROKERAGE 5.00 TAX &/OR SEC .32 JONES &
SAL	03-23-00	213580	-300.000	94.063		28,202.98	-20,978.55	7,224 43			TIMES MIRROR CO CL A @ 94.0631 28 218.93 BROKERAGE 15.00 TAX &/OR SEC .95 JONES
SAL	03-31-00	215901	-200.000	93.000		18,589.38	-13,972 52	4,616.86			Time Mirror Co
SAL	04-05-00	217467	-300.000	93.553		2[illegible],050.05	-20,833.96	7,216.09			TIMES MIRROR CO CL A @ 93.5533 28 065.99 BROKERAGE 15.00 TAX &/OR SEC .94 JONES
SAL	04-06-00	217474	-1,000.000	93.698		93,644.87	-67,236 90	26,407.97			TIMES MIRROR CO CL A @ 93.698 93 698.00 BROKERAGE 50.00 TAX &/OR SEC 3.13 JONES
	TOTAL -		-3,200.000		0.00	295,533.79	-221,798.96	73,734 83	0	0.00	
COM	TOO	Too Inc.									
PUR	03-03-00	213375	100.000	25.382	2,543.24				0	0.00	TOO INC @ 25.3824 2 538.24 BROKERAGE 5.00 JEFFERIES & CO INC TRADE DATE 03/03/00
PUR	03-06-00	213383	100.000	26.000	2,605.00				0	0.00	TOO INC @ 26.00 2 600.00 BROKERAGE 5.00 SMITH BARNEY INC TRADE DATE 03/06/00
PUR	03-06-00	213384	300.000	26.069	7,835.55				0	0.00	TOO INC @ 26.0685 7 820.55 BROKERAGE 15.00 JEFFERIES & CO INC TRADE DATE 03/06/0
PUR	03-07-00	213390	100.000	25.823	2,587.27				0	0.00	TOO INC @ 25.8227 2 582.27 BROKERAGE 5.00 JEFFERIES & CO INC TRADE DATE 03/07/00
PUR	03-08-00	213399	100.000	25.688	2,573.75				0	0.00	TOO INC @ 25.6875 2 568.75 BROKERAGE 5.00 JEFFERIES & CO INC TRADE DATE 03/08/00
PUR	03-09-00	213404	100.000	24.000	2,405.00				0	0.00	TOO INC @ 24.00 2 400.00 BROKERAGE 5.00 WEEDEN & CO TRADE DATE 03/09/00
PUR	03-10-00	213409	1,200.000	27.120	32,604 24				0	0.00	TOO INC @ 27.1202 32 544.24 BROKERAGE 60.00 WEEDEN & CO TRADE DATE 03/10/00
PUR	03-13-00	213412	1,800.000	27.237	49,115.70					0.00	TOO INC @ 27.2365 49 025.70 BROKERAGE 90.00 WEEDEN & CO TRADE DATE 03/13/00
PUR	03-14-00	213447	200.000	29 205	5,851.00				0	0.00	TOO INC @ 29.205 5 841.00 BROKERAGE 10.00 WEEDEN & CO TRADE DATE 03/14/00

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	03-15-00	213468	100.000	27.333	2,738.26				0	0.00	TOO INC @ 27.3326 2 733.26 BROKERAGE 5.00 WEEDEN & CO TRADE DATE 03/15/00
PUR	03-24-00	213595	1,800.000	25.998	46,865.86				0	0.00	TOO INC @ 25.9977 46 795.86 BROKERAGE 90.00 WEEDEN & CO TRADE DATE 03/24/00
PUR	03-28-00	214677	200.000	25.500	5,110.00				0	0.00	TOO INC @ 25.50 5 100.00 BROKERAGE 10.00 WEEDEN & CO TRADE DATE 03/28/00
PUR	03-29-00	215891	400.000	26.437	10,595.00				0	0.00	Too Inc
PUR	03-31-00	215900	100.000	29.464	2,951.44				0	0.00	Too Inc
PUR	04-06-00	217475	300.000	33.250	9,990.00				0	0.00	TOO INC @ 33.25 9 975.00 BROKERAGE 15.00 J P MORGAN SECURITIES (EQUITIES) TRADE
PUR	05-02-00	220526	200.000	31.063	6,218.50				0	0.00	TOO INC @ 31.0625 6 212.50 BROKERAGE 6.00 BRIDGE TRADING CO TRADE DATE 05/02/00
SAL	06-16-00	223737	-1,200.000	21.176		25,349.87	-35,935.15	-10,585.28			TOO INC @ 21.1756 25 410.72 BROKERAGE 60.00 TAX &/OR SEC .85 CREDIT SUISSE FIRST
SAL	06-19-00	224872	-1,400.000	20.500		28,629.04	-38,201.10	-9,572.06			TOO INC @ 20.50 28 700.00 BROKERAGE 70.00 TAX &/OR SEC .96 CREDIT SUISSE FIRST F
SAL	06-20-00	224879	-500.000	20.649		10,299.15	-13,596.73	-3,297.58			TOO INC @ 20.649 10 324.50 BROKERAGE 25.00 TAX &/OR SEC .35 CREDIT SUISSE FIRST
SAL	06-21-00	224898	-600.000	20.624		12,344.10	-16,302.12	-3,958.02			TOO INC @ 20.6242 12 374.52 BROKERAGE 30.00 TAX &/OR SEC .42 CREDIT SUISSE FIRST
SAL	06-21-00	224899	-700.000	20.602		14,385.56	-18,640.89	-4,255.33			TOO INC @ 20.6015 14 421.05 BROKERAGE 35.00 TAX &/OR SEC .49 BRIDGE TRADING CO T
SAL	06-21-00	224900	-300.000	20.625		6,181.29	-7,828.70	-1,647.41			TOO INC @ 20.625 6 187.50 BROKERAGE 6.00 TAX &/OR SEC .21 INVESTMENT TECHNOLOGY
SAL	06-22-00	224917	-400.000	20.254		8,081.24	-10,419.08	-2,337.84			TOO INC @ 20.2538 8 101.52 BROKERAGE 20.00 TAX &/OR SEC .28 BRIDGE TRADING CO TF
SAL	06-23-00	224924	-800.000	20.594		16,450.49	-20,638.16	-4,387.67			TOO INC @ 20.5938 16 475.04 BROKERAGE 24.00 TAX &/OR SEC .55 CORRESPONDENT SERVI
SAL	06-26-00	224930	-200.000	21.000		4,193.86	-5,209.54	-1,015.68			TOO INC @ 21.00 4 200.00 BROKERAGE 6.00 TAX &/OR SEC .14 BRIDGE TRADING CO TRADE
SAL	06-27-00	224931	-900.000	21.442		19,251.97	-23,233.34	-3,981.37			TOO INC @ 21.4418 19 297.62 BROKERAGE 45.00 TAX &/OR SEC .65 BRIDGE TRADING CO T
SAL	06-28-00	225405	-100.000	22.812		2,276.17	-2,405.00	-128.83			Too Inc @ 22.812
	TOTAL -		0.000		192,609.81	147,442.74	-192,609.81	-45,167.07	0	0.00	
COM	TRW	TRW INC									
PUR	03-01-00	213360	900.000	52.485	47,281.32				0	0.00	TRW INC @ 52.4848 47 236 32 BROKERAGE 45.00 WILLIAM BLAIR & CO TRADE DATE 03/01/
PUR	05-02-00	220527	200.000	59.563	11,918.50				0	0.00	TRW INC @ 59.5625 11 912.50 BROKERAGE 6.00 BRIDGE TRADING CO TRADE DATE 05/02/0(
SAL	06-14-00	223710	-700.000	48.736		34,079.27	-38,652.40	-4,573.13			TRW INC @ 48.7363 34 115.41 BROKERAGE 35.00 TAX &/OR SEC 1.14 WEEDEN & CO TRADE
SAL	06-15-00	223726	-200.000	48.813		9,752.17	-10,619.62	-867.45			TRW INC @ 48.8125 9 762.50 BROKERAGE 10.00 TAX &/OR SEC .33 STATE STREET BROKER/
SAL	06-15-00	223727	-500.000	48.702		24,325.08	-26,526.01	-2,200.93			TRW INC @ 48.7018 24 350.90 BROKERAGE 25.00 TAX &/OR SEC .82 WEEDEN & CO TRADE I
SAL	06-16-00	223738	-100.000	47.025		4,697 29	-5,304.05	-606.76			TRW INC @ 47.0245 4 702.45 BROKERAGE 5.00 TAX &/OR SEC .16 STATE STREET BROKERAC
SAL	06-16-00	223739	-900.000	46.502		41,804.95	-47,483.60	-5,678 65			TRW INC @ 46.5015 41 851.35 BROKERAGE 45.00 TAX &/OR SEC 1.40 WEEDEN & CO TRADE
SAL	06-19-00	224873	-200.000	45.316		9,052.95	-10,506.96	-1,454.01			TRW INC @ 45.3163 9 063.26 BROKERAGE 10.00 TAX &/OR SEC .31 STATE STREET BROKER/
SAL	06-19-00	224874	-900.000	44.483		39,988.36	-47,034.83	-7,046.47			TRW INC @ 44.483 40 034.70 BROKERAGE 45.00 TAX &/OR SEC 1.34 WEEDEN & CO TRADE I
SAL	06-20-00	224880	-200.000	45.000		8,989.70	-10,401.70	-1,412.00			TRW INC @ 45.00 9 000.00 BROKERAGE 10.00 TAX &/OR SEC .30 FIDELITY CAPITAL MARKE
SAL	06-20-00	224881	-600.000	42.493		25,464.65	-31,169.18	-5,704 53			TRW INC @ 42.4925 25 495.50 BROKERAGE 30.00 TAX &/OR SEC .85 STATE STREET BROKE/
SAL	06-20-00	224882	-100.000	42.500		4,244.85	-5,194.00	-949.15			TRW INC @ 42.50 4 250.00 BROKERAGE 5.00 TAX &/OR SEC .15 BEAR STEARNS & CO INC T
SAL	06-21-00	224901	-600.000	45.787		27,441.52	-30,892.62	-3,451.10			TRW INC @ 45.7874 27 472.44 BROKERAGE 30.00 TAX &/OR SEC .92 FIDELITY CAPITAL MA
SAL	06-21-00	224902	-200.000	45.563		9,102.19	-10,235.24	-1,133.05			TRW INC @ 45.5625 9 112.50 BROKERAGE 10.00 TAX &/OR SEC .31 STATE STREET BROKER/
SAL	06-22-00	224918	-200.000	45.461		9,081.95	-10,231.10	-1,149.15			TRW INC @ 45.4613 9 092.26 BROKERAGE 10.00 TAX &/OR SEC .31 FIDELITY CAPITAL MA/

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		-4,300.000		59,199.82	248,024.93	-284,251.31	-36,226.38	0	0.00	
F-L	TXCC	Transwitch Corp @60.6818									
TRIN	10-01-00	237810.2	400.000	60.682	24,272.72				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237810.3	1,300.000	60.604	78,785.46				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237810.4	1,800.000	54.462	98,031.06				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237810.6	1,000.000	50.392	50,392.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237810.5	200.000	51.640	10,327.92				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237810.7	100.000	49.250	4,929.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237810.1	300.000	61.133	18,339.87				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-03-00	237994	-5,100.000	67.250		342,963.56	-285,078.03	57,685.53			Transwitch Corp
	TOTAL -		0.000		285,078.03	342,963.56	-285,078.03	57,685.53	0	0.00	
CCM	TXCC	Transwitch Corp @60.6818									
PUR	08-28-00	231431	400.000	60.682	24,272.72				0	0.00	TRANSWITCH CORP @ 60.6818 LEWCO SEC/AGT HAMBRECHT & QUIST TRADE DATE 08/28/00
PUR	08-29-00	231703	1,300.000	60.604	78,785.46				0	0.00	Transwitch Corp @60.6042
PUR	09-05-00	234103	1,800.000	54.462	98,031.06				0	0.00	TRANSWITCH CORP @ 54.4617 JEFFERIES & CO INC TRADE DATE 09/05/00
PUR	09-14-00	234379	1,000.000	50.392	50,392.00				0	0.00	TRANSWITCH CORP @ 50.392 NATIONSBANC MONTGOMERY SECS INC/S. TRADE DATE 09/14/00
PUR	09-15-00	234389	200.000	51.640	10,327.92				0	0.00	TRANSWITCH CORP @ 51 6396 NATIONSBANC MONTGOMERY SECS INC/S. TRADE DATE 09/15/0(
PUR	09-15-00	234390	100.000	49.250	4,929.00				0	0.00	TRANSWITCH CORP @ 49.25 4 925.00 BROKERAGE 4.00 INSTINET TRADE DATE 09/15/00
PUR	09-25-00	235322	300.000	61.133	18,339.87				0	0.00	TRANSWITCH CORP @ 61.1329 MERRILL LYNCH PIERCE FENNER SMITH TRADE DATE 09/25/00
TROUT	10-01-00	237810	-5,100.000	63.750		285,078.03	-285,078.03	0.00			CCM tfr to Forstmann-Leff
	TOTAL -		0.000		285,078.03	285,078.03	-285,078.03	0.00	0	0.00	
F-L	UNH	UnitedHealth Group Inc									
PUR	10-09-00	236071	2,400.000	103.333	248,143.92				0	0.00	UnitedHealth Group Inc
SAL	11-20-00	242153	-600.000	114 996		91,945.81	-62,714 64	9,231.17			UnitedHealth Group Inc @114.99
SAL	12-15-00	243984	-1,600.000	117.875		188,497.71	-165,429.28	23,068.43			UnitedHealth Group Inc
	TOTAL -		0.000		248,143.92	280,443.52	-248,143 92	32,299.60	0	0.00	
CCM	USWB	USWeb Corp									
SAL	01-26-00	208674	-900.000	39.493		35,542.60	-33,214.55	2,328 05			USWEB CORP @ 39.4931 35 543.79 TAX &/OR SEC 1.19 DONALDSON LUFKIN & JENRETTE SE(
SAL	01-27-00	209805	-2,700.000	33.808		91,280.17	-97,920.95	-6,640.78			USWEB Corp
SAL	01-28-00	209609	-2,100.000	27.966		58,727.27	-70,682.19	-11,954 92			USWEB Corp

Market Street Trust Company
PURCHASE / SALE DETAIL NISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		-5,700.000		0.00	185,550.04	-201,817.69	-16,267.65	0	0.00	
COM	VCI	Valassis Communication									
PUR	02-10-00	211968	2,000.000	28.582	57,264.00				0	0.00	VALASSIS COMMUNICATIONS INC @ 28.582 57 164.00 BROKERAGE 100.00 PRUDENTIAL SECUF
PUR	05-02-00	220528	200.000	33.438	6,693.50				0	0.00	VALASSIS COMMUNICATIONS INC @ 33.4375 6 687.50 BROKERAGE 6.00 BRIDGE TRADING CO
PUR	06-16-00	223740	500.000	36.435	18,242.70				0	0.00	VALASSIS COMMUNICATIONS INC @ 36.4354 18 217.70 BROKERAGE 25.00 DONALDSON LUFKIN
PUR	06-19-00	224875	500.000	36.166	18,108.10				0	0.00	VALASSIS COMMUNICATIONS INC @ 36.1662 18 083.10 BROKERAGE 25.00 DONALDSON LUFKIN
SAL	08-21-00	231421	-700.000	30.045		20,995.52	-22,880.67	-1,885.15			VALASSIS COMMUNICATIONS INC @ 30.0446 81 120.42 BROKERAGE 135.00 TAX &/OR SEC 2.
SAL	08-21-00	231421	-2,000.000	30.045		59,987.19	-75,403.58	-15,416.39			VALASSIS COMMUNICATIONS INC @ 30.0446 81 120.42 BROKERAGE 135.00 TAX &/OR SEC 2.
SAL	08-23-00	231422	-400.000	30.500		12,179.59	-13,074.67	-895.08			VALASSIS COMMUNICATIONS INC @ 30.50 12 200.00 BROKERAGE 20.00 TAX &/OR SEC .41 ⊳
SAL	08-24-00	231424	-3,000.000	28.711		85,979.22	-98,059.99	-12,080.77			VALASSIS COMMUNICATIONS INC @ 28.7107 86 132.10 BROKERAGE 150.00 TAX &/OR SEC 2.
SAL	08-25-00	231429	-200.000	29.000		5,789.80	-6,537.34	-747.54			VALASSIS COMMUNICATIONS INC @ 29.00 5 800.00 BROKERAGE 10.00 TAX &/OR SEC .20 WE
SAL	08-25-00	231430	-50.000	29.000		1,447.45	-1,634.33	-186.88			VALASSIS COMMUNICATIONS INC @ 29.00 11 600.00 BROKERAGE 20.00 TAX &/OR SEC .39 ⊳
SAL	08-25-00	231430	-350.000	29.000	10,132.16		-10,021.20	110.96			VALASSIS COMMUNICATIONS INC @ 29.00 11 600.00 BROKERAGE 20.00 TAX &/OR SEC .39 ⊳
SAL	08-29-00	231713	-1,200.000	29.731	35,615 89		-34,358.40	1,257.49			Valassis Communications @29.73
SAL	08-30-00	231743	-200.000	30.013		5,992.29	-5,726.40	265.89			Valassis Communications @30.01
SAL	09-01-00	234095	-250.000	29.161		7,277.45	-7,158.00	119.45			VALASSIS COMMUNICATIONS INC @ 29.1608 7 290.20 BROKERAGE 12.50 TAX &/OR SEC .25
	TOTAL -		-5,150.000		100,308.30	245,396.56	-274,854.58	-29,458.02	0	0.00	
WPS	VIAB	Viacom Non-Voting Common B									
CNVTO	05-08-00	220412.3	21,700.000	51.928	1,126,836.94				21,700	1,126,836.94	CBS Exchange to Viacom B
CNVTO	05-08-00	220412.2	12,043.000	54.075	651,222.67				12,043	651,222.67	CBS Exchange to Viacom B
CNVTO	05-08-00	220412.1	5,642.000	53.542	302,084.31				5,642	302,084.31	CBS Exchange to Viacom B
PUR	05-31-00	222109	2,000.000	60.196	120,552.60				2,000	120,552.60	Viacom Inc CL-B @ 60.19630
PUR	06-19-00	223584	9,000.000	66.947	603,239.40				0	0.00	Viacom Inc. CL-B @ 66.9466
PUR	06-23-00	224830	9,900.000	63.650	630,928.98				8,150	519,401.13	Viacom Inc Cl-B @63.6502
PUR	06-26-00	224831	3,550.000	64.454	229,096.41				0	0.00	Viacom Inc Cl-B @64.4542
SAL	09-26-00	235334	-14,300.000	56.938		813,035.10	-943,863.66	-130,828.56			Viacom Inc CL B
	TOTAL -		49,535.000		3,663,961.31	813,035.10	-943,863.66	-130,828.56	49,535	2,720,097.65	
F-L	VLO	Valero Energy Corp									
TRIN	10-01-00	237811.2	3,200.000	33.478	107,289.28				0	0.00	COM tfr to Forstmann-Leff
TRIN	10-01-00	237811.1	400.000	33.771	13,528.28				0	0.00	COM tfr to Forstmann-Leff
SAL	10-03-00	237988	-3,600.000	35.313		126,904.76	-120,817.56	6,087.20			Valero Energy Corp

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		0.000		120,817.56	126,904.76	-120,817.56	6,087.20	0	0.00	
COM	VLO	Valero Energy Corp									
PUR	09-21-00	235021	3,200.000	33.476	107,289.26				0	0.00	VALERO ENERGY CORP @ 33.4779 107 129.28 BROKERAGE 160.00 SMITH BARNEY INC TRADE
PUR	09-22-00	235129	400.000	33.771	13,528.28				0	0.00	VALERO ENERGY CORP @ 33.7707 13 508.28 BROKERAGE 20.00 SMITH BARNEY INC TRADE DA
TROUT	10-01-00	237811	-3,600.000	35.186		120,817.56	-120,817.56	0.00			COM tfr to Forstmann-Leff
	TOTAL -		0.000		120,817.56	120,817 56	-120,817 56	0.00	0	0.00	
COM	VRSN	Verisign Inc									
PUR	02-17-00	212015	400.000	224.685	89,873.84				0	0.00	VERISIGN INC @ 224.6846 MORGAN STANLEY & CO TRADE DATE 02/17/00
SAL	04-04-00	217458	-400.000	114.632		45,851.15	-89,873.84	-44,022.69			VERISIGN INC @ 114.6317 45 852.68 TAX &/OR SEC 1.53 CREDIT SUISSE FIRST BOSTON C
	TOTAL -		0.000		89,873.84	45,851.15	-89,873.84	-44,022 69	0	0.00	
COM	VRTS	Veritas Software Corp									
SAL	01-07-00	208552	-300.000	119.762		35,927.52	-7,445.75	28,481.77			VERITAS SOFTWARE CO @ 119.7624 35 928.72 TAX &/OR SEC 1.20 MORGAN STANLEY & CO T
SPLDV	03-06-00	213385	1,200.000		0.00				0	0.00	VERITAS SOFTWARE CO 3 FOR 2 STOCK DISTRIBUTION
SAL	03-16-00	213495	-500.000	137.479		68,736 95	-8,273.06	60,463 89			VERITAS SOFTWARE CO @ 137.4785 68 739.25 TAX &/OR SEC 2.30 MORGAN STANLEY & CO T
SAL	04-04-00	217459	-1,000.000	105.025		105,021.29	-16,546 11	88,475.18			VERITAS SOFTWARE CO @ 105.0248 105 024.80 TAX &/OR SEC 3.51 TROSTER SINGER STEVE
SAL	04-13-00	217528	-900.000	100.895		90,802 20	-14,891 50	75,910.70			VERITAS SOFTWARE CO @ 100.8947 90 805.23 TAX &/OR SEC 3.03 CANTOR FITZGERALD INC
PUR	05-01-00	220455	900.000	110.167	99,150.39				0	0.00	VERITAS SOFTWARE CO @ 110.1671 CANTOR FITZGERALD INC TRADE DATE 05/01/00
PUR	05-02-00	220529	100.000	108.125	10,812.50				0	0.00	VERITAS SOFTWARE CO @ 108 125 KNIGHT SECURITIES TRADE DATE 05/02/00
PUR	06-02-00	223639	500.000	137.373	68,686.30				0	0.00	VERITAS SOFTWARE CO @ 137.3726 PRUDENTIAL SECURITIES TRADE DATE 06/02/00
SAL	07-13-00	226844	-800.000	129.630		103,700.22	-101,736 43	1,963.79			VERITAS SOFTWARE CO @ 129 6296 103 703.68 TAX &/OR SEC 3.46 COWEN & CO TRADE DAT
SAL	08-02-00	230744	-700.000	101.818		71,270.06	-76,912.76	-5,642 68			VERITAS SOFTWARE CO @ 101.6178 71 272 46 TAX &/OR SEC 2.38 SMITH BARNEY INC TRA
SAL	08-08-00	230781	-400.000	111.484		44,576.03	-6,618.44	37,957.59			VERITAS SOFTWARE CO @ 111.4838 44 593.52 BROKERAGE 16.00 TAX &/OR SEC 1.49 INSTI
SAL	08-08-00	230782	-700.000	111.169		77,815.35	-11,582 28	66,233.07			VERITAS SOFTWARE CO @ 111.1685 77 817.95 TAX &/OR SEC 2.60 MERRILL LYNCH PIERCE
SAL	08-09-00	230790	-100.000	113.813		11,380.67	-1,654 61	9,726.26			VERITAS SOFTWARE CO @ 113.8125 11 381 25 TAX &/OR SEC .38 TROSTER SINGER STEVENS
	TOTAL -		-2,700.000		178,649.19	609,230.51	-245,660.94	363,569.57	0	0.00	
COM	VSH	VISHAY INTERTECHNOLOGY									
PUR	01-25-00	208660	1,100.000	40.954	45,049.29				0	0.00	VISHAY INTERTECHNOLOGY INC @ 40.9539 SMITH BARNEY INC TRADE DATE 01/25/00
PUR	02-16-00	211996	900.000	43.876	39,532.95				0	0.00	VISHAY INTERTECHNOLOGY INC @ 43.8755 39 487.95 BROKERAGE 45.00 COWEN & CO TRADE
PUR	05-02-00	220530	200.000	79.125	15,831.00				0	0.00	VISHAY INTERTECHNOLOGY INC @ 79.125 15 825.00 BROKERAGE 6.00 BRIDGE TRADING CO T
SAL	05-04-00	220548	-500.000	76.592		38,269 87	-29,008.65	9,261 22			VISHAY INTERTECHNOLOGY INC @ 76.5923 38 296.15 BROKERAGE 25.00 TAX &/OR SEC 1.28

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	05-23-00	221499	-700.000	74.205		51,906.76	-30,450.69	21,456.07			VISHAY INTERTECHNOLOGY INC @ 74.205 51 943.50 BROKERAGE 35.00 TAX &/OR SEC 1.74
SAL	05-24-00	221509	-700.000	61.263		42,847.95	-28,667.73	14,180.22			VISHAY INTERTECHNOLOGY INC @ 61.2634 42 864.38 BROKERAGE 35.00 TAX &/OR SEC 1.43
SPLDV	06-12-00	223693	1,550.000		0.00				0	0.00	VISHAY INTERTECHNOLOGY INC 3 FOR 2 STOCK DISTRIBUTION
SAL	06-15-00	223728	-1,100.000	46.024		50,569.27	-25,310.96	25,258.31			VISHAY INTERTECHNOLOGY INC @ 46.0236 50 625.96 BROKERAGE 55.00 TAX &/OR SEC 1.65
SAL	06-15-00	223729	-200.000	46.765		9,342.68	-4,007.63	5,335.05			VISHAY INTERTECHNOLOGY INC @ 46.765 9 353.00 BROKERAGE 10.00 TAX &/OR SEC .32 JC
SAL	06-16-00	223741	-500.000	45.501		22,724.74	-9,854.06	12,870.68			VISHAY INTERTECHNOLOGY INC @ 45.501 22 750.50 BROKERAGE 25.00 TAX &/OR SEC .76 F
SAL	06-16-00	223742	-400.000	44.753		17,888.48	-7,795.25	10,093.23			VISHAY INTERTECHNOLOGY INC @ 44.7527 17 901.08 BROKERAGE 12.00 TAX &/OR SEC .60
SAL	06-20-00	224683	-100.000	50.373		5,032.11	-1,948.81	3,083.30			VISHAY INTERTECHNOLOGY INC @ 50.3728 5 037.28 BROKERAGE 5.00 TAX &/OR SEC .17 FI
SAL	06-20-00	224684	-100.000	49.712		4,965.98	-1,948.81	3,017.17			VISHAY INTERTECHNOLOGY INC @ 49.7115 4 971.15 BROKERAGE 5.00 TAX &/OR SEC .17 LE
SAL	06-21-00	224903	-1,300.000	48.652		63,180.66	-25,176.52	38,004.36			VISHAY INTERTECHNOLOGY INC @ 48.6523 63 247.99 BROKERAGE 65.00 TAX &/OR SEC 2.11
SAL	06-22-00	224919	-950.000	46.450		44,078.71	-18,257.82	25,820.69			VISHAY INTERTECHNOLOGY INC @ 46.4502 44 127.69 BROKERAGE 47.50 TAX &/OR SEC 1.48
	TOTAL -		-2,800.000		100,413.24	350,807.43	-182,426.93	168,380.50	0	0.00	
OAKASS	VTSS	Vitesse Semiconductor									
PUR	10-10-00	237667	12,100.000	91.919	1,130,843.55				12,100	1,130,843.55	Vitesse Semiconductor Corp
	TOTAL -		12,100.000		1,130,843.55	0.00	0.00	0.00	12,100	1,130,843.55	
WPS	WAG	Walgreen Co									
PUR	12-04-00	242993	7,900.000	41.782	330,705.85				7,900	330,705.85	WALGREEN CO @ 41.7615 330 073.85 BROKERAGE 632.00 NEUBERGER & BERMAN TRADE DATE
PUR	12-05-00	242995	15,000.000	41.199	619,189.50				15,000	619,189.50	WALGREEN CO @ 41.1993 617 989.50 BROKERAGE 1 200.00 NEUBERGER & BERMAN TRADE DAT
PUR	12-06-00	242997	14,200.000	39.104	556,411.38				14,200	556,411.38	WALGREEN CO @ 39.1039 555 275.38 BROKERAGE 1 136.00 NEUBERGER & BERMAN TRADE DAT
	TOTAL -		37,100.000		1,506,306.73	0.00	0.00	0.00	37,100	1,506,306.73	
COM	WAT	Waters Corp									
PUR	05-02-00	220531	100.000	91.938	9,196.75				0	0.00	WATERS CORP @ 91.9375 9 193.75 BROKERAGE 3.00 BRIDGE TRADING CO TRADE DATE 05/02
SAL	06-21-00	224904	-100.000	127.730		38,302.69	-19,462.29	18,840.40			WATERS CORP @ 127.7299 38 318.97 BROKERAGE 15.00 TAX &/OR SEC 1.28 ADAMS HARKNES
SAL	06-28-00	225406	-100.000	125.000		12,494.58	-5,132.77	7,361.81			Waters Corp @125.00
SAL	06-29-00	225407	-300.000	127.458		38,221.28	-14,863.87	23,357.41			Waters Corp @127.458
SAL	06-29-00	225407	-500.000	127.458		63,702.12	-25,663.85	38,038.27			Waters Corp @127.458
SPLDV	08-28-00	231441	3,400.000		0.00				0	0.00	WATERS CORP 2 FOR 1 STOCK DISTRIBUTION
TROUT	10-01-00	237612	-6,800.000	69.000		165,168.60	-165,168.60	0.00			COM tfr to Foratmann-Leff
	TOTAL -		-4,500.000		9,196.75	317,889.27	-230,291.38	87,597.69	0	0.00	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
F-L	WAT	Waters Corp									
TRIN	10-01-00	237812.1	4,800.000	99.093	118,911.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237812.2	600.000	46.692	14,022.60				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237812.3	600.000	46.000	13,815.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237812.4	800.000	46.000	18,420.00				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-06-00	238036	-4,800.000	73.555		352,764.71	-116,589.60	236,175.11			Waters Corp
SAL	10-06-00	238036	-2,000.000	73.555		146,985.29	-48,579.00	98,406.29			Waters Corp
	TOTAL -		0.000		165,168.60	499,750.00	-165,168.60	334,581 40	0	0.00	
F-L	WDR	Waddell & Reed Financial Group									
TRIN	10-01-00	237813.9	450.000	34.802	10,455.60				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237813.7	600.000	36.000	14,420.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237813.8	2,400.000	35.999	57,677.92				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237813.3	450.000	38.902	11,685.66				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237813.4	900.000	38.168	22,930.92				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237813.5	600.000	37.313	14,945.08				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237813.1	900.000	39.403	23,672.04				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237813.6	200.000	24.875	4,981.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237813.2	2,000.000	26.155	52,411.80				0	0.00	CCM tfr to Forstmann-Leff
SAL	10-05-00	238016	-8,500.000	34.000		288,480.36	-213,180.02	75,300.34			Waddell & Reed Financial Inc
	TOTAL -		0.000		213,180.02	288,480.36	-213,180.02	75,300.34	0	0.00	
CCM	WDR	Waddell & Reed Financisl Group									
PUR	03-17-00	213521	300.000	34.802	10,455.60				0	0.00	WADDELL & REED FINANCIAL INC CL A @ 34.802 10 440.60 BROKERAGE 15.00 ABN AMRO CH
PUR	03-21-00	213562	400.000	36.000	14,420.00				0	0.00	WADDELL & REED FINANCIAL INC CL A @ 36.00 14 400.00 BROKERAGE 20.00 ABN AMRO CHI
PUR	03-22-00	213571	1,600.000	35.999	57,677.92				0	0.00	WADDELL & REED FINANCIAL INC CL A @ 35.9987 57 597.92 BROKERAGE 80.00 ABN AMRO C
PUR	03-23-00	213581	300.000	38.902	11,685.66				0	0.00	WADDELL & REED FINANCIAL INC CL A @ 38.9022 11 670.66 BROKERAGE 15.00 ABN AMRO C
PUR	03-24-00	213596	600.000	38.168	22,930.92				0	0.00	WADDELL & REED FINANCIAL INC CL A @ 38.1682 22 900.92 BROKERAGE 30.00 ABN AMRO C
PUR	03-28-00	214678	400.000	37.313	14,945.08				0	0.00	WADDELL & REED FINANCIAL INC CL A @ 37.3127 14 925.08 BROKERAGE 20.00 ADVEST INC
PUR	03-29-00	215892	600.000	39.403	23,672.04				0	0.00	Waddell & Reed Financial Inc
SPLDV	04-10-00	218475	2,100.000		0.00				0	0.00	Waddell & Reed 3 for 2 split
PUR	05-02-00	220532	200.000	24.875	4,981.00				0	0.00	WADDELL & REED FINANCIAL INC CL A @ 24 875 4 975.00 BROKERAGE 6.00 BRIDGE TRADIN
PUR	05-31-00	222156	2,000.000	26.155	52,411.80				0	0.00	Waddell & Reed Financial Inc
TROUT	10-01-00	237813	-8,500.000	31.000		213,180.02	-213,180.02	0.00			CCM tfr to Forstmann-Leff

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		0.000		213,180.02	213,180.02	-213,180.02	0.00	0	0.00	
CCM	WLL	Willamette Inds Inc									
PUR	03-16-00	213496	600.000	39.203	23,551.62				0	0.00	WILLAMETTE INDUSTRIES INC @ 39.2027 23 521.62 BROKERAGE 30.00 HOAX BREEDLOVE WES
PUR	03-17-00	213522	400.000	39.583	15,853.12				0	0.00	WILLAMETTE INDUSTRIES INC @ 39.5828 15 833.12 BROKERAGE 20.00 JEFFERIES & CO INC
PUR	03-20-00	213552	200.000	41.234	8,256.82				0	0.00	WILLAMETTE INDUSTRIES INC @ 41.2341 8 246.82 BROKERAGE 10.00 MORGAN KEEGAN & CO
PUR	03-24-00	213597	1,100.000	40.617	44,733.92				0	0.00	WILLAMETTE INDUSTRIES INC @ 40.6172 44 678.92 BROKERAGE 55.00 JEFFERIES & CO INC
PUR	03-27-00	213602	200.000	41.512	8,312.42				0	0.00	WILLAMETTE INDUSTRIES INC @ 41.5121 8 302.42 BROKERAGE 10.00 SANFORD BERNSTEIN &
PUR	05-02-00	220533	200.000	38.625	7,731.00				0	0.00	WILLAMETTE INDUSTRIES INC @ 38.625 7 725.00 BROKERAGE 6.00 BRIDGE TRADING CO TRA
SAL	05-12-00	220609	-1,200.000	37.622		45,084.53	-57,760.86	-12,676.33			WILLAMETTE INDUSTRIES INC @ 37.6217 45 146.04 BROKERAGE 60.00 TAX &/OR SEC 1.51
SAL	05-15-00	220620	-300.000	38.675		11,587.08	-14,411.04	-2,823.96			WILLAMETTE INDUSTRIES INC @ 38.6749 11 602.47 BROKERAGE 15.00 TAX &/OR SEC .39 T
SAL	05-23-00	221500	-600.000	34.753		20,820.80	-28,695.59	-7,874.79			WILLAMETTE INDUSTRIES INC @ 34.7525 20 851.50 BROKERAGE 30.00 TAX &/OR SEC .70 C
SAL	05-24-00	221510	-500.000	33.478		16,713.64	-23,615.40	-6,901.76			WILLAMETTE INDUSTRIES INC @ 33.4784 16 739.20 BROKERAGE 25.00 TAX &/OR SEC .56 C
SAL	05-25-00	221513	-700.000	32.822		22,925.91	-32,365.12	-9,439.21			WILLAMETTE INDUSTRIES INC @ 32.8224 29 540.16 BROKERAGE 63.00 TAX &/OR SEC .99 C
SAL	05-25-00	221513	-200.000	32.822		6,550.26	-9,172.16	-2,621.90			WILLAMETTE INDUSTRIES INC @ 32.8224 29 540.16 BROKERAGE 63.00 TAX &/OR SEC .99 C
SAL	05-26-00	222158	-600.000	31.834		19,069.88	-27,269.22	-8,199.34			Willamette Industries Inc
SAL	05-26-00	222158	-1,200.000	31.834		38,139 76	-51,106.60	-12,966.84			Willamette Industries Inc
SAL	05-30-00	222170	-1,600.000	32.504		51,925.62	-64,512.31	-12,586.69			Willamette Industries Inc
SAL	06-01-00	223613	-200.000	32.581		6,506.02	-7,850.54	-1,344.52			WILLAMETTE INDUSTRIES INC @ 32.5812 6 516.24 BROKERAGE 10.00 TAX &/OR SEC .22 CF
SAL	06-02-00	223640	-500.000	32.930		16,439.30	-19,506.81	-3,067.51			WILLAMETTE INDUSTRIES INC @ 32.9297 16 464.85 BROKERAGE 25.00 TAX &/OR SEC .55 C
	TOTAL -		-4,900.000		108,438.90	255,762.80	-336,265.65	-80,502.85	0	0.00	
F-L	WLP	Wellpoint Networks Inc CL A									
PUR	10-09-00	238072	2,600.000	94.385	245,555.96				0	0.00	Wellpoint Health Networks Inc
SAL	12-15-00	243985	-600.000	97.092		58,217.19	-56,666.76	1,550.43			Wellpoint Hesl Networks Inc A
SAL	12-19-00	243967	-2,000.000	102.298		204,468.58	-188,889.20	15,579 38			Wellpoint Hesl Networks Inc A
	TOTAL -		0.000		245,555.96	262,685.77	-245,555.96	17,129.81	0	0.00	
CCM	WON	Westwood One Inc									
SPLDV	03-23-00	213562	2,700.000		0.00				0	0.00	WESTWOOD ONE INC 2 FOR 1 STOCK DISTRIBUTION
PUR	05-02-00	220534	200.000	32.875	6,581.00				0	0.00	WESTWOOD ONE INC @ 32.875 6 575.00 BROKERAGE 6.00 BRIDGE TRADING CO TRADE DATE C
PUR	05-10-00	220576	1,300.000	33.199	43,223.57				0	0.00	WESTWOOD ONE INC @ 33.1989 43 158.57 BROKERAGE 65.00 ING BARING LLC TRADE DATE C
PUR	05-12-00	220610	700.000	35.763	25,068.75				0	0.00	WESTWOOD ONE INC @ 35.7625 25 033.75 BROKERAGE 35.00 ING BARING LLC TRADE DATE C
PUR	06-16-00	223743	800.000	37.148	29,758.48				0	0.00	WESTWOOD ONE INC @ 37.1481 29 718.48 BROKERAGE 40.00 GOLDMAN SACHS & CO TRADE DA
PUR	06-16-00	223744	100.000	37.188	3,720.75				0	0.00	WESTWOOD ONE INC @ 37.1875 3 718.75 BROKERAGE 2.00 INVESTMENT TECHNOLOGY GROUP I

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	09-05-00	234104	-900.000	25.351		22,770.31	-33,479.23	-10,708.92			WESTWOOD ONE INC @ 25.3512 22 816.08 BROKERAGE 45.00 TAX &/OR SEC .77 SANFORD BE
SAL	09-06-00	234290	-900.000	23.850		21,419.01	-31,718.53	-10,299 52			WESTWOOD ONE INC @ 23.8497 21 464.73 BROKERAGE 45.00 TAX &/OR SEC .72 SANFORD BE
SAL	09-06-00	234291	-1,100.000	23.500		25,794.13	-36,573.79	-10,779.66			WESTWOOD ONE INC @ 23.50 25 850.00 BROKERAGE 55.00 TAX &/OR SEC .87 GOLDMAN SACH
SAL	09-07-00	234310	-1,800.000	23.000		41,308 62	-55,055.04	-13,746 42			WESTWOOD ONE INC @ 23.00 41 400.00 BROKERAGE 90.00 TAX &/OR SEC 1.38 GOLDMAN SAC
SAL	09-08-00	234333	-300.000	24.000		7,184 87	-8,861.57	-1,676.70			WESTWOOD ONE INC @ 24.0004 7 200.12 BROKERAGE 15.00 TAX &/OR SEC .25 GOLDMAN SAC
SAL	09-11-00	234351	-700.000	23.540		16,442.38	-20,021.66	-3,579.28			WESTWOOD ONE INC @ 23.5399 16 477.93 BROKERAGE 35.00 TAX &/OR SEC .55 GOLDMAN SA
SAL	09-12-00	234363	-700.000	22.960		16,036 46	-19,530.18	-3,493.72			WESTWOOD ONE INC @ 22.96 16 072.00 BROKERAGE 35.00 TAX &/OR SEC .54 GOLDMAN SACH
SAL	09-13-00	234374	-800.000	23.167		18,492 82	-22,320.20	-3,827.38			WESTWOOD ONE INC @ 23.1668 18 533.44 BROKERAGE 40.00 TAX &/OR SEC .62 GOLDMAN SA
SAL	09-14-00	234380	-1,300.000	24.000		31,133 96	-36,270.32	-5,136.36			WESTWOOD ONE INC @ 24.00 31 200.00 BROKERAGE 65.00 TAX &/OR SEC 1.04 GOLDMAN SAC
	TOTAL -		-2,700.000		108,352.55	200,582.56	-263,830 52	-63,247 96	0	0.00	
F-L	WPI	Watson Pharmaceuticals									
PUR	10-09-00	238070	10,200.000	64.509	658,599 72				10,200	658,599 72	Watson Pharmaceuticals Inc
	TOTAL -		10,200.000		658,599.72	0.00	0 00	0.00	10,200	658,599 72	
F-L	XEL	XCEL Energy Inc									
TRIN	10-01-00	237815.5	4,300.000	26.552	114,387.31				0	0 00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237815.7	100.000	26.281	2,630 13				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237815.9	1,500.000	26.125	39,262.50				0	0 00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237815.2	1,500.000	26.701	40,126.95				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237815.4	400.000	26.625	10,670.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237815.3	600.000	26.657	16,024 26				0	0 00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237815.6	300.000	26.500	7,965.00				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237815.8	100.000	26.162	2,621.21				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237815.1	600.000	26.813	16,117.50				0	0.00	CCM tfr to Forstmann-Leff
TRIN	10-01-00	237815.10	2,000.000	26.000	52,100.00				0	0 00	CCM tfr to Forstmann-Leff
SAL	10-03-00	237989	-11,400.000	27.955		317,992.37	-301,904 86	16,087.51			XCEL Energy Inc
	TOTAL -		0.000		301,904 86	317,992.37	-301,904 86	16,087 51	0	0 00	
COM	XEL	XCEL Energy Inc									
PUR	09-11-00	234352	4,300.000	26.552	114,387.31				0	0.00	XCEL ENERGY INC @ 26.5517 114 172.31 BROKERAGE 215.00 OPPENHEIMER & CO TRADE DAT
PUR	09-11-00	234353	1,500.000	26.125	39,262.50				0	0 00	XCEL ENERGY INC @ 26.125 39 187.50 BROKERAGE 75.00 SANFORD BERNSTEIN & CO INC TR
PUR	09-11-00	234354	100.000	26.281	2,630.13				0	0 00	XCEL ENERGY INC @ 26 2813 2 628.13 BROKERAGE 2.00 INVESTMENT TECHNOLOGY GROUP IN
PUR	09-12-00	234364	400.000	26.625	10,670.00				0	0 00	XCEL ENERGY INC @ 26.625 10 650.00 BROKERAGE 20.00 SANFORD BERNSTEIN & CO INC TR

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	09-12-00	234365	1,500.000	26.701	40,126.95				0	0.00	XCEL ENERGY INC @ 26.7013 40 051.95 BROKERAGE 75.00 OPPENHEIMER & CO TRADE DATE
PUR	09-13-00	234375	600.000	26.657	16,024.26				0	0.00	XCEL ENERGY INC @ 26.6571 15 994.26 BROKERAGE 30.00 OPPENHEIMER & CO TRADE DATE
PUR	09-14-00	234381	300.000	26.500	7,965.00				0	0.00	XCEL ENERGY INC @ 26.50 7 950.00 BROKERAGE 15.00 JONES & ASSOCIATES TRADE DATE (
PUR	09-14-00	234382	100.000	26.162	2,621.21				0	0.00	XCEL ENERGY INC @ 26.1621 2 616.21 BROKERAGE 5.00 OPPENHEIMER & CO TRADE DATE 05
PUR	09-15-00	234391	600.000	26.813	16,117.50				0	0.00	XCEL ENERGY INC @ 26.8125 16 087.50 BROKERAGE 30.00 SANFORD BERNSTEIN & CO INC T
PUR	09-22-00	235130	2,000.000	26.000	52,100.00				0	0.00	XCEL ENERGY INC @ 26.00 52 000.00 BROKERAGE 100.00 SANFORD BERNSTEIN & CO INC TR
TROUT	10-01-00	237815	-11,400.000	27.500		301,904.86	-301,904.86	0.00			COM tfr to Forstmann-Leff
	TOTAL -		0.000		301,904 86	301,904.86	-301,904.86	0.00	0	0.00	
WPS	XLNX	Xilinx Inc									
PUR	07-21-00	227558	12,600.000	76.885	969,752.70				0	0.00	Xilinx Inc @76.8845
PUR	07-24-00	227560	5,900.000	77.395	457,102.50				0	0.00	Xilinx Inc @77.3950
PUR	10-09-00	236902	2,625.000	78.087	205,188.11				0	0.00	XILINX CORP @ 78.0869 204 978.11 BROKERAGE 210.00 NEUBERGER & BERMAN TRADE DATE
SAL	11-21-00	240890	-21,125.000	55.409		1,168,777.66	-1,632,043.31	-463,265.65			XILINX CORP @ 55.4086 1 170 506.68 BROKERAGE 1 690.00 TAX &/OR SEC 39.02 NEUBERG
	TOTAL -		0.000		1,632,043.31	1,168,777.66	-1,632,043.31	-463,265.65	0	0.00	
CCM	XLNX	Xilinx Inc									
SAL	01-06-00	208542	-500.000	41.593		20,795.90	-13,310.33	7,485.57			XILINX CORP @ 41.5932 20 796.60 TAX &/OR SEC .70 MERRILL LYNCH PIERCE FENNER SMI
SAL	01-07-00	208553	-1,000.000	44.917		44,915.20	-26,615.87	18,299.33			XILINX CORP @ 44.9167 44 916.70 TAX &/OR SEC 1.50 MERRILL LYNCH PIERCE FENNER SM
SAL	01-10-00	208562	-800.000	47.164		37,730.10	-21,198.30	16,531.80			XILINX CORP @ 47.1642 37 731.36 TAX &/OR SEC 1.26 MERRILL LYNCH PIERCE FENNER SM
SAL	01-10-00	208563	-200.000	47.963		9,584.30	-5,296.34	4,287.96			XILINX CORP @ 47.9631 9 592.62 BROKERAGE 8.00 TAX &/OR SEC .32 INSTINET TRADE DA
SAL	01-19-00	208616	-1,400.000	46.457		65,037.63	-36,746.46	28,291.17			XILINX CORP @ 46.457 65 039.80 TAX &/OR SEC 2.17 MORGAN STANLEY & CO TRADE DATE
SAL	01-20-00	208632	-600.000	47.392		28,434.31	-15,737.67	12,696.64			XILINX CORP @ 47.3921 28 435.26 TAX &/OR SEC .95 MORGAN STANLEY & CO TRADE DATE
SAL	01-21-00	208642	-100.000	47.388		4,738.60	-2,622.94	2,115.66			XILINX CORP @ 47.3876 4 738.76 TAX &/OR SEC .16 MORGAN STANLEY & CO TRADE DATE (
	TOTAL -		-4,600.000		0.00	211,236.04	-121,527.91	89,708.13	0	0.00	
F-L	YUM	Tricon Global Restaurants									
PUR	12-26-00	245440	1,000.000	33.105	33,164.60				1,000	33,164.60	Tricon Global Restaurantd @33.
	TOTAL -		1,000.000		33,164.60	0.00	0.00	0.00	1,000	33,164.60	
CCM	ZION	Zion Bankcorporation									
SAL	03-03-00	213376	-1,900.000	34.254		65,081.19	-125,931.25	-60,850.06			ZIONS BANCORP @ 34.2544 65 083.36 TAX &/OR SEC 2.17 JEFFERIES & CO INC TRADE DAT
SAL	03-03-00	213377	-400.000	34.936		13,973.93	-25,775.00	-11,801.07			ZIONS BANCORP @ 34.936 13 974.40 TAX &/OR SEC .47 JONES & ASSOCIATES TRADE DATE

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	03-01-00	213378	-1,500.000	38.009		57,011.59	-80,269.17	-23,257.58			ZIONS BANCORP @ 38.009 57 011.50 TAX &/OR SEC 1.91 CANTOR FITZGERALD INC TRADE I
TOTAL -			-3,800.000		0.00	136,066.71	-231,975.42	-95,908.71	0	0.00	
REPORT TOTAL -					225,135,770.41	211,365,720.15	-204,040,903.35	9,324,816.80	3,848,690	73,513,380.72	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

SORT OPTION: BY GL.ENTITY, INV

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAW1	AZ.5E0805	Yuma AZ Ind Dev 5.6% 08/01/05									
IAM	01-31-00	211012			0.00						AMORTIZATION
IAM	02-29-00	213103			0.00						AMORTIZATION
IAM	03-31-00	216640			0.00						AMORTIZATION
IAM	04-30-00	219179			0.00						AMORTIZATION
IAM	05-31-00	222525			0.00						AMORTIZATION
IAM	06-30-00	225676			0.00						AMORTIZATION
IAM	07-31-00	229532			0.00						AMORTIZATION
IAM	08-31-00	233403			0.00						AMORTIZATION
IAM	09-30-00	236345			0.00						AMORTIZATION
IAM	10-31-00	239417			0.00						AMORTIZATION
IAM	11-30-00	242413			0.00						AMORTIZATION
IAM	12-31-00	247781			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
SAW1	CA.50706	Sierra View CA Hlth 5% 7/1/06									
IAM	01-31-00	211013			0.00						AMORTIZATION
IAM	02-29-00	213104			0.00						AMORTIZATION
IAM	03-31-00	216641			0.00						AMORTIZATION
IAM	04-30-00	219180			0.00						AMORTIZATION
IAM	05-31-00	222526			0.00						AMORTIZATION
IAM	06-30-00	225677			0.00						AMORTIZATION
IAM	07-31-00	229533			0.00						AMORTIZATION
IAM	08-31-00	233404			0.00						AMORTIZATION
IAM	09-30-00	236346			0.00						AMORTIZATION
IAM	10-31-00	239418			0.00						AMORTIZATION
IAM	11-30-00	242414			0.00						AMORTIZATION
IAM	12-31-00	247782			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0 00	0.00	0	0 00	
SAW1	CA.5A0208	Sanger CA USD 5.25% 02/01/08									
IAM	01-31-00	211014			0.00						AMORTIZATION
IAM	02-29-00	213105			0.00						AMORTIZATION

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	03-31-00	216642			0.00						AMORTIZATION
IAM	04-30-00	219181			0.00						AMORTIZATION
IAM	05-31-00	222527			0.00						AMORTIZATION
IAM	06-30-00	225678			0.00						AMORTIZATION
IAM	07-31-00	229534			0.00						AMORTIZATION
IAM	08-31-00	233405			0.00						AMORTIZATION
IAM	09-30-00	236347			0.00						AMORTIZATION
IAM	10-31-00	239419			0.00						AMORTIZATION
IAM	11-30-00	242415			0.00						AMORTIZATION
IAM	12-31-00	247783			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
OFFIT1	CA.5C0712	Sacremento Muni Util 5.3% 7/12									
SAL	07-05-00	226932	-30,000.000	100.000		30,000.00	-29,325.00	675.00			SACRAMENTO MUNICIPAL UTILITY DISTRICT CALIF ELEC REV 5.30% D JUL 1 2012 DTD 7/1/
	TOTAL -		-30,000.000		0.00	30,000.00	-29,325.00	675.00	0	0.00	
MG1	CA.5C1007	LA CtY Pbl Wks 5.375% 10/1/07									
IAM	01-31-00	210942			0.00						AMORTIZATION
IAM	02-29-00	213033			0.00						AMORTIZATION
IAM	03-31-00	216566			0.00						AMORTIZATION
IAM	04-30-00	219104			0.00						AMORTIZATION
IAM	05-31-00	222447			0.00						AMORTIZATION
IAM	06-30-00	225596			0.00						AMORTIZATION
IAM	07-31-00	229452			0.00						AMORTIZATION
IAM	08-31-00	233322			0.00						AMORTIZATION
IAM	09-30-00	237370			0.00						AMORTIZATION
IAM	10-31-00	239335			0.00						AMORTIZATION
IAM	11-30-00	242332			0.00						AMORTIZATION
IAM	12-31-00	247700			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
OFFIT1	CA.5D0609	S Fran Ultd Tx 5.5% 6/15/09									
IAM	01-31-00	210981			0.00						AMORTIZATION
IAM	02-29-00	213072			0.00						AMORTIZATION

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	03-31-00	216608			0.00						AMORTIZATION
IAM	04-30-00	219145			0.00						AMORTIZATION
IAM	05-31-00	222490			0.00						AMORTIZATION
IAM	06-30-00	225640			0.00						AMORTIZATION
IAM	07-31-00	229496			0.00						AMORTIZATION
IAM	08-31-00	233367			0.00						AMORTIZATION
IAM	09-30-00	236312			0.00						AMORTIZATION
IAM	10-31-00	239382			0.00						AMORTIZATION
IAM	11-30-00	242379			0.00						AMORTIZATION
IAM	12-31-00	247748			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
OFFIT1 CA.SD1108	Port of Oakland 5 5% 11/01/08										
PUR	03-30-00	216256	2,500,000.000	103.087	2,577,175.00				0	0.00	Port of Oakland 5.5% 11/01/08
IAM	04-30-00	219146			0.00						AMORTIZATION
IAM	05-31-00	222491			0.00						AMORTIZATION
IAM	06-30-00	225641			0.00						AMORTIZATION
IAM	07-31-00	229497			0.00						AMORTIZATION
IAM	08-31-00	233368			0.00						AMORTIZATION
SAL	09-15-00	234943	-2,500,000.000	105.940		2,648,500.00	-2,577,175 00	71,325.00			Port of Oakland 5.5% 11/01/08
	TOTAL -		0.000		2,577,175.00	2,648,500 00	-2,577,175 00	71,325.00	0	0.00	
OFFIT1 CA.SE0508	San Fran CA Arta 5.625% 5/1/08										
IAM	01-31-00	210982			0.00						AMORTIZATION
IAM	02-29-00	213073			0.00						AMORTIZATION
IAM	03-31-00	216609			0.00						AMORTIZATION
IAM	04-30-00	219147			0.00						AMORTIZATION
IAM	05-31-00	222492			0.00						AMORTIZATION
IAM	06-30-00	225642			0.00						AMORTIZATION
IAM	07-31-00	229498			0.00						AMORTIZATION
IAM	08-31-00	233369			0.00						AMORTIZATION
SAL	09-21-00	235444	-500,000.000	105.179		525,895.00	-520,625 00	5,270.00			San Fran ARPTS 5.625% 5/1/08
	TOTAL -		-500,000.000		0.00	525,895.00	-520,625.00	5,270.00	0	0.00	

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Market Street Trust Company
PURCHASE / SALE DETAIL NISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAW1	CA.5E1010	CA Construct tax 5.75% 10/1/10									
PUR	11-14-00	240812	1,105,000.000	109.410	1,208,980.50				1,105,000	1,208,980.50	CA Construct Tax 5.75% 10/1/10
IAM	11-30-00	242416			0.00						AMORTIZATION
IAM	12-31-00	247784			0.00						AMORTIZATION
	TOTAL -		1,105,000.000		1,208,980.50	0.00	0.00	0.00	1,105,000	1,208,980.50	
DFFIT1	CA.5F0507	Lg Bch Hrbr CA 5.75% 05/15/07									
IAM	01-31-00	210983			0.00						AMORTIZATION
IAM	02-29-00	213074			0.00						AMORTIZATION
IAM	03-31-00	216610			0.00						AMORTIZATION
IAM	04-30-00	219148			0.00						AMORTIZATION
IAM	05-31-00	222493			0.00						AMORTIZATION
IAM	06-30-00	225643			0.00						AMORTIZATION
IAM	07-31-00	229499			0.00						AMORTIZATION
IAM	08-31-00	233370			0.00						AMORTIZATION
SAL	09-21-00	235443	-500,000.000	105.899		529,495.00	-528,865.00	630.00			Long Beach CA 5.75% 5/15/07
	TOTAL -		-500,000.000		0.00	529,495.00	-528,865.00	630.00	0	0.00	
OFFIT1	CA.5F0808	CA Nsg Fin Agy 5.8% 08/01/08									
IAM	01-31-00	210984			0.00						AMORTIZATION
IAM	02-29-00	213075			0.00						AMORTIZATION
IAM	03-31-00	216611			0.00						AMORTIZATION
IAM	04-30-00	219149			0.00						AMORTIZATION
IAM	05-31-00	222494			0.00						AMORTIZATION
IAM	06-30-00	225644			0.00						AMORTIZATION
IAM	07-31-00	229500			0.00						AMORTIZATION
IAM	08-31-00	233371			0.00						AMORTIZATION
IAM	09-30-00	236313			0.00						AMORTIZATION
IAM	10-31-00	239383			0.00						AMORTIZATION
IAM	11-30-00	242380			0.00						AMORTIZATION
IAM	12-31-00	247749			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
SAW1	CA.5F1006	LA Cty Fnc Auth 5.75% 10/1/06									

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	01-31-00	211015			0.00						AMORTIZATION
IAM	02-29-00	213106			0.00						AMORTIZATION
IAM	03-31-00	216643			0.00						AMORTIZATION
IAM	04-30-00	219182			0.00						AMORTIZATION
IAM	05-31-00	222528			0.00						AMORTIZATION
IAM	06-30-00	225679			0.00						AMORTIZATION
IAM	07-31-00	229535			0.00						AMORTIZATION
IAM	08-31-00	233406			0.00						AMORTIZATION
IAM	09-30-00	236348			0.00						AMORTIZATION
IAM	10-31-00	239420			0.00						AMORTIZATION
IAM	11-30-00	242417			0.00						AMORTIZATION
IAM	12-31-00	247785			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
SAW1	CA.5F1210	Calif. Gen Obl 5.75% 12/1/10									
PUR	11-30-00	242305	2,000,000.000	109.612	2,192,240.00				2,000,000	2,192,240.00	State of Calif 5.75% 12/01/10
IAM	12-31-00	247786			0.00						AMORTIZATION
	TOTAL -		2,000,000.000		2,192,240.00	0 00	0 00	0 00	2,000,000	2,192,240.00	
JFFIT1	CA.6A1104	San Fran CA Pub Util 6 1/8%									
IAM	01-31-00	210985			0.00						AMORTIZATION
IAM	02-29-00	213076			0.00						AMORTIZATION
IAM	03-31-00	216612			0.00						AMORTIZATION
IAM	04-30-00	219150			0.00						AMORTIZATION
IAM	05-31-00	222495			0.00						AMORTIZATION
IAM	06-30-00	225645			0.00						AMORTIZATION
IAM	07-31-00	229501			0.00						AMORTIZATION
IAM	08-31-00	233372			0.00						AMORTIZATION
IAM	09-30-00	236314			0.00						AMORTIZATION
IAM	10-31-00	239384			0.00						AMORTIZATION
IAM	11-30-00	242381			0.00						AMORTIZATION
IAM	12-31-00	247750			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0 00	0.00	0	0 00	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAW1	CA.6B0912	State of CA Gen 6.25% 09/01/12									
PUR	01-18-00	207903	300,000.000	107.694	323,082.00				300,000	323,082.00	State CA Gen Obl 6.25% 9/1/12
IAM	01-31-00	211016			0.00						AMORTIZATION
IAM	02-29-00	213107			0.00						AMORTIZATION
IAM	03-31-00	216644			0.00						AMORTIZATION
IAM	04-30-00	219183			0.00						AMORTIZATION
IAM	05-31-00	222529			0.00						AMORTIZATION
IAM	06-30-00	225680			0.00						AMORTIZATION
IAM	07-31-00	229536			0.00						AMORTIZATION
IAM	08-31-00	233407			0.00						AMORTIZATION
IAM	09-30-00	236349			0.00						AMORTIZATION
IAM	10-31-00	239421			0.00						AMORTIZATION
IAM	11-30-00	242418			0.00						AMORTIZATION
IAM	12-31-00	247787			0.00						AMORTIZATION
	TOTAL -		300,000.000		323,082.00	0.00	0.00	0.00	300,000	323,082.00	
OFFIT1	CA.ADJ0125	Sacremento Hag Adj Rate 8/1/25									
SAL	06-30-00	225558	-5,000.000	100.000		5,000.00	-4,950.00	50.00			Sacramento Hag Adj Rate 8/1/25
	TOTAL -		-5,000.000		0.00	5,000.00	-4,950.00	50.00	0	0.00	
MG1	CA.DLTADMX	Kawaeh Dlta Hosp 1992 DEMAND									
PUR	03-14-00	213900	332,000.000	100.000	332,000.00				0	0.00	Kaweah Delta Hosp Dist
SAL	03-23-00	213942	-332,000.000	100.000		332,000.00	-332,000.00	0.00			Kaweah Delta Hospital Dist.
PUR	06-08-00	223931	2,000,000.000	100.000	2,000,000.00				0	0.00	KAWEAH Delta Hosp Dist. Demand
SAL	06-14-00	223946	-107,000.000	100.000		107,000.00	-107,000.00	0.00			Kaweah Delta Hosp. Dist Dem.
SAL	06-19-00	223947	-990,000.000	100.000		990,000.00	-990,000.00	0.00			Kaweah Delta Hosp. Dist Dem.
SAL	07-31-00	227921	-903,000.000	100.000		903,000.00	-903,000.00	0.00			Kaweah Delta Hospital 1992 DMD
	TOTAL -		0.000		2,332,000.00	2,332,000.00	-2,332,000.00	0.00	0	0.00	
MG1	CA.HSPDMD	Modeato CA Hosp DEMAND									
PUR	03-14-00	213895	1,000.000	100.000	1,000.00				0	0.00	CA Health Mem Hosp Demand
SAL	03-23-00	213937	-1,000.000	100.000		1,000.00	-1,000.00	0.00			CA Health Memorial Hosp Modeat
	TOTAL -		0.000		1,000.00	1,000.00	-1,000.00	0.00	0	0.00	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAW1	CO.50505	CO Hfa Sfm 5.000% 5/01/05									
SAL	04-28-00	218734	-80,000.000	100.000		80,000.00	-80,000.00	0.00			Colorodo HSNG SFM @100.00
SAL	10-31-00	239284	-80,000.000	100.000		80,000.00	-80,000.00	0 00			CO Housing Fin. 5% 05/01/05
	TOTAL -		-160,000.000		0.00	160,000.00	-160,000.00	0.00	0	0.00	
DFFIT1	CO.5C0910	CO. WTR Power Dev 5.375% 09/10									
PUR	06-02-00	223971	500,000.000	99.874	499,370.00				500,000	499,370.00	CO. WTR Res 5.375% 09/01/10
	TOTAL -		500,000.000		499,370.00	0.00	0.00	0.00	500,000	499,370.00	
DFFIT1	CO.5C0911	CO. WTR Power Dev 5.4 9/01/11									
PUR	06-02-00	223974	600,000.000	99.327	595,962.00				600,000	595,962.00	CO. WTR Res 5.4% 09/01/11
	TOTAL -		600,000.000		595,962.00	0.00	0.00	0.00	600,000	595,962.00	
OFFIT1	CO.5D0108	City&Cnty Denver 5.5% 01/01/08									
PUR	05-10-00	220837	2,075,000.000	100.239	2,079,959.25				2,075,000	2,079,959 25	City&CNTY Denver 5.5% 01/01/08
IAM	05-31-00	222496			0.00						AMORTIZATION
IAM	06-30-00	225646			0.00						AMORTIZATION
IAM	07-31-00	229502			0.00						AMORTIZATION
IAM	08-31-00	233373			0.00						AMORTIZATION
IAM	09-30-00	236315			0.00						AMORTIZATION
IAM	10-31-00	239385			0.00						AMORTIZATION
IAM	11-30-00	242382			0.00						AMORTIZATION
IAM	12-31-00	247751			0.00						AMORTIZATION
	TOTAL -		2,075,000.000		2,079,959.25	0 00	0.00	0 00	2,075,000	2,079,959 25	
OFFIT1	CO.5D1209	Arapahoe CTY 5.50% 12/15/09									
IAM	01-31-00	210986			0.00						AMORTIZATION
IAM	02-29-00	213077			0.00						AMORTIZATION
IAM	03-31-00	216613			0.00						AMORTIZATION
IAM	04-30-00	219151			0.00						AMORTIZATION
IAM	05-31-00	222497			0.00						AMORTIZATION
IAM	06-30-00	225647			0.00						AMORTIZATION

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	07-31-00	229503			0.00						AMORTIZATION
IAM	08-31-00	233374			0.00						AMORTIZATION
IAM	09-30-00	236316			0.00						AMORTIZATION
IAM	10-31-00	239386			0.00						AMORTIZATION
IAM	11-30-00	242383			0.00						AMORTIZATION
IAM	12-31-00	247752			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
OFFIT1 CO.5D1212	Arapahoe Cty School 5.5% 12/12										
PUR	03-10-00	214011	1,550,000.000	101.043	1,566,166.50				1,550,000	1,566,166.50	Arapahoe Cty Scl Dist 5.5%
IAM	03-31-00	216614			0.00						AMORTIZATION
IAM	04-30-00	219152			0.00						AMORTIZATION
IAM	05-31-00	222498			0.00						AMORTIZATION
IAM	06-30-00	225648			0.00						AMORTIZATION
IAM	07-31-00	229504			0.00						AMORTIZATION
IAM	08-31-00	233375			0.00						AMORTIZATION
IAM	09-30-00	236317			0.00						AMORTIZATION
IAM	10-31-00	239387			0.00						AMORTIZATION
IAM	11-30-00	242384			0.00						AMORTIZATION
IAM	12-31-00	247753			0.00						AMORTIZATION
	TOTAL -		1,550,000.000		1,566,166.50	0.00	0.00	0.00	1,550,000	1,566,166.50	
OFFIT1 CO.60606	Platte Rvr CO 6.000% 06/01/06										
IAM	01-31-00	210987			0.00						AMORTIZATION
IAM	02-29-00	213078			0.00						AMORTIZATION
IAM	03-31-00	216615			0.00						AMORTIZATION
IAM	04-30-00	219153			0.00						AMORTIZATION
IAM	05-31-00	222499			0.00						AMORTIZATION
IAM	06-30-00	225649			0.00						AMORTIZATION
IAM	07-31-00	229505			0.00						AMORTIZATION
IAM	08-31-00	233376			0.00						AMORTIZATION
IAM	09-30-00	236318			0.00						AMORTIZATION
IAM	10-31-00	239388			0.00						AMORTIZATION
IAM	11-30-00	242385			0.00						AMORTIZATION
IAM	12-31-00	247754			0.00						AMORTIZATION

Market Street Trust Company
PURCHASE / SALE DETAIL NISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
SAW1	CO.6D0516	CO HFA 'S99A'07 05/1/16									
IAM	01-31-00	211017			0.00						AMORTIZATION
IAM	02-29-00	213108			0.00						AMORTIZATION
IAM	03-31-00	216645			0.00						AMORTIZATION
IAM	04-30-00	219184			0.00						AMORTIZATION
IAM	05-31-00	222530			0.00						AMORTIZATION
IAM	06-30-00	225681			0.00						AMORTIZATION
IAM	07-31-00	229537			0.00						AMORTIZATION
IAM	08-31-00	233408			0.00						AMORTIZATION
IAM	09-30-00	236350			0.00						AMORTIZATION
IAM	10-31-00	239422			0.00						AMORTIZATION
IAM	11-30-00	242419			0.00						AMORTIZATION
IAM	12-31-00	247788			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0 00	[illegible]	0	0.00	
SAW1	CO.7G1000	Colorado Housing Fin. Auth									
SAL	10-04-00	237321	-600,000.000	100.000		600,000.00	-676,500.00	-76,500.00			COLORADO HOUSING FINANCE AUTH REF MULTI FAMILY MTGE SECTION 8 7.90% A OCT 1 200(
	TOTAL -		-600,000.000		0.00	600,000 00	-676,500.00	-76,500.00	0	0.00	
MG1	DC.5B0903K	DC Georgetown U. 5.25% 9/15/03									
IAM	01-31-00	210943			0.00						AMORTIZATION
IAM	02-29-00	213034			0.00						AMORTIZATION
IAM	03-31-00	216567			0.00						AMORTIZATION
SAL	04-27-00	218652	-2,540,000.000	100.506		2,552,852 40	-2,587,955.20	-35,102 80			DC Georgetown U 5.25% 09/15/03
	TOTAL -		-2,540,000.000		0.00	2,552,852 40	2,587,955.20	-35,102 80	0	0 00	
MG1	DC.5D1005	DC Howard U 5.50% 10/01/05									
IAM	01-31-00	210944			0.00						AMORTIZATION
IAM	02-29-00	213035			0.00						AMORTIZATION
IAM	03-31-00	216568			0.00						AMORTIZATION
IAM	04-30-00	219105			0.00						AMORTIZATION
IAM	05-31-00	222448			0.00						AMORTIZATION

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTIOM TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	06-30-00	225597			0.00						AMORTIZATION
IAM	07-31-00	229453			0.00						AMORTIZATION
IAM	08-31-00	233323			0.00						AMORTIZATION
IAM	09-30-00	237371			0.00						AMORTIZATION
IAM	10-31-00	239336			0.00						AMORTIZATION
IAM	11-30-00	242333			0.00						AMORTIZATION
IAM	12-31-00	247701			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
MG1	DC.60602	DC Gen Obl 6% 06-01-02-Insured									
IAM	01-31-00	210945			0.00						AMORTIZATION
IAM	02-29-00	213036			0.00						AMORTIZATION
IAM	03-31-00	216569			0.00						AMORTIZATION
IAM	04-30-00	219106			0.00						AMORTIZATION
IAM	05-31-00	222449			0.00						AMORTIZATION
IAM	06-30-00	225598			0.00						AMORTIZATION
IAM	07-31-00	229454			0.00						AMORTIZATION
IAM	08-31-00	233324			0.00						AMORTIZATION
IAM	09-30-00	237372			0.00						AMORTIZATION
IAM	10-31-00	239337			0.00						AMORTIZATION
IAM	11-30-00	242334			0.00						AMORTIZATION
IAM	12-31-00	247702			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
MG1	DC.60602A	DC Genl Oblig 6% 6/02-Gv Bcked									
IAM	01-31-00	210946			0.00						AMORTIZATION
IAM	02-29-00	213037			0.00						AMORTIZATION
IAM	03-31-00	216570			0.00						AMORTIZATION
IAM	04-30-00	219107			0.00						AMORTIZATION
IAM	05-31-00	222450			0.00						AMORTIZATION
IAM	06-30-00	225599			0.00						AMORTIZATION
IAM	07-31-00	229455			0.00						AMORTIZATION
IAM	08-31-00	233325			0.00						AMORTIZATION
IAM	09-30-00	237373			0.00						AMORTIZATION
IAM	10-31-00	239338			0.00						AMORTIZATION

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	11-30-00	242335			0.00						AMORTIZATION
IAM	12-31-00	247703			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
SAW1	DC.60611	DC Unltd Tx 6% 06-01-11									
IAM	01-31-00	211018			0.00						AMORTIZATION
IAM	02-29-00	213109			0.00						AMORTIZATION
IAM	03-31-00	216646			0.00						AMORTIZATION
IAM	04-30-00	219185			0.00						AMORTIZATION
IAM	05-31-00	222531			0.00						AMORTIZATION
IAM	06-30-00	225682			0.00						AMORTIZATION
IAM	07-31-00	229538			0.00						AMORTIZATION
IAM	08-31-00	233409			0.00						AMORTIZATION
IAM	09-30-00	236351			0.00						AMORTIZATION
IAM	10-31-00	239423			0.00						AMORTIZATION
IAM	11-30-00	242420			0.00						AMORTIZATION
IAM	12-31-00	247789			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0 00	0.00	0.00	0	0.00	
OFFIT1	FED.6F1007	Fed. Farm Banks 6.8% 10/12/07									
PUR	10-05-00	238279	2,000,000.000	100.127	2,002,540.00				2,000,000	2,002,540 00	Fed. Farm Banks 6.8% 10/12/07
IAM	10-31-00	239389			0.00						AMORTIZATION
IAM	11-30-00	242386			0.00						AMORTIZATION
IAM	12-31-00	247755			0.00						AMORTIZATION
	TOTAL -		2,000,000.000		2,002,540.00	0.00	0 00	0.00	2,000,000	2,002,540.00	
SAW1	FL.5A1003	Miami FL Parking Facility									
SAL	09-30-00	236236	-115,000 000	100.000		115,000 00	-115,815 35	-815.35			City of Miami 5 1/8% 10/01/03
	TOTAL -		-115,000.000		0.00	115,000 00	-115,815 35	-815.35	0	0.00	
OFFIT1	FL.5C0604	FL Bd of Educ 5 4% 6/1/04									
IAM	01-31-00	210988			0 00						AMORTIZATION
IAM	02-29-00	213079			0.00						AMORTIZATION

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	03-31-00	216616			0.00						AMORTIZATION
IAM	04-30-00	219154			0.00						AMORTIZATION
IAM	05-31-00	222500			0.00						AMORTIZATION
IAM	06-30-00	225650			0.00						AMORTIZATION
IAM	07-31-00	229506			0.00						AMORTIZATION
IAM	08-31-00	233377			0.00						AMORTIZATION
IAM	09-30-00	236319			0.00						AMORTIZATION
IAM	10-31-00	239390			0.00						AMORTIZATION
IAM	11-30-00	242387			0.00						AMORTIZATION
IAM	12-31-00	247756			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
MG1	FL.5E0602	Florida Bd of Educ 5.625%									
IAM	01-31-00	210947			0.00						AMORTIZATION
IAM	02-29-00	213038			0.00						AMORTIZATION
IAM	03-31-00	216571			0.00						AMORTIZATION
IAM	04-30-00	219108			0.00						AMORTIZATION
IAM	05-31-00	222451			0.00						AMORTIZATION
IAM	06-30-00	225600			0.00						AMORTIZATION
IAM	07-31-00	229456			0.00						AMORTIZATION
IAM	08-31-00	233326			0.00						AMORTIZATION
IAM	09-30-00	237374			0.00						AMORTIZATION
IAM	10-31-00	239339			0.00						AMORTIZATION
IAM	11-30-00	242336			0.00						AMORTIZATION
IAM	12-31-00	247704			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
SAW1	FL.5P0707	FL Board of Ed 5.75% 07/01/07									
PUR	09-05-00	234153	550,000.000	106.834	587,587.00				550,000	587,587.00	FL Board of ED 5.75% 07/01/07
IAM	09-30-00	236352			0.00						AMORTIZATION
IAM	10-31-00	239424			0.00						AMORTIZATION
IAM	11-30-00	242421			0.00						AMORTIZATION
IAM	12-31-00	247790			0.00						AMORTIZATION
	TOTAL -		550,000.000		587,587.00	0.00	0.00	0.00	550,000	587,587.00	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAW1	FL.5G1000	Orlando Wtr&Elec 5.9% 10/01/08									
PUR	09-15-00	234952	200,000.000	108.295	216,590.00				200,000	216,590.00	Orlando Wtr&Elec 5.9% 10/01/00
IAM	09-30-00	236353			0.00						AMORTIZATION
	TOTAL -		200,000.000		216,590.00	0.00	0.00	0.00	200,000	216,590.00	
SAW1	FL.61007	Palm Bch FL 6% 10/01/07									
IAM	01-31-00	211019			0.00						AMORTIZATION
IAM	02-29-00	213110			0.00						AMORTIZATION
IAM	03-31-00	216647			0.00						AMORTIZATION
IAM	04-30-00	219186			0.00						AMORTIZATION
IAM	05-31-00	222532			0.00						AMORTIZATION
IAM	06-30-00	225683			0.00						AMORTIZATION
IAM	07-31-00	229539			0.00						AMORTIZATION
IAM	08-31-00	233410			0.00						AMORTIZATION
IAM	09-30-00	236354			0.00						AMORTIZATION
IAM	10-31-00	239425			0.00						AMORTIZATION
IAM	11-30-00	242422			0.00						AMORTIZATION
IAM	12-31-00	247791			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
OFFIT1	FL.6C0407	Orlando FL Utiliti 6 3% 4/1/07									
IAM	01-31-00	210989			0.00						AMORTIZATION
IAM	02-29-00	213080			0.00						AMORTIZATION
IAM	03-31-00	216617			0.00						AMORTIZATION
IAM	04-30-00	219155			0.00						AMORTIZATION
IAM	05-31-00	222501			0.00						AMORTIZATION
IAM	06-30-00	225651			0.00						AMORTIZATION
IAM	07-31-00	229507			0.00						AMORTIZATION
IAM	08-31-00	233378			0.00						AMORTIZATION
IAM	09-30-00	236320			0.00						AMORTIZATION
IAM	10-31-00	239391			0.00						AMORTIZATION
IAM	11-30-00	242388			0.00						AMORTIZATION
IAM	12-31-00	247757			0.00						AMORTIZATION

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
MG1	FL.6D1003	Jckanvle FL El 6.50% 10/01/03									
IAM	01-31-00	210948			0.00						AMORTIZATION
IAM	02-29-00	213039			0.00						AMORTIZATION
IAM	03-31-00	216572			0.00						AMORTIZATION
IAM	04-30-00	219109			0.00						AMORTIZATION
IAM	05-31-00	222452			0.00						AMORTIZATION
IAM	06-30-00	225601			0.00						AMORTIZATION
IAM	07-31-00	229457			0.00						AMORTIZATION
IAM	08-31-00	233327			0.00						AMORTIZATION
SAL	09-28-00	235523	-1,200,000.000	105 424		1,265,088.00	-1,326,612.00	-61,524.00			Jacksonville Elec 6.5% 10/1/03
	TOTAL -		-1,200,000.000		0.00	1,265,088.00	-1,326,612.00	-61,524.00	0	0.00	
SAW1	GA.60106	Muni Elec Auth 6% 01/01/06									
PUR	03-06-00	216302	2,570,000.000	104.238	2,678,916.60				2,340,000	2,439,169.20	Muni Electric GA 6% 01/01/06
IAM	03-31-00	216648			0.00						AMORTIZATION
IAM	04-30-00	219187			0.00						AMORTIZATION
IAM	05-31-00	222533			0.00						AMORTIZATION
IAM	06-30-00	225684			0.00						AMORTIZATION
IAM	07-31-00	229540			0.00						AMORTIZATION
IAM	08-31-00	233411			0.00						AMORTIZATION
IAM	09-30-00	236355			0.00						AMORTIZATION
CNVFR	10-31-00	239283	-230,000.000	106.329		239,747.40	-239,747.40	0.00			Tfr to new ID for Escrow
IAM	10-31-00	239426			0.00						AMORTIZATION
IAM	11-30-00	242423			0.00						AMORTIZATION
IAM	12-31-00	247792			0.00						AMORTIZATION
	TOTAL -		2,340,000.000		2,678,916.60	239,747.40	-239,747.40	0.00	2,340,000	2,439,169.20	
SAW1	GA.60106X	Muni Elec Auth 6% 1/1/06 Escro									
CNVTO	10-31-00	239283	230,000.000	104.238	239,747.40				230,000	239,747.40	Tfr to new ID for Escrow
	TOTAL -		230,000.000		239,747.40	0.00	0.00	0.00	230,000	239,747.40	
SAW1	GA.61010	State of Georgia 6% 10/01/10									

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	10-31-00	239268	600,000.000	109.868	659,208.00				600,000	659,208.00	Georgia Genl Tax 6% 10/01/10
IAM	11-30-00	242424			0.00						AMORTIZATION
IAM	12-31-00	247793			0.00						AMORTIZATION
	TOTAL -		600,000.000		659,208.00	0.00	0.00	0.00	600,000	659,208.00	
MG1	GA 6B0307	State of GA Gen Obl 6.25% 3/07									
PUR	04-27-00	218643	1,000,000.000	107.030	1,070,300.00				1,000,000	1,070,300.00	State of GA Gen Ob 6.25% 03/07
IAM	05-31-00	222453			0.00						AMORTIZATION
IAM	06-30-00	225602			0.00						AMORTIZATION
IAM	07-31-00	229458			0.00						AMORTIZATION
IAM	08-31-00	233328			0.00						AMORTIZATION
IAM	09-30-00	237375			0.00						AMORTIZATION
IAM	10-31-00	239340			0.00						AMORTIZATION
IAM	11-30-00	242337			0.00						AMORTIZATION
IAM	12-31-00	247705			0.00						AMORTIZATION
	TOTAL -		1,000,000.000		1,070,300.00	0.00	0.00	0.00	1,000,000	1,070,300.00	
SAW1	GA.70108	GA Muni Elec Pwr 7% 01/01/08									
PUR	04-07-00	218325	600,000.000	111.988	671,928.00				600,000	671,928.00	GA Munic Elec Pwr 7% 01/01/08
IAM	04-30-00	219188			0.00						AMORTIZATION
IAM	05-31-00	222534			0.00						AMORTIZATION
IAM	06-30-00	225685			0.00						AMORTIZATION
IAM	07-31-00	229541			0.00						AMORTIZATION
IAM	08-31-00	233412			0.00						AMORTIZATION
IAM	09-30-00	236356			0.00						AMORTIZATION
IAM	10-31-00	239427			0.00						AMORTIZATION
IAM	11-30-00	242425			0.00						AMORTIZATION
IAM	12-31-00	247794			0.00						AMORTIZATION
	TOTAL -		600,000.000		671,928.00	0.00	0.00	0.00	600,000	671,928.00	
MG1	GA.7C0103	Fulton Cty GA Sch Dist 7 3/8%									
IAM	01-31-00	210949			0.00						AMORTIZATION
IAM	02-29-00	213040			0.00						AMORTIZATION
IAM	03-31-00	216573			0.00						AMORTIZATION

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	04-30-00	219110			0.00						AMORTIZATION
IAM	05-31-00	222454			0.00						AMORTIZATION
IAM	06-30-00	225603			0.00						AMORTIZATION
IAM	07-31-00	229459			0.00						AMORTIZATION
IAM	08-31-00	233329			0.00						AMORTIZATION
IAM	09-30-00	237376			0.00						AMORTIZATION
IAM	10-31-00	239341			0.00						AMORTIZATION
IAM	11-30-00	242338			0.00						AMORTIZATION
IAM	12-31-00	247706			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
MG1	HI.580609	HI Genl Purp 5.5% 06/01/09									
IAM	01-31-00	210950			0.00						AMORTIZATION
IAM	02-29-00	213041			0.00						AMORTIZATION
IAM	03-31-00	216574			0.00						AMORTIZATION
IAM	04-30-00	219111			0.00						AMORTIZATION
IAM	05-31-00	222455			0.00						AMORTIZATION
IAM	06-30-00	225604			0.00						AMORTIZATION
IAM	07-31-00	229460			0.00						AMORTIZATION
IAM	08-31-00	233330			0.00						AMORTIZATION
IAM	09-30-00	237377			0.00						AMORTIZATION
IAM	10-31-00	239342			0.00						AMORTIZATION
IAM	11-30-00	242339			0.00						AMORTIZATION
SAL	12-27-00	244740	-500,000.000	103.888		519,440.00	-534,935.00	-15,495.00			Hawaii Genl Purp 5.5% 06/1/09
IAM	12-31-00	247707			0.00						AMORTIZATION
	TOTAL -		-500,000.000		0.00	519,440.00	-534,935.00	-15,495.00	0	0.00	
SAW1	HI.60403	Hawaii Gen Purp Tx 6% 04/01/03									
PUR	03-02-00	216309	2,000,000.000	102.990	2,059,800.00				1,500,000	1,544,850.00	State of Hawaii Tax 6% 04/03
IAM	03-31-00	216649			0.00						AMORTIZATION
IAM	04-30-00	219189			0.00						AMORTIZATION
IAM	05-31-00	222535			0.00						AMORTIZATION
IAM	06-30-00	225686			0.00						AMORTIZATION
IAM	07-31-00	229542			0.00						AMORTIZATION
IAM	08-31-00	233413			0.00						AMORTIZATION

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	09-30-00	236357			0.00						AMORTIZATION
IAM	10-31-00	239428			0.00						AMORTIZATION
IAM	11-30-00	242426			0.00						AMORTIZATION
SAL	12-28-00	245442	-500,000.000	103.603		518,015.00	-514,950.00	3,065 00			State of Hawaii 6% 4/01/03
IAM	12-31-00	247795			0.00						AMORTIZATION
	TOTAL -		1,500,000.000		2,059,800.00	518,015.00	-514,950.00	3,065.00	1,500,000	1,544,850 00	
MG1	HI.60904	Hawaii Genl Purp 6% 9/1/04									
IAM	01-31-00	210951			0.00						AMORTIZATION
IAM	02-29-00	213042			0.00						AMORTIZATION
IAM	03-31-00	216575			0.00						AMORTIZATION
IAM	04-30-00	219112			0.00						AMORTIZATION
IAM	05-31-00	222456			0 00						AMORTIZATION
SAL	06-22-00	224798	-2,000,000.000	104.179		2,083,580 00	-2,145,780.00	-62,200.00			Hawaii Gen Purp 6% 09/1/04
	TOTAL -		-2,000,000.000		0.00	2,083,580.00	-2,145,780.00	-62,200.00	0	0 00	
MG1	HI.61109	NI Sch Imp 6% 11/01/09									
IAM	01-31-00	210952			0.00						AMORTIZATION
IAM	02-29-00	213043			0.00						AMORTIZATION
IAM	03-31-00	216576			0.00						AMORTIZATION
IAM	04-30-00	219113			0.00						AMORTIZATION
IAM	05-31-00	222457			0.00						AMORTIZATION
IAM	06-30-00	225605			0.00						AMORTIZATION
IAM	07-31-00	229461			0.00						AMORTIZATION
IAM	08-31-00	233331			0.00						AMORTIZATION
IAM	09-30-00	237378			0.00						AMORTIZATION
IAM	10-31-00	239343			0 00						AMORTIZATION
IAM	11-30-00	242340			0.00						AMORTIZATION
IAM	12-31-00	247708			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0 00	0 00	0.00	0	0 00	
SAW1	IL.51110	Cty of Berwyn 5% 11/15/10									
CNVTO	11-17-00	242327.1	400,000.000	100.000	400,000.00				400,000	400,000 00	Cty of Berwyn Name Change
CNVTO	11-17-00	242327.2	50,000.000	88.150	44,075.00				50,000	44,075 00	Cty of Berwyn Name Change

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		450,000.000		444,075.00	0.00	0.00	0.00	450,000	444,075.00	
OFFIT1	IL.5A0607	IL Regnl Trans 5.125% 6/1/07									
IAM	01-31-00	210990			0.00						AMORTIZATION
IAM	02-29-00	213061			0.00						AMORTIZATION
IAM	03-31-00	216616			0.00						AMORTIZATION
IAM	04-30-00	219156			0.00						AMORTIZATION
IAM	05-31-00	222502			0.00						AMORTIZATION
IAM	06-30-00	225652			0.00						AMORTIZATION
IAM	07-31-00	229508			0.00						AMORTIZATION
IAM	08-31-00	233379			0.00						AMORTIZATION
IAM	09-30-00	236321			0.00						AMORTIZATION
IAM	10-31-00	239392			0.00						AMORTIZATION
IAM	11-30-00	242389			0.00						AMORTIZATION
IAM	12-31-00	247758			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
SAW1	IL.5B0702	IL Genl Purp 5.25% 07/01/02									
IAM	01-31-00	211020			0.00						AMORTIZATION
IAM	02-29-00	213111			0.00						AMORTIZATION
IAM	03-31-00	216650			0.00						AMORTIZATION
IAM	04-30-00	219190			0.00						AMORTIZATION
IAM	05-31-00	222536			0.00						AMORTIZATION
IAM	06-30-00	225687			0.00						AMORTIZATION
IAM	07-31-00	229543			0.00						AMORTIZATION
IAM	08-31-00	233414			0.00						AMORTIZATION
IAM	09-30-00	236356			0.00						AMORTIZATION
IAM	10-31-00	239429			0.00						AMORTIZATION
SAL	11-02-00	240966	-1,200,000.000	101.205		1,214,460.00	-1,241,796.00	-27,336.00			CITY OF ILLINOIS GENL PURP UNLIMITED TAX 5 1/4% JUL 1 2002 DTD 7/1/95 FGIC INSUR
	TOTAL -		-1,200,000.000		0.00	1,214,460.00	-1,241,796.00	-27,336.00	0	0.00	
SAW1	IL.5C1004	IL Hghld Pk Hosp 5.45% 10/1/04									
IAM	01-31-00	211021			0.00						AMORTIZATION
IAM	02-29-00	213112			0.00						AMORTIZATION
IAM	03-31-00	216651			0.00						AMORTIZATION

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	04-30-00	219191			0.00						AMORTIZATION
IAM	05-31-00	222537			0.00						AMORTIZATION
IAM	06-30-00	225688			0.00						AMORTIZATION
IAM	07-31-00	229544			0.00						AMORTIZATION
IAM	08-31-00	233415			0.00						AMORTIZATION
IAM	09-30-00	236359			0.00						AMORTIZATION
IAM	10-31-00	239430			0.00						AMORTIZATION
IAM	11-30-00	242427			0.00						AMORTIZATION
IAM	12-31-00	247796			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0 00	0 00	0	0 00	
SAW1	IL.5C1110	Cty of BERWYN 5.375% 11/15/10									
PUR	07-10-00	226972	50,000.000	88.150	44,075.00				0	0.00	Berwyn IL 5 3/8% 11/15/10
CNVFR	11-17-00	242327	-450,000.000	96.107		444,075.00	-444,075 00	0.00			Cty of Berwyn Name Change
	TOTAL -		-400,000.000		44,075.00	444,075.00	-444,075.00	0 00	0	0 00	
MG1	IL.5D0206	Chcgo IL Pbl Bld 5.5% 2/1/06									
IAM	01-31-00	210953			0.00						AMORTIZATION
IAM	02-29-00	213044			0.00						AMORTIZATION
IAM	03-31-00	216577			0.00						AMORTIZATION
IAM	04-30-00	219114			0.00						AMORTIZATION
IAM	05-31-00	222458			0.00						AMORTIZATION
SAL	06-09-00	223948	-1,000,000.000	102.125		1,021,250.00	1,031,170.00	-9,920 00			Chicago Pub Bldg 5.5% 02/01/06
	TOTAL -		-1,000,000.000		0.00	1,021,250.00	-1,031,170.00	-9,920.00	0	0.00	
OFFIT1	IL.5F0108	Chicago IL 5.8% 01/01/08									
IAM	01-31-00	210991			0.00						AMORTIZATION
IAM	02-29-00	213082			0.00						AMORTIZATION
IAM	03-31-00	216619			0.00						AMORTIZATION
IAM	04-30-00	219157			0.00						AMORTIZATION
IAM	05-31-00	222503			0.00						AMORTIZATION
IAM	06-30-00	225653			0.00						AMORTIZATION
IAM	07-31-00	229509			0 00						AMORTIZATION
IAM	08-31-00	233380			0 00						AMORTIZATION

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	09-30-00	236322			0.00						AMORTIZATION
IAM	10-31-00	239393			0.00						AMORTIZATION
IAM	11-30-00	242390			0.00						AMORTIZATION
IAM	12-31-00	247759			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
MG1	IL.5F1107	Chicago Wtr Lien 5.75% 11/1/07									
PUR	10-12-00	237291	1,000,000.000	105.615	1,056,150.00				1,000,000	1,056,150.00	Chicago Wtr Lien 5.75% 11/1/07
IAM	10-31-00	239344			0.00						AMORTIZATION
IAM	11-30-00	242341			0.00						AMORTIZATION
IAM	12-31-00	247709			0.00						AMORTIZATION
	TOTAL -		1,000,000.000		1,056,150.00	0.00	0.00	0.00	1,000,000	1,056,150.00	
MG1	IL.60106	IL O'Hare Arpt 6% 01/01/06									
IAM	01-31-00	210954			0.00						AMORTIZATION
IAM	01-31-00	210955			0.00						AMORTIZATION
IAM	02-29-00	213045			0.00						AMORTIZATION
IAM	02-29-00	213046			0.00						AMORTIZATION
IAM	03-31-00	216578			0.00						AMORTIZATION
IAM	03-31-00	216579			0.00						AMORTIZATION
IAM	04-30-00	219115			0.00						AMORTIZATION
IAM	04-30-00	219116			0.00						AMORTIZATION
IAM	05-31-00	222459			0.00						AMORTIZATION
IAM	05-31-00	222460			0.00						AMORTIZATION
IAM	06-30-00	225606			0.00						AMORTIZATION
IAM	06-30-00	225607			0.00						AMORTIZATION
IAM	07-31-00	229462			0.00						AMORTIZATION
IAM	07-31-00	229463			0.00						AMORTIZATION
IAM	08-31-00	233332			0.00						AMORTIZATION
IAM	08-31-00	233333			0.00						AMORTIZATION
IAM	09-30-00	237379			0.00						AMORTIZATION
IAM	09-30-00	237380			0.00						AMORTIZATION
IAM	10-31-00	239345			0.00						AMORTIZATION
IAM	10-31-00	239346			0.00						AMORTIZATION
IAM	11-30-00	242342			0.00						AMORTIZATION

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	11-30-00	242343			0.00						AMORTIZATION
IAM	12-31-00	247710			0.00						AMORTIZATION
IAM	12-31-00	247711			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
SAW1	IL.60609	Illinois sales tax 6% 06/15/09									
PUR	09-05-00	234154	500,000.000	108.126	540,630.00				500,000	540,630.00	Illinois sales tax 6% 06/15/09
IAM	09-30-00	236360			0.00						AMORTIZATION
IAM	10-31-00	239431			0.00						AMORTIZATION
IAM	11-30-00	242428			0.00						AMORTIZATION
IAM	12-31-00	247797			0.00						AMORTIZATION
	TOTAL -		500,000.000		540,630.00	0.00	0.00	0.00	500,000	540,630.00	
SAW1	IL.6B0511	IL Hlth Fac Glbrg 6.25% 5/1/11									
IAM	01-31-00	211022			0.00						AMORTIZATION
IAM	02-29-00	213113			0.00						AMORTIZATION
IAM	03-31-00	216652			0.00						AMORTIZATION
IAM	04-30-00	219192			0.00						AMORTIZATION
IAM	05-31-00	222538			0.00						AMORTIZATION
IAM	06-30-00	225689			0.00						AMORTIZATION
IAM	07-31-00	229545			0.00						AMORTIZATION
IAM	08-31-00	233416			0.00						AMORTIZATION
IAM	09-30-00	236361			0.00						AMORTIZATION
IAM	10-31-00	239432			0.00						AMORTIZATION
IAM	11-30-00	242429			0.00						AMORTIZATION
IAM	12-31-00	247798			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
MG1	IL.6B0601	Metro Pier & Expo 6.25% 6/1/01									
IAM	01-31-00	210956			0.00						AMORTIZATION
IAM	01-31-00	210957			0.00						AMORTIZATION
IAM	02-29-00	213047			0.00						AMORTIZATION
IAM	02-29-00	213048			0.00						AMORTIZATION
SAL	03-22-00	213935	-1,250,000.000	101.786		1,272,325.00	-1,287,627.50	-15,302.50			Met. Pier & Exposition Auth

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		-1,250,000.000		0.00	1,272,325.00	-1,287,627.50	-15,302.50	0	0.00	
MG1	IL.6C0612	Regional Transport 6.40% 06/12									
PUR	03-14-00	213977	1,000,000.000	108.471	1,084,710.00				0	0.00	Reg Trans Ind 6.4% 06/12
IAM	03-31-00	216580			0.00						AMORTIZATION
SAL	04-27-00	218653	-1,000,000.000	108.471		1,084,710.00	-1,084,710.00	0.00			Regional Trans IL 6.4% 06/12
	TOTAL -		0.000		1,084,710.00	1,084,710.00	-1,084,710.00	0.00	0	0.00	
MG1	IL.6D0108	Chicago IL Waatewtr 6.5% 1/08									
IAM	01-31-00	210958			0.00						AMORTIZATION
IAM	02-29-00	213049			0.00						AMORTIZATION
IAM	03-31-00	216581			0.00						AMORTIZATION
IAM	04-30-00	219117			0.00						AMORTIZATION
IAM	05-31-00	222461			0.00						AMORTIZATION
IAM	06-30-00	225608			0.00						AMORTIZATION
IAM	07-31-00	229464			0.00						AMORTIZATION
IAM	08-31-00	233334			0.00						AMORTIZATION
IAM	09-30-00	237381			0.00						AMORTIZATION
IAM	10-31-00	239347			0.00						AMORTIZATION
IAM	11-30-00	242344			0.00						AMORTIZATION
IAM	12-31-00	247712			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
SAW1	IL.6E0701	IL Hsg Dev 6.65% 07/01/01									
IAM	01-31-00	211023			0.00						AMORTIZATION
IAM	02-29-00	213114			0.00						AMORTIZATION
IAM	03-31-00	216653			0.00						AMORTIZATION
IAM	04-30-00	219193			0.00						AMORTIZATION
IAM	05-31-00	222539			0.00						AMORTIZATION
IAM	06-30-00	225690			0.00						AMORTIZATION
IAM	07-31-00	229546			0.00						AMORTIZATION
IAM	08-31-00	233417			0.00						AMORTIZATION
IAM	09-30-00	236362			0.00						AMORTIZATION
IAM	10-31-00	239433			0.00						AMORTIZATION
IAM	11-30-00	242430			0.00						AMORTIZATION

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	12-31-00	247799			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
MG1	IL.7F0608	Reg Trans Auth,IL 7.75% 6/1/08									
IAM	01-31-00	210959			0.00						AMORTIZATION
IAM	02-29-00	213050			0.00						AMORTIZATION
IAM	03-31-00	216582			0.00						AMORTIZATION
IAM	04-30-00	219118			0.00						AMORTIZATION
IAM	05-31-00	222462			0.00						AMORTIZATION
IAM	06-30-00	225609			0.00						AMORTIZATION
IAM	07-31-00	229465			0.00						AMORTIZATION
IAM	08-31-00	233335			0.00						AMORTIZATION
IAM	09-30-00	237382			0.00						AMORTIZATION
IAM	10-31-00	239348			0.00						AMORTIZATION
IAM	11-30-00	242345			0.00						AMORTIZATION
IAM	12-31-00	247713			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
SAW1	IL.7G1204	Kne Cty Sc Dst 7.875% 12/30/04									
PUR	04-06-00	218308	50,000.000	111.017	55,508.50				50,000	55,508 50	KANE COUNTY SCHOOL DISTRICT NO101 ILLINOIS BATAVIA SCH BLDG UNLIMITED TAX 7 7/8%
IAM	04-30-00	219194			0.00						AMORTIZATION
IAM	05-31-00	222540			0.00						AMORTIZATION
IAM	06-30-00	225691			0.00						AMORTIZATION
IAM	07-31-00	229547			0.00						AMORTIZATION
IAM	08-31-00	233418			0.00						AMORTIZATION
IAM	09-30-00	236363			0.00						AMORTIZATION
IAM	10-31-00	239434			0.00						AMORTIZATION
IAM	11-30-00	242431			0.00						AMORTIZATION
IAM	12-31-00	247800			0.00						AMORTIZATION
	TOTAL -		50,000.000		55,508.50	0.00	0.00	0.00	50,000	55,508 50	
MG1	IL.SCHDMD	State of Illinois DEMAND NOTE									
SAL	01-19-00	207962	-450,000.000	100.000		450,000.00	-450,000.00	0.00			State of Illinois Scholar Dem
PUR	03-14-00	213898	6,000.000	100.000	6,000.00				0	0.00	State of Illinois Scholarship

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	05-03-00	220811	680,000.000	100.000	680,000.00				0	0.00	State of IL State Scholarship
SAL	05-18-00	220820	-686,000.000	100.000		686,000.00	-686,000.00	0.00			State of Illinois State Schlsp
PUR	11-01-00	240905	300,000.000	100.000	300,000.00				92,000	92,000.00	STATE OF ILLINOIS STATE SCHOLARSHIP COMMISSION UNDIVIDED INTEREST IN DEMAND NOTE
SAL	12-13-00	243158	-208,000.000	100.000		208,000.00	-208,000.00	0.00			STATE OF ILLINOIS STATE SCHOLARSHIP COMMISSION UNDIVIDED INTEREST IN DEMAND NOTE
	TOTAL -		-358,000.000		986,000.00	1,344,000.00	-1,344,000.00	0.00	92,000	92,000.00	
MG1	IN.6G0711	Lawrence Township 6 7/8% 07/11									
PUR	03-03-00	213976	2,000,000.000	110.221	2,204,420.00				2,000,000	2,204,420.00	Lawrence Met School 6 7/8%
IAM	03-31-00	216583			0.00						ORTIZATION
IAM	04-30-00	219119			0.00						TION
IAM	05-31-00	222463			0.00						TION
IAM	06-30-00	225610			0.00						TION
IAM	07-31-00	229466			0.00						TION
IAM	08-31-00	233336			0.00						ORTIZATION
IAM	09-30-00	237383			0.00						AMORTIZATION
IAM	10-31-00	239349			0.00						AMORTIZATION
IAM	11-30-00	242346			0.00						AMORTIZATION
IAM	12-31-00	247714			0.00						AMORTIZATION
	TOTAL -		2,000,000.000		2,204,420.00	0.00	0.00	0.00	2,000,000	2,204,420.00	
MG1	JPMFEDTE	JP Morgan Instl. Fed. Tx Ex Fd									
PUR	12-11-00	243185	0.560	1.000	0.56				0	0.00	Instit. TE MM Sweep Fund
PUR	12-12-00	243186	1,002,406.120	1.000	1,002,406.12				0	0.00	Instit. TE MM Sweep Fund
PUR	12-13-00	243187	61.680	1.000	61.68				62	61.68	Instit. TE MM Sweep Fund
SAL	12-13-00	243190	-1,002,406.680	1.000		1,002,406.68	-1,002,406.68	0.00			Instit. TE MM Sweep Fund
PUR	12-29-00	244739	408,988.570	1.000	408,988.57				408,989	408,988.57	JPM Instit Fed. MM Sweep Fund
	TOTAL -		409,050.250		1,411,456.93	1,002,406.68	-1,002,406.68	0.00	409,050	409,050.25	
MG1	JPMGNTXE	J P Morgan Inst Tx Ex Fund									
PUR	01-03-00	207956	25,129.000	1.000	25,129.00				0	0.00	JPM Inst. Money Market Fund
SAL	01-18-00	207961	-75,000.000	1.000		75,000.00	-75,000.00	0.00			JPM Inst Money Market Fund
SAL	01-19-00	207967	-290,955.000	1.000		290,955.00	-290,955.00	0.00			JPM Inst Prime Money Market fd
SAL	02-01-00	211614	-222.000	1.000		222.00	-222.00	0.00			JPM Institut. TE MM Fund
PUR	02-03-00	211608	366,804.000	1.000	366,804.00				0	0.00	JPM Institutional TE MM Fund

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	02-11-00	211616	-25,990.000	1.000		25,990.00	-25,990.00	0.00			JPM Institut. TE MM Fund
PUR	02-22-00	211611	1,005,630.000	1.000	1,005,630.00				0	0.00	JPM Institut. TE MM Fund
PUR	03-02-00	213921	9,055,266.000	1.000	9,055,266.00				0	0.00	JPM Institutional TE MM Fund
SAL	03-07-00	213924	-1,535,116.000	1.000		1,535,116.00	-1,535,116.00	0.00			JPM Institutional TE MM Fund
SAL	03-08-00	213927	-4,373,700.000	1.000		4,373,700.00	-4,373,700.00	0.00			JPM Institutional TE MM Fund
SAL	03-10-00	213928	-680.000	1.000		680.00	-680.00	0.00			JPM Institutional TE MM Fund
SAL	03-14-00	213930	-1,963,000.000	1.000		1,963,000.00	-1,963,000.00	0.00			JPM Institutional TE MM Fund
SAL	03-17-00	213932	-1,084,710.000	1.000		1,084,710.00	-1,084,710.00	0.00			JPM Institutional TE MM Fund
SAL	03-20-00	213933	-1,424,653.000	1.000		1,424,653.00	-1,424,653.00	0.00			JPM Institutional TE MM Fund
PUR	03-22-00	213914	3,576.000	1.000	3,576.00				0	0.00	JPM Institut TE MM Fund
PUR	03-24-00	213917	404.000	1.000	404.00				0	0.00	JPM Institut TE MM Fund
PUR	03-30-00	213919	1,483,481.000	1.000	1,483,481.00				0	0.00	JPM Institut TE MM Fund
PUR	03-31-00	216248	54,000.000	1.000	54,000.00				0	0.00	To correct duplicate sale
SAL	04-03-00	216131	-1,045,990.000	1.000		1,045,990.00	-1,045,990.00	0.00			J P MORGAN INSTITUTIONAL TAX EXEMPT MONEY MARKET FUND MORGAN GUARANTY TRUST COMI
PUR	04-04-00	216146	387,050.000	1.000	387,050.00				0	0.00	J P MORGAN INSTITUTIONAL TAX EXEMPT MONEY MARKET FUND MORGAN GUARANTY TRUST COMI
SAL	04-07-00	216147	-900,000.000	1.000		900,000.00	-900,000.00	0.00			J P MORGAN INSTITUTIONAL TAX EXEMPT MONEY MARKET FUND MORGAN GUARANTY TRUST COMI
SAL	04-28-00	218153	-960.200	1.000		960.20	-960 20	0.00			J P MORGAN INSTITUTIONAL TAX EXEMPT MONEY MARKET FUND MORGAN GUARANTY TRUST COMI
PUR	05-02-00	220807	432,682.000	1.000	432,682.00				0	0.00	JPM Institutional TE MM Fund
SAL	05-03-00	220816	-427,616.000	1.000		427,616.00	-427,616.00	0.00			JPM Institutional TE MM Fund
SAL	05-16-00	220818	-5,636.000	1.000		5,636.00	-5,636.00	0.00			JPM Institutional TE MM Fund
PUR	05-19-00	220809	1,502.000	1.000	1,502.00				0	0.00	JPM Institutional TE MM Fund
PUR	05-25-00	221568	1,382,304.000	1.000	1,382,304.00				0	0.00	JPM Institutional TE MM Fund
PUR	06-06-00	223923	2,700,883.340	1.000	2,700,883.34				0	0.00	JPM Institutional TE MM Fund
SAL	06-08-00	223943	-2,000,000.000	1.000		2,000,000.00	-2,000,000.00	0.00			JPM Institutional TE MM Fund
SAL	06-14-00	223944	-2,085,410.000	1.000		2,085,410.00	-2,085,410.00	0.00			JPM Institutional TE MM Fund
PUR	06-15-00	223941	10,000.000	1.000	10,000.00				0	0.00	JPM Institutional TE MM Fund
SAL	06-19-00	223945	-10,000.000	1.000		10,000.00	-10,000.00	0.00			JPM Institutional TE MM Fund
PUR	06-27-00	224800	2,083,580.000	1.000	2,083,580.00				0	0.00	JPM Insitutional TE MM Fund
SAL	06-29-00	224802	-2,044,180.000	1.000		2,044,180.00	-2,044,180.00	0.00			JPM Institutional TE MM Fund
PUR	07-03-00	226897	120,735.000	1.000	120,735.00				0	0.00	J P MORGAN INSTITUTIONAL TAX EXEMPT MONEY MARKET FUND (FUND 361) MORGAN GUARANT
SAL	07-25-00	227596	-14,455.710	1.000		14,455.71	-14,455 71	0.00			JPM Instit TE Money Market Fd
SAL	07-26-00	227597	-87,535.330	1.000		87,535.33	-87,535.33	0.00			JPM Instit TE Money Market Fd
SAL	07-31-00	227924	-57,960.000	1.000		57,960.00	-57,960.00	0.00			JPM Instit. TE Money Market Fd
PUR	08-08-00	230890	383.000	1.000	383.00				0	0.00	J P MORGAN INSTITUTIONAL TAX EXEMPT MONEY MARKET FUND (FUND 361) MORGAN GUARANT
PUR	09-01-00	234111	1,122,201 670	1.000	1,122,201.67				0	0.00	J P MORGAN INSTITUTIONAL PRIME MONEYMARKET FUND (FUND 390) MORGAN GUARANTY TRUST
PUR	09-01-00	234114	65,000.000	1.000	65,000.00				0	0.00	J P MORGAN INSTITUTIONAL TAX EXEMPT MONEY MARKET FUND (FUND 361) MORGAN GUARANT
PUR	09-01-00	234132	36,265.710	1.000	36,265 71				0	0.00	JPM Instit TE MM SWeep Fund

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	09-22-00	234927	-1,000,000.000	1.000		1,000,000.00	-1,000,000.00	0.00			JPM Instit TE MM Fund
PUR	09-28-00	235473	382,266.000	1.000	382,266.00				0	0.00	JPM Institutional TE MM Fund
SAL	09-29-00	235485	-376,316.100	1.000		376,316.10	-376,316.10	0.00			JPM Institutional TE MM Fund
PUR	10-02-00	238256	0.620	1.000	0.62				0	0.00	To correct JPM TE Fd of 9/30
SAL	10-02-00	237247	-38,914.000	1.000		38,914.00	-38,914.00	0.00			J P MORGAN INSTITUTIONAL TAX EXEMPT MONEY MARKET FUND (FUND 381) MORGAN GUARANTY
PUR	10-18-00	237261	105,148.000	1.000	105,148.00				0	0.00	J P MORGAN INSTITUTIONAL TAX EXEMPT MONEY MARKET FUND (FUND 381) MORGAN GUARANTY
SAL	10-24-00	238243	-10,285.920	1.000		10,285.92	-10,285.92	0.00			JPM Institutional TE MM Fund
SAL	10-27-00	238285	-306,076.080	1.000		306,076.08	-306,076.08	0.00			JPM Institutional TE MM Fund
PUR	10-31-00	238489	340,444.000	1.000	340,444.00				0	0.00	JPM Institutional TE MM Fund
SAL	11-01-00	240906	-300,000.000	1.000		300,000.00	-300,000.00	0.00			J P MORGAN INSTITUTIONAL TAX EXEMPT MONEY MARKET FUND (FUND 381) MORGAN GUARANTY
PUR	11-06-00	240910	549,840.000	1.000	549,840.00				0	0.00	J P MORGAN INSTITUTIONAL TAX EXEMPT MONEY MARKET FUND (FUND 381) MORGAN GUARANTY
SAL	11-08-00	240912	-500,000.000	1.000		500,000.00	-500,000.00	0.00			J P MORGAN INSTITUTIONAL TAX EXEMPT MONEY MARKET FUND (FUND 381) MORGAN GUARANTY
PUR	11-09-00	240914	295.000	1.000	295.00				0	0.00	J P MORGAN INSTITUTIONAL TAX EXEMPT MONEY MARKET FUND (FUND 381) MORGAN GUARANTY
SAL	11-29-00	242296	-19,079.880	1.000		19,079.88	-19,079.88	0.00			JPM Institutional TE MM Funds
PUR	12-01-00	243147	80,000.000	1.000	80,000.00				0	0.00	J P MORGAN INSTITUTIONAL TAX EXEMPT MONEY MARKET FUND (FUND 381) MORGAN GUARANTY
SAL	12-04-00	243152	-151,000.000	1.000		151,000.00	-151,000.00	0.00			J P MORGAN INSTITUTIONAL TAX EXEMPT MONEY MARKET FUND (FUND 381) MORGAN GUARANTY
PUR	12-06-00	243155	367.000	1.000	367.00				0	0.00	J P MORGAN INSTITUTIONAL TAX EXEMPT MONEY MARKET FUND (FUND 381) MORGAN GUARANTY
PUR	12-08-00	243156	1,001,540.000	1.000	1,001,540.00				0	0.00	J P MORGAN INSTITUTIONAL TAX EXEMPT MONEY MARKET FUND (FUND 381) MORGAN GUARANTY
SAL	12-12-00	243157	-1,002,406.120	1.000		1,002,406.12	-1,002,406.12	0.00			J P MORGAN INSTITUTIONAL TAX EXEMPT MONEY MARKET FUND (FUND 381) MORGAN GUARANTY
	TOTAL -		-341,048.000		22,816,799.34	23,157,847.34	-23,157,847.34	0.00	0	0.00	
OFFIT1 JPMSWEEP	J P Morgan Money Market Sweep										
SAL	01-13-00	207997	-27,313.610	1.000		27,313.61	-27,313.61	0.00			Prime Money Market Sweep Fund
SAL	01-19-00	207998	-1,536.670	1.000		1,536.67	-1,536.67	0.00			Prime Money Market Sweep Fund
SAL	02-29-00	211643	-217,000.000	1.000		217,000.00	-217,000.00	0.00			PRIME MONEY MARKET SWEEP FUND
PUR	03-01-00	214009	4,555,267.000	1.000	4,555,267.00				0	0.00	Prime Money Market Sweep Fund
PUR	03-02-00	214010	4,500,000.000	1.000	4,500,000.00				0	0.00	Prime Money Market Sweep Fund
SAL	03-06-00	214013	-50,000.000	1.000		50,000.00	-50,000.00	0.00			Prime Money Market Sweep Fund
SAL	03-07-00	214012	-15,000.000	1.000		15,000.00	-15,000.00	0.00			Prime Money Market Sweep Fund
SAL	03-15-00	214014	-1,566,166.500	1.000		1,566,166.50	-1,566,166.50	0.00			Prime Money Market Sweep Fund
PUR	03-31-00	216262	25,000.000	1.000	25,000.00				0	0.00	To correct capital withdrawal
PUR	04-27-00	218203	387,050.000	1.000	387,050.00				0	0.00	PRIME MONEY MARKET SWEEP FUND
SAL	04-27-00	218204	-1,010,560.000	1.000		1,010,560.00	-1,010,560.00	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	04-27-00	218205	-2,579,383.720	1.000		2,579,383.72	-2,579,383.72	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	04-27-00	218206	-960.200	1.000		960.20	-960.20	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	05-01-00	220838	-31,132.020	1.000		31,132.02	-31,132.02	0.00			Prime Money Market Sweep Fund

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	05-02-00	220835	2,050.000	1.000	2,050.00				0	0.00	Prime Money Market Sweep Fund
SAL	05-15-00	220839	-2,079,959.250	1.000		2,079,959.25	-2,079,959.25	0.00			Prime Money Market Sweep Fund
PUR	05-16-00	220836	1,501.650	1.000	1,501.65				0	0.00	Prime Money Market Sweep Fund
PUR	06-01-00	223967	10,000.000	1.000	10,000.00				0	0.00	Prime Money Market Sweep Fund
PUR	06-06-00	223968	2,700,883.330	1.000	2,700,883.33				0	0.00	Prime Money Market Sweep Fund
SAL	06-06-00	223977	-1,036,980.000	1.000		1,036,980.00	-1,036,980.00	0.00			Prime Money Market Sweep Fund
SAL	06-14-00	223978	-1,095,332.000	1.000		1,095,332.00	-1,095,332.00	0.00			Prime Money Market Sweep Fund
SAL	06-28-00	224803	-26,564.200	1.000		26,564.20	-26,564.20	0.00			Prime Money Market Sweep Fund
SAL	07-05-00	226933	-57,535.340	1.000		57,535.34	-57,535.34	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	07-05-00	226934	-1,765.050	1.000		1,765.05	-1,765.05	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	07-25-00	227598	-14,455.710	1.000		14,455.71	-14,455.71	0.00			Prime Money Market Sweep Fund
SAL	08-15-00	230916	-150,654.000	1.000		150,654.00	-150,654.00	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	08-15-00	230917	-2,616.670	1.000		2,616.67	-2,616.67	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	08-15-00	230918	-32,644.150	1.000		32,644.15	-32,644.15	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	09-01-00	234143	1,122,201.660	1.000	1,122,201.66				0	0.00	Prime Money Market Sweep Fund
PUR	09-21-00	234937	2,646,500.000	1.000	2,646,500.00				0	0.00	Prime Money Market Sweep Fund
PUR	09-26-00	235442	1,055,390.000	1.000	1,055,390.00				0	0.00	Prime Money Market Sweep Fund
PUR	10-17-00	237299	2,002,540.000	1.000	2,002,540.00				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	10-17-00	237300	-532,150.000	1.000		532,150.00	-532,150.00	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	10-17-00	237301	-818,460.000	1.000		818,460.00	-818,460.00	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	10-17-00	237302	-3,143,910.000	1.000		3,143,910.00	-3,143,910.00	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	10-17-00	237303	-2,002,540.000	1.000		2,002,540.00	-2,002,540.00	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	10-17-00	237304	-2,002,540.000	1.000		2,002,540.00	-2,002,540.00	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	10-19-00	238280	-1,831.650	1.000		1,831.65	-1,831.65	0.00			Prime Money Market Sweep Fund
SAL	10-23-00	238281	-10,285.920	1.000		10,285.92	-10,285.92	0.00			Prime Money Market Sweep Fund
PUR	11-27-00	240945	1,019,967.800	1.000	1,019,967.80				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	11-27-00	240946	764,797.500	1.000	764,797.50				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	11-27-00	240947	2,082,490.000	1.000	2,082,490.00				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	11-27-00	240948	-509,983.900	1.000		509,983.90	-509,983.90	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	11-27-00	240949	-33,265.350	1.000		33,265.35	-33,265.35	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	11-27-00	240950	-1,755,400.000	1.000		1,755,400.00	-1,755,400.00	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	11-28-00	241283	-2,155,199.090	1.000		2,155,199.09	2,155,199.09	0.00			Prime Money Market Sweep Fund
PUR	12-19-00	243214	201,240.000	1.000	201,240.00				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	12-19-00	243215	-336,633.340	1.000		336,633.34	-336,633.34	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	12-28-00	244742	-24,982.320	1.000		24,982.32	-24,982.32	0.00			Prime Money Market Sweep Fund
PUR	12-29-00	244741	443,205.890	1.000	443,205.89				443,206	443,205.89	Prime Money Market Sweep Fund

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		197,344 170		23,522,084.83	23,324,740.66	-23,324,740.66	0.00	443,206	443,205.89	
SAW1	JPMSWEEP	J P Morgan Money Market Sweep									
SAL	01-12-00	207909	-200,000.000	1.000		200,000.00	-200,000.00	0.00			Prime Money Market Sweep Fund
PUR	01-13-00	207902	276,443.750	1.000	276,443.75				0	0.00	Prime Money Market Sweep Fund
SAL	01-19-00	207910	-1,553.560	1.000		1,553.56	-1,553.56	0.00			Prime Money Market Sweep Fund
SAL	01-21-00	207912	-323,082.000	1.000		323,082.00	-323,082.00	0.00			Prime Money Market Sweep Fund
PUR	01-31-00	207905	38,076.050	1.000	38,076.05				0	0.00	Prime Money Market Sweep Fund
PUR	02-03-00	211681	83,626.300	1.000	83,626.30				0	0.00	Prime Money Market Sweep Fund
PUR	02-29-00	211670	105,000.000	1.000	105,000.00				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	02-29-00	211671	10,000.000	1.000	10,000.00				0	0.00	PRIME MONEY MARKET SWEEP FUND
SAL	02-29-00	211672	-150,000.000	1.000		150,000.00	-150,000.00	0.00			PRIME MONEY MARKET SWEEP FUND
PUR	03-20-00	214554	4,555,267.000	1.000	4,555,267.00				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	03-20-00	214555	4,500,000.000	1.000	4,500,000.00				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	03-20-00	216319	860,969.680	1.000	860,969.68				0	0.00	Prime Money Market Sweep Fund
PUR	03-20-00	216321	92,000.000	1.000	92,000.00				0	0.00	To correct 2/29 capital w/drwl
SAL	03-20-00	216323	-10,151,023.980	1.000		10,151,023.98	-10,151,023.98	0.00			Prime Money Market Sweep Fund
PUR	04-27-00	218313	587,050.000	1.000	587,050.00				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	04-27-00	218314	190,678.470	1.000	190,678.47				0	0.00	PRIME MONEY MARKET SWEEP FUND
SAL	04-27-00	218315	-55,508.500	1.000		55,508.50	-55,508.50	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	04-27-00	218316	-601,916.640	1.000		601,916.64	-601,916.64	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	04-27-00	218317	-960.210	1.000		960.21	-960.21	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	04-27-00	218601	-34,233.630	1.000		34,233.63	-34,233.63	0.00			Prime Money Market Sweep Fund
PUR	05-01-00	220853	80,000.000	1.000	80,000.00				0	0.00	Prime Money Market Sweep Fund
PUR	05-02-00	220854	2,050.000	1.000	2,050.00				0	0.00	Prime Money Market Sweep Fund
PUR	05-15-00	220855	11,411.210	1.000	11,411.21				0	0.00	Prime Money Market Sweep Fund
PUR	05-18-00	220856	1,501.650	1.000	1,501.65				0	0.00	Prime Money Market Sweep Fund
PUR	06-01-00	223993	135,000.000	1.000	135,000.00				0	0.00	Prime Money Market Sweep Fund
PUR	06-06-00	223994	2,700,883.330	1.000	2,700,883.33				0	0.00	Prime Money Market Sweep Fund
SAL	06-09-00	223999	-496,000.000	1.000		496,000.00	-496,000.00	0.00			Prime Money Market Sweep Fund
SAL	06-12-00	224000	-38,030.000	1.000		38,030.00	-38,030.00	0.00			Prime Money Market Sweep Fund
SAL	06-13-00	224001	-1,061,795.000	1.000		1,061,795.00	-1,061,795.00	0.00			Prime Money Market Sweep Fund
SAL	06-14-00	224002	-496,000.000	1.000		496,000.00	-496,000.00	0.00			Prime Money Market Sweep Fund
PUR	06-15-00	223997	496,000.000	1.000	496,000.00				0	0.00	Prime Money Market Sweep Fund
SAL	06-20-00	224003	-496,000.000	1.000		496,000.00	-496,000.00	0.00			Prime Money Market Sweep Fund
SAL	07-20-00	226962	-87,535.330	1.000		87,535.33	-87,535.33	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	07-20-00	226963	-681,779.000	1.000		681,779.00	-681,779.00	0.00			PRIME MONEY MARKET SWEEP FUND

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	07-20-00	226964	-1,865.230	1.000		1,865.23	-1,865.23	0.00			PRIME MONEY MARKET SWEEP FUND
PUR	07-25-00	227599	1,003,284 290	1.000	1,003,284 29				0	0.00	Prime Money Market Sweep Fund
PUR	08-23-00	230955	105,000.000	1.000	105,000.00				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	08-23-00	230956	-2,616.670	1.000		2,616.67	-2,616.67	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	08-23-00	230957	-23,809.630	1.000		23,809 63	-23,809.63	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	08-23-00	230958	-1,073,970.000	1.000		1,073,970.00	-1,073,970.00	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	09-08-00	234149	1,122,201.670	1.000	1,122,201.67				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	09-08-00	234150	-1,128,217.000	1.000		1,128.217.00	-1,128.217 00	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	09-21-00	234954	-216,590.000	1.000		216,590 00	-216,590.00	0.00			Prime Money Market Sweep Fund
PUR	09-22-00	234953	198,764.000	1.000	198,764 00				0	0.00	Prime Money Market Sweep Fund
PUR	10-04-00	237322	715,000.000	1.000	715,000.00				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	10-04-00	237323	294,194.000	1.000	294,194.00				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	10-19-00	238288	-1,962.010	1.000		1,962 01	-1,962.01	0.00			Prime Money Market Sweep Fund
SAL	10-23-00	238289	-10,285.930	1.000		10,285 93	-10,285.93	0.00			Prime Money Market Sweep Fund
PUR	11-27-00	240980	125,000.000	1.000	125,000.00				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	11-27-00	240981	312,435.000	1.000	312,435.00				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	11-27-00	240982	80,158 260	1.000	80,158.26				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	11-27-00	240983	349,077.000	1.000	349,077.00				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	11-27-00	240984	979,475.000	1.000	979,475.00				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	11-27-00	240985	-659,208.000	1.000		659,208.00	-659,208.00	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	11-27-00	240986	-1,051,660.000	1.000		1,051,660.00	-1,051,660.00	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	11-28-00	241296	-19,079.090	1.000		19,079.09	-19,079.09	0.00			Prime Money Market Sweep Fund
SAL	11-29-00	241299	-1,316,775.000	1.000		1,316,775.00	-1,316,775.00	0.00			Prime Money Market Sweep Fund
PUR	12-19-00	243230	70,000.000	1.000	70,000.00				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	12-19-00	243231	2,263,414.650	1.000	2,263,414.65				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	12-19-00	243232	305,412.000	1.000	305,412.00				3,244	3,244.03	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	12-19-00	243233	-336,633.330	1.000		336,633.33	-336,633.33	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	12-19-00	243234	-2,192,240.000	1.000		2,192,240.00	-2,192,240.00	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	12-28-00	244744	-110,451.440	1.000		110,451.44	-110,451.44	0.00			Prime Money Market Sweep Fund
	TOTAL -		-371,407.870		22,649,373.31	23,020,781.18	-23,020,781.18	0.00	3,244	3,244.03	
SAW1	KS.50904	Wyandotte KS 5% 09/01/04									
SAL	01-13-00	207908	-275,000.000	100.525		276,443 75	-280,805.25	-4,361 50			Wyandotte CTY KC Gov 5% 9/1/04
	TOTAL -		-275,000.000		0.00	276,443.75	-280,805 25	-4,361.50	0	0.00	

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
OFFIT1	KS.51208	Kans Cty MO Wtr 5% 12/01/08									
IAM	01-31-00	210992			0.00						AMORTIZATION
IAM	02-29-00	213083			0.00						AMORTIZATION
IAM	03-31-00	216620			0.00						AMORTIZATION
IAM	04-30-00	219158			0.00						AMORTIZATION
IAM	05-31-00	222504			0.00						AMORTIZATION
IAM	06-30-00	225654			0.00						AMORTIZATION
IAM	07-31-00	229510			0.00						AMORTIZATION
IAM	08-31-00	233381			0.00						AMORTIZATION
IAM	09-30-00	236323			0.00						AMORTIZATION
IAM	10-31-00	239394			0.00						AMORTIZATION
IAM	11-30-00	242391			0.00						AMORTIZATION
IAM	12-31-00	247760			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
SAW1	KY.51008	Jfsn Cty KY Hlh Sys5% 10/01/08									
IAM	01-31-00	211024			0.00						AMORTIZATION
IAM	02-29-00	213115			0.00						AMORTIZATION
IAM	03-31-00	216654			0.00						AMORTIZATION
IAM	04-30-00	219195			0.00						AMORTIZATION
IAM	05-31-00	222541			0.00						AMORTIZATION
IAM	06-30-00	225692			0.00						AMORTIZATION
IAM	07-31-00	229548			0.00						AMORTIZATION
IAM	08-31-00	233419			0.00						AMORTIZATION
IAM	09-30-00	236364			0.00						AMORTIZATION
IAM	10-31-00	239435			0.00						AMORTIZATION
IAM	11-30-00	242432			0.00						AMORTIZATION
IAM	12-31-00	247801			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
MG1	MA.1988DMD	MA IND FIN AGENCY SER 1988 DMD									
PUR	03-14-00	213902	338,000.000	100.000	338,000.00				0	0.00	MA Ind Fin Agcy Demand
SAL	03-23-00	213940	-338,000.000	100.000		338,000.00	-338,000.00	0.00			MA Indust Fin Agcy Red Cross
	TOTAL -		0.000		338,000.00	338,000.00	-338,000.00	0.00	0	0.00	

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
OFFIT1 MA.5A1206 MA Wtr Res 5.25% 12/1/06											
IAM	01-31-00	210993			0.00						AMORTIZATION
IAM	02-29-00	213084			0.00						AMORTIZATION
IAM	03-31-00	216621			0.00						AMORTIZATION
IAM	04-30-00	219159			0.00						AMORTIZATION
IAM	05-31-00	222505			0.00						AMORTIZATION
IAM	06-30-00	225655			0.00						AMORTIZATION
IAM	07-31-00	229511			0.00						AMORTIZATION
IAM	08-31-00	233382			0.00						AMORTIZATION
IAM	09-30-00	236324			0.00						AMORTIZATION
IAM	10-31-00	239395			0.00						AMORTIZATION
IAM	11-30-00	242392			0.00						AMORTIZATION
IAM	12-31-00	247761			0.00						AMORTIZATION
TOTAL -			0.000		0.00	0.00	0.00	0.00	0	0.00	
OFFIT1 MA.5D1107 Comm of MA 5.5% 11/01/07											
IAM	01-31-00	210994			0.00						AMORTIZATION
IAM	02-29-00	213085			0.00						AMORTIZATION
IAM	03-31-00	216622			0.00						AMORTIZATION
IAM	04-30-00	219160			0.00						AMORTIZATION
IAM	05-31-00	222506			0.00						AMORTIZATION
IAM	06-30-00	225656			0.00						AMORTIZATION
IAM	07-31-00	229512			0.00						AMORTIZATION
IAM	08-31-00	233383			0.00						AMORTIZATION
IAM	09-30-00	236325			0.00						AMORTIZATION
IAM	10-31-00	239396			0.00						AMORTIZATION
IAM	11-30-00	242393			0.00						AMORTIZATION
IAM	12-31-00	247762			0.00						AMORTIZATION
TOTAL -			0.000		0.00	0.00	0.00	0.00	0	0.00	
MGI MA.5F0910 Fitchburg Coll. 5.85% 9/27/10											
PUR	09-27-00	235471	1,000,000.000	101.649	1,016,494.80				1,000,000	1,016,494.80	Fitchburg Coll. 5.85% 09/27/10
IAM	09-30-00	237384			0.00						AMORTIZATION
IAM	10-31-00	239350			0.00						AMORTIZATION

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	11-30-00	242347			0.00						AMORTIZATION
IAM	12-31-00	247715			0.00						AMORTIZATION
	TOTAL -		1,000,000.000		1,016,494 80	0.00	0.00	0.00	1,000,000	1,016,494.80	
SAW1	MA.6B0305	MA Bay Trana 6.25% 03/01/05									
IAM	01-31-00	211025			0.00						AMORTIZATION
IAM	02-29-00	213116			0.00						AMORTIZATION
IAM	03-31-00	216655			0.00						AMORTIZATION
SAL	04-18-00	218311	-250,000.000	105.199		262,997.50	-266,012.50	-3,015.00			MASSACHUSETTS BAY TRANSPORTATION AUTH MASS GENL TRANS SYS UNLIMITED TAX 6 1/4% P
IAM	04-30-00	219196			0.00						AMORTIZATION
IAM	05-31-00	222542			0.00						AMORTIZATION
IAM	06-30-00	225693			0.00						AMORTIZATION
IAM	07-31-00	229549			0.00						AMORTIZATION
IAM	08-31-00	233420			0.00						AMORTIZATION
IAM	09-30-00	236365			0.00						AMORTIZATION
IAM	10-31-00	239436			0.00						AMORTIZATION
SAL	11-15-00	240973	-750,000.000	106.307		797,302.50	-798,037.50	-735.00			MASSACHUSETTS BAY TRANSPORTATION AUTH MASS GENL TRANS SYS UNLIMITED TAX 6 1/4% P
	TOTAL -		-1,000,000.000		0.00	1,060,300.00	-1,064,050.00	-3,750.00	0	0.00	
DFFIT1	MA.6B0705	Boston MA Genl Pur 6.25% 7/05									
IAM	01-31-00	210995			0.00						AMORTIZATION
IAM	02-29-00	213086			0.00						AMORTIZATION
IAM	03-31-00	216623			0.00						AMORTIZATION
IAM	04-30-00	219161			0.00						AMORTIZATION
IAM	05-31-00	222507			0.00						AMORTIZATION
IAM	06-30-00	225657			0.00						AMORTIZATION
IAM	07-31-00	229513			0.00						AMORTIZATION
IAM	08-31-00	233384			0.00						AMORTIZATION
IAM	09-30-00	236326			0.00						AMORTIZATION
IAM	10-31-00	239397			0.00						AMORTIZATION
IAM	11-30-00	242394			0.00						AMORTIZATION
IAM	12-31-00	247763			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MG1	MA.6D0808	Comm of MA Unl. Tax 6.5% 08/08									
PUR	03-03-00	213965	2,000,000.000	108.464	2,169,280.00				2,000,000	2,169,280.00	Comm MA Unlim Tax 6.5% 08/08
IAM	03-31-00	216584			0.00						AMORTIZATION
IAM	04-30-00	219120			0.00						AMORTIZATION
IAM	05-31-00	222464			0.00						AMORTIZATION
IAM	06-30-00	225611			0.00						AMORTIZATION
IAM	07-31-00	229467			0.00						AMORTIZATION
IAM	08-31-00	233337			0.00						AMORTIZATION
IAM	09-30-00	237385			0.00						AMORTIZATION
IAM	10-31-00	239351			0.00						AMORTIZATION
IAM	11-30-00	242348			0.00						AMORTIZATION
IAM	12-31-00	247716			0.00						AMORTIZATION
	TOTAL -		2,000,000.000		2,169,280.00	0.00	0.00	0.00	2,000,000	2,169,280.00	
MG1	MA.7X0308	MA Bay Trans 7% 03/01/08									
IAM	01-31-00	210960			0.00						AMORTIZATION
IAM	02-29-00	213051			0.00						AMORTIZATION
IAM	03-31-00	216585			0.00						AMORTIZATION
IAM	04-30-00	219121			0.00						AMORTIZATION
IAM	05-31-00	222465			0.00						AMORTIZATION
IAM	06-30-00	225612			0.00						AMORTIZATION
IAM	07-31-00	229468			0.00						AMORTIZATION
IAM	08-31-00	233338			0.00						AMORTIZATION
IAM	09-30-00	237386			0.00						AMORTIZATION
IAM	10-31-00	239352			0.00						AMORTIZATION
IAM	11-30-00	242349			0.00						AMORTIZATION
IAM	12-31-00	247717			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
MG1	MA.H&EDMD	MA HEALTH & ED DIABETES CENTER									
PUR	03-14-00	213905	139,000.000	100.000	139,000.00				0	0.00	MA HEFA Joslin Diabetes Ctr
PUR	09-22-00	234924	1,000,000.000	100.000	1,000,000.00				0	0.00	MA HEFA Diabetes Cntr DEMAND
SAL	09-27-00	235478	-1,139,000.000	100.000		1,139,000.00	-1,139,000.00	0.00			MA HEFA Joslin Diabetes Center
	TOTAL -		0.000		1,139,000.00	1,139,000.00	-1,139,000.00	0.00	0	0.00	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAIHING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MG1	MA.MTHERMN	MA Ind Fnce Mt Herman Sch DEMA									
PUR	03-14-00	213903	30,000.000	100.000	30,000.00				0	0.00	MA Ind Fin Agcy Northfield
PUR	05-03-00	220813	610,000.000	100.000	610,000.00				0	0.00	MA. Ind Fin Mount Herman Schl
SAL	05-18-00	220821	-327,000.000	100.000		327,000.00	-327,000.00	0.00			Mass. Ind Fin Mount Herman
SAL	07-31-00	227922	-235,000.000	100.000		235,000.00	-235,000.00	0.00			MA IFA Mount Herman School DMD
SAL	09-27-00	235477	-78,000.000	100.000		78,000.00	-78,000.00	0.00			MA IFA MT Hermon School Demand
	TOTAL -		0.000		640,000.00	640,000.00	-640,000.00	0.00	0	0.00	
MG1	MD.4G0704	MD Tx Expt 4.893% 07/01/04									
SAL	01-02-00	207953	-43,853.480	100.000		43,853.48	-44,099.54	-246.06			State of Maryland T.E. 4.893%
IAM	01-31-00	210961			0.00						AMORTIZATION
IAM	02-29-00	213052			0.00						AMORTIZATION
IAM	03-31-00	216586			0.00						AMORTIZATION
IAM	04-30-00	219122			0.00						AMORTIZATION
IAM	05-31-00	222466			0.00						AMORTIZATION
IAM	06-30-00	225613			0.00						AMORTIZATION
SAL	07-05-00	226914	-111,795.970	100.000		111,795.97	-112,423.26	-627.29			PRICE
IAM	07-31-00	229469			0.00						AMORTIZATION
IAM	08-31-00	233339			0.00						AMORTIZATION
IAM	09-30-00	237387			0.00						AMORTIZATION
IAM	10-31-00	239353			0.00						AMORTIZATION
IAM	11-30-00	242350			0.00						AMORTIZATION
IAM	12-31-00	247718			0.00						AMORTIZATION
	TOTAL -		-155,649.450		0.00	155,649.45	-156,522.80	-873.35	0	0.00	
MG1	MD.5B0705	Baltimore C.C. 5.184% 07/01/05									
PUR	10-26-00	238267	1,000,000.000	100.783	1,007,831.33				1,000,000	1,007,831.33	Baltimore C.C. 5.184% 07/01/05
IAM	10-31-00	239354			0.00						AMORTIZATION
IAM	11-30-00	242351			0.00						AMORTIZATION
IAM	12-31-00	247719			0.00						AMORTIZATION
	TOTAL -		1,000,000.000		1,007,831.33	0.00	0.00	0.00	1,000,000	1,007,831.33	

OFFIT1 MD.5E0802 AN Arndl Cty U Tx 5.65% 8/1/02

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	01-31-00	210996			0.00						AMORTIZATION
IAM	02-29-00	213087			0.00						AMORTIZATION
IAM	03-31-00	216624			0.00						AMORTIZATION
IAM	04-30-00	219162			0.00						AMORTIZATION
IAM	05-31-00	222508			0.00						AMORTIZATION
IAM	06-30-00	225656			0.00						AMORTIZATION
IAM	07-31-00	229514			0.00						AMORTIZATION
IAM	08-31-00	233385			0.00						AMORTIZATION
IAM	09-30-00	236327			0.00						AMORTIZATION
IAM	10-31-00	239398			0.00						AMORTIZATION
SAL	11-09-00	240956	-750,000.000	101.973		764,797.50	-792,217 50	-27,420.00			Anne Arundel 5.65% 8/1/02
	TOTAL -		-750,000.000		0 00	764,797.50	-792,217 50	-27,420.00	0	0.00	
OFFIT1 MD.70722	Pr.George	Cty Hosp 7% 7/1/22									
IAM	01-31-00	210997			0.00						AMORTIZATION
IAM	02-29-00	213088			0.00						AMORTIZATION
IAM	03-31-00	216625			0.00						AMORTIZATION
IAM	04-30-00	219163			0.00						AMORTIZATION
IAM	05-31-00	222509			0.00						AMORTIZATION
IAM	06-30-00	225659			0.00						AMORTIZATION
IAM	07-31-00	229515			0.00						AMORTIZATION
IAM	08-31-00	233386			0.00						AMORTIZATION
IAM	09-30-00	236328			0.00						AMORTIZATION
IAM	10-31-00	239399			0.00						AMORTIZATION
IAM	11-30-00	242395			0.00						AMORTIZATION
SAL	12-26-00	244743	-500,000.000	105.735		528,675.00	-554,955 00	-26,280.00			Prince Georges Hosp 7% 7/1/22
	TOTAL -		-500,000.000		0.00	528,675 00	-554,955.00	-26,280 00	0	0.00	
SAM1	MI.4F1204	Bishop Intl Arpt 4.75% 12/1/04									
IAM	01-31-00	211026			0.00						AMORTIZATION
IAM	02-29-00	213117			0.00						AMORTIZATION
IAM	03-31-00	216656			0.00						AMORTIZATION
IAM	04-30-00	219197			0.00						AMORTIZATION
IAM	05-31-00	222543			0.00						AMORTIZATION
IAM	06-30-00	225694			0.00						AMORTIZATION

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	07-31-00	229550			0.00						AMORTIZATION
IAM	08-31-00	233421			0.00						AMORTIZATION
IAM	09-30-00	236366			0.00						AMORTIZATION
IAM	10-31-00	239437			0.00						AMORTIZATION
IAM	11-30-00	242433			0.00						AMORTIZATION
IAM	12-31-00	247802			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
SAW1	MI.4G1117 MI St Hosp Char4 800% 11/01/17										
IAM	01-31-00	211027			0.00						AMORTIZATION
IAM	02-29-00	213118			0.00						AMORTIZATION
IAM	03-31-00	216657			0.00						AMORTIZATION
IAM	04-30-00	219198			0.00						AMORTIZATION
IAM	05-31-00	222544			0.00						AMORTIZATION
IAM	06-30-00	225695			0.00						AMORTIZATION
IAM	07-31-00	229551			0.00						AMORTIZATION
IAM	08-31-00	233422			0.00						AMORTIZATION
IAM	09-30-00	236367			0.00						AMORTIZATION
SAL	10-31-00	239267	-40,000.000	100.000		40,000.00	-39,881.60	118.40			Mich State Hosp. 4.8% 11/01/17
IAM	10-31-00	239438			0.00						AMORTIZATION
IAM	11-30-00	242434			0.00						AMORTIZATION
IAM	12-31-00	247803			0.00						AMORTIZATION
	TOTAL -		-40,000.000		0.00	40,000.00	-39,881.60	118.40	0	0.00	
SAW1	MI.4G1117X MI ST Hosp 4.8% 11/01/17										
SAL	10-31-00	239266	-5,000.000	100.000		5,000.00	-4,985.20	14.80			Mich State Hosp. 4.8% 11/01/17
	TOTAL -		-5,000.000		0.00	5,000.00	-4,985.20	14.80	0	0.00	
SAW1	MI.S1004 MI Muni Drjg Wtr 5% 10/1/04										
SAL	11-15-00	240974	-750,000.000	101.434		760,755.00	-776,025.00	-15,270.00			MICHIGAN MUNICIPAL BOND AUTH REV DRINKING WTR REVOLVING FUND 5% OCT 1 2004 DTD 7
	TOTAL -		-750,000.000		0.00	760,755.00	-776,025.00	-15,270.00	0	0.00	
SAW1	MI.5D0709 Wyne Cty MI Coll 5.5% 7/1/09										

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ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	01-31-00	211024			0.00						AMORTIZATION
IAM	02-29-00	213119			0.00						AMORTIZATION
IAM	03-31-00	216658			0.00						AMORTIZATION
IAM	04-30-00	219199			0.00						AMORTIZATION
IAM	05-31-00	222545			0.00						AMORTIZATION
IAM	06-30-00	225696			0.00						AMORTIZATION
IAM	07-31-00	229552			0.00						AMORTIZATION
IAM	08-31-00	233423			0.00						\MORTIZATION
IAM	09-30-00	236368			0.00						AMORTIZATION
IAM	10-31-00	239439			0.00						AMORTIZATION
IAM	11-30-00	242435			0.00						AMORTIZATION
IAM	12-31-00	247604			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0 00	0.00	0	0.00	
AG1	MI.5F0804	MI St Hosp 5.75% 08/15/04									
IAM	01-31-00	210962			0.00						AMORTIZATION
IAM	02-29-00	213053			0.00						AMORTIZATION
IAM	03-31-00	216587			0.00						AMORTIZATION
IAM	04-30-00	219123			0.00						AMORTIZATION
IAM	05-31-00	222467			0.00						AMORTIZATION
IAM	06-30-00	225614			0.00						AMORTIZATION
IAM	07-31-00	229470			0.00						AMORTIZATION
IAM	08-31-00	233340			0.00						AMORTIZATION
IAM	09-30-00	237388			0.00						AMORTIZATION
IAM	10-31-00	239355			0.00						AMORTIZATION
IAM	11-30-00	242352			0.00						AMORTIZATION
IAM	12-31-00	247720			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
)FFIT1	MI.5F1005	MI Muni Rev Fd 5.75% 10/1/05									
SAL	11-17-00	240941	-1,000,000.000	104 772		1,047,720.00	-1,086,430.00	-38,710.00			MICHIGAN MUNICIPAL BOND AUTH REV STATE REVOLVING FUND POOLED PROJ 5 3/4% B OCT 1
	TOTAL -		-1,000,000.000		0.00	1,047,720.00	-1,086,430.00	-38,710 00	0	0.00	

SAW1 MI.5G0400 MI Hsg Dev Rntl 5.8% 04/01/00

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	01-31-00	211029			0.00						AMORTIZATION
IAM	02-29-00	213120			0.00						AMORTIZATION
IAM	03-31-00	216659			0.00						AMORTIZATION
SAL	04-03-00	218319	-200,000.000	100.000		200,000.00	-205,300.00	-5,300.00			Michigan State Housing 6% Mat.
	TOTAL -		-200,000.000		0.00	200,000.00	-205,300.00	-5,300.00	0	0.00	
MG1	MN.5B1204	City of Minneap. 5.25% 12/1/04									
PUR	06-21-00	224801	2,000,000.000	102.209	2,044,180.00				2,000,000	2,044,180.00	City of Minneap. 5.25% 12/1/04
IAM	06-30-00	225615			0.00						AMORTIZATION
IAM	07-31-00	229471			0.00						AMORTIZATION
IAM	08-31-00	233341			0.00						AMORTIZATION
IAM	09-30-00	237389			0.00						AMORTIZATION
IAM	10-31-00	239356			0.00						AMORTIZATION
IAM	11-30-00	242353			0.00						AMORTIZATION
IAM	12-31-00	247721			0.00						AMORTIZATION
	TOTAL -		2,000,000.000		2,044,180.00	0.00	0.00	0.00	2,000,000	2,044,180.00	
DFFIT1	MN.5C0206	Minnesota Hsg 5.35% 2/1/06									
SAL	05-31-00	222395	-10,000.000	100.000		10,000.00	-10,000.00	0.00			MN Housing Fin 5.35% 02/01/06
	TOTAL -		-10,000.000		0.00	10,000.00	-10,000.00	0.00	0	0.00	
SAW1	MO.50207	St Lou MO ULTD Tx 5% 2/1/07									
SAL	01-26-00	207913	-565,000.000	99.417		561,706.05	-601,979.65	-40,273.60			ST Louis CTY MO Tax 5% 2/1/07
	TOTAL -		-565,000.000		0.00	561,706.05	-601,979.65	-40,273.60	0	0.00	
MG1	MS.51103	MISS Genl Purp 5.000% 11/1/03									
IAM	01-31-00	210963			0.00						AMORTIZATION
SAL	02-16-00	211618	-1,000,000.000	100.563		1,005,630.00	-1,035,550.00	-29,920.00			State of Miss. Genl Purp tax
	TOTAL -		-1,000,000.000		0.00	1,005,630.00	-1,035,550.00	-29,920.00	0	0.00	
SAW1	MS.6D0905	MS. Revolving Ln 6.5% 09/01/05									
PUR	06-08-00	223995	500,000.000	106.806	534,030.00				500,000	534,030.00	State of Miss. Rev. Ln 6.5%

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	06-30-00	225697			0.00						AMORTIZATION
IAM	07-31-00	229553			0.00						AMORTIZATION
IAM	08-31-00	233424			0.00						AMORTIZATION
IAM	09-30-00	236369			0.00						AMORTIZATION
IAM	10-31-00	239440			0.00						AMORTIZATION
IAM	11-30-00	242436			0.00						AMORTIZATION
IAM	12-31-00	247805			0.00						AMORTIZATION
	TOTAL -		500,000.000		534,030.00	0.00	0.00	0.00	500,000	534,030.00	
MGI	MS.6F0907	Jackson Wtr&Swr 6.75% 09/1/07									
PUR	01-27-00	210332	1,015,000.000	109.343	1,109,831.45				1,015,000	1,109,831 45	City of Jackson Wtr&Swr 6.75%
	TOTAL -		1,015,000.000		1,109,831.45	0.00	0.00	0.00	1,015,000	1,109,831.45	
DFFIT1	NC.5B0308	NC School 5.10% 3/1/08									
IAM	01-31-00	210998			0.00						AMORTIZATION
IAM	02-29-00	213089			0.00						AMORTIZATION
IAM	03-31-00	216626			0.00						AMORTIZATION
IAM	04-30-00	219164			0.00						AMORTIZATION
IAM	05-31-00	222510			0.00						AMORTIZATION
IAM	06-30-00	225660			0.00						AMORTIZATION
IAM	07-31-00	229516			0.00						AMORTIZATION
IAM	08-31-00	233387			0.00						AMORTIZATION
IAM	09-30-00	236329			0.00						AMORTIZATION
IAM	10-31-00	239400			0.00						AMORTIZATION
IAM	11-30-00	242396			0.00						AMORTIZATION
IAM	12-31-00	247764			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
DFFIT1	NE.5F0105	Neb Pbl Pwr 5.700% 01/01/05									
IAM	01-31-00	210999			0.00						AMORTIZATION
IAM	02-29-00	213090			0.00						AMORTIZATION
IAM	03-31-00	216627			0.00						AMORTIZATION
IAM	04-30-00	219165			0.00						AMORTIZATION
IAM	05-31-00	222511			0.00						AMORTIZATION

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	06-30-00	225661			0.00						AMORTIZATION
IAM	07-31-00	229517			0.00						AMORTIZATION
IAM	08-31-00	233388			0.00						AMORTIZATION
IAM	09-30-00	236330			0.00						AMORTIZATION
IAM	10-31-00	239401			0.00						AMORTIZATION
IAM	11-30-00	242397			0.00						AMORTIZATION
IAM	12-31-00	247765			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
MG1	NH.7E0716	New Nampshire 7 5/8% 07/01/16									
PUR	03-20-00	213980	2,465,000.000	110.585	2,725,920.29				2,420,000	2,676,157.00	New Nampshire 7 5/8% 07/16
IAM	03-31-00	216588			0.00						AMORTIZATION
IAM	04-30-00	219124			0.00						AMORTIZATION
SAL	05-31-00	222375	-45,000.000	100.000		45,000.00	-49,763.25	-4,763.25			New Hampshire Webster College
IAM	05-31-00	222468			0.00						AMORTIZATION
IAM	06-30-00	225616			0.00						AMORTIZATION
IAM	07-31-00	229472			0.00						AMORTIZATION
IAM	08-31-00	233342			0.00						AMORTIZATION
IAM	09-30-00	237390			0.00						AMORTIZATION
IAM	10-31-00	239357			0.00						AMORTIZATION
IAM	11-30-00	242354			0.00						AMORTIZATION
IAM	12-31-00	247722			0.00						AMORTIZATION
	TOTAL -		2,420,000.000		2,725,920.25	45,000.00	-49,763.25	-4,763.25	2,420,000	2,676,157.00	
OFFIT1	NJ.4E0905	W Wndsr NJ Sch 4.625% 9/15/05									
IAM	01-31-00	211000			0.00						AMORTIZATION
IAM	02-29-00	213091			0.00						AMORTIZATION
IAM	03-31-00	216628			0.00						AMORTIZATION
IAM	04-30-00	219166			0.00						AMORTIZATION
IAM	05-31-00	222512			0.00						AMORTIZATION
IAM	06-30-00	225662			0.00						AMORTIZATION
IAM	07-31-00	229518			0.00						AMORTIZATION
IAM	08-31-00	233389			0.00						AMORTIZATION
IAM	09-30-00	236331			0.00						AMORTIZATION
IAM	10-31-00	239402			0.00						AMORTIZATION

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	11-30-00	242398			0.00						AMORTIZATION
SAL	12-01-00	243209	-200,000.000	100.620		201,240.00	-207,912.00	-6,672.00			WEST WINDSOR PLANSBORO REGIONAL SCHOOL DISTRICT N J UNLIMITED TAX 4 5/8% SEP 15
IAM	12-31-00	247766			0 00						AMORTIZATION
	TOTAL -		-200,000.000		0.00	201,240.00	-207,912.00	-6,672 00	0	0.00	
SAW1	NJ.5B0209	NJ Hlth Cr 5.25% 02/01/09									
IAM	01-31-00	211030			0.00						AMORTIZATION
IAM	02-29-00	213121			0.00						AMORTIZATION
IAM	03-31-00	216660			0.00						AMORTIZATION
IAM	04-30-00	219200			0.00						AMORTIZATION
IAM	05-31-00	222546			0.00						AMORTIZATION
IAM	06-30-00	225698			0.00						AMORTIZATION
IAM	07-31-00	229554			0.00						AMORTIZATION
IAM	08-31-00	233425			0.00						AMORTIZATION
IAM	09-30-00	236170			0.00						AMORTIZATION
IAM	10-31-00	239441			0.00						AMORTIZATION
IAM	11-30-00	242437			0.00						AMORTIZATION
IAM	12-31-00	247806			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0 00	0.00	0.00	0	0.00	
MG1	NJ.5B0408	Jsy Cty Muni Ut 5.25% 4/1/08									
IAM	01-31-00	210964			0.00						AMORTIZATION
IAM	02-29-00	213054			0.00						AMORTIZATION
IAM	03-31-00	216589			0.00						AMORTIZATION
IAM	04-30-00	219125			0.00						AMORTIZATION
IAM	05-31-00	222469			0.00						AMORTIZATION
IAM	06-30-00	225617			0.00						AMORTIZATION
IAM	07-31-00	229473			0.00						AMORTIZATION
IAM	08-31-00	233343			0.00						AMORTIZATION
IAM	09-30-00	236287			0 00						AMORTIZATION
SAL	10-12-00	237257	-1,000,000.000	102.916		1,029,160.00	-1,043,410.00	-14,250 00			JERSEY CITY MUNICIPAL UTILITIES AUTHN J WTR REV 5 1/4% APR 1 2008 DTD 4/1/98 FSP
	TOTAL -		-1,000,000.000		0.00	1,029,160.00	-1,043,410.00	-14,250.00	0	0.00	

DFFIT1 NJ.5C0203 NJ RFDG 5.40% 02/15/03

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	01-31-00	211001			0.00						AMORTIZATION
IAM	02-29-00	213092			0.00						AMORTIZATION
IAM	03-31-00	216629			0.00						AMORTIZATION
IAM	04-30-00	219167			0.00						AMORTIZATION
IAM	05-31-00	222513			0.00						AMORTIZATION
IAM	06-30-00	225663			0.00						AMORTIZATION
IAM	07-31-00	229519			0.00						AMORTIZATION
IAM	08-31-00	233390			0.00						AMORTIZATION
IAM	09-30-00	236332			0.00						AMORTIZATION
IAM	10-31-00	239403			0.00						AMORTIZATION
IAM	11-30-00	242399			0.00						AMORTIZATION
IAM	12-31-00	247767			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
SAM1	NJ.5F0207	NJ Transport 5.75% 02/01/07									
PUR	11-15-00	241298	1,250,000.000	105.342	1,316,775.00				1,250,000	1,316,775.00	NJ Transport 5.75% 02/01/07
IAM	11-30-00	242438			0.00						AMORTIZATION
IAM	12-31-00	247807			0.00						AMORTIZATION
	TOTAL -		1,250,000.000		1,316,775.00	0.00	0.00	0.00	1,250,000	1,316,775.00	
OFFIT1	NJ.5F0808	Midltn Twnsp NJ 5.70% 08/01/08									
IAM	01-31-00	211002			0.00						AMORTIZATION
IAM	02-29-00	213093			0.00						AMORTIZATION
IAM	03-31-00	216630			0.00						AMORTIZATION
IAM	04-30-00	219168			0.00						AMORTIZATION
IAM	05-31-00	222514			0.00						AMORTIZATION
IAM	06-30-00	225664			0.00						AMORTIZATION
IAM	07-31-00	229520			0.00						AMORTIZATION
IAM	08-31-00	233391			0.00						AMORTIZATION
IAM	09-30-00	236333			0.00						AMORTIZATION
IAM	10-31-00	239404			0.00						AMORTIZATION
IAM	11-30-00	242400			0.00						AMORTIZATION
IAM	12-31-00	247768			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAW1	NJ.60111	NJ Turnpike Auth 6% 01/01/11									
PUR	06-08-00	223996	1,000,000.000	106.179	1,061,795.00				1,000,000	1,061,795.00	NJ Turnpike Auth 6% 1/01/11
IAM	06-30-00	225699			0.00						AMORTIZATION
IAM	07-31-00	229555			0.00						AMORTIZATION
IAM	08-31-00	233426			0.00						AMORTIZATION
IAM	09-30-00	236371			0.00						AMORTIZATION
IAM	10-31-00	239442			0.00						AMORTIZATION
IAM	11-30-00	242439			0.00						AMORTIZATION
IAM	12-31-00	247808			0.00						AMORTIZATION
	TOTAL -		1,000,000.000		1,061,795.00	0.00	0.00	0.00	1,000,000	1,061,795.00	
MG1	NJ.6B0110X	NJ Garden State PWY 6.2% 01/10									
PUR	04-27-00	218649	2,000,000.000	106 729	2,134,580.00				2,000,000	2,134,580.00	NJ Garden State PWY 6 2% 01/10
IAM	05-31-00	222470			0.00						AMORTIZATION
IAM	06-30-00	225618			0.00						AMORTIZATION
IAM	07-31-00	229474			0.00						AMORTIZATION
IAM	08-31-00	233344			0.00						AMORTIZATION
IAM	09-30-00	237391			0.00						AMORTIZATION
IAM	10-31-00	239358			0.00						AMORTIZATION
IAM	11-30-00	242355			0 00						AMORTIZATION
IAM	12-31-00	247723			0 00						AMORTIZATION
	TOTAL -		2,000,000.000		2,134,580.00	0.00	0.00	0 00	2,000,000	2,134,580.00	
MG1	NV.5D0608	Clk Cty NV Sch Dst 5.5% 6/15/0									
IAM	01-31-00	210965			0 00						AMORTIZATION
IAM	02-29-00	213055			0.00						AMORTIZATION
IAM	03-31-00	216590			0.00						AMORTIZATION
IAM	04-30-00	219126			0.00						AMORTIZATION
IAM	05-31-00	222471			0.00						AMORTIZATION
IAM	06-30-00	225619			0.00						AMORTIZATION
IAM	07-31-00	229475			0.00						AMORTIZATION
IAM	08-31-00	233345			0.00						AMORTIZATION
IAM	09-30-00	237392			0 00						AMORTIZATION
IAM	10-31-00	239359			0 00						AMORTIZATION

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	11-30-00	242356			0.00						AMORTIZATION
IAM	12-31-00	247724			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
SAW1	NV.5D0608X Clrk Cty NV Sch 5.5% 06/15/08										
IAM	01-31-00	211031			0.00						AMORTIZATION
IAM	02-29-00	213122			0.00						AMORTIZATION
IAM	01-31-00	216661			0.00						AMORTIZATION
IAM	04-30-00	219201			0.00						AMORTIZATION
IAM	05-31-00	222547			0.00						AMORTIZATION
IAM	06-30-00	225700			0.00						AMORTIZATION
IAM	07-31-00	229556			0.00						AMORTIZATION
IAM	08-31-00	233427			0.00						AMORTIZATION
IAM	09-30-00	236372			0.00						AMORTIZATION
IAM	10-31-00	239441			0.00						AMORTIZATION
IAM	11-30-00	242440			0.00						AMORTIZATION
IAM	12-31-00	247809			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
SAW1	NV.60606 Clark Cty Gen Purp 6% 06/01/06										
PUR	03-07-00	216306	955,000.000	104.414	997,153.70				955,000	997,153.70	Clark Cty Nev Tax 6% 06/01/06
IAM	03-31-00	216662			0.00						AMORTIZATION
IAM	04-30-00	219202			0.00						AMORTIZATION
IAM	05-31-00	222548			0.00						AMORTIZATION
IAM	06-30-00	225701			0.00						AMORTIZATION
IAM	07-31-00	229557			0.00						AMORTIZATION
IAM	08-31-00	233428			0.00						AMORTIZATION
IAM	09-30-00	236373			0.00						AMORTIZATION
IAM	10-31-00	239444			0.00						AMORTIZATION
IAM	11-30-00	242441			0.00						AMORTIZATION
IAM	12-31-00	247810			0.00						AMORTIZATION
	TOTAL -		955,000.000		997,153.70	0.00	0.00	0.00	955,000	997,153.70	

OFFIT1 NV.60705 NV Colo River 6% 07/01/05

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	01-31-00	211003			0.00						AMORTIZATION
IAM	02-29-00	213094			0.00						AMORTIZATION
IAM	03-31-00	216631			0.00						AMORTIZATION
IAM	04-30-00	219169			0.00						AMORTIZATION
IAM	05-31-00	222515			0.00						AMORTIZATION
IAM	06-30-00	225665			0.00						AMORTIZATION
IAM	07-31-00	229521			0.00						AMORTIZATION
IAM	08-31-00	233392			0.00						AMORTIZATION
IAM	09-30-00	236334			0.00						AMORTIZATION
IAM	10-31-00	239405			0.00						AMORTIZATION
IAM	11-30-00	242401			0.00						AMORTIZATION
IAM	12-31-00	247769			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0 00	0.00	0.00	0	0 00	
SAW1	NY.5A0805	NY MCARE H&N FHA 5.2 8/15/05									
SAL	02-29-00	211673	-10,000.000	100.000		10,000.00	-10,000.00	0.00			NEW YORK STATE MEDICAL CARE FACILITIES FINANCE AGENCY REV HOSP &NURSES MTGE 5.2(
SAL	08-23-00	230959	-25,000.000	100.000		25,000 00	-25,000.00	0.00			NEW YORK STATE MEDICAL CARE FACILITIES FINANCE AGENCY REV HOSP &NURSES MTGE 5.2(
	TOTAL -		-35,000.000		0.00	35,000.00	-35,000.00	0.00	0	0.00	
SAW1	NY.5B0908	NY Unltd TX 5.50% 09/15/08									
IAM	01-31-00	211032			0.00						AMORTIZATION
IAM	02-29-00	213123			0.00						AMORTIZATION
IAM	03-31-00	216663			0.00						AMORTIZATION
IAM	04-30-00	219203			0.00						AMORTIZATION
IAM	05-31-00	222549			0.00						AMORTIZATION
IAM	06-30-00	225702			0.00						AMORTIZATION
IAM	07-31-00	229558			0.00						AMORTIZATION
IAM	08-31-00	233429			0.00						AMORTIZATION
IAM	09-30-00	236374			0.00						AMORTIZATION
IAM	10-31-00	239445			0.00						AMORTIZATION
IAM	11-30-00	242442			0.00						AMORTIZATION
IAM	12-31-00	247811			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAW1	NY.5C0804	NY M Care Surg Hap 5.4 8/15/04									
SAL	01-31-00	210940	-105,000.000	100.000		105,000.00	-105,000.00	0.00			NYS Med Surgery 5.4% 8/15/04
SAL	07-31-00	229374	-80,000.000	100.000		80,000.00	-80,000.00	0.00			NYS Med Care 5.4% 08/15/04
	TOTAL -		-185,000.000		0.00	185,000.00	-185,000.00	0.00	0	0.00	
SAW1	NY.60111	Tribirough Bdg Javita 6% 01/11									
PUR	03-10-00	216310	750,000.000	105.520	791,400.00				750,000	791,400.00	Triborough Bridge 6% 01/01/11
IAM	03-31-00	216664			0.00						AMORTIZATION
IAM	04-30-00	219204			0.00						AMORTIZATION
IAM	05-31-00	222550			0.00						AMORTIZATION
IAM	06-30-00	225703			0.00						AMORTIZATION
IAM	07-31-00	229559			0.00						AMORTIZATION
IAM	08-31-00	233430			0.00						AMORTIZATION
IAM	09-30-00	236375			0.00						AMORTIZATION
IAM	10-31-00	239446			0.00						AMORTIZATION
IAM	11-30-00	242443			0.00						AMORTIZATION
IAM	12-31-00	247812			0.00						AMORTIZATION
	TOTAL -		750,000.000		791,400.00	0.00	0.00	0.00	750,000	791,400.00	
MG1	NY.6070103	NYS Dormitory Auth 6% 7/1/03									
IAM	01-31-00	210966			0.00						AMORTIZATION
IAM	02-29-00	213056			0.00						AMORTIZATION
IAM	03-31-00	216591			0.00						AMORTIZATION
IAM	04-30-00	219127			0.00						AMORTIZATION
IAM	05-31-00	222472			0.00						AMORTIZATION
IAM	06-30-00	225620			0.00						AMORTIZATION
IAM	07-31-00	229476			0.00						AMORTIZATION
IAM	08-31-00	233346			0.00						AMORTIZATION
IAM	09-30-00	237393			0.00						AMORTIZATION
IAM	10-31-00	239360			0.00						AMORTIZATION
IAM	11-30-00	242357			0.00						AMORTIZATION
IAM	12-31-00	247725			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MG1	NY.60707	NYS Dormitory Sinai 6% 07/07									
PUR	04-28-00	218651	1,010,000.000	100.655	1,016,635.50				1,010,000	1,016,635.50	NYS Dormitory Sinai 6% 07/07
IAM	05-31-00	222473			0.00						AMORTIZATION
IAM	06-30-00	225621			0.00						AMORTIZATION
IAM	07-31-00	229477			0.00						AMORTIZATION
IAM	08-31-00	233347			0.00						AMORTIZATION
IAM	09-30-00	237394			0.00						AMORTIZATION
IAM	10-31-00	239361			0.00						AMORTIZATION
IAM	11-30-00	242356			0.00						AMORTIZATION
IAM	12-31-00	247726			0.00						AMORTIZATION
	TOTAL -		1,010,000.000		1,016,635.50	0.00	0.00	0.00	1,010,000	1,016,635.50	
SAW1	NY.6B0418	NYS Gov Asst 6.25% 04/01/18									
IAM	01-31-00	211033			0.00						AMORTIZATION
IAM	02-29-00	213124			0.00						AMORTIZATION
IAM	03-31-00	216665			0.00						AMORTIZATION
IAM	04-30-00	219205			0.00						AMORTIZATION
IAM	05-31-00	222551			0.00						AMORTIZATION
IAM	06-30-00	225704			0.00						AMORTIZATION
IAM	07-31-00	229560			0.00						AMORTIZATION
IAM	08-31-00	233431			0.00						AMORTIZATION
IAM	09-30-00	236376			0.00						AMORTIZATION
IAM	10-31-00	239447			0.00						AMORTIZATION
SAL	11-02-00	240967	-260,000.000	104.459		271,593.40	-279,611.80	-8,018.40			NEW YORK STATE LOCAL GOVERNMENT ASSISTANCE CORP 6 1/4% C APR 1 2018DTD 12/1/92 F
	TOTAL -		-260,000.000		0.00	271,593.40	-279,611.80	-8,018.40	0	0.00	
SAW1	NY.6B0705	NY Muni Asst NYC 6.25% 7/1/05									
IAM	01-31-00	211034			0.00						AMORTIZATION
IAM	02-29-00	213125			0.00						AMORTIZATION
IAM	03-31-00	216666			0.00						AMORTIZATION
IAM	04-30-00	219206			0.00						AMORTIZATION
IAM	05-31-00	222552			0.00						AMORTIZATION
IAM	06-30-00	225705			0.00						AMORTIZATION
IAM	07-31-00	229561			0.00						AMORTIZATION
IAM	08-31-00	233432			0.00						AMORTIZATION

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Market Street Trust Company
PURCHASE / SALE DETAIL NISTORY REPORT
BOOK BASIS - FRON 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	09-30-00	236377			0.00						AMORTIZATION
IAM	10-31-00	239448			0.00						AMORTIZATION
IAM	11-30-00	242444			0.00						AMORTIZATION
IAM	12-31-00	247813			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
MG1	NY.6G0419	NY Gov Assist 6 7/8% 04/01/19									
SAL	01-26-00	210333	-1,000,000.000	106.251		1,062,510.00	-1,107,790.00	-45,280.00			NY Local Gov Assis 6.875%
	TOTAL -		-1,000,000.000		0.00	1,062,510.00	-1,107,790.00	-45,280.00	0	0.00	
SAW1	NYS.50407	NYS Urb Dev 5.% 04/01/07									
IAM	01-31-00	211035			0.00						AMORTIZATION
IAM	02-29-00	213126			0.00						AMORTIZATION
IAM	03-31-00	216667			0.00						AMORTIZATION
IAM	04-30-00	219207			0.00						AMORTIZATION
IAM	05-31-00	222553			0.00						AMORTIZATION
IAM	06-30-00	225706			0.00						AMORTIZATION
IAM	07-31-00	229562			0.00						AMORTIZATION
IAM	08-31-00	233433			0.00						AMORTIZATION
IAM	09-30-00	236378			0.00						AMORTIZATION
IAM	10-31-00	239449			0.00						AMORTIZATION
IAM	11-30-00	242445			0.00						AMORTIZATION
IAM	12-31-00	247814			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
SAW1	NYS.5B0102	NYS Dormitory Au 5.25% 1/1/02									
IAM	01-31-00	211036			0.00						AMORTIZATION
IAM	02-29-00	213127			0.00						AMORTIZATION
IAM	03-31-00	216668			0.00						AMORTIZATION
IAM	04-30-00	219208			0.00						AMORTIZATION
IAM	05-31-00	222554			0.00						AMORTIZATION
IAM	06-30-00	225707			0.00						AMORTIZATION
IAM	07-31-00	229563			0.00						AMORTIZATION
IAM	08-31-00	233434			0.00						AMORTIZATION

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ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	09-30-00	236379			0.00						AMORTIZATION
IAM	10-31-00	239450			0.00						AMORTIZATION
IAM	11-30-00	242446			0.00						AMORTIZATION
IAM	12-31-00	247815			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
MG1	OH.5B0503	State of Ohio 5.25% 05/01/03									
PUR	03-22-00	216245	1,000,000.000	101.440	1,014,400.00				1,000,000	1,014,400.00	State of Ohio 5.25% 05/01/03
IAM	04-30-00	219128			0.00						AMORTIZATION
IAM	05-31-00	222474			0.00						AMORTIZATION
IAM	06-30-00	225622			0.00						AMORTIZATION
IAM	07-31-00	229478			0.00						AMORTIZATION
IAM	08-31-00	233348			0.00						AMORTIZATION
IAM	09-30-00	237395			0.00						AMORTIZATION
IAM	10-31-00	239362			0.00						AMORTIZATION
IAM	11-30-00	242359			0.00						AMORTIZATION
IAM	12-31-00	247727			0.00						AMORTIZATION
	TOTAL -		1,000,000.000		1,014,400.00	0.00	0.00	0.00	1,000,000	1,014,400.00	
OFFIT1	OH.5D0226	Ohio Turnpike 5.5% 02/15/26									
PUR	06-02-00	223970	1,000,000.000	103.698	1,036,980.00				1,000,000	1,036,980.00	Ohio Turnpike Comm 5.5% 02/26
IAM	06-30-00	225666			0.00						AMORTIZATION
IAM	07-31-00	229522			0.00						AMORTIZATION
IAM	08-31-00	233393			0.00						AMORTIZATION
IAM	09-30-00	236335			0.00						AMORTIZATION
IAM	10-31-00	239406			0.00						AMORTIZATION
IAM	11-30-00	242402			0.00						AMORTIZATION
IAM	12-31-00	247770			0.00						AMORTIZATION
	TOTAL -		1,000,000.000		1,036,980.00	0.00	0.00	0.00	1,000,000	1,036,980.00	
MG1	OH.5D0226	Ohio Turnpike 5.5% 02/15/26									
PUR	09-22-00	235472	1,550,000.000	105 710	1,638,505.00				1,550,000	1,638,505 00	Ohio Turnpike 5 5% 02/15/26
IAM	09-30-00	237396			0.00						AMORTIZATION
IAM	10-31-00	239363			0.00						AMORTIZATION

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	11-30-00	242360			0.00						AMORTIZATION
IAM	12-31-00	247728			0.00						AMORTIZATION
	TOTAL -		1,550,000.000		1,638,505.00	0.00	0.00	0.00	1,550,000	1,638,505.00	
SAW1	OH.5O1209	Hamilton Cty tax 5.5% 12/01/09									
PUR	11-01-00	240808	1,000,000.000	105.166	1,051,660.00				1,000,000	1,051,660.00	Hamilton Cty Tax 5.5% 12/1/09
IAM	11-30-00	242447			0.00						AMORTIZATION
IAM	12-31-00	247816			0.00						AMORTIZATION
	TOTAL -		1,000,000.000		1,051,660.00	0.00	0.00	0.00	1,000,000	1,051,660.00	
SAW1	OR.4B0609	Portland OR LTD Tx 4.2% 6/1/09									
SAL	05-31-00	222414	-135,000.000	100.000		135,000.00	-133,650.00	1,350.00			Portland OR Tax 4.2% 6/1/09
SAL	11-30-00	242302	-70,000.000	100.000		70,000.00	-69,300.00	700.00			City of Portland 4.2% 6/1/09
	TOTAL -		-205,000.000		0.00	205,000.00	-202,950.00	2,050.00	0	0.00	
SAW1	OR.5D0605	Multnomah Cty OR 5.5% 06/01/05									
IAM	01-31-00	211037			0.00						AMORTIZATION
IAM	02-29-00	213120			0.00						AMORTIZATION
IAM	03-31-00	216669			0.00						AMORTIZATION
IAM	04-30-00	219209			0.00						AMORTIZATION
IAM	05-31-00	222555			0.00						AMORTIZATION
IAM	06-30-00	225708			0.00						AMORTIZATION
IAM	07-31-00	229564			0.00						AMORTIZATION
IAM	08-31-00	233435			0.00						AMORTIZATION
IAM	09-30-00	236380			0.00						AMORTIZATION
IAM	10-31-00	239451			0.00						AMORTIZATION
IAM	11-30-00	242440			0.00						AMORTIZATION
IAM	12-31-00	247817			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
OPPIT1	PA.6D1104	Com. of PA 6.5% 11/01/04									
IAM	01-31-00	211004			0.00						AMORTIZATION
IAM	01-31-00	211005			0.00						AMORTIZATION

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	02-29-00	213095			0.00						AMORTIZATION
IAM	02-29-00	213096			0.00						AMORTIZATION
IAM	03-31-00	216632			0.00						AMORTIZATION
IAM	03-31-00	216633			0.00						AMORTIZATION
IAM	04-30-00	219170			0.00						AMORTIZATION
IAM	04-30-00	219171			0.00						AMORTIZATION
IAM	05-31-00	222516			0.00						AMORTIZATION
IAM	05-31-00	222517			0.00						AMORTIZATION
IAM	06-30-00	225667			0.00						AMORTIZATION
IAM	06-30-00	225668			0.00						AMORTIZATION
IAM	07-31-00	229523			0.00						AMORTIZATION
IAM	07-31-00	229524			0.00						AMORTIZATION
IAM	08-31-00	233394			0.00						AMORTIZATION
IAM	08-31-00	233395			0.00						AMORTIZATION
IAM	09-30-00	236336			0.00						AMORTIZATION
IAM	09-30-00	236337			0.00						AMORTIZATION
IAM	10-31-00	239407			0.00						AMORTIZATION
IAM	10-31-00	239408			0.00						AMORTIZATION
IAM	11-30-00	242403			0.00						AMORTIZATION
IAM	11-30-00	242404			0.00						AMORTIZATION
IAM	12-31-00	247771			0.00						AMORTIZATION
IAM	12-31-00	247772			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0 00	0.00	0.00	0	0.00	
MG1	PA.6F1200	Pa Higher Ed Assistance Agency									
SAL	02-01-00	211615	-400,000.000	101.476		405,904 00	-400,000.00	5,904 00			PA Higher Education 6 8% 12/00
SAL	12-19-00	243161	-80,000.000	100.000		80,000.00	-80,000 00	0 00			PENNSYLVANIA HIGHER EDUCATION ASSISTANCE AGENCY STUDENT LOAN REV REF 6.80% A DEC
	TOTAL -		-480,000.000		0.00	485,904 00	-480,000 00	5,904 00	0	0.00	
MG1	PA 7C0904	Philadelphia Wtr&Swr 7.35 0904									
PUR	03-15-00	213978	1,325,000.000	107.251	1,421,075.75				1,240,000	1,329,912 40	Philadelphia Wtr & Swr 7.35%
IAM	03-31-00	216592			0.00						AMORTIZATION
IAM	04-30-00	219129			0.00						AMORTIZATION
IAM	05-31-00	222475			0 00						AMORTIZATION
IAM	06-30-00	225623			0.00						AMORTIZATION

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	07-31-00	229369	-85,000.000	100.000		85,000.00	-91,163.35	-6,163.35			Philadelphia Wtr 7.35% 9/1/04
IAM	07-31-00	229479			0.00						AMORTIZATION
IAM	08-31-00	233349			0.00						AMORTIZATION
IAM	09-30-00	237397			0.00						AMORTIZATION
IAM	10-31-00	239364			0.00						AMORTIZATION
IAM	11-30-00	242361			0.00						AMORTIZATION
IAM	12-31-00	247729			0.00						AMORTIZATION
	TOTAL -		1,240,000.000		1,421,075.75	85,000.00	-91,163.35	-6,163.35	1,240,000	1,329,912.40	
MG1	PA.UofPADM U of PA Ser C DEMAND										
SAL	01-19-00	207963	-69,000.000	100.000		69,000.00	-69,000.00	0.00			Univeraity of PA Ser C
SAL	02-01-00	211612	-30,000.000	100.000		30,000.00	-30,000.00	0.00			Univ of PA Ser C Int Demand
	TOTAL -		-99,000.000		0.00	99,000.00	-99,000.00	0.00	0	0.00	
MG1	Pierpont	J.P. Morgan Funds									
PUR	11-03-00	242313	552,973.000	1.000	552,973.00				0	0.00	JPM Institutional Prime MM Fd
SAL	11-07-00	242316	-552,973.000	1.000		552,973.00	-552,973.00	0.00			JPM Institutional Prime MM Fd
	TOTAL -		0.000		552,973.00	552,973.00	-552,973.00	0.00	0	0.00	
SAM1	RI.5B1004	Rhode Ialand H&M 5.35% 10/04									
PUR	06-15-00	223998	500,000.000	99.200	496,000.00				500,000	496,000.00	R.I. H & M Fin. 5.35% 10/1/04
	TOTAL -		500,000.000		496,000.00	0.00	0.00	0.00	500,000	496,000.00	
MG1	RI.70403	Pawtucket RI 7% 4/15/03									
IAM	01-31-00	210967			0.00						AMORTIZATION
IAM	02-29-00	213057			0.00						AMORTIZATION
IAM	03-31-00	216593			0.00						AMORTIZATION
IAM	04-30-00	219130			0.00						AMORTIZATION
IAM	05-31-00	222476			0.00						AMORTIZATION
IAM	06-30-00	225624			0.00						AMORTIZATION
IAM	07-31-00	229480			0.00						AMORTIZATION
IAM	08-31-00	233350			0.00						AMORTIZATION
IAM	09-30-00	237398			0.00						AMORTIZATION

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

05-03-01
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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	10-31-00	239365			0.00						AMORTIZATION
IAM	11-30-00	242362			0.00						AMORTIZATION
IAM	12-31-00	247730			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0 00	0.00	0.00	0	0.00	
MG1	RI.7D0814	RI Dep Economic 7.5% 8/1/14									
IAM	01-31-00	210968			0.00						AMORTIZATION
IAM	01-31-00	210969			0.00						AMORTIZATION
IAM	02-29-00	213058			0.00						AMORTIZATION
IAM	02-29-00	213059			0.00						AMORTIZATION
IAM	03-31-00	216594			0.00						AMORTIZATION
IAM	03-31-00	216595			0.00						AMORTIZATION
IAM	04-30-00	219131			0.00						AMORTIZATION
IAM	04-30-00	219132			0.00						AMORTIZATION
IAM	05-31-00	222477			0.00						AMORTIZATION
IAM	05-31-00	222478			0.00						AMORTIZATION
IAM	06-30-00	225625			0.00						AMORTIZATION
IAM	06-30-00	225626			0.00						AMORTIZATION
IAM	07-31-00	229481			0.00						AMORTIZATION
IAM	07-31-00	229482			0.00						AMORTIZATION
IAM	08-31-00	233351			0.00						AMORTIZATION
IAM	08-31-00	233352			0.00						AMORTIZATION
IAM	09-30-00	237399			0.00						AMORTIZATION
IAM	09-30-00	237400			0.00						AMORTIZATION
SAL	10-27-00	238490	-1,000,000.000	104.220		1,042,200 00	-1,104,480 00	-62,280 00			RI Deposit Econ 7.5% 08/01/14
IAM	10-31-00	239366			0.00						AMORTIZATION
IAM	10-31-00	239367			0.00						AMORTIZATION
IAM	11-30-00	242363			0.00						AMORTIZATION
IAM	11-30-00	242364			0.00						AMORTIZATION
IAM	12-31-00	247731			0.00						AMORTIZATION
IAM	12-31-00	247732			0.00						AMORTIZATION
	TOTAL -		-1,000,000 000		0 00	1,042,200 00	-1,104,480 00	-62,280.00	0	0 00	
SAW1	SC.50805	Charleston Cty SC 5% 8/15/05									
IAM	01-31-00	211038			0 00						AMORTIZATION

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	02-29-00	213129			0.00						AMORTIZATION
IAM	03-31-00	216670			0.00						AMORTIZATION
IAM	04-30-00	219210			0.00						AMORTIZATION
IAM	05-31-00	222556			0.00						AMORTIZATION
IAM	06-30-00	225709			0.00						AMORTIZATION
IAM	07-31-00	229565			0.00						AMORTIZATION
IAM	08-31-00	233436			0.00						AMORTIZATION
IAM	09-30-00	236381			0.00						AMORTIZATION
IAM	10-31-00	239452			0.00						AMORTIZATION
IAM	11-30-00	242449			0.00						AMORTIZATION
IAM	12-31-00	247818			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
SAW1	SC.5E0710	SC Mdl Univ 5.625% 07/01/10									
SAL	09-28-00	236235	-725,000.000	93.744		679,644.00	-723,484.75	-43,840.75			Med Univ S.C. 5 5/8% 07/01/10
	TOTAL -		-725,000.000		0.00	679,644.00	-723,484.75	-43,840.75	0	0.00	
SAW1	SC.61208	Charleston CTY SC 6% 12/01/08									
PUR	07-06-00	226971	600,000.000	106.284	637,704.00				600,000	637,704.00	Charleston CNTY 6% 12/01/08
IAM	07-31-00	229566			0.00						AMORTIZATION
IAM	08-31-00	233437			0.00						AMORTIZATION
IAM	09-30-00	236382			0.00						AMORTIZATION
IAM	10-31-00	239453			0.00						AMORTIZATION
IAM	11-30-00	242450			0.00						AMORTIZATION
IAM	12-31-00	247819			0.00						AMORTIZATION
	TOTAL -		600,000.000		637,704.00	0.00	0.00	0.00	600,000	637,704.00	
MG1	SC.6B0122	Piedmont Mun Power 6.3% 1/1/22									
PUR	07-26-00	227919	2,000,000.000	105.798	2,115,960.00				2,000,000	2,115,960.00	Piedmont Mun Power 6.3% 1/1/22
IAM	08-31-00	233353			0.00						AMORTIZATION
IAM	09-30-00	237401			0.00						AMORTIZATION
IAM	10-31-00	239368			0.00						AMORTIZATION
IAM	11-30-00	242365			0.00						AMORTIZATION
IAM	12-31-00	247733			0.00						AMORTIZATION

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Market Street Trust Company
PURCHASE / SALE DETAIL NISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		2,000,000.000		2,115,960.00	0.00	0.00	0.00	2,000,000	2,115,960.00	
DFFIT1	TN.5D0408	Shelby Cty Schl 5.5% 04/01/08									
PUR	09-26-00	235339	3,000,000.000	104.797	3,143,910.00				3,000,000	3,143,910.00	Shelby Cty Schl 5.5% 04/01/08
IAM	10-31-00	239409			0.00						AMORTIZATION
IAM	11-30-00	242405			0.00						AMORTIZATION
IAM	12-31-00	247773			0.00						AMORTIZATION
	TOTAL -		3,000,000.000		3,143,910.00	0.00	0.00	0 00	3,000,000	3,143,910.00	
SAW1	TN.6C0703	TN Hsg Dev 6.4% 07/01/06									
IAM	01-31-00	211039			0.00						AMORTIZATION
IAM	02-29-00	213130			0.00						AMORTIZATION
IAM	03-31-00	216671			0.00						AMORTIZATION
IAM	04-30-00	219211			0.00						AMORTIZATION
IAM	05-31-00	222557			0.00						AMORTIZATION
IAM	06-30-00	225710			0.00						AMORTIZATION
IAM	07-31-00	229567			0.00						AMORTIZATION
IAM	08-31-00	233438			0.00						AMORTIZATION
IAM	09-30-00	236383			0.00						AMORTIZATION
IAM	10-31-00	239454			0.00						AMORTIZATION
IAM	11-30-00	242451			0.00						AMORTIZATION
IAM	12-31-00	247820			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
SAW1	TX.4D0806	TX Schl Bldg 4.5% 08-15-06									
SAL	09-15-00	234955	-200,000.000	99.382		198,764.00	-203,752.00	-4,988.00			Plugerville Bldg Tx 4.5% 8/06
	TOTAL -		-200,000.000		0.00	198,764.00	-203,752 00	-4,988.00	0	0.00	
DFFIT1	TX.4D1005	Harris Ct TX 4.5% 10/01/05									
IAM	01-31-00	211006			0.00						AMORTIZATION
IAM	02-29-00	213097			0.00						AMORTIZATION
IAM	03-31-00	216634			0.00						AMORTIZATION
IAM	04-30-00	219172			0.00						AMORTIZATION
IAM	05-31-00	222518			0.00						AMORTIZATION

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	06-30-00	225669			0.00						AMORTIZATION
IAM	07-31-00	229525			0.00						AMORTIZATION
IAM	08-31-00	233396			0.00						AMORTIZATION
IAM	09-30-00	236338			0.00						AMORTIZATION
IAM	10-31-00	239410			0.00						AMORTIZATION
IAM	11-30-00	242406			0.00						AMORTIZATION
IAM	12-31-00	247774			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
OFFIT1	TX.4E0603	TEXAS RV 4.600% 06/01/03									
SAL	11-02-00	240957	-515,000.000	99.026		509,983.90	-515,000.00	-5,016.10			State of Tex Rev 4.6% 6/1/03
	TOTAL -		-515,000.000		0.00	509,983.90	-515,000.00	-5,016.10	0	0.00	
SAW1	TX.50206	Dallaa TX Ref & I 5% 2/15/06									
IAM	01-31-00	211040			0.00						AMORTIZATION
IAM	02-29-00	213131			0.00						AMORTIZATION
IAM	03-31-00	216672			0.00						AMORTIZATION
IAM	04-30-00	219212			0.00						AMORTIZATION
IAM	05-31-00	222558			0.00						AMORTIZATION
IAM	06-30-00	225711			0.00						AMORTIZATION
IAM	07-31-00	229568			0.00						AMORTIZATION
IAM	08-31-00	233439			0.00						AMORTIZATION
IAM	09-30-00	236384			0.00						AMORTIZATION
IAM	10-31-00	239455			0.00						AMORTIZATION
SAL	11-15-00	240975	-965,000.000	101.500		979,475.00	-1,019,136.50	-39,661.50			CITY OF DALLAS TEX REF & IMPT LIMITED TAX 5% FEB 15 2006 DTD 11/1/98 WELD BY DTC
	TOTAL -		-965,000.000		0.00	979,475.00	-1,019,136.50	-39,661.50	0	0.00	
SAW1	TX.50805	Waxahahchie TX iad 5.0% 8/15/0									
IAM	01-31-00	211041			0.00						AMORTIZATION
IAM	02-29-00	213132			0.00						AMORTIZATION
IAM	03-31-00	216673			0.00						AMORTIZATION
IAM	04-30-00	219213			0.00						AMORTIZATION
IAM	05-31-00	222559			0.00						AMORTIZATION
IAM	06-30-00	225712			0.00						AMORTIZATION

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	07-31-00	229569			0.00						AMORTIZATION
IAM	08-31-00	233440			0.00						AMORTIZATION
IAM	09-30-00	236385			0.00						AMORTIZATION
IAM	10-31-00	239456			0.00						AMORTIZATION
IAM	11-30-00	242452			0.00						AMORTIZATION
SAL	12-05-00	243228	-300,000.000	101.804		305,412.00	-307,746.00	-2,334.00			WAXAHACHIE INDEPENDENT SCHOOL DISRICT TEX SCH DLBG REF PERMANENT SCH FUND GTD UN
IAM	12-31-00	247821			0.00						AMORTIZATION
	TOTAL -		-300,000.000		0.00	305,412.00	-307,746.00	-2,334 00	0	0.00	
MG1	TX.5B0806	Dlas TX Conv Ctr 5.25% 8/15/06									
IAM	01-31-00	210970			0.00						AMORTIZATION
IAM	02-29-00	213060			0.00						AMORTIZATION
IAM	03-31-00	216596			0.00						AMORTIZATION
IAM	04-30-00	219133			0.00						AMORTIZATION
IAM	05-31-00	222479			0.00						AMORTIZATION
IAM	06-30-00	225627			0.00						AMORTIZATION
IAM	07-31-00	229483			0.00						AMORTIZATION
IAM	08-31-00	233354			0.00						AMORTIZATION
IAM	09-30-00	237402			0.00						AMORTIZATION
IAM	10-31-00	239369			0.00						AMORTIZATION
IAM	11-30-00	242366			0.00						AMORTIZATION
IAM	12-31-00	247734			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
SAW1	TX.5C0805	LV Ind Schl 5.40% Dle 08/15/05									
SAL	11-30-00	242304	-545,000.000	103.097		561,878 65	-570,249 85	-8,371 20			Largo Vista Schl 5.4% 08/15/05
	TOTAL -		-545,000.000		0.00	561,878 65	-570,249 85	-8,371.20	0	0.00	
SAW1	TX.5D0206	Ft Wth TX Wtr&Swr 5.5% 8/15/06									
IAM	01-31-00	211042			0.00						AMORTIZATION
IAM	01-31-00	211043			0.00						AMORTIZATION
IAM	02-29-00	213133			0.00						AMORTIZATION
IAM	02-29-00	213134			0.00						AMORTIZATION
IAM	03-31-00	216674			0.00						AMORTIZATION

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	03-31-00	216675			0.00						AMORTIZATION
IAM	04-30-00	219214			0.00						AMORTIZATION
IAM	04-30-00	219215			0.00						AMORTIZATION
IAM	05-31-00	222560			0.00						AMORTIZATION
IAM	05-31-00	222561			0.00						AMORTIZATION
IAM	06-30-00	225713			0.00						AMORTIZATION
IAM	06-30-00	225714			0.00						AMORTIZATION
IAM	07-31-00	229570			0.00						AMORTIZATION
IAM	07-31-00	229571			0.00						AMORTIZATION
IAM	08-31-00	233441			0.00						AMORTIZATION
IAM	08-31-00	233442			0.00						AMORTIZATION
IAM	09-30-00	236386			0.00						AMORTIZATION
IAM	09-30-00	236387			0.00						AMORTIZATION
IAM	10-31-00	239457			0.00						AMORTIZATION
IAM	10-31-00	239458			0.00						AMORTIZATION
IAM	11-30-00	242453			0.00						AMORTIZATION
IAM	11-30-00	242454			0.00						AMORTIZATION
IAM	12-31-00	247822			0.00						AMORTIZATION
IAM	12-31-00	247823			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
SAW1	TX.5D0207	Donna TX Ind Schl 5.5% 2/15/07									
IAM	01-31-00	211044			0.00						AMORTIZATION
IAM	02-29-00	213135			0.00						AMORTIZATION
IAM	03-31-00	216676			0.00						AMORTIZATION
IAM	04-30-00	219216			0.00						AMORTIZATION
IAM	05-31-00	222562			0.00						AMORTIZATION
IAM	06-30-00	225715			0.00						AMORTIZATION
IAM	07-31-00	229572			0.00						AMORTIZATION
IAM	08-31-00	233443			0.00						AMORTIZATION
IAM	09-30-00	236388			0.00						AMORTIZATION
IAM	10-31-00	239459			0.00						AMORTIZATION
IAM	11-30-00	242455			0.00						AMORTIZATION
IAM	12-31-00	247824			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MG1	TX.5D1206	Houston Wtr&Swr 5.5% 12/01/06									
PUR	06-09-00	223936	1,000,000.000	102.564	1,025,640.00				1,000,000	1,025,640.00	Houston Wtr&Swr 5.5% 12/01/06
IAM	06-30-00	225628			0.00						AMORTIZATION
IAM	07-31-00	229484			0.00						AMORTIZATION
IAM	08-31-00	233355			0.00						AMORTIZATION
IAM	09-30-00	237403			0.00						AMORTIZATION
IAM	10-31-00	239370			0.00						AMORTIZATION
IAM	11-30-00	242367			0.00						AMORTIZATION
IAM	12-31-00	247735			0.00						AMORTIZATION
	TOTAL -		1,000,000.000		1,025,640.00	0.00	0.00	0.00	1,000,000	1,025,640.00	
OFFIT1	TX.5F0404	Dallas TX Swr&Wtr 5.75% 4/1/04									
IAM	01-31-00	211007			0.00						AMORTIZATION
IAM	02-29-00	213098			0.00						AMORTIZATION
IAM	03-31-00	216635			0.00						AMORTIZATION
IAM	04-30-00	219173			0.00						AMORTIZATION
IAM	05-31-00	222519			0.00						AMORTIZATION
IAM	06-30-00	225670			0.00						AMORTIZATION
IAM	07-31-00	229526			0.00						AMORTIZATION
IAM	08-31-00	233397			0.00						AMORTIZATION
IAM	09-30-00	236339			0.00						AMORTIZATION
IAM	10-31-00	239411			0.00						AMORTIZATION
SAL	11-17-00	240942	-1,000,000.000	103.477		1,034,770.00	-1,051,430.00	-16,660 00			CITY OF DALLAS TEX WTRWORKS & SWR SYS REV REF IMP 5 3/4% APR 1 2004 DTD 5/1/97
	TOTAL -		-1,000,000.000		0.00	1,034,770.00	-1,051,430.00	-16,660.00	0	0 00	
MG1	TX.5F1102	Dls Ft Wrth Arpt 5.75% 11/1/02									
IAM	01-31-00	210971			0.00						AMORTIZATION
IAM	02-29-00	213061			0.00						AMORTIZATION
SAL	03-27-00	213949	-1,450,000.000	102.309		1,483,480.50	-1,526,371 50	-42,891.00			Dallas Ft Worth Air 5.75%
	TOTAL -		-1,450,000.000		0.00	1,483,480.50	-1,526,371.50	-42,891 00	0	0.00	
SAW1	TX.60204	TX Schl Fd LTD 6.000% 02/01/04									
IAM	01-31-00	211045			0.00						AMORTIZATION

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	02-01-00	211677	-100,000.000	103.280		103,280.00	-107,623.00	-4,343.00			Spring Branch Independent Scho
IAM	02-29-00	213136			0.00						AMORTIZATION
IAM	03-31-00	216677			0.00						AMORTIZATION
IAM	04-30-00	219217			0.00						AMORTIZATION
IAM	05-31-00	222563			0.00						AMORTIZATION
IAM	06-30-00	225716			0.00						AMORTIZATION
IAM	07-31-00	229573			0.00						AMORTIZATION
IAM	08-31-00	233444			0.00						AMORTIZATION
IAM	09-30-00	236389			0.00						AMORTIZATION
IAM	10-31-00	239460			0.00						AMORTIZATION
SAL	11-06-00	240969	-300,000.000	104.145		312,435.00	-322,869.00	-10,434.00			SPRING BRANCH INDEPENDENT SCHOOL DISTRICT TEX SCH BLDG PREMANENT SCHOOL FUND LI>
IAM	11-30-00	242456			0.00						AMORTIZATION
IAM	12-31-00	247825			0.00						AMORTIZATION
	TOTAL -		-400,000.000		0.00	415,715.00	-430,492.00	-14,777.00	0	0.00	
SAW1	TX.60210	McKinney Schl Bldg 6% 2/15/10									
PUR	07-20-00	229412	1,000,000.000	107.397	1,073,970.00				1,000,000	1,073,970.00	McKinney Schl Bldg 6% 02/15/10
IAM	08-31-00	233445			0.00						AMORTIZATION
IAM	09-30-00	236390			0.00						AMORTIZATION
IAM	10-31-00	239461			0.00						AMORTIZATION
IAM	11-30-00	242457			0.00						AMORTIZATION
IAM	12-31-00	247826			0.00						AMORTIZATION
	TOTAL -		1,000,000.000		1,073,970.00	0.00	0.00	0.00	1,000,000	1,073,970.00	
OFFIT1	TX.60907	AustinTX Pub Imp Lt 6% 9/1/07									
IAM	01-31-00	211008			0.00						AMORTIZATION
IAM	02-29-00	213099			0.00						AMORTIZATION
IAM	03-31-00	216636			0.00						AMORTIZATION
IAM	04-30-00	219174			0.00						AMORTIZATION
IAM	05-31-00	222520			0.00						AMORTIZATION
IAM	06-30-00	225671			0.00						AMORTIZATION
IAM	07-31-00	229527			0.00						AMORTIZATION
IAM	08-31-00	233398			0.00						AMORTIZATION
IAM	09-30-00	236340			0.00						AMORTIZATION
IAM	10-31-00	239412			0.00						AMORTIZATION

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	11-30-00	242407			0.00						AMORTIZATION
IAM	12-31-00	247775			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
MG1	TX.60909	City of Austin 6% 09/01/09									
PUR	03-02-00	213964	1,360,000.000	104.985	1,427,796.00				1,360,000	1,427,796 00	Austin TX Pub Impt 6% 09/09
IAM	03-31-00	216597			0.00						AMORTIZATION
IAM	04-30-00	219134			0.00						AMORTIZATION
IAM	05-31-00	222480			0.00						AMORTIZATION
IAM	06-30-00	225629			0.00						AMORTIZATION
IAM	07-31-00	229485			0.00						AMORTIZATION
IAM	08-31-00	233356			0.00						AMORTIZATION
IAM	09-30-00	237404			0.00						AMORTIZATION
IAM	10-31-00	239371			0 00						AMORTIZATION
IAM	11-30-00	242368			0.00						AMORTIZATION
IAM	12-31-00	247736			0 00						AMORTIZATION
	TOTAL -		1,360,000 000		1,427,796 00	0 00	0 00	0 00	1,360,000	1,427,796 00	
MG1	TX 61003	TX Public Fnce 6% 10/1/03									
IAM	01-31-00	210972			0.00						AMORTIZATION
IAM	02-29-00	213062			0.00						AMORTIZATION
IAM	03-31-00	216598			0.00						AMORTIZATION
IAM	04-30-00	219135			0.00						AMORTIZATION
IAM	05-31-00	222481			0.00						AMORTIZATION
IAM	06-30-00	225630			0.00						AMORTIZATION
IAM	07-31-00	229486			0.00						AMORTIZATION
IAM	08-31-00	233357			0 00						AMORTIZATION
IAM	09-30-00	237405			0.00						AMORTIZATION
IAM	10-31-00	239372			0.00						AMORTIZATION
IAM	11-30-00	242369			0 00						AMORTIZATION
IAM	12-31-00	247737			0.00						AMORTIZATION
	TOTAL -		0.000		0 00	0.00	0.00	0 00	0	0 00	
MG1	TX.61008	TX Pbl Fnce 6% 10/01/08									

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	01-31-00	210973			0.00						AMORTIZATION
IAM	02-29-00	213063			0.00						AMORTIZATION
IAM	03-31-00	216599			0.00						AMORTIZATION
IAM	04-30-00	219136			0.00						AMORTIZATION
IAM	05-31-00	222482			0.00						AMORTIZATION
IAM	06-30-00	225631			0.00						AMORTIZATION
IAM	07-31-00	229487			0.00						AMORTIZATION
IAM	08-31-00	233358			0.00						AMORTIZATION
IAM	09-30-00	237406			0.00						AMORTIZATION
IAM	10-31-00	239373			0.00						AMORTIZATION
SAL	11-02-00	240909	-1,000,000.000	108.174		1,081,740.00	-1,063,470.00	18,270.00			TEXAS PUBLIC FINANCE AUTH REF PUB FINANCE AUTH UNLIMITED TAX 6% C OCT 1 2008 DT
IAM	11-30-00	242370			0.00						AMORTIZATION
IAM	12-31-00	247736			0.00						AMORTIZATION
	TOTAL -		-1,000,000.000		0.00	1,081,740.00	-1,063,470.00	18,270.00	0	0.00	
SAW1	TX.6A0205	LaPrte TX ISD 6.25% 02/15/05									
IAM	01-31-00	211046			0.00						AMORTIZATION
IAM	02-29-00	213137			0.00						AMORTIZATION
IAM	03-31-00	216676			0.00						AMORTIZATION
IAM	04-30-00	219218			0.00						AMORTIZATION
IAM	05-31-00	222564			0.00						AMORTIZATION
IAM	06-30-00	225717			0.00						AMORTIZATION
IAM	07-31-00	229574			0.00						AMORTIZATION
IAM	08-31-00	233446			0.00						AMORTIZATION
IAM	09-30-00	236391			0.00						AMORTIZATION
IAM	10-31-00	239462			0.00						AMORTIZATION
IAM	11-30-00	242458			0.00						AMORTIZATION
IAM	12-31-00	247827			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
MG1	TX.6D0808	Harris Cty Toll Rd 6.5% 08/06									
PUR	06-09-00	223934	2,000,000.000	108.901	2,178,020.00				2,000,000	2,178,020.00	Harris Cty Toll Rd 6.5% 08/08
IAM	06-30-00	225632			0.00						AMORTIZATION
IAM	07-31-00	229488			0.00						AMORTIZATION
IAM	08-31-00	233359			0.00						AMORTIZATION

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	09-30-00	237407			0.00						AMORTIZATION
IAM	10-31-00	239374			0.00						AMORTIZATION
IAM	11-30-00	242371			0.00						AMORTIZATION
IAM	12-31-00	247739			0.00						AMORTIZATION
	TOTAL -		2,000,000.000		2,178,020.00	0.00	0.00	0.00	2,000,000	2,178,020.00	
MG1	TX.6D1105	Austin TX Util 6.5% 11/15/05									
IAM	01-31-00	210974			0.00						AMORTIZATION
IAM	02-29-00	213064			0.00						AMORTIZATION
IAM	03-31-00	216600			0.00						AMORTIZATION
IAM	04-30-00	219137			0.00						AMORTIZATION
CNVFR	05-01-00	222388	-20,000.000	99.986		20,756.40	-20,756 40	0.00			Austin TX 6.5 due to escrow
IAM	05-31-00	222483			0.00						AMORTIZATION
IAM	06-30-00	225633			0.00						AMORTIZATION
IAM	07-31-00	229489			0.00						AMORTIZATION
IAM	08-31-00	233360			0.00						AMORTIZATION
IAM	09-30-00	237408			0.00						AMORTIZATION
IAM	10-31-00	239375			0.00						AMORTIZATION
IAM	11-3C-00	242372			0.00						AMORTIZATION
IAM	12-31-00	247740			0.00						AMORTIZATION
	TOTAL -		-20,000.000		0.00	20,756.40	-20,756.40	0.00	0	0.00	
MG1	TX.6D1105A	Austin TX 6.5% 11/15/05-Escrow									
CNVTO	05-01-00	222388	20,000.000	103.782	20,756.40				20,000	20,756 40	Austin TX 6.5 due to escrow
	TOTAL -		20,000.000		20,756.40	0.00	0.00	0.00	20,000	20,756 40	
SAW1	TX.6F0204	Alief Indep. Schl 6.750% 02/04									
PUR	03-01-00	216299	1,600,000.000	106.223	1,699,568.00				0	0.00	Alief Ind Schl TX 6.75% 02/04
IAM	03-31-00	216679			0.00						AMORTIZATION
IAM	04-30-00	219219			0.00						AMORTIZATION
IAM	05-31-00	222565			0.00						AMORTIZATION
IAM	06-30-00	225718			0.00						AMORTIZATION
IAM	07-31-00	229575			0.00						AMORTIZATION
IAM	08-31-00	233447			0.00						AMORTIZATION

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	09-30-00	236392			0.00						AMORTIZATION
IAM	10-31-00	239463			0.00						AMORTIZATION
SAL	11-30-00	242303	-1,600,000.000	106.346		1,701,536.00	-1,699,568.00	1,968.00			Alief Schl Dist 6.75% 02/15/04
	TOTAL -		0.000		1,699,568.00	1,701,536.00	-1,699,568.00	1,968.00	0	0.00	
SAW1	TX.6P0806	Brwnvle Sch Dist 6.75% 8/15/06									
IAM	01-31-00	211047			0.00						AMORTIZATION
IAM	02-29-00	213138			0.00						AMORTIZATION
IAM	03-31-00	216680			0.00						AMORTIZATION
IAM	04-30-00	219220			0.00						AMORTIZATION
IAM	05-31-00	222566			0.00						AMORTIZATION
IAM	06-30-00	225719			0.00						AMORTIZATION
IAM	07-31-00	229576			0.00						AMORTIZATION
IAM	08-31-00	233448			0.00						AMORTIZATION
IAM	09-30-00	236393			0.00						AMORTIZATION
IAM	10-31-00	239464			0.00						AMORTIZATION
IAM	11-30-00	242459			0.00						AMORTIZATION
IAM	12-31-00	247828			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
SAW1	TX.70806	Austin Indep. Schl Tx 7% 08/06									
PUR	03-07-00	216304	800,000.000	109.902	879,216.00				800,000	879,216.00	Austin Ind Schl 7% 08/01/06
IAM	03-31-00	216681			0.00						AMORTIZATION
IAM	04-30-00	219221			0.00						AMORTIZATION
IAM	05-31-00	222567			0.00						AMORTIZATION
IAM	06-30-00	225720			0.00						AMORTIZATION
IAM	07-31-00	229577			0.00						AMORTIZATION
IAM	08-31-00	233449			0.00						AMORTIZATION
IAM	09-30-00	236394			0.00						AMORTIZATION
IAM	10-31-00	239465			0.00						AMORTIZATION
IAM	11-30-00	242460			0.00						AMORTIZATION
IAM	12-31-00	247829			0.00						AMORTIZATION
	TOTAL -		800,000.000		879,216.00	0.00	0.00	0.00	800,000	879,216.00	

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Market Street Trust Company
PURCHASE / SALE DETAIL NISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MG1	TX.8G0901X AUSTIN TX 8.875% 9/1/01										
IAM	01-31-00	210975			0.00						AMORTIZATION
IAM	02-29-00	213065			0.00						AMORTIZATION
IAM	03-31-00	216601			0.00						AMORTIZATION
IAM	04-30-00	219138			0.00						AMORTIZATION
IAM	05-31-00	222484			0.00						AMORTIZATION
IAM	06-30-00	225634			0.00						AMORTIZATION
IAM	07-31-00	229490			0.00						AMORTIZATION
IAM	08-31-00	233361			0.00						AMORTIZATION
IAM	09-30-00	237409			0.00						AMORTIZATION
IAM	10-31-00	239376			0.00						AMORTIZATION
IAM	11-30-00	242373			0.00						AMORTIZATION
IAM	12-31-00	247741			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
MG1	TX.9B0410 Dallas Cty Flood Cntl 9.25%										
IAM	01-31-00	210976			0.00						AMORTIZATION
IAM	02-29-00	213066			0.00						AMORTIZATION
IAM	03-31-00	216602			0.00						AMORTIZATION
IAM	04-30-00	219139			0.00						AMORTIZATION
IAM	05-31-00	222485			0.00						AMORTIZATION
IAM	06-30-00	225635			0.00						AMORTIZATION
IAM	07-31-00	229491			0.00						AMORTIZATION
IAM	08-31-00	233362			0.00						AMORTIZATION
IAM	09-30-00	237410			0.00						AMORTIZATION
IAM	10-31-00	239377			0.00						AMORTIZATION
IAM	11-30-00	242374			0.00						AMORTIZATION
IAM	12-31-00	247742			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
MG1	TX.ADJ8/98 Conroe Ind Dev Adj 1st 9/1/98										
SAL	09-08-00	234130	-36,285.710	100.000		36,285.71	-36,285.71	0.00			Conroe Ind Dev Adj sale
	TOTAL -		-36,285.710		0.00	36,285.71	-36,285.71	0.00	0	0.00	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MG1	TX.BRAZOS	Brazos River ADJ 06/01/30									
PUR	06-05-00	223942	1,000,000.000	100.000	1,000,000.00				0	0.00	Brazos Rivere Poll Adj 06/30
SAL	12-05-00	243154	-1,000,000.000	100.154		1,001,540.00	-1,000,000.00	1,540.00			BRAZOS RIVER AUTH TEX POLL CTL REV REF COLL UTILS ELEC CO ADJ RATE B JUN 1 2030
	TOTAL -		0.000		1,000,000.00	1,001,540.00	-1,000,000.00	1,540.00	0	0.00	
MG1	TX.PIEDMNT	Piedmont College Proj. Demand									
PUR	04-07-00	218191	450,000.000	100.000	450,000.00				0	0.00	Piedmont College Proj. Demand
SAL	07-31-00	227923	-450,000.000	100.000		450,000.00	-450,000.00	0.00			Piedmont College Proj Demand
	TOTAL -		0.000		450,000.00	450,000.00	-450,000.00	0.00	0	0.00	
MG1	US.6D0609	Fed. Home Ln Bk 6.525% 6/17/09									
PUR	12-12-00	243197	1,500,000.000	101.623	1,524,345.00				1,500,000	1,524,345.00	Fed Home Ln Bk 6.525% 06/17/09
IAM	12-31-00	247743			0.00						AMORTIZATION
	TOTAL -		1,500,000.000		1,524,345.00	0.00	0.00	0.00	1,500,000	1,524,345.00	
OFFIT1	US.7B0207	Federal Home Ln Bk 7.25% 02/07									
PUR	04-14-00	218201	1,000,000.000	101.056	1,010,560.00				1,000,000	1,010,560.00	FEDERAL HOME LOAN BANK CONS 7 1/4% FEB 15 2007 DTD 2/16/2000 @ 101.056 BK OF NYC
IAM	04-30-00	219175			0.00						AMORTIZATION
IAM	05-31-00	222521			0.00						AMORTIZATION
IAM	06-30-00	225672			0.00						AMORTIZATION
IAM	07-31-00	229528			0.00						AMORTIZATION
IAM	08-31-00	233399			0.00						AMORTIZATION
IAM	09-30-00	236341			0.00						AMORTIZATION
IAM	10-31-00	239413			0.00						AMORTIZATION
IAM	11-30-00	242408			0.00						AMORTIZATION
IAM	12-31-00	247776			0.00						AMORTIZATION
	TOTAL -		1,000,000.000		1,010,560.00	0.00	0.00	0.00	1,000,000	1,010,560.00	
OFFIT1	US.7E0510	Fed. Home Ln Bk 7.625% 5/14/10									
PUR	11-27-00	241288	2,000,000.000	106.806	2,136,120.00				2,000,000	2,136,120.00	Fed Home Ln Bk 7.625% 05/14/10
IAM	11-30-00	242409			0.00						AMORTIZATION
IAM	12-31-00	247777			0.00						AMORTIZATION

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		2,000,000.000		2,136,120.00	0.00	0.00	0.00	2,000,000	2,136,120.00	
MG1	UT.5B030#	Alp Sch Dst 5.25% 03/15/08									
IAM	01-31-00	210977			0.00						AMORTIZATION
IAM	02-29-00	213067			0.00						AMORTIZATION
IAM	03-31-00	216603			0.00						AMORTIZATION
IAM	04-30-00	219140			0.00						AMORTIZATION
SAL	05-22-00	221565	-1,400,000.000	98.736		1,382,304 00	-1,507,912.00	-125,608.00			Alpine Schl Dist 5.25% 03/08
	TOTAL -		-1,400,000.000		0.00	1,382,304.00	-1,507,912.00	-125,608 00	0	0.00	
MG1	UT.5B0509	UT Ste Bldg 5.50% 05/15/09									
IAM	01-31-00	210978			0.00						AMORTIZATION
IAM	02-29-00	213068			0.00						AMORTIZATION
IAM	03-31-00	216604			0.00						AMORTIZATION
IAM	04-30-00	219141			0.00						AMORTIZATION
IAM	05-31-00	222486			0.00						AMORTIZATION
IAM	06-30-00	225636			0.00						AMORTIZATION
IAM	07-31-00	229492			0.00						AMORTIZATION
IAM	08-31-00	233363			0.00						AMORTIZATION
IAM	09-30-00	237411			0.00						AMORTIZATION
IAM	10-31-00	239378			0.00						AMORTIZATION
IAM	11-30-00	242375			0.00						AMORTIZATION
IAM	12-31-00	247744			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0 00	0	0.00	
MG1	UT.5G0512	Utah State Bldg 5.9% 05/15/12									
PUR	02-08-00	211610	1,000,000.000	103.880	1,038,800.00				1,000,000	1,038,800.00	Utah State Bldg 5.9% 05/15/12
IAM	02-29-00	213069			0.00						AMORTIZATION
IAM	03-31-00	216605			0.00						AMORTIZATION
IAM	04-30-00	219142			0.00						AMORTIZATION
IAM	05-31-00	222487			0.00						AMORTIZATION
IAM	06-30-00	225637			0.00						AMORTIZATION
IAM	07-31-00	229493			0.00						AMORTIZATION
IAM	08-31-00	233364			0.00						AMORTIZATION
IAM	09-30-00	237412			0.00						AMORTIZATION

2201

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	10-31-00	239379			0.00						AMORTIZATION
IAM	11-30-00	242376			0.00						AMORTIZATION
IAM	12-31-00	247745			0.00						AMORTIZATION
	TOTAL -		1,000,000.000		1,038,800.00	0.00	0.00	0.00	1,000,000	1,038,800.00	
SAW1	VA.50108	Hampton VA 5% 01/15/08									
IAM	01-31-00	211048			0.00						AMORTIZATION
IAM	02-29-00	213139			0.00						AMORTIZATION
IAM	03-31-00	216682			0.00						AMORTIZATION
IAM	04-30-00	219222			0.00						AMORTIZATION
IAM	05-31-00	222568			0.00						AMORTIZATION
IAM	06-30-00	225721			0.00						AMORTIZATION
IAM	07-31-00	229578			0.00						AMORTIZATION
IAM	08-31-00	233450			0.00						AMORTIZATION
IAM	09-30-00	236395			0.00						AMORTIZATION
IAM	10-31-00	239466			0.00						AMORTIZATION
IAM	11-30-00	242461			0.00						AMORTIZATION
IAM	12-31-00	247830			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
MG1	VA.5D0801	Arlgton VA 5.4% 08/01/01									
SAL	02-08-00	211617	-1,000,000.000	101.281		1,012,810.00	-1,040,270.00	-27,460.00			Arlington County VA Pub Imp Ta
	TOTAL -		-1,000,000.000		0.00	1,012,810.00	-1,040,270.00	-27,460.00	0	0.00	
SAW1	VA.60807	Virginia Public Bldg 6% 8/1/07									
PUR	01-26-00	207906	500,000.000	104.726	523,630.00				500,000	523,630.00	Virginia Public Bldg 6% 8/1/07
IAM	01-31-00	211049			0.00						AMORTIZATION
IAM	02-29-00	213140			0.00						AMORTIZATION
IAM	03-31-00	216683			0.00						AMORTIZATION
IAM	04-30-00	219223			0.00						AMORTIZATION
IAM	05-31-00	222569			0.00						AMORTIZATION
IAM	06-30-00	225722			0.00						AMORTIZATION
IAM	07-31-00	229579			0.00						AMORTIZATION
IAM	08-31-00	233451			0.00						AMORTIZATION

2202

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	09-30-00	236396			0.00						AMORTIZATION
IAM	10-31-00	239467			0.00						AMORTIZATION
IAM	11-30-00	242462			0.00						AMORTIZATION
IAM	12-31-00	247831			0.00						AMORTIZATION
	TOTAL -		500,000.000		523,630.00	0.00	0.00	0.00	500,000	523,630.00	
SAW1	VA.6C0501	VA Hsg Dev 6 45% 05/01/01									
IAM	01-31-00	211050			0.00						AMORTIZATION
IAM	02-29-00	213141			0.00						AMORTIZATION
IAM	03-31-00	216684			0.00						AMORTIZATION
IAM	04-30-00	219224			0.00						AMORTIZATION
IAM	05-31-00	222570			0.00						AMORTIZATION
IAM	06-30-00	225723			0.00						AMORTIZATION
IAM	07-31-00	229580			0.00						AMORTIZATION
IAM	08-31-00	233452			0.00						AMORTIZATION
IAM	09-30-00	236397			0.00						AMORTIZATION
IAM	10-31-00	239468			0.00						AMORTIZATION
IAM	11-30-00	242463			0.00						AMORTIZATION
IAM	12-31-00	247832			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
SAW1	VA.6C0705	VA Hsg Dev Auth 6.3% 7/1/05									
IAM	01-31-00	211051			0.00						AMORTIZATION
IAM	02-29-00	213142			0.00						AMORTIZATION
IAM	03-31-00	216685			0.00						AMORTIZATION
IAM	04-30-00	219225			0.00						AMORTIZATION
IAM	05-31-00	222571			0.00						AMORTIZATION
IAM	06-30-00	225724			0.00						AMORTIZATION
IAM	07-31-00	229581			0.00						AMORTIZATION
IAM	08-31-00	233453			0.00						AMORTIZATION
IAM	09-30-00	236398			0.00						AMORTIZATION
IAM	10-31-00	239469			0.00						AMORTIZATION
IAM	11-30-00	242464			0.00						AMORTIZATION
IAM	12-31-00	247833			0.00						AMORTIZATION

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
SAW1	VA.6D0504	VA Hsg Dev 6.5% 05-01-04									
IAM	01-31-00	211052			0.00						AMORTIZATION
IAM	02-29-00	213143			0.00						AMORTIZATION
IAM	03-31-00	216686			0.00						AMORTIZATION
IAM	04-30-00	219226			0.00						AMORTIZATION
IAM	05-31-00	222572			0.00						AMORTIZATION
IAM	06-30-00	225725			0.00						AMORTIZATION
IAM	07-31-00	229582			0.00						AMORTIZATION
IAM	08-31-00	233454			0.00						AMORTIZATION
IAM	09-30-00	236399			0.00						AMORTIZATION
IAM	10-31-00	239470			0.00						AMORTIZATION
IAM	11-30-00	242465			0.00						AMORTIZATION
IAM	12-31-00	247834			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
MG1	VA.FCDMD	Fairfax Cnty VA Ser 1990 - DMD									
SAL	02-01-00	211613	-38,000.000	100.000		38,000.00	-38,000.00	0.00			Fairfax County Econ. Dev.
PUR	03-14-00	213896	20,000.000	100.000	20,000.00				0	0.00	Fairfax Cty Econ Dev Demand
PUR	04-07-00	218148	450,000.000	100.000	450,000.00				0	0.00	FAIRFAX COUNTY ECONOMIC DEVELOPMENT AUTH VA REV SER OF 1990 AMERICAN NATIONAL RE
SAL	07-31-00	227920	-470,000.000	100.000		470,000.00	-470,000.00	0.00			Fairfax CTY Econ Dev 1990 DMD
PUR	11-08-00	240913	500,000.000	100.000	500,000.00				0	0.00	FAIRFAX COUNTY ECONOMIC DEVELOPMENT AUTH VA REV SER OF 1990 AMERICAN NATIONAL RE
SAL	12-04-00	243153	-186,000.000	100.000		186,000.00	-186,000.00	0.00			FAIRFAX COUNTY ECONOMIC DEVELOPMENT AUTH VA REV SER OF 1990 AMERICAN NATIONAL RE
SAL	12-13-00	243159	-314,000.000	100.000		314,000.00	-314,000.00	0.00			FAIRFAX COUNTY ECONOMIC DEVELOPMENT AUTH VA REV SER OF 1990 AMERICAN NATIONAL RE
	TOTAL -		-38,000.000		970,000.00	1,008,000.00	-1,008,000.00	0.00	0	0.00	
OFFIT1	WA.51205	King Cty Schl Wsh Lke 5% 12-05									
IAM	01-31-00	211009			0.00						AMORTIZATION
IAM	02-29-00	213100			0.00						AMORTIZATION
IAM	03-31-00	216637			0.00						AMORTIZATION
IAM	04-30-00	219176			0.00						AMORTIZATION
IAM	05-31-00	222522			0.00						AMORTIZATION
IAM	06-30-00	225673			0.00						AMORTIZATION
IAM	07-31-00	229529			0.00						AMORTIZATION

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	08-31-00	233400			0.00						AMORTIZATION
IAM	09-30-00	236342			0.00						AMORTIZATION
IAM	10-31-00	239414			0.00						AMORTIZATION
IAM	11-30-00	242410			0.00						AMORTIZATION
IAM	12-31-00	247778			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
SAW1	WA.5B1203	King Cty WA Stadm 5.2% 12/1/03									
SAL	07-20-00	227600	-1,000,000.000	101.774		1,017,740.00	-1,000,000.00	17,740.00			King CTY Stad. 5.2% 12/01/03
	TOTAL -		-1,000,000.000		0.00	1,017,740.00	-1,000,000 00	17,740.00	0	0.00	
SAW1	WA.60107	WA Mtr Veh Fuel Tx 6% 01/01/07									
IAM	01-31-00	211053			0.00						AMORTIZATION
IAM	02-29-00	2131.4			0.00						AMORTIZATION
IAM	03-31-00	216687			0.00						AMORTIZATION
IAM	04-30-00	219227			0.00						AMORTIZATION
IAM	05-31-00	222573			0.00						AMORTIZATION
IAM	06-30-00	225726			0.00						AMORTIZATION
IAM	07-31-00	229583			0.00						AMORTIZATION
IAM	08-31-00	233455			0.00						AMORTIZATION
IAM	09-30-00	236400			0.00						AMORTIZATION
IAM	10-31-00	239471			0.00						AMORTIZATION
IAM	11-30-00	242466			0.00						AMORTIZATION
IAM	12-31-00	247835			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
MG1	WA.60709	WA Pblc Pwr 6% 07/01/09									
IAM	01-31-00	210979			0.00						AMORTIZATION
IAM	02-29-00	213070			0.00						AMORTIZATION
IAM	03-31-00	216606			0.00						AMORTIZATION
IAM	04-30-00	219143			0.00						AMORTIZATION
IAM	05-31-00	222488			0 00						AMORTIZATION
IAM	06-30-00	225638			0.00						AMORTIZATION
IAM	07-31-00	229494			0.00						AMORTIZATION

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	08-31-00	233365			0.00						AMORTIZATION
IAM	09-30-00	237413			0.00						AMORTIZATION
IAM	10-31-00	239380			0.00						AMORTIZATION
IAM	11-30-00	242377			0.00						AMORTIZATION
IAM	12-31-00	247746			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
MG1	WI.1990B	WI Hlth & Ed 1990B Medical Col									
PUR	03-14-00	213906	3,000.000	100.000	3,000.00				0	0.00	WI HEFA Medical College 1990B
SAL	09-27-00	235479	-3,000.000	100.000		3,000.00	-3,000.00	0.00			WI HEFA Med College Demand Bd
	TOTAL -		0.000		3,000.00	3,000.00	-3,000.00	0.00	0	0.00	
MG1	WI.1994DMD	Rippon College Proj DEMAND									
PUR	03-14-00	213910	46,000.000	100.000	46,000.00				0	0.00	WI HEFA Ripon College Project
SAL	09-27-00	235483	-46,000.000	100.000		46,000.00	-46,000.00	0.00			WI HEFA Ripon College Ser 1994
	TOTAL -		0.000		46,000.00	46,000.00	-46,000.00	0.00	0	0.00	
OFFIT1	WI.50506	WI Genl Oblig 5% 05/01/06									
IAM	01-31-00	211010			0.00						AMORTIZATION
IAM	02-29-00	213101			0.00						AMORTIZATION
IAM	03-31-00	216638			0.00						AMORTIZATION
IAM	04-30-00	219177			0.00						AMORTIZATION
IAM	05-31-00	222523			0.00						AMORTIZATION
IAM	06-30-00	225674			0.00						AMORTIZATION
IAM	07-31-00	229530			0.00						AMORTIZATION
IAM	08-31-00	233401			0.00						AMORTIZATION
IAM	09-30-00	236343			0.00						AMORTIZATION
IAM	10-31-00	239415			0.00						AMORTIZATION
IAM	11-30-00	242411			0.00						AMORTIZATION
IAM	12-31-00	247779			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	

OFFIT1 WI.5C0509 WI AMT 5.40% 05/01/09

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	01-31-00	211011			0.00						AMORTIZATION
IAM	02-29-00	213102			0.00						AMORTIZATION
IAM	03-31-00	216639			0.00						AMORTIZATION
IAM	04-30-00	219178			0.00						AMORTIZATION
IAM	05-31-00	222524			0.00						AMORTIZATION
IAM	06-30-00	225675			0.00						AMORTIZATION
IAM	07-31-00	229531			0.00						AMORTIZATION
IAM	08-31-00	233402			0.00						AMORTIZATION
IAM	09-30-00	236344			0.00						AMORTIZATION
IAM	10-31-00	239416			0.00						AMORTIZATION
IAM	11-30-00	242412			0.00						AMORTIZATION
IAM	12-31-00	247780			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0 00	0.00	0.00	0	0.00	
MG1	WI.60504	WI Genl Purp 6% 05/01/04									
IAM	01-31-00	210980			0.00						AMORTIZATION
IAM	02-29-00	213071			0 00						AMORTIZATION
IAM	03-31-00	216607			0.00						AMORTIZATION
IAM	04-30-00	219144			0.00						AMORTIZATION
IAM	05-31-00	222489			0.00						AMORTIZATION
IAM	06-30-00	225639			0.00						AMORTIZATION
IAM	07-31-00	229495			0.00						AMORTIZATION
IAM	08-31-00	233366			0.00						AMORTIZATION
IAM	09-30-00	237414			0.00						AMORTIZATION
IAM	10-31-00	239381			0.00						AMORTIZATION
IAM	11-30-00	242378			0.00						AMORTIZATION
IAM	12-31-00	247747			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0 00	0 00	0 00	0	0.00	
MG1	WI.93DMD	WI H & Ed Ser 93 Beloit Coll									
PUR	03-14-00	213907	585,000.000	100.000	585,000.00				0	0 00	WI HEFA Beloit College Ser
SAL	03-23-00	213945	-585,000.000	100.000		585,000.00	-585,000 00	0.00			WI HEFA Beloit College
	TOTAL -		0.000		585,000.00	585,000.00	-585,000 00	0 00	0	0 00	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MG1	WI.93DMDX	WI Hlth & Ed-Home/Aging ADJ.									
SAL	05-01-00	220815	-860,333.330	100.000		860,333.33	-867,129.96	-6,796.63			Wisconsin HEFA Home for Aging
	TOTAL -		-860,333.330		0.00	860,333.33	-867,129.96	-6,796.63	0	0.00	
MG1	WI.95DMD	WI Ser 1995 H & Ed Demand									
PUR	03-14-00	213913	4,000.000	100.000	4,000.00				0	0.00	WI HEFA ST Norbert College
SAL	09-27-00	235484	-4,000.000	100.000		4,000.00	-4,000.00	0.00			WI HEFA ST Norbert Coll. 1995
	TOTAL -		0.000		4,000.00	4,000.00	-4,000.00	0.00	0	0.00	
MG1	WI.CARTHAG	WI H&E Carthage Coll 1992									
SAL	01-19-00	207965	-191,000.000	100.000		191,000.00	-191,000.00	0.00			Wisconsin HEFA Carthage Colleg
	TOTAL -		-191,000.000		0.00	191,000.00	-191,000.00	0.00	0	0.00	
MG1	WI.DANE	Wisconsin HEFA Dane Cty Var.									
SAL	01-03-00	207954	-14,000.000	100.000		14,000.00	-14,000.00	0.00			Wisconsin HEFA Dane County
SAL	01-19-00	207964	-1,455,000.000	100.000		1,455,000.00	-1,455,000.00	0.00			Wisconsin HEFA Dane County
	TOTAL -		-1,469,000.000		0.00	1,469,000.00	-1,469,000.00	0.00	0	0.00	
MG1	WI.H&E94DM	WI H & Ed Lutheran Prog DEMAND									
PUR	03-14-00	213911	198,000.000	100.000	198,000.00				0	0.00	WI HEFA United Lutheran Prog
SAL	03-23-00	213947	-198,000.000	100.000		198,000.00	-198,000.00	0.00			WI HEFA United Lutheran Prog
	TOTAL -		0.000		198,000.00	198,000.00	-198,000.00	0.00	0	0.00	
MG1	WI.MARIAN	WI H&E Marian Coll 1993									
PUR	03-14-00	213908	7,000.000	100.000	7,000.00				0	0.00	WI HEFA Marian College
SAL	09-27-00	235481	-7,000.000	100.000		7,000.00	-7,000.00	0.00			WI HEFA Marian College 1993
	TOTAL -		0.000		7,000.00	7,000.00	-7,000.00	0.00	0	0.00	
MG1	WI.NORBERT	WI HEFA St Norbert College Dmd									
PUR	03-14-00	213974	50,000.000	100.000	50,000.00				0	0.00	WI HEFA ST Norbert College
SAL	09-27-00	235482	-50,000.000	100.000		50,000.00	-50,000.000	0.00			WI HEFA ST Norbert College DMD

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		0.000		50,000.00	50,000.00	-50,000.00	0 00	0	0.00	
MG1	WI NURSES	WI Vst/Nurses Janesville 1994									
SAL	01-19-00	207966	-132,000.000	100.000		132,000.00	-132,000.00	0.00			Wisconsin HEFA Janesville Nurs
	TOTAL -		-132,000.000		0.00	132,000.00	-132,000.00	0.00	0	0.00	
MG1	WI RIPON	WI HEFA Ripon Coll Demand Bond									
PUR	03-14-00	213967	204,000.000	100.000	204,000.00				0	0 00	WI HEFA RIPON College
SAL	08-07-00	230889	-3,000.000	100.000		3,000.00	-3,000.00	0.00			WISCONSIN HEALTH & EDUCATIONAL FACILITIES AUTH RIPON COLLEGE PROJ SER 1993 UNDIV
SAL	09-27-00	235480	-201,000.000	100.000		201,000 00	-201,000.00	0.00			WI HEFA Ripon College Demand
	TOTAL -		0.000		204,000.00	204,000.00	-204,000.00	0.00	0	0.00	
	REPORT TOTAL -				151,587,331.34	123,476,634 30	-124,330,199.38	-853,565.08	63,067,500	66,327,992.10	

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E

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

SORT OPTION: BY GL ENTITY, INV

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
OFFIT2	JPMSWEEP	J P Morgan Money Market Sweep									
PUR	01-03-00	208023	1,267,950.000	1.000	1,267,950.00				0	0.00	Prime Money Market Sweep Fund
SAL	01-12-00	208024	-325,000.000	1.000		325,000.00	-325,000.00	0.00			Prime Money Market Sweep Fund
SAL	01-13-00	208025	-8,356.040	1.000		8,356.04	-8,356.04	0.00			Prime Money Market Sweep Fund
SAL	01-19-00	208026	-437.140	1.000		437.14	-437.14	0.00			Prime Money Market Sweep Fund
PUR	02-01-00	211360	2,500.000	1.000	2,500.00				0	0.00	Prime Money Market Sweep Fund
SAL	02-02-00	211381	-517,050.000	1.000		517,050.00	-517,050.00	0.00			Prime Money Market Sweep Fund
PUR	03-01-00	213657	847,150.000	1.000	847,150.00				0	0.00	Prime Money Market Sweep Fund
SAL	03-16-00	213656	-500,000.000	1.000		500,000.00	-500,000.00	0.00			Prime Money Market Sweep Fund
PUR	04-27-00	217643	1,178,900.000	1.000	1,178,900.00				0	0.00	PRIME MONEY MARKET SWEEP FUND
SAL	04-27-00	217644	-505,280.000	1.000		505,280.00	-505,280.00	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	04-27-00	217645	-1,053,047.780	1.000		1,053,047.78	-1,053,047.78	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	04-27-00	217646	-166.670	1.000		166.67	-166.67	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	04-27-00	217647	-23,476.670	1.000		23,476.67	-23,476.67	0.00			PRIME MONEY MARKET SWEEP FUND
PUR	05-15-00	220378	2,500.000	1.000	2,500.00				0	0.00	PRIME MONEY MARKET SWEEP FUND
SAL	05-15-00	220379	-8,617.520	1.000		8,617 52	-8,617.52	0.00			PRIME MONEY MARKET SWEEP FUND
PUR	06-06-00	223852	720,600.000	1.000	720,600.00				0	0.00	Prime Money Market Sweep Fund
SAL	06-14-00	223854	-1,026,760.000	1.000		1,026,760.00	-1,026,760.00	0.00			Prime Money Market Sweep Fund
PUR	06-21-00	223853	8,660.000	1.000	8,660.00				0	0.00	Prime Money Market Sweep Fund
SAL	06-26-00	224609	-7,910.570	1.000		7,910.57	-7,910.57	0.00			Prime Money Market Sweep Fund
PUR	07-05-00	226474	1,013,200.000	1.000	1,013,200.00				0	0.00	Prime Money Market Sweep Fund
SAL	07-19-00	226476	-547.430	1.000		547 43	-547.43	0.00			Prime Money Market Sweep Fund
SAL	08-15-00	230696	-5,500.000	1.000		5,500.00	-5,500.00	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4.30 PM EST)
SAL	08-15-00	230697	-9,806.840	1.000		9,806.84	-9,806.84	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	09-01-00	234075	1,253,900.000	1.000	1,253,900.00				0	0.00	Prime Money Market Sweep Fund
PUR	10-03-00	236161	402,800.000	1.000	402,800.00				388,259	388,259 13	Prime Money Market Sweep Fund
SAL	10-12-00	238151	-1,000,000.000	1.000		1,000,000.00	-1,000,000.00	0.00			Prime Money Market Sweep Fund
SAL	10-19-00	238152	-582.640	1.000		582.64	-582.64	0 00			Prime Money Market Sweep Fund
SAL	10-23-00	238153	-23,814.000	1.000		23,814 00	-23,814.00	0.00			Prime Money Market Sweep Fund
PUR	10-24-00	238150	1,316,751.000	1.000	1,316,751.00				1,316,751	1,316,751.00	Prime Money Market Sweep Fund
PUR	10-31-00	238467	481,205.000	1.000	481,205.00				481,205	481,205.00	Prime Money Market Sweep Fund
PUR	11-27-00	240862	1,466,900.000	1.000	1,466,900.00				1,466,900	1,466,900.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	11-27-00	240863	-1,537,640.750	1.000		1,537,640.75	-1,537,640.75	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	11-28-00	241280	-7,241.760	1.000		7,241.76	-7,241.76	0.00			Prime Money Market Sweep Fund
PUR	12-19-00	242973	36,504.000	1.000	36,504 00				36,504	36,504.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		3,438,084.190		9,999,520.00	6,561,435.81	-6,561,435.81	0.00	3,689,619	3,689,619.13	
OFFIT2	LI.5D1208	LI Power Auth Elec 5.5 12/1/08									
IAM	01-31-00	209847			0.00						AMORTIZATION
IAM	02-29-00	212423			0.00						AMORTIZATION
IAM	03-31-00	215735			0.00						AMORTIZATION
IAM	04-30-00	218694			0.00						AMORTIZATION
IAM	05-31-00	222260			0.00						AMORTIZATION
IAM	06-30-00	225416			0.00						AMORTIZATION
IAM	07-31-00	229429			0.00						AMORTIZATION
IAM	08-31-00	233298			0.00						AMORTIZATION
IAM	09-30-00	236079			0.00						AMORTIZATION
IAM	10-31-00	239311			0.00						AMORTIZATION
IAM	11-30-00	242266			0.00						AMORTIZATION
IAM	12-31-00	247564			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
OFFIT2	NY.50108	NY Brdge Auth 5% 1/1/08									
SAL	06-19-00	223855	-500,000.000	100.060		500,300.00	-497,900.00	2,400.00			NYS Bridge Auth 5% 1/1/08
	TOTAL -		-500,000.000		0.00	500,300.00	-497,900.00	2,400.00	0	0.00	
OFFIT2	NY.50202	Pwr Auth/NY Genl 5% 02/15/02									
IAM	01-31-00	209848			0.00						AMORTIZATION
IAM	02-29-00	212424			0.00						AMORTIZATION
IAM	03-31-00	215736			0.00						AMORTIZATION
IAM	04-30-00	218695			0.00						AMORTIZATION
IAM	05-31-00	222261			0.00						AMORTIZATION
IAM	06-30-00	225417			0.00						AMORTIZATION
IAM	07-31-00	229430			0.00						AMORTIZATION
IAM	08-31-00	233299			0.00						AMORTIZATION
IAM	09-30-00	236080			0.00						AMORTIZATION
IAM	10-31-00	239312			0.00						AMORTIZATION
IAM	11-30-00	242267			0.00						AMORTIZATION
IAM	12-31-00	247565			0.00						AMORTIZATION

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
OFFIT2 NY.50204	NY Pwr Auth 5% 02/15/04										
IAM	01-31-00	209849			0.00						AMORTIZATION
IAM	02-29-00	212425			0.00						AMORTIZATION
IAM	03-31-00	215737			0.00						AMORTIZATION
IAM	04-30-00	218696			0.00						AMORTIZATION
IAM	05-31-00	222262			0.00						AMORTIZATION
IAM	06-30-00	225418			0.00						AMORTIZATION
IAM	07-31-00	229431			0.00						AMORTIZATION
IAM	08-31-00	233300			0.00						AMORTIZATION
IAM	09-30-00	236081			0.00						AMORTIZATION
IAM	10-31-00	239313			0.00						AMORTIZATION
IAM	11-30-00	242268			0.00						AMORTIZATION
IAM	12-31-00	247566			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
OFFIT2 NY.50505	NYC Fnce Auth 5% 05/01/05										
IAM	01-31-00	209850			0.00						AMORTIZATION
IAM	02-29-00	212426			0.00						AMORTIZATION
IAM	03-31-00	215738			0.00						AMORTIZATION
IAM	04-30-00	218697			0.00						AMORTIZATION
IAM	05-31-00	222263			0.00						AMORTIZATION
IAM	06-30-00	225419			0.00						AMORTIZATION
IAM	07-31-00	229432			0.00						AMORTIZATION
IAM	08-31-00	233301			0.00						AMORTIZATION
IAM	09-30-00	236082			0.00						AMORTIZATION
IAM	10-31-00	239314			0.00						AMORTIZATION
IAM	11-30-00	242269			0.00						AMORTIZATION
IAM	12-31-00	247567			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
OFFIT2 NY.5051505	NYS Dorm Auth 5.25% 5/15/05										
IAM	01-31-00	209851			0.00						AMORTIZATION
IAM	02-29-00	212427			0.00						AMORTIZATION

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	03-31-00	215739			0.00						AMORTIZATION
IAM	04-30-00	218698			0.00						AMORTIZATION
IAM	05-31-00	222264			0.00						AMORTIZATION
IAM	06-30-00	225420			0.00						AMORTIZATION
IAM	07-31-00	229433			0.00						AMORTIZATION
IAM	08-31-00	233302			0.00						AMORTIZATION
IAM	09-30-00	236083			0.00						AMORTIZATION
IAM	10-31-00	239315			0.00						AMORTIZATION
IAM	11-30-00	242270			0.00						AMORTIZATION
IAM	12-31-00	247568			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
OFFIT2 NY.50911	Orange Cty Tax 5% 09/01/11										
PUR	06-16-00	223857	500,000.000	98.328	491,640.00				500,000	491,640.00	Orange Cty Tax 5% 09/01/11
	TOTAL -		500,000.000		491,640.00	0.00	0.00	0.00	500,000	491,640.00	
OFFIT2 NY.5A0706	NYS Assist Corp 5.2% 7/1/06										
SAL	10-19-00	238154	-1,300,000.000	103.016		1,339,208.00	-1,300,000.00	39,208.00			NY Mun. Assitance 5.2% 7/1/06
	TOTAL -		-1,300,000.000		0.00	1,339,208.00	-1,300,000.00	39,208.00	0	0.00	
OFFIT2 NY.5B0109	NYS Thrwy 5.25% 01-01-09										
IAM	01-31-00	209852			0.00						AMORTIZATION
IAM	01-31-00	209853			0.00						AMORTIZATION
IAM	01-31-00	209854			0.00						AMORTIZATION
IAM	01-31-00	209855			0.00						AMORTIZATION
IAM	02-29-00	212428			0.00						AMORTIZATION
IAM	02-29-00	212429			0.00						AMORTIZATION
IAM	02-29-00	212430			0.00						AMORTIZATION
IAM	02-29-00	212431			0.00						AMORTIZATION
IAM	03-31-00	215740			0.00						AMORTIZATION
IAM	03-31-00	215741			0.00						AMORTIZATION
IAM	03-31-00	215742			0.00						AMORTIZATION
IAM	03-31-00	215743			0.00						AMORTIZATION
IAM	04-30-00	218699			0.00						AMORTIZATION

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	04-30-00	216700			0.00						AMORTIZATION
IAM	04-30-00	216701			0.00						AMORTIZATION
IAM	04-30-00	216702			0.00						AMORTIZATION
IAM	05-31-00	222265			0.00						AMORTIZATION
IAM	05-31-00	222266			0.00						AMORTIZATION
IAM	05-31-00	222267			0.00						AMORTIZATION
IAM	05-31-00	222268			0.00						AMORTIZATION
IAM	06-30-00	225421			0.00						AMORTIZATION
IAM	06-30-00	225422			0.00						AMORTIZATION
IAM	06-30-00	225423			0.00						AMORTIZATION
IAM	06-30-00	225424			0.00						AMORTIZATION
IAM	07-31-00	229434			0.00						AMORTIZATION
IAM	07-31-00	229435			0.00						AMORTIZATION
IAM	07-31-00	229436			0.00						AMORTIZATION
IAM	07-31-00	229437			0.00						AMORTIZATION
IAM	08-31-00	233303			0.00						AMORTIZATION
IAM	08-31-00	233304			0.00						AMORTIZATION
IAM	08-31-00	233305			0.00						AMORTIZATION
IAM	08-31-00	233306			0.00						AMORTIZATION
IAM	09-30-00	236084			0.00						AMORTIZATION
IAM	09-30-00	236085			0.00						AMORTIZATION
IAM	09-30-00	236086			0.00						AMORTIZATION
IAM	09-30-00	236087			0.00						AMORTIZATION
IAM	10-31-00	239316			0.00						AMORTIZATION
IAM	10-31-00	239317			0.00						AMORTIZATION
IAM	10-31-00	239318			0.00						AMORTIZATION
IAM	10-31-00	239319			0.00						AMORTIZATION
IAM	11-30-00	242271			0.00						AMORTIZATION
IAM	11-30-00	242272			0.00						AMORTIZATION
IAM	11-30-00	242273			0.00						AMORTIZATION
IAM	11-30-00	242274			0.00						AMORTIZATION
IAM	12-31-00	247569			0.00						AMORTIZATION
IAM	12-31-00	247570			0.00						AMORTIZATION
IAM	12-31-00	247571			0.00						AMORTIZATION
IAM	12-31-00	247572			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
OFFIT2 NY.5B0410	Metro Trans Auth 5.25% 4/1/10										
IAM	01-31-00	209856			0.00						AMORTIZATION
IAM	02-29-00	212432			0.00						AMORTIZATION
IAM	03-31-00	215744			0.00						AMORTIZATION
IAM	04-30-00	218703			0.00						AMORTIZATION
IAM	05-31-00	222269			0.00						AMORTIZATION
IAM	06-30-00	225425			0.00						AMORTIZATION
IAM	07-31-00	229438			0.00						AMORTIZATION
IAM	08-31-00	233307			0.00						AMORTIZATION
IAM	09-30-00	236088			0.00						AMORTIZATION
IAM	10-31-00	239320			0.00						AMORTIZATION
IAM	11-30-00	242275			0.00						AMORTIZATION
IAM	12-31-00	247573			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
OFFIT2 NY.5B0708	NYC Genl Purp tax 5.5% 7/01/08										
PUR	06-09-00	223856	1,000,000.000	102.676	1,026,760.00				1,000,000	1,026,760.00	NYC Genl Purp Tx 5.5% 7/01/08
IAM	06-30-00	225426			0.00						AMORTIZATION
IAM	07-31-00	229439			0.00						AMORTIZATION
IAM	08-31-00	233308			0.00						AMORTIZATION
IAM	09-30-00	236089			0.00						AMORTIZATION
IAM	10-31-00	239321			0.00						AMORTIZATION
IAM	11-30-00	242276			0.00						AMORTIZATION
IAM	12-31-00	247574			0.00						AMORTIZATION
	TOTAL -		1,000,000.000		1,026,760.00	0.00	0.00	0.00	1,000,000	1,026,760.00	
OFFIT2 NY.5B0908	NY Unltd TX 5.50% 09/15/08										
IAM	01-31-00	209857			0.00						AMORTIZATION
IAM	02-29-00	212433			0.00						AMORTIZATION
IAM	03-31-00	215745			0.00						AMORTIZATION
IAM	04-30-00	218704			0.00						AMORTIZATION
IAM	05-31-00	222270			0.00						AMORTIZATION
IAM	06-30-00	225427			0.00						AMORTIZATION
IAM	07-31-00	229440			0.00						AMORTIZATION

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	08-31-00	233309			0.00						AMORTIZATION
IAM	09-30-00	236090			0.00						AMORTIZATION
IAM	10-31-00	239322			0.00						AMORTIZATION
IAM	11-30-00	242277			0.00						AMORTIZATION
IAM	12-31-00	247575			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
OFFIT2	NY.5C0406	NYS Mortgage Agcy 5.3% 4/1/06									
PUR	03-03-00	213660	500,000.000	100.000	500,000.00				500,000	500,000.00	NYS Mortgage Agcy 5.3% 4/1/06
	TOTAL -		500,000.000		500,000.00	0.00	0.00	0.00	500,000	500,000.00	
OFFIT2	NY.5C0607	NY Cty Muni Wtr 5.375% 6/15/07									
IAM	01-31-00	209858			0.00						AMORTIZATION
IAM	02-29-00	212434			0.00						AMORTIZATION
IAM	03-31-00	215746			0.00						AMORTIZATION
IAM	04-30-00	218705			0.00						AMORTIZATION
IAM	05-31-00	222271			0.00						AMORTIZATION
IAM	06-30-00	225428			0.00						AMORTIZATION
CNVFR	07-11-00	229426	-130,000.000	101.978		131,497 60	-131,497 60	0 00			Convert to New ID for defeased
IAM	07-31-00	229441			0.00						AMORTIZATION
IAM	08-31-00	233310			0.00						AMORTIZATION
IAM	09-30-00	236091			0.00						AMORTIZATION
IAM	10-31-00	239323			0.00						AMORTIZATION
IAM	11-30-00	242278			0.00						AMORTIZATION
IAM	12-31-00	247576			0.00						AMORTIZATION
	TOTAL -		-130,000.000		0.00	131,497 60	-131,497.60	0.00	0	0.00	
OFFIT2	NY.5C0607A	NYC Muni Wtr 5.375% 06/15/07									
CNVTO	07-11-00	229426	130,000.000	101.152	131,497.60				130,000	131,497.60	Convert to New ID for defeased
	TOTAL -		130,000.000		131,497.60	0.00	0.00	0 00	130,000	131,497 60	
OFFIT2	NY.5D0907	Orange Cty NY 5.5% 09/01/07									
IAM	01-31-00	209859			0.00						AMORTIZATION

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	02-29-00	212435			0.00						AMORTIZATION
IAM	03-31-00	215747			0.00						AMORTIZATION
IAM	04-30-00	218706			0.00						AMORTIZATION
IAM	05-31-00	222272			0.00						AMORTIZATION
IAM	06-30-00	225429			0.00						AMORTIZATION
IAM	07-31-00	229442			0.00						AMORTIZATION
IAM	08-31-00	233311			0.00						AMORTIZATION
IAM	09-30-00	236092			0.00						AMORTIZATION
IAM	10-31-00	239324			0.00						AMORTIZATION
IAM	11-30-00	242279			0.00						AMORTIZATION
IAM	12-31-00	247577			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
OFFIT2 NY.5F0610	NYS Env Fac Poll 5.75% 06/10										
PUR	04-12-00	217807	1,000,000.000	105.258	1,052,580.00				1,000,000	1,052,580.00	NYS Envir Poll Control 5.750%
IAM	04-30-00	218707			0.00						AMORTIZATION
IAM	05-31-00	222273			0.00						AMORTIZATION
IAM	06-30-00	225430			0.00						AMORTIZATION
IAM	07-31-00	229443			0.00						AMORTIZATION
IAM	08-31-00	233312			0.00						AMORTIZATION
IAM	09-30-00	236093			0.00						AMORTIZATION
IAM	10-31-00	239325			0.00						AMORTIZATION
IAM	11-30-00	242280			0.00						AMORTIZATION
IAM	12-31-00	247578			0.00						AMORTIZATION
	TOTAL -		1,000,000.000		1,052,580.00	0.00	0.00	0.00	1,000,000	1,052,580.00	
OFFIT2 NY.5F0810	NYS Rfdg Ser B 5.7% 8/15/10										
IAM	01-31-00	209860			0.00						AMORTIZATION
IAM	02-29-00	212436			0.00						AMORTIZATION
IAM	03-31-00	215748			0.00						AMORTIZATION
IAM	04-30-00	218708			0.00						AMORTIZATION
IAM	05-31-00	222274			0.00						AMORTIZATION
IAM	06-30-00	225431			0.00						AMORTIZATION
IAM	07-31-00	229444			0.00						AMORTIZATION
IAM	08-31-00	233313			0.00						AMORTIZATION

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ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	09-30-00	236094			0.00						AMORTIZATION
IAM	10-31-00	239326			0.00						AMORTIZATION
IAM	11-30-00	242281			0.00						AMORTIZATION
IAM	12-31-00	247579			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
OFFIT2 NY.5F1107	NYC Trans Fin Auth 5.75% 11/07										
PUR	01-27-00	209831	500,000.000	103.410	517,050.00				500,000	517,050.00	NYC Trans Fin Auth 5.75% 11/07
IAM	02-29-00	212437			0.00						AMORTIZATION
IAM	03-31-00	215749			0.00						AMORTIZATION
IAM	04-30-00	218709			0.00						AMORTIZATION
IAM	05-31-00	222275			0.00						AMORTIZATION
IAM	06-30-00	225432			0.00						AMORTIZATION
IAM	07-31-00	229445			0.00						AMORTIZATION
IAM	08-31-00	233314			0.00						AMORTIZATION
IAM	09-30-00	236095			0.00						AMORTIZATION
IAM	10-31-00	239327			0.00						AMORTIZATION
IAM	11-30-00	242282			0.00						AMORTIZATION
IAM	12-31-00	247580			0.00						AMORTIZATION
	TOTAL -		500,000.000		517,050.00	0.00	0.00	0.00	500,000	517,050.00	
OFFIT2 NY.60609	NYC Muni Wtr Fin. 6% 06/15/09										
PUR	11-02-00	240806	1,400,000.000	109.035	1,526,490.00				1,400,000	1,526,490.00	NYC Muni Wtr Fin. 6% 06/15/09
IAM	11-30-00	242283			0.00						AMORTIZATION
IAM	12-31-00	247581			0.00						AMORTIZATION
	TOTAL -		1,400,000.000		1,526,490.00	0.00	0.00	0.00	1,400,000	1,526,490.00	
OFFIT2 NY.6C0622	NYC Muni Wtr 6.375 6/15/22										
IAM	01-31-00	209861			0.00						AMORTIZATION
IAM	02-29-00	212438			0.00						AMORTIZATION
IAM	03-31-00	215750			0.00						AMORTIZATION
IAM	04-30-00	218710			0.00						AMORTIZATION
IAM	05-31-00	222276			0.00						AMORTIZATION
IAM	06-30-00	225433			0.00						AMORTIZATION

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IAM	07-31-00	229446			0.00						AMORTIZATION
IAM	08-31-00	233315			0.00						AMORTIZATION
IAM	09-30-00	236096			0.00						AMORTIZATION
IAM	10-31-00	239328			0.00						AMORTIZATION
IAM	11-30-00	242284			0.00						AMORTIZATION
IAM	12-31-00	247582			0.00						AMORTIZATION
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
OFFIT2	NYS.40206	NYS Env Cl Wtr 4% 02/15/06									
SAL	10-25-00	238462	-500,000.000	96.241		481,205.00	-500,000.00	-18,795.00			NYS Envir Facil 4% 02/15/06
	TOTAL -		-500,000.000		0.00	481,205.00	-500,000.00	-18,795.00	0	0.00	
OFFIT2	PR.4E1011	Puerto Rico 4.750% 10/01/11									
PUR	10-01-00	238149	1,000,000.000	100.000	1,000,000.00				1,000,000	1,000,000.00	Puerto Rico 4.750% 10/01/11
	TOTAL -		1,000,000.000		1,000,000.00	0.00	0.00	0.00	1,000,000	1,000,000.00	
OFFIT2	US.7B0207	Federal Home Ln Bk 7.25% 02/07									
PUR	04-14-00	217796	500,000.000	101.056	505,280.00				500,000	505,280.00	Federal Home Ln Bk 7.25% 02/07
IAM	04-30-00	218711			0.00						AMORTIZATION
IAM	05-31-00	222277			0.00						AMORTIZATION
IAM	06-30-00	225434			0.00						AMORTIZATION
IAM	07-31-00	229447			0.00						AMORTIZATION
IAM	08-31-00	233316			0.00						AMORTIZATION
IAM	09-30-00	236097			0.00						AMORTIZATION
IAM	10-31-00	239329			0.00						AMORTIZATION
IAM	11-30-00	242285			0.00						AMORTIZATION
IAM	12-31-00	247583			0.00						AMORTIZATION
	TOTAL -		500,000.000		505,280.00	0.00	0.00	0.00	500,000	505,280.00	
REPORT TOTAL -					16,750,817.60	9,013,646.41	-8,990,833.41	22,813.00	10,219,619	10,440,916.73	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

SORT OPTION: BY GL.ENTITY, INV

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
ASHFD	ABI	Applera Corp-Applied Biosyatem									
CNVTO	12-01-00	243367	4,198.000	44.744	187,835.00				4,198	187,835.00	PE Corp name change to Applera
	TOTAL -		4,198.000		187,835.00	0.00	0.00	0.00	4,198	187,835.00	
ASHFD	ACTL	Actel Corp									
PUR	05-04-00	220739	7,223.000	27.450	198,270.00				7,223	198,270.00	5/4 Tfr Ashford to Comm. Stock
PUR	08-08-00	230664	1,200.000	34.000	40,800.00				1,200	40,800.00	Actel Corp @ 34.00
PUR	10-10-00	237709	1,300.000	28.670	37,270.48				1,300	37,270.48	Actel Corp
PUR	10-11-00	237711	700.000	28.000	19,600.00				700	19,600.00	Actel Corp
	TOTAL -		10,423.000		295,940.48	0.00	0.00	0.00	10,423	295,940.48	
AMER1	AFCI	Advanced Fibre Communications									
SAL	02-28-00	212098	-12,500.000	62.520		781,475.19	-251,647.01	529,828.18			Advanced Fibre Communications
SAL	03-03-00	215135	-15,000.000	65.950		989,341.52	-301,976.41	687,365.11			Advanced Fibre Comm. @ 65.9583
	TOTAL -		-27,500.000		0.00	1,770,816.71	-553,623.42	1,217,193.29	0	0.00	
ASHFD	AGM	Federal Agricultural Mortgage									
PUR	05-04-00	220715	9,329.000	11.106	104,353.00				9,329	104,353.00	5/4 Tfr Ashford to Comm. Stock
PUR	07-20-00	227532	700.000	14.125	9,929.50				700	9,929.50	Federal Agricultural Mortgage
PUR	10-09-00	237704	1,971.000	19.281	38,120.32				1,971	38,120.32	Federal Agriculture Mortgage
	TOTAL -		12,000.000		152,402.82	0.00	0.00	0.00	12,000	152,402.82	
ASHFD	AH	Armor Holdings Inc									
PUR	06-12-00	223560	5,000.000	13.000	65,300.00				5,000	65,300.00	Armor Holdings Inc @ 13.00
PUR	10-17-00	238131	4,900.000	13.982	68,805.80				4,900	68,805.80	Armor Holdings Inc
	TOTAL -		9,900.000		134,105.80	0.00	0.00	0.00	9,900	134,105.80	
ASHFD	ALLC	Allied Capital Corp									
PUR	05-04-00	220710	8,237.000	13.936	114,788.00				8,237	114,788.00	5/4 Tfr Ashford to Comm. Stock
PUR	10-04-00	237697	8,200.000	18.966	155,521.20				8,200	155,521.20	Allied Capital Corp

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Market Street Trust Company
PURCHASE / SALE DETAIL NISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		16,437.000		270,309.20	0.00	0.00	0.00	16,437	270,309.20	
ASHFD	AMIE	Ambassadors International Inc									
PUR	05-04-00	220717	14,563.000	11.465	166,964.00				14,563	166,964.00	5/4 Tfr Ashford to Comm. Stock
PUR	09-11-00	234425	1,500.000	16.000	24,000.00				1,500	24,000.00	Ambassadors Int'l Inc @16.00
PUR	09-12-00	234426	1,000.000	15.875	15,875.00				1,000	15,875.00	Ambassadors Int'l Inc @15.875
PUR	09-25-00	235433	4,100.000	16.625	68,162.50				4,100	68,162.50	Ambassadors Int'l @16.625
PUR	10-16-00	238133	1,600.000	18.385	29,416.64				1,600	29,416.64	Ambassadors Int'l Inc
PUR	12-19-00	243837	3,237.000	16.906	54,723 43				3,237	54,723.43	Ambassador Int'l @16.9056
	TOTAL -		26,000.000		359,141.57	0.00	0.00	0.00	26,000	359,141.57	
ASHFD	APCC	American Power Conversion Corp									
PUR	05-04-00	220749	9,511.000	26.332	250,447.00				0	0.00	5/4 Tfr Ashford to Comm. Stock
SAL	12-13-00	243355	-9,511.000	12.500		118,883.53	-250,447.00	-131,563 47			American Power Conversion
	TOTAL -		0.000		250,447.00	118,883.53	-250,447.00	-131,563.47	0	0.00	
AMER1	ARBA	Ariba Inc									
SPLDV	04-03-00	217089	30,000.000		0.00				0	0.00	Ariba 2 for 1 Stock split
	TOTAL -		30,000.000		0.00	0.00	0.00	0.00	0	0.00	
ASHFD	ASHFORD	Ashford Capitsl Management									
ICA	05-01-00	223114			1,053,042.00						ADJ BBG BAL-PUR
SAL	05-04-00	220652	0.000	0.000		10,435,275.00	-10,435,275.00	0.00			5/4 Tfr Ashford to Comm Stock
	TOTAL -		0.000		1,053,042.00	10,435,275.00	-10,435,275.00	0.00	0	0.00	
ASHFD	ATSN	Artesyn Technologies Inc									
PUR	05-04-00	220736	8,078.000	18.083	146,076.00				8,078	146,076.00	5/4 Tfr Ashford to Comm. Stock
	TOTAL -		8,078.000		146,076.00	0.00	0.00	0.00	8,078	146,076 00	
ASHFD	BRO	Brown & Brown Inc									
PUR	05-04-00	220733	4,186.000	29.921	125,250.00				8,372	125,250.00	5/4 Tfr Ashford to Comm Stock
SPLDV	08-24-00	230672	4,186.000		0.00				0	0.00	Brown & Brown Inc 2 for 1

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		8,372.000		125,250.00	0.00	0.00	0.00	8,372	125,250.00	
ASHFD	BXM	Biomatrix Inc									
PUR	05-04-00	220708	7,341.000	14.215	104,353.00				0	0.00	5/4 Tfr Ashford to Comm. Stock
SAL	11-28-00	241809	-7,341.000	19.642		143,747.39	-104,353.00	39,394.39			Biomatrix Inc @19.642
	TOTAL -		0.000		104,353.00	143,747.39	-104,353.00	39,394 39	0	0.00	
ASHFD	CARY	Carey International Inc									
PUR	05-04-00	220705	24,206.000	6.896	166,964.00				0	0.00	5/4 Tfr Ashford to Comm. Stock
SAL	09-21-00	234702	-24,206.000	18.250		441,759.50	-166,964.00	274,795.50			Carey Int'l Inc @18.25
	TOTAL -		0.000		166,964.00	441,759.50	-166,964.00	274,795.50	0	0.00	
ASHFD	CEPH	Cephalon Inc									
CNVTO	10-13-00	237719	4,262.000	29.544	125,915.65				4,262	125,915.65	Anesta exchange to Cephalon
	TOTAL -		4,262.000		125,915.65	0.00	0.00	0.00	4,262	125,915.65	
ASHFD	CLHL	Carlisle Holdings LTD									
PUR	05-04-00	220716	27,023.000	5.406	146,094.00				27,023	146,094.00	5/4 Tfr Ashford to Comm. Stock
	TOTAL -		27,023.000		146,094.00	0.00	0.00	0.00	27,023	146,094.00	
ASHFD	CLRS	Clarus Corp Del									
PUR	05-04-00	220750	12,536.000	29.967	375,670.00				0	0.00	5/4 Tfr Ashford to Comm. Stock
PUR	10-03-00	237696	2,000.000	18.822	37,643.80				0	0.00	Clarus Corp Del
PUR	10-11-00	237712	500.000	18.800	9,400.00				0	0.00	Clarus Corp Del
SAL	12-07-00	243352	-15,036.000	7.140		107,353.46	-422,713.80	-315,360.34			Clarus Corp @7.14
	TOTAL -		0.000		422,713.80	107,353.46	-422,713.80	-315,360.34	0	0.00	
ASHFD	CMGI	CMGI Inc									
PUR	05-04-00	220752	12,177.000	53.132	646,987.00				0	0.00	5/4 Tfr Ashford to Comm. Stock
PUR	10-26-00	238449	20,000.000	16.938	338,750.00				0	0.00	CMGI Inc @16.9375
SAL	12-07-00	243351	-17,177.000	10.401		178,653.73	-731,674.50	-553,020.77			CMGI Inc @10.4011
SAL	12-13-00	243356	-15,000.000	11.000		164,994.50	-254,062.50	-89,068.00			CMGI Inc @11.00

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		0.000		985,737.00	343,648 23	-985,737.00	-642,088.77	0	0.00	
ASHFD	CPC	Central Parking Corp									
PUR	05-04-00	220737	22,390.000	19.109	427,846.00				22,390	427,846.00	5/4 Tfr Ashford to Comm. Stock
PUR	10-09-00	237703	7,610.000	19.637	149,897.21				7,610	149,897.21	Central Parking Corp
	TOTAL -		30,000.000		577,743.21	0.00	0.00	0.00	30,000	577,743.21	
ASHFD	CPRT	Copart Inc									
PUR	05-04-00	220745	25,149.000	12.863	323,494.00				25,149	323,494.00	5/4 Tfr Ashford to Comm. Stock
	TOTAL -		25,149.000		323,494.00	0.00	0.00	0.00	25,149	323,494.00	
AMER1	CPTH	Critical Path Inc									
SAL	03-09-00	215139	-1,280.000	116.975		149,722 36	-59,517.79	90,204.57			Critical Path Inc @ 116.9745
SAL	03-09-00	215143	-2,720.000	117.965		320,854 10	-126,475.30	194,378 80			Critical Path Inc @ 117.965
PUR	09-01-00	235955	0.000	0.000	0.03				0	0.03	Correct Critical Path's basis
SAL	09-08-00	234249	-3,700.000	71.500		264,541 18	-172,043.60	92,497.58			CRITICAL PATH INC @ 71.50 264 550.00 TAX 4/OR SEC 8 82 DAIN RAUSCHER INCORPORATE
SAL	09-08-00	234250	-1,300.000	71 175		92,524 41	-60,447.75	32,076.66			CRITICAL PATH INC @ 71.175 92 527.50 TAX 4/OR SEC 3.09 JEFFERIES & CO INC TRADE
SAL	09-12-00	234253	-5,000.000	65.223		326,104 12	-232,491 36	93,612 76			CRITICAL PATH INC @ 65.223 326 115.00 TAX 4/OR SEC 10.88 GOLDMAN SACHS & CO TRAI
SAL	09-14-00	234254	-5,500.000	68.236		375,287 14	-255,740.50	119,546.64			CRITICAL PATH INC @ 68.2363 375 299 65 TAX 4/OR SEC 12.51 JEFFERIES & CO INC TRA
SAL	09-14-00	234688	-4,500.000	71.297		320,602 60	-209,242.23	111,360.37			Critical Path Inc @71.2974
	TOTAL -		-24,000.000		0.03	1,849,635.91	-1,115,958 53	733,677.38	0	0.03	
ASHFD	CRA	PE Corp-Celera Genomica Group									
PUR	05-04-00	220741	2,730.000	61.147	166,932.00				0	0.00	5/4 Tfr Ashford to Comm Stock
CNVFR	12-01-00	243366	-2,730.000	41.625		166,932 00	-166,932 00	0.00			PE Corp name change to Applera
	TOTAL -		0.000		166,932.00	166,932.00	-166,932.00	0.00	0	0 00	
ASHFD	CRA2	APPLERA Corp-Celera Genomics									
CNVTO	12-01-00	243366	2,730.000	61.147	166,932 00				2,730	166,932.00	PE Corp name change to Applera
	TOTAL -		2,730.000		166,932.00	0 00	0.00	0.00	2,730	166,932.00	
ASHFD	CSGS	CSG Systems International Inc									

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	05-04-00	220738	4,854.000	34.397	166,964.00				4,854	166,964.00	5/4 Tfr Ashford to Comm. Stock
PUR	10-04-00	237698	900.000	29.940	26,946.27				900	26,946.27	CSG Systems Int'l Inc
PUR	10-09-00	237708	4,246.000	29.188	123,930.13				4,246	123,930.13	CSG Systems Int'l Inc
PUR	10-11-00	237713	1,900.000	42.285	80,340.55				1,900	80,340.55	CSG Systems Int'l Inc
	TOTAL -		11,900.000		398,180.95	0.00	0.00	0.00	11,900	398,180.95	
ASHFD	CUZ	Cousins Properties Inc.									
PUR	05-04-00	220732	6,061.000	29.269	177,400.00				9,091	177,400.00	5/4 Tfr Ashford to Comm. Stock
SPLDV	10-03-00	237830	3,030.000		0.00				0	0.00	Cousins prop 3 for 2 dist.
	TOTAL -		9,091.000		177,400.00	0.00	0.00	0.00	9,091	177,400.00	
AMER1	CYGN	Cygnus Inc									
SAL	02-16-00	211350	-67,500.000	18.750		1,265,582.81	-547,283.46	718,299.35			Cygnus Inc @ 18.75
	TOTAL -		-67,500.000		0.00	1,265,582 81	-547,283.46	718,299.35	0	0.00	
ASHFD	DRTK	Duratek Inc									
PUR	05-04-00	220722	25,589.000	6.525	166,964.00				25,589	166,964.00	5/4 Tfr Ashford to Comm. Stock
PUR	10-10-00	237710	14,411.000	7.375	106,281.13				14,411	106,281.13	GTS Duratek Inc
PUR	12-13-00	243346	5,000.000	6.625	33,125.00				5,000	33,125.00	GTS Durstek Inc @6.625
	TOTAL -		45,000.000		306,370.13	0.00	0.00	0.00	45,000	306,370.13	
AMER1	EBAY	Ebay Inc									
SPLDV	05-25-00	221438	17,500.000		0.00				0	0.00	EBAY INC 2 FOR 1 STOCK DISTRIBUTION
	TOTAL -		17,500.000		0.00	0.00	0.00	0.00	0	0.00	
AMER1	EMIS	Emisphere Technologies Inc									
PUR	06-30-00	225493	10,600.000	42.778	453,447.86				5,600	234,897.68	Emisphere Technologies Inc
PUR	06-30-00	225494	1,800.000	39.826	71,777.52				1,800	75,502.82	Emisphere Technologies Inc
PUR	06-30-00	225495	6,500.000	44.074	286,484.90				6,500	272,649.08	Emisphere Technologies Inc
PUR	06-30-00	226386	1,100.000	47.625	52,387.50				1,100	46,140.61	Emisphere Technologies Inc
PUR	09-08-00	234251	2,500.000	31.875	79,687.50				2,500	104,865.03	EMISPHERE TECHNOLOGIES INC @ 31 875 LEWCO SEC/AGT HAMBRECHT & QUIST TRADE DATE (
SAL	11-03-00	240828	-3,000.000	28.095		84,283.39	-125,838.04	-41,554.65			EMISPHERE TECHNOLOGIES INC @ 28.0954 84 286.20 TAX &/DR SEC 2.81 JEFFERIES & CO

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	11-03-00	240629	-2,000.000	28.250		56,498.11	-83,692.02	-27,393.91			EMISPHERE TECHNOLOGIES INC @ 28.25 56 500.00 TAX &/OR SEC 1.89 HAMBRECHT & QUIST
	TOTAL -		17,500.000		943,785.28	140,761.50	-209,730.06	-68,948.56	17,500	734,055.22	
ASHFD	EPIQ	EPIQ Systems Inc.									
PUR	05-04-00	220711	15,621.000	8.016	125,223.00				15,621	125,223.00	5/4 Tfr Ashford to Comm. Stock
	TOTAL -		15,621.000		125,223.00	0.00	0.00	0.00	15,621	125,223.00	
ASHFD	EQIX	Equinix Inc									
PUR	10-25-00	236446	1,700.000	8.250	14,025.00				1,700	14,025.00	Equinix Inc @8.25
PUR	10-30-00	236953	1,200.000	8.987	10,785.00				1,200	10,785.00	Equinex Inc @8.987
PUR	11-01-00	241195	1,000.000	9.854	9,854.20				1,000	9,854.20	Equinex Inc
PUR	11-03-00	241196	2,100.000	9.188	19,293.75				2,100	19,293.75	Equinex Inc
PUR	11-06-00	241197	500.000	8.867	4,433.60				500	4,433.60	Equinex Inc
PUR	11-06-00	241198	600.000	8.953	5,371.80				600	5,371.80	Equinex Inc
PUR	11-10-00	241199	1,300.000	7.476	9,721.40				1,300	9,721.40	Equinex Inc
PUR	11-10-00	241200	8,300.000	7.500	62,250.00				8,300	62,250.00	Equinex Inc
PUR	11-13-00	241201	1,600.000	7.000	11,200.00				1,600	11,200.00	Equinex Inc
PUR	11-13-00	241202	1,300.000	6.936	9,016.75				1,300	9,018 75	Equinex Inc
PUR	11-14-00	241203	900.000	6.943	6,248.70				900	6,248.70	Equinex Inc
PUR	11-14-00	241215	1,300.000	6.938	9,018.75				1,300	9,018 75	Equinex Inc
PUR	11-15-00	241204	1,200.000	6.442	7,730.40				1,200	7,730.40	Equinex Inc
PUR	11-17-00	241205	900.000	6.500	5,850.00				900	5,850.00	Equinex Inc
PUR	11-21-00	241206	900.000	6.250	5,625.00				900	5,625.00	Equinex Inc
PUR	11-22-00	241260	3,400.000	6 205	21,098.36				3,400	21,098.36	Equinex Inc @6.2054
PUR	11-24-00	241261	900.000	6.125	5,512.50				900	5,512.50	Equinex Inc @6.125
PUR	12-07-00	243344	10,900.000	4.625	50,412 50				10,900	50,412.50	Equinex Inc @4 625
PUR	12-14-00	243350	8,500.000	6.875	58,437.50				8,500	58,437.50	Equinex Inc @6.875
PUR	12-15-00	243636	1,500.000	6.829	10,243.65				1,500	10,243.65	Equinex Inc @6.8291
	TOTAL -		50,000.000		336,130.86	0.00	0.00	0.00	50,000	336,130.86	
ASHFD	EVOL	Evolving Systems Inc									
PUR	10-02-00	237688	2,500.000	6 563	16,406 25				0	0.00	Evolving Systems Inc
PUR	10-03-00	237689	4,400.000	6.250	27,500.00				0	0.00	Evolving Systems Inc
PUR	10-04-00	237690	4,000.000	6.188	24,750.00				0	0.00	Evolving Systems Inc

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	10-04-00	237691	4,200.000	6.435	27,027.00				0	0.00	Evolving Systems Inc
PUR	10-05-00	237692	1,100.000	6.125	6,737.50				0	0.00	Evolving Systems Inc
PUR	10-05-00	237693	2,500.000	6.188	15,468.75				0	0.00	Evolving Systems Inc
PUR	10-06-00	237694	2,300.000	5.875	13,512.50				0	0.00	Evolving Systems Inc
PUR	10-06-00	237695	4,000.000	6.188	24,750.00				0	0.00	Evolving Systems Inc
PUR	10-25-00	238447	5,000.000	2.563	12,812.50				0	0.00	Evolving Systems Inc @2.5625
SAL	12-07-00	243353	-30,000.000	2.250		67,497.75	-168,964.50	-101,466.75			Evolving Systems Inc @2.25
	TOTAL -		0.000		168,964.50	67,497.75	-168,964.50	-101,466.75	0	0.00	
ASHFD	EXCA	Excalibur Technologies Corp									
PUR	05-04-00	220748	12,220.000	26.473	323,494.00				6,320	167,306.22	5/4 Tfr Ashford to Comm. Stock
SAL	05-24-00	221452	-1,400.000	31.500		44,098.53	-37,061.51	7,037.02			Excalibur Tech @ 31.50
SAL	06-06-00	223563	-3,000.000	31.000		92,996.90	-79,417.51	13,579.39			Excalibur Tech @ 31.00
SAL	09-14-00	234433	-1,500.000	65.266		97,895.73	-39,708.76	58,186.97			Excalibur Technologies @65.266
	TOTAL -		6,320.000		323,494.00	234,991.16	-156,187.78	78,803.38	6,320	167,306.22	
AMER1	GETY	Getty Images Inc									
PUR	01-28-00	209127	10,000.000	38.938	389,380.00				0	0.00	Getty Images Inc
PUR	01-28-00	209128	5,000.000	38.000	190,000.00				0	0.00	Getty Images Inc
PUR	01-28-00	209129	10,000.000	39.140	391,400.00				5,000	189,403.19	Getty Images Inc
PUR	04-20-00	217093	2,500.000	28.375	70,937.50				2,500	94,701.59	Getty Images Inc @ 28.375
SAL	11-02-00	240826	-3,800.000	36.017		136,859.27	-143,946.42	-7,087.15			GETTY IMAGES INC @ 36.0168 136 863.84 TAX &/OR SEC 4.57 NATWEST SECURITIES CORP
SAL	11-02-00	240827	-1,200.000	34.391		41,267.34	-45,456.76	-4,189.42			GETTY IMAGES INC @ 34.3906 41 268.72 TAX &/OR SEC 1.38 THOMAS WEISEL PARTNERS LL
SAL	11-03-00	240830	-5,000.000	34.333		171,660.77	-189,403.18	-17,742.41			GETTY IMAGES INC @ 34.3333 171 666.50 TAX &/OR SEC 5.73 HAMBRECHT & QUIST TRADE
SAL	11-14-00	240831	-10,000.000	34.056		340,543.64	-378,806.36	-38,262.72			GETTY IMAGES INC @ 34.0555 340 555.00 TAX &/OR SEC 11.36 JEFFERIES & CO INC TRAI
	TOTAL -		7,500.000		1,041,717.50	690,331.02	-757,612.72	-67,281.70	7,500	284,104.78	
ASHFD	GIFT	Gerald Stevens Inc									
PUR	05-04-00	220753	46,058.000	3.822	176,020.00				0	0.00	5/4 Tfr Ashford to Comm. Stock
PUR	10-26-00	238451	50,000.000	0.656	32,812.50				0	0.00	Gerald Stevens Inc @.65625
SPLDV	11-14-00	241219	-76,846.000		0.00				0	0.00	Gerald Stevens reverse split
SAL	12-13-00	243357	-19,212.000	1.018		19,547.55	-208,832.50	-189,284.95			Gerald Stevens @1.0175
	TOTAL -		0.000		208,832.50	-9,547.55	-208,832.50	-189,284.95	0	0.00	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
ASHFD	GLB	Glenborough Realty Trust Inc									
PUR	05-04-00	220714	8,539.000	10.999	93,917.00				8,539	93,917.00	S/4 Tfr Ashford to Comm. Stock
	TOTAL -		8,539.000		93,917.00	0.00	0.00	0.00	8,539	93,917.00	
AMER1	NOMS	Homestore.Com Inc									
PUR	05-01-00	220344	15,000.000	22.669	340,041.00				15,000	340,041.00	HOMESTORE.COM INC @ 22.6694 TROSTER SINGER STEVENS ROTHCHILD TRADE DATE 05/01/0(
PUR	05-01-00	220345	5,000.000	22.656	113,281.50				5,000	113,281.50	HOMESTORE.COM INC @ 22.6563 JEFFERIES & CO INC TRADE DATE 05/01/00
PUR	05-01-00	220346	19,000.000	22.370	424,982.50				19,000	424,982.50	HOMESTORE.COM INC @ 22.3695 HAMBRECHT & QUIST TRADE DATE 05/01/00
PUR	05-01-00	220347	6,000.000	22.250	133,500.00				6,000	133,500.00	HOMESTORE.COM INC @ 22.25 MORGAN STANLEY & CO TRADE DATE 05/01/00
PUR	05-04-00	220348	15,000.000	22.000	330,000.00				15,000	330,000.00	HOMESTORE.COM INC @ 22.00 MERRILL LYNCH PIERCE FENNER SMITH TRADE DATE 05/04/00
PUR	05-22-00	221439	6,000.000	24.307	145,840.80				6,000	145,840 80	HOMESTORE.COM INC @ 24 3068 TROSTER SINGER STEVENS ROTHCHILD TRADE DATE 05/22/0(
PUR	05-22-00	221440	3,800.000	24 482	93,031.98				3,800	93,031.98	HOMESTORE.COM INC @ 24 4821 LEWCO SEC/AGT HAMBRECHT & QUIST TRADE DATE 05/22/00
PUR	05-22-00	221441	200.000	24.125	4,835.00				200	4,835.00	HOMESTORE.COM INC @ 24.125 4 825.00 BROKERAGE 10.00 IHSTINET TRADE DATE 05/22/0(
	TOTAL -		70,000.000		1,585,512.78	0.00	0.00	0.00	70,000	1,585,512.78	
AMER1	ICGE	Internet Capital Group Inc									
PUR	01-12-00	208424	2,500.000	135.625	339,187.50				2,500	339,187.50	INTERNET CAPITAL GROUP INC @ 135.625 339 062.50 BROKERAGE 125.00 INSTINET TRADE
PUR	02-23-00	211351	7,500.000	117.703	882,773.25				7,500	882,773.25	Internet Capital Group Inc
PUR	09-26-00	235426	9,000.000	18.370	165,331 80				9,000	165,331.80	Internet Capital Group Inc
PUR	09-26-00	235427	1,000.000	18.423	18,473.40				1,000	18,473.40	Internet Capital Group Inc
PUR	10-09-00	236885	5,000.000	13.087	65,434.50				5,000	65,434 50	INTERNET CAPITAL GROUP INC @ 13.0869 LEHMAN BROTHERS INC TRADE DATE 10/09/00
	TOTAL -		25,000.000		1,471,200.45	0.00	0.00	0.00	25,000	1,471,200 45	
ASHFD	IIIQ	3I Bioscience Inv Tr PLC									
PUR	05-04-00	220740	78,579.000	4.515	354,799 00				78,579	354,799.00	S/4 Tfr Ashford to Comm. Stock
	TOTAL -		78,579.000		354,799.00	0.00	0.00	0.00	78,579	354,799.00	
ASHFD	INHL	Inhale Therapeutic Systems									
PUR	05-04-00	220743	4,294 000	46.140	198,126.00				5,788	133,529.73	S/4 Tfr Ashford to Comm Stock
SAL	06-26-00	224825	-1,400.000	96.411		134,971 04	-64,596.27	70,374.77			Inhale Therapeutic Systems
SPLDV	08-23-00	230671	2,894.000		0.00				0	0.00	Inhale Therapeutic 2 for 1

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		5,788.000		198,126.00	134,971.04	-64,596.27	70,374.77	5,788	133,529.73	
ASHFD	IRC.4B0707 Int'l Rectifier 4.25% 07/15/07										
PUR	12-11-00	243363	100,000.000	78.500	78,500.00				100,000	78,500.00	Int'l Rectifier 4.25% 07/15/07
PUR	12-12-00	243364	100,000.000	77.000	77,000.00				100,000	77,000.00	Int'l Rectifier 4.25% 07/15/07
PUR	12-13-00	243365	100,000.000	74.625	74,625.00				100,000	74,625.00	Int'l Rectifier 4.25% 07/15/07
PUR	12-20-00	243841	100,000.000	67.000	67,000.00				100,000	67,000.00	Int'l Rectifier 4.25% 7/15/07
	TOTAL -		400,000.000		297,125.00	0.00	0.00	0.00	400,000	297,125.00	
ASHFD	IREG	Information Resource Engine.									
PUR	09-19-00	234698	1,100.000	33.417	36,758.37				0	0.00	Information Resource Engineeri
PUR	09-19-00	234699	2,400.000	34.125	81,900.00				0	0.00	Information Resource Engineeri
CNVFR	11-03-00	241177	-3,500.000	42.000		118,658.37	-118,658.37	0.00			Information Res. name change
	TOTAL -		0.000		118,658.37	118,658.37	-118,658.37	0.00	0	0.00	
ASHFD	ISCA	International Speedway Corp									
PUR	05-04-00	220725	6,500.000	32.065	208,423.00				6,500	208,423.00	5/4 Tfr Ashford to Comm. Stock
PUR	10-09-00	237705	2,500.000	39.025	97,562.50				2,500	97,562.50	International Speedway Corp
	TOTAL -		9,000.000		305,985.50	0.00	0.00	0.00	9,000	305,985.50	
ASHFD	ISYS	Integral Systems Inc MD									
PUR	09-07-00	234423	5,100.000	15.375	78,718.50				5,100	78,718.50	Integral Systems Inc MD @15.37
PUR	09-08-00	234424	3,800.000	15.311	58,409.04				3,800	58,409.04	Integral Systems Inc MD @15.31
PUR	10-05-00	237699	700.000	13.351	9,345.70				700	9,345.70	Integral Systems Inc MD
PUR	10-06-00	237700	400.000	13.813	5,525.00				400	5,525.00	Integral Systems Inc MD
PUR	10-09-00	237701	3,800.000	13.622	51,763.60				3,800	51,763.60	Integral Systems Inc MD
PUR	10-10-00	237702	5,000.000	14.909	74,545.00				5,000	74,545.00	Integral Systems Inc MD
PUR	11-01-00	241208	2,000.000	16.680	33,360.00				2,000	33,360.00	Integral Systems Inc MD
PUR	11-08-00	241209	1,900.000	15.997	30,394.30				1,900	30,394.30	Integral Systems Inc MD
	TOTAL -		22,700.000		342,061.14	0.00	0.00	0.00	22,700	342,061.14	
ASHFD	IUSA	Infouas Inc									
PUR	05-04-00	220704	28,888.000	4.335	125,223.00				28,888	125,223.00	5/4 Tfr Ashford to Comm. Stock

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	12-19-00	243839	25,000.000	2.938	73,437.50				25,000	73,437.50	InfoUSA @2.9375
PUR	12-20-00	243840	6,112.000	2.938	17,954.00				6,112	17,954.00	InfoUSA @2.9375
	TOTAL -		60,000.000		216,614.50	0.00	0.00	0.00	60,000	216,614.50	
AMER1	JNPR	Juniper Networks Inc									
PUR	11-20-00	240834	6,500.000	126.635	823,125.55				6,500	823,125.55	JUNIPER NETWORKS INC @ 126.6347 GOLDMAN SACHS & CO TRADE DATE 11/20/00
PUR	11-20-00	241254	1,000.000	128.194	128,193.80				1,000	128,193.80	Juniper Networks @128.1938
	TOTAL -		7,500.000		951,319.35	0.00	0.00	0.00	7,500	951,319 35	
AMER1	JPMSWEEP	J P Morgan Money Market Sweep									
PUR	01-31-00	208428	1,235,241.560	1.000	1,235,241.56				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	01-31-00	208429	12.000	1.000	12.00				0	0.00	PRIME MONEY MARKET SWEEP FUND
SAL	01-31-00	208430	-148,260.000	1.000		148,260.00	-148,260.00	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	01-31-00	208431	-339,187.500	1.000		339,187.50	-339,187.50	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	01-31-00	208432	-1,462.480	1.000		1,462.48	-1,462.48	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	01-31-00	208433	-227,729.250	1.000		227,729.25	-227,729 25	0.00			PRIME MONEY MARKET SWEEP FUND
PUR	02-01-00	211341	66,500.000	1.000	66,500.00				0	0.00	Prime Money Market Sweep Fund
SAL	02-02-00	211346	-970,780.000	1.000		970,780.00	-970,780.00	0.00			Prime Money Market Sweep Fund
SAL	02-03-00	211347	-200,783.910	1.000		200,783.91	-200,783.91	0.00			Prime Money Market Sweep Fund
PUR	02-08-00	211342	952,191.050	1.000	952,191.05				0	0.00	Prime Money Market Sweep Fund
PUR	02-22-00	211343	1,265,582.810	1.000	1,265,582.81				0	0.00	Prime Money Market Sweep Fund
SAL	02-28-00	211348	-882,773.250	1.000		882,773 25	-882,773.25	0.00			Prime Money Market Sweep Fund
PUR	03-02-00	215127	781,475.190	1.000	781,475.19				0	0.00	Prime Money Market Sweep Fund
PUR	03-08-00	215129	989,341.520	1.000	989,341.52				0	0.00	Prime Money Market Sweep Fund
PUR	03-10-00	215132	748.230	1.000	748.23				0	0.00	Prime Money Market Sweep Fund
PUR	03-14-00	215147	470,576.460	1.000	470,576 46				0	0.00	Prime Money Market Sweep Fund
SAL	04-06-00	217094	-30,875.000	1.000		30,875.00	-30,875.00	0.00			Prime Money Market Sweep Fund
SAL	04-18-00	217095	-160,907.920	1.000		160,907.92	-160,907.92	0.00			Prime Money Market Sweep Fund
SAL	04-25-00	217096	-50,557.650	1.000		50,557.65	-50,557.65	0.00			Prime Money Market Sweep Fund
SAL	04-26-00	217097	-70,937.500	1.000		70,937.50	-70,937.50	0.00			Prime Money Market Sweep Fund
PUR	05-12-00	220351	133,500.000	1.000	133,500.00				0	0.00	PRIME MONEY MARKET SWEEP FUND
SAL	05-12-00	220352	-1,011,805.000	1.000		1,011,805.00	-1,011,805.00	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	05-12-00	220353	-327,324 910	1.000		327,324 91	-327,324 91	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	05-12-00	220354	-341,377.710	1.000		34',377.71	-341,377.71	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	05-25-00	221442	-243,707.780	1.000		243,707 78	-243,707.78	0.00			PRIME MONEY MARKET SWEEP FUND

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	06-02-00	223548	224,325.000	1.000	224,325.00				0	0.00	Prime Money Market Sweep Fund
SAL	06-06-00	223551	-800,526.500	1.000		800,526.50	-800,526.50	0.00			Prime Money Market Sweep Fund
SAL	07-06-00	226699	-864,097.780	1.000		864,097.78	-864,097.78	0.00			Prime Money Market Sweep Fund
PUR	07-13-00	227310	5,027.030	1.000	5,027.03				0	0.00	Prime Money Market Sweep Fund
SAL	07-19-00	226701	-1,593.030	1.000		1,593.03	-1,593.03	0.00			Prime Money Market Sweep Fund
PUR	07-20-00	226704	946,431.950	1.000	946,431.95				0	0.00	Prime Money Market Sweep Fund
SAL	07-28-00	227523	-645,445.340	1.000		645,445.34	-645,445.34	0.00			Prime Money Market Sweep Fund
SAL	07-31-00	227894	-33,492.510	1.000		33,492.51	-33,492.51	0.00			Prime Money Market Sweep Fund
PUR	08-01-00	230644	27,928.060	1.000	27,928.06				0	0.00	Prime Money Market Sweep Fund
SAL	08-04-00	230645	-5,500.000	1.000		5,500.00	-5,500.00	0.00			Prime Money Market Sweep Fund
SAL	08-14-00	230646	-42,195.770	1.000		42,195.77	-42,195.77	0.00			Prime Money Market Sweep Fund
PUR	08-31-00	231347	188,493.710	1.000	188,493.71				0	0.00	Prime Money Market Sweep Fund
PUR	09-01-00	232338	72,497.580	1.000	72,497.58				0	0.00	Prime Money Market Sweep Fund
SAL	09-05-00	232340	-356,250.980	1.000		356,250.98	-356,250.98	0.00			Prime Money Market Sweep Fund
PUR	09-06-00	232339	169,688.000	1.000	169,688.00				0	0.00	Prime Money Market Sweep Fund
PUR	09-19-00	234255	277,378.090	1.000	277,378.09				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	09-19-00	234256	326,104.120	1.000	326,104.12				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	09-19-00	234257	375,287.140	1.000	375,287.14				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	09-21-00	234687	371,975.880	1.000	371,975.88				0	0.00	Prime Money Market Sweep Fund
PUR	09-26-00	235425	797,110.170	1.000	797,110.17				0	0.00	Prime Money Market Sweep Fund
SAL	09-29-00	235432	-278,683.200	1.000		278,683.20	-278,683.20	0.00			Prime Money Market Sweep Fund
PUR	10-16-00	236888	31,873.930	1.000	31,873.93				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	10-16-00	236889	10,281.650	1.000	10,281.65				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	10-16-00	236890	245,457.560	1.000	245,457.56				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	10-16-00	236891	-950,979.500	1.000		950,979.50	-950,979.50	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	10-16-00	236892	-969,261.250	1.000		969,261.25	-969,261.25	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	10-19-00	238123	-1,977.230	1.000		1,977.23	-1,977.23	0.00			Prime Money Market Sweep Fund
SAL	10-23-00	238124	-50,694.550	1.000		50,694.55	-50,694.55	0.00			Prime Money Market Sweep Fund
PUR	10-25-00	238122	363,052.390	1.000	363,052.39				0	0.00	Prime Money Market Sweep Fund
PUR	11-27-00	240835	97,333.000	1.000	97,333.00				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	11-27-00	240836	178,126.610	1.000	178,126.61				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	11-27-00	240837	140,781.500	1.000	140,781.50				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	11-27-00	240838	512,204.410	1.000	512,204.41				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
PUR	11-27-00	240839	128,193.800	1.000	128,193.80				0	0.00	PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	11-27-00	240840	-797,536.520	1.000		797,536.52	-797,536.52	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	11-27-00	240841	-951,319.350	1.000		951,319.35	-951,319.35	0.00			PRIME MONEY MARKET SWEEP FUND (SWEEP DEADLINE IS 4:30 PM EST)
SAL	11-28-00	241253	-128,193.800	1.000		128,193.80	-128,193.80	0.00			Prime Money Market Sweep Fund

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	12-05-00	242939	97,333.000	1.000	97,333.00				0	0.00	Prime Money Market Sweep Fund
SAL	12-22-00	243826	-182,285.810	1.000		182,285 81	-182,285.81	0 00			Prime Money Market Sweep Fund
PUR	12-27-00	243825	64,981.190	1.000	64,981.19				62,981	62,981.19	Prime Money Market Sweep Fund
SAL	12-31-00	246446	-2,000.000	1.000		2,000.00	-2,000.00	0.00			To correct 12/5 Capital contr.
	TOTAL -		-523,468.390		11,547,034.59	12,070,502 98	-12,070,502.98	0 00	62,981	62,981.19	
ASHFD	JPMSWEEP	J P Morgan Money Market Sweep									
PUR	05-26-00	221449	105,563.120	1.000	105,563.12				0	0.00	Prime Money Market Sweep Fund
PUR	05-30-00	221450	107,185.970	1.000	107,185.97				0	0.00	Prime Money Market Sweep Fund
PUR	06-06-00	223558	167,400.450	1.000	167,400 45				0	0.00	Prime Money Market Sweep Fund
PUR	06-09-00	223559	92,996.900	1.000	92,996.90				0	0.00	Prime Money Market Sweep Fund
SAL	06-15-00	223564	-65,300.000	1.000		65,300.00	-65,300.00	0.00			Prime Money Market Sweep Fund
PUR	06-28-00	224820	167,194 420	1.000	167,194 42				0	0.00	Prime Money Market Sweep Fund
PUR	06-28-00	224821	182,174.460	1.000	182,174.46				0	0.00	Prime Money Market Sweep Fund
PUR	07-05-00	226723	173,575.000	1.000	173,575.00				0	0 00	Prime Money Market Sweep Fund
PUR	07-07-00	226724	194,616.000	1.000	194,616.00				0	0.00	Prime Money Market Sweep Fund
SAL	07-18-00	226729	-76,149.000	1.000		76,149 00	76,149.00	0 00			Prime Money Market Sweep Fund
SAL	07-19-00	226730	-1,025.270	1.000		1,025 27	-1,025.27	0 00			Prime Money Market Sweep Fund
PUR	07-20-00	226725	58,442.930	1.000	58,442 93				0	0.00	Prime Money Market Sweep Fund
SAL	07-25-00	227527	-19,604 500	1.000		19,604 50	19,604.50	0.00			Prime Money Market Sweep Fund
SAL	07-28-00	227528	-89,119.350	1.000		89,119.35	-89,119 35	0 00			Prime Money Market Sweep Fund
PUR	08-01-00	230659	295,350.000	1.000	295,350.00				0	0.00	Prime Money Market Sweep Fund
PUR	08-03-00	230658	93,224.370	1.000	93,224 37				0	0.00	Prime Money Market Sweep Fund
PUR	08-04-00	230660	74,709.500	1.000	74,709.50				0	0.00	Prime Money Market Sweep Fund
PUR	08-07-00	230661	72,095.300	1.000	72,095.30				0	0.00	Prime Money Market Sweep Fund
PUR	08-09-00	230662	1,516.040	1.000	1,516.04				0	0.00	Prime Money Market Sweep Fund
SAL	08-11-00	230669	-40,800.000	1 000		40,800 00	-40,800.00	0.00			Prime Money Market Sweep Fund
PUR	08-14-00	230663	175,522.140	1.000	175,522.14				0	0.00	Prime Money Market Sweep Fund
SAL	08-29-00	23:352	-20,108.200	1.000		20,108 20	-20,108.20	0 00			Prime Money Market Sweep Fund
PUR	09-01-00	232330	1,367,025.000	1.000	1,367,025.00				0	0.00	Prime Money Market Sweep Fund
SAL	09-05-00	232333	-42,962.990	1.000		42,962 99	-42,962 99	0 00			Prime Money Market Sweep Fund
PUR	09-06-00	232331	25,680.300	1.000	25,680.30				0	0.00	Prime Money Market Sweep Fund
PUR	09-07-00	232332	9,569.940	1 000	9,569.94				0	0.00	Prime Money Market Sweep Fund
SAL	09-11-00	234427	-14,280.000	1.000		14,280 00	-14,280.00	0 00			Prime Money Market Sweep Fund
SAL	09-12-00	234428	-70,618 770	1 000		70,618 77	-70,618 77	0 00			Prime Money Market Sweep Fund
SAL	09-13-00	234429	-58,409 040	1 000		58,409.04	-58,409 04	0 00			Prime Money Market Sweep Fund

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	09-14-00	234430	-24,000.000	1.000		24,000.00	-24,000.00	0.00			Prime Money Market Sweep Fund
SAL	09-15-00	234431	-15,875.000	1.000		15,875.00	-15,875.00	0.00			Prime Money Market Sweep Fund
PUR	09-19-00	234421	97,895.730	1.000	97,895.73				0	0.00	Prime Money Market Sweep Fund
PUR	09-21-00	234697	441,759.500	1.000	441,759.50				275,627	275,626.80	Prime Money Market Sweep Fund
SAL	09-22-00	234701	-62,624.910	1.000		62,624.91	-62,624.91	0.00			Prime Money Market Sweep Fund
SAL	09-28-00	235434	-68,162.500	1.000		68,162.50	-68,162.50	0.00			Prime Money Market Sweep Fund
PUR	10-03-00	237679	520,733.000	1.000	520,733.00				520,733	520,733.00	Prime Money Market Sweep Fund
SAL	10-05-00	237681	-16,406.250	1.000		16,406.25	-16,406.25	0.00			Prime Money Market Sweep Fund
SAL	10-06-00	237682	-65,143.800	1.000		65,143.80	-65,143.80	0.00			Prime Money Market Sweep Fund
SAL	10-10-00	237683	-234,244.470	1.000		234,244.47	-234,244.47	0.00			Prime Money Market Sweep Fund
SAL	10-11-00	237684	-31,551.950	1.000		31,551.95	-31,551.95	0.00			Prime Money Market Sweep Fund
SAL	10-12-00	237685	-595,116.760	1.000		595,116.76	-595,116.76	0.00			Prime Money Market Sweep Fund
SAL	10-13-00	237686	-218,096.610	1.000		218,096.61	-218,096.61	0.00			Prime Money Market Sweep Fund
SAL	10-16-00	237687	-109,327.300	1.000		109,327.30	-109,327.30	0.00			Prime Money Market Sweep Fund
PUR	10-18-00	237680	13.010	1.000	13.01				13	13.01	Prime Money Market Sweep Fund
SAL	10-19-00	238134	-31,686.070	1.000		31,686.07	-31,686.07	0.00			Prime Money Market Sweep Fund
SAL	10-20-00	238135	-90,055.800	1.000		90,055.80	-90,055.80	0.00			Prime Money Market Sweep Fund
PUR	10-23-00	238130	42.350	1.000	42.35				42	42.35	Prime Money Market Sweep Fund
SAL	10-30-00	238452	-219,054.460	1.000		219,054.46	-219,054.46	0.00			Prime Money Market Sweep Fund
SAL	10-31-00	238453	-199,591.640	1.000		199,591.64	-199,591.64	0.00			Prime Money Market Sweep Fund
SAL	11-02-00	241175	-10,785.000	1.000		10,785.00	-10,785.00	0.00			Prime Money Market Sweep Fund
SAL	11-03-00	241176	-144,021.620	1.000		144,021.62	-144,021.62	0.00			Prime Money Market Sweep Fund
SAL	11-06-00	241183	-128,461.200	1.000		128,461.20	-128,461.20	0.00			Prime Money Market Sweep Fund
SAL	11-08-00	241184	-19,293.750	1.000		19,293.75	-19,293.75	0.00			Prime Money Market Sweep Fund
SAL	11-09-00	241185	-9,805.400	1.000		9,805.40	-9,805.40	0.00			Prime Money Market Sweep Fund
SAL	11-13-00	241187	-30,394.300	1.000		30,394.30	-30,394.30	0.00			Prime Money Market Sweep Fund
SAL	11-14-00	241188	-64,893.950	1.000		64,893.95	-64,893.95	0.00			Prime Money Market Sweep Fund
SAL	11-15-00	241189	-82,344.400	1.000		82,344.40	-82,344.40	0.00			Prime Money Market Sweep Fund
SAL	11-16-00	241190	-50,524.350	1.000		50,524.35	-50,524.35	0.00			Prime Money Market Sweep Fund
SAL	11-17-00	241191	-15,267.450	1.000		15,267.45	-15,267.45	0.00			Prime Money Market Sweep Fund
SAL	11-20-00	241192	-7,730.400	1.000		7,730.40	-7,730.40	0.00			Prime Money Market Sweep Fund
SAL	11-22-00	241193	-5,850.000	1.000		5,850.00	-5,850.00	0.00			Prime Money Market Sweep Fund
SAL	11-27-00	241194	-24,210.000	1.000		24,210.00	-24,210.00	0.00			Prime Money Market Sweep Fund
SAL	11-28-00	241257	-40,523.360	1.000		40,523.36	-40,523.36	0.00			Prime Money Market Sweep Fund
SAL	11-29-00	241258	-47,227.940	1.000		47,227.94	-47,227.94	0.00			Prime Money Market Sweep Fund
PUR	12-01-00	243323	93,071.250	1.000	93,071.25				93,071	93,071.25	Prime Money Market Sweep Fund
PUR	12-12-00	243324	383,202.240	1.000	383,202.24				383,202	383,202.24	Prime Money Market Sweep Fund

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	12-14-00	243325	-78,500.000	1.000		78,500.00	-78,500.00	0.00			Prime Money Market Sweep Fund
SAL	12-18-00	243326	-174,485.360	1.000		174,485.36	-174,485.36	0.00			Prime Money Market Sweep Fund
SAL	12-19-00	243327	-58,437.500	1.000		58,437.50	-58,437.50	0.00			Prime Money Market Sweep Fund
SAL	12-20-00	243844	-70,843.650	1.000		70,843.65	-70,843.65	0.00			Prime Money Market Sweep Fund
PUR	12-22-00	243834	76,800.980	1.000	76,800.98				76,801	76,800.98	Prime Money Market Sweep Fund
SAL	12-26-00	243845	-84,954.000	1.000		84,954.00	-84,954.00	0.00			Prime Money Market Sweep Fund
	TOTAL -		1,349,489 630		4,977,359.90	3,627,870.27	-3,627,870.27	0.00	1,349,490	1,349,489.63	
AMER1	KANA	Kana Communicationa Inc									
PUR	10-09-00	236886	50,000.000	17.711	885,545.00				40,000	708,436.00	KANA COMMUNICATIONS INC @ 17.7109 MERRILL LYNCH PIERCE FENNER SMITH TRADE DATE 1
SAL	10-20-00	238125	-10,000.000	28.250		282,490.58	-177,109.00	105,381 58			Kana Communicationa Inc
PUR	12-19-00	243821	2,100.000	11.698	24,565.59				2,100	24,565.59	Kana Communicationa @11.6979
PUR	12-19-00	243823	1,700.000	11.996	20,478.03				1,700	20,478.03	Kana Communicationa @11.9959
PUR	12-19-00	243824	6,200.000	11.655	72,261.00				6,200	72,261.00	Kana Communicationa @11.655
	TOTAL -		50,000.000		1,002,849.62	282,490 58	-177,109 00	105,381 58	50,000	825,740 62	
ASHFD	KRON	Kronoa Inc									
PUR	05-04-00	220735	5,663.000	23.955	135,659 00				5,663	135,659 00	5/4 Tfr Ashford to Comm. Stock
	TOTAL -		5,663.000		135,659.00	0.00	0.00	0.00	5,663	135,659.00	
ASHFD	KRSC	Kaiaer Venturea Inc									
PUR	05-04-00	220724	17,992.000	10.440	187,833.00				17,992	151,849.00	5/4 Tfr Ashford to Comm. Stock
ICA	12-20-00	245918			-35,984.00						ADJ BEG BAL-PUR
	TOTAL -		17,992.000		151,849.00	0.00	0 00	0.00	17,992	151,849 00	
ASHFD	LFUS	Littlefuse Inc									
PUR	05-04-00	220742	9,855.000	26.472	260,882.00				9,855	260,882.00	5/4 Tfr Ashford to Comm. Stock
	TOTAL -		9,855.000		260,882.00	0.00	0 00	0.00	9,855	260,882 00	
ASHFD	MAPS	Mapinfo Corp									
PUR	05-04-00	220747	21,257.000	22.091	469,587.00				20,000	294,551 77	5/4 Tfr Ashford to Comm. Stock
SAL	06-23-00	224823	-3,800.000	44 000		167,194 42	-83,945 55	83,248 87			MAPINFO Corp @ 44 00

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	06-26-00	224824	-1,000.000	47.205		47,203.42	-22,090.93	25,112.49			MAPINFO Corp @ 47.205
SPLDV	09-29-00	235436	8,228.000		0.00				0	0.00	Mapfino Corp 3 for 2 split
SAL	12-19-00	243847	-4,685.000	43.750		204,961.91	-68,998.75	135,963.16			Mapfino Corp @43.75
	TOTAL -		20,000.000		469,587.00	419,359.75	-175,035.23	244,324.52	20,000	294,551.77	
ASHFD	MEDQ	Medquist Inc Non Tender									
PUR	05-04-00	220719	8,293.000	26.425	219,141.00				0	0.00	5/4 Tfr Ashford to Comm. Stock
SAL	05-23-00	221451	-2,400.000	43.986		105,563.12	-63,419.56	42,143.56			Medquist Inc @ 43.9861
SAL	07-07-00	226728	-3,816.000	51.000		194,616.00	-100,837.10	93,778.90			Medquist Inc. @51.00 Tender sh
PUR	07-20-00	227534	300.000	32.250	9,675.00				0	0.00	Medquist Inc @ 32.25
PUR	07-25-00	227536	4,500.000	19.804	89,119.35				0	0.00	Medquist Inc @ 19.8043
SAL	10-26-00	238454	-6,877.000	11.594		79,727.90	-153,678.69	-73,950.79			Medquist Inc @11.5938
	TOTAL -		0.000		317,935.35	379,907.02	-317,935.35	61,971.67	0	0.00	
ASHFD	MLR	Miller Industries Inc TENN									
PUR	05-04-00	220709	105,930.000	2.564	271,574.00				0	0.00	5/4 Tfr Ashford to Comm. Stock
SAL	06-01-00	223561	-49,300.000	1.983		96,299.36	-126,391.00	-30,091.64			Miller Industries Inc @ 1.9834
SAL	09-19-00	234703	-56,630.000	1.020		56,033.46	-145,183.00	-89,149.54			Miller Industries Inc @1.0195
	TOTAL -		0.000		271,574.00	152,332.82	-271,574.00	-119,241.18	0	0.00	
AMER1	MPPP	MP3.COM Inc									
PUR	05-08-00	220349	8,900.000	13.994	124,549.27				0	0.00	MP3.COM INC @ 13.9943 JEFFERIES & CO INC TRADE DATE 05/08/00
PUR	05-08-00	220350	6,100.000	13.660	83,328.44				0	0.00	MP3.COM INC @ 13.6604 SPEAR LEEDS & KELLOGG TRADE DATE 05/08/00
SAL	09-18-00	234691	-10,000.000	5.138		51,373.28	-408,449.72	-357,076.44			MP3.Com Inc @5.1375
SAL	09-21-00	235429	-5,000.000	4.264		21,321.29	-204,224.86	-182,903.57			MP3.Com Inc @4.2644
SAL	09-21-00	235429	-10,000.000	4.264		42,642.57	-408,449.72	-365,807.15			MP3.Com Inc @4.2644
SAL	09-27-00	235521	-7,500.000	4.250		31,873.93	-306,337.29	-274,463.36			MP3.Com Inc @4.25
SAL	09-29-00	236509	-2,500.000	4.112		10,281.65	-102,112.42	-91,830.77			MP3.Com Inc @4.112
	TOTAL -		-20,000.000		207,877.71	157,492.72	-1,429,574.01	-1,272,081.29	0	0.00	
ASHFD	MRCY	Mercury Computer Systems Inc									
PUR	05-04-00	220746	10,194.000	28.663	292,188.00				10,194	292,188.00	5/4 Tfr Ashford to Comm. Stock

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		10,194.000		292,188.00	0.00	0.00	0.00	10,194	292,188.00	
ASHFD	NSTA	Anesta Corp									
PUR	05-04-00	220731	13,346.000	14.075	187,846.00				0	0.00	5/4 Tfr Ashford to Comm. Stock
SAL	07-17-00	226731	-4,400.000	29.750		130,895.63	-61,930.35	68,965.28			Anesta Corp @ 29.75
CNVFR	10-13-00	237719	-8,946.000	23.000		125,915.65	-125,915.65	0.00			Anesta exchange to Cephalon
	TOTAL -		0.000		187,846.00	256,811.28	-187,846.00	68,965.28	0	0.00	
AMER1	NTAP	Network Appliance Inc									
PUR	11-15-00	240832	4,800.000	80.700	387,360.00				4,800	387,360.00	NETWORK APPLIANCE INC @ 80.70 GOLDMAN SACHS & CO TRADE DATE 11/15/00
PUR	11-15-00	240833	5,200.000	78.830	410,176.52				5,200	410,176.52	NETWORK APPLIANCE INC @ 78.8301 409 916.52 BROKERAGE 260.00 INSTINET TRADE DATE
	TOTAL -		10,000.000		797,536.52	0.00	0.00	0.00	10,000	797,536.52	
ASHFD	ODWA	Odwalla Inc									
PUR	10-09-00	237706	2,000.000	8.500	17,000.00				2,000	17,000.00	Odwalla Inc
PUR	10-17-00	238132	2,500.000	8.500	21,250.00				2,500	21,250.00	Odwalla Inc
PUR	10-24-00	238445	5,000.000	8.500	42,500.00				5,000	42,500.00	Odwalla Inc @8.50
PUR	11-21-00	241214	1,800.000	10.325	18,585.00				1,800	18,585.00	Odwalla Inc
	TOTAL -		11,300.000		99,335.00	0.00	0.00	0.00	11,300	99,335.00	
AMER1	ONDS	Ondisplay Inc Exchange									
PUR	01-18-00	208425	2,500.000	91.042	227,729.25				0	0.00	ONDISPLAY INC @ 91.042 227 604 25 BROKERAGE 125.00 INSTINET TRADE DATE 01/18/00
PUR	04-13-00	217091	4,000.000	32.635	130,541.60				0	0.00	Ondisplay Inc @ 32.6354
PUR	04-13-00	217092	1,000.000	28.394	28,443.80				0	0.00	Ondisplay Inc @ 28.3938
CNVFR	07-10-00	229386	-20,000.000	81.438		1,386,605.15	-1,386,605.15	0.00			Exchange Ondisplay to Vignette
	TOTAL -		-12,500.000		386,714.65	1,386,605.15	-1,386,605.15	0.00	0	0.00	
AMER1	ONIS	ONI Systems Corp									
PUR	06-01-00	223549	5,000.000	80.446	402,227.50				0	0.00	ONI Systems Corp @ 80.4455
PUR	06-01-00	223550	5,000.000	79.610	398,299.00				0	0.00	ONI Systems Corp @ 79.6098
SAL	09-21-00	235430	-7,500.000	97.756		733,146.31	-600,394.88	132,751 43			Oni Systems Corp @97.7561
SAL	09-21-00	236500	-2,500.000	98.236		245,457.56	-200,131.62	45,325.94			ONI Systems Corp @98.236

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		0.000		800,526.50	978,603.67	-800,526.50	178,077.37	0	0.00	
AMER1	PCLN	Priceline.Com Inc									
PUR	01-05-00	208423	2,500.000	59.304	148,260.00				2,500	148,260.00	PRICELINE COM INC @ 59.304 MORGAN STANLEY & CO TRADE DATE 01/05/00
PUR	09-26-00	235428	5,000.000	18.926	94,878.00				5,000	94,878.00	Priceline Com Inc
	TOTAL -		7,500.000		243,138.00	0.00	0.00	0.00	7,500	243,138.00	
ASHFD	PEB	PE Corp Biosystems Group									
PUR	05-04-00	220744	4,198.000	44 744	187,835.00				0	0.00	5/4 Tfr Ashford to Comm. Stock
CNVFR	12-01-00	243367	-4,198.000	82.625		187,835.00	-187,835.00	0.00			PE Corp name change to Applera
	TOTAL -		0.000		187,835.00	187,635.00	-187,835.00	0.00	0	0.00	
ASHFD	PEGS	Pegasus Systems Inc									
PUR	05-04-00	220720	8,400.000	13.236	111,184.00				0	0.00	5/4 Tfr Ashford to Comm. Stock
SAL	12-07-00	243354	-8,400.000	9.537		80,109.80	-111,184.00	-31,074.20			Pegasus Solutions @9.5372
	TOTAL -		0.000		111,184.00	80,109.80	-111,184.00	-31,074.20	0	0.00	
AMER1	PLRX	PLANETRX.COM INC									
PUR	04-03-00	217090	5,000.000	6.125	30,875.00				0	0.00	Planetrx.Com Inc @ 6.125
SAL	07-25-00	227524	-10,000.000	1.768		17,679.41	-223,517.30	-205,837.89			Planetrx.Com Inc @1.768
SAL	07-25-00	227526	-12,500.000	2.396		29,950.25	-279,396.63	-249,446.38			Planetrx.Com Inc @2.3961
SAL	07-27-00	229384	-27,500.000	1.015		27,928.06	-614,672.57	-586,744.51			Planetrx.Com Inc @ 1.015
	TOTAL -		-45,000.000		30,875.00	75,557.72	-1,117,586.50	-1,042,028.78	0	0.00	
ASHFD	PLT	Plantronics Inc New									
PUR	05-04-00	220729	6,008.000	65.994	396,494.00				14,324	315,100.98	5/4 Tfr Ashford to Comm. Stock
SAL	08-09-00	230668	-3,700.000	47.500		175,522.14	-81,393.02	94,129.12			Plantronics Inc New @47.50
SPLDV	08-10-00	230673	12,016.000		0.00				0	0.00	Plantronics 3 for 1 split
	TOTAL -		14,324.000		396,494.00	175,522.14	-81,393.02	94,129.12	14,324	315,100.98	
ASHFD	PPD	Pre Paid Legal Services Inc									
PUR	10-31-00	238954	3,400.000	42.299	144,021.62				3,400	144,021.62	Pre Paid Legal Services Inc

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	11-01-00	241207	2,000.000	42.564	85,247.00				2,000	85,247.00	Pre Paid Legal Services Inc
PUR	11-09-00	241210	100.000	41.200	4,125.95				100	4,125.95	Pre Paid legal Services Inc
PUR	12-13-00	243348	2,500.000	27.750	69,525.00				2,500	69,525.00	Pre Paid Legal Services Inc
	TOTAL -		6,000.000		302,919.57	0.00	0.00	0.00	8,000	302,919.57	
ASHFD	PRGS	Progressive Software Corp									
PUR	05-04-00	220713	15,394.000	14.914	229,586.00				0	0.00	5/4 Tfr Ashford to Comm. Stock
SAL	07-31-00	229995	-1,100.000	15.062		16,568.19	-16,405.39	162.80			Progress Software Corp
SAL	07-31-00	229996	-1,500.000	15.085		22,626.74	-22,370.99	255.75			Progress Software Corp
SAL	07-31-00	229997	-3,500.000	15.437		54,029 44	-52,198 97	1,830.47			Progress Software Corp
SAL	08-01-00	230665	-4,800.000	15.565		74,709.50	-71,587.17	3,122 33			Progress Software Corp @15 565
SAL	08-04-00	230667	-100.000	15.161		1,516.04	-1,491 40	24 64			Progress Software Corp @15.161
SAL	08-30-00	231763	-1,200.000	13.500		16,199.46	-17,896.79	-1,697.33			Progress Software Corp @13.50
SAL	08-31-00	232054	-1,900.000	13.516		25,680.30	-28,336.58	-2,656.28			Progress Software @13.5164
SAL	09-01-00	232334	-694.000	13.790		9,569.94	-10,350.31	-780.37			Progressive Software @13.79
SAL	09-01-00	234432	-600.000	13.500		8,099.73	-8,948.40	-848.67			Progressive Software @13.50
	TOTAL -		0.000		229,586.00	228,999.34	-229,586.00	-586.66	0	0.00	
AMER1	PSFT	Peoplesoft Inc									
CNVTO	01-04-00	209123.1	12,375.000	33.789	418,139.48				0	0.00	Vantive merger with Peoplesoft
CNVTO	01-04-00	209123.2	2,062.000	33.789	69,673.02				0	0.00	Vantive merger with Peoplesoft
SAL	08-28-00	231348	-6,500.000	29.000		188,493.71	-219,628.82	-31,135.11			Peoplesoft Inc @29.00
SAL	08-29-00	232048	-2,500.000	29.000		72,497.58	-84,472 62	-11,975.04			Peoplesoft Inc @29.00
SAL	08-31-00	232050	-5,437.000	31.211		169,688.00	-183,711.06	-14,023.06			Peoplesoft Inc @31.2109
	TOTAL -		0.000		487,812.50	430,679.29	-487,812 50	-57,133.21	0	0.00	
ASHFD	PTEC	Phenonix Technologies LTD									
PUR	05-04-00	220706	9,270.000	14.634	135,659.00				9,270	135,659.00	5/4 Tfr Ashford to Comm Stock
PUR	10-09-00	237707	5,730.000	12.750	73,057.50				5,730	73,057.50	Phoenix Technologies LTD
PUR	11-21-00	241262	1,200.000	16.188	19,425.00				1,200	19,425.00	Phoenix Technologies @16.1875
	TOTAL -		16,200.000		228,141.50	0.00	0.00	0.00	16,200	228,141.50	
ASHFD	PWN	Cash America Investments Inc									

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	05-04-00	220721	25,000.000	6.711	167,782.00				25,000	167,782.00	S/4 Tfr Ashford to Comm. Stock
PUR	12-13-00	243345	10,000.000	3.938	39,675.00				10,000	39,675.00	Cash Americs Investments Inc
PUR	12-15-00	243835	15,000.000	4.000	60,600.00				15,000	60,600.00	Cash Americs Investments Inc
	TOTAL -		50,000.000		268,057.00	0.00	0.00	0.00	50,000	268,057.00	
AMER1	RBAK	Redback Networks Inc									
PUR	07-25-00	227895	5,000.000	138.615	693,075.00				5,000	693,075.00	Redback Networks Inc @ 138.615
	TOTAL -		5,000.000		693,075.00	0.00	0.00	0.00	5,000	693,075.00	
ASHFD	RNT	Aaron Rents Inc									
PUR	05-04-00	220726	15,442.000	10.813	166,969.00				15,442	166,969.00	S/4 Tfr Ashford to Comm. Stock
	TOTAL -		15,442.000		166,969.00	0.00	0.00	0.00	15,442	166,969.00	
AMER1	SCMR	Sycamore Networks Inc.									
PUR	08-30-00	232044	1,700.000	142.135	241,630.18				1,700	241,630.18	Sycamore Networks Inc @142.135
PUR	08-30-00	232046	800.000	143.226	114,620.80				800	114,620.80	Sycamore Networks Inc @143.226
PUR	10-10-00	236887	12,500.000	77.491	969,261.25				12,500	969,261.25	SYCAMORE NETWORKS INC @ 77.4909 968 636.25 BROKERAGE 625.00 INSTINET TRADE DATE
	TOTAL -		15,000.000		1,325,512.23	0.00	0.00	0.00	15,000	1,325,512.23	
ASHFD	SCTCB4	Systems & Computer Tech Corp									
PUR	05-04-00	220723	100,000.000	0.749	74,943.00				100,000	74,943.00	S/4 Tfr Ashford to Comm. Stock
	TOTAL -		100,000.000		74,943.00	0.00	0.00	0.00	100,000	74,943.00	
AMER1	SEBL	Siebel Systems Inc									
SAL	02-03-00	211349	-10,000.000	101.000		1,009,966.33	-134,772.77	875,193.56			Siebel Systems Inc @ 101.00
SAL	07-17-00	226707	-5,000.000	189.293		946,431.95	-67,386.39	879,045.56			Siebel Systems @ 189.2927
SPLDV	09-11-00	234252	50,000.000		0.00				0	0.00	SIEBEL SYSTEMS INC 2 FOR 1 STOCK DISTRIBUTION
	TOTAL -		35,000.000		0.00	1,956,398.28	-202,159.16	1,754,239.12	0	0.00	
ASHFD	SEPRF5	Sepracor Inc									
PUR	05-04-00	220730	100,000.000	1.173	117,261.00				100,000	117,261.00	S/4 Tfr Ashford to Comm. Stock

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		100,000.000		117,261.00	0.00	0.00	0.00	100,000	117,261.00	
ASHFD	SFNT	Safenet Inc									
CNVTO	11-03-00	241177.1	1,100.000	33.417	36,758.37				1,100	36,758.37	Information Res. name change
CNVTO	11-03-00	241177.2	2,400.000	34.125	81,900.00				2,400	81,900.00	Information Res. name change
	TOTAL -		3,500.000		118,658.37	0.00	0.00	0.00	3,500	118,658.37	
ASHFD	SIVB	Silicon Valley Bancshares									
PUR	10-25-00	238448	3,400.000	44.034	149,716.96				3,400	149,716 96	Silicon Valley Bancshares
PUR	10-26-00	238450	4,600.000	39.688	182,562.50				4,600	182,562.50	Silicon Valley Bancshares
PUR	12-13-00	243349	3,400.000	33.900	115,260.00				3,400	115,260.00	Silicon Valley Bancshares
	TOTAL -		11,400.000		447,539.46	0.00	0.00	0.00	11,400	447,539 46	
ASHFD	SONE	S1 Corp									
PUR	05-04-00	220727	3,092.000	40.501	125,229.00				0	0.00	5/4 Tfr Ashford to Comm. Stock
SAL	08-02-00	230666	-3,092 000	23.318		72,095.30	-125,229.00	-53,133.70			S1 Corp @23.3175
	TOTAL -		0.000		125,229.00	72,095.30	-125,229.00	-53,133.70	0	0.00	
ASHFD	TAXI	Medallion Financial Corp									
PUR	05-04-00	220707	14,363.000	12.351	177,400.00				14,363	177,400.00	5/4 Tfr Ashford to Comm. Stock
PUR	12-13-00	243347	5,637.000	12.188	68,700.94				5,637	68,700.94	Medallion Financial Corp
	TOTAL -		20,000.000		246,100.94	0.00	0.00	0.00	20,000	246,100.94	
ASHFD	TGX	Theragenics Corp									
PUR	05-04-00	220734	45,060.000	7.411	333,929.00				0	0.00	5/4 Tfr Ashford to Comm. Stock
SAL	10-26-00	238455	-45,060.000	4.020		179,332.76	-333,929.00	-154,596.24			Theragenics Corp @4.02
	TOTAL -		0.000		333,929.00	179,332.76	-333,929.00	-154,596.24	0	0.00	
ASHFD	VCI	Valassis Communication									
PUR	07-13-00	226726	2,000.000	38 015	76,149.00				0	0.00	Valassis Comm. Inc @ 38.0145
PUR	07-17-00	226727	1,900.000	38.073	72,452.70				0	0.00	Valassis Comm Inc @ 38.073
PUR	08-24-00	231351	700.000	28 666	20,108.20				700	20,108 20	Valassis Communications @28.66

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	09-06-00	234422	500.000	28.500	14,280.00				500	14,280.00	Valassis Communications @28.50
SAL	10-26-00	238456	-1,900.000	24.541		95,472.70	-146,601.70	-53,129.00			Valassis Communications Inc
	TOTAL -		1,200.000		182,989.90	95,472.70	-148,601.70	-53,129.00	1,200	34,388.20	
AMER1	VIGN	Vignette Corp									
CNVTO	07-10-00	229386.1	3,950.000	43.880	173,325.64				1,450	63,358.20	Exchange Ondisplay to Vignette
CNVTO	07-10-00	229386.2	15,800.000	43.880	693,302.58				15,800	690,385.94	Exchange Ondisplay to Vignette
CNVTO	07-10-00	229386.3	3,950.000	43.880	173,325.64				3,950	172,596.49	Exchange Ondisplay to Vignette
CNVTO	07-10-00	229386.4	6,320.000	43.880	277,321.03				6,320	276,154.38	Exchange Ondisplay to Vignette
CNVTO	07-10-00	229386.5	1,580.000	43.880	69,330.26				1,580	69,038.59	Exchange Ondisplay to Vignette
PUR	07-26-00	227696	900.000	37.214	33,492.51				900	39,325.78	Vignette Corp @37.213$
SAL	10-20-00	238126	-1,000.000	32.607		32,606.01	-43,695.31	-11,089.30			Vignette Corp
SAL	10-20-00	238127	-1,500.000	31.972		47,955.60	-65,542.97	-17,587.17			Vignette Corp
	TOTAL -		30,000.000		1,420,097.66	80,561.81	-109,238.28	-28,676.47	30,000	1,310,859.38	
AMER1	VNTV	Vantive Corp									
CNVFR	01-04-00	209123	-17,500.000	17.583		487,812.50	-487,812 50	0.00			Vantive merger with Peoplesoft
	TOTAL -		-17,500.000		0.00	487,812.50	-487,812.50	0.00	0	0.00	
ASHFD	VRTA	Virata Corp									
PUR	11-09-00	241211	3,000.000	20.256	60,768.00				3,000	60,768.00	Virata Corp
PUR	11-10-00	241212	500.000	20.746	10,373.00				500	10,373.00	Virata Corp
PUR	11-13-00	241213	1,600.000	18.941	30,305.60				1,600	30,305.60	Virata Corp
PUR	11-28-00	241807	1,000.000	18.858	18,858.00				1,000	18,858.00	Virata Corp @18.858
PUR	11-28-00	241808	1,700.000	18.716	31,818.14				1,700	31,818.14	Virata Corp @18.716
	TOTAL -		7,800.000		152,122.74	0.00	0.00	0.00	7,800	152,122.74	
ASHFD	VTSS	Vitesse Semiconductor									
PUR	05-04-00	220751	5,962.000	50.759	302,623.00				4,462	226,485.04	5/4 Tfr Ashford to Comm. Stock
SAL	05-24-00	221453	-1,500.000	42.060		63,087.44	-76,137.96	-13,050.52			Vitesse Semiconductor @42.0597
	TOTAL -		4,462.000		302,623.00	63,087.44	-76,137.96	-13,050.52	4,462	226,485.04	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
ASHFD	VVTV	Valuevision International Inc									
PUR	05-04-00	220712	14,038.000	14.868	208,713.00				14,038	208,713.00	5/4 Tfr Ashford to Comm. Stock
	TOTAL -		14,038.000		208,713.00	0.00	0.00	0.00	14,038	208,713.00	
WESTP1	WPSCX	Westport Small Capital Fund									
PUR	01-03-00	209135	3,579.336	16.260	58,200.00				0	0.00	Westport Small-Cap fd purchase
PUR	02-01-00	211359	4,049.939	16.420	66,500.00				0	0.00	2/1 Westport Small-Cap Fd pur
PUR	02-07-00	211360	3,776.263	17.610	66,500.00				0	0.00	2/7 Westport Small-Cap Fd pur
PUR	03-01-00	215115	37,662.521	17.690	666,250.00				0	0.00	3/1 Westport Small-Cap purch
PUR	03-02-00	215116	715.922	17.460	12,500.00				0	0.00	3/2 Westport Small-Cap purch
PUR	04-03-00	216981	50,549.357	17.470	883,100.00				0	0.00	Westport Small-Cap Fund purch
PUR	05-01-00	219623	32,550.934	17.670	575,175.00				0	0.00	5/1 Westport Small-Cap Fd pur
SAL	05-01-00	219950	-576,433.394	12.957		7,469,040 12	-6,764,545 44	704.494 68			5/1 Tfr WPSCX to Meadows Sec.
SAL	05-01-00	219950	-217,192.077	12.957		2,814,230 32	-3,518,725.00	-704,494 68			5/1 Tfr WPSCX to Meadows Sec.
	TOTAL -		-660,741.199		2,328,225.00	10,283,270 44	-10,283,270 44	0 00	0	0.00	
ASHFD	WXS	Westpoint Stevens Inc									
PUR	05-04-00	220728	6,717.000	13.982	93,917.00				0	0.00	5/4 Tfr Ashford to Comm. Stock
SAL	06-01-00	223562	-6,717.000	10.646		71,101.09	-93,917.00	-22,815.91			Westpoint Stevens Inc @ 10 645
	TOTAL -		0.000		93,917.00	71,101.09	-93,917 00	-22,815.91	0	0.00	
AMER1	YHOO	Yahoo Inc									
SAL	01-04-00	208421	-1,650.000	496.500		819,197.69	-46,636.82	772,560.87			YAHOO INC @ 496.50 819 225.00 TAX &/OR SEC 27.31 GOLDMAN SACHS & CO TRADE DATE (
SAL	01-04-00	208422	-850.000	489.530		416,043 87	-24,025.03	392,018.84			YAHOO INC @ 489.5297 416 100.25 BROKERAGE 42.50 TAX &/OR SEC 13.88 INSTINET TRAI
SPLDV	02-14-00	211352	32,500.000		0.00				0	0.00	Yahoo Inc 2 for 1 split
	TOTAL -		30,000.000		0.00	1,235,241.56	-70,661.85	1,164,579.71	0	0.00	
REPORT TOTAL -					48,857,549.08	53,465,466.54	-51,024,801 81	2,440,664.73	3,005,951	22,042,272.51	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
OAKVAL ABK		AMBAC Financial Group Inc									
TROUT	07-21-00	227671	-35,400.000	54.813		2,011,735.73	-2,011,735.73	0.00			Tfr from OAKVAL to ARMSTR-SHAW
	TOTAL -		-35,400.000		0.00	2,011,735.73	-2,011,735.73	0.00	0	0.00	
ARMSTR ABK		AMBAC Financial Group Inc									
TRIN	07-21-00	227671.3	13,350.000	58.780	784,713.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227671.4	7,150.000	57.846	413,598.19				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227671.8	4,050.000	54.287	219,863.57				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227671.6	3,500.000	54.715	191,502.15				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227671.5	950.000	54.999	52,249.05				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227671.7	200.000	54.328	10,865.60				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227671.2	2,250.000	59.226	133,258.95				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227671.1	1,800.000	59.290	106,722.72				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227671.10	1,500.000	45.970	69,030.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227671.9	650.000	46.000	29,932.50				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
SAL	07-21-00	227787	-33,250.000	60.563		2,011,657.62	-1,912,773.23	98,884 39			AMBAC Financial Oroup
SAL	07-21-00	227787	-2,150.000	60.563		130,077.11	-98,962.50	31,114.61			AMBAC Financial Oroup
	TOTAL -		0.000		2,011,735.73	2,141,734.73	-2,011,735.73	129,999.00	0	0.00	
ARMSTR ABT		Abbott Laboratories									
PUR	07-21-00	227763	20,000.000	40.281	806,826.00				0	0.00	Abbott Labs
PUR	07-24-00	228731	5,100.000	40.625	207,493.50				0	0.00	Abbott Labs
PUR	09-05-00	234185	500.000	42.813	21,436.25				0	0.00	Abbott Laboratories
SAL	10-25-00	238492	-25,600.000	50.063		1,280,021.28	-1,035,755.75	244,265.53			Abbott Laboratories @50.0625
	TOTAL -		0.000		1,035,755.75	1,280,021 28	-1,035,755.75	244,265.53	0	0.00	
OAKVAL AFL		AFLAC INC									
PUR	01-19-00	208390	12,300.000	38.519	474,392.55				0	0.00	AFLAC INC @ 38.5185 473 777.55 BROKERAGE 615.00 CHARLES SCHWAB & CO TRADE DATE (
SAL	05-26-00	222363	-4,425.000	50.897		224,994.45	-201,453.67	23,540.78			AFLAC Inc @ 50.897
SAL	05-30-00	222364	-5,875.000	51.374		301,520.19	-226,930.87	74,589.32			AFLAC Inc @ 51.374
TROUT	07-21-00	227673	-27,300.000	45.938		724,747 44	-724,747.44	0.00			Tfr from OAKVAL to ARMSTR-SHAW

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		-25,300.000		474,392.55	1,251,262.08	-1,153,131.98	98,130.10	0	0.00	
ARMSTR AFL		AFLAC INC									
TRIN	07-21-00	227673.4	4,400.000	39.060	85,932.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227673.2	4,425.000	48.560	107,439.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227673.3	12,000.000	46.941	281,645.40				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227673.1	6,475.000	38.519	249,731.04				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
SAL	07-21-00	227788	-20,825.000	49.975		1,039,441.02	-475,016.40	564,424.62			AFLAC Inc
SAL	07-21-00	227788	-6,475.000	49.975		323,187.54	-249,731.04	73,456.50			AFLAC Inc
	TOTAL -		0.000		724,747.44	1,362,628.56	-724,747.44	637,881.12	0	0.00	
ARMSTR AFS		Associates First Cspital Corp									
PUR	07-21-00	227765	30,000.000	24.875	748,050.00				0	0.00	Associates First Cspital Corp
PUR	07-24-00	227865	10,300.000	25.125	259,405.50				0	0.00	Associates First Capital Corp
SAL	09-11-00	234419	-40,300.000	39.438		1,586,860.27	-1,007,455.50	579,404.77			Associates First Capital Corp
	TOTAL -		0.000		1,007,455.50	1,586,860.27	-1,007,455.50	579,404.77	0	0.00	
ARMSTR AHP		American Nome Products Corp									
PUR	07-21-00	227764	15,000.000	52.875	794,025.00				15,000	794,025.00	American Home Products
PUR	07-24-00	228730	4,100.000	54.687	224,464.75				4,100	224,464.75	American Home Products Corp
PUR	09-05-00	234186	1,500.000	55.500	83,340.00				1,500	83,340.00	American Nome Products
PUR	12-15-00	244033	8,500.000	58.992	501,937.75				8,500	501,937.75	American Home Products Corp
	TOTAL -		29,100.000		1,603,767.50	0.00	0.00	0.00	29,100	1,603,767.50	
OAKVAL AVP		Avon Products Inc									
SAL	04-12-00	217607	-2,875.000	35.482		101,862.73	-100,164.43	1,698.30			AVON PRODUCTS INC @ 35.4817 102 009.89 BROKERAGE 143.75 TAX &/OR SEC 3.41 SMITH
SAL	05-10-00	220778	-7,425.000	41.659		308,938.73	-258,685.51	50,253.22			Avon Products Inc @ 41.6593
TROUT	07-21-00	227674	-33,275.000	44.500		954,515.82	-954,515.82	0.00			Tfr from OAKVAL to ARMSTR-SHAW
	TOTAL -		-43,575.000		0.00	1,365,317.28	-1,313,365.76	51,951.52	0	0.00	
ARMSTR AVP		Avon Products Inc									
TRIN	07-21-00	227674.5	4,000.000	56.250	112,500.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227674.1	2,300.000	69.680	80,131.54				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TRIN	07-21-00	227674.2	4,800.000	66.700	160,079.52				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227674.4	7,000.000	56.515	197,803.55				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227674.3	1,650.000	57.894	47,762.55				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227674.7	150.000	52.531	3,939.82				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227674.6	13,375.000	26.290	352,298.84				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
SAL	07-21-00	227769	-19,900.000	39.375		782,342.38	-602,216.98	180,125.40			Avon Producta Inc
SAL	07-21-00	227769	-13,375.000	39.375		525,820.57	-352,298.84	173,521.73			Avon Producta Inc
	TOTAL -		0.000		954,515.82	1,308,162.95	-954,515.82	353,647.13	0	0.00	
OAKVAL BRKA		Berkshire Hathaway Inc Del ClA									
TROUT	07-21-00	227676	-7.000	53,800.000		290,675.00	-290,675.00	0.00			Tfr from OAKVAL to ARMSTR-SHAW
	TOTAL -		-7.000		0.00	290,675.00	-290,675.00	0.00	0	0.00	
ARMSTR BRKA		Berkshire Hathaway Inc Del ClA									
TRIN	07-21-00	227676	7.000	41,525.000	290,675.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
SAL	07-21-00	227790	-7.000	53,000.000		370,987.21	-290,675.00	80,312.21			Berkshire Hathaway Inc CL-A
	TOTAL -		0.000		290,675.00	370,987.21	-290,675.00	80,312 21	0	0.00	
OAKVAL BRKB		Berkshire Hathaway Del Class B									
TROUT	07-21-00	227675	-1,154.000	1,760.000		1,965,044.07	-1,965,044.07	0.00			Tfr from OAKVAL to ARMSTR-SHAW
	TOTAL -		-1,154.000		0.00	1,965,044.07	-1,965,044.07	0.00	0	0.00	
ARMSTR BRKB		Berkshire Hathaway Del Class B									
TRIN	07-21-00	227675.12	103.975	1,007.758	104,761.66				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227675.10	250.000	1,233 429	308,357.25				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227675.11	50.000	1,199.000	59,950.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227675.9	50.000	1,236.000	61,800.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227675.5	53.000	1,952 822	103,499.56				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227675.6	137.000	1,832.076	250,994.70				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227675.7	120.000	1,443.790	173,254.74				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227675.8	20.000	1,429.660	28,593.20				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227675.2	101.025	2,436.571	246,356.68				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227675.4	76 000	2,000.871	152,066.16				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TRIN	07-21-00	227675.1	115.000	2,498.050	287,275.75				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227675.3	78.000	2,411.723	188,114.37				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
SAL	07-21-00	230018	-1,154.000	1,752.000		2,021,671.44	-1,965,044.07	56,627.37			Berkshire Nathaway CL-B
	TOTAL -		0.000		1,965,044.07	2,021,671.44	-1,965,044.07	56,627.37	0	0.00	
ARMSTR C2		Citigroup Inc									
PUR	07-21-00	227768	10,000.000	71.094	711,538.00				13,333	711,538.00	Citigroup Inc
PUR	07-27-00	228734	4,000.000	69.937	279,990.00				5,333	279,990.00	Citigroup Inc
SPLDV	08-28-00	231364	4,666.000		0.00				0	0.00	Citigroup 4 for 3 split
PUR	09-05-00	234189	500.000	57.688	28,873.75				500	28,873.75	Citigroup Inc @57.6875
PUR	12-15-00	244037	14,300.000	49.000	701,558.00				14,300	701,558.00	Citigroup Inc
	TOTAL -		33,466.000		1,721,959.75	0.00	0.00	0.00	33,466	1,721,959.75	
OAKVAL CB		Chubb Corp									
SAL	01-21-00	208395	-4,200.000	56.750		238,132.05	-302,341.25	-64,209.20			CHUBB CORP @ 56.75 238 350.00 BROKERAGE 210.00 TAX &/OR SEC 7.95 PAINE WEBBER JP
SAL	03-21-00	213759	-15,235.000	53.381		812,475.25	-877,385.08	-64,909.83			CHUBB CORP @ 53.3813 813 264.11 BROKERAGE 761.75 TAX &/OR SEC 27.11 WACHOVIA SEC
	TOTAL -		-19,435.000		0.00	1,050,607.30	-1,179,726.33	-129,119.03	0	0.00	
ARMSTR CD		Cendant Corp									
PUR	07-21-00	227766	50,000.000	13.654	685,690.00				50,000	685,690.00	Cendant Corp
PUR	07-24-00	227868	24,000.000	13.323	321,192.00				24,000	321,192.00	Cendant Corp
PUR	09-05-00	234187	10,800.000	13.000	141,048.00				10,800	141,048.00	Cendant Corp @13.00
PUR	12-15-00	244034	76,000.000	10.107	772,669.20				76,000	772,669.20	Cendant Corp
PUR	12-26-00	244826	20,000.000	9.313	187,450.00				20,000	187,450.00	Cendant Corp @9.3125
	TOTAL -		180,800.000		2,108,049.20	0.00	0.00	0.00	180,800	2,108,049.20	
ARMSTR CHTR		Charter Communication Inc CL A									
TRIN	07-21-00	227677.1	13,800.000	20.155	278,136.24				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227677.2	13,000.000	17.428	191,703.60				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227677.4	8,900.000	14.417	128,308.63				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227677.3	3,825.000	14.474	55,364.58				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227677.5	1,500.000	14.242	21,362.25				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
SAL	07-21-00	227791	-39,025.000	15.067		587,985.68	-674,875.30	-86,889.62			Charter Communications Inc A

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		0.000		674,875.30	587,985.68	-674,875.30	-86,889.62	0	0.00	
OAKVAL	CHTR	Charter Communication Inc CL A									
PUR	01-05-00	208378	13,800.000	20.155	276,136.24				0	0.00	CHARTER COMMUNICATIONS INC DEL CL A @ 20.1548 GOLDMAN SACHS & CO TRADE DATE 01/(
PUR	02-01-00	211404	11,000.000	17.428	191,703.60				0	0.00	Charter Comm Inc Del CL A
PUR	03-31-00	216417	8,900.000	14.417	128,308.63				0	0.00	Charter Communications @ 14.41
PUR	04-03-00	217600	3,825.000	14.474	55,364.56				0	0.00	CHARTER COMMUNICATIONS INC DEL CL A @ 14 4744 GOLDMAN SACHS & CO TRADE DATE 04/(
PUR	04-04-00	217605	1,500.000	14.242	21,362.25				0	0.00	CHARTER COMMUNICATIONS INC DEL CL A @ 14 2415 GOLDMAN SACHS & CO TRADE DATE 04/(
TROUT	07-21-00	227677	-39,025.000	16.438		674,875.30	-674,875.30	0 00			Tfr from OAKVAL to ARMSTR-SHAW
	TOTAL -		0.000		674,875.30	674,875.30	-674,875.30	0.00	0	0.00	
ARMSTR	CMB	Chase Manhattan Corp									
PUR	07-21-00	227767	15,000.000	52.500	788,400.00				15,000	788,400.00	Chase Manhattan Corp New
PUR	07-24-00	227869	6,800.000	51.906	353,366.80				6,800	353,366.80	Chase Manhattan Corp
PUR	09-05-00	234188	1,100.000	56 438	62,147.25				1,100	62,147.25	Chase Manhattan Corp
PUR	12-15-00	244036	22,400.000	42.125	944,944.00				22,400	944,944.00	Chase Manhattan Corp
	TOTAL -		45,300.000		2,148,860.05	0.00	0.00	0.00	45,300	2,148,860.05	
ARMSTR	CMCSA	Comcast Corp Spl A									
TRIN	07-21-00	227679.1	13,800.000	30.967	427,345.98				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227679.2	9,600.000	30.057	288,543.36				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
PUR	07-24-00	227872	4,800.000	34.750	167,086.00				0	0.00	Comcast Corp Spec CL-A
PUR	09-05-00	234190	1,800.000	37.625	67,725.00				0	0.00	Comcast Corp SPL A
CNVFR	12-01-00	242853	-30,000.000	38.438		950,702 34	-950,702 34	0.00			To convert to correct ID
	TOTAL -		0.000		950,702.34	950,702.34	-950,702.34	0.00	0	0.00	
OAKVAL	CMCSA	Comcast Corp Spl A									
PUR	05-18-00	221533	13,800.000	30.967	427,345.98				0	0.00	Comcast Corp @ 30.9671
PUR	05-19-00	221535	9,600.000	30.057	266,543.36				0	0.00	Comcast Corp @ 30.0566
TROUT	07-21-00	227679	-23,400.000	40.500		715,889.34	-715,889.34	0.00			Tfr from OAKVAL to ARMSTR-SHAW
	TOTAL -		0.000		715,889 34	715,889.34	-715,869.34	0.00	0	0.00	
ARMSTR	CMCSK	Comcast Corp Cl A Special									

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CNVTO	12-01-00	242853.1	13,800.000	30.967	427,345.98				13,800	427,345.98	To convert to correct ID
CNVTO	12-01-00	242853.2	9,600.000	30.057	288,543.36				9,600	288,543.36	To convert to correct ID
CNVTO	12-01-00	242853.3	4,800.000	34.810	167,088.00				4,800	167,088.00	To convert to correct ID
CNVTO	12-01-00	242853.4	1,800.000	37.625	67,725.00				1,800	67,725.00	To convert to correct ID
PUR	12-15-00	244038	14,800.000	38.063	564,213.00				14,800	564,213.00	Comcast Corp SPL A
	TOTAL -		44,800.000		1,514,915.34	0.00	0.00	0.00	44,800	1,514,915.34	
OAKVAL	CPQ	Compaq Computer Corp									
PUR	03-31-00	213760	13,900.000	29.285	407,753.72				0	0.00	COMPAQ COMPUTER CORP @ 29.2848 407 058.72 BROKERAGE 695.00 CHARLES SCHWAB & CO I
PUR	04-26-00	218483	5,950.000	29.856	177,943.68				0	0.00	Compaq Computer Corp
TROUT	07-21-00	227680	-19,850.000	25.563		585,697.40	-585,697.40	0.00			Tfr from OAKVAL to ARMSTR-SHAW
	TOTAL -		0.000		585,697.40	585,697.40	-585,697.40	0.00	0	0.00	
ARMSTR	CPQ	Compaq Computer Corp									
TRIN	07-21-00	227680.2	13,900.000	29.285	407,753.72				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227680.1	5,950.000	29.856	177,943.68				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
SAL	07-21-00	227793	-19,850.000	27.938		553,349.89	-585,697.40	-32,347.51			Compaq Computers Corp
PUR	12-13-00	243089	35,300.000	18.790	665,405.00				35,300	665,405.00	Compaq Computer Corp @18.79
PUR	12-15-00	244039	16,600.000	17.266	287,604.96				16,600	287,604.96	Compaq Computer Corp
	TOTAL -		51,900.000		1,538,707.36	553,349.89	-585,697.40	-32,347.51	51,900	953,009.96	
ARMSTR	DD	E I Dupont									
PUR	07-21-00	227769	15,000.000	44.237	664,450.50				15,000	664,450.50	Du Pont E I De Nemours
PUR	07-24-00	227877	4,700.000	43.375	204,144.50				4,700	204,144.50	Du Pont E I De Nemours Co
PUR	09-05-00	234191	1,600.000	45.813	73,396.00				1,600	73,396.00	E I Du Pont De Nemours & Co
PUR	12-15-00	244041	11,500.000	44.250	509,565.00				11,500	509,565.00	E I Du Pont De Nemours & Co
	TOTAL -		32,800.000		1,451,556.00	0.00	0.00	0.00	32,800	1,451,556.00	
OAKVAL	DIS	Walt Disney Company									
SAL	01-18-00	208388	-6,175.000	34.565		213,124.86	-197,394.83	15,730.03			WALT DISNEY CO @ 34.5653 213 440.73 BROKERAGE 308.75 TAX &/OR SEC 7.12 CHARLES S
SAL	01-20-00	208393	-3,300.000	34.461		113,551.84	-87,253.82	26,298.02			WALT DISNEY CO @ 34.4608 113 720.64 BROKERAGE 165.00 TAX &/OR SEC 3.80 LEHMAN BR
SAL	01-27-00	209590	-5,475.000	37.071		202,683.75	-141,787.10	60,896.65			Walt Disney Co
SAL	03-08-00	213745	-14,925.000	35.455		528,399.00	-380,279.19	148,119.81			WALT DISNEY CO @ 35.4548 529 162.89 BROKERAGE 746.25 TAX &/OR SEC 17.64 CHARLES

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	03-08-00	213746	-25.000	35.455		885.09	-623.58	261.51			WALT DISNEY CO @ 35.4548 886.37 BROKERAGE 1.25 TAX &/OR SEC .03 CHARLES SCHWAB &
SAL	03-09-00	213747	-1,450.000	35.061		50,764.40	-36,167.84	14,596.56			WALT DISNEY CO @ 35.0611 176 182.03 BROKERAGE 251.25 TAX &/OR SEC 5.88 CHARLES S
SAL	03-09-00	213747	-3,575.000	35.061		125,160.50	-88,625.32	36,535.18			WALT DISNEY CO @ 35.0611 176 182.03 BROKERAGE 251.25 TAX &/OR SEC 5.88 CHARLES S
SAL	04-24-00	217615	-1,250.000	41.000		51,185.79	-29,868.75	21,317.04			WALT DISNEY CO @ 41.00 73 800.00 BROKERAGE 90.00 TAX &/OR SEC 2.46 WACHOVIA SECU
SAL	04-24-00	217615	-550.000	41.000		22,521.75	-13,634.67	8,887.08			WALT DISNEY CO @ 41.00 73 800.00 BROKERAGE 90.00 TAX &/OR SEC 2.46 WACHOVIA SECU
SAL	04-26-00	217638	-6,925.000	41.127		284,451.50	-165,472.88	118,978.62			Walt Disney Co @ 41.12740
SAL	04-26-00	217638	-4,900.000	41.127		201,272.54	-116,935 56	84,336.98			Walt Disney Co @ 41.12740
	TOTAL -		-48,550.000		0.00	1,794,001.02	-1,258,043.54	535,957.48	0	0.00	
OAKVAL	DNB	Dun & Bradstreet Corp									
PUR	02-01-00	212295	14,000.000	30.704	429,854.34				0	0.00	To correct specific lot - DNB
PUR	02-01-00	212296	13,000.000	26.011	338,138.14				0	0.00	To correct specific lot - DNB
SAL	02-01-00	212294	-27,000.000	28.444		767,992.48	-767,992.48	0.00			To correct specific lot - DNB
SAL	02-23-00	212298	-2,975.000	27.531		81,754.43	-100,555.00	-18,800.57			Dun & Bradstreet Corp @27.531
SAL	02-23-00	212298	-7,875.000	27.531		216,408.80	-241,793.07	-25,384.27			Dun & Bradstreet Corp @27.531
SAL	07-05-00	227054	-50.000	28.750		1,434.95	-1,535 19	-100.24			DUN & BRADSTREET CORP DEL @ 28.75 1 437.50 BROKERAGE 2.50 TAX &/OR SEC .05 WACHO
SAL	07-07-00	227057	-775.000	28.501		22,048 48	-23,795.51	-1,747.03			DUN & BRADSTREET CORP DEL @ 28.5006 22 087.97 BROKERAGE 38 75 TAX &/OR SEC .74 &
TROUT	07-21-00	227681	-40,250.000	28.625		1,079,166 37	-1,079,166.37	0.00			Tfr from OAKVAL to ARMSTR-SHAW
	TOTAL -		-51,925.000		767,992.48	2,168,805.51	-2,214,837.62	-46,032 11	0	0.00	
ARMSTR	DNB	Dun & Bradstreet Corp									
TRIN	07-21-00	227681.2	5,000.000	27.537	137,685.50				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227681.4	10,000.000	26.077	260,771.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227681.3	5,400.000	26.263	141,819.66				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227681.6	1,550.000	24.530	38,021.50				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227681.1	5,300.000	30.704	162,730.57				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227681.5	13,000.000	26.011	338,138.14				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
SAL	07-21-00	227794	-21,950.000	29 326		642,369 44	-578,297.66	64,071.78			Dun & Bradstreet Corp
SAL	07-21-00	227794	-18,300.000	29.326		535,551 74	-500,868.71	34,683.03			Dun & Bradstreet Corp
	TOTAL -		0.000		1,079,166.37	1,177,921.18	-1,079,166 37	98,754 81	0	0.00	
ARMSTR	DVN	Devon Energy Corp									
PUR	11-09-00	241109	16,200.000	52.074	844,577.28				16,200	844,577 28	Devon Energy Corp @52.0744
PUR	12-15-00	244040	7,000.000	53.500	374,920.00				7,000	374,920 00	Devon Energy Corp

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		23,200.000		1,219,497.28	0.00	0.00	0.00	23,200	1,219,497.28	
ARMSTR	EDS	Electronic Data Systems									
PUR	10-23-00	238187	23,800.000	47.750	1,137,878.00				23,800	1,137,878.00	Electronic Data Systems Corp
PUR	12-15-00	244042	7,000.000	54.704	383,345.20				7,000	383,345.20	Electronic Data Systems Corp
	TOTAL -		30,800.000		1,521,223.20	0.00	0.00	0.00	30,800	1,521,223.20	
OAKVAL	EK	Eastman Kodak									
PUR	01-24-00	208398	4,325.000	63.536	275,010.75				0	0.00	EASTMAN KODAK CO @ 63.5363 274 794 50 BROKERAGE 216.25 LEGG MASON WOOD WALKER IN
PUR	01-28-00	209592	2,550.000	62.343	159,102.41				0	0.00	Eastman Kodak Co
PUR	02-10-00	211407	1,800.000	59.669	107,494.20				0	0.00	Eastman Kodak Co @ 59.669
PUR	02-15-00	211409	3,700.000	57.563	213,166.25				0	0.00	Eastman Kodak Co @ 57.5625
PUR	03-09-00	213748	5,525.000	55.019	304,255.67				0	0.00	EASTMAN KODAK CO @ 55.0189 303 979 42 BROKERAGE 276.25 LEHMAN BROTHERS INC TRADE
TROUT	07-21-00	227682	-17,900.000	59.500		1,059,029.28	-1,059,029.28	0.00			Tfr from OAKVAL to ARMSTR-SHAW
	TOTAL -		0.000		1,059,029.28	1,059,029.28	-1,059,029.28	0.00	0	0.00	
ARMSTR	EK	Eastman Kodak									
TRIN	07-21-00	227682.1	4,325.000	63.536	275,010.75				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227682.2	2,550.000	62.343	159,102.41				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227682.3	1,800.000	59.669	107,494.20				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227682.4	3,700.000	57.563	213,166.25				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227682.5	5,525.000	55.019	304,255.67				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
SAL	09-27-00	235522	-17,900.000	40.936		731,655.97	-1,059,029.28	-327,373.31			Eastman Kodak Co. @40.936
	TOTAL -		0.000		1,059,029.28	731,655.97	-1,059,029.28	-327,373.31	0	0.00	
ARMSTR	EMR	Emerson Electric Co									
PUR	07-21-00	227770	12,000.000	64.737	777,558.00				12,000	777,558.00	Emerson Electric Company
PUR	07-24-00	227880	3,400.000	65.313	222,266.50				3,400	222,266.50	Emerson Elec Co
PUR	09-05-00	234192	1,500.000	67.000	100,590.00				1,500	100,590.00	Emerson Electric Co
PUR	12-15-00	244044	6,700.000	72.192	484,089.74				6,700	484,089.74	Emerson Electric Co
	TOTAL -		23,600.000		1,584,504.24	0.00	0.00	0.00	23,600	1,584,504.24	
ARMSTR	FDC	First Data									

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PUR	07-21-00	227772	15,000.000	48.469	727,932.00				15,000	727,932.00	First Data Corp
PUR	07-24-00	227883	5,700.000	48.000	273,942.00				5,700	273,942.00	First Data Corp
PUR	09-05-00	234194	2,700.000	46.250	125,037.00				2,700	125,037.00	First Data Corp
PUR	12-15-00	244045	9,200.000	48.375	445,602.00				9,200	445,602.00	First Data Corp
	TOTAL -		32,600.000		1,572,513.00	0.00	0.00	0.00	32,600	1,572,513.00	
OAKVAL	FRE	Freddie Mac-Fed Nome Ln Mort.									
PUR	01-20-00	208394	7,375.000	45.625	336,852 39				0	0.00	FEDERAL NOME LOAN MORTGAGE CORP @ 45.6249 336 483.64 BROKERAGE 368.75 LEGG MASON
PUR	01-21-00	208396	1,975.000	45.169	89,307.72				0	0.00	FEDERAL NOME LOAN MORTGAGE CORP @ 45.1691 89 208.97 BROKERAGE 98.75 LEGG MASON
PUR	05-05-00	220774	6,550.000	42.966	281,755.46				0	0.00	Federal Home Loan Mortgage Cor
TROUT	07-21-00	227683	-15,900.000	40.500		707,915.57	-707,915.57	0 00			Tfr from OAKVAL to ARMSTR-SHAW
	TOTAL -		0.000		707,915.57	707,915.57	-707,915.57	0.00	0	0.00	
ARMSTR	FRE	Freddie Mac-Fed Home Ln Mort.									
PUR	07-21-00	227771	10,000.000	40.750	408,100.00				10,000	408,100.00	Freddie Mac - Fed Home LN Mort
TRIN	07-21-00	227683.1	7,375.000	45.625	336,852.39				7,375	336,852.39	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227683.2	1,975.000	45.169	89,307.72				1,975	89,307.72	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227683.3	6,550.000	42.966	281,755.46				6,550	281,755.46	Tfr from OAKVAL to ARMSTR-SHAW
PUR	07-24-00	227882	2,300.000	42.000	96,738.00				2,300	96,738.00	Federal Home Loan Mtg Corp
PUR	09-05-00	234193	2,100.000	41.563	87,407.25				2,100	87,407.25	Federal Home Loan Mtg Corp
	TOTAL -		30,300.000		1,300,160.82	0.00	0.00	0 00	30,300	1,300,160.82	
ARMSTR	G	Gillette									
TRIN	07-21-00	227684.3	1,800.000	77.058	69,352 20				0	0 00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227684.1	9,800.000	80.281	393,374.45				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227684.2	9,125.000	39.314	358,738.43				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227684.4	4,500.000	37.045	166,700.70				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227684.5	8,875.000	36.745	326,552.08				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
SAL	07-21-00	227795	-25,225.000	30.494		767,672.00	-988,165.78	-220,493.78			Gillette Company
SAL	07-21-00	227795	-8,875.000	30.494		270,092 73	-326,552.08	-56,459.35			Gillette Company
	TOTAL -		0.000		1,311,717.86	1,037,764 73	-1,314,717.86	-276,953.13	0	0.00	
OAKVAL	G	Gillette									

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TROUT	07-21-00	227684	-34,100.000	34.938		1,314,717.86	-1,314,717.86	0.00			Tfr from OAKVAL to ARMSTR-SHAW
	TOTAL -		-34,100.000		0.00	1,314,717.86	-1,314,717.86	0.00	0	0.00	
ARMSTR	GCI	Gannett									
PUR	07-21-00	227773	15,000.000	57.906	869,494.50				15,000	869,494.50	Gannett Company
PUR	07-24-00	227884	2,500.000	57.125	142,962.50				2,500	142,962.50	Gannett Inc
PUR	09-05-00	234195	2,200.000	56.625	124,707.00				2,200	124,707.00	Gannett Co @56.625
PUR	09-19-00	234957	4,500.000	51.375	231,457.50				4,500	231,457.50	Gannett Co @51.375
PUR	12-15-00	244046	7,500.000	60.125	451,387.50				7,500	451,387.50	Gannett Co
	TOTAL -		31,700.000		1,820,009.00	0.00	0.00	0.00	31,700	1,820,009.00	
ARMSTR	GM	General Motors									
PUR	07-21-00	227774	15,000.000	60.000	900,900.00				15,000	900,900.00	General Motors Corp
PUR	07-24-00	227885	1,700.000	59.563	101,358.25				1,700	101,358.25	General Motors Corp
PUR	12-15-00	244048	14,800.000	51.808	767,644.92				14,800	767,644.92	General Motors Corp
	TOTAL -		31,500.000		1,769,903.17	0.00	0.00	0.00	31,500	1,769,903.17	
OAKVAL	GTE	GTE CORP									
CNVFR	07-07-00	227080	-6,125.000	62.250		437,620.84	-437,620.84	0.00			GTE Corp Exchange to Verizon
	TOTAL -		-6,125.000		0.00	437,620.84	-437,620.84	0.00	0	0.00	
ARMSTR	HAL	Halliburton Company									
PUR	07-21-00	227775	12,000.000	42.383	509,313.60				0	0.00	Halliburton Company
PUR	07-24-00	227886	8,400.000	42.076	353,942.40				0	0.00	Halliburton Company
SAL	08-09-00	230862	-20,400.000	51.705		1,053,531.00	-863,256.00	190,275.00			Halliburton Co @51.7054
	TOTAL -		0.000		863,256.00	1,053,531.00	-863,256.00	190,275.00	0	0.00	
ARMSTR	HI	Household Intl. Inc.									
TRIN	07-21-00	227685.2	13,950.000	0.000	638,181.81				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227685.4	7,700.000	45.154	347,685.80				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227685.3	7,475.000	45.561	340,940.73				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227685.1	5,125.000	46.375	237,928.13				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	07-21-00	227796	-34,250.000	46.514		1,590,999.82	-1,564,736.47	26,263.35			Household Int'l Inc
	TOTAL -		0.000		1,564,736.47	1,590,999 82	-1,564,736.47	26,263.35	0	0.00	
OAKVAL HI		Houaehold Intl. Inc.									
TROUT	07-21-00	227685	-34,250.000	41.563		1,564,736.47	-1,564,736.47	0.00			Tfr from OAKVAL to ARMSTR-SHAW
	TOTAL -		-34,250.000		0.00	1,564,736.47	-1,564,736.47	0.00	0	0.00	
ARMSTR HON		Honeywell International Inc									
PUR	07-21-00	227776	20,000.000	35.344	708,076.00				20,000	708,076.00	Honeywell International Inc
PUR	07-24-00	227812	12,700.000	36.000	457,962.00				12,700	457,962.00	Honeywell Int'l Inc
PUR	09-05-00	234196	500.000	39.500	19,780.00				500	19,780.00	Honeywell Int'l Inc
	TOTAL -		33,200.000		1,185,818.00	0.00	0.00	0.00	33,200	1,185,818.00	
ARMSTR IBM		Int'l Business Machines									
PUR	07-21-00	227778	5,000.000	114.620	573,400.00				3,100	355,508.00	International Business Machine
PUR	07-27-00	228733	5,000.000	111.062	555,612.50				5,000	555,612.50	International Business Machs
PUR	12-15-00	244050	8,400.000	88.875	747,054.00				8,400	747,054.00	International Business Machine
SAL	12-22-00	244912	-1,900.000	87.750		166,605.44	-217,892.00	-51,286.56			Int'l Business Machines @87.75
	TOTAL -		16,500.000		1,876,066.50	166,605.44	-217,892.00	-51,286.56	16,500	1,658,174 50	
ARMSTR IMS		IMS Health Inc									
PUR	07-21-00	227777	35,000.000	17.125	601,475.00				15,800	265,413.73	IMS Health Inc
PUR	07-24-00	227887	15,100.000	17.250	261,381.00				15,100	255,499 93	IMS Health Inc
CNVFR	09-01-00	235549	0.000	18.875		19,414.26	-19,414.26	0.00			IMS spin-off to Synavant Inc
PUR	09-05-00	234197	700.000	19.375	13,604.50				700	13,604.50	IMS Health Inc
SAL	11-21-00	241118	-19,200.000	26.750		512,430.88	-322,528 08	189,902 80			IMS Health Inc @26.75
PUR	12-15-00	244049	12,000.000	26.313	316,470.00				12,000	316,470.00	IMS Health Inc
	TOTAL -		43,600.000		1,192,930.50	531,845 14	-341,942.34	189,902.80	43,600	850,988 16	
ARMSTR IPG		Interpublic Group of Cos Inc									
TRIN	07-21-00	227686.4	6,750.000	53.543	120,471.64				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227686.3	2,000.000	45.826	45,825.50				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY INVESTMENT TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TRIN	07-21-00	227686.2	16,450.000	47.310	389,124.75				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227686.1	1,750.000	42.259	74,040.75				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
SAL	07-21-00	227797	-25,200.000	43.375		1,091,501.56	-555,421.69	536,079.67			Interpublic Group
SAL	07-21-00	227797	-1,750.000	43.375		75,798.72	-74,040.75	1,757.97			Interpublic Group
	TOTAL -		0.000		629,462.64	1,167,300.28	-629,462.64	537,837.64	0	0.00	
OAKVAL IPG		Interpublic Group of Cos Inc									
SAL	01-21-00	208397	-1,025.000	52.750		54,015.69	-24,246.38	29,769.31			INTERPUBLIC GROUP COMPANIES INC @ 52.75 54 068.75 BROKERAGE 51.25 TAX &/OR SEC 1
SAL	01-24-00	208399	-75.000	52.750		3,952.36	-1,774.12	2,178.24			INTERPUBLIC GROUP COMPANIES INC @ 52.75 3 956.25 BROKERAGE 3.75 TAX &/OR SEC .14
PUR	04-26-00	218484	1,750.000	42.259	74,040.75				0	0.00	Interpublic Group Companies
TROUT	07-21-00	227686	-26,950.000	43.000		629,462.64	-629,462.64	0.00			Tfr from OAKVAL to ARMSTR-SHAW
	TOTAL -		-26,300.000		74,040.75	687,430.69	-655,463.14	31,947.55	0	0.00	
ARMSTR JNY		Jones Apparel Group Inc									
PUR	07-21-00	227779	30,000.000	25.254	759,426.00				30,000	759,426.00	Jones Apparel GP Inc
PUR	07-24-00	227888	9,800.000	25.125	246,813.00				9,800	246,813.00	Jones Apparel Group Inc
PUR	09-05-00	234198	5,800.000	27.625	160,573.00				5,800	160,573.00	Jones Apparel Group Inc
PUR	12-15-00	244051	7,000.000	30.875	216,545.00				7,000	216,545.00	Jones Apparel Group Inc
	TOTAL -		52,600.000		1,383,357.00	0.00	0.00	0.00	52,600	1,383,357.00	
OAKVAL JPMSWEEP		J P Morgan Money Market Sweep									
SAL	01-03-00	208076	-38,666.060	1.000		38,666.06	-38,666.06	0.00			Prime Money Market Sweep Fund
PUR	01-04-00	208077	480,225.150	1.000	480,225.15				0	0.00	Prime Money Market Sweep Fund
SAL	01-05-00	208078	-89,319.390	1.000		89,319.39	-89,319.39	0.00			Prime Money Market Sweep Fund
PUR	01-31-00	208402	71,367.950	1.000	71,367.95				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	01-31-00	208403	631,056.150	1.000	631,056.15				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	01-31-00	208404	35,465.060	1.000	35,465.06				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	01-31-00	208406	213,124.860	1.000	213,124.86				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	01-31-00	208407	202,840.020	1.000	202,840.02				0	0.00	PRIME MONEY MARKET SWEEP FUND
SAL	01-31-00	208408	-91,094.740	1.000		91,094.74	-91,094.74	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	01-31-00	208409	-25,968.750	1.000		25,968.75	-25,968.75	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	01-31-00	208410	-278,136.240	1.000		278,136.24	-278,136.24	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	01-31-00	208411	-166.670	1.000		166.67	-166.67	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	01-31-00	208412	-474,392.550	1.000		474,392.55	-474,392.55	0.00			PRIME MONEY MARKET SWEEP FUND

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ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	01-31-00	208413	-223,300.550	1.000		223,300.55	-223,300.55	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	01-31-00	208414	-271,058.390	1.000		271,058.39	-271,058 39	0.00			PRIME MONEY MARKET SWEEP FUND
PUR	02-01-00	211403	300,458.750	1.000	300,458.75				0	0.00	Prime Money Market Sweep Fund
SAL	02-18-00	211420	-731,842.300	1.000		731,842.30	-731,842.30	0.00			Prime Money Market Sweep Fund
PUR	03-31-00	213763	61,996.210	1.000	61,996.21				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	03-31-00	213764	529,284.090	1.000	529,284.09				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	03-31-00	213765	160,298.960	1.000	160,298.96				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	03-31-00	213766	8,598.690	1.000	6,598.69				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	03-31-00	213767	229,162.710	1.000	229,162.71				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	03-31-00	213768	256,500.050	1.000	256,500.05				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	03-31-00	213769	812,475.250	1.000	812,475.25				0	0.00	PRIME MONEY MARKET SWEEP FUND
SAL	03-31-00	213770	-128,330.770	1.000		128,330.77	-128,330.77	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	03-31-00	213771	-353,745.360	1.000		353,745.36	-353,745 36	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	03-31-00	213772	-407,753.720	1.000		407,753.72	407,753.72	0 00			PRIME MONEY MARKET SWEEP FUND
PUR	04-28-00	217616	101,862.730	1.000	101,862.73				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	04-28-00	217617	6,105.120	1.000	6,105.12				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	04-28-00	217618	33,499.600	1.000	33,499.60				0	0.00	PRIME MONEY MARKET SWEEP FUND
SAL	04-28-00	217619	-139,220.000	1.000		139,220.00	-139,220 00	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	04-28-00	217620	-183,673.210	1.000		183,673.21	-183,673 21	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	04-28-00	217621	-21,362.250	1.000		21,362.25	-21,362.25	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	04-28-00	217622	-157,691.770	1.000		157,691.77	-157,691.77	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	04-28-00	217623	-128,544.100	1.000		128,544.10	-128,544 10	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	04-28-00	217624	-76,064.170	1.000		76,064 17	-76,064 17	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	04-26-00	217625	-129,608.980	1.000		129,608 98	-129,608 98	0 00			PRIME MONEY MARKET SWEEP FUND
SAL	04-28-00	217637	-6.220	1.000		6.22	-6 22	0 00			Prime Money Market Sweep Fund
PUR	05-01-00	220772	233,739.610	1.000	233,739.61				0	0.00	Prime Money Market Sweep Fund
PUR	05-08-00	220773	14,085.070	1.000	14,085.07				0	0.00	Prime Money Market Sweep Fund
SAL	05-10-00	220777	-282,942.370	1.000		282,942.37	-282,942.37	0 00			Prime Money Market Sweep Fund
PUR	05-15-00	220775	308,938.730	1.000	308,938.73				0	0.00	Prime Money Market Sweep Fund
PUR	05-18-00	220776	422,158.890	1.000	422,158.89				0	0.00	Prime Money Market Sweep Fund
SAL	05-23-00	221537	-427,345.980	1.000		427,345 98	427,345.98	0.00			Prime Money Market Sweep Fund
SAL	05-24-00	221539	-242,866.730	1.000		242,666.73	-242,866.73	0.00			Prime Money Market Sweep Fund
PUR	05-25-00	221536	547,487.720	1.000	547,487.72				0	0.00	Prime Money Market Sweep Fund
PUR	06-01-00	223838	224,994.450	1.000	224,994.45				0	0.00	Prime Money Market Sweep Fund
PUR	06-02-00	223839	301,520.190	1.000	301,520.19				0	0.00	Prime Money Market Sweep Fund
PUR	06-09-00	225010	1,271,550.000	1.000	1,271,550.00				0	0.00	Prime Money Market Sweep Fund
PUR	06-19-00	223840	4,713.590	1.000	4,713.59				0	0.00	Prime Money Market Sweep Fund

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ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	06-20-00	223841	1,047.460	1.000	1,047.46				0	0.00	Prime Money Market Sweep Fund
PUR	06-30-00	224859	285,480.380	1.000	285,480.38				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	06-30-00	224860	91,127.580	1.000	91,127.58				0	0.00	PRIME MONEY MARKET SWEEP FUND
SAL	06-30-00	224861	-24,000.000	1.000		24,000.00	-24,000.00	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	06-30-00	224862	-96,167.850	1.000		96,167.85	-96,167.85	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	06-30-00	224863	-218,414.480	1.000		218,414.48	-218,414.48	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	06-30-00	224864	-127,226.560	1.000		127,226.56	-127,226.56	0.00			PRIME MONEY MARKET SWEEP FUND
PUR	07-21-00	227067	266,290.880	1.000	266,290.88				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	07-21-00	227068	143,721.600	1.000	143,721.60				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	07-21-00	227069	153,673.300	1.000	153,673.30				0	0.00	PRIME MONEY MARKET SWEEP FUND
PUR	07-21-00	227070	27.390	1.000	27.39				0	0.00	PRIME MONEY MARKET SWEEP FUND
TROUT	07-21-00	227687	-2,967,935.630	1.000		2,967,935.83	-2,967,935.83	0.00			Tfr from OAKVAL to ARMSTR-SHAW
SAL	07-21-00	227072	-28,434.110	1.000		28,434.11	-28,434.11	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	07-21-00	227073	-75,664.120	1.000		75,664.12	-75,664.12	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	07-21-00	227074	-253,175.000	1.000		2*3,175.00	-253,175.00	0.00			PRIME MONEY MARKET SWEEP FUND
SAL	07-21-00	227075	-166.670	1.000		166.67	-166.67	0.00			PRIME MONEY MARKET SWEEP FUND
	TOTAL -		-289,407.700		8,404,878.19	8,694,285.89	-8,694,285.89	0.00	0	0.00	
ARMSTR JPMSWEEP		J P Morgan Money Market Sweep									
PUR	07-21-00	228743	61,000.000	1.000	61,000.00				0	0.00	Prime Money Market Sweep Fund
TRIN	07-21-00	227687.1	223,789.010	1.000	223,789.01				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227687.2	224,994.450	1.000	224,994.45				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227687.3	301,520.190	1.000	301,520.19				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227687.4	1,271,550.000	1.000	1,271,550.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227687.5	4,713.590	1.000	4,713.59				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227687.6	1,047.460	1.000	1,047.46				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227687.7	285,480.380	1.000	285,480.38				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227687.8	91,127.580	1.000	91,127.58				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227687.9	266,290.880	1.000	266,290.88				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227687.10	143,721.600	1.000	143,721.60				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227687.11	153,673.300	1.000	153,673.30				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227687.12	27.390	1.000	27.39				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
PUR	07-26-00	227750	2,346,238.120	1.000	2,346,238.12				0	0.00	Prime Money Market Sweep Fund
SAL	07-27-00	227753	-4,103,623.950	1.000		4,103,623.95	-4,103,623.95	0.00			Prime Money Market Sweep Fund
PUR	07-28-00	227751	4,834,369.920	1.000	4,834,369.92				0	0.00	Prime Money Market Sweep Fund
SAL	07-31-00	227853	-4,834,369.920	1.000		4,834,369.92	-4,834,369.92	0.00			Prime Money Market Sweep Fund

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	08-01-00	230854	213,116.270	1.000	213,116.27				0	0.00	Prime Money Market Sweep Fund
SAL	08-01-00	230858	-1,271,550.000	1.000		1,271,550.00	-1,271,550.00	0.00			To correct tfr of Sweep funds
PUR	08-04-00	230855	556,350.000	1.000	556,350.00				0	0.00	Prime Money Market Sweep Fund
PUR	08-10-00	230856	2,021,671 440	1.000	2,021,671.44				0	0.00	Prime Money Market Sweep Fund
PUR	08-14-00	230857	1,038,987.620	1.000	1,038,987.62				0	0.00	Prime Money Market Sweep Fund
SAL	08-15-00	230863	-426,120.980	1.000		426,120.98	-426,120.98	0.00			Prime Money Market Sweep Funds
SAL	08-16-00	230864	-452,996.700	1.000		452,996.70	-452,996.70	0.00			Prime Money Market Sweep Funds
PUR	09-01-00	234180	1,675,150.000	1.000	1,675,150.00				0	0.00	Prime Money Market Sweep Fund
SAL	09-05-00	234181	-9,862.630	1.000		9,862 63	-9,862.63	0.00			Prime Money Market Sweep Fund
SAL	09-08-00	234182	-2,293,483.090	1.000		2,293,483.09	-2,293,483.09	0.00			Prime Money Market Sweep Fund
PUR	09-14-00	234418	1,586,860.270	1.000	1,586,860.27				0	0.00	Prime Money Market Sweep Fund
SAL	09-22-00	234959	-1,204,832.500	1.000		1,204,832 50	-1,204,832 50	0.00			Prime Money Market Sweep Fund
PUR	10-02-00	236174	731,655.970	1.000	731,655.97				0	0.00	Prime Money Market Sweep Fund
PUR	10-03-00	236175	8,726,233.000	1.000	8,726,233.00				0	0.00	Prime Money Market Sweep Fund
SAL	10-16-00	238181	-592,517.490	1.000		592,517 49	-592.517 49	0 00			Prime Money Market Sweep Fund
SAL	10-19-00	238182	-166.670	1.000		166.67	-166.67	0.00			Prime Money Market Sweep Fund
SAL	10-26-00	238183	-1,137,878.000	1.000		1,137,878.00	-1,137,878.00	0.00			Prime Money Market Sweep Fund
PUR	10-30-00	238491	1,280,021.280	1.000	1,280,021.28				0	0.00	Prime Money Market Sweep Fund
PUR	11-03-00	241107	5,150,933.000	1.000	5,150,933.00				0	0.00	Prime Money Market Sweep Fund
PUR	11-06-00	241108	656,665.540	1.000	656,665.54				297,907	297,906.95	Prime Money Market Sweep Fund
SAL	11-14-00	241114	-844,577.280	1.000		844,577.28	-844,577 28	0.00			Prime Money Market Sweep Fund
SAL	11-16-00	241115	-865,516.800	1.000		865,516.80	-865,516.80	0.00			Prime Money Market Sweep Fund
SAL	11-17-00	241116	-23,592.670	1.000		23,592.67	-23,592.67	0.00			Prime Money Market Sweep Fund
PUR	11-20-00	241111	831,348.580	1.000	831,348.58				831,349	831,348 58	Prime Money Market Sweep Fund
PUR	11-27-00	241112	512,430.880	1.000	512,430.88				512,431	512,430.88	Prime Money Market Sweep Fund
SAL	11-28-00	241265	-138,105.350	1.000		138,105 35	-138,105.35	0 00			Prime Money Market Sweep Fund
PUR	12-05-00	243087	139,333.000	1.000	139,333.00				139,333	139,333.00	Prime Money Market Sweep Fund
PUR	12-14-00	243088	11,707.970	1.000	11,707.97				11,708	11,707.97	Prime Money Market Sweep Fund
SAL	12-18-00	243092	-1,354,380.000	1.000		1,354,380.00	-1,354,380 00	0.00			Prime Money Market Sweep Fund
SAL	12-20-00	244030	-13,454,250.580	1.000		13,454,250.58	-13,454,250.58	0.00			Prime Money Market Sweep Fund
PUR	12-28-00	244780	166,605.440	1.000	166,605.44				166,605	166,605.44	Prime Money Market Sweep fund
SAL	12-29-00	244956	-541,456.700	1.000		541,456.70	-541,456.70	0.00			Prime Money Market Sweep Fund
	TOTAL -		1,959,332 820		35,508,614 13	33,549,281.31	-33,549,281 31	0 00	1,959,333	1,959,332.82	
ARMSTR KMG		Kerr-McGee Corp									
PUR	07-24-00	227889	5,500.000	54 625	300,767.50				5,500	300,767 50	Kerr McGee Corp

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ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	07-25-00	228744	10,000.000	55.938	559,975.00				10,000	559,975.00	Kerr McGee Corp
PUR	12-15-00	244052	8,900.000	59.997	534,509.08				8,900	534,509.08	Kerr-McGee Corp
	TOTAL -		24,400.000		1,395,251.58	0.00	0.00	0.00	24,400	1,395,251.58	
OAKVAL KO		Coca-Cola									
SAL	07-05-00	227055	-4,850.000	58.928		285,548 77	-312,036 88	-26,488.11			COCA COLA CO @ 58.928 299 059.60 BROKERAGE 253.75 TAX &/OR SEC 9.97 PAINE WEBBER
SAL	07-05-00	227055	-225.000	58.928		13,247.11	-13,905.00	-657.89			COCA COLA CO @ 58.928 299 059.60 BROKERAGE 253.75 TAX &/OR SEC 9.97 PAINE WEBBER
TROUT	07-21-00	227688	-24,725.000	57.438		1,397,571.82	-1,397,571.82	0.00			Tfr from OAKVAL to ARMSTR-SHAW
	TOTAL -		-29,800.000		0.00	1,696,367.70	-1,723,513.70	-27,146.00	0	0.00	
ARMSTR KO		Coca-Cola									
TRIN	07-21-00	227688.7	1,200.000	54.435	65,322.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227688.6	2,500.000	55.617	139,042.75				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227688.8	4,000.000	53.373	213,490.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227688.5	2,050.000	56.584	115,996.18				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227688.4	1,450.000	58.037	84,152.93				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227688.1	300.000	61.750	18,540.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227688.3	5,600.000	60.628	339,797.36				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227688.2	2,775.000	61.483	170,753.80				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227688.9	4,850.000	51.595	250,476.80				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
SAL	07-21-00	227798	-11,500.000	60.813		698,630.44	-636,543.86	62,086.58			Coca Cola Company
SAL	07-21-00	227798	-13,225.000	60.813		803,425.00	-761,027.96	42,397.04			Coca Cola Company
	TOTAL -		0.000		1,397,571.82	1,502,055.44	-1,397,571.82	104,483.62	0	0.00	
MSET3 LLPFX		Longleaf Partners Fund									
PUR	01-03-00	209412	5,927.063	20.360	120,675.00				5,927	120,675.00	1/03 Longleaf fund purchase
PUR	02-01-00	211425	4,866.849	20.090	97,775.00				4,867	97,775.00	2/1 Longleaf Fund purchase
PUR	03-01-00	213780	17,563.747	18.040	316,850.00				17,564	316,850.00	3/1 Longleaf Fund purchase
PUR	04-03-00	218479	18,816.794	19.650	369,750.00				18,817	369,750.00	Longleaf Partners Fund purchas
PUR	04-04-00	218480	865.580	19.640	17,000.00				866	17,000.00	Longleaf Partners Fund purchas
PUR	05-02-00	219341	48,012.758	20.380	978,500.00				48,013	978,500.00	5/2 Longleaf Fund purchase
SAL	07-05-00	226097	-2,845.149	21 440		61,000.00	-83,049.90	-22,049.90			7/5 Longleaf redemption
PUR	11-08-00	240589	519.994	21.220	11,034 28				520	11,034.28	Reinvested Longleaf s/t cap gn
PUR	11-08-00	240596	91,689.040	21.220	1,945,641 43				91,689	1,945,641.43	Reinvested Longleaf l/t cap gn

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Market Street Trust Company
PURCHASE / SALE DETAIL NISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		185,416.676		3,857,225.71	61,000.00	-83,049.90	-22,049.90	188,262	3,857,225.71	
ARMSTR LMGA		AT&T CRP - Liberty Media A									
PUR	07-21-00	227762	30,000.000	24.406	733,989.00				30,000	733,989.00	AT&T Corp-Liberty Media A
PUR	07-24-00	227863	16,500.000	24.125	399,052.50				16,500	399,052.50	AT&T Corp Liberty Media Group
PUR	09-05-00	234184	13,300.000	22.750	303,373.00				13,300	303,373.00	AT&T Corp Liberty Media A
PUR	12-15-00	244032	70,000.000	14.188	997,325.00				70,000	997,325.00	AT&T Corp Com Liberty Med Cl A
PUR	12-26-00	244793	15,600.000	12.875	201,786.00				15,600	201,786.00	AT&T Corp Com Liberty Med CL A
	TOTAL -		145,400.000		2,635,525.50	0.00	0.00	0.00	145,400	2,635,525.50	
ARMSTR MAR		Marriott International Inc									
PUR	07-21-00	227861	15,000.000	41.063	616,837.50				15,000	616,837.50	Marriott Int'l Inc
PUR	09-05-00	234199	9,300.000	39.625	369,070.50				9,300	369,070.50	Marriott Int'l Inc CL A
PUR	12-15-00	244053	11,000.000	40.171	442,535.50				11,000	442,535.50	Marriott Internationsl Inc A
	TOTAL -		35,300.000		1,428,443.50	0.00	0.00	0.00	35,300	1,428,443.50	
ARMSTR MTG		MGIC Investment Corp WIS									
PUR	07-21-00	227780	12,000.000	51.969	624,345.60				12,000	624,345.60	MGIC Inveatment Corp WIS
PUR	07-24-00	227890	4,500.000	53.500	241,020.00				4,500	241,020.00	MGIC Investment Corp
PUR	12-15-00	244064	7,600.000	60.719	461,918.88				7,600	461,918 88	MGIC Investment Corp WIS
	TOTAL -		24,100.000		1,327,284 48	0.00	0.00	0.00	24,100	1,327,284 48	
ARMSTR PBI		Pitney-Bowea Inc									
PUR	08-10-00	230865	11,200.000	36.688	411,577.60				11,200	411,577.60	Pitney-Bowea Inc @36.688
PUR	08-11-00	230866	12,300.000	36.769	452,996.70				12,300	452,996.70	Pitney-Bowea Inc @36.769
PUR	09-05-00	234200	2,700.000	36.625	99,049.50				2,700	99,049.50	Pitney-Bowea Inc
PUR	10-11-00	238185	21,000.000	28.157	592,552.80				21,000	592,552 80	Pitney-Bowea Inc
PUR	12-15-00	244054	15,700.000	30.688	482,735.75				15,700	482,735.75	Pitney-Bowea Inc
	TOTAL -		62,900.000		2,038,912.35	0.00	0.00	0 00	62,900	2,038,912.35	
OAKVAL PGR		Progressive Corp Ohio									
SAL	04-20-00	217614	-3,325.000	63.547		211,121.14	-372,501.95	-161,380 81			PROGRESSIVE CORP OHIO @ 63.5472 211 294 44 BROKERAGE 166 25 TAX &/OR SEC 7.05 LE
SAL	05-15-00	220779	-1,500.000	80 464		120,616 83	-161,126.54	-40,509.71			Progressive Corp Ohio @ 80.463

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	05-15-00	220779	-3,750.000	80.464		301,542.06	-358,102 44	-56,560.38			Progressive Corp Ohio @ 80.463
SAL	05-19-00	221540	-550.000	83.101		45,676.63	-52,404.06	-6,727.43			Progressive Corp Ohio @83.1012
SAL	05-22-00	221543	-5,350.000	83.639		447,184.63	-364,816.00	82,368.63			Progressive Corp Ohio @83.6387
SAL	05-22-00	221543	-1,200.000	83.639		100,303.09	-114,336.12	-14,033.03			Progressive Corp Ohio @83.6387
	TOTAL -		-15,675.000		0.00	1,226,444.38	-1,423,287.11	-196,842.73	0	0.00	
ARMSTR Pierpont		J.P. Morgan Funds									
TRIN	07-21-00	227689.1	118,819.880	0.000	118,819.88				118,820	118,819.88	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227689.2	91,111.520	1.000	91,111.52				91,112	91,111.52	Tfr from OAKVAL to ARMSTR-SHAW
	TOTAL -		209,931.400		209,931.40	0.00	0.00	0.00	209,931	209,931.40	
OAKVAL Pierpont		J.P. Morgan Funds									
SAL	02-25-00	211418	-47,647.080	1.000		47,647.08	-47,647.08	0.00			JPM Pierpont MM Fund
TROUT	07-21-00	227689	-209,931.400	1.000		209,931.40	-209,931.40	0.00			Tfr from OAKVAL to ARMSTR-SHAW
	TOTAL -		-257,578.480		0.00	257,578.48	-257,578 48	0.00	0	0.00	
ARMSTR RLI		RLI Corp									
TRIN	07-21-00	227690.4	6,250.000	34.445	172,225.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227690.7	2,750.000	32.445	71,379.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227690.6	2,094.000	32.677	54,733.98				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227690.8	4,875.000	31.280	121,991.97				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227690.5	2,188.000	34.070	59,622.50				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227690.3	5,125.000	36.895	151,269.50				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227690.2	3,750.000	37.715	113,144.40				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227690.1	1,249.000	41.070	41,061.82				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
SAL	07-21-00	227799	-981.000	34.822		34,099.99	-27,032.44	7,067.55			RLI Corp
SAL	07-25-00	227814	-7,300.000	34.312		250,028.33	-197,909.20	52,119.13			RLI Corp
SAL	07-26-00	227854	-14,000.000	33.791		472,212.63	-376,734.79	95,477.84			RLI Corp @ 33.7906
SAL	07-27-00	228728	-6,000.000	34.114		204,314.17	-183,751.74	20,562.43			RLI Corp @ 34.1135
	TOTAL -		0.000		785,428.17	960,655.12	-785,428.17	175,226.95	0	0.00	
OAKVAL RLI		RLI Corp									
TROUT	07-21-00	227690	-28,281.000	34.750		785,428.17	-785,428.17	0.00			Tfr from OAKVAL to ARMSTR-SHAW

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		-28,281.000		0.00	785,428.17	-785,428.17	0.00	0	0.00	
ARMSTR SLMH		USA Education Inc									
PUR	07-21-00	227781	15,000.000	44.469	667,932.00				15,000	667,932.00	SLM Holding Corp
PUR	07-24-00	227891	4,500.000	45.188	203,613.75				4,500	203,613.75	SLM Holding Corp
PUR	09-05-00	234203	5,000.000	38.625	193,425.00				5,000	193,425.00	USA Education Inc
	TOTAL -		24,500.000		1,064,970.75	0.00	0.00	0.00	24,500	1,064,970.75	
ARMSTR SNVT		Synavant Inc									
CNVTO	09-01-00	235549.1	1,750.000	7.733	13,533.19				0	0.00	IMS spin-off to Synavant Inc
CNVTO	09-01-00	235549.2	755.000	7.789	5,881.07				0	0.00	IMS spin-off to Synavant Inc
SAL	12-11-00	243091	-2,505.000	4.734		11,707.97	-19,414.26	-7,706.29			Synavant Inc @4.734
	TOTAL -		0.000		19,414.26	11,707.97	-19,414.26	-7,706.29	0	0.00	
ARMSTR SPLS		Staples Inc									
PUR	12-13-00	243090	50,800.000	13.563	688,975.00				50,800	688,975.00	Staples Inc @13.5625
PUR	12-15-00	244065	22,500.000	13.063	295,256.25				22,500	295,256.25	Staples Inc
PUR	12-26-00	244887	13,700.000	11.111	152,220.70				13,700	152,220.70	Staples Inc @11.111
	TOTAL -		87,000.000		1,136,451.95	0.00	0.00	0.00	87,000	1,136,451.95	
ARMSTR SSP		E W Scripps Noward Inc									
TRIN	07-21-00	227691.10	1,000.000	35.195	35,195.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227691.9	3,000.000	35.195	105,585.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227691.11	2,500.000	33.504	83,760.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227691.12	2,000.000	33.060	66,120.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227691.8	1,000.000	37.810	37,810.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227691.6	3,000.000	39.060	117,180.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227691.5	1,300.000	39.743	51,665.90				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227691.3	2,000.000	40.924	81,847.60				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227691.4	7,400.000	40.172	297,270.58				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227691.7	425.000	38.848	16,510.61				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227691.1	2,400.000	51.526	123,662.40				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227691.2	12,275.000	46.996	576,873.45				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
SAL	07-21-00	227801	-38,300.000	49.269		1,884,637.97	-1,593,480.54	291,157.43			Scripps E W

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		0.000		1,593,480.54	1,884,637.97	-1,593,480.54	291,157.43	0	0.00	
OAKVAL SSP		E W Scrippa Howard Inc									
SAL	03-01-00	213743	-6,475.000	43.375		280,520.01	-346,609.23	-66,089.22			E W SCRIPPS HOWARD INC CL A @ 43.375 280 853.13 BROKERAGE 323.75 TAX &/OR SEC 9.
SAL	03-10-00	213751	-25.000	43.375		1,083.09	-1,290.98	-207.89			E W SCRIPPS HOWARD INC CL A @ 43.375 1 084.38 BROKERAGE 1.25 TAX &/OR SEC .04 LE
SAL	03-13-00	213753	-100.000	43.270		4,321.86	-5,163.93	-842.07			E W SCRIPPS HOWARD INC CL A @ 43.2701 4 327.01 BROKERAGE 5.00 TAX &/OR SEC .15 I
SAL	03-14-00	213754	-200.000	43.045		8,598.69	-10,327.86	-1,729.17			E W SCRIPPS HOWARD INC CL A @ 43.0449 8 608.98 BROKERAGE 10.00 TAX &/OR SEC .29
SAL	03-15-00	213756	-1,325.000	43.146		57,100.82	-68,396.58	-11,295.76			E W SCRIPPS HOWARD INC CL A @ 43.1464 57 168.98 BROKERAGE 66.25 TAX &/OR SEC 1.5
TROUT	07-21-00	227691	-38,300.000	49.250		1,593,480.54	-1,593,480.54	0.00			Tfr from OAKVAL to ARMSTR-SHAW
	TOTAL -		-46,425.000		0.00	1,945,105.01	-2,025,269.12	-80,164.11	0	0.00	
ARMSTR T		A T & T Corporation									
PUR	07-21-00	227761	15,000.000	33.781	507,619.50				0	0.00	AT & T Corp
TRIN	07-21-00	227692.2	9,600.000	45.920	441,307.20				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227692.1	6,750.000	53.346	360,423.68				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
PUR	07-24-00	227862	2,000.000	33.500	67,120.00				0	0.00	AT&T Corporation
PUR	09-05-00	234183	7,200.000	31.625	228,132.00				0	0.00	AT&T Corp @31.625
SAL	11-15-00	241117	-40,550.000	20.563		831,348.58	-1,604,602.38	-773,253.80			AT&T Corp @20.5625
	TOTAL -		0.000		1,604,602.38	831,348.58	-1,604,602.38	-773,253.80	0	0.00	
OAKVAL T		A T & T Corporation									
TROUT	07-21-00	227692	-16,350.000	31.625		801,730.88	-801,730.88	0.00			Tfr from OAKVAL to ARMSTR-SHAW
	TOTAL -		-16,350.000		0.00	801,730.88	-801,730.88	0.00	0	0.00	
ARMSTR TIF		Tiffany & Co									
TRIN	07-21-00	227693.1	16,750.000	37.458	156,854.95				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227693.2	4,800.000	36.738	44,085.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
SAL	07-21-00	227802	-10,775.000	36.186		389,243.57	-100,902.21	288,341.36			Tiffany & Company
SAL	09-05-00	234205	-10,775.000	42.000		451,888.41	-100,037.74	351,850.67			Tiffany & Co @42.00
	TOTAL -		0.000		200,939.95	841,131.98	-200,939.95	640,192.03	0	0.00	
OAKVAL TIF		Tiffany & Co									
SAL	01-06-00	208379	-875.000	81.616		71,367.95	-18,098.85	53,269.10			TIFFANY & CO @ 81.6161 71 414.09 BROKERAGE 43.75 TAX &/OR SEC 2.39 LEGG MASON WC

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	01-07-00	208380	-4,875.000	80.917		394,214.94	-100,654 73	293,560.21			TIFFANY & CO @ 80.9173 394 471 84 BROKERAGE 243.75 TAX &/OR SEC 13.15 LEGG MASON
SAL	01-07-00	208381	-2,875.000	82 432		236,841.21	-59,300.47	177,540.74			TIFFANY & CO @ 82.4323 236 992.86 BROKERAGE 143.75 TAX &/OR SEC 7.90 PAINE WEBBE
SAL	01-10-00	208383	-425.000	83.500		35,465.06	-8,766.16	26,698.90			TIFFANY & CO @ 83.50 35 487.50 BROKERAGE 21.25 TAX &/OR SEC 1.19 PAINE WEBBER JJ
SAL	03-10-00	213752	-2,375.000	67.091		159,215.87	-48,987.34	110,228.53			TIFFANY & CO @ 67.0905 159 339.94 BROKERAGE 118.75 TAX &/OR SEC 5.32 GOLDMAN SAC
SAL	03-15-00	213757	-2,550.000	67.528		172,061.89	-52,596.94	119,464 95			TIFFANY & CO @ 67.5275 172 195.13 BROKERAGE 127.50 TAX &/OR SEC 5.74 SMITH BARNE
SAL	03-16-00	213758	-3,525.000	72.818		256,500.05	-67,674 97	188,825.08			TIFFANY & CO @ 72.8184 256 684 86 BROKERAGE 176.25 TAX &/OR SEC 8.56 LEGG MASON
SPLDV	07-21-00	227076	10,775.000		0.00				0	0.00	TIFFANY & CO 2 FOR 1 STOCK DISTRIBUTION
TROUT	07-21-00	227693	-21,550.000	67.500		200,939.95	-200,939.95	0.00			Tfr from OAKVAL to ARMSTR-SHAW
	TOTAL -		-28,275.000		0.00	1,526,606 92	-557,019 41	969,587.51	0	0.00	
OAKVAL TLAB		Tellabs Inc									
PUR	06-19-00	224848	375.000	64.000	24,000.00				0	0.00	TELLABS INC @ 64.00 GOLDMAN SACHS & CO TRADE DATE 06/19/00
PUR	06-22-00	224852	1,300.000	64 000	83,200.00				0	0.00	TELLABS INC @ 64 00 GOLDMAN SACHS & CO TRADE DATE 06/22/00
PUR	06-23-00	224855	2,800.000	63.327	177,314.48				0	0.00	TELLABS INC @ 63.3266 GOLDMAN SACHS & CO TRADE DATE 06/23/00
TROUT	07-21-00	227694	-4,475.000	68.438		284,514 48	-284,514 48	0.00			Tfr from OAKVAL to ARMSTR-SHAW
	TOTAL -		0.000		284,514 48	284,514 48	-284,514 48	0.00	0	0.00	
ARMSTR TLAB		Tellabs Inc									
TRIN	07-21-00	227694.1	375.000	64.000	24,000.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227694 2	1,300.000	64.000	83,200.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227694.3	2,800.000	63.327	177,314 48				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
SAL	07-21-00	227803	-4,475.000	62.313		278,839.14	-284,514.48	-5,675.34			Tellabs Inc
	TOTAL -		0.000		284,514 48	278,839 14	-284,514 48	-5,675.34	0	0.00	
OAKVAL TSG		Sabre Holdings Corp									
PUR	04-13-00	217630	4,550.000	34.571	157,525.10				0	0.00	Sabre Holdings Corp @ 34.5709
PUR	04-14-00	217631	3,825.000	33.556	128,544.10				0	0.00	Sabre Holdings Corp @ 33.5563
PUR	04-14-00	217633	3,825.000	33.835	129,608.98				0	0.00	Sabre Holdings Corp @ 33.8347
PUR	04-17-00	217632	2,275.000	33.385	76,064 17				0	0.00	Sabrs Holdings Corp @ 33.3848
PUR	04-20-00	217634	6,225.000	32.884	205,016.02				0	0.00	Sabre Holdings Corp @ 32.8843
TROUT	07-21-00	227695	-20,700.000	28.500		696,758.37	-696,758.37	0.00			Tfr from OAKVAL to ARMSTR-SHAW
	TOTAL -		0.000		696,758.37	696,758.37	-696,758.37	0.00	0	0.00	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
ARMSTR TSG		Sabre Holdings Corp									
TRIN	07-21-00	227695.1	4,550.000	34.571	157,525.10				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227695.2	3,825.000	33.835	129,608.98				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227695.3	3,825.000	33.556	128,544.10				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227695.4	2,275.000	33.385	76,064.17				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227695.5	6,225.000	32.884	205,016.02				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
SAL	07-21-00	227804	-20,700.000	24.086		497,315.37	-696,758.37	-199,443.00			Sabre Holdings Corp
	TOTAL -		0.000		696,758.37	497,315.37	-696,758.37	-199,443.00	0	0.00	
ARMSTR TX		Texaco									
PUR	07-21-00	227782	12,000.000	49.906	599,595.60				12,000	599,595.60	Texaco Inc
PUR	07-24-00	227892	5,300.000	49.250	261,343.00				5,300	261,343.00	Texaco Inc
PUR	09-05-00	234201	1,300.000	52.500	68,328.00				1,300	68,328.00	Texaco Inc @52.50
PUR	12-15-00	244055	6,900.000	58.165	401,751.81				6,900	401,751.81	Texaco Inc
	TOTAL -		25,500.000		1,331,018.41	0.00	0.00	0.00	25,500	1,331,018.41	
ARMSTR TXT		Textron Inc									
PUR	11-13-00	241110	16,000.000	54.035	865,516.80				16,000	865,516.80	Textron Inc @54.0348
PUR	12-15-00	244058	12,300.000	43.115	531,050.04				12,300	531,050.04	Textron Inc
	TOTAL -		28,300.000		1,396,566.84	0.00	0.00	0.00	28,300	1,396,566.84	
ARMSTR UAM		United Asset Management Corp									
TRIN	07-21-00	227698.3	4,000.000	26.194	104,774.40				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227698.2	8,000.000	26.240	209,917.60				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227698.7	3,000.000	0.000	74,528.10				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227698.1	6,150.000	26.835	165,033.41				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227698.6	11,000.000	25.039	275,424.60				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227698.4	7,000.000	25.925	181,475.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227698.5	4,575.000	25.675	117,463.13				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227698.8	1,400.000	22.527	31,537.10				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227698.11	1,950.000	22.159	43,209.27				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227698.9	700.000	22.440	15,707.93				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227698.10	1,600.000	0.000	35,537.28				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
SAL	07-21-00	227805	-49,375.000	24.000		1,181,998.00	-1,254,607.82	-72,609.82			United Asset Management Corp

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Market Street Trust Company
PURCHASE / SALE DETAIL NISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TOTAL -			0.000		1,254,607.82	1,181,998.00	-1,254,607.82	-72,609.82	0	0.00	
OAKVAL UAM		United Asset Management Corp									
SAL	06-14-00	223842	-225.000	21.000		4,713.59	-6,354.74	-1,641.15			United Asset MGT Corp @21.00
SAL	06-15-00	223843	-50.000	21.000		1,047.46	-1,412.17	-364.71			United Asset MGT Corp @21.00
SAL	06-20-00	224849	-8,025.000	23.824		190,779 17	-225,713.98	-34,934.81			UNITED ASSET MANAGEMENT CORP @ 23.8239 191 186.80 BROKERAGE 401.25 TAX &/OR SEC
SAL	06-20-00	224850	-3,975.000	23.875		'4,701.21	-109,138.00	-14,436.79			UNITED ASSET MANAGEMENT CORP @ 23.875 94 903.13 BROKERAGE 198.75 TAX &/OR SEC 3.
SAL	06-21-00	224851	-3,825.000	23.875		91,127.58	-104,607.86	-13,480.28			UNITED ASSET MANAGEMENT CORP @ 23.875 91 321.88 BROKERAGE 191.25 TAX &/OR SEC 3.
SAL	06-22-00	224853	-4,575.000	23.818		108,735.42	-123,851.87	-15,116.45			UNITED ASSET MANAGEMENT CORP @ 23.8181 108 967.81 BROKERAGE 228.75 TAX &/OR SEC
SAL	07-07-00	227058	-5,175.000	23.563		121,673 12	-139,538.19	-17,865.07			UNITED ASSET MANAGEMENT CORP @ 23.5625 121 935.94 BROKERAGE 258.75 TAX &/OR SEC
SAL	07-10-00	227059	-6,475.000	23.563		152,238 35	-174,384 53	-22,146.18			UNITED ASSET MANAGEMENT CORP @ 23.5625 152 567.19 BROKERAGE 323.75 TAX &/OR SEC
TROUT	07-21-00	227698	-49,375.000	23.375		1,254,607.82	-1,254,607.82	0 00			Tfr from OAKVAL to ARMSTR-SHAW
TOTAL -			-81,700.000		0.00	2,019,623.72	-2,139,609 16	-119,985 44	0	0.00	
ARMSTR USAI		USA Networks Inc									
TRIN	07-21-00	227699	16,100.000	22.240	358,067.22				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
SAL	07-21-00	227806	-16,100.000	21.024		338,470.28	-358,067 22	-19,596 94			USA Networks Inc
TOTAL -			0.000		358,067.22	338,470 28	-358,067 22	-19,596.94	0	0.00	
OAKVAL USAI		USA Networks Inc									
PUR	03-13-00	213761	16,100.000	22.240	358,067.22				0	0.00	USA NETWORKS INC @ 22.2402 PAINE WEBBER JACKSON & CURTIS TRADE DATE 03/13/00
TROUT	07-21-00	227699	-16,100.000	21.625		358,067 22	-358,067.22	0.00			Tfr from OAKVAL to ARMSTR-SHAW
TOTAL -			0.000		358,067.22	358,067.22	-358,067.22	0.00	0	0.00	
OAKVAL VCI		Valassis Communication									
PUR	01-03-00	208376	2,200.000	41.357	91,094.74				0	0.00	VALASSIS COMMUNICATIONS INC @ 41.3567 90 984 74 BROKERAGE 110.00 WACHOVIA SECURI
PUR	01-04-00	208377	625.000	41.500	25,968.75				0	0.00	VALASSIS COMMUNICATIONS INC @ 41 50 25 937 50 BROKERAGE 31.25 WACHOVIA SECURITIE
PUR	01-28-00	209593	2,100.000	34.745	73,070.34				0	0.00	Valassis Communicstiona Inc
PUR	01-31-00	209594	7,175.000	34 427	247,375.35				0	0.00	Valassis Communications Inc
PUR	02-15-00	211411	3,400.000	28.313	96,432.50				0	0.00	Valassis Comm. Inc @ 28.3125
TROUT	07-21-00	227700	-23,325.000	38.125		855,917 45	-855,917.45	0.00			Tfr from OAKVAL to ARMSTR-SHAW
TOTAL -			-7,825.000		533,941.68	855,917 45	-855,917 45	0.00	0	0.00	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
ARMSTR VCI		Valasais Communication									
TRIN	07-21-00	227700.7	925.000	39.610	36,685.41				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227700.6	550.000	40.967	22,559.41				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227700.5	2,825.000	41.090	116,220.50				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227700.1	1,375.000	41.543	57,191.06				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227700.3	2,150.000	41.493	89,319.39				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227700.4	2,200.000	41.357	91,094.74				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227700.2	625.000	41.500	25,968.75				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227700.8	2,100.000	34.745	73,070.34				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227700.9	7,175.000	34.427	247,375.35				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227700.10	3,400.000	28.313	96,432.50				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
SAL	07-21-00	227807	-23,325.000	34.821		810,761.58	-855,917.45	-45,155.87			Valasais Communications Inc
	TOTAL -		0.000		855,917.45	810,761.58	-855,917.45	-45,155.87	0	0.00	
OAKVAL VZ		Verizon Communications									
CNVTO	07-07-00	227080	7,472.000	58.568	437,620.84				0	0.00	GTE Corp Exchange to Verizon
PUR	07-11-00	227081	4,750.000	53.250	253,175.00				0	0.00	Verizon Communications @53.25
TROUT	07-21-00	227701	-12,222.000	56.521		690,795.84	-690,795.84	0.00			Tfr from OAKVAL to ARMSTR-SHAW
	TOTAL -		0.000		690,795.84	690,795.84	-690,795.84	0.00	0	0.00	
ARMSTR VZ		Verizon Communications									
TRIN	07-21-00	227701.1	7,472.000	58.568	437,620.84				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227701.2	4,750.000	53.250	253,175.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
SAL	07-21-00	227808	-12,222.000	47.563		580,556.18	-690,795.84	-110,239.66			Verizon Communications
	TOTAL -		0.000		690,795.84	580,556.18	-690,795.84	-110,239.66	0	0.00	
ARMSTR WCOM		Worldcom Inc									
PUR	07-21-00	227784	20,000.000	44.969	899,376.00				0	0.00	Worldcom Inc New GA
PUR	07-24-00	227813	5,400.000	44.375	239,949.00				0	0.00	Worlcom Inc
PUR	09-05-00	234204	9,600.000	35.563	341,400.00				0	0.00	Worldwide Inc @35.5625
SAL	11-01-00	241113	-35,000.000	18.823		656,665.54	-1,480,725.00	-824,059.46			WorldCom Inc @18.8225
	TOTAL -		0.000		1,480,725.00	656,665.54	-1,480,725.00	-824,059.46	0	0.00	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
ARMSTR	WHR	Whirlpool Corp									
PUR	09-19-00	234958	25,000.000	38.875	973,375.00				25,000	973,375.00	Whirlpool Corp @38 875
PUR	12-15-00	244063	10,800.000	40.000	432,648.00				10,800	432,648.00	Whirlpool Corp
	TOTAL -		35,800.000		1,406,023.00	0.00	0.00	0.00	35,800	1,406,023.00	
ARMSTR	WPO	Washington Post Class B									
TRIN	07-21-00	227702.14	300.000	231.580	69,474.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227702.13	500.000	347.600	173,800.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227702.12	535.000	407.428	217,973.98				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227702.11	400.000	410.340	164,136.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227702.10	275.000	432.893	119,045.44				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227702.1	100.000	583.300	58,330.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227702.6	85.000	529.286	44,989.28				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227702.5	200.000	533.221	106,644.26				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227702.3	29.000	0.000	16,108.28				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227702.4	286.000	0.000	158,442.20				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227702.9	400.000	0.000	208,573.44				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227702.7	80.000	0.000	42,159.13				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227702.8	120.000	0.000	62,906.47				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227702 2	115.000	556.576	64,011.98				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
SAL	07-21-00	227809	-3,425.000	481.091		1,647,476.93	-1,506,594.46	140,882 47			Washington Post Company B
	TOTAL -		0.000		1,506,594.46	1,647,476.93	-1,506,594.46	140,882 47	0	0.00	
OAKVAL	WPO	Washington Post Class B									
TROUT	07-21-00	227702	-3,425.000	478.000		1,506,594 46	-1,506,594 46	0.00			Tfr from OAKVAL to ARMSTR-SHAW
	TOTAL -		-3,425.000		0.00	1,506,594.46	-1,506,594.46	0.00	0	0.00	
OAKVAL	XL	XL Capital LTD CL-A									
PUR	06-22-00	224854	2,225.000	54.648	121,703.27				0	0.00	XL CAPITAL LTD CL A @ 54.6481 121 592.02 BROKERAGE 111.25 PAINE WEBBER JACKSON &
PUR	06-23-00	224856	750.000	54.750	41,100.00				0	0.00	XL CAPITAL LTD CL A @ 54.75 41 062 50 BROKERAGE 37 50 PAINE WEBBER JACKSON & CU
PUR	06-26-00	224857	2,325.000	54 671	127,226.56				0	0.00	XL CAPITAL LTD CL A @ 54 6711 127 110.31 BROKERAGE 116 25 PAINE WEBBER JACKSON &
PUR	06-30-00	225463	525.000	54.110	28,434 11				0	0.00	XL Capital LTD @54.11
PUR	07-03-00	227053	1,400.000	53.996	75,664 12				0	0.00	XL CAPITAL LTD CL A @ 53.9958 75 594.12 BROKERAGE 70.00 CHARLES SCHWAB & CO TRA
PUR	07-05-00	227056	600.000	54 125	32,505.00				0	0.00	XL CAPITAL LTD CL A @ 54.125 32 475.00 BROKERAGE 30.00 CHARLES SCHWAB & CO TRADE

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TROUT	07-21-00	227703	-7,825.000	54.125		426,633.06	-426,633.06	0.00			Tfr from OAKVAL to ARMSTR-SHAW
	TOTAL -		0.000		426,633.06	426,633.06	-426,633.06	0.00	0	0.00	
ARMSTR XL		XL Capital LTD CL-A									
TRIN	07-21-00	227703.3	2,225.000	54.648	121,703.27				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227703.1	750.000	54.750	41,100.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227703.2	2,325.000	54.671	127,226.56				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227703.5	525.000	54.110	28,434.11				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227703.6	1,400.000	53.996	75,664.12				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
TRIN	07-21-00	227703.4	600.000	54.125	32,505.00				0	0.00	Tfr from OAKVAL to ARMSTR-SHAW
SAL	07-21-00	227810	-7,825.000	56.633		442,672.08	-426,633.06	16,039.02			XL Capital LTD CL-A
	TOTAL -		0.000		426,633.06	442,672.08	-426,633.06	16,039.02	0	0.00	
ARMSTR YUM		Tricon Global Restaurants									
PUR	07-21-00	227783	25,000.000	27.256	682,907.50				25,000	682,907.50	Tricon Global Restaurants
PUR	07-24-00	227893	12,300.000	26.750	329,763.00				12,300	329,763.00	Tricon Global Restaurants Inc
PUR	09-05-00	234202	1,100.000	29.875	32,928.50				1,100	32,928.50	Tricon Global Restaurants Inc
PUR	12-15-00	244062	12,000.000	33.688	404,970.00				12,000	404,970.00	Tricon Global Restaurants
	TOTAL -		50,400.000		1,450,569.00	0.00	0.00	0.00	50,400	1,450,569.00	
REPORT TOTAL -					130,437,934.41	109,116,114.15	-106,389,317.37	2,726,796.78	3,668,892	50,005,773.46	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	11-20-00	242074	5,000.000	34.946	175,082.00				5,000	175,082.00	Vodafone Group PLC
PUR	12-08-00	244224	5,000.000	37.438	187,537.50				5,000	187,537.50	Vodafone Group
PUR	12-14-00	244228	7,000.000	37.102	260,201.20				7,000	260,201.20	Vodafone Group
PUR	12-15-00	244233	8,800.000	37.813	333,366.00				8,800	333,366.00	Vodafone Group
PUR	12-18-00	244237	3,000.000	36.625	110,085.00				3,000	110,085.00	Vodafone Group
PUR	12-21-00	244241	3,000.000	34.375	103,335.00				3,000	103,335.00	Vodafone Group
PUR	12-22-00	245203	3,000.000	34.246	102,947.40				3,000	102,947.40	Vodafone Group @34.2458
PUR	12-26-00	245204	4,000.000	34.063	136,530.00				4,000	136,530.00	Vodafone Group @34.2458
	TOTAL -		100,800.000		3,769,280.00	0.00	0.00	0.00	100,800	3,769,280.00	
WARGO	WWCA	Western Wireless Corp CL A									
PUR	11-09-00	242063	2,000.000	41.750	83,500.00				2,000	83,500.00	Western Wireless Corp CL A
PUR	11-10-00	242064	2,000.000	40.000	80,000.00				2,000	80,000.00	Western Wireless Corp CL A
PUR	11-13-00	242065	3,000.000	40.000	120,000.00				3,000	120,000.00	Western Wireless Corp CL A
PUR	11-16-00	242066	7,000.000	45.305	317,139.20				7,000	317,139.20	Western Wireless Corp CL A
PUR	11-16-00	242067	2,000.000	43.875	87,750.00				2,000	87,750.00	Western Wireless Corp CL A
PUR	11-29-00	242068	5,000.000	38.962	194,812.50				5,000	194,812.50	Western Wireless Corp CL A
PUR	12-01-00	244174	3,000.000	39.500	118,500.00				3,000	118,500.00	Western Wireless Corp
PUR	12-04-00	244178	2,000.000	38.000	76,000.00				2,000	76,000.00	Western Wireless Corp
PUR	12-05-00	244182	5,000.000	42.850	214,250.00				5,000	214,250.00	Western Wireless Corp
PUR	12-15-00	244185	20,000.000	41.308	826,150.00				20,000	826,150.00	Western Wireless Corp
PUR	12-19-00	244191	13,000.000	39.490	513,375.20				13,000	513,375.20	Western Wireless Corp
PUR	12-20-00	244193	5,000.000	36.450	182,250.00				5,000	182,250.00	Western Wireless Corp
PUR	12-21-00	244195	10,300.000	36.551	376,473.24				10,300	376,473.24	Western Wireless Corp
PUR	12-22-00	245205	4,000.000	37.063	148,250.00				4,000	148,250.00	Western Wireless Corp CL A
PUR	12-22-00	245206	3,000.000	37.000	111,000.00				3,000	111,000.00	Western Wireless Corp CL A
PUR	12-26-00	245207	4,000.000	36.375	145,500.00				4,000	145,500.00	Western Wireless Corp CL A
PUR	12-28-00	246515	5,900.000	37.231	219,664.67				5,900	219,664.67	Western Wireless Corp @37.231
PUR	12-29-00	246517	6,475.000	39.545	256,059.06				6,475	256,059.06	Western Wireless Corp @39.545
	TOTAL -		102,675.000		4,070,673.87	0.00	0.00	0.00	102,675	4,070,673.87	
REPORT TOTAL -					29,066,792.58	11,589,757.26	-11,589,757.26	0.00	5,320,066	17,477,035.32	

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SORT OPTION BY GL.ENTITY, INV

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
92500	BCVIII	Boston Capital Ventures III									
SAL	01-24-00	211143	0.000	0.000		7,477.00	-7,477.00	0.00			Return of Capital - BCVIII
SAL	02-08-00	213188	0.000	0.000		56,584.00	-56,584.00	0.00			Return of Capital - BCV III
SAL	02-22-00	213193	0.000	0.000		8,940.00	-8,940.00	0.00			Return of Cap. fm Stock dist.
SAL	04-19-00	218837	0.000	0.000		5,325.00	-5,325.00	0.00			Return of Capital - stock dist
SAL	07-10-00	226496	0.000	0.000		6,044 74	-6,044 74	0.00			Return of Capital - BCV III
ICA	08-01-00	227816			-103,327.89						ADJ BEG BAL-PUR
SAL	08-21-00	230485	0.000	0.000		15,407.00	-15,407.00	0.00			Return of Cap-cash dist BCVIII
PUR	11-07-00	241042	0.000	0.000	25,000.00				0	25,000.00	Capital call - Boston Cap III
	TOTAL -		0.000		-78,327.89	99,777.74	-99,777 74	0.00	0	25,000.00	
92500	BEAS	BEA Sys Inc									
PUR	03-01-00	216746	139.000	6.583	915.03				0	0.00	Purchase of BEAS fm stock dist
ICA	03-01-00	216747			0.00						ADJ BEG BAL-PUR
PUR	03-29-00	214694	277.000	6.583	1,823.47				0	0.00	Stock distr.- Bea Systems pur.
ICA	03-29-00	214695			0.00						ADJ BEG BAL-PUR
SAL	05-12-00	220918	-416.000	78.120		32,346.87	-2,738 50	29,608.37			BEA Systems @ 78.1201
PUR	10-31-00	238528	65.000	3 295	214 19				65	214 19	PCPV Stock distr-Bea Systems
ICA	10-31-00	238530			0.00						ADJ BEG BAL-PUR
PUR	12-01-00	246850	65.000	3.295	214 19				65	214 19	10/2 PCPV stock dist-Bea Sys
ICA	12-01-00	246863			0.00						ADJ BEG BAL-PUR
PUR	12-18-00	243662	65.000	3.295	214 19				65	214 19	PCPV stock distr - Bea Systems
ICA	12-18-00	243664			0.00						ADJ BEG BAL-PUR
	TOTAL -		195.000		3,381.07	32,346 87	-2,738 50	29,608.37	195	642.57	
92500	CAFIF	MSTC Fixed Income Trust I									
IIN	01-31-00	211060*164			439 13						EQUITY INCOME
IIC	01-31-00	207671*164			-513.92						INCOME
IIN	02-29-00	213182*164			556 43						EQUITY INCOME
IIC	03-20-00	211744*164			-500.08						INCOME
IIN	03-31-00	216708*164			279.30						EQUITY INCOME
IIC	04-07-00	215430*164			-572 90						INCOME
IIC	04-26-00	216846*164			-278 33						INCOME

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IIN	04-30-00	219243*162			481.85						EQUITY INCOME
IIC	05-26-00	220207*162			-505.16						INCOME
IIN	05-31-00	222577*162			275.20						EQUITY INCOME
IIC	06-20-00	222750*162			-337.84						INCOME
IIN	06-30-00	225734*162			425.46						EQUITY INCOME
IIC	07-20-00	225901*162			-444.73						INCOME
IIN	07-31-00	230979*162			592.88						EQUITY INCOME
IIC	08-25-00	230171*162			-570.08						INCOME
IIN	08-31-00	233467*162			526.71						EQUITY INCOME
IIC	09-25-00	234528*162			-524.68						INCOME
IIN	09-30-00	237423*162			341.19						EQUITY INCOME
IIC	10-20-00	236956*162			-311.62						INCOME
IIN	10-31-00	239482*161			411.16						EQUITY INCOME
IIC	11-27-00	240333*161			-486.20						INCOME
IIN	11-30-00	242475*152			286.51						EQUITY INCOME
IIC	12-19-00	242824*152			-386.92						INCOME
IIN	12-31-00	247838*143			492.91						EQUITY INCOME
	TOTAL -		0.000		-323.93	0.00	0.00	0.00	0	0.00	
92500	COVD	COVAD Communications									
PUR	03-01-00	216749	40.000	2.857	114.30				0	0.00	Purchase of Covad fm stock dis
ICA	03-01-00	216750			0.00						ADJ BEG BAL-PUR
SAL	06-16-00	223485	-40.000	80.438		3,140.44	-114.30	3,026.14			Sale of Covad Communications
	TOTAL -		0.000		114.30	3,140.44	-114.30	3,026.14	0	0.00	
92500	ILXO	ILEX Oncology, Inc.									
PUR	02-22-00	213194	1,788.000	5.000	8,940.00				0	0.00	Ilex Oncology fm stock distri.
PUR	04-19-00	218838	1,065.000	5.000	5,325.00				0	0.00	ILEX purchase fm stock distri.
SAL	05-02-00	220917	-1,065.000	27.625		29,313.15	-5,325.00	23,988.15			Ilex Oncology @27.6250
SAL	06-16-00	223482	-1,786.000	27.625		49,241.85	-8,940.00	40,301.85			Sale of Ilex Oncology
PUR	07-10-00	226497	694.000	8.710	6,044.74				0	0.00	Purchase of Ilex fm stock dist
SAL	07-17-00	226498	-694.000	43.750		30,292.08	-6,044.74	24,247.34			Sale of Ilex Oncology
	TOTAL -		0.000		20,309.74	108,847.08	-20,309.74	88,537.34	0	0.00	

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
92500	JPMSWEEP	J P Morgan Money Market Sweep									
PUR	01-11-00	208029	7,727.030	1.000	7,727.03				7,727	7,727.03	Prime Money Market Sweep Fund
PUR	01-19-00	208030	10,900.140	1.000	10,900.14				10,900	10,900.14	Prime Money Market Sweep Fund
PUR	01-26-00	208031	7,477.000	1.000	7,477.00				7,477	7,477.00	Prime Money Market Sweep Fund
PUR	02-01-00	213201	80,000.000	1.000	80,000.00				80,000	80,000.00	Prime Money Market Sweep Fund
SAL	02-02-00	213204	-6,668.120	1.000		6,668.12	-6,668.12	0.00			Prime Money Market Sweep Fund
PUR	02-03-00	213202	3,990.580	1.000	3,990.58				3,991	3,990.58	Prime Money Market Sweep Fund
PUR	02-10-00	213203	56,584.000	1.000	56,584.00				56,584	56,584.00	Prime Money Market Sweep Fund
PUR	03-30-00	216741	30,481.820	1.000	30,481.82				30,482	30,481.82	Prime Money Market Sweep Fund
SAL	04-18-00	217134	-166.750	1.000		166.75	-166.75	0.00			Prime Money Market Sweep Fund
PUR	05-08-00	220920	29,313.150	1.000	29,313.15				29,313	29,313.15	Prime Money Market Sweep Fund
PUR	05-16-00	220921	32,346.870	1.000	32,346.87				32,347	32,346.87	Prime Money Market Sweep Fund
SAL	05-23-00	222612	-10,983.960	1.000		10,983.96	-10,983.96	0.00			Prime Money Market Sweep Fund
PUR	06-16-00	223481	52,382 290	1.000	52,382.29				52,382	52,382.29	Prime Money Market Sweep Fund
PUR	06-28-00	224839	94,291.760	1.000	94,291.76				94,292	94,291.76	Prime Money Market Sweep Fund
SAL	07-20-00	226493	-166.750	1.000		166.75	-166.75	0.00			Prime Money Market Sweep Fund
PUR	07-21-00	226508	30,292.080	1.000	30,292.08				30,292	30,292.08	Prime Money Market Sweep Fund
SAL	08-04-00	230490	-1,833.330	1.000		1,833.33	-1,833.33	0.00			Prime Money Market Sweep Fund
PUR	08-17-00	230492	105,388.650	1.000	105,388.65				105,389	105,388.65	Prime Money Market Sweep Fund
PUR	08-23-00	230493	15,407.000	1.000	15,407.00				15,407	15,407.00	Prime Money Market Sweep Fund
SAL	08-31-00	231361	-2,508 250	1.000		2,508.25	-2,508.25	0.00			Prime Money Market Sweep Fund
PUR	09-06-00	234215	34,528.900	1.000	34,528.90				34,529	34,528.90	Prime Money Market Sweep Fund
SAL	10-19-00	238193	-166.750	1.000		166.75	-166.75	0.00			Prime Money Market Sweep Funds
PUR	11-02-00	242516	46,812.110	1.000	46,812.11				46,812	46,812.11	To correct cash diet 10/31/00
SAL	11-02-00	241048	-46,812.110	1.000		46,812.11	-46,812.11	0.00			Prime Money Market Sweep Fund
SAL	11-07-00	241049	-25,000.000	1.000		25,000.00	-25,000.00	0.00			Prime Money Market Sweep Fund
PUR	11-14-00	241047	63,883.710	1.000	63,883.71				63,884	63,883.71	Prime Money Market Sweep Fund
PUR	12-21-00	243859	35,796.570	1.000	35,796.57				35,797	35,796.57	Prime Money Market Sweep Fund
	TOTAL -		643,297.640		737,603.66	94,306.02	-94,306.02	0.00	737,604	737,603.66	
92500	LabV	Labrador Ventures IV LP									
SAL	02-01-00	213195	0.000	0.000		80,000.00	-80,000.00	0.00			Labrador Ventures correction
	TOTAL -		0.000		0.00	80,000.00	-80,000.00	0.00	0	0.00	
92500	PCPV	Paul Capital Partners V, LP									

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	03-01-00	216745	0.000	0.000		915.03	-915.03	0.00			Return of Capital-stock distr.
SAL	03-01-00	216748	0.000	0.000		114.30	-114.30	0.00			Return of Capital-stock distr.
SAL	03-29-00	214692	0.000	0.000		30,481.82	-30,481.82	0.00			Return of Capital-Paul Capital
SAL	03-29-00	214693	0.000	0.000		1,823.47	-1,823.47	0.00			Return of Capital-Stock distr.
PUR	05-23-00	222617	0.000	0.000	10,983.96				0	10,697.01	To correct cash dist fm 3/29
SAL	06-27-00	224838	0.000	0.000		94,291.76	-94,291.76	0.00			Return of Capital - PCPV
ICA	08-01-00	227817			-9,726.23						ADJ BEG BAL-PUR
SAL	08-16-00	231083	0.000	0.000		51,398.04	-51,398.04	0.00			Return of Cap - PCPV cash dist
SAL	09-06-00	234214	0.000	0.000		34,528.90	-34,528.90	0.00			Return of Cap.-PCPV cash dist.
SAL	10-31-00	238527	0.000	0.000		214.19	-214 19	0.00			PCPV Stock distr.-Bea Systems
SAL	11-13-00	241041	0.000	0.000		43,254.60	-43,254.60	0.00			Return of Capital fm cash dist
SAL	12-01-00	246837	0.000	0.000		214.19	-214 19	0.00			10/2 PCPV stock dist-Bea Sys.
SAL	12-16-00	243660	0.000	0.000		214.19	-214.19	0.00			PCPV stock distr - Bea Systems
SAL	12-20-00	243665	0.000	0.000		2,863.73	-2,863.73	0.00			Return of Cap.-PCPV cash distr
	TOTAL -		0.000		1,257.73	260,314.22	-260,314.22	0.00	0	10,697.01	
	REPORT TOTAL -				684,014.68	676,732.37	-557,560.52	121,171.85	737,799	773,943.24	

SORT OPTION. BY GL.ENTITY, INV

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
92500B BEAS		BEA Sys Inc									
PUR	03-17-00	216758	139.000	6.580	914.66				0	0.00	Purchase BEA Sys fm stock dist
ICA	03-17-00	216759			0.00						ADJ BEG BAL-PUR
SAL	06-16-00	223509	-139.000	93.438		12,799.58	-914.66	11,884.92			Sale of Bea Systems
	TOTAL -		0.000		914.66	12,799 58	-914.66	11,884 92	0	0.00	
92500B BECGF		BCE Emergis Inc									
PUR	10-02-00	238205	3,791.000	4.483	16,995 21				3,791	16,995.21	BCE Emergis stock dist-ISPIV
	TOTAL -		3,791.000		16,995.21	0.00	0.00	0.00	3,791	16,995.21	
92500B BMP		Boston Millennia Partners LP									
PUR	02-01-00	213224	0.000	0.000	100,000.00				0	101,154.95	Capital Call-Boston Millennia
SAL	02-02-00	213228	0.000	0.000		26,299.70	-26,299.70	0.00			Return of Capital-cash distri
SAL	03-01-00	216761	0.000	0.000		11,662 50	-11,662.50	0.00			Return of Capital-stock distr.
PUR	03-23-00	214711	0.000	0.000	100,000.00				0	101,154.96	Capital call - Boston Millenn.
SAL	06-20-00	225005	0.000	0.000		7,947 48	-7,947 48	0.00			Return of Cap.-stock dist HotJ
SAL	07-11-00	227828	0.000	0.000		8,049.21	-8,049.21	0.00			Return of Capital - BMP
ICA	08-01-00	227823			17,696.55						ADJ BEG BAL-PUR
SAL	08-16-00	231391	0.000	0.000		9,414 20	-9,414 20	0 00			Return of Cap.-BMP stock dist
SAL	10-01-00	239909	0.000	0.000		9,389 48	-9,389 48	0.00			Ret. of Cap.-stock dist fm BMP
PUR	10-24-00	238199	0.000	0.000	100,000.00				0	100,000.00	Capital call - Boston Mil Part
	TOTAL -		0.000		317,696.55	72,762 57	-72,762 57	0.00	0	302,309.91	
92500B CAFIF		MSTC Fixed Income Trust I									
SAL	01-12-00	210939*1	0.000	0.000		200,000.00	-200,000.00	0 00			1/12/00 MSFIT I Capital wthdwl
SAL	01-18-00	210887*1	0.000	0.000		75,000.00	-75,000 00	0.00			1/18/00 MSFIT I Capital wthdwl
IIN	01-31-00	211060*165			28,565.18						EQUITY INCOME
IIC	01-31-00	207671*165			-34,650.78						INCOME
SAL	02-01-00	212544*2	0.000	0.000		429,000.00	-429,000.00	0.00			2/1/00 MSFIT I Capital w/drwl
SAL	02-03-00	212546*1	0.000	0 000		171,000.00	-171,000.00	0.00			2/3 MSFIT I Capital W/drawal
IIN	02-29-00	213182*165			33,309 48						EQUITY INCOME
IIC	03-20-00	211744*165			-32,530.64						INCOME

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IIN	03-31-00	216708*165			16,713.81						EQUITY INCOME
SAL	04-03-00	216736*4	0.000	0.000		1,100,000.00	-1,100,000.00	0.00			4/03/00 MSFIT I Capital w/drwl
IIC	04-07-00	215430*165			-34,295.47						INCOME
IIC	04-26-00	216846*165			-16,655.16						INCOME
IIN	04-30-00	219243*163			24,266.42						EQUITY INCOME
IIC	05-26-00	220207*163			-25,440.72						INCOME
IIN	05-31-00	222577*163			13,859.46						EQUITY INCOME
IIC	06-20-00	222750*163			-17,014.27						INCOME
IIN	06-30-00	225734*163			21,426.85						EQUITY INCOME
IIC	07-20-00	225901*163			-22,397.24						INCOME
IIN	07-31-00	230979*163			29,858.46						EQUITY INCOME
IIC	08-25-00	230171*163			-28,709.52						INCOME
IIN	08-31-00	233467*163			26,525.46						EQUITY INCOME
IIC	09-25-00	234528*163			-26,433.42						INCOME
IIN	09-30-00	237423*163			17,183.02						EQUITY INCOME
SAL	10-02-00	236997*2	0.000	0.000		500,000.00	-500,000.00	0.00			10/2 MSFIT I Capital wthdrwl
IIC	10-20-00	236956*163			-15,693.56						INCOME
IIN	10-31-00	239482*162			18,952.23						EQUITY INCOME
SAL	11-01-00	240543*8	0.000	0.000		400,000.00	-400,000.00	0.00			11/1 MSFIT I capital wthdrwl
IIC	11-27-00	240333*162			-22,410.89						INCOME
IIN	11-30-00	242475*153			12,231.68						EQUITY INCOME
SAL	12-03-00	242925*4	0.000	0.000		400,000.00	-400,000.00	0.00			12/3 MSFIT I Capital wthdrwl
IIC	12-19-00	242824*153			-16,518.51						INCOME
IIN	12-31-00	247838*144			19,368.05						EQUITY INCOME
	TOTAL -		0.000		-30,490.08	3,275,000.00	-3,275,000.00	0.00	0	0.00	
92500B CHL		CHL Medical Partners LP									
PUR	03-06-00	214709	0.000	0.000	100,000.00				0	97,964.08	Capital call - CHL Med. Part.
PUR	07-10-00	226532	0.000	0.000	50,000.00				0	48,982.04	Capital call - CHL Medical Ptn
PUR	07-28-00	227602	0.000	0.000	100,000.00				0	97,964.08	Capital call - CHL Med Part
ICA	08-01-00	227820			-13,372.67						ADJ BEG BAL-PUR
PUR	10-13-00	236471	0.000	0.000	50,000.00				0	50,000.00	Capital Call - CHL Med. Part.
	TOTAL -		0.000		286,627.33	0.00	0.00	0.00	0	294,910.20	
92500B ECPIV		Exeter Capital Partners IV, LP									

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	04-03-00	217156	0.000	0.000	300,000.00				0	300,000.00	Capital Call - Exeter Cap. IV
PUR	11-09-00	241053	0.000	0.000	280,000.00				0	280,000.00	Capital call - Exeter Cap Part
	TOTAL -		0.000		580,000.00	0.00	0.00	0.00	0	580,000.00	
92500B HOTJ		Hot Jobs									
PUR	06-20-00	225006	1,929.000	4.120	7,947.48				0	0.00	Purchase Hot Jobs fm stock dis
SAL	07-06-00	226526	-1,929.000	12.750		24,401.03	-7,947.48	16,453.55			Hot Jobs fm stock distr. 6/20
PUR	08-16-00	231392	2,285.000	4.120	9,414 20				0	0.00	BMP stock dist. - HotJobs.com
SAL	09-01-00	234219	-2,285.000	18.375		41,756.98	-9,414 20	32,342.78			Sale of HOTjobs.com @18.3750
PUR	10-01-00	239910	2,279.000	4.120	9,389.48				2,279	9,389 48	Stock dist. fm BMP of Hot Jobs
	TOTAL -		2,279.000		26,751.16	66,158 01	-17,361.68	48,796.33	2,279	9,389 48	
92500B INTERSOUTH		Intersouth Partners									
PUR	01-18-00	208036	0 000	0.000	200,000.00				0	200,000.00	Capital Call - Intersouth Part
	TOTAL -		0.000		200,000.00	0 00	0 00	0.00	0	200,000.00	
92500B ISPIV		Intersouth Partners IV									
PUR	04-03-00	217154	0.000	0 000	200,000.00				0	195,242.29	Capital Call - Intersouth IV
SAL	07-19-00	230052	0.000	0.000		84,524 59	-84,524 59	0.00			Stock distr. fm InterSouth IV
ICA	08-01-00	227821			-26,264.00						ADJ BEG BAL-PUR
SAL	09-06-00	234223	0.000	0.000		36,429 42	-36,429.42	0.00			Intersouth stock dist.-Tibco
PUR	09-21-00	234970	0.000	0 000	200,000.00				0	200,000.00	Capital Call - Intersouth IV
SAL	09-22-00	234979	0.000	0 000		84,615.00	-84,615.00	0.00			Return of Cap-ISPIV cash dist.
SAL	10-02-00	238204	0.000	0.000		16,995 21	-16,995.21	0.00			Stock distr. fm Intersouth IV
PUR	11-28-00	241300	0.000	0 000	80,000.00				0	80,000.00	Capital call - Intersouth Part
SAL	12-07-00	243672	0.000	0.000		14,085 87	-14,085.87	0.00			ISPIV stock dist - Paradigm
	TOTAL -		0.000		453,736.00	236,650.09	-236,650.09	0.00	0	475,242 29	
92500B IVIL		iVillage Inc									
PUR	03-01-00	216762	1,555.000	7.500	11,662.50				0	0.00	Purchase iVillage fm stock dis
SAL	05-12-00	220931	-1,555.000	22 813		35,472 25	-11,662 50	23,809 75			IVILLAGE Inc @22.8125
	TOTAL -		0.000		11,662 50	35,472 25	-11,662 50	23,809.75	0	0.00	

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
92500B	JPMSWEEP	J P Morgan Money Market Sweep									
PUR	01-12-00	208033	200,000.000	1.000	200,000.00				0	0.00	Prime Money Market Sweep Fund
SAL	01-18-00	208034	-141,511.270	1.000		141,511.27	-141,511.27	0.00			Prime Money Market Sweep Fund
PUR	02-01-00	213221	249,000.000	1.000	249,000.00				0	0.00	Prime Money Market Sweep Fund
PUR	02-02-00	213222	6,639.040	1.000	6,639.04				0	0.00	Prime Money Market Sweep Fund
PUR	02-03-00	213230	26,299.700	1.000	26,299.70				0	0.00	Prime Money Market Sweep Fund
SAL	02-24-00	213227	-100,000.000	1.000		100,000.00	-100,000.00	0.00			Prime Money Market Sweep Fund
PUR	03-06-00	214708	71,000.000	1.000	71,000.00				0	0.00	Prime Money Market Sweep Fund
PUR	03-21-00	214711	343.880	1.000	343.88				0	0.00	Prime Money Market Sweep Fund
SAL	03-23-00	214714	-85,000.000	1.000		85,000.00	-85,000.00	0.00			Prime Money Market Sweep Fund
SAL	03-23-00	214715	-181,050.000	1.000		181,050.00	-181,050.00	0.00			Prime Money Market Sweep Fund
PUR	04-03-00	217150	600,000.000	1.000	600,000.00				0	0.00	Prime Money Market Sweep Fund
SAL	04-07-00	217153	-100,000.000	1.000		100,000.00	-100,000.00	0.00			Prime Money Market Sweep Fund
PUR	04-24-00	217151	1,249,850.950	1.000	1,249,850.95				0	0.00	Prime Money Market Sweep Fund
SAL	05-08-00	220928	-117,334.000	1.000		117,334.00	-117,334.00	0.00			Prime Money Market Sweep Fund
PUR	05-16-00	220927	35,472.250	1.000	35,472.25				0	0.00	Prime Money Market Sweep Fund
PUR	05-31-00	221596	72,943.840	1.000	72,943.84				0	0.00	Prime Money Market Sweep Fund
SAL	06-01-00	223506	-15,000.000	1.000		15,000.00	-15,000.00	0.00			Prime Money Market Sweep Fund
SAL	06-02-00	223507	-200,000.000	1.000		200,000.00	-200,000.00	0.00			Prime Money Market Sweep Fund
SAL	06-15-00	223508	-305,000.000	1.000		305,000.00	-305,000.00	0.00			Prime Money Market Sweep Fund
PUR	06-16-00	223505	12,799.580	1.000	12,799.58				0	0.00	Prime Money Market Sweep Fund
SAL	06-27-00	224840	-220,002.000	1.000		220,002.00	-220,002.00	0.00			Prime Money Market Sweep Fund
SAL	07-10-00	226533	-50,000.000	1.000		50,000.00	-50,000.00	0.00			Prime Money Market Sweep Fund
PUR	07-11-00	230051	8,049.210	1.000	8,049.21				0	0.00	Prime Money Market Sweep Fund
SAL	07-28-00	227603	-100,000.000	1.000		100,000.00	-100,000.00	0.00			Prime Money Market Sweep Fund
SAL	08-04-00	230466	-1,833.330	1.000		1,833.33	-1,833.33	0.00			Prime Money Market Sweep Fund
SAL	08-07-00	230467	-250,000.000	1.000		250,000.00	-250,000.00	0.00			Prime Money Market Sweep Fund
PUR	08-28-00	231385	640,986.530	1.000	640,986.53				129,708	129,708.07	Prime Money Market Sweep Fund
PUR	09-14-00	234220	41,756.980	1.000	41,756.98				41,757	41,756.98	Prime Money Market Sweep Fund
SAL	09-21-00	234971	-200,000.000	1.000		200,000.00	-200,000.00	0.00			Prime Money Market Sweep Fund
PUR	09-25-00	234969	84,615.000	1.000	84,615.00				84,615	84,615.00	Prime Money Market Sweep Fund
PUR	10-03-00	236472	1,106,863.850	1.000	1,106,863.85				1,106,864	1,106,863.85	Prime Money Market Sweep Fund
PUR	10-04-00	236473	28,498.620	1.000	28,498.62				28,499	28,498.62	Prime Money Market Sweep Fund
SAL	10-05-00	236474	-135,000.000	1.000		135,000.00	-135,000.00	0.00			Prime Money Market Sweep Fund
SAL	10-10-00	236475	-15,000.000	1.000		15,000.00	-15,000.00	0.00			Prime Money Market Sweep Fund
SAL	10-11-00	236476	-170,000.000	1.000		170,000.00	-170,000.00	0.00			Prime Money Market Sweep Fund

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	10-13-00	236477	-50,000.000	1.000		50,000.00	-50,000.00	0.00			Prime Money Market Sweep Fund
SAL	10-16-00	238201	-51,334 000	1.000		51,334.00	-51,334.00	0.00			Prime Money Market Sweep Fund
SAL	10-24-00	238202	-100,000.000	1.000		100,000.00	-100,000.00	0.00			Prime Money Market Sweep Fund
SAL	10-25-00	238203	-100,000.000	1.000		100,000.00	-100,000.00	0.00			Prime Money Market Sweep Fund
PUR	10-26-00	238197	154,669.830	1.000	154,669.83				154,670	154,669.83	Prime Money Market Sweep Fund
PUR	10-31-00	238521	46,812 110	1.000	46,812.11				46,812	46,812.11	Prime Money Market Sweep Fund
PUR	11-03-00	241055	400,000.000	1.000	400,000.00				400,000	400,000.00	Prime Money Market Sweep Fund
SAL	11-09-00	241056	-280,000.000	1.000		280,000.00	-280,000.00	0 00			Prime Money Market Sweep Fund
SAL	11-28-00	241301	-80,000.000	1.000		80,000 00	-80,000.00	0.00			Prime Money Market Sweep Fund
PUR	12-05-00	242949	400,000.000	1.000	400,000.00				400,000	400,000.00	Prime Money Market Sweep Fund
PUR	12-28-00	244987	25,432.560	1.000	25,432 56				25,433	25,432.56	Prime Money Market Sweep Fund
	TOTAL -		2,413,969 330		5,462,033.93	3,048,064 60	-3,048,064.60	0.00	2,418,357	2,418,357.02	
92500B LabV	Labrador Venturea IV LP										
PUR	02-01-00	213220	0 000	0.000	80,000.00				0	79,718 88	Inveatment in Labrador Venture
PUR	02-24-00	213225	0.000	0.000	100,000.00				0	99,648 60	Capital Call-Labrador Venturea
PUR	04-07-00	217159	0.000	0.000	100,000.00				0	99,648 60	Capital Call - Labrador IV
PUR	06-15-00	223503	0.000	0.000	220,000.00				0	219,226 92	Capital call - Labrador Vent.
ICA	08-01-00	227818			-1,757 00						ADJ BEG BAL-PUR
PUR	10-25-00	238200	0.000	0.000	100,000 00				0	100,000.00	Capital call-Labrador Venturea
	TOTAL -		0.000		598,243.00	0.00	0 00	0.00	0	598,243.00	
92500B MEGUNFUND2	The Megunticook Fund II, L.P.										
PUR	03-24-00	214712	0 000	0.000	85,000.00				0	70,000.00	Capital call - Megunticook Fd.
PUR	06-01-00	223501	0 000	0.000	15,000.00				0	15,000.00	Capital call - Megunticook Fd
PUR	06-15-00	223504	0 000	0.000	85,000 00				0	85,000.00	Capital call - Megunticook Fd
SAL	08-31-00	231993	0 000	0 000		15,000.00	-15,000 00	0.00			Tfr to Megunticook Side Fund 2
PUR	10-11-00	236470	0 000	0.000	170,000.00				0	170,000.00	Cap. Call-Megunticook Fund II
	TOTAL -		0.000		355,000.00	15,000.00	-15,000.00	0.00	0	340,000.00	
92500B MEGUNPSFD2	Megunticook Part's Side Fd II										
PUR	08-31-00	231994	0 000	0.000	15,000.00				0	15,000.00	Tfr from Megunticook Fund II
PUR	10-10-00	236469	0 000	0.000	15,000.00				0	15,000.00	Cap. Call-Megunticook Side Fd

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		0.000		30,000.00	0.00	0.00	0.00	0	30,000.00	
92500B	PCP-ASSOC	PCP Associates LP									
PUR	01-18-00	208035	0.000	0.000	73,334.00				0	72,813.50	Capital Call - PCP Associates
SAL	03-17-00	216757	0.000	0.000		914 66	-914 66	0.00			Return of Capital-Stock distr.
PUR	03-23-00	214710	0.000	0.000	81,050.00				0	80,474.73	Capital call - PCP Associates
SAL	05-03-00	221597	0.000	0.000		72,943.84	-72,943.84	0.00			Return of Capital - PCP-ASSOC.
PUR	05-08-00	220925	0.000	0.000	117,334.00				0	116,501.20	Capital Call - PCP-ASSOCIATES
PUR	06-27-00	224841	0.000	0.000	220,002.00				0	218,440.50	Capital Call - PCP-ASSOCIATES
ICA	08-01-00	227822			-5,695.37						ADJ BEG BAL-PUR
SAL	08-17-00	230472	0.000	0.000		9,886.42	-9,886.42	0.00			Return of Capital-PCP-Assoc.
SAL	09-29-00	235496	0.000	0.000		28,498.62	-28,498.62	0.00			PCP-Assoc cash dist-Ret of Cap
PUR	10-16-00	238198	0.000	0.000	51,334.00				0	51,334.00	Capital call- PCP-Associates
SAL	10-31-00	238518	0.000	0.000		25,746.66	-25,746.66	0.00			Ret of Cap-cash dist fm PCP
SAL	12-27-00	243860	0.000	0.000		7,121.12	-7,121.12	0.00			Ret. of Cap. fm PCP-Assoc dist
	TOTAL -		0.000		537,358.63	145,111.32	-145,111.32	0.00	0	539,563.93	
92500B	PDGM	Paradigm Genetica Inc									
PUR	12-07-00	243673	17,607.000	0.800	14,085.87				17,607	14,085.87	ISPIV stock dist - Paradigm
	TOTAL -		17,607.000		14,085.87	0.00	0.00	0.00	17,607	14,085.87	
92500B	SEBL	Siebel Systems Inc									
PUR	07-19-00	230053	7,224.000	11.701	84,524.59				0	0.00	Purchase Siebel fm stock dist.
SAL	08-04-00	230482	-3,600.000	164.625		592,270.24	-42,121.89	550,148.35			Siebel Systems Inc @164.625
SAL	08-23-00	231386	-3,624.000	167.563		606,863.85	-42,402.70	564,461.15			Sale of Sieble Systems @167.56
	TOTAL -		0.000		84,524.59	1,199,134.09	-84,524.59	1,114,609.50	0	0.00	
92500B	TIBX	Tibco Software Inc									
PUR	09-06-00	234224	3,788.000	9.617	36,429.42				1,788	17,195.30	Pur. of Tibco fm ISPIV distri.
SAL	09-19-00	234488	-2,000.000	77.438		154,669.83	-19,234.12	135,435.71			Sale of Tibco software @77.43
	TOTAL -		1,788.000		36,429.42	154,669.83	-19,234.12	135,435.71	1,788	17,195.30	
92500B	ZNM	ZN Mexico Trust									

BOOK BASIS

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	06-02-00	223502	0.000	0.000	200,000.00				0	181,986.49	Capital call - ZN Mexico
ICA	08-01-00	227824			-35,236.45						ADJ BEG BAL-PUR
PUR	08-07-00	230468	0.000	0.000	250,000.00				0	250,000.00	Capital Call - ZN Mexico
PUR	10-05-00	236468	0.000	0.000	135,000.00				0	135,000.00	Capital Call - ZN Mexico
	TOTAL -		0.000		549,763.55	0.00	0.00	0.00	0	566,986.49	
REPORT TOTAL -					9,531,332.32	8,260,822.34	-6,926,286.13	1,334,536.21	2,443,822	6,403,278.70	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

SORT OPTION: BY GL.ENTITY, INV

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
92500C CAFIF		MSTC Fixed Income Trust I									
SAL	01-03-00	210884*6	0.000	0.000		25,000.00	-25,000.00	0.00			1/3/00 MSFIT I Capital wthdwl
IIN	01-31-00	211060*166			29,137.79						EQUITY INCOME
IIC	01-31-00	207671*166			-34,211.90						INCOME
IIN	02-29-00	213182*166			36,921.49						EQUITY INCOME
SAL	03-01-00	213994*3	0.000	0.000		1,000,000.00	-1,000,000.00	0.00			3/1/00 MSFIT I Capital wthdwl
IIC	03-20-00	211744*166			-33,182.73						INCOME
IIN	03-31-00	216708*166			16,117.27						EQUITY INCOME
SAL	04-03-00	216736*5	0.000	0.000		500,000.00	-500,000.00	0.00			4/03/00 MSFIT I Capital w/drwl
IIC	04-07-00	215430*166			-38,014.41						INCOME
IIC	04-26-00	216846*166			-16,060.72						INCOME
IIN	04-30-00	219243*164			25,708.15						EQUITY INCOME
SAL	05-01-00	219290*4	0.000	0.000		440,000.00	-440,000.00	0.00			5/1/00 MSFIT I Capital w/draw
IIC	05-26-00	220207*164			-26,952.23						INCOME
IIN	05-31-00	222577*164			13,631.59						EQUITY INCOME
IIC	06-20-00	222750*164			-16,734.52						INCOME
IIN	06-30-00	225734*164			21,074.56						EQUITY INCOME
IIC	07-20-00	225901*164			-22,028.98						INCOME
IIN	07-31-00	230979*164			29,367.53						EQUITY INCOME
IIC	08-25-00	230171*164			-28,237.48						INCOME
IIN	08-31-00	233467*164			26,089.33						EQUITY INCOME
SAL	09-01-00	234533*6	0.000	0.000		500,000.00	-500,000.00	0.00			9/1 MSFIT I Capital withdrawal
IIC	09-25-00	234528*164			-25,998.79						INCOME
IIN	09-30-00	237423*164			15,451.01						EQUITY INCOME
IIC	10-20-00	236956*164			-14,111.69						INCOME
IIN	10-31-00	239482*163			18,619.29						EQUITY INCOME
IIC	11-27-00	240333*163			-22,017.18						INCOME
IIN	11-30-00	242475*154			12,974.48						EQUITY INCOME
IIC	12-19-00	242824*154			-17,521.64						INCOME
IIN	12-31-00	247838*145			22,321.37						EQUITY INCOME
	TOTAL -		0.000		-27,658.41	2,465,000.00	-2,465,000.00	0.00	0	0.00	
92500C JPMSWEEP		J P Morgan Money Market Sweep									
PUR	01-03-00	208040	25,000.000	1.000	25,000.00				0	0.00	Prime Money Market Sweep Fund

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	01-07-00	208041	-699,944 410	1.000		699,944 41	-699,944 41	0.00			Prime Money Market Sweep Fund
PUR	03-01-00	214720	999,833.240	1.000	999,833.24				0	0.00	Prime Money Market Sweep Fund
SAL	03-03-00	214722	-500,000.000	1.000		500,000.00	-500,000.00	0.00			Prime Money Market Sweep Fund
PUR	04-03-00	217165	500,000.000	1.000	500,000.00				0	0.00	Prime Money Market Sweep Fund
SAL	04-18-00	217166	-111.170	1.000		111.17	-111.17	0.00			Prime Money Market Sweep Fund
SAL	05-01-00	220941	-500,000.000	1.000		500,000.00	-500,000.00	0.00			Prime Money Market Sweep Fund
PUR	05-02-00	220940	440,000.000	1.000	440,000.00				437.444	437,444 07	Prime Money Market Sweep Fund
SAL	05-23-00	221599	-111.160	1.000		111.16	-111.16	0 00			Prime Money Market Sweep Fund
SAL	07-19-00	226542	-166.750	1.000		166.75	-166.75	0.00			Prime Money Market Sweep Fund
PUR	08-02-00	230500	200,000.000	1.000	200,000.00				200,000	200,000.00	Prime Money Market Sweep Fund
SAL	08-04-00	230502	-1,833.340	1.000		1,833 34	-1,833 34	0 00			Prime Money Market Sweep Fund
SAL	08-17-00	230503	-500,000.000	1.000		500,000.00	-500,000.00	0 00			Prime Money Market Sweep Fund
PUR	09-01-00	234233	500,000.000	1.000	500,000.00				500,000	500,000.00	Prime Money Market Sweep Fund
SAL	10-19-00	238209	-166.750	1.000		166.75	-166.75	0.00			Prime Money Market Sweep Fund
	TOTAL -		462,499.660		2,664,833.24	2,202,333.58	-2,202,333.58	0.00	1,137,444	1,137,444.07	
92500C	TAPEII	TAPE II, LP									
PUR	01-07-00	208042	0.000	0.000	700,000.00				0	692,663 31	Capital Call - TAPE II
PUR	03-03-00	214721	0.000	0.000	500,000.00				0	494,759.51	Capital call - TAPE II
PUR	05-01-00	220939	0.000	0.000	500,000.00				0	494,759 49	Capital Call - TAPE II
SAL	07-28-00	230069	0.000	0.000		20,000.00	-20,000 00	0.00			Return of Capital fm cash dist
ICA	08-01-00	227827			-33,538 52						ADJ BEG BAL-PUR
PUR	08-17-00	230504	0.000	0.000	500,000.00				0	500,000.00	Capital call - T.A.P.E. II
PUR	10-31-00	238661	0.000	0.000	200,000.00				0	200,000.00	Capital call - TAPEII fm dist
SAL	10-31-00	238660	0.000	0.000		200,000 00	-200,000 00	0 00			Return of Cap - TAPE II distr
	TOTAL -		0.000		2,366,461.48	220,900 00	-220,000.00	0.00	0	2,382,182 31	
	REPORT TOTAL -				5,003,636.31	4,887,333.58	4,887,333.58	0 00	1,137,444	3,519,626 38	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

SORT OPTION: BY GL.ENTITY, INV

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
92600	BAKER	Baker Communications Fund, LP									
PUR	01-13-00	211261	0.000	0.000	24,633.91				0	22,554.24	Capital call - Baker Comm Fund
SAL	02-14-00	213247	0.000	0.000		17,243.74	-17,243.74	0.00			Return of Capital-Baker Commun
PUR	03-15-00	214736	0.000	0.000	46,179.35				0	44,111.90	Capita call - Baker Communicat
ICA	05-10-00	218576			-73,321.00						ADJ BEG BAL-PUR
SAL	08-03-00	231126	0.000	0.000		15,693.09	-15,693.09	0.00			Return of Cap-Baker caah dist
	TOTAL -		0.000		-507.74	32,936.83	-32,936.83	0.00	0	66,666.14	
92600	BLUEROCK	Bluerock Capital									
PUR	03-03-00	214734	0.000	0.000	50,000.00				0	48,079.03	Capital call - Blue Rock Cap.
ICA	05-10-00	218560			-11,596.00						ADJ BEG BAL-PUR
PUR	05-26-00	221601	0.000	0.000	50,000.00				0	50,000.00	Capital Call - Blue Rock Cap.
PUR	08-17-00	230510	0.000	0.000	50,000.00				0	50,000.00	Capital Call - Blue Rock Cap.
	TOTAL -		0.000		138,402.00	0.00	0.00	0.00	0	148,079.03	
92600	CAFIF	MSTC Fixed Income Trust I									
IIN	01-31-00	211060*167			1,334 21						EQUITY INCOME
IIC	01-31-00	207671*167			-1,561.47						INCOME
IIN	02-29-00	213162*167			1,690.62						EQUITY INCOME
SAL	03-07-00	214664*1	0.000	0.000		15,000.00	-15,000.00	0.00			3/7/00 MSFIT I Capital wthdwl
IIC	03-20-00	211744*167			-1,519.43						INCOME
IIN	03-31-00	216708*167			812.36						EQUITY INCOME
SAL	04-03-00	216736*6	0.000	0.000		200,000.00	-200,000.00	0.00			4/03/00 MSFIT I Capital w/drwl
IIC	04-07-00	215430*167			-1,740.67						INCOME
IIC	04-26-00	216846*167			-809.54						INCOME
IIN	04-30-00	219243*165			570.73						EQUITY INCOME
IIC	05-26-00	220207*165			-598.35						INCOME
IIN	05-31-00	222577*165			325.97						EQUITY INCOME
IIC	06-20-00	222750*165			-400.16						INCOME
IIN	06-30-00	225734*165			503.94						EQUITY INCOME
IIC	07-20-00	225901*165			-526.77						INCOME
IIN	07-31-00	230979*165			702.26						EQUITY INCOME
IIC	08-25-00	230171*165			-675.23						INCOME

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IIN	08-31-00	233467*165			623.86						EQUITY INCOME
IIC	09-25-00	234528*165			-621.70						INCOME
IIN	09-30-00	237423*165			404.14						EQUITY INCOME
IIC	10-20-00	236956*165			-369.10						INCOME
IIN	10-31-00	239482*164			487.00						EQUITY INCOME
IIC	11-27-00	240333*164			-575.88						INCOME
IIN	11-30-00	242475*155			339.36						EQUITY INCOME
IIC	12-19-00	242824*155			-458.29						INCOME
IIN	12-31-00	247838*146			583.83						EQUITY INCOME
	TOTAL -		0.000		-1,478.29	215,000.00	-215,000.00	0.00	0	0.00	
92600	GLGC	GeneLogic Inc.									
PUR	05-10-00	221605	2,692.000	3.631	9,773.91				0	0.00	Stock dist. fm Oxford Bio.
SAL	06-21-00	225761	-2,692.000	44.098		118,544.99	-9,773.91	108,771.08			GeneLogic Inc @ 44.0975
	TOTAL -		0.000		9,773.91	118,544.99	-9,773.91	108,771.08	0	0.00	
92600	JPMSWEEP	J P Morgan Money Market Sweep									
SAL	01-13-00	211259	-24,633.910	1.000		24,633.91	-24,633.91	0.00			Prime Money Market Sweep Fund
SAL	01-19-00	211260	-500.000	1.000		500.00	-500.00	0.00			Prime Money Market Sweep Fund
PUR	02-14-00	213249	17,243.740	1.000	17,243.74				0	0.00	Prime Money Market Sweep Fund
SAL	02-24-00	213250	-100,000.000	1.000		100,000.00	-100,000.00	0.00			Prime Money Market Sweep Fund
SAL	03-30-00	216814	-65,454.220	1.000		65,454.22	-65,454.22	0.00			Prime Money Market Sweep Fund
PUR	04-03-00	217177	198,385.860	1.000	198,385.86				96,886	96,885.86	Prime Money Market Sweep Fund
SAL	04-18-00	217178	-500.000	1.000		500.00	-500.00	0.00			Prime Money Market Sweep Fund
SAL	05-26-00	221602	-50,000.000	1.000		50,000.00	-50,000.00	0.00			Prime Money Market Sweep Fund
SAL	07-19-00	226627	-500.000	1.000		500.00	-500.00	0.00			Prime Money Market Sweep Fund
PUR	07-26-00	227607	118,544.990	1.000	118,544.99				118,545	118,544.99	Prime Money Market Sweep Fund
PUR	08-04-00	230508	10,193.090	1.000	10,193.09				10,193	10,193.09	Prime Money Market Sweep Fund
SAL	08-17-00	230509	-50,000.000	1.000		50,000.00	-50,000.00	0.00			Prime Money Market Sweep Fund
SAL	10-19-00	238212	-500.000	1.000		500.00	-500.00	0.00			Prime Money Market Sweep Fund
	TOTAL -		52,279.550		344,367.68	2[illegible],088.13	-292,088.13	0.00	225,624	225,623.94	
92600	DXFORD	Oxford Bioscience Partnera II									
PUR	02-24-00	213251	0.000	0.000	100,000.00				0	98,154.47	Capital Call - Oxford Bio Part

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	05-10-00	221604	0.000	0.000		9,773.91	-9,773.91	0.00			Stock dist. fm Oxford Bio.
ICA	05-10-00	218604			-15,851.00						ADJ BEG BAL-PUR
PUR	06-26-00	224227	0.000	0.000	75,000.00				0	75,000.00	Capital Call - Oxford Bioscien
SAL	06-26-00	224226	0.000	0.000		75,000.00	-75,000.00	0.00			Return of Capital fm cash dist
	TOTAL -		0.000		159,149.00	84,773.91	-84,773.91	0.00	0	173,154.47	
92600	Pierpont	J.P. Morgan Funds									
SAL	03-07-00	214740	-16,110.990	1.000		16,110.99	-16,110.99	0.00			JPM Pierpont MM Funds
	TOTAL -		-16,110.990		0.00	16,110.99	-16,110.99	0.00	0	0.00	
	REPORT TOTAL -				649,706.56	759,454.85	-650,683.77	108,771.08	225,624	613,523.58	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

SORT OPTION: BY GL.ENTITY, INV

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
92600B BAKER2		Baker Communications Fund II									
PUR	04-17-00	217185	0.000	0.000	605,617.11				0	605,617.11	Initial Capital Call-Baker II
PUR	06-22-00	224844	0.000	0.000	430,486.89				0	430,486.89	Capital Call - Baker Comm II
PUR	07-06-00	226684	0.000	0.000	91,483.41				0	91,483.41	Capital call - Baker Comm. II
PUR	07-26-00	227612	0.000	0.000	123,569.11				0	123,569.11	Capital Call - Baker Comm II
PUR	08-22-00	230513	0.000	0.000	229,807.28				0	229,807.28	Capital Call - Baker Comm. II
PUR	09-01-00	234238	0.000	0.000	114,046.79				0	114,046.79	Capital call-Baker Comm Fd II
PUR	11-15-00	241066	0.000	0.000	15,730.39				0	15,730.39	Capital call - Baker Comm Fd 2
PUR	12-14-00	242959	0.000	0.000	65,711.87				0	65,711.87	Capital Call - Baker Comm. II
PUR	12-22-00	243868	0.000	0.000	35,560.80				0	35,560.80	Capital Call - Baker Comm. II
	TOTAL -		0.000		1,712,013.65	0.00	0.00	0.00	0	1,712,013.65	
92600B BMP2		Boston Millennia Partnera II									
PUR	03-23-00	216158	0.000	0.000	450,000.00				0	450,000.00	Boston Millennia-Capital call
PUR	06-23-00	224845	0.000	0.000	150,000.00				0	150,000.00	Capital Call - Boston Mill II
PUR	10-24-00	238214	0.000	0.000	300,000.00				0	300,000.00	Capital Call-Boston Mil Part 2
	TOTAL -		0.000		900,000.00	0.00	0.00	0.00	0	900,000.00	
92600B CAFIF		MSTC Fixed Income Trust I									
PUR	03-02-00	215937*1	0.000	0.000	13,500,000.00				0	11,224,529.27	3/2/00 MSFIT I Capital contri
IIN	03-31-00	216708*168			32,614.62						EQUITY INCOME
IIC	04-26-00	216846*168			-32,500.18						INCOME
IIN	04-30-00	219243*166			56,543.25						EQUITY INCOME
SAL	05-01-00	219290*5	0.000	0.000		600,000.00	-600,000.00	0.00			5/1/00 MSFIT I Capital w/draw.
IIC	05-26-00	220207*166			-59,279.51						INCOME
IIN	05-31-00	222577*166			30,860.38						EQUITY INCOME
SAL	06-06-00	223177*4	0.000	0.000		200,000.00	-200,000.00	0.00			6/1 MSFIT I Capital w/drawal
IIC	06-20-00	222750*166			-37,885.07						INCOME
IIN	06-30-00	225734*166			46,968.14						EQUITY INCOME
SAL	07-03-00	226113*10	0.000	0.000		600,000.00	-600,000.00	0.00			7/1 MSFIT I Capital withdrawal
IIC	07-20-00	225901*166			-49,095.25						INCOME
IIN	07-31-00	230979*166			62,390.50						EQUITY INCOME
IIC	08-25-00	230171*166			-59,989.77						INCOME

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
IIN	08-31-00	233467*166			55,426.07						EQUITY INCOME
SAL	09-01-00	234533*7	0.000	0.000		500,000.00	-500,000.00	0.00			9/1 MSFIT I Capital withdrawal
IIC	09-25-00	234528*166			-55,233.73						INCOME
IIN	09-30-00	237423*166			34,455.15						EQUITY INCOME
IIC	10-20-00	236956*166			-31,468.51						INCOME
IIN	10-31-00	239482*165			41,520.28						EQUITY INCOME
SAL	11-01-00	240543*9	0.000	0.000		400,000.00	-400,000.00	0.00			11/1 MSFIT I capital wthdrwl
IIC	11-27-00	240333*165			-49,097.46						INCOME
IIN	11-30-00	242475*156			27,957.78						EQUITY INCOME
IIC	12-19-00	242824*156			-37,756.12						INCOME
IIN	12-31-00	247838*147			48,098.70						EQUITY INCOME
	TOTAL -		0.000		13,524,529.27	2,300,000 00	-2,300,000.00	0.00	0	11,224,529.27	
92600B	ISPV	Intersouth Partnera V									
PUR	03-20-00	216157	0.000	0.000	90,000.00				0	90,000.00	3/20 Initial Capital call
PUR	03-31-00	216159	0.000	0.000	150,000.00				0	150,000.00	Capital call - Intersouth V
PUR	09-29-00	235497	0.000	0.000	195,000.00				0	195,000.00	Capital call - Intersouth V
	TOTAL -		0.000		435,000.00	0.00	0.00	0.00	0	435,000 00	
92600B	JPMSWEEP	J P Morgan Money Market Sweep									
PUR	03-20-00	215931	1,410,000.000	1.000	1,410,000.00				0	0.00	Prime Money Market Sweep Fund
SAL	03-23-00	215933	-450,000.000	1.000		450,000.00	-450,000.00	0 00			Prime Money Market Sweep Fund
SAL	03-31-00	215934	-150,000.000	1.000		150,000.00	-150,000.00	0 00			Prime Money Market Sweep Fund
SAL	04-17-00	217183	-605,617.110	1.000		605,617.11	-605,617.11	0 00			Prime Money Market Sweep Fund
SAL	04-18-00	217184	-234.930	1.000		234.93	-234.93	0.00			Prime Money Market Sweep Fund
PUR	05-02-00	220948	600,000.000	1.000	600,000.00				0	0.00	Prime Money Market Sweep Fund
SAL	05-23-00	221606	-115.710	1.000		115.71	-115.71	0 00			Prime Money Market Sweep Fund
PUR	06-06-00	223527	200,000.000	1.000	200,000.00				0	0.00	Prime Money Market Sweep Fund
SAL	06-22-00	224842	-430,486.890	1.000		430,486 89	430,486 89	0.00			Prime Money Market Sweep Fund
SAL	06-23-00	224843	-150,000.000	1.000		150,000.00	150,000.00	0 00			Prime Money Market Sweep Fund
PUR	07-05-00	226653	600,000.000	1 000	600,000.00				0	0.00	Prime Money Market Sweep Fund
SAL	07-06-00	226686	-91,483.410	1.000		91,483 41	-91,483 41	0.00			Prime Money Market Sweep Fund
SAL	07-19-00	226656	-1,121.250	1 000		1,121 25	-1,121 25	0 00			Prime Money Market Sweep Fund
SAL	07-26-00	227613	-123,569 110	1.000		123,569 11	-123,569 11	0 00			Prime Money Market Sweep Fund
SAL	08-22-00	230514	-229,807.280	1.000		229,807.28	229,807 28	0.00			Prime Money Market Sweep Fund

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	09-01-00	234239	385,953.210	1.000	385,953.21				350,393	350,393.21	Prime Money Market Sweep Fund
SAL	09-29-00	235499	-195,000.000	1.000		195,000.00	-195,000.00	0.00			Prime Money Market Sweep Fund
SAL	10-19-00	239557	-1,121.250	1.000		1,121.25	-1,121.25	0.00			Prime Money Market Sweep Funds
SAL	10-24-00	238215	-300,000.000	1.000		300,000.00	-300,000.00	0.00			Prime Money Market Sweep Fund
PUR	11-03-00	241067	400,000.000	1.000	400,000.00				400,000	400,000.00	Prime Money Market Sweep Fund
SAL	11-15-00	241068	-15,730.390	1.000		15,730.39	-15,730.39	0.00			Prime Money Market Sweep Fund
SAL	12-14-00	242960	-65,711.870	1.000		65,711 87	-65,711.87	0.00			Prime Money Market Sweep Fund
SAL	12-26-00	243869	-35,560.800	1.000		35,560.80	-35,560.80	0.00			Prime Money Market Sweep Fund
	TOTAL -		750,393.210		3,595,953.21	2,845,560.00	-2,845,560.00	0.00	750,393	750,393.21	
REPORT TOTAL -					20,167,496.13	5,145,560.00	-5,145,560.00	0.00	750,393	15,021,936.13	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

SORT OPTION: BY GL.ENTITY, INV

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MS	4063	Shin-Etsu Chemical Co LTD									
PUR	08-02-00	232737	6,000.000	51.220	307,320.00				6,000	307,320.00	Shin-Etsu Chemical Co LTD
PUR	09-07-00	236575	1,000.000	47.980	47,980.00				1,000	47,980.00	Shin-Etsu Chemical CO LTD
	TOTAL -		7,000.000		355,300.00	0.00	0.00	0.00	7,000	355,300.00	
MS	6752	Matsushita Elec Industrial									
PUR	08-02-00	232733	13,000.000	26 750	347,750.00				13,000	347,750.00	Matsushita Elec Industrial
PUR	09-07-00	236570	2,000.000	26.130	52,260.00				2,000	52,260.00	Matsushita Elec Industrial
	TOTAL -		15,000.000		400,010.00	0.00	0.00	0.00	15,000	400,010.00	
MS	6954	FANUC Co LTD									
PUR	08-02-00	232730	3,400.000	107.250	364,650.00				3,400	364,650.00	Fanuc Co LTD @107.250
PUR	09-07-00	236566	500.000	111.714	55,857.00				500	55,857.00	Fanuc Co LTD
	TOTAL -		3,900.000		420,507.00	0.00	0.00	0.00	3,900	420,507.00	
MS	8317	Fuji Bank Limited JPY50									
PUR	08-02-00	232731	51,000.000	7.150	364,650.00				0	0.00	Fuji Bank Limited @7.15
PUR	09-07-00	236568	8,000.000	6.850	54,800.00				0	0.00	Fuji Bank
CNVFR	10-02-00	239879	-59,000.000	7.579		419,450.00	-419,450.00	0.00			Exchange Fuji Bank to Mizuho
	TOTAL -		0.000		419,450.00	419,450.00	-419,450.00	0.00	0	0.00	
MS	9432	Nippon Telegraph and Phone									
PUR	08-02-00	232738	27.000	12,478.000	336,906.00				27	336,906.00	Nippon Telegraph and Phone
PUR	09-07-00	236576	4.000	11,863.720	47,454.88				4	47,454.88	Nippon Telegraph and Phone
	TOTAL -		31.000		384,360.88	0.00	0.00	0.00	31	384,360.88	
MS	AASIF	Alleanza Assicurazio NI SPA									
PUR	12-11-00	248077	24,845.000	14.567	361,917.12				24,845	361,917.12	Alleanza Assicurazio NI SPA
	TOTAL -		24,845.000		361,917.12	0.00	0.00	0.00	24,845	361,917.12	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MS	ABBWI	ABB LTD CHF10									
PUR	08-02-00	232749	4,240.000	117.170	496,800.80				0	0.00	ABB LTD CHF10
PUR	09-07-00	236587	668.000	107.340	71,703.12				0	0.00	ABB LTD CHF10
SAL	10-27-00	239876	-4,908.000	84 151		413,013 34	-568,503 92	-155,490.58			ABB LTD @84 151
	TOTAL -		0.000		568,503.92	413,013.34	-568,503.92	-155,490.58	0	0.00	
MS	ADZBF	Allied Zurich PLC									
PUR	08-02-00	232726	25,100.000	13 200	331,320.00				0	0 00	Allied Zurich PLC @13.20
PUR	09-07-00	236562	4,026.000	11 450	46,097.70				0	0.00	Allied Zurich PLC
CNVFR	10-25-00	239881	-29,126.000	11.369		377,417.70	-377,417.70	0.00			Exch. Allied Zurich-Zurich Fin
	TOTAL -		0.000		377,417.70	377,417.70	-377,417 70	0.00	0	0.00	
MS	AHOLDF	AHOLD (KON) NV ORDS									
PUR	08-02-00	232746	17,450.000	28 480	496,976.00				17,450	496,976 00	AHOLD (KON) NV ORDS
PUR	09-07-00	236584	2,697.000	28.920	77,997.24				2,697	77,997 24	Ahold (Kon) NV ORDS
	TOTAL -		20,147.000		574,973.24	0.00	0 00	0 00	20,147	574,973 24	
MS	ALA	Alcatel Alsthom									
PUR	08-02-00	231049	6,910.000	69.034	477,027.70				6,910	477,027.70	Alcatel Alsthom @69 0344
PUR	09-06-00	234444	1,050.000	81 438	85,509.38				1,050	85,509.38	Alcatel Alathom Sponsored
	TOTAL -		7,960.000		562,537.08	0.00	0 00	0 00	7,960	562,537 08	
MS	ASML	ASM Lithography Holdings NV									
PUR	08-02-00	231048	8,650.000	37.375	323,293.75				8,650	323,293 75	ASM Lithography Hldø @37 375
PUR	09-06-00	234442	1,315.000	38 438	50,545.31				1,315	50,545.31	ASM Lithography Holdings
	TOTAL -		9,965.000		373,839.06	0.00	0 00	0 00	9,965	373,839 06	
MS	AVE	Aventis Sponsored A/D/R									
PUR	08-02-00	231050	6,610.000	74 479	492,302 89				6,610	492,302 89	Aventis Sponsored ADR @74 4785
PUR	09-06-00	234446	1,005.000	73.125	73,490.63				1,005	73,490.63	Aventis Sponsored A/D/R

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		7,615.000		565,793.52	0.00	0.00	0.00	7,615	565,793.52	
MS	AVNDF	VNU NV EURO.20									
PUR	08-02-00	232751	8,771.000	56.660	496,964.86				8,771	496,964.86	VNU NV Eur0.20
PUR	09-07-00	236589	1,377.000	53.280	73,366.56				1,377	73,366.56	VNU NV EURO.20
	TOTAL -		10,148.000		570,331.42	0.00	0.00	0.00	10,148	570,331 42	
MS	AXAFF	AXA SA Eur9.15									
PUR	08-02-00	232744	3,225.000	153.140	493,876.50				3,225	493,876.50	AXA SA Eur9.15
PUR	09-07-00	236582	480.000	146.090	70,123.20				480	70,123.20	AXA SA Eur9.15
	TOTAL -		3,705.000		563,999.70	0.00	0.00	0.00	3,705	563,999.70	
MS	BAFSF	BASF AG NPV ORDS									
PUR	08-02-00	232742	8,130.000	40.740	331,216.20				0	0.00	BASF AG NPV ORDS
PUR	09-07-00	236580	1,255.000	38.310	48,079.05				0	0.00	BASF AG NPV ORDS
SAL	12-06-00	248080	-9,385.000	41.217		386,821.55	-379,295.25	7,526.30			BASF AG NPV ORDS @41.217
	TOTAL -		0.000		379,295.25	386,821.55	-379,295.25	7,526.30	0	0.00	
MS	CGSFF	Cap Gemini Eur8 ORDS									
PUR	08-02-00	232743	905.000	183.180	165,777.90				905	165,777.90	Cap Gemini Eur8 ORDS
PUR	09-07-00	236581	145.000	191.120	27,712.40				145	27,712.40	Cap Gemini Eur8 ORDS
	TOTAL -		1,050.000		193,490.30	0.00	0.00	0.00	1,050	193,490.30	
MS	CH001	Zurich Financial Services									
CNVTO	10-25-00	239881.1	584.000	567.055	331,160.04				0	0.00	Exch. Allied Zurich-Zurich Fin
CNVTO	10-25-00	239881.2	94.000	492.103	46,257.66				0	0.00	Exch. Allied Zurich-Zurich Fin
CNVFR	12-20-00	248113	-678.000	545.570		377,417.70	-377,417.70	0.00			Zurich Financial Sevices
	TOTAL -		0.000		377,417.70	377,417.70	-377,417.70	0.00	0	0.00	
MS	CH001A	Zurich Financial Servicea AG									
CNVTO	12-20-00	248113.1	584.000	567.055	331,160.04				584	331,160.04	Zurich Financial Sevices
CNVTO	12-20-00	248113.2	94.000	492.103	46,257.66				94	46,257.66	Zurich Financial Sevices

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		678.000		377,417.70	0.00	0.00	0.00	678	377,417.70	
MS	CRIIF	Unicredito Italiano									
PUR	08-02-00	232741	68,000.000	4.851	329,868.00				68,000	329,868.00	Unicredito Italiano ITL500 ORD
PUR	09-06-00	236579	10,420.000	5.240	54,600.80				10,420	54,600.80	Unicredito Italiano
	TOTAL -		78,420.000		384,468.80	0.00	0.00	0.00	78,420	384,468.80	
MS	CSREG	Credit Suisse									
PUR	08-02-00	232727	2,250.000	218.680	492,030.00				2,250	492,030.00	Credit Suisse @218.68
PUR	09-07-00	236563	348.000	209.870	73,034.76				348	73,034.76	Credit Suisse
	TOTAL -		2,598.000		565,064 76	0.00	0.00	0.00	2,598	565,064.76	
MS	ELN	Elan PLC A/O/R									
PUR	08-02-00	231046	6,465.000	54.438	351,938 44				6,465	351,938 44	Elan PLC @54.4375
PUR	09-06-00	234447	985.000	55.000	54,175.00				985	54,175.00	Elan PLC A/D/R
	TOTAL -		7,450.000		406,113.44	0 00	0.00	0.00	7,450	406,113.44	
MS	FJTSY	Fujitsu LTD									
PUR	08-02-00	231045	2,300.000	140.000	322,000.00				2,300	322,000.00	Fujitsu LTD @140.00
PUR	09-06-00	234443	352.000	143.000	50,336.00				352	50,336.00	Fujitsu LTD A/O/R 5 Com
	TOTAL -		2,652.000		372,336.00	0.00	0.00	0.00	2,652	372,336.00	
MS	FORTIS	Fortis (B) BEF ORDS									
PUR	08-02-00	232748	10,800.000	30.640	330,912.00				10,800	330,912.00	Fortis (B) Bef ORDS
PUR	09-07-00	236586	1,678.000	29.430	49,383.54				1,678	49,383.54	Fortis (B) BEF ORDS
	TOTAL -		12,478.000		380,295.54	0.00	0.00	0.00	12,478	380,295.54	
MS	GDEFF	Vivendi Eur5.5									
PUR	08-02-00	232739	8,450.000	78.360	662,142.00				0	0.00	Vivendi Eur5.5 ORDS
PUR	09-07-00	236577	1,340.000	79.760	106,878 40				0	0.00	Vivendi Eur5.5 ORDS
CNVFR	12-15-00	248105	-9,790.000	62.153		769,020 40	-769,020 40	0.00			Vivendi Exchange-Vivendi Univ.

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		0.000		769,020.40	769,020.40	-769,020.40	0.00	0	0.00	
MS	GDEFFU	Vivendi Universal									
CNVTO	12-15-00	248105.1	8,450.000	78.360	662,142.00				8,450	662,142.00	Vivendi Exchange-Vivendi Univ.
CNVTO	12-15-00	248105.2	1,340.000	79.760	106,878.40				1,340	106,878.40	Vivendi Exchange-Vivendi Univ.
	TOTAL -		9,790.000		769,020.40	0.00	0.00	0.00	9,790	769,020.40	
MSIET1	GMOFX	GMO Foreign Fund									
PUR	01-03-00	210060	633.380	14.170	8,975.00				0	0.00	1/3 GMO Foreign Fund purchase
PUR	02-01-00	211367	4,781.943	13.070	62,500.00				0	0.00	2/1 GMO Foreign Fund purchase
PUR	03-01-00	213696	317,628.635	13.410	4,259,400.00				0	0.00	3/1 GMO Foreign Fund purchase
PUR	03-02-00	213697	297.619	13.440	4,000.00				0	0.00	3/2 GMO Foreign Fund purchase
PUR	04-03-00	216470	206,656.827	13.550	2,800,200.00				0	0.00	4/3 GMO Foreign Fund purchase
PUR	05-02-00	219293	30,267.654	13.170	398,625.00				0	0.00	5/2 GMO Foreign Fd purchase
SAL	05-30-00	221834	-116.558	12.930		1,507.09	-1,461.64	45.45			5/30 sale of GMO Foreign Fund
PUR	06-02-00	223163	7,852.564	13.260	104,125.00				0	0.00	6/2 GMO Foreign Fd purchase
PUR	07-05-00	226103	6,972.073	13.070	91,125.00				0	0.00	7/5 GMO Foreign Fd purchase
SAL	07-05-00	226123	-726,184.894	13.070		9,491,215.22	-9,036,129.60	455,085.62			7/5 Tfr GMOFX to Meadows Sec.
SAL	07-05-00	226123	-968,009.463	13.070		12,651,855.23	-13,106,940.85	-455,085.62			7/5 Tfr GMOFX to Meadows Sec.
	TOTAL -		-1,119,220.220		7,728,950.00	22,144,577.54	-22,144,532.09	45.45	0	0.00	
MSIET1	HAIGX	Harbor Int'l Growth Fund									
SAL	07-26-00	227925	-601,608.584	20.610		12,399,152.91	-11,139,272.79	1,259,880.12			7/26 Redemption - HAIGX
SAL	07-26-00	227925	-141,296.701	20.610		2,912,125.01	-2,739,016.72	173,108.29			7/26 Redemption - HAIGX
	TOTAL -		-742,905.285		0.00	15,311,277.92	-13,878,289.51	1,432,988.41	0	0.00	
MSIET1	HAINX	Harbor International Fund									
PUR	05-02-00	219296	692.870	39.690	27,500.00				0	0.00	5/2 Harbor Int'l Fd purchase
PUR	06-02-00	223164	672.043	40.920	27,500.00				0	0.00	6/2 Harbor Int'l Fd purchase
PUR	07-05-00	226102	649.811	42.320	27,500.00				0	0.00	7/5 Harbor Int'l Fd purchase
SAL	07-05-00	226138	-334,351.536	30.663		10,252,203.67	-10,000,302.43	251,901.24			7/5 Tfr Harbor Int'l - Meadows
SAL	07-05-00	226138	-25,659.746	30.663		786,803.45	-1,038,704.69	-251,901.24			7/5 Tfr Harbor Int'l - Meadows
	TOTAL -		-357,996.558		82,500.00	11,039,007.12	-11,039,007.12	0.00	0	0.00	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MS	HENMAU	Hennes and Mauritz A B Seko									
PUR	08-02-00	232734	17,150.000	19 320	331,338.00				17,150	331,338.00	Hennes and Mauritz A B Seko.25
PUR	09-07-00	236571	2,750.000	17.570	48,317.50				2,750	48,317.50	Hennes and Mauritz A B Seko
	TOTAL -		19,900.000		379,655.50	0.00	0.00	0 00	19,900	379,655.50	
MS	HK0005	HSBC Holdings PLC									
PUR	08-02-00	232732	24,000.000	13.750	330,000.00				24,000	330,000.00	HSBC Holdings PLC @13.750
	TOTAL -		24,000.000		330,000.00	0.00	0.00	0 00	24,000	330,000.00	
MS	HK0005US	HSBC Holdings PLC USD									
PUR	09-07-00	236569	3,600.000	14.850	53,521.00				3,600	53,521 00	HSBC Holdings PLC USD
	TOTAL -		3,600.000		53,521.00	0 00	0 00	0.00	3,600	53,521.00	
MS	JP388	Mizuho Holdings Inc									
CNVTO	10-02-00	239879.1	51.000	7,150.000	364,650.00				51	364,650 00	Exchange Fuji Bank to Mizuho
CNVTO	10-02-00	239879.2	8.000	6,850.000	54,800.00				8	54,800.00	Exchange Fuji Bank to Mizuho
	TOTAL -		59.000		419,450.00	0 00	0.00	0.00	59	419,450.00	
MS	LVMHF	LVMH Moet Hennesy									
PUR	08-02-00	232740	3,700.000	89 710	331,927.00				3,700	331,927.00	LVMH Moet Hennesy EUR1.5 ORDS
PUR	09-07-00	236578	588.000	76.840	45,181.92				588	45,181 92	LVMH Moet Hennesy
	TOTAL -		4,288.000		377,108.92	0.00	0.00	0 00	4,288	377,108 92	
MS	LYEFF	Suez Lyonnaise Dea EAUX									
PUR	12-08-00	248078	2,580.000	170.740	440,509.20				2,580	440,509 20	Suez Lyonnaise Dea EAUX
	TOTAL -		2,580.000		440,509.20	0.00	0.00	0.00	2,580	440,509 20	
MS	NOK	Nokia Corp CL-A									
PUR	08-02-00	231044	15,455.000	41.125	635,586.88				15,455	635,586 88	Nokia Corp CL-A @ 41.125
PUR	09-06-00	234451	2,350.000	43.625	102,518.75				2,350	102,518 75	Nokia Corp Cl A

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		17,805.000		738,105.63	0.00	0.00	0.00	17,805	738,105.63	
MS	NOVRGF	Novartis AG									
PUR	06-02-00	232728	320.000	1,552.990	496,956.80				320	496,956.80	Novartis AG @1552.99
PUR	09-07-00	236564	46.000	1,465.640	67,419.44				46	67,419.44	Novartis AG
	TOTAL -		366.000		564,376.24	0.00	0.00	0.00	366	564,376.24	
MS	NT	Nortel Networks Corp									
PUR	08-02-00	231047	9,210.000	71.438	657,939.38				9,210	657,939.38	Nortel Networks Corp @71.4375
PUR	09-06-00	234452	1,400.000	79.063	110,687.50				1,400	110,687.50	Nortel Networks Corp
	TOTAL -		10,610.000		768,626.88	0.00	0.00	0.00	10,610	768,626.88	
MS	NWS	News Corp Ltd A/O/R									
PUR	08-02-00	231043	6,770.000	49.438	334,691.88				6,770	334,691.88	News Corp LTD @49.4375
PUR	09-06-00	236593	1,030.000	54.063	55,684.38				1,030	55,684.38	News Corp LTD
	TOTAL -		7,800.000		390,376.26	0.00	0.00	0.00	7,800	390,376.26	
MS	PHG	Koninklijke Philips Electronic									
PUR	08-02-00	231039	14,745.000	44.229	652,150.71				14,745	652,150.71	Koninkijke Philips @44.2286
PUR	09-06-00	234450	2,245.000	47.188	105,935.94				2,245	105,935.94	Koninklijke Philips Electrs
	TOTAL -		16,990.000		758,086.65	0.00	0.00	0.00	16,990	758,086.65	
MS	PSNBF	Pearson PLC									
PUR	06-02-00	232735	17,250.000	28.810	496,972.50				17,250	496,972.50	Pearson PLC Ord 25P
PUR	09-07-00	236572	2,659.000	29.630	78,786.17				2,659	78,786.17	Pearson PLC ORD
PUR	12-01-00	248171	4,704.000	14.231	66,942.63				0	0.00	Pearson Rights of 9/22/00
SAL	12-06-00	248172	-4,704.000	11.131		52,361.10	-66,942.63	-14,581.53			Sale of Pearson Rights
	TOTAL -		19,909.000		642,701.30	52,361.10	-66,942.63	-14,581.53	19,909	575,758.67	
MS	Pierpont	J.P. Morgan Funds									
PUR	08-01-00	231031	16,569,802.920	1.000	16,569,802.92				0	0.00	Federal Money Market Sweep Fd
SAL	08-07-00	231033	-5,574,450.960	1.000		5,574,450.96	-5,574,450.96	0.00			Federal Money Market Sweep Fd

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	08-15-00	231034	-7,845,419.520	1.000		7,845,419.52	-7,845,419.52	0.00			Federal Money Market Sweep Fd
SAL	08-16-00	231035	-492,030.000	1.000		492,030.00	-492,030.00	0.00			Federal Money Market Sweep Fd
SAL	08-23-00	231036	-329,868.000	1.000		329,868.00	-329,868.00	0.00			Federal Money Market Sweep Fd
PUR	08-31-00	232760	54.210	1.000	54.21				0	0.00	Transfer fm MSIET1 carry-over
SAL	08-31-00	231355	-1,653,723.400	1.000		1,653,723.40	-1,653,723.40	0.00			Federal Money Market Sweep Fd
PUR	09-05-00	232342	2,467,525.000	1.000	2,467,525.00				0	0.00	Federal Money Market Sweep Fd
SAL	09-11-00	234436	-901,088.520	1.000		901,088.52	-901,088.52	0.00			Federal Money market Sweep Fd
SAL	09-13-00	234437	-626,359.170	1.000		626,359.17	-626,359.17	0.00			Federal Money market Sweep Fd
SAL	09-14-00	234439	-329,653.960	1.000		329,653.96	-329,653.96	0.00			Federal Money market Sweep Fd
SAL	09-15-00	234438	-252,639.310	1.000		252,639.31	-252,639.31	0.00			Federal Money market Sweep Fd
PUR	09-19-00	234435	161.480	1.000	161.48				0	0.00	Federal Money Market Sweep Fd
SAL	09-21-00	234705	-103,758.740	1.000		103,758.74	-103,758.74	0.00			Federal Money Market Sweep Fd
PUR	09-28-00	235440	258,351.880	1.000	258,351.88				0	0.00	Federal Money Market Sweep Fd
SAL	09-29-00	235441	-303,416.920	1.000		303,416.92	-303,416.92	0.00			Federal Money Market Sweep Fd
SAL	10-03-00	237606	-258,351.880	1.000		258,351.88	-258,351.88	0.00			Federal Money Market Sweep Fd
PUR	10-04-00	237603	2,772,667.000	1.000	2,772,667.00				347,556	347,556.29	Federal Money Market Sweep Fd
PUR	10-12-00	237604	120,451.490	1.000	120,451.49				120,451	120,451.49	Federal Money Market Sweep Fd
SAL	10-13-00	237607	-53,521.000	1.000		53,521.00	-53,521.00	0.00			Federal Money Market Sweep Fd
PUR	10-18-00	237605	53,521.000	1.000	53,521.00				53,521	53,521.00	Federal Money Market Sweep Fd
SAL	10-19-00	238139	-6,013.310	1.000		6,013.31	-6,013.31	0.00			Federal Money Market Sweep Fd
PUR	10-30-00	238458	4,392.020	1.000	4,392.02				4,392	4,392.02	Federal Money Market Sweep Fd
PUR	11-03-00	241085	2,088,867.000	1.000	2,088,867.00				2,088,867	2,088,867.00	Federal Money Market Sweep Fd
SAL	11-06-00	241089	-413,013.110	1.000		413,013.11	-413,013.11	0.00			Federal Money Market Sweep Fd
PUR	11-08-00	241086	399,085.180	1.000	399,085.18				399,085	399,085.18	Federal Money Market Sweep Fd
PUR	11-17-00	241087	413,013.110	1.000	413,013.11				413,013	413,013.11	Federal Money Market Sweep Fd
PUR	11-21-00	241088	413,131.710	1.000	413,131.71				413,132	413,131.71	Federal Money Market Sweep Fd
SAL	12-01-00	243304	-975,293.920	1.000		975,293.92	-975,293.92	0.00			Federal Money Market Sweep Fd
PUR	12-05-00	243300	487,646.960	1.000	487,646.96				487,647	487,646.96	Federal Money Market Sweep Fd
SAL	12-06-00	243305	-797,728.040	1.000		797,728.04	-797,728.04	0.00			Federal Money Market Sweep Fd
PUR	12-14-00	243302	842,669.390	1.000	842,669.39				842,669	842,669.39	Federal Money Market Sweep Fd
SAL	12-18-00	243306	-802,426.320	1.000		802,426.32	-802,426.32	0.00			Federal Money Market Sweep Fd
SAL	12-29-00	244708	-2,250.120	1.000		2,250.12	-2,250.12	0.00			Federal Money Market Sweep Fd
	TOTAL -		5,170,334.150		26,891,340.35	21,721,006.20	-21,721,006.20	0.00	5,170,334	5,170,334.15	

MSIET1 Pierpont J.P Morgan Funds

SAL	01-19-00	208047	-726.700	1.000		726.70	-726.70	[illegible]			Pierpont MM Fund

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	04-03-00	218493	2,800,200.000	1.000	2,800,200.00				0	0.00	Federal Money Market Sweep Fd
SAL	04-04-00	218494	-2,800,200.000	1.000		2,800,200.00	-2,800,200.00	0.00			Federal Money Market Sweep Fd
SAL	04-18-00	216476	-746.700	1.000		746.70	-746.70	0.00			Federal Money Market Sweep Fd
SAL	07-19-00	226409	-706.700	1.000		706.70	-706.70	0.00			Federal Money Market Sweep Fd
SAL	07-20-00	226410	-161.480	1.000		161.48	-161.48	0.00			Federal Money Market Sweep Fd
SAL	08-31-00	232759	-54.210	1.000		54.21	-54.21	0.00			Transfer to Morgan Stanley
	TOTAL -		-2,395.790		2,800,200.00	2,802,595.79	-2,802,595.79	0.00	0	0.00	
MS	RECBF	Reckitt Benckiser ORD 10									
PUR	08-02-00	232750	43,370.000	11.460	497,020.20				43,370	497,020.20	Reckitt Benckiser ORD
PUR	09-07-00	236588	6,677.000	11.950	79,790.15				6,677	79,790.15	Reckitt Benckiser
	TOTAL -		50,047.000		576,810.35	0.00	0.00	0.00	50,047	576,810.35	
MS	SBH	Smithkline Beecham PLC									
PUR	11-28-00	242001	7,365.000	66.211	487,646.96				7,365	487,646.96	Smithkline Beecham @66.2114
	TOTAL -		7,365.000		487,646.96	0.00	0.00	0.00	7,365	487,646.96	
MS	SIERGF	Siemens AG NPV									
PUR	11-28-00	242000	3,556.000	116.497	414,263.33				3,556	414,263.33	Siemens AG NPV @116.497
	TOTAL -		3,556.000		414,263.33	0.00	0.00	0.00	3,556	414,263.33	
MS	SNE	Sony Corp									
PUR	08-02-00	231040	3,565.000	94.625	337,338.13				3,565	337,338.13	Sony Corp @94.625
PUR	09-06-00	234453	540.000	107.188	57,881.25				540	57,881.25	Sony Corp
	TOTAL -		4,105.000		395,219.38	0.00	0.00	0.00	4,105	395,219.38	
MS	STM	STMICROELECTRONICS NV									
PUR	08-02-00	231041	8,835.000	35.188	487,581.56				8,835	487,581.56	STMICROELECTRONICS NV @35.1875
PUR	09-06-00	234454	1,345.000	58.625	78,850.63				1,345	78,850.63	Stmicroelectronics N V SHS-NY
	TOTAL -		10,180.000		566,432.19	0.00	0.00	0.00	10,180	566,432.19	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MS	SWIRG	Swiss RE N									
PUR	08-02-00	232729	153.000	2,162.630	330,882.39				153	330,882.39	Swiss RE N CHF10 Reg D @2162.6
PUR	09-07-00	236565	20.000	1,988.530	39,770.60				20	39,770.60	Swiss RE N CHF10
	TOTAL -		173.000		370,652.99	0.00	0.00	0.00	173	370,652.99	
MS	SYNG	Syngenta AG									
PUR	11-17-00	242005	366 000	5.588	2,045.26				366	2,250.12	Syngenta AG @10.00
ICA	12-01-00	248249			204 86						ADJ BEG BAL-PUR
	TOTAL -		366.000		2,250.12	0.00	0.00	0.00	366	2,250.12	
MS	TEF	Telefonica SA									
PUR	08-02-00	231042	7,950.000	62.679	498,299.64				7,950	498,299 64	Telefonica SA @62.6792
PUR	09-06-00	234455	1,210.000	62 375	75,473.75				1,210	75,473 75	Telefonica S A Sponsored A/D/R
	TOTAL -		9,160.000		573,773.39	0.00	0 00	0 00	9,160	573,773.39	
MS	TIMIF	(Tim)Telecom Italia Mobile ITL									
PUR	08-02-00	232745	35,300 000	9.306	328,501.80				35,300	328,501.80	(Tim) Telecom Italia Mobile
PUR	09-07-00	236583	5,558.000	8.780	48,799.24				5,558	48,799 24	(Tim) Telecom Italia
	TOTAL -		40,858.000		377,301.04	0 00	0.00	0.00	40,858	377,301.04	
MS	UPMKM	UPM-KYMMENE OY Fim10 ORDS									
PUR	08-02-00	232747	13,407 000	24.710	331,286.97				0	0 00	UPM-KYMMENE OY FIM10 ORDS
PUR	09-07-00	236585	2,035.000	26.720	54,375.20				0	0 00	UPM-KYMMENE OY FIM10 ORDS
SAL	12-07-00	248079	-15,442.000	29.520		455,847.84	385,662 17	70,185 67			UPM-KYMMENE @29.52
	TOTAL -		0.000		385,662.17	455,847 84	385,662 17	70,185 67	[illegible]	[illegible]	
MS	VOOBF	Vodafone Group PLC									
PUR	08-02-00	232736	116,940.000	4 250	496,995.00				116,940	496,995 00	Vodafone Group PLC @4.250
PUR	09-07-00	236573	18,260.000	4.140	75,596.40				18,260	75,596.40	Vodafone Group PLC
	TOTAL -		135,200.000		572,591.40	0 00	0 00	0 00	135,200	572,591 40	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
REPORT TOTAL -					59,560,992.18	76,269,814.20	-74,929,140.48	1,340,673.72	5,784,683	23,884,626.21	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

SORT OPTION: BY GL.ENTITY, INV

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MSIET2 GMOEMF		GMO Emerging Markets Fund									
PUR	01-03-00	210096	3,417.018	11.670	40,525.00				0	0.00	1/3 GMO Emerg Mkts fd purchase
PUR	02-01-00	211373	1,842.871	11.530	21,550.00				0	0.00	2/1 GMO Emerging Mkts Fund
SAL	05-01-00	219455	-578,671.400	10.197		5,900,465.94	-6,899,972.12	-999,506.18			5/1 Tfr GMOEMF to Meadows Sec.
SAL	05-01-00	219455	-1,059,519.681	10.197		10,803,471.18	-9,803,965.00	999,506.18			5/1 Tfr GMOEMF to Meadows Sec.
	TOTAL -		-1,632,931.192		62,075.00	16,703,937.12	-16,703,937.12	0.00	0	0.00	
MSIET2 MGEMX		Morgan Stanley Emerging Mkts									
PUR	02-01-00	211372	1,133.018	19.020	21,550.00				0	0.00	2/1 Morgan Stanley Emerg Mkts
SAL	03-01-00	213683	-13,635.496	20.960		285,800.00	-245,929.92	39,870.08			3/1 M.S. Emerg Mkts redemption
PUR	03-02-00	213684	232.126	21.540	5,000.00				0	0.00	3/2 M.S. Emerg Mkts purchase
PUR	04-04-00	216479	2,726.605	20.410	55,650.00				0	0.00	4/4 M.S. Emerg. Mkts purchase
PUR	05-01-00	219299	10,811.032	18.310	197,950.00				0	0.00	5/1 M.S. Emerg Mkts purchase
SAL	05-01-00	219449	-929,565.007	14.571		13,544,489.06	-13,971,990.80	-427,501.74			5/1 Tfr MGEMX to Meadows Sec.
SAL	05-01-00	219449	-244,864.397	14.571		3,567,865.74	-3,140,364.00	427,501.74			5/1 Tfr MGEMX to Meadows Sec.
	TOTAL -		-1,173,162.119		280,150.00	17,398,154.80	-17,358,284.72	39,870.08	0	0.00	
MSIET2 Pierpont		J.P. Morgan Funds									
SAL	01-19-00	210090	-726.670	1.000		726.67	-726.67	0.00			Prime MM Sweep-Safekeeping cor
PUR	04-03-00	218511	55,650.000	1.000	55,650.00				0	0.00	Federal Money Market Sweep Fd
SAL	04-04-00	218512	-55,650.000	1.000		55,650.00	-55,650.00	0.00			Federal Money Market Sweep Fd
SAL	04-18-00	216487	-750.400	1.000		750.40	-750.40	0.00			Federal Money Market Sweep Fd
SAL	07-06-00	228651	-252.130	1.000		252.13	-252.13	0.00			Federal Money Market Sweep Fd
	TOTAL -		-1,729.200		55,650.00	57,379.20	-57,379.20	0.00	0	0.00	
REPORT TOTAL -					397,875.00	34,159,471.12	-34,119,601.04	39,870.08	0	0.00	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-00 TO 12-31-00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	11-10-00	242047	4,000.000	15.837	63,590.00				4,000	63,590.00	AT&T Corp Com Liberty Media A
PUR	11-13-00	242048	5,000.000	15.437	77,537.50				5,000	77,537.50	AT&T Corp Com Liberty Media A
PUR	11-14-00	242049	10,000.000	15.593	156,538.00				10,000	156,538.00	AT&T Corp Com Liberty Media A
PUR	11-15-00	242050	13,000.000	15.423	201,280.30				13,000	201,280.30	AT&T Corp Com Liberty Media A
PUR	11-16-00	242051	15,000.000	16.406	247,144.50				15,000	247,144.50	AT&T Corp Com Liberty Media A
PUR	11-16-00	242052	10,000.000	16.916	169,766.00				10,000	169,766.00	AT&T Corp Com Liberty Media A
PUR	11-17-00	242053	30,000.000	16.687	502,425.00				30,000	502,425.00	AT&T Corp Com Liberty Media A
PUR	11-20-00	242054	11,000.000	15.773	174,277.40				11,000	174,277.40	AT&T Corp Com Liberty Media A
PUR	11-21-00	242055	5,500.000	14.607	80,724.05				5,500	80,724.05	AT&T Corp Com Liberty Media A
PUR	11-29-00	242056	5,000.000	13.625	68,475.00				5,000	68,475.00	AT&T Corp Com Liberty Media A
PUR	12-04-00	244197	3,000.000	13.438	40,522.50				3,000	40,522.50	AT&T Corp Com Liberty Med CL A
PUR	12-05-00	244202	44,000.000	13.886	614,081.60				44,000	614,081.60	AT&T Corp Com Liberty Med CL A
PUR	12-07-00	244207	15,000.000	13.345	201,231.00				15,000	201,231.00	AT&T Corp Com Liberty Med CL A
PUR	12-08-00	244210	15,000.000	13.950	210,300.00				15,000	210,300.00	AT&T Corp Com Liberty Med CL A
PUR	12-15-00	244214	30,000.000	14.080	424,512.00				30,000	424,512.00	AT&T Corp Com Liberty Med CL A
PUR	12-18-00	244217	15,000.000	12.882	194,286.00				15,000	194,286.00	AT&T Corp Com Liberty Med CL A
PUR	12-20-00	244220	3,000.000	10.938	33,022.50				3,000	33,022.50	AT&T Corp Com Liberty Med CL A
PUR	12-29-00	246516	50,000.000	13.300	668,500.00				50,000	668,500.00	AT&T Corp Liberty Med CL A
	TOTAL -		285,500.000		4,160,978.35	0.00	0.00	0.00	285,500	4,160,978.35	
WARGO	NLI	NTL Inc									
PUR	11-09-00	242057	6,000.000	39.406	236,857.80				6,000	236,857.80	NTL Inc
PUR	11-10-00	242058	3,000.000	37.791	113,554.80				3,000	113,554.80	NTL Inc
PUR	11-15-00	242059	1,000.000	37.062	37,132.50				1,000	37,132.50	NTL Inc
PUR	11-15-00	242060	1,000.000	36.875	36,935.00				1,000	36,935.00	NTL Inc
PUR	11-16-00	242061	2,000.000	37.125	74,370.00				2,000	74,370.00	NTL Inc
PUR	11-17-00	242079	2,000.000	35.562	71,265.00				2,000	71,265.00	NTL Inc
PUR	11-20-00	242062	3,000.000	30.895	92,897.40				3,000	92,897.40	NTL Inc
	TOTAL -		18,000.000		663,012.50	0.00	0.00	0.00	18,000	663,012.50	
WARGO	VOD	Vodafone Group PLC-New A/D/R									
PUR	11-14-00	242069	28,000.000	38.069	1,067,897.60				28,000	1,067,897.60	Vodafone Group PLC
PUR	11-15-00	242070	3,000.000	37.062	111,397.50				3,000	111,397.50	Vodafone Group PLC
PUR	11-16-00	242072	11,000.000	37.672	415,170.80				11,000	415,170.80	Vodafone Group PLC
PUR	11-17-00	242073	20,000.000	38.216	765,730.00				20,000	765,730.00	Vodafone Group PLC

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

(8) HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 12:56
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

STENY H. HOYER
(Full Name)

1705 Longworth HOB
(Mailing Address)

202-225-4131
Daytime Telephone

Washington, D.C. 20515

Filer Status	[x] Member of the U.S. House of Representatives — State: ____ District: ____	[ ] Officer or Employee	Employing Office:
Report Type	[x] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [x] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [x]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [x] No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [x] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [x] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [x] No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ] No [x]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [x]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [x]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [ ]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [ ]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		May 15, 2001

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	STATE OF MARYLAND	Legislative Pension	$14,714.00

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
Washington Discussion Group	Speech	May 1, 2000	$2,000

This page may be copied if more space is required.

Name Steny H. Hoyer

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: Transaction
SP, DC, JT	*Examples:*	SP					X								X										X								P
				Indefinite																	Royalties								X				
								X									X									X							
	Keogh-Legg Mason								X								X					N/A											
	Cong. Fed. Credit Union				X												X							X									
	Mass. Cash Mgmt			X													X						X										
	Medical Advisory Systems					X																X											S

For additional assets and unearned income, use next page.

SCHEDULE IV — TRANSACTIONS

Name Steny H. Hoyer

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
	Medical Advisory Systems		X		2-29-00		X								

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Not Applicable											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independant of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
Not Applicable		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Nat.Assoc of Homebuilders	1/16-1/18	Wash,DC-Dallas-Wash,DC	Y	Y	N	N
Faith & Politics Insti.	3/3-3/5	Wash,DC-Birmingham-Montgomery-Wash,D.C.	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member	Univ. of MD. Systems Board of Regents
Member	St. Mary's College Board of Trustees
Member	Baltimore Council of Foreign Affairs
Member	Naval Academy Board of Visitors

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 2001 70-729 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED
(9)
LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 5:11
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC
(Office Use Only)

KENNY CHARLES HULSHOF
(Full Name)

24 BINGHAM RD.
(Mailing Address)
573/874-1682
Daytime Telephone

COLUMBIA MO 65203

Filer Status	[X] Member of the U.S. House of Representatives	State MO District 09	[ ] Officer or Employee — Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g. salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, **complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Kenny Hulshof	5/15/01

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Name	Page ___ of ___

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days

This page may be copied if more space is required.

Name	Page ____ of ____

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 2001 70-729 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

(6) HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 4:32
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

HC

DUNCAN LEE HUNTER
(Full Name)

2265 Rayburn HOB
(Mailing Address)

Washington, DC 20515

Daytime Telephone (202) 225-5672

Filer Status	[X] Member of the U.S. House of Representatives	State: CA Distrct: 52	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Duncan Lee Hunter	MAY 15, 2001

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Name KENNY CHARLES HULSHOF | Page ___ of ___

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontano County Board of Education	Spouse Salery	NA
	VANGEL ASSOCIATES	SPOUSE SALARY	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name	Page ____ of ____

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
	XYZ Megazine	Article	Aug. 13, 2000	$500

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Name Kenny Charles Hulshof | Page of

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each* asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E
SP	SP, Mega Corp Stock					X								X										X								P
DC	Examples: Simon & Schuster		Indefinite																	Royalties												
JT	1st Bank of Paducah, KY accounts						X									X										X						
	MO State Employee Retirement			X										X							X											
JT	Bank of America acct. Columbia, MO		X													X						X										
JT	First National Bank acct. Columbia, MO		X													X						X										

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet.(if needed)

Name _____ Page ___ of ___

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	American Century - Value			X										X								X										
SP	American Century - Global Growth			X										X								X										
SP	American Century - Growth			X										X								X										
SP	American Century - Ultra			X										X								X										
SP	American Century - Life Sciences			X										X								X										

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SCHEDULE IV — TRANSACTIONS

Name	Page of

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example.* Mega Corporation Common Stock	X			10–12–00		X								

This page may be copied if more space is required.

Name Kenny Charles Hulshof | Page ___ of ___

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	First USA VISA	Charge Acct.		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each* asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset	Block C: Type of Income	Block D: Amount of Income	Block E: Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP.	SP Mega Corp Stock	X	X	IV $1,001 – $2,500	P
DC.	*Examples* Simon & Schuster	Indefinite	Royalties	X	
JT	1st Bank of Paducah, KY accounts	F $100,001 – $250,000	X	VI $5,001 – $15,000	
	25 Acres, Warren Cty, VA	D $15,001 – $50,000	RENT X	IV $1,001 – $2,500	
	Cabin #2, Warren Cty, VA	E $50,001 – $100,000	RENT X	V $2,501 – $5,000	
JT	5/8 Acre Lot, Riverside, CA	D $15,001 – $50,000	NONE		
*JT	House, Walney Rd, Chantilly, VA	H $500,001 – $1,000,000	NONE		
*JT	Stable/Barn, Chantilly, VA	D $15,001 – $50,000	NONE		
*Subject to sales contract entered into 10/8/98					

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Duncan Lee Hunter

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income					BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
JT	1/4 Acre Lot, Bear Lake, Utah			X												NONE					X										

This page may be copied if more space is required.

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SCHEDULE IV — TRANSACTIONS

Name Duncan Lee Hunter

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
JT	1/4 Acre at Bear Lake, Utah	X				X									

This page may be copied if more space is required.

2329

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Deleware	Mortgage on 123 Main St., Dover, Del.				X						
JT	BANK OF AMERICA, CREDIT CARD	RELVOLVING CREDIT		X								
JT	VALLEY DE ORO BANK, EL CAJON, CA	PERSONAL LOAN	X									
JT	CONGRESSIONAL FEDERAL CREDIT UNION,WASH,DC	PERSONAL LOAN	X									
	(Paid Off Isabelle Moskey, Sterling, VA for	Mt Property Loan, Warren Cty, VA										

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NONE		

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	American Conservative Union
Partner	Cabin #2 with Pete Geren in Blue Ridge Mtns, Warren County, VA

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 2001 70-729 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A — For use by Members, officers, and employees

INSIDE MAIL
7
LEGISLATIVE RESOURCE CENTER
2001 MAY 15 AM 9:25
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC

W Asa Hutchinson
(Full Name)

1108 Adelaide Rd. Ft Smith, AR 72901
(Mailing Address)

202 225.4301
Daytime Telephone

(Office Use Only)

Filer Status: ☑ Member of the U S House of Representatives — State AR, District 03 ☐ Officer Or Employee — Employing Office

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☑ No ☐
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics In Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U S C app 4, § 104 and U S C § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Asa Hutchinson	

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SCHEDULE I - EARNED INCOME

Name W. Asa Hutchinson

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Fort Smith Public Schools	Spouse's salary	$1,001- $2,500
Karr & Hutchinson	Gross attorney fees	$0

SCHEDULE II - PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

Name W Asa Hutchinson

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the spor sor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Politically Incorrect	Appearance	Oct. 17, 2001	$500

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name W. Asa Hutchinson

BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT First National Bank, Fort Smith, AR	$1,001 - $15,000	INTEREST	$1 - $200	
JT National Traveler's Life Insurance Annuity Fund	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT City National Bank, Fort Smith, AR	$1 - $1,000	INTEREST	$1 - $200	
Marjorie Hutchinson Testamentary Trust	$50,001 - $100,000	INTEREST	$201 - $1,000	

SCHEDULE V - LIABILITIES

Name W. Asa Hutchinson

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	City National Bank, Ft. Smith	promissory note	$15,001 - $50,000
	First National Bank, Ft. Smith	promissory note	$50,001 - $100,000
	First National Bank, Ft. Smith	promissory note-business	$10,001 - $15,000
	MBNA, Wilmington, DE	personal loan	$15,001 - $50,000
	GE Capitol, Tempe, AZ	personal loan	$10,001 - $15,000
	First USA Bank	personal loan	$15,001 - $50,000
	Traveler's Bank, Carol Stream, IL	personal loan	$10,001 - $15,000
	American Express	personal credit	$10,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name W Asa Hutchinson

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Progress and Freedom Foundation-speak	Aug 20-23	Ft. Smith, AR--Aspen, CO--Ft Smith AR	Y	Y	Y	NA
Politically Incorrect-TVAppearance	Oct 17-18	Ft Smith, AR--Los Angeles, CA--Ft Smith, AR	Y	N	N	NA

SCHEDULE IX - AGREEMENTS

Name W Asa Hutchinson

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
12/31/96	Charles Karr	Agreement on Termination of Karr & Hutchinson Partnership; no income in 2000; no future employment provisions

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

(1) INSIDE MAIL

LEGISLATIVE RESOURCE CENTER
2001 MAY 17 AM 9:23
(Office Use Only)
CLERK
HOUSE OF REPRESENTATIVES

W. Asa Hutchinson
(Full Name)

1108 Adelaide Rd Ft Smith, AR 72901
(Mailing Address)

202 225.4301
Daytime Telephone

Filer Status: ☑ Member of the U.S House of Representatives — State AR District: 03 ☐ Officer Or Employee — Employing Office.

Report Type: (☑) Annual (May 15) ☐ Amendment ☐ Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☑ No ☐
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics In Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief		5/15/01

AMENDED

2339

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 MAY 15 AM 11:36

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(4)

HAND DELIVERED
(Office Use Only)

Henry J Hyde
(Full Name)

2110 Rayburn House Office Building — 202-225-4561
(Mailing Address) — Daytime Telephone

Washington, D.C. 20515

Filer Status	[X] Member of the U.S. House of Representatives — State: ____ District: ____	[ ] Officer or Employee	Employing Office: ____
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date: ____

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Henry J. Hyde	5-15-2001

2840

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Selary	NA
	Illinois General Assembly Retirement	Legislative pension	$6860.04

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Henry J Hyde

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B												Block C						Block D											Block E
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	E. S. P.
SP, DC, JT	*Examples* SP Mega Corp. Stock					X								X										X							P
	Examples Simon & Schuster			Indefinite																Royalties									X		
	Examples 1st Bank of Paducah, KY accounts						X									X										X					
	Congressional Federal Credit Union, Washington D.C.					X										X								X							
	White House Federal Credit Union, Washington, D.C.				X											X					NA/IRA										

For additional assets and unearned income, use next page.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples	Chicago Chamber of Commerce	Mar. 2	Wash., D.C —Chicago—Wash., D.C	N	N	N	N
	Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
	Legatus National Conference	Feb 10-11	Wash.D.C-Ft.Lauderdale, - Wash. D.C.	Y	Y	N	N
	Christie Fideles	Apr 30-May 1	Wash D.C.- New York - Wash. D.C.	N	Y	N	N

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

MAY 14 2001 (7)

LEGISLATIVE RESOURCE CENTER

2001 MAY 18 AM 11:37

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

Jay Robert Inslee
(Full Name)

1197 Hawley Way NE Bainbridge Island WA. 98110
(Mailing Address)

Daytime Telephone: 202 225 6311

Filer Status	☒ Member of the U.S. House of Representatives — State: WA District: 1	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	5/13/01

2344

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	DR. Steven Schwager	Spouse Salary	4923 —

For payments to charity in lieu of honoraria, use Schedule II.

Name Jay Insler

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	Examples: SP Mega Corp. Stock						X							X										X								P
	DC Simon & Schuster			Indefinite																Royalties									X			
	JT 1st Bank of Paducah, KY accounts							X								X										X						
SP	BSquare Stock	X															(X)				(X)											S
SP	Cisco Systems Stock																X							X								S
SP	Double Click Inc	X															(X)				(X)											S
SP	EMC Corp Stock	X															X									X						S
SP	General Electric	X															(X)				(X)											S
SP	Infospace	X															(X)				(X)											S

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Jay Inslee

Page 4 of 7

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	Rock HAT	X														(X)					(X)											S
SP	Texas Instruments	X														X									X							S
SP	Cisco Systems	X														X								X								S
SP	Medtronic Inc	X														X								X								S
SP	Microsoft	X														X										X						S
SP	Real Networks	X														(X)					(X)											S
SP	Texas Instruments	X														X								X								S
SP	Citigroup	X														X							X									S
SP	Real Networks	X														X										X						
SP	World Com	X														X					(X)											S
SP	Avaya	X														X					(X)											S
SP	Salomon Smith Barney					X								X									X									
	Salomon Smith Barney		X																			X										
	Avaya		X																													
	Bristol Meyers			X										X								X	X									
	Cisco Systems				X																											
	Citigroup				X									X								X	X									
	EMC		X																													
	General Electric				X									X									X									
	Intel				X									X								X										
	Lucent			X										X								X										
	Medtronic				X									X								X										

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Jay Inslee

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Microsoft				X																									
	Oracle				X																									
	PFIZER			X										X								X								
	Real Networks			X																										
	Starbucks				X																									
	Texas Instruments				X									X								X								
	Time Warner			X										X								X								
	Congressional Federal Credit Union			X												X						X								
	Frontier Bank			X												X						X								

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SCHEDULE IV — TRANSACTIONS

Name Jay Insley

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–00		X								
	Cisco Systems Co		X		3/24/00	X									
	Cisco Systems Co		X		11/13/00	X									
	Double Click Inc		X		11/13/00	X									
	EMC Corp		X		3/24/00	X									
	EMC Corp		X		11/13/00	X									
	General Electric Co		X		11/13/00	X									
	Info space Inc		X		9/7/00	X									
	Red Hat		X		9/7/00	X									
	Texas Instruments		X		3/24/00	X									
	Texas Instruments		X		11/13/00	X									
	Cisco Corp		X		11/13/00	X									
	Medtronics		X		11/13/00	X									
	Microsoft		X		11/13/00	X									
	Real Networks		X		11/13/00	X									
	Texas Instruments		X		11/13/00	X				X					
	Home - 574 Azalea, Bainbridge Is, WA,		X		12/15/00					X					

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Honorary Board Member	Washington Wildlife and Recreation Coalition

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2001 70-729 (mac)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

RECEIVED
01 MAY 24 PM 3:00

(14)
HAND DELIVERED
(Office Use Only)

JOHN HARRY ISAKSON
(Full Name)

5074 HAMPTON FARMS DRIVE
(Mailing Address)

MARIETTA, GA. 30068

Daytime Telephone 202-225-4501

• Filer Status	[X] Member of the U.S House of Representatives	State GA Distrct: 06	[ ] Officer or Employee	Employing Office
Report • Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5-22-01

2351

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	CEST MOI	SPOUSE SALARY	6,583.50
	FAIRGREEN CAPITAL (DISCLOSED AGREEMENT BETWEEN FAIRGREEN AND JOHNNY ISAKSON IN SCHEDULE IX)	DEFFERED COMPENSATION AGREEMENT	80,000.00

For payments to charity in lieu of honoraria, use Schedule II.

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Name JOHNNY ISAKSON | Page 2 of 13

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
NONE			

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Name JOHNNY ISAKSON | Page 3 of 13

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B												Block C							Block D											Block E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	Examples:	SP	Mega Corp. Stock				X								X										X								P
			Simon & Schuster		Indefinite																Royalties									X			
			1st Bank of Paducah, KY accounts					X									X									X							
	STRICKLIN CAPITAL								X							X	X	X			SCORP									X			
	5074 HAMPTON FARMS DRIVE								X													X											
	FIDELITY PURITAN MUTUAL FUND					X									X		X							X									
	FIDELITY ADVISORS MUTUAL FUND					X									X		X								X								
	TEMPLETON GROWTH MUTUAL FUND					X									X		X								X								
	EVERGREEN GROWTH MUTUAL FUND					X									X		X								X								

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JOHNNY ISAKSON

Page 4 of 13

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	MCI CAPITAL I PREFERED				X									X										X								
	ALABAMA POWER CO				X									X										X								
	ABBOTT LABS STOCK			X										X								X										
	FORD MOTOR CREDIT NOTE				X											X								X								
	AMERICAN EXPRESS STOCK						X						X											X								5
	BURLINGTON STOCK			X										X								X										
	CHUBB CORP STOCK			X										X								X										
	CISCO SYSTEMS STOCK				X									X							X											
	COLGATE PALMOLIVE STOCK				X									X								X										
	WALT DISNEY STOCK					X								X									X									5
	EMC CORP MASS STOCK				X									X							X											
	FEDERAL HOME LOAN MORTGAGE CORP STOCK				X									X								X										
	GANNETT INC STOCK						X							X										X								5
	GENERAL ELECTRIC STOCK				X									X								X										
	INTERPUBLIC GROUP STOCK				X									X								X										
	JOHNSON & JOHNSON STOCK				X									X								X										
	MICROSOFT STOCK					X								X							X											
	OMNICOM GROUP STOCK			X										X								X										
	PMI GROUP STOCK				X									X								X										
	SUN MICROSYSTEMS STOCK				X									X							X											
	SYSCO CORP STOCK				X									X								X										
	TEXACO STOCK			X										X									X									

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JOHNNY ISAKSON

Page 5 of 13

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	WORLDCOM INC STOCK				X									X							X											S
	ALCOA STOCK			X										X									X									P
	AVAYA STOCK		X											X							X											P
	BJ SERVICES STOCK			X										X							X											P
	CITIGROUP STOCK						X							X										X								
	GILLETTE CO. STOCK				X									X									X									
	HOME DEPOT STOCK						X							X										X								
	LUCENT STOCK			X										X									X									
	ORACLE CORP STOCK				X									X							X											P
	SCHLUMBERGER LTD STOCK			X										X									X									P
	SOUTHWEST AIRLINES STOCK				X									X									X									
	SUNTRUST BANK STOCK				X									X									X									
	ALLSTATE STOCK			X										X									X									S
	SUNTRUST TAX FREE BONDS								X							X										X						
	AMERICAN INTL GROUP STOCK						X							X									X									
	GLOBAL CROSSING STOCK				X									X							X											P
	AMGEN INC STOCK							X						X							X											S
	BANK AMERICA STOCK					X								X										X								
	BIOMET INC STOCK				X									X									X									P
	CHASE MANHATTEN STOCK					X								X										X								
	COCA COLA STOCK					X								X										X								S
	FEDERAL NATIONAL MTG ASSN STOCK						X							X										X								

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JOHNNY ISAKSON

Page 6 of 13

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	JONES APPAREL GROUP STOCK					X								X			X							X								P/S
	MERCK & CO STOCK					X								X										X								
	POST PROPERTIES STOCK					X								X											X							
	QUEST COMMUNICATIONS STOCK						X							X							X											
	RADIO SHACK STOCK				X									X								X										SP
	SOUTHTRUST CORP STOCK								X					X													X					
	STAPLES INC STOCK				X									X							X											
	STARBUCKS CORP STOCK					X								X							X											SP
	TYCO INT. LTD STOCK						X							X									X									P
	WINSTAR COMMUNICATIONS STOCK				X									X							X											P/S
	FIRST STERLING BANK STOCK							X						X											X							
	RIVERSIDE BANK STOCK							X						X							X											
	WACHOVIA BANK C.D.						X									X										X						
	SOUTHTRUST BANK C.D.						X									X										X						
	SUNTRUST BANK C.D.						X									X										X						
	MASS MUTUAL CASH VALUE						X									X																
	MONY INS. CASH VALUE													X		X									X							
	INDIANAPOLIS LIFE CASH VALUE				X									X		X									X							
	LINCOLN LIFE CASH VALUE				X									X		X							X									
	STALCO TIRE L.P. OIL & GAS				X											X	X			ROYALTY				X								
	STALCO BRITIAN L.P. OIL GAS				X											X	X			"				X								
	KMI L.P. OIL GAS				X											X	X			"						X						

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JOHNNY ISAKSON

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	STRICO CHEETAH L.P. OIL GAS				X											X	X			ROYALTY						X						
	STRICO OUTPUT L.P. OIL GAS				X											X	X			"				X								
	1/2 INTEREST 4 ACRES DOUGLAS COUNTY					X											X				X											
	SEA PINES TIMESHARE HILTON HEAD S.C.				X												X				X											
	50.4 ACRES CHEROKEE COUNTY						X										X				X											
	103.2 PRESSHAVEN RD RABUN COUNTY							X									X				X											
	307 UNIVERSITY TOWERS CONDO ATHENS GA					X										X										X						
	4 ACRES HWY 140 CHEROKEE COUNTY						X										X				X											
	SMITH BARNEY APPRECIATION FUND					X								X			X								X							
	PERMIT LLC						X								X	X	X				X											
	AIM STIC PRIME PORTFOLIO				X											X									X							
	MEDIA ONE GROUP STOCK	X												X			X									X						
	EAGLE BANK SHARES	X												X			X									X						S
	IBM STOCK	X												X			X										X					S
	PROTECTIVE LIFE STOCK	X												X			X											X				S
	REGIONS FINANCIAL STOCK	X												X			X											X				S
	WEST POINT STEVENS INC STOCK	X												X			X										X					S
	4 ISLE CONDOS ATHENS, GA						X										X										X					S
	EARTHLINK INC	X														X																P/S
	WINSTAR COMMUNICATIONS STOCK	X															X							X				X				P/S
	STI CLASSIC FUNDS MUTUAL FUND				X									X			X				X											

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JOHNNY ISAKSON

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENTS	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	IS LOTS CHEVROLET OVERLOOK SID THRIFT SAVINGS PLAN	X			X									X		X	X							X				X				S P

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SCHEDULE IV — TRANSACTIONS

Name JOHNNY ISAKSON | Page 9 of 13

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock	X			10-12-00		X								
	AMERICAN EXPRESS STOCK		X		5-31-00	X									
	WALT DISNEY STOCK		X		10-20-00		X								
	ALLSTATE CORP STOCK		X		4-17-00		X								
	GANNETT CO INC STOCK		X		9-25-00	X									
	AMGEN INC STOCK		X		10-30-00		X								
	COCA COLA		X		3-7-00	X									
	JONES APPAREL GROUP STOCK	X			10-17-00			X							
	Q WEST COMMUNICATIONS STOCK		X		7-6-00		X								
	STAPLES INC STOCK		X		9-25-00	X									
	WINSTAR COMMUNICATIONS STOCK	X			1-24-00			X							
	WINSTAR COMMUNICATIONS STOCK		X		10-30-00		X								
	EARTHLINK INC STOCK	X			2-7-00		X								
	EARTHLINK INC STOCK		X		7-10-00		X								
	EAGLEBANKSHARES		X		2-7-00		X								
	IBM STOCK		X		10-19-00				X						
	PROTECTIVE LIFE		X		1-24-00		X								

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SCHEDULE IV — TRANSACTIONS

Name JOHNNY ISAKSON

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-00		X								
	REGIONS FINANCIAL STOCK		X		2-7-00		X								
	WEST POINT STEVENS "		X		1-24-00		X								
	WORLD COM INC GA STOCK		X		11-10-00		X								
	ALCOA STOCK	X			4-14-00	X									
	AVAYA STOCK	X				X									
	BJ SERVICES STOCK	X			4-14-00	X									
	ORACLE CORP STOCK	X			6-26-00		X								
	GLOBAL CROSSING STOCK	X					X								
	BIOMET INC STOCK	X			5-31-00		X								
	RADIO SHACK STOCK	X			6-1-00		X								
	STARBUCKS STOCK	X			2-14-00		X								
	TYCO I'NTL STOCK	X			10-31-00			X							
	15 LOTS CHEROKEE OVERLOOK S/D CHEROKEE CTY		X		12-31-00				X						
	SCHLUMBERGER LTD STOCK	X			4-14-00	X									
	THRIFT SAVINGS PLAN	X			2000 MONTHLY	X									
	MEDIA ONE GROUP STOCK		X		4-14-00	X									

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example.	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	WACHOVIA BANK	MORTGAGE 5074 HAMPTON FARMS DR		X								
	SYNOVUS MORTGAGE CORP	"			X							
	ROBINSON HUMPHREY	MARGIN ACCOUNT			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
	NONE	

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	FEDERAL EXPRESS	2-17-00	WASH D.C. to PALM SPRINGS CALIFORNIA	N	N	N	3 DAYS
	Facility Group	3-6-00	ATLANTA, GA. to ASHVILLE N.C. to ATLANTA, GA	N	Y	N	N

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
TRUSTEE	KENNESAW STATE UNIVERSITY
BOARD CHAIRMAN	GEORGIAN CLUB
BOARD	FAIRGREEN CAPITAL
TRUSTEE	REINHART COLLEGE

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
12-18-98	FAIRGREEN CAPITAL AND JOHNNY ISAKSON	PAYMENT FOR PERFORMANCE BONUS PER TIME
12-31-98	"	MAINTAIN MEDICAL INSURANCE
11-16-95	STATE OF GA HEALTH BENEFIT PLAN AND JOHNNY ISAKSON	UPON RETIREMENT AFTER 8 YRS MEMBER OF GENERAL ASSEMBLY MAY CONTINUE INSURANCE

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

6

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 2:19
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

STEVE ISRAEL
(Full Name)

239 SENECA AVE. DIX HILLS NY 11746 (202)225-3335
(Mailing Address) Daytime Telephone

(Office Use Only)

Filer Status	☒ Member of the U.S. House of Representatives	State: NEW YORK District: 2	☐ Officer or Employee	Employing Office:
Report Type	☐ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Steve Israel	5-15-01

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Onteno County Board of Education	Spouse Salary	NA
	TOWN OF HUNTINGTON, HUNTINGTON NY	SALARY PRIOR TO ELECTION	$49,842 appx
	STEVE ISRAEL & ASSOCIATES, INC. HUNTINGTON NY	SALARY PRIOR TO ELECTION	$29,400 appx
	BOCES OF WESTERN SUFFOLK, LONG ISLAND, NY	SPOUSE SALARY	$6,456 appx

For payments to charity in lieu of honoraria, use Schedule II.

Name STEVE ISRAEL | Page 3 of 6

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B												Block C							Block D											Block E
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	Examples: Mega Corp Stock		Indefinite			X								X						Royalties				X								P
SP	Simon & Schuster															X									X			X				
JT	1st Bank of Paducah, KY accounts						X																			X						
	WALT DISNEY CORP.		✓											✓								✓										
	MERRIL LYNCH IRA			✓										✓									✓									
	STEVE ISRAEL ASSOCIATES				✓															BUSINESS INCOME						✓						
	NY STATE RETIREMENT			✓										NA							NA											

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Clevelend	Y	Y	Y	2 Days
	NA						

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
PRESIDENT	STEVE ISRAEL & ASSOCIATES
PRESIDENT & CEO	INSTITUTE ON THE HOLOCAUST & THE LAW

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
N/A		

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A

For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
01 MAY 11 AM 9:08
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
INSIDE MAIL
(Office Use Only)

(15)

MC

Darrell Edward Issa
(Full Name)

1725 Longworth House Office Building Washington, DC 20515
(Mailing Address)

202-225-3906
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives	State: CA District: 48	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑	No ☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐	No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑	No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑	No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐	No ☑
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐	No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes ☐	No ☑
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑	No ☐
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☑	No ☐

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		10 MAY 2001

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SCHEDULE I - EARNED INCOME

Name Darrell Edward Issa

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Jaycor	Director's Fee	$15,500
Directed Electronics, Inc.	Salary	$761,809
Directed Electronics, Inc. (Spouse)	Salary	Over $1,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Darrell Edward Issa

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Greene Properties, Inc. 1 Viper Way Vista, CA 92083 (Property Management Company)	$5,000,001 - $25,000,000	DIVIDENDS/RENT	$1,000,001 - $5,000,000	
Vanguard Wellington Fund	None	DIVIDENDS	Over $5,000,000	E
Vanguard 500 Index Fund Investor Shares	$25,000,001 - $50,000,000	DIVIDENDS	$100,001 - $1,000,000	E
Vanguard Morgan Growth Fund	None	DIVIDENDS	$100,001 - $1,000,000	E
Issa Family Foundation (Tax-Exempt Charitable Foundation)	$1,000,001 - $5,000,000		NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Darrell Edward Issa

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JNI Corp	$100,001 - $250,000	DIVIDENDS	$201 - $1,000	

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Schedule III - Assets and "Unearned" Income

Asset	Value of Asset	Income Type	Amount of Income	Transaction
San Diego County CA Muni	$500,001 - $1,000,000	Interest	$15,001 - $50,000	Purchase
California State Muni	$1,000,001 - $5,000,000	Interest	$15,001 - $50,000	
Burbank CA Pub Svc Muni	$1,000,001 - $5,000,000	Interest	$50,001 - $100,000	Purchase
California State Muni	$1,000,001 - $5,000,000	Interest	$100,001 - $1,000,000	
Hawaii State Muni	$1,000,001 - $5,000,000	Interest	$15,001 - $50,000	Purchase
Regional Transn DT Muni	$1,000,001 - $5,000,000	Interest	$100,001 - $1,000,000	Purchase
California State Muni	$500,001 - $1,000,000	Interest	$15,001 - $50,000	
California State Vets Muni	$1,000,001 - $5,000,000	Interest	$100,001 - $1,000,000	Purchase
Florida State Brd Ed Muni	$1,000,001 - $5,000,000	Interest	$100,001 - $1,000,000	Purchase
Maricopa Co Arizona Muni	$1,000,001 - $5,000,000	Interest	$100,001 - $1,000,000	Purchase
Los Angeles Co CA Muni	$5,000,001 - $25,000,000	Interest	$100,001 - $1,000,000	
Puerto Rico Cmwl Hwy Muni	$1,000,001 - $5,000,000	Interest	$15,001 - $50,000	Purchase
Anaheim CA Pub Fng Muni	$1,000,001 - $5,000,000	Interest	$50,001 - $100,000	Purchase
California Hlth Fcs Muni	$1,000,001 - $5,000,000	Interest	$50,001 - $100,000	Purchase
Los Angeles Cnty CA Tran Muni	$1,000,001 - $5,000,000	Interest	$15,001 - $50,000	Purchase
Metropolitan Water CA Muni	$5,000,001 - $25,000,000	Interest	$100,001 - $1,000,000	Purchase
Puerto Rico Electric Pwr Muni	$1,000,001 - $5,000,000	Interest	$50,001 - $100,000	Purchase
Puerto Rico Electric Pwr Muni	$1,000,001 - $5,000,000	Interest	$50,001 - $100,000	Purchase
Federal Home Loan Bank Note	None	Interest	$1,000,001 - $5,000,000	Sold
Federal Home Loan Bank Note	None	Interest	$100,001 - $1,000,000	Sold
Active Power Inc	None	Capital Gain	$5,001 - $15,000	Purchase, Sold
Avantgo Inc	None	Capital Gain	$1,001 - $2,500	Purchase, Sold
Broadcom Corp	None	Capital Gain	$1,000,001 - $5,000,000	Sold
Dell Computer Corp	None	Capital Gain	$1,000,001 - $5,000,000	Sold
Eden Bioscience Corp	None	Capital Gain	$1,000,001 - $5,000,000	Purchase, Sold
General Electric	None	Cap Gain / Div	$100,001 - $1,000,000	Pur, Sold, Div
JNI Corp	None	Capital Gain	$15,001 - $50,000	Sold
Petrleo Bras Vtg Spd	None	Capital Gain	$2,501 - $5,000	Purchase, Sold

Texas Instruments	None	Capital Gain	$50,001 - $100,000	Sold
California St Muni	None	Capital Gain / Int	$15,001 - $50,000	Sold
Seattle WA Muni Water	None	Interest	$100,001 - $1,000,000	Sold
West Basin CA Mud Cop Wtr Rclm	None	Interest	$100,001 - $1,000,000	Sold
Vkm CA Muni	None	Dividend	$15,001 - $50,000	Sold
Merrill Lynch Bank USA	$100,001 - $250,000	Interest	$100,001 - $1,000,000	
Merrill Lynch Bank & Trust	$100,001 - $250,000	Interest	$100,001 - $1,000,000	

Schedule III - Assets and "Unearned" Income

Asset	Value of Asset	Income Type	Amount of Income	Transaction
Allstate Corporation	$15,001 - $50,000	Dividend	$1 - $1,000	Purchased
Albertsons	$1,001 - $15,000	Dividend	$1 - $1,000	Purchased / Sold
Alcoa	$1,001 - $15,000	Capital Gain / Div	$5,001 - $15,000	Sold
American Express Company	$15,001 - $50,000	Capital Gain / Div	$1,001 - $2,500	Sold
American General Corporation	$15,001 - $50,000	Dividend	$1 - $1,000	
BP Amoco	$1,001 - $15,000	Dividend	$1 - $1,000	
Bank of America	$1,001 - $15,000	Dividend	$1 - $1,000	
Baxter Internationai	$15,001 - $50,000	Dividend	$1 - $1,000	
Bristol Myers	$15,001 - $50,000	Dividend	$1 - $1,000	
Burlington Resources	$15,001 - $50,000	Dividend	$1 - $1,000	
Chase Manhatn Corporation	$1,001 - $15,000	Dividend	$1 - $1,000	
Chevron Corporation	$1,001 - $15,000	Dividend	$1 - $1,000	
Chubb Corporation	$15,001 - $50,000	Dividend	$1 - $1,000	
Delphi Automotive	$1,001 - $15,000	Dividend	$1 - $1,000	
Dow Jones Co Inc	$1,001 - $15,000	Dividend	$1 - $1,000	Purchased
Electrical Data Systems	$1,001 - $15,000	Capital Gain / Div	$1,001 - $2,500	Sold
Johnson & Johnson	$15,001 - $50,000	Dividend	$1 - $1,000	Purchased
PG&E Corporation	$1,001 - $15,000	Dividend	$1 - $1,000	
SBC Communications	$1,001 - $15,000	Dividend	$1 - $1,000	
Schlumberger Ltd	$15,001 - $50,000	Dividend	$1 - $1,000	
Southwest Airlines	$15,001 - $50,000	Dividend	$1 - $1,000	
Verizon Communications	$15,001 - $50,000		None	Purchased
Wells Fargo & Company	$15,001 - $50,000	Dividend	$1 - $1,000	Purchased
Edwards Lifesciences Corp	None	Capital Gain	$201 - $1,000	
Gannett Company	None	Capital Gain / Div	$5,001 - $15,000	Sold
Intel Corporation	None	Capital Gain	$15,001 - $50,000	Sold
Nabisco Holdings Corporation	None	Capital Gain / Div	$5,001 - $15,000	Purchased / Exch
CMA Money Fund	$15,001 - $50,000	Interest	$1,001 - $2,500	

SCHEDULE IV - TRANSACTIONS

Name Darrell Edward Issa

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Vanguard Wellington Fund to Vanguard 500 Index Fund	E	3-15-00	$5,000,001 - $25,000,000
	Vanguard Wellington Fund Reversed	E	3-15-00	$5,000,001 - $25,000,000
	Vanguard Wellington Fund to Vanguard 500 Index Fund	E	3-18-00	$5,000,001 - $25,000,000
	Vanguard 500 Index Fund from Vanguard Wellington Fund	E	3-15-00	$5,000,001 - $25,000,000
	Vanguard 500 Index Fund Exchange Reversed	E	3-15-00	$5,000,001 - $25,000,000
	Vanguard 500 Index Fund Exchange from Wellington Fund	E	3-18-00	$5,000,001 - $25,000,000
	Vanguard 500 Index Fund Exchange from Morgan Growth Fund	E	11-24-00	$5,000,001 - $25,000,000
	Vanguard Morgan Growth Fund to Vanguard 500 Index Fund	E	11-24-00	$5,000,001 - $25,000,000
	JNI Corp	S	7-24-00	$100,001 - $250,000

Schedule IV - Tansactions

SP,DC,JT	Asset	Type	Date	Amount of Transaction
JT	AT&T Wireless	Purchase	04/27/00	$100,001 - $250,000
JT	JNI Corporation	Sale	05/26/00	$50,001 - $100,000
JT	Federal Home Loan Bank	Purchase	06/01/00	$25,000,001 - $50,000,000
JT	Federal Home Loan Bank	Purchase	06/28/00	$5,000,001 - $25,000,000
JT	Texas Instruments	Sale	07/07/00	$100,001 - $250,000
JT	Microsoft Corporation	Sale	07/07/00	$100,001 - $250,000
JT	General Electric	Sale	07/07/00	$1,000,001 - $5,000,000
JT	Dell Computer Corporation	Sale	07/07/00	$15,001 - $50,000
JT	AT&T Wireless	Sale	07/07/00	$100,001 - $250,000
JT	Broadcom Corporation	Sale	07/07/00	$500,001 - $1,000,000
JT	Microsoft Corporation	Sale	07/10/00	$500,001 - $1,000,000
JT	Broadcom Corporation	Sale	07/10/00	$1,000,001 - $5,000,000
JT	Qualcomm Option		07/14/00	$1,001 - $15,000
JT	Active Power	Purchase	08/08/00	$1,001 - $15,000
JT	Active Power	Sale	08/08/00	$1,001 - $15,000
JT	Chippac Inc Delaware	Purchase	08/09/00	$1,001 - $15,000
JT	Chippac Inc Delaware	Sale	08/09/00	$1,001 - $15,000
JT	Petrleo Bras Vtg Spd	Purchase	08/10/00	$100,001 - $250,000
JT	Petrleo Bras Vtg Spd	Sale	08/10/00	$100,001 - $250,000
JT	Qualcomm Option		08/28/00	$1,001 - $15,000
JT	Eden Bioscience Corporation	Purchase	09/27/00	$1,001 - $15,000
JT	Eden Bioscience Corporation	Sale	09/27/00	$1,001 - $15,000
JT	Avantgo Inc	Purchase	09/27/00	$1,001 - $15,000
JT	Avantgo Inc	Sale	09/07/00	$1,001 - $15,000
JT	Grupo A Sureste	Purchase	09/28/00	$15,001 - $50,000
JT	Grupo A Sureste	Sale	09/28/00	$15,001 - $50,000
JT	Qualcomm	Sale	10/24/00	$100,001 - $250,000
JT	Puerto Rico Cmwl Hwy Tr	Purchase	11/21/00	$1,000,001 - $5,000,000

JT	Federal Home Loan Bank	Sale	12/05/00	$25,000,001 - $50,000,000
JT	Federal Home Loan Bank	Sale	12/20/00	$5,000,001 - $25,000,000

Schedule IV - Tansactions

SP,DC,JT	Asset	Type	Date	Amount of Transaction
JT	San Diego County CA	Purchase	01/13/00	$500,001 - $1,000,000
JT	California State	Purchase	01/14/00	$500,001 - $1,000,000
JT	Maricopa County AZ	Purchase	01/20/00	$5,000,001 - $25,000,000
JT	California Health Fcs Fin	Purchase	01/21/00	$1,000,001 - $5,000,001
JT	Burbank CA Public Services Dept	Purchase	01/26/00	$1,000,001 - $5,000,001
JT	Metropolitan Water Dt Sot	Purchase	01/26/00	$5,000,001 - $25,000,000
JT	Vkm California Muni Trust	Purchase	01/27/00	$1,000,001 - $5,000,001
JT	Anaheim CA Pub Fng At T	Purchase	01/27/00	$1,000,001 - $5,000,001
JT	West Basin CA Mud Cop	Purchase	01/27/00	$1,000,001 - $5,000,001
JT	Los Angeles County CA Tra	Purchase	01/31/00	$1,000,001 - $5,000,001
JT	Florida St Brd Ed Cap	Purchase	02/02/00	$1,000,001 - $5,000,001
JT	Seattle WA Mun Water Rev	Purchase	02/02/00	$1,000,001 - $5,000,001
JT	California State	Sale	04/03/00	$250,001 - $500,000
JT	Hawaii State	Purchase	04/04/00	$1,000,001 - $5,000,001
JT	Regional Transn Dt Colo	Purchase	04/12/00	$1,000,001 - $5,000,001
JT	California St Vets	Purchase	04/24/00	$1,000,001 - $5,000,001
JT	Puerto Rico Elec Pwr	Purchase	04/26/00	$1,000,001 - $5,000,001
JT	Seattle WA Mun Water Rev	Sale	05/01/00	$1,000,001 - $5,000,001
JT	West Basin CA Mud Cop	Sale	05/16/00	$1,000,001 - $5,000,001
JT	Vkm California Muni Trust	Sale	05/30/00	$1,000,001 - $5,000,001

Schedule IV - Tansactions

SP,DC,JT	Asset	Type	Date	Amount of Transaction
SP	Alliance Premier Growth	Sale	11/29/00	$500,001 - $1,000,000

Schedule IV - Tansactions

SP,DC,JT	Asset	Type	Date	Amount of Transaction
DC	Intel	Sale	01/06/00	$15,001 - $50,000
DC	Xerox	Purchase	01/07/00	$15,001 - $50,000
DC	Nabisco Holdings Corporation	Purchase	01/10/00	$15,001 - $50,000
DC	Transocean Sedco Forex	Sale	01/20/00	$1,001 - $15,000
DC	Albertsons Inc	Purchase	01/24/00	$1,001 - $15,000
DC	Weingarten Rlty Invs	Sale	02/03/00	$15,001 - $50,000
DC	Alcoa	Sale	02/11/00	$15,001 - $50,000
DC	Allstate Corporation	Purchase	02/11/00	$15,001 - $50,000
DC	Johnson & Johnson	Purchase	02/15/00	$15,001 - $50,000
DC	Electric Data Systems Corporation	Sale	02/23/00	$1,001 - $15,000
DC	Wells Fargo & Company	Purchase	03/01/00	$15,001 - $50,000
DC	American Express Company	Sale	3/3/00	$1,001 - $15,000
DC	Gannett Company	Sale	04/06/00	$15,001 - $50,000
DC	Dow Jones Co Inc	Purchase	04/11/00	$15,001 - $50,000
DC	Albertsons Inc	Sale	10/12/00	$1,001 - $15,000
DC	Albertsons Inc	Purchase	11/16/00	$1,001 - $15,000
DC	Du Pont Ei De Nemours	Sale	11/21/00	$1,001 - $15,000
DC	AT&T Corporation	Sale	11/21/00	$1,001 - $15,000
DC	Albertsons Inc	Purchase	11/22/00	$1,001 - $15,000
DC	Nabisco Holdings Corporation	Exchange	12/12/00	$15,001 - $50,000
DC	Verizon Communications	Purchase	12/14/00	$15,001 - $50,000
DC	Xerox	Sale	12/21/00	$1,001 - $15,000
DC	Du Pont Ei De Nemours	Purchase	12/29/00	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name Darrell Edward Issa

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	Greene Properties, Inc.
Board of Directors	Issa Family Foundation, Inc.
Board of Directors	Directed Electronics, Inc.
Board of Directors	USO
Board of Trustees	Siena Heights University

SCHEDULE IX - AGREEMENTS

Name Darrell Edward Issa

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
12-21-99	Directed Electronics, Inc./Darrell E. Issa	Five year employment agreement for the position of President/CEO

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

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ML

Ernest James Istook, Jr. (Full Name)

Home: (405) 722-3366
OKC ofc.: (405) 942-3636
DC ofc: (202) 225-2132
Daytime Telephone

6113 Inland Road
Warr Acres, OK 73132 (Mailing Address)

Filer Status	☒ Member of the U.S. House of Representatives	State: Oklahoma District: 5th	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Ernest J. Istook Jr.	5/15/2001

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples Keene State	Approved Teaching Fee	$6,000
Examples State of Maryland	Legislative Pension	$9,000
Examples Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
Examples Ontario County Board of Education	Spouse Salary	NA
Columbia Presbyterian Hospital, 700 NE 13th, OKC, OK 73104	Spouse's Income as Registered Nurse	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name: 1STOOK, Ernest James, Jr.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: Transaction P, S, E
SP	Mega Corp Stock					X								X										X								P
DC	Simon & Schuster		Indefinite																	Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X									X							
	Former Law Office Furnishings 5400 N. Grand #6113 Inland, OKC, OK			X																	X											
	1Stook & Assoc. PC, stock			X																	X											
JT	First Fidelity Bank West Acres, OK			X												X						X										
JT	Home - 6113 Inland West Acres, OK						X														X											
	IRA Account - Scudder Japan Fund Boston, MA			X										X			X						X									

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: ISTOOK, Ernest James, Jr.

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	John L. Bills Family Limited Partnership				X									X		X	X								X							
SP	John L. Bills Family Limited Partnership				X									X			X								X							
	6905 NW 50th, Bethany, OK (subject to contract for deed to Judy McKinney)				X																X											
	Federal Employees Thrift Savings Plan					X								X			X										X					
	The following are held via self-directed retirement plan of Istook & Associates, PC																															
	- Promissory Note & Mortgage with Ernest & Judy Istook, Weatherford, OK				X											X									X							
	- Jefferson Pilot Ensemble Fund Concord, NH			X																	X											
	- Mutual Funds with American Century, Kansas City, MO:																															
	- Ultra Fund				X									X			X				X											
	- Equity Growth Fund			X										X			X				X											
	- Income & Growth Fund			X										X			X				X											
	- Prime Money Market Fund		X													X						X										
	- Putnam Investments, Providence, RI #				X									X			X				X											

#Funds with Putnam are: Asset Allocation Growth B; Int'l. Voyager B; OTC & Emerging Growth B; and Voyager B

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Name: ISTOOK, Ernest James, Jr.

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	$25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–00		X								
JT	Jefferson Pilot Ensemble Fund	X			8-8-00	X									
	From Am. Cent. Prime Money Mkt. Fund to Ultra Fund			X	3-8-2000	X									
	Putnam Investments (Asset Alloc. Growth B;	X			11-17-2000		X								
	Int'l Voyager; OTC & Emerg Growth B;														
	and Voyager B Funds)														

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delawere	Mortgage on 123 Main St., Dover, Del.				X						
JT	Chase Manhattan, NYC, NY	Revolving Account	X									
JT	MBNA America, Wilmington, DE	Revolving Account	X									
JT	UNIPAC, Denver, CO	College Loans	X									
JT	First USA Bank, Wilmington, DE	Revolving Account	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
	None reportable	

Name	Page 7 of 7
ISTOOK, Ernest James, Jr.	

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President & Director	Istook & Associates, P.C., Oklahoma City, OK (dormant corp from law practice)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

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U.S. HOUSE OF REPRESENTATIVES
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Jesse L. Jackson, Jr.
(Full Name)

313 Cannon House Office Bldg.
(Mailing Address)

202-225-0773
Daytime Telephone

Washington, DC 20515

Filer Status	[X] Member of the U.S. House of Representatives — State: Illinois District: 2	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	05-15-2001

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Name Jesse L. Jackson, Jr | Page 2 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keana State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
U.S. Export Import Bank	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to e charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset											Block C: Type of Income							Block D: Amount of Income											Block E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	Examples: SP Mega Corp. Stock					X							X											X								P
	Simon & Schustar		Indefinite																	Royalties									X			
	1st Bank of Paducah, KY accounts						X									X										X						
	Putnam Asset Alloc. (SEP)					X							X				X									X						
	Putnam Capital Appre. (SEP)					X							X				X									X						
	Putnam Equity Income		X										X				X					X										
	Oppenheimer Quest Opp. Val (IRA)			X									X				X					X										
SP	Putnam Asset Alloc. (SEP)			X																			X									
SP	Opp. Quest Opp Value (IRA)			X																		X										

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Jesse L. Jackson, Jr

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	John Hancock Small Cap (SEP)		X											X			X					X									
SP	Amer. Inv. Co. of Amer (SEP)				X									X			X								X						
JT	Rental Condo 240 M St #E414					X									X												X				S
JT	Rental Condo 240 M St #E412					X									X											X					

This page may be copied if more space is required.

Name Jesse L. Jackson, Jr. Page 6 of 9

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Maga Corporation Common Stock	X			10–12–00		X								
JT	Rental Condo - 240 M St. SW #E414 Wash. DC		X		12-27-00			X							

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Name Jesse L. Jackson, Jr | Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	First Union Mortgage - Raleigh NC	Mortgage on rental condo 240 M St, SW #E414 Wash DC			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Random House	Jan. 5-7	Chicago-New York-Chicago	Y	N	N	
Minnesota Meeting Speakers Forum	Feb. 23	Chicago-Minn-Chicago	N	Y	N	
People For the American Way	Apr. 27-28	Wash.,DC-Florida-Chicago	Y	N	N	
The Smiley Group, Inc.	Aug. 11	Chicago-Los Angeles	Y	N	N	
Nat'l Assoc. Black Journalist	Aug. 19	Los Angeles-Phoenix	N	N	N	
Indian American Assoc.	Aug. 19	Phoenix-Chicago	N	N	N	

2400

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Chicago Theological Seminary

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

MAY 14 2001
LEGISLATIVE RESOURCE CENTER
2001 MAY 18 AM 11:36
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC
(Office Use Only)

15

WILLIAM JENNINGS JEFFERSON
(Full Name)

240 CANNON BUILDING
(Mailing Address)

WASHINGTON DC 20515

Daytime Telephone: (202) 225-6636

Filer Status: [X] Member of the U.S. House of Representatives — State: LOUISIANA District: 02 — [ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [X] No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X] No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X] No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/13/01

2402

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
SOUTHERN UNIVERSITY	SPOUSE SALARY	N/A
THE A GROUP, INC	SPOUSE SALARY	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name WILLIAM J. JEFFERSON

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each* asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A Asset and/or Income Source		BLOCK B Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP		SP	Mega Corp. Stock				X								X										X							P
DC	*Examples:*		Simon & Schuster	Indefinite																	Royalties								X			
JT			1st Bank of Paducah, KY accounts					X									X									X							
	SEE ATTACHED																															

For additional assets and unearned income, use next page.

2404

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

ASSET AND/OR UNEARNED INCOME SOURCE	VALUE OF ASSET							TYPE OF INCOME							AMOUNT OF INCOME										TRANS
								DIVIDENDS	RENTS	INTERESTS	GAINS	CAPITAL GAINS	QUARTERLY INCOME	QUARTERLY INTERESTS											
	A	B	C	D	E	F	G								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
FARMLAND -40 ACRES, LAKE PROV. LA					X				X									X								
FARMLAND -50 ACRES, LAKE PROV. LA			X						X							X										
JEFFERSON, BRYAN & JUPITER - SHS. IN CORP.							X				X				X											
UNION SAVINGS & LOAN			X								X						X									
JEFFERSON FOR MAYOR CAMP. - LOAN REC.						X		N	O	N	E				X											
JEFFERSON INTERESTS - LOAN RECEIVABLE							X				X				X											
JEFFERSON FOR GOVERNOR - LOAN REC.			X					N	O	N	E				X											
FREEMARKETS INC				X				X							X											P
ITURF INC			X					X							X											P
RICHARDSON ELECTRS LTD			X					X							X											P
ADVANCED LIGHTING	X										X								X							S
AMERICA ONLINE	X										X											X				S
BEA SYSTEMS	X										X									X						S
BROADCOM	X										X									X						S
CISCO SYSTEMS	X										X												X			S
EFFICIENT NETWORKS	X										X								X							S
E TEK	X										X							X								S
EVOKE	X										X							X								S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

ASSET AND/OR UNEARNED INCOME SOURCE	VALUE OF ASSET							TYPE OF INCOME							AMOUNT OF INCOME											TRANS
												C														
													Q													
												G	T	T												
								D	R			A	R	R												
								I	E	I		I	U	U												
								V	N	N		N	S	S												
								D	T	T		S	T	T												
	A	B	C	D	E	F	G								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
EGAIN COMM	X											X					X									S
ERICSSON	X											X							X							S
FOGDOG	X											X						X								S
GLIATECH	X											X					X									S
ISIS PHARM	X											X					X									S
INKTOMI	X											X								X						S
INTEL	X											X								X						S
METROCALL	X											X					X									S
NATL INFO CONSORTIUM	X											X							X							S
NET2000	X											X						X								S
NOKIA	X											X								X						S
NOVELLUS	X											X							X							S
ORACLE	X											X				X										S
7-ELEVEN	X											X				X										S
SILICON VALLEY GROUP	X											X					X									S
TRC COMPANIES	X											X						X								S
TAKE TWO INTER	X											X						X								S
TERAYON COMM	X											X						X								S
TERRA NETWORKS	X											X							X							S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

ASSET AND/OR UNEARNED INCOME SOURCE	VALUE OF ASSET							TYPE OF INCOME							AMOUNT OF INCOME											TRANS
												C														
														Q												
												G	T	T												
									D	R		A	R	R												
									I	E	I	I	U	U												
									V	N	N	N	S	S												
									D	T	T	S	T	T												
	A	B	C	D	E	F	G								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
3COM CORP	X											X						X								S
VASOMEDICAL	X											X						X								S
GENESIS DIRECT		X							X						X											
USA TALKS		X							X						X											
FIDELITY SAVINGS & LOAN			X								X						X									

2407

SCHEDULE IV — TRANSACTIONS

Name WILLIAM J. JEFFERSON

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–00		X								
	SEE ATTACHD														

This page may be copied if more space is required.

2408

SCHEDULE IV - TRANSACTIONS

ASSET	TYPE OF TRANSACTION			DATE	AMOUNT OF TRANSACTION									
	P	S	E		B	C	D	E	F	G	H	I	J	K
ADVANCED LIGHTING	X			3/1/2000	X									
ADVANCED LIGHTING		X		3/13/2000	X									
AMERICA ONLINE	X			1/10/2000		X								
AMERICA ONLINE		X		1/18/2000				X						
ACTIVE APPAREL		X		7/14/2000	X									
AMERITRADE	X			9/6/2000	X									
AMERITRADE		X		9/8/2000	X									
AMERIGON	X			1/26/2000	X									
AMERIGON		X		2/4/2000	X									
ASK JEEVES	X			1/4/2000	X									
ASK JEEVES		X		1/19/2000	X									
AUDIBLE	X			1/4/2000	X									
AUDIBLE		X		1/11/2000	X									
BEA SYSTEMS		X		3/13/2000		X								
BRAUN CONSULTING		X		1/20/2000	X									
BROADCOM	X			3/8/2000		X								
BROADCOM	X			6/5/2000		X								
BROADCOM		X		1/4/2000	X									
BROADCOM		X		5/22/2000		X								
BROADCOM		X		7/31/2000		X								
CISCO	X			1/25/2000		X								
CISCO	X			1/26/2000	X									
CISCO	X			2/15/2000	X									
CISCO	X			3/23/2000		X								
CISCO	X			3/27/2000		X								
CISCO		X		1/5/2000		X								
CISCO		X		2/23/2000					X					
CISCO		X		6/22/2000		X								

SCHEDULE IV - TRANSACTIONS

ASSET	TYPE OF TRANSACTION			DATE	AMOUNT OF TRANSACTION									
	P	S	E		B	C	D	E	F	G	H	I	J	K
CISCO		X		6/22/2000	X									
CISCO		X		6/27/2000		X								
C PHONE		X		7/14/2000	X									
COMMERCE ONE	X			9/15/2000		X								
COMMERCE ONE	X			9/26/2000	X									
COMMERCE ONE		X		10/24/2000	X									
COMMERCE ONE		X		10/27/2000		X								
CONCEPTUS	X			9/1/2000	X									
CONCEPTUS		X		9/14/2000	X									
COREL	X			1/19/2000	X									
COREL		X		1/19/2000	X									
DSL NET	X			8/22/2000	X									
DSL NET		X		8/31/2000	X									
DITECH	X			2/18/2000		X								
DITECH		X		2/23/2000		X								
DOUBLECLICK	X			2/18/2000	X									
DOUBLECLICK		X		2/23/2000	X									
EFF NETWORKS	X			2/4/2000	X									
EFF NETWORKS		X		2/23/2000	X									
E TEK	X			1/19/2000		X								
E TEK		X		1/24/2000		X								
EVOKE	X			8/21/2000	X									
EVOKE		X		9/6/2000	X									
EGAIN	X			1/21/2000	X									
EGAIN		X		1/25/2000	X									
ELCOM		X		7/14/2000	X									
ELECTRO SCIENTIFIC	X			9/19/2000	X									
ELECTRO SCIENTIFIC		X		9/21/2000	X									
ENGAGE	X			9/21/2000		X								

10/11

SCHEDULE IV - TRANSACTIONS

ASSET	TYPE OF TRANSACTION			DATE	AMOUNT OF TRANSACTION									
	P	S	E		B	C	D	E	F	G	H	I	J	K
ENGAGE		X		9/26/2000		X								
EPICOR	X			1/26/2000	X									
EPICOR		X		2/4/2000	X									
ERICSSON	X			1/31/2000	X									
ERICSSON		X		3/13/2000	X									
EXODUS	X			7/20/2000		X								
EXODUS		X		7/31/2000	X									
FLEXTRONIC	X			1/21/2000	X									
FLEXTRONIC	X			7/20/2000		X								
FLEXTRONIC		X		1/25/2000	X									
FLEXTRONIC		X		7/31/2000	X									
FOGDOG	X			2/18/2000	X									
FOGDOG		X		2/18/2000	X									
FREEMARKETS	X			10/24/2000		X								
GENSYM	X			3/2/2000	X									
GENSYM		X		7/14/2000	X									
GENOME	X			2/18/2000			X							
GENOME		X		5/11/2000		X								
GLIATECH	X			1/19/2000	X									
GLIATECH		X		2/15/2000	X									
ISIS	X			3/6/2000	X									
ISIS		X		3/6/2000	X									
IMMUNEX	X			2/18/2000		X								
IMMUNEX		X		2/23/2000		X								
IMAGEX	X			2/14/2000	X									
IMAGEX		X		2/15/2000	X									
INKTOMI		X		1/4/2000		X								
INKTOMI		X		5/11/2000		X								
INTEL	X			1/11/2000		X								

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SCHEDULE IV - TRANSACTIONS

ASSET	TYPE OF TRANSACTION P	S	E	DATE	AMOUNT OF TRANSACTION B	C	D	E	F	G	H	I	J	K
INTEL		X		3/6/2000		X								
ITURF	X			9/8/2000	X									
ITURF	X			9/8/2000	X									
ITURF		X		9/14/2000	X									
ITURF		X		9/27/2000	X									
INTERNET AMERICA		X		1/20/2000	X									
INTERNEURON		X		7/14/2000	X									
JDS UNIPHASE	X			3/13/2000			X							
JDS UNIPHASE		X		9/6/2000			X							
JUNO		X		7/14/2000	X									
LSI LOGIC	X			3/13/2000		X								
LSI LOGIC		X		6/22/2000	X									
MATRIX	X			1/14/2000	X									
MATRIX		X		1/18/2000	X									
METROCALL	X			2/4/2000	X									
METROCALL		X		3/8/2000	X									
MULTIPLE ZONES		X		1/20/2000	X									
NATL INFO CONSORT	X			8/29/2000		X								
NATL INFO CONSORT		X		9/15/2000		X								
NET2000	X			8/21/2000		X								
NET2000		X		8/31/2000		X								
NETWORK ASSOC	X			8/21/2000	X									
NETWORK ASSOC		X		8/21/2000	X									
NOKIA		X		6/22/2000		X								
NOVELLUS		X		1/5/2000		X								
ORACLE	X			1/25/2000		X								
ORACLE		X		4/14/2000		X								
QUALCOMM	X			1/3/2000	X									
QUALCOMM		X		2/23/2000		X								

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SCHEDULE IV - TRANSACTIONS

ASSET	TYPE OF TRANSACTION P	S	E	DATE	AMOUNT OF TRANSACTION B	C	D	E	F	G	H	I	J	K
QUOKKA	X			3/8/2000	X									
QUOKKA		X		3/10/2000	X									
RF MICRO	X			3/15/2000		X								
RF MICRO		X		7/14/2000		X								
RADIANT SYSTEMS	X			3/2/2000		X								
RADIANT SYSTEMS		X		4/14/2000	X									
REALNETWORKS	X			1/14/2000		X								
REALNETWORKS		X		2/4/2000		X								
REGENT COMM	X			1/26/2000	X									
REGENT COMM		X		2/18/2000	X									
RICHARDSON ELECTRONICS		X		1/4/2000	X									
RICHARDSON ELECTRONICS-NEW	X			9/27/2000	X									
SCIENTIFIC ATLANTA	X			3/23/2000		X								
SCIENTIFIC ATLANTA		X		4/11/2000		X								
7-ELEVEN	X			3/1/2000	X									
7-ELEVEN		X		4/11/2000	X									
SILICON VALLEY GROUP	X			1/10/2000	X									
SILICON VALLEY GROUP		X		1/25/2000	X									
SOLECTRON	X			3/14/2000		X								
SOLECTRON		X		9/19/2000		X								
SYBASE	X			1/21/2000	X									
SYBASE		X		1/25/2000	X									
TRC	X			1/18/2000	X									
TRC		X		4/11/2000	X									
TAKE TWO	X			8/24/2000	X									
TAKE TWO		X		9/26/2000	X									
TARGETED GENETICS	X			2/28/2000	X									
TARGETED GENETICS		X		3/6/2000	X									
TELEFONICA	X			1/18/2000	X									

SCHEDULE IV - TRANSACTIONS

ASSET	TYPE OF TRANSACTION			DATE	AMOUNT OF TRANSACTION									
	P	S	E		B	C	D	E	F	G	H	I	J	K
TELEFONICA		X		1/21/2000	X									
TERAYON	X			1/19/2000	X									
TERAYON		X		1/24/2000	X									
TERRA NETWORKS	X			1/18/2000		X								
TERRA NETWORKS		X		3/2/2000		X								
3COM	X			2/28/2000		X								
3COM		X		3/2/2000		X								
THREE FIVE SYS	X			1/11/2000	X									
THREE FIVE SYS		X		1/25/2000	X									
TICKETS.COM	X			1/21/2000	X									
TICKETS.COM		X		1/25/2000	X									
TIME WARNER	X			1/10/2000		X								
TIME WARNER		X		3/8/2000		X								
VALUE AMERICA	X			3/8/2000	X									
VALUE AMERICA		X		3/13/2000	X									
VASOMEDICAL	X			3/1/2000	X									
VASOMEDICAL		X		3/9/2000	X									
VERSICOR	X			9/1/2000	X									
VERSICOR		X		9/14/2000	X									
VOXWARE	X			3/6/2000	X									
VOXWARE		X		3/10/2000	X									
YAHOO	X			1/5/2000		X								
YAHOO		X		2/23/2000		X								
JEFFERSON FOR GOVERNOR - LOAN RECEIVABLE	X			3/9/2000	X									
JEFFERSON FOR GOVERNOR - LOAN RECEIVABLE		X		10 times during yr			X							

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	LIBERTY BANK NEW ORLEANS LA	UNSECURED		X								
	E TRADE SECURITIES, PALO ALTO CA	MARGIN ACCOUNT	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE DURING REPORTING PERIOD.	
	SEE 1990 DISCLOSURE FORM	
	FOR PRIOR AGREEMENT.	

GPO: 1999 56-195 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 4:27
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

(10)
MC

William Lewis Jenkins
(Full Name)

767 McKinney Chapel Road, Rogersville, TN, 37857
(Mailing Address)

(423) 272-3878
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State: TN District: 1st	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	William L. Jenkins	5-15-01

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of Tennessee Consolidated Retirement System	Legislative - Judicial Retirement	$28,777.00
	State of Tennessee Consolidated Retirement System	Spouse - Teachers Retirement	3,299.00

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
N/A			

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Name William Lewis Jenkins | Page 4 of ~~10~~

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Block C: Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify; For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
SP, DC, JT	*Examples:* SP Mega Corp Stock	F	DIVIDENDS	IV	P
	Simon & Schuster	Indefinite	Royalties	IX	
	1st Bank of Paducah, KY accounts	F	INTEREST	VI	
JT	Building Main Street Rogersville, TN	D	RENT	I	
JT	Farm Land, Bray Road Rogersville, TN	F			
JT	Farm Land, McKinney Chapel Road Rogersville, TN	F			
SP	Farm Land - Drew Haynes Road Rogersville, TN	F			
JT	Farm Land - Highway 70 Rogersville, TN	D			
SP	Farm Land - Webster Valley Road Rogersville, TN	G			

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name William Lewis Jenkins

Page 5 of 10

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	Farm Land Off Highway 70 Rogersville, TN						X																									
JT	Cattle				X																											
JT	Farm Machinery				X																											
	Farm Land Hickory Cove Rogersville, TN						X																									
	Farm income from land, cattle machinery (Gross $23,480) (Net $1,561.00)																															
	Mountain Metals MFG Corp.						X							X												X						
SP	1st TN National Corporation						X							X										X								
SP	Exxon Corporation			X										X									X									
SP	Amoco BP (formerly Amoco)				X									X									X									
	IRA-1st TN Bank								X					X		X										X						
	Trust Dept. Johnson City, (Managed)	N																														
SP	IRA-1st TN Bank						X							X		X								X								
	Trust Dept. Johnson City, (Managed)	N																														
	TN FARM BUREAU LIFE INS STOCK				X																											
	1ST TENN. BANK STOCK			X																												

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name William Lewis Jenkins

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	Farm Checking Account 1st TN Bank			X												X						X										
JT	Checking Account Citizens Bank			X												X							X									
	Savings Account Citizens Bank			X												X							X									
SP	Savings Account 1st TN Bank				X											X							X									
JT	Checking Account 1st TN Bank			X												X						X										

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SCHEDULE IV — TRANSACTIONS

Name William Lewis Jenkins | Page 7 of 10

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-00		X								
~~Part~~ JT	Bought 1/4 interest and inherited balance in ~~Farm Land in Hickory Cove, Rogerville, TN~~	X			7/2000			X							
	TN Farm Bureau Life Insurance Stock	Inheritance			7/2000		X								
	1ST TN BANK STOCK		"		"	X									

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Citizens Bank of Rogersville, Tennessee	Mortgage on Farm Land off Highway 70, Rogersville, TN			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
N/A		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Aspen Institute	Mar 9,10,11	Washington, D.C.-Greenbrier, West Virginia-Tennessee	Y	Y	Y	N

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
N/A	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	State of Tennessee - William Lewis Jenkins	(same as 98)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
01 MAY 11 PM 4:27
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(9)

HAND DELIVERED
(Office Use Only)

Christopher Charles John (Full Name)			
PO Box 971 (Mailing Address)	Crowley LA 70527	(202) 225-2031 Daytime Telephone	

Filer Status	[X] Member of the U.S. House of Representatives	State LA District 07	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Christopher C John	May 9, 2001

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Name	Chris John	Page 2 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	N/A		

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
	XYZ Magazine	Article	Aug. 13, 2000	$500
	N/A			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			Block A	Block B											Block C						Block D											Block E
				A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,300,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples*	SP	Mega Corp. Stock					X								X										X								P
			Simon & Schuster		Indefinite																	Royalties									X			
			1st Bank of Paducah, KY accounts						X									X										X						
			John N John Truckline Crowley LA								X												X											
			819 Northern Crowley LA				X										X									X								
			1108 11th Street Crowley LA			X											X									X								
			1224 Hwy 90 Crowley LA					X									X										X							
			1220 Hwy 90 Crowley LA				X										X										X							
			Evangeline Bank Crowley LA			X												X							X									

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Chris John

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	JOHN JOHN TRUST Crowley LA	X																			X											
	Big Dance Properties Crowley LA							X							X												X					
	Federal TST WASH DC				X											X							X									
	NY LIFE IRA Crowley LA			X										X									X									
	Kemper Fund Baton Rouge LA				X											X							X									
	Jones Cable Minneapolis MN			X																	X											
	Congressional Credit WASH DC		X													X							X									
SP	General Electric				X									X									X									
	Centaspan Corp Stock	X															X						X									
	Cnt Pointe Tech Inc Stock	X															X							X								
	Smith Micro Software Stock	X															X				X											
	Grey Wolf Inc Stock	X															X							X								
	2 Infinity Inc Stock		X																		X											
	Interdigital Comm Stock			X																	X											
	Independent Energy Stock	X																			X											
	MIPS Tech Inc Stock			X																	X											

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SCHEDULE IV — TRANSACTIONS

Name Chris John

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset		Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock		X			10–12–00		X								
	Centerspan Corp	Stock	X			1-13-00	X									
	En Pointe Tech Inc	Stock	X			1-19-00	X									
	Independent Energy	Stock	X			7-10-00	X									
	Interdigital Comm Corp	Stock	X			1-27-00	X									
	MIPS Tech Inc	Stock	X			5-2-00	X									
	2 Infinity Inc	Stock	X			7-13-00	X									
	Smith Micro Software	Stock	X			3-10-00	X									
	Centerspan Corp	Stock		X		3-17-00	X									
	En Pointe Tech Inc	Stock		X		3-20-00	X									
	Smith Micro Software	Stock		X		4-27-00	X									
	Grey Wolf Inc	Stock		X		4-27-00	X									

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Name Chris John

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Bank of Commerce Crowley LA	Business LOAN		X								
	Bank of Commerce Crowley LA	Personal LOAN	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Aspen Institute	JAN 28-29	WASH DC-Queenstown MD-Wash	Y	Y	Y	N
	Indigo Institute	March 31-April 3	Wash DC- Palm Springs CA-Wash DC	Y	Y	Y	N
	Democratic Leadership Council	April 28-30	Crowley LA- New Orleans-Crowley	Y	Y	Y	N
	Democratic Leadership Council	May 21-22	WASH DC- Poughkeepsie NY-WASH	Y	Y	N	N
	Lake Charles Memorial Hospital	Nov 9-12	Crowley La- Austin Tx- Crowley	Y	Y	Y	N
	The Beer Institute	Nov 20-22	Crowley LA- Orlando- Crowley	Y	Y	Y	N

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
	N/A

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
01 MAY 15 PM 4:58
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED

(9)

MC (Office Use Only)

Eddie Bernice Johnson
(Full Name)

2501 Cedar Springs Road, #550 — 214-922-8885
(Mailing Address) — Daytime Telephone:

Dallas, TX 75201

Filer Status	[X] Member of the U.S. House of Representatives — State: Texas District: 30	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [X]	No [ ]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	5/15/2001

2436

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keena State	Approved Taaching Faa	$6,000
	State of Maryland	Leglalativa Pansion	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of Texas	Legislative Pensior	$25,000.00
	Social Security	Social Security	14,900.00

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
N/A			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Eddie Bernice Johnson

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each* asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset												Block C: Type of Income						Block D: Amount of Income											Block E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:* SP Mega Corp Stock					X								X										X							P
	Examples: Simon & Schuster		Indefinite																	Royalties									X		
	Examples: 1st Bank of Paducah, KY accounts						X									X										X					
	Putnam Funds					X								X							N/A										
	Waterhouse Securities			X										X							N/A										
	Oppenheimer Funds			X										X							N/A										
	Texas Fed. Credit Union			X												X						X									
	U.S. Savings Bonds			X												X					N/A										
	EBJ & Associates	X																	X		N/A										
	Metronomics, Inc.	X																	X		N/A										

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Eddie Bernice Johnson

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	N/A																														

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SCHEDULE IV — TRANSACTIONS

Name Eddie Bernice Johnson

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
	Transferred from Southeland Life														
	Insurance			X											
	and							X							
	Mutual of America			X											
	to						X								
	Putnam Funds														

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	NA											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
N/A		

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Links Convention	July 6-9	St. Louis--San Francisco --Dallas	y	y	N	N
	New York Stock Exchange	June 15	Wash., DC -- NYC -- Wash., DC	Y	Y	Y -- Son	N
	Carib News	Nov. 9-12	Wash., DC -- Jamaica -- Wash., DC	Y	Y	N	N
	United Negro College Fund	Mar. 9-10	Wash., DC -- New York --Dallas	N	N	N	1 Day

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
N/A	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

GPO: 2001 70-729 (mac)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(8)

LEGISLATIVE RESOURCE CENTER

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MC

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

Nancy L Johnson
(Full Name)

2113 Rayburn HOB
(Mailing Address)

202-225-4476
Daytime Telephone

Washington DC 20515

Filer Status	☒ Member of the U.S. House of Representatives	State CT Distnct: 06	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Nancy L. Johnson	5/15/01

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	NONE		

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Excluda: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: Transaction P, S, E
SP, DC, JT	Examples: SP Mega Corp Stock					X								X										X							P
	Examples: Simon & Schuster		Indefinite																	Royalties								X			
	Examples: 1st Bank of Paducah, KY accounts						X									X									X						
	American Savings Bank IRA			X												X							X								
	Fidelity Balanced Fund IRA			X												X							X								
	Fidelity CT Tax free Hi Yield				X											X								X							
	Nuveen CT Premium Income muni				X									X										X							
	Fidelity Gov't Securities		X											X								X									

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nancy L Johnson

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Invesco Equit Income Fund			X										X			X					X										E
	Invesco Technol, Fund			X										X			X					X										E
	Stanley Works Stock						X							X											X							
	Vanguard Star Portfolio				X									X										X								
	Merrill Lynch IRA									X				X			X												X			
	LINDNER DIVIDEND FUND			X										X			X					X										E
	Vanguard Wellington Fund		X											X								X										
	Boudder International Fund IRA			X													X						X									
	Charles Schwab MM Fund				X											X								X								
	Vanguard Wellesley Fund													X										X								
	Vanguard Treas. MM Fund				X											X							X									
	Mutual Shares Fund IRA				X									X			X						X									
	First City Bank IRA			X												X							X									
	Fidelity Utilities Income Fd. IRA				X									X			X						X									
	Geist Assoc.				X										X									X								
	ICE Harbour Std Partner			X												X								X								
	Janus Flexible Inc. Fd.			X												X						X										
	Janus Twenty Fund.				X									X			X					X	X									
	Janus Worldwide Fund				X									X			X						X									
	Janus Growth & Inc. Fd. IRA			X										X			X						X									
	Vanguard Extended Mkt. Fund			X										X			X						X									

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nancy L Johnson

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Fidelity Equity Inc Fd. IRA			X										X			X						X									
	Fidelity Asset Manager IRA				X									X			X							X								
	Fidelity Midcap Stock IRA			X													X					X										
	Fidelity Global Balanced IRA			X										X								X										
	Fidelity Tax Exempt MM Fd.			X												X						X										
	Fidelity Balanced Fd			X													X					X										
	Fidelity Global Tech Fd				X												X						X									
	Fidelity Select Technol.			X													X						X									
	Fidelity Retirement Growth (NOW THE INDEPENDENCE Fd)			X													X						X									
	Vanguard Growth Index Fd			X													X					X										
	Nuveen Municip. Bond Fd			X												X								X								
	Nuveen Unit Invest. Trusts				X											X								X								
	Firstcity Bank Checking			X										X								X										
	Firstcity Bank Stock			X										X								X										
	Fidelity Growth + Inc. Fd			X												X							X									
	John Hancock Financial Services Stock			X										X								X										

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SCHEDULE IV — TRANSACTIONS

Name Nancy L Johnson | Page 6 of 6

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.		Type of Transaction			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset														
SP	*Example:* Maga Corporation Common Stock	X			10–12–00		X								
	Fidelity Retirement Growth Fd.			X	~~3/10/00~~ 3/10/00										
	From Fidel. Balanced Fd.			X	3/10/00	X									
	From Fidel Equity Inc. II			X	3/10/00	X									
	~~[illegible]~~														
	Invesco Indust Inc. Fd. To Invesco Tech II			X	3/10/00	X									
	John Hancock Financial Services Stock	X			2/1/00	X									
	(Demutualization compensation)				~~[illegible]~~	X									
	Vanguard Star Fd.		X		4/4/00										

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Name Nancy L Johnson | Page 7 of 7

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Deleware	Mortgage on 123 Main St., Dover, Del.				X						
	Nat'l Capital Bank	mortgage on 129 D St., Wash, DC			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

Name Nancy L Johnson | Page 8 of 8

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	National Governors Assoc.	July 4-July 10	CT- State College PA - CT	Y	N	Y	N
	Technet + mass. Keene Inc.	October 2	CT- MA - DC	N	Y	N	N
	Ripon Educational Fund	Nov. 24 - Dec 2	CT- Rome - CT	Y	Y	Y	N

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

RECEIVED
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HOUSE OF REPRESENTATIVES
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9

The Honorable Samuel Robert Johnson
(Full Name)

1030 Longworth House Office BUilding
(Mailing Address)

Washington, D.C. 20515

Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State: Texas District: 03	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Sam Johnson	5-15-01

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keens State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	None		

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to e charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
None			

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Name Samuel Robert Johnson

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp Stock				X								X										X								P
			Simon & Schuster		Indefinite																Royalties									X			
			1st Bank of Paducah, KY accounts					X									X										X						
JT	Book Royalties-TX A&M Press			Indefinite																	Royalties		X										
JT	Legacy Bank of Texas				X																		X										
JT	Congressional Federal Credit Union				X												X						X										
T	USAF- Disability-nontaxable																				Disability												

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Samuel Robert Johnson

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 - $1,000; C $1,001 - $15,000; D $15,001 - $50,000; E $50,001 - $100,000; F $100,001 - $250,000; G $250,001 - $500,000; H $500,001 - $1,000,000; I $1,000,001 - $5,000,000; J $5,000,001 - $25,000,000; K $25,000,001 - $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 - $200; III $201 - $1,000; IV $1,001 - $2,500; V $2,501 - $5,000; VI $5,001 - $15,000; VII $15,001 - $50,000; VIII $50,001 - $100,000; IX $100,001 - $1,000,000; X $1,000,001 - $5,000,000; XI Over $5,000,000	P, S, E
T	Social Security	Indefinite	Social Security	VI X	

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Name Samuel Robert Johnson

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
JT	Franklin Mint Coins	X			01-01-00 12-31-00	X									

Samuel

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	None											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
None		

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Department of the U.S. Army	4/20-4/28	Washington, D.C to				
	purpose to visit Texas		to Skopje, Macedonia				
	National Guard in Bosnia		to Pristina, Kosovo				
			to Zagneb, Croatia				
			to Tuzla, Bosnia returning to	paid			
			Washington, D.C.	per diem $205.50		N	see per diem

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Air Force Association
Board Member	Practical Parent
Board of Regents	Smithsonian Institution, Washington, D.C.
Board Member	Dedman College at SMU, Dallas, Texas
Board Member	Frontiers of Flight, Dallas, Texas
Advisory Board	Plano, Texas Chamber of Commerce
Stockholder, President	SRJ Designs- Activity has been dormant for over 3 years.

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 JUN 13 PM 5:19
MC
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Timoty Vincent Johnson
(Full Name)

413 Berringer Circle
(Mailing Address)

Urbana, Illinois 61802

Daytime Telephone
202-225-2371

Filer Status	[X] Member of the U.S. House of Representatives	State Illinois District 15th	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15) as extended	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** not applicable	Yes [ ]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.** not applicable	Yes [ ]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? none currently known	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Timothy Johnson	13 June 01

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Name	Timothy V. Johnson	Page 2 of 10

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Johnson, Frank, Frederick & Walsh	Partnership Share	$573,783
Illinois General Assembly	State Legislature Salary	$48,931

For payments to charity in lieu of honoraria, use Schedule II.

Name	Timothy V. Johnson	Page 3 of 10

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
None			

This page may be copied if more space is required.

Name Timothy V. Johnson

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

BLOCK A		BLOCK B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	BLOCK D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E: P, S, E
SP	SP Mega Corp Stock					X								X										X								P
DC, *Examples*	Simon & Schuster			Indefinite																Royalties								X				
JT	1st Bank of Paducah, KY accounts						X									X										X						
First National Bank of Ivesdale				X												X							X									
Longview State Bank				X												X							X									
First National Bank of Ogden				X												X							X									
Central Illinois Bank				X												X							X									
1st Mid-Illinois Bank & Trust				X												X							X									
Buckley State Bank				X												X							X									

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Timothy V. Johnson

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
SP. DC. JT	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P. S. E
1st National Bank of Homer			X												X							X								
BankIllinois			X												X							X								
Cissna Park State Bank			X												X							X								
Busey Bank			X												X							X								
Bank of Gibson City			X												X							X								
1st State Bank of Atwood			X												X							X								
1st State Bank of Arcola			X												X							X								
Dewey State Bank			X												X							X								
Gifford State Bank			X												X							X								
Villa Grove State Bank			X												X							X								
Bank of Rantoul			X												X							X								
Philo Exchange Bank			X												X							X								
Rantoul First Bank			X												X							X								
1st State Bank of Monticello			X												X							X								
Tuscola National Bank			X												X							X								
Farmers' Merchant Bank			X												X							X								
Fisher National Bank			X												X							X								
1st National Bank of Paxton			X												X							X								
2151 CR 1100 North, Sidney. Illinois - Home and Farm								X											Farm Income				X							
61-165 Iliohu, Hawaii								X					X																	

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Timothy V. Johnson

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
SP. DC. JT	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
Johnson, Frank, Frederick & Walsh - Law Firm (1/6 of value of assest)							X													X										
General Assembly Ret. Sys.					X														Pension	X										
Condominium (1/2 interest)				X									X										X							
Loan to Friends of Tim Johnson											X			X						X										

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name: Timothy V. Johnson

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–00		X								
	Sold 301 Flora, Champaign, Illinois							X							
	Purchased 406 Colorado, Urbana, Illinois							X							

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B	C	D	E	F	G	H	I	J	K
			$10,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	Central Finance Co., Champign, IL	Personal Loan	X									
	Busey Bank	Farm and Home Mortgage						X				
	Misc. accounts/credit cards/ etc.				X							
	Busey Bank	Personal Loan					X					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
N/A New Member		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
N/A New Member							

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	Johnson, Frank, Frederick & Walsh Law Firm

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
01/09/01	Johnson, Frank, Frederick & Walsh Buy-out from Law Firm Partnership	Cash Down Payment, 15 monthly payments and assumption of liability

GPO: 2001 70-729 (mac)

Use additional sheets if more space is required.

TIMOTHY V. JOHNSON
15TH DISTRICT, ILLINOIS

COMMITTEES
AGRICULTURE
SUBCOMMITTEE
GENERAL FARM COMMODITIES AND RISK MANAGEMENT

TRANSPORTATION AND INFRASTRUCTURE
SUBCOMMITTEES
AVIATION
HIGHWAYS AND TRANSIT

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House of Representatives
Washington, DC 20515–1315

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(815) 844–7860
☐ HEARTLAND CENTER
120 N. VERMILION
DANVILLE, IL 61832
(217) 431-8230
☐ 1 BRICKYARD DRIVE
SUITE 201
BLOOMINGTON, IL 61701

CHIEF OF STAFF
JEROME T. CLARKE
DISTRICT DIRECTOR
JEREMY CIRKS

✓ MC

June 14, 2001

Clerk of the House

Dear Clerk of the House,

SUBJECT: AMENDMENT TO FINANCIAL DISCLOSURE STATEMENT

Please amend my Financial Disclosure Statement for the Calendar Year 2000 with the following three changes:

1) Please change my name on the first page to read Timothy Vincent Johnson

2) On the second page of Schedule III, for the residence located at 61-165 Iliohu, in Hawaii, please list the amount of rent as between $15,000 and $50,000, column VII

3) On the third page of Schedule III, please change the amount of the loan listed under Loan to Friends of Tim Johnson from the K category to the G category as the loan was for $250,000

If there are any problems, please call me at (202) 225-2371. Thank you.

Sincerely,

Hon. Timothy V. Johnson
Member of Congress
Illinois, 15th District

PRINTED ON RECYCLED PAPER

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A For use by Members, officers, and employees

HAND DELIVERED

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2001 MAY 15 PM 5:05

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

7

Stephanie Tubbs Jones (Full Name)	
1516 Longworth House Office Building Washington, DC 20515 (Mailing Address)	(202) 225-7032 Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives — State: OH District: 11	☐ Officer Or Employee	Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☑ No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4. § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Stephanie Tubbs Jones	5/15/01

2473

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephanie Tubbs Jones

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Public Employees Retirement System	$100,001 - $250,000	NA	$50,001 - $100,000	
SP	495 Eddy Road Cleveland OH 44110	$50,001 - $100,000	Rent	$5,001 - $15,000	
SP	3606 East 147th Cleveland OH 44110	$50,001 - $100,000	RENT	$2,501 - $5,000	
JT	Jihad Filmworks	$1,001 - $15,000	NA	NONE	

SCHEDULE V - LIABILITIES

Name Stephenie Tubbs Jones

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	MBNA	Credit Card	$10,001 - $15,000
	Congressional Federal Credit Union	Credit Card	$10,001 - $15,000
SP	Superior Bank	Mortgage on 945 Eddy Road Property	$50,001 - $100,000
SP	Household Finance	Mortgage on 3606 E. 147th Street Property	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name: Stephanie Tubbs Jones

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
New York Stock Exchange and Merrill Lynch	January 22-25, 2000	Wash., DC - New York, NY - Wash., D.C.	Y	Y	Y	N/A
Congressional Black Caucus and Selma & Montgomery Convention and Visitors Bureau	March 4-5, 2000	Wash., DC - Birmingham, Montgomery, Selma, AL - Wash., DC	Y	Y	N	N/A
DCCC	March 20, 2000	Cleveland, OH - Chicago, IL - Cleveland, OH	N	Y	N	N/A
Amistad America	March 23-26, 2000	Wash., DC - Mystic, CT	Y	Y	Y	N/A
Cuyahoga County Democratic Party	March 26	Hartford, CT - Cleveland, OH	N	N	N	N/A
Delaware State University	April 10, 2000	Cleveland, OH -Dover, DE - Cleveland	N	Y	N	N/A
Congressional Study Group on Germany	April 17 - 20, 2000	Wash., DC - Niagara Falls - Buffalo - Wash., DC	Y	Y	N	N/A
Democratic Leadership Council	April 28-30. 2000	Wash., DC - New Orleans, LA - Wash., DC	Y	Y	Y	N/A
Humpty Dumpty Institute	June 15-18, 2000	Wash., DC - New York, NY	Y	Y	Y	N/A
National Association of Colored Women's Clubs	August 3-4, 2000	Cleveland, OH - Cincinnati, OH - Cleveland, OH	N	Y	N	N/A

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Stephanie Tubbs Jones

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member included? (Y/N)	Any time not at sponsor's expense
Caribe News	November 9 -12, 2000	Cleveland, OH - Montego Bay, Jamaica - Wash., DC	Y	Y	Y	N/A
Black Advisory Council on Social Security	November 17, 2000	Wash., DC - Dayton, OH - Cleveland, OH	N	N	N	N/A
Montgomery Improvement Association	December 1-3, 2000	Cleveland, OH - Montgomery, AL - Wash., DC	Y	N	N	N/A

SCHEDULE VIII - POSITIONS

Name Stephanie Tubbs Jones

Raport all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Bethany Baptist Church, Cleveland, Ohio
Trustee	Community Reentry Program/Partnership for a Safer Cleveland, Cleveland, Ohio
Member	Visiting Committee, Case Western Reserve University Law School
Trustee	Hawken School, Cleveland, Ohio

SCHEDULE IX - AGREEMENTS

Name Stephanie Tubbs Jones

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
January 2, 1999	Public Employees' Retirement System	Agreement permits Member to continue participation in existing pension plan from her former service as an employee of Cuyahoga County, Ohio

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A For use by Members, officers, and employees

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6

Walter Beaman Jones
(Full Name)

422 Cannon House Office Building Washington, DC 20515 — 202/225-3415
(Mailing Address) — Daytime Telephone

MC

Filer Status	☑ Member of the U.S. House of Representatives — State: NC District: 03	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Walter B. Jones	May 9, 2001

2480

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Walter Beaman Jones

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Bradford Money Fund	$1,001 - $15,000	Dividends	$1 - $200	
JT	Centura Bank	$1 - $1,000	Dividends	$1 - $200	
JT	Ford Motor Company	$1,001 - $15,000	Dividends	$1 - $200	
JT	Investors Title Company	$1,001 - $15,000	Dividends	$1 - $200	
JT	Merck & Company	$1,001 - $15,000	Dividends	$1 - $200	
JT	Nations Bank	$1,001 - $15,000	Dividends	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Walter Beaman Jones

BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see Instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Sara Lee	$1,001 - $15,000	Dividends	$1 - $200	
JT	Nucor	$1,001 - $15,000	Dividends	$1 - $200	
JT	Philip Morris	$1,001 - $15,000	Dividends	$201 - $1,000	
JT	BB&T	$50,001 - $100,000	Dividends	$201 - $1,000	
JT	Putman New Growth Opportunities	$50,001 - $100,000	Dividends	$201 - $1,000	
SP	Floyd Harrell, Rt. 4, Tarboro, NC 27886	$100,001 - $250,000	Rent	$5,001 - $15,000	E

2482

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Walter Beaman Jones

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependant child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Millie Peeden, Peeden Farms, Belvoir, NC	$100,001 - $250,000	Rent	$2,501 - $5,000	E
SP	Wallace James, Robersonville, NC	$100,001 - $250,000	Rent	$100,001 - $1,000,000	S

2483

SCHEDULE IV - TRANSACTIONS

Name Walter Beaman Jones

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Wallace James Farm, Robersonville, NC	S	2000	$100,001 - $250,000

SCHEDULE VIII - POSITIONS

Name	Walter Beaman Jones	Page 6 of 6

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	Eastern Carolina Vocational Center, Inc., Greenville, NC

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A For use by Members, officers, and employees

HAND DELIVERED

(6)

LEGISLATIVE RESOURCE CENTER

2001 MAY 14 AM 9:53

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

Paul E. Kanjorski
(Full Name)

103 South Hanover Street Nanticoke, PA 18634
(Mailing Address)

202-225-6511
Daytime Telephone

MC

Filer Status: ☑ Member of the U.S. House of Representatives — State PA, District: 11 ☐ Officer Or Employee — Employing Office

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date.

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☑ No ☐	
III.	Did you, your spouse, or a dependant child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependant child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependant child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependant child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependant child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Paul E. Kanjorski	May 11, 2001

2486

SCHEDULE II - PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

Name Paul E. Kanjorski

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Harvard University, Cambridge, MA	Speech	June 5, 2000	$850

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Paul E. Kanjorski

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Cross Valley Credit Union	$50,001 - $100,000	INTEREST	$2,501 - $5,000	NA
JT	IRA Equitable Life	$1,001 - $15,000	none	NONE	NA
JT	IRA Prudential Life Bache Securities, AM Capital Government Fund	$50,001 - $100,000	none	NONE	NA
	Real property -- 20+ acres 1/2 interest, Dallas Twp., Luzerne Co., PA	$250,001 - $500,000	none	NONE	NA
	Real property - 90+ acres, 1/5 interest, Wright Twp., Luzerne Co., PA	$500,001 - $1,000,000	none	NONE	NA

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Paul E. Kanjorski

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
1/10 interest, father's estate	$15,001 - $50,000	none	NONE	NA
1/5 interest, mother's estate	$15,001 - $50,000	none	NONE	NA
1/2 interest, 126 S. Franklin St., Wilkes-Barre, PA	$100,001 - $250,000	RENT	$15,001 - $50,000	NA
law office movable assets	$1,001 - $15,000	none	NONE	NA

2489

SCHEDULE V - LIABILITIES

Name Paul E. Kanjorski

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Guaranty National Bank	Mortgage on 1/2 interest in 126 S. Franklin St., Wilkes-Barre, PA	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Paul E. Kanjorski

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
US Assoc. of Former Members of Congress	April 17-21, 2000	Wash. DC --Niagra Falls, NY -- Wash., DC	Y	Y	Y	N/A
Vermont Credit Union League	May 5-6, 2000	Wash. DC -- Burlington, VT -- Phila., PA	Y	Y	N	N/A

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A For use by Members, officers, and employees

HAND DELIVERED (9)

LEGISLATIVE RESOURCE CENTER

2001 MAY 11 PM 4:50

OFFICE OF THE CLERK

HC

(Office Use Only)

Marcy (Marcia) C. Kaptur
(Full Name)

420 Madison, Ste 1250 Toledo, OH 43604
(Mailing Address)

419-259-7500
Daytime Telephone

Filer Status	✓ Member of the U.S. House of Representatives	State: OH District 09	☐ Officer Or Employee — Employing Office
Report Type	✓ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ✓ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ✓
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ✓ No ☐	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes ✓ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ✓ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ✓ No ☐
IV.	Did you, your spouse, or dependant child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ✓ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ✓
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ✓		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "exceptad trusts" need not be disclosed. Have you excluded from this raport details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ✓
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spousa or dependant child because they meet all three tests for exemption?	Yes ☐ No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4. § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Marcy (Marcia C.) Kaptur	5/10/01

2492

SCHEDULE I - EARNED INCOME

Name Marcy (Marcia) C Kaptur

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Congressional Quarterly Inc	Royalties for book writing (WOMEN OF CONGRESS)	$241

SCHEDULE II - PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

Name: Marcy (Marcia) C. Kaptur

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
The Employers Association	Speech	May 15, 2000	$1,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Marcy (Marcia) C. Kaptur

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
1854 Harlan Rd, Toledo, OH 43615	$50,001 - $100,000	Rent - property upkeep and maintenance resulted in a net loss of $2,462.00 in 2000.	$5,001 - $15,000	
Congressional Federal Credit Union	$1,001 - $15,000	Interest - funds accrued in this separate account are from foregone Congressional pay (raises) and are distributed annually to charitable causes	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Marcy (Marcia) C. Kaptur

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Standard Federal Bank	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Little Flower Credit Union	$1,001 - $15,000	INTEREST	$201 - $1,000	
	KeyBank	$1,001 - $15,000	INTEREST	$1 - $200	
	Charter One Bank	$50,001 - $100,000	INTEREST	$15,001 - $50,000	Certificate of Deposit matured and was redeposited
	U.S. Savings Bonds	$50,001 - $100,000	NA	NONE	

2496

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name: Marcy (Marcia) C. Kaptur

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Transamerica IRA	$15,001 - $50,000	NA	NONE	
Schwab	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	P

SCHEDULE IV - TRANSACTIONS

Name Marcy (Marcia) C. Kaptur

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Schwab Investment Account	P	1-13-2000	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Marcy (Marcia) C. Kaptur

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Harvard - Kennedy School of Government	Dec 7-8	Wash., DC - Boston - Toledo (for speech)	Y	Y	N	NA
American Chemical Society	Mar 26-27	Toledo - San Francisco - Wash., DC (for speech)	Y	Y	N	NA

SCHEDULE VIII - POSITIONS

Name Marcy (Marcia) C. Kaptur

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	The Anastasia Fund, PO Box 2121, Toledo, OH 43603 [a public charity established in the names of Anastasia and Stephen Kaptur]

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(5)

LEGISLATIVE RESOURCE CENTER
2001 MAY 14 AM 11:50
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC
(Office Use Only)

Richard Anthony Keller
(Full Name)

605 E. Robinson Street, Suite 650, Orlando, FL 32801 (407) 872-1962
(Mailing Address) Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State FL Distrct 08	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	R. A. Keller	5/11/01

2501

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Rumberger, Kirk & Caldwell, P.A.	Salary	$83,800

For payments to charity in lieu of honoraria, use Schedule II.

2502

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Richard A. Keller

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Block A	Block B	Block C	Block D	Block E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP	Mega Corp Stock	X	X	X	P
DC, *Examples:*	Simon & Schuster	Indefinite			
JT	1st Bank of Paducah, KY accounts	X	X	X	
JT	Oakmark Fund - Harris	X	X	X	
JT	N&B Partners Fund	X	X	X	
	Manulife Financial Retirement Plan/ Money Market	X	X	X	

For additional assets and unearned income, use next page.

2503

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Richard A. Keller

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	N/A																													

This page may be copied if more space is required.

2504

Name Richard A. Keller

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	GMAC Mortgage Corporation	Mortgage on 3510 Battersea Court, Orlando, FL				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
N/A		

Use additional sheets if more space is required.

2505

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 MAY 15 PM 3:19

Sue W. Kelly
(Full Name)

187 Jay Street
Katonah NY 10536
(Mailing Address)

Daytime Telephone 914-232-3744

MC HAND DELIVERED (13)
(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State: NY District: 19	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Sue Kelly	5.14.01

2506

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	William A Kerry + Co. Inc.	Spouse Salary	NA
	87 Bertino Rd Corp Kotonah Ny	Spouse Salary	NA
	Construction Industry Foundation, White Plains	Spouse Salary	NA
	LMC Corporation, Logan, Utah	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name Sue W Kelly | Page 3 of ___

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honoranum. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
~~Kelly~~ American Bankers Association	Speech	May 24, 2000	$1,000

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2508

Name Sue W Kelly | Page 1 of

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	BLOCK A Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp Stock					X								X										X								P
DC, *Examples*	Simon & Schuster		Indefinite																	Royalties								X				
JT	1st Bank of Paducah, KY accounts						X									X										X						
	Amer Home Prod					X								X										X								
	Amer Power Conv						X							X							X											
	Amer Int'l Gp					X								X								X										S
	Bank America					X								X											X							S
	BP Amoco						X							X											X							S
	Cisco Systems							X						X							X											

For additional assets and unearned income, use next page.

2509

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Sue W Kelly

Page 5 of ___

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Exxon-Mobil				X									X									X									
	Hartse-Hanes				X									X								X										5
	Entel						X							X								X										
	Smith & Line				X									X									X									
	State Street Bank						X							X										X								5
	Time Warner					X								X								X										
	Washington Mutual					X								X										X								
	DLJ Money Market						X									X										X						
	Intersol				X									X							X											
	Nuskin Enter				X									X							X											
	Texaco				X												X									X						
	Proxicom				X												X				X											P
	Elec. Pr Image				X												X				X											P S
	EMC				X												X				X											P
	101 N. Mojo MT Kisco								X						X												X					
	36 Anderson Rd Katonah							X							X											X						
	Cove Rd South Salem						X								X											X						
	10 Westview Ct S.S.						X								X											X						

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Sue W Kelly

Page 6 of ___

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Country Bank			X												X							X									
	Bank of New York				X											X								X								
	FDU Credit Union			X												X									X							
JT	Merrill Lynch Cash					X										X								X								
SP	Fleet Bank			X												X							X									E
SP	Union State Bank				X											X								X								E
SP	Washington Nat Bank			X												X							X									
SP	USAA Savings			X												X							X									
SP	Merrill Lynch Cash				X											X								X								
SP	KED Realty Kat Mall							X							X									X								
SP	1&2 Tucker Crossing							X						X							X											
SP	8 Waterview Ct SSI							X							X						X											
SP	Groton Bldg and Park								X						X											X						
SP	105 Bedford Rd Kat							X							X											X						
SP	87 Bedford Rd Kat							X							X											X						
SP	90-92 Maple Gr Kiso							X							X									X								P

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Sue W Kelly

Page 7 of ___

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK a Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	McDonalds				X									X									X									S
SP	Amer Home Products				X									X								X										
SP	Amgen Inc				X									X							X											
SP	Butler Mfg			X										X								X										
SP	General Electric				X									X								X										
SP	Home Homes				X									X								X										S
SP	Proxicim			X										X							X											S
SP	Freedom CMA		X													X						X										
SP	Amer Pmr Cmream			X										X							X											P
SP	EMC Corp				X									X							X											P
SP	Fed Nat Mtg			X										X							X											P S
SP	Fannie Mae				X									X									X									P
SP	Nokia Corp				X									X								X										P
SP	Riverstone Radiation							X							X												X					
SP	North Salem Center						X										X				X											
SP	Point Assoc - Pleasant	X													X						X											S
SP	Point Mamm Pleasant	X													X						X											S
SP	Health Care Properties							X							X						X											S
SP	W.A. Kelly + Co Inc								X								X				X											
SP	W.A. Kelly Lease Inc							X									X				X											

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Sue W Kelly

Page 8 of ___

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP	MISC LIFE INSURANCE	D: X	INSURANCE	I: X	
JT	LIFE INSURANCE on SPOUSE	D: X	INSURANCE	I: X	
JT	Invgs Insured + US Govt	D: X	INSURANCE	I: X	

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SCHEDULE IV — TRANSACTIONS

Name Sue W Kelly | Page 9 of ___

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
	ELECTRONICS FOR IMAGING	X			6/1/00		X								
	ELECTRONICS FOR IMAGING	X			8/09/00		X								
	PROXICOM, INC	X			8/24/00		X								
	PROXICOM, INC.	X			12/21/00	X									
	EMC, CORP	X			11/13/00		X								
	STATE STREET		X		2/3/00		X								
	BANK AMERICA		X		8/9/00		X								
	BP AMOCO		X		8/28/00	X									
	AMERICAN INT'L		X		7/31/00	X									
	HARTE-HANKS		X		11/13/00		X								
	ELECTRONICS FOR IMAGING		X		12/21/00	X									
SP	EMC CORP	X			11/13/00		X								
SP	PROXICOM, CORP	X			10/11/00	X									
SP	ELECTRONICS FOR IMAGING	X			10/11/00	X									
SP	NOKIA CORP	X			9/29/00		X								

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Name Sue W. Kelly | Page 10 of ___

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-00		X								
SP	American Power Conversion	X			8/21/00	X									
SP	Fannie Mae	X			7/21/00		X								
SP	Amgen	X			3/14/00		X								
SP	McDonalds		X		10/4/00	X									
SP	Harte Hanks		X		10/4/00	X									
SP	Electronics for Imaging		X		11/13/00	X									
SP	Proxicom		X		11/13/00	X									
SP	Harte Hanks		X		11/13/00	X									
SP	Fleet Bank Acct			X	Shared	X									
SP	Unith State Bank			X	Accounts	X									
SP	90-92 Maple Ave LLC	X			12/15/00					X					
SP	Point Associates - Parkset		X		12/26/00				X						
SP	Point Minnina - Parkset		X		12/26/00				X						
SP	Health Care Properties		X		12/30/00					X					

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Name Jim W Kelly | Page 1 of ___

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliences; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delewere	Mortgege on 123 Main St., Dover, Del.				X						
SP	MAHOPAC NAT BANK	Mortgage - Crom River Pond							X			
SP	UNION STATE Bank	Mortgage 87 Rockbrook Rd					X					
SP	Loan From E O Colley North Salem	Joint } Poughkeepsie						X				
SP	Loan From R. McCoy Pelham Mass	Mortgage } Hearth Cove Pkg						X				
SP	UNION STATE BANK	Mortgage - 90 Maple Ave				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NONE		

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples	Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Ziff-Davis Company	April 19-20	New York-Chicago-New York	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(11)

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 5:53
MC
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Mark Raymond Kennedy
(Full Name)

11426 County Road 13 SE
Watertown, MN 55388
(Mailing Address)

952-955-1882
Daytime Telephone

Filer Status	☒ Member of the U.S. House of Representatives	State Minnesota District Second	☐ Officer or Employee	Employing Office
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☒	No ☐

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Mark Kennedy	5/15/01

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Department 56, Inc. Eden Praire, MN	Wages/Consulting Fee	$53,823

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
None			

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Name Mark Raymond Kennedy

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A		Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	Examples: SP Mega Corp Stock					X								X										X								P
	Simon & Schuster			Indefinite																Royalties									X			
	1st Bank of Paducah, KY accounts						X									X										X						
	Dentis, Stock					X															X											
	Department 56, Stock				X																X											
	Fidelity Mutual Fund (MF)			X										X			X						X									
	Fidelity Cash Reserves MF						X							X								X										
	Fidelity Equity Income II MF				X									X			X									X						P
	Fidelity Municipal MF			X												X							X									

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Mark Raymond Kennedy

Page 4 of 16

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Fidelity Value MF				X									X			X				X										S
	First American MF	X												X										X							
	Janus Overseas MF				X									X			X									X					P
	Northwestern National Life Insurance			X												X							X								
	T. Rowe Price International MF					X								X			X									X					P
	Kennedy for Congress Loan						X									X								X							
DC	Federated Department Stores, Stock			X																	X										
DC	Shopko Stores Stock			X																	X										
DC	Vanguard GNMA MF					X								X											X						P
DC	Vanguard Federal Funds MF			X										X										X							
SP	Babson Enterprise II MF				X									X			X							X							P
SP	Fidelity Equity Income MF	X												X			X							X							S
SP	Fidelity MF						X							X			X										X				
SP	Fidelity Municapal MF		X													X								X							
SP	Janus Twenty MF					X								X			X								X						
JT	US Bank Checking				X											X							X								
JT	Land-Rented Acreage					X									X									X							
	located at 11426 Country Road 13 SE																														
	Watertown, MN 55388																														
	30 Acres unimproved land that lessee uses																														
	to raise crops (corn and soybeans)																														

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Mark Raymond Kennedy

Page 5 of 10

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction P, S, E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	
*	100,000 Options to Purchase Dept. 56 Stock at $36.31				
*	50,000 Options to Purchase Dept. 56 Stock at $20.50				
*	23,333 Options to Purchase Dept. 56 Stock at $21.47				
*	Value relative to Stock price of $11.50 at 12/31/00. Options expired on 1/28/01.				

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SCHEDULE IV — TRANSACTIONS

Name Mark Raymond Kennedy

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
	Dantis common Stock	X			5-22-00			X							
	Department 56, Inc														
	Compensation Exchange Plan	X	X		Various			X							
	- Pimco Long duration Fund														
	Department 56, Inc 401K Plan		X		12-00			X							
	Department 56, Inc Profit Sharing		X		12-00			X	X						
	Fidelity Cash Reserves Mutual Fund	X	X		Various				X						
	Fidelity Equity Income II Mutual Fund	X			Various										
	Fidelity Municipal Mutual Fund	X	X		Various	X									
	Fidelity Value	X	X		Various		X								
	First American Prime Obligations	X	X		Various			X							
	FDA - Mutual Fund														
	Janus Overseas Mutual Fund	X			Various	X									
	T. Rowe Price International Stock	X			12-13-00	X									
	Mutual Fund														
DC	Vanguard GNMA Mutual Fund	X			Various	X									

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SCHEDULE IV — TRANSACTIONS

Name Mark Raymond Kennedy | Page 7 of 10

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
DC	Vanguard Federal Funds Mutual Fund	X			Various	X									
SP	Babson Enterprise II Mutual Fund	X			Various	X									
SP	Fidelity Equity Income	X	X		Various		X								
SP	Fidelity Municipal Mutual Fund	X	X		Various			X							
SP	Fidelity Fund Mutual Fund	X			Various		X								
SP	Janus Twenty Mutual Fund	X			Various	X									

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
*	Chase Manhatten Mortgage Corp	Mortgage on 11426 Co. Rd 1336 Watertown, MN 55388										
*	US Bank	Home Equity Line on 11426 Co Rd 1336 Watertown, MN 55388										
*	The rented land listed on Schedule III is a part of 60 acres (30 acres are rented). Mr. Kennedy's un the 30 acres not rented.	a contiguous parcel totaling personal residence is located										

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
None		

Name Mark Raymond Kennedy | Page 9 of 10

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
None							

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Senior Vice President	Department 56, Inc.

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
12/29/99	Department 56, Inc.	Consulting agreement from 1/1/00 to 12/29/00.

GPO: 2001 - 70-729 (mac)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(2)

LEGISLATIVE RESOURCE CENTER
2001 MAY 30 AM 9:51
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

CONGRESSMAN MARK R. KENNEDY
(Full Name)

11426 COUNTY RD. 13 SE
(Mailing Address)

WATERTOWN, MN 55388

Daytime Telephone: 202-225-2331

Filer Status	[X] Member of the U.S. House of Representatives	State: MN District: 02	[ ] Officer or Employee	Employing Office:
Report Type	[ ] Annual (May 15)	[X] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [ ]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [ ]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [ ]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Mark Kennedy	5-29-01

2530

Name CONG. MARK R. KENNEDY | Page 2 of 2

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington Delaware	Mortgage on 123 Main St Dover, Del				x						
	CHASE MANHATTEN MORTGAGE CORP.	MORTGAGE ON 11826 CO RD 135E WATERTOWN, MN 55388				X						
	U.S. BANK	HOME EQUITY LINE ON ABOVE ADDRESS				X						

SCHEDULE VI — GIFTS

Report the source, a brief description and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule

Source	Description	Value
Example Mr Joseph H Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

01 MAY 15 PM 4:46

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A — For use by Members, officers, and employees

(8)

HAND DELIVERED

MK (Office Use Only)

Patrick J. Kennedy
(Full Name)

60 Hurdis Street Apt # 1302 North Providence, RI 02904
(Mailing Address)

(202) 225-4911
Daytime Telephone:

Filer Status: ☑ Member of the U.S. House of Representatives — State: RI — District: 01 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☑ No ☐
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Patrick Kennedy	5/15/01

2532

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Patrick J. Kennedy

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependant child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Citizens Trust Company Accounts, Providence, RI	$1,001 - $15,000	INTEREST	$1 - $200	
MFB Northern U.S. Government Money Market Fund Chicago, IL	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
Domini Social Index Fund (Mutual Fund) New York, NY	None disposed during 2000	CAPITAL GAINS	$2,501 - $5,000	S
Dreyfus Third Century Fund (Mutual Fund) New York, NY	None disposed during 2000	CAPITAL GAINS	$2,501 - $5,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Patrick J. Kennedy

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
T. Rowe Price Tax Free Income Fund (Mutual Fund) Baltimore, MD	None disposed during 2000	DIVIDENDS	$201 - $1,000	S
Vanguard International Equity Index Fund (Mutual Fund) Valley Forge, PA	None disposed during 2000	DIVIDENDS AND CAPITAL GAINS	$5,001 - $15,000	S
Vanguard Municipal Bond Fund (Mutual Fund) Valley Forge, PA	None disposed during 2000	DIVIDENDS	$201 - $1,000	S
Friends of Patrick J. Kennedy - Loan Receivable (RI State Rep.) (paid in full during 2000)	None	INTEREST	NONE	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Patrick J Kennedy

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
March 4, 1953 Trust, Rose F. Kennedy, Grantor, FBO Edward M. Kennedy, New York, NY		EXCEPTED TRUST	$201 - $1,000	
August 31, 1959 Trust, Edward M. Kennedy, Grantor, FBO Patrick J. Kennedy, New York, NY		EXCEPTED TRUST	$5,001 - $15,000	
Arctic Royalty Limited Partnership (a limited partnership owning oil and gas royalty interests) New York, NY	$50,001 - $100,000	PARTNERSHIP INCOME	$15,001 - $50,000	

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SCHEDULE IV - TRANSACTIONS

Name Patrick J. Kennedy

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Domini Social Index Fund (page 2, item 3)	S	3/27/00	$15,001 - $50,000
	Dreyfus Third Century Fund (page 2, item 4)	S	3/27/00	$15,001 - $50,000
	T. Rowe Price Tax Free Income Fund (page 3, item 1)	S	3/27/00	$50,001 - $100,000
	Vanguard International Equity Index Fund (page 3, item 2)	S	3/27/00	$50,001 - $100,000
	Vanguard Municipal Bond Fund (page 3, item 3)	S	3/27/00	$50,001 - $100,000

SCHEDULE V - LIABILITIES

Name Patrick J. Kennedy

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	American Express Company, New York, NY	Credit Card	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name Patrick J. Kennedy

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Associate Member	Battleship, Massachusetts
Corporate Member	Bradley Hospital
Chairman	Democratic Congressional Campaign Committee (Not current. Term ended during 2000)
Member, Honorary Advisory Board	March of Dimes - Rhode Island
Honorary Member	Mental Heatlh Association of Rhode Island
Member, Advisory Board	Big Brothers of Rhode Island
Member, Advisory Board	Providence Music School
Member, Advisory Board	Rhode Island Special Olympics
Associate Trustee	Joseph P. Kennedy, Jr. Foundation
Limited Partner	Arctic Royalty Limited Partership
Ex-Officio Trustee	Providence Performing Arts
Honorary Board Member	Recycling for Rhode Island Education

SCHEDULE VIII - POSITIONS

Name Patrick J. Kennedy

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Vice Chairman	Independent World Commission on the Oceans (Not current resigned during 2000)

2540

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

(6) 01 MAY 15 PM 5:47

OFFICE OF THE CLERK

MC HAND DELIVERED

(Office Use Only)

BRIAN KERNS
(Full Name)

8570 S STATE RD. 63 (812) 299 2798
(Mailing Address) Daytime Telephone

TERRE HAUTE INDIANA

Filer Status	[X] Member of the U.S. House of Representatives	State: IN District: 07	[ ] Officer or Employee — Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Brian Kerns	05/15/01

Name BRIAN KERNS

Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salery	NA
U.S. HOUSE OF REPRESENTATIVES	SALARY	24,705

For payments to charity in lieu of honoraria, use Schedule II.

2541

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: BRIAN KERNS

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset	Block C: Type of Income	Block D: Amount of Income	Block E: Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP	Mege Corp. Stock	X	X	X	P
DC, Examples:	Simon & Schustsr	Indefinite	Royalties	X	
JT	1st Bank of Paducah, KY accounts	X	X	X	
JT	FARM PROPERTY AT 8570 S STATE RD. 63	X	FARM INCOME	X	
JT	FARM PROPERTY AT 5638 W CR 1000 N.	X	FARM INCOME	X	
JT	PROPERTY LOCATED 8572 S. SR 63	X	X	X	
JT	PROPERTY AT 5638 W. CR 1000 N.	X	X	X	
JT	AT&T	X	X	X	
JT	LUCENT TECHNOLOGIES	X	X	X	

For additional assets and unearned income, use next page.

2542

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name BRIAN KERNS

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
JT	E TRADE GROUP	B	DIVIDENDS	II	
JT	OLD NATIONAL BANCORP	G	DIVIDENDS	V	
JT	OFFICIAL PAYMENTS CORP	C	DIVIDENDS	I	
JT	FTD COM	B	DIVIDENDS	I	P
JT	FIRST NATIONAL BANK & TRUST	C	INTEREST	III	

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2543

SCHEDULE IV — TRANSACTIONS

Name BRIAN KERNS

Page 1 of 1

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Maga Corporation Common Stock	X			10–12–00		X								
JT	OLD NATIONAL BANCORP		X		04/12/00				X						

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2544

Name BRIAN KERNS

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				x						
JT	FARM CREDIT SERVICES	MORTGAGE ON 5635 W CR 1000 N 47802		X								
JT	INDIANA STATE UNIVERSITY FCU	MORTGAGE ON 8570 · 8572 S. SR 63 47802				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

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LEGISLATIVE RESOURCE CENTER
2001 MAY 24 PM 5:04
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

SCHEDULE IV — TRANSACTIONS

Name BRIAN KERNS

Page 1 of 1

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock	X			10–12–00		X								
JT	OLD NATIONAL BANCORP		X		04/12/00				X						
JT	E-GROUP	X			01/05/00	X									
JT	E-GROUP		X		05/02/00	X									
JT	OFFICIAL PAYMENTS CORP	X			01/05/00	X									
JT	OFFICIAL PAYMENTS CORP		X		05/02/00	X									

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 MAY 15 PM 1:17

4

HAND DELIVERE

MC

(Office Use Only)

Dale Edward Kildee
(Full Name)

516 Kensington Ave
(Mailing Address)

202-225-3611
Daytime Telephone

Flint MI 48502

Filer Status	[X] Member of the U.S. House of Representatives	State / District	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ] No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X] No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Dale E Kildee	May 14, 2001

2547

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontano County Board of Education	Spouse Salary	NA
	Weichert, Realtors	Spouse commissions	NA
	Michigan Legislative Retirement System	Legislative Pension	$15,901.82
	" " " "	One time distribution of deferred pension benefits	$7,589.15

For payments to charity in lieu of honoraria, use Schedule II.

Name Dale Edward Kildee | Page 2 of 3

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

		Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E
SP		SP					X							X											X								P
DC	Examples			Indefinite																Royalties										X			
JT								X									X									X							
JT	First Union Bank					X											X					X											
JT	Congressional Fed. C.U.				X												X						X										
SP	Congressional Fed CU-IRA				X												X				NA												
SP	First Union Bank - SEP				X												X				NA												
	Salomon Smith Barney,							X									X									X							
	cash account *																																

* rollover from Michigan Legislative Retirement

For additional assets and unearned income, use next page.

2549

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreemant
1-1-77	State of Michigan and self	life and health insurance

GPO 2001 70-729 (mac)

Use additional sheets if more apace is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 4:22
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Carolyn Cheeks Kilpatrick
(Full Name)

7445 LaSalle Blvd.
(Mailing Address)

202/225-2261
Daytime Telephone

Detroit, MI 48206

Filer Status	[X] Member of the U.S. House of Representatives	State MI District 15 th	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Carolyn C. Kilpatrick	5-15-01

2551

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of Michigan (for services rendered prior to House employment not subject to outside earned income limit)	Deferred Compensation	$43,200.00

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
Senior Usher Board of Tabernacle Baptist Church	Speech	Feb. 19, 2000	$500.00
Womens Day Committee of Mt. Zion Missionary Church	Speech	July 15, 2000	$500.00
The State Farm Insurance Company Phenomenal Women Award	Appearance	Sept. 20, 2000	$500.00
Greater New Mt. Moriah Baptist Church	Speech	Oct. 15, 2000	$1000.00

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Name Kilpatrick

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp Stock					X								X										X								P
DC, JT	Examples: Simon & Schuster			Indefinite																Royalties								X				
JT	1st Bank of Paducah, KY accounts						X									X										X						
	Fidelity IRA					X										X									N/A							
	Congressional Federal Credit Union Savings Account				X											X							X									
	National City Bank			X												X						X										

For additional assets and unearned income, use next page.

2554

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C	N	N	N	N
Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Ford Lincoln Mercury Minority Dealers	Feb. 24-25	Detroit-San Diego-Detroit	Y	Y	Y	N
EBONY Publishing	Mar. 1-2	Washington-New York-Washington	Y	Y	N	N
Black Entertainment Television	May 4-7	Detroit-Las Vegas-Detroit	Y	Y	N	N
Annual CARIB News Multi-National Business Conference	Nov. 9-11	Detroit-Jamaica-Detroit	Y	Y	N	N

CAROLYN CHEEKS KILPATRICK
15TH DISTRICT, MICHIGAN
AT LARGE WHIP
COMMITTEE
APPROPRIATIONS
SUBCOMMITTEES
FOREIGN OPERATIONS, EXPORT FINANCING, AND RELATED PROGRAMS
TRANSPORTATION

WASHINGTON OFFICE
1610 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-2261
(FAX) (202) 225-5730

DISTRICT OFFICE
1274 LIBRARY STREET, SUITE 1-B
DETROIT, MICHIGAN 48226
(313) 965-9004
(FAX) (313) 965-9006

Congress of the United States
House of Representatives
Washington, DC 20515–2215

May 24, 2001

HAND DELIVERED

RECEIVED
01 MAY 24 PM 12:45

Mr Jeff Trandahl
Clerk of the House
H-154 Capitol
Washington, D C 20515

Re Amendment to calendar year 2000 Financial Disclosure

Dear Mr Trandahl

I am filing an amendment to my calendar year 2000 Financial Disclosure. My Chief of Staff was notified today, by telephone, that my Fidelity IRA listed on page four (4) of my Financial Disclosure, titled Schedule III - Assets and "unearned" income, should be itemized and listed by individual security for any investment over $1000.00 On my Disclosure, stamped 4 22 pm., May 15, 2001, I listed my Fidelity IRA in aggregate. The appropriate column ($50,000 - $100,000) for the value of the asset was marked

This letter serves to notify the Clerk of the House that I am filing and amendment to my original disclosure The attached amended Schedule III - Assets and "Unearned" income page has the itemized list of the individual securities held in my Fidelity IRA valued at $1,000 or more

For your convenience, I am including a copy of the previously filed page four (4) of my Financial Disclosure and the original amended page (4) to be attached to my original Financial Disclosure.

Sincerely,

Carolyn C. Kilpatrick

CAROLYN C KILPATRICK
Member of Congress

CCK kr

PRINTED ON RECYCLED PAPER

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

RECEIVED ... ER
MAY 24 PM 12:46

HAND DELIVERED
(Office Usa Only)

Carolyn Cheeks Kilpatrick
(Full Name)

7445 LaSalle Blvd.
Detroit, MI 48206
(Mailing Address)

202/225-2261
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State MI District: 15 th	[ ] Officer or Employee	Employing Office
Report Type	[ ] Annual (May 15)	[X] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Carolyn C Kilpatrick	6-25-01

2557

Name Kilpatrick

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B		Block C						Block D											Block E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Maga Corp Stock				X								X										X									P
			Simon & Schuster		Indefinite																Royalties								X					
			1st Bank of Paducah, KY accounts					X									X									X								
	FEDL HOME LN MTG CRP DEB					X																												
	FIDELITY DIVERSIFIED INTERNATIONAL				X																													
	FIDELITY MID CAP STOCK				X																													
	FIDELITY FUND				X																													
	FIDELITY AGGRESSIVE GROWTH				X																													
	FIDELITY OTC PORT				X																													

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Kirpatrick

Page 5 of 6

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	GENL MTRS ACCEP CORP SMART NOTE				X																										

This page may be copied if more space is required.

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Name Kilpatrick

Page 4 of 5

CHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

dentify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

COPY

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	Examples:	SP	Maga Corp. Stock					X							X										X								P
			Simon & Schuster		Indefinite																Royalties									X			
			1st Bank of Paducah, KY accounts					X									X										X						
	Fidelity IRA						X										X									N/A							
	Congressional Federal Credit Union Savings Account					Y											X							X									
	National City Bank				X												X						X										

For additional assets and unearned income, use next page.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 MAY 18 PM 12: 21

(6)
HAND DELIVERED
MC
(Office Use Only)

Ronald J. Kind
(Full Name)

3061 Edgewater Lane
(Mailing Address)

608-779-9750
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State Wisconsin District 3rd	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? N/A	Yes [ ]	No [ ]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Ron Kind	5/14/01

2561

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	US House of Representatives	Salary	140,917-
	State of Wisconsin - Director of State Courts	Spouse Salary	16,475-
	Self employed Court Reporter	Spouse Salary	500-

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Ronald J. Kind

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	Block E
SP, DC, JT	Examples																															
SP	Mega Corp Stock					X								X										X								P
	Simon & Schuster		Indefinite																	Royalties								X				
	1st Bank of Paducah KY accounts						X									X									X							
JT	Rental Property 219 Pearl Street - LaCrosse, WI.					X									X											X						
SP	Putnam Inv - IRA			X										X									X									
	Wis Def. Comp. Program				X									X											X							
SP	Wis Def Comp. Program				X									X											X							
	Investment Club - LaCrosse WI			X										X									X									
	Janus Fund IRA			X										X											X							

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Ronald J. Kirk

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
JT	Janus Funds	C	DIVIDENDS	III	P
SP	Roth IRA - Janus	C	DIVIDENDS	III	
	Roth IRA - Janus	C	DIVIDENDS	III	
	Govt Thrift Plan	D	DIVIDENDS	VI	

This page may be copied if more space is required.

Name Ronald J. Kind | Page 5 of 6

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delewere	Mortgage on 123 Main St., Dover, Del.				X						
JT	Wells Fargo Bank, LaCrosse, WI	Mortgage on Pearl Street LaCrosse, WI			X							

SCHEDULE VI — GIFTS None

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	Cooke Council on Alcohol, Section 501(c)(3) organization
Director	Boys and Girls Club, Section 501(c)(3) organization
Alumni Harvard Interviewer	Harvard University and Radcliffe University

SCHEDULE IX — AGREEMENTS N/A

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
01 MAY 11 AM 9:07
HOUSE OF REPRESENTATIVES

(4)

MC INSIDE M330
(Office Use Only)

Rep. Peter T. King
(Full Name)

1442 Roth Road
(Mailing Address)

Seaford, NY 11783

Daytime Telephone (202) 225-7896

Filer Status	[X] Member of the U.S. House of Representatives	State: New York Distrct 3rd	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Peter King	May 10, 2001

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Name Rep. Peter T. King	Page 2 of 4

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keane State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Nassau County, New York	Spouse Salary	NA
	State of New York	Pension	$38,422

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Rep. Peter T. King

Page 3 of 4

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A		Block B		Block C		Block D		Block E
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples* SP Mega Corp. Stock		Indefinite			X								X										X								P
	Simon & Schuster																			Royalties									X			
	1st Bank of Paducah, KY accounts						X									X										X						
Jt	Chase Bank				X											X							X									
Sp	New York State Pension Program				X																X											

For additional assets and unearned income, use next page.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	St. Patrick's Committee of Holyoke	Mar 18-19	NY - Massachusetts - NY	Y	Y	N	N
	Friends of Sinn Fein	Apr 6-10	NJ - Dublin, Ireland - NY	Y	Y	Y	N

74-034 2001 - 20

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(11)

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 4:48
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

MC

2571

Jack Kingston
(Full Name)

1034 Longworth HOB Washington, DC 20515
(Mailing Address)

202/225-5831
Daytime Telephone

Filer Status: ✓ Member of the U.S. House of Representatives — State: GA — District: 01 — Officer Or Employee — Employing Office:

Report Type: ✓ Annual (May 15) — Amendment — Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ☐ No ✓
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes ✓ No ☐
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ✓ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ✓ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes ✓ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? — Yes ☐ No ✓
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? — Yes ✓ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ☐ No ✓
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes ✓ No ☐
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes ☐ No ✓

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes ☐ No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Jack Kingston	5-15-01

SCHEDULE II - PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

Name Jack Kingston

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Politically Incorrect	TV Show	Jan 18, 2000	$500
Politically Incorrect	TV Show	Aug 5,2000	$500

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jack Kingston

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Palmer & Cay/Carswell Stock	$1,000,001 - $5,000,000	Capital Gains and Dividends	$100,001 - $1,000,000	S
Courtland Reserves MMA	$1,001 - $15,000	Interest	$201 - $1,000	
Imperial Holly	$1,001 - $15,000	Dividends	$201 - $1,000	
111 Jacqueline Dr/Savannah, GA	$50,001 - $100,000	Rent	$5,001 - $15,000	
5 Island Drive/Savannah, GA	$100,001 - $250,000	Rent	$5,001 - $15,000	
8424 Ferguson Ave/Savannah, GA	$15,001 - $50,000	Rent	$1,001 - $2,500	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jack Kingston

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
108 18th St/Tybee, GA	$100,001 - $250,000	Rent	$5,001 - $15,000	
American Capitol Venture IRA	$15,001 - $50,000	Interest	NONE	
American Capitol Venture IRA	$15,001 - $50,000	Interest	NONE	
Roswell, GA G/O Dtd 6/1/88	$15,001 - $50,000	Interest	$201 - $1,000	
Gwinnett City, GA School District	$15,001 - $50,000	Interest	$201 - $1,000	
Putnam Municipal Stocks	$1,001 - $15,000	Interest	$201 - $1,000	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jack Kingston

BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Cats Series S Zero Coupon Govt Bond Corporate Bonds	$1,001 - $15,000	Interest	$201 - $1,000	
Strips-TINT Govt Securities	$1,001 - $15,000	Interest	$201 - $1,000	
Europe Fund, Inc. Stock Fund Merrill Lynch	$1,001 - $15,000	Dividends	$201 - $1,000	
CATS Zero Coupon Bonds/M Lynch	$1,001 - $15,000	Interest	$201 - $1,000	
Global Income Fund-Bond (M Lynch)	$1,001 - $15,000	Interest	$201 - $1,000	
CATS Series A Coupon (I Johnson)	$15,001 - $50,000	Interest	$201 - $1,000	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jack Kingston

BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
TIGER Coupon Book	$1,001 - $15,000	Interest	$201 - $1,000	
Franklin Custodian Utility (Stock Mutual Fund-M Lynch)	$1,001 - $15,000	Dividends	$201 - $1,000	
CATS Zero Coupon Bonds	$1,001 - $15,000	Interest	NONE	
State of GA Retirement Plan	$1,001 - $15,000	N/A	NONE	
Palmer & Cay Carswell 401K	$250,001 - $500,000	Interest	NONE	
GA Develop Authority Municipal Bond-Marietta, GA	$1,001 - $15,000	Interest	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jack Kingston

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e , one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left	at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None "	If other than one of the listed categories, specify the type of income by writing a brief description in this block (For example: Partnership Income or Farm Income)	For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income	Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
STRIPS Zero Coupon Govt Bond	$1,001 - $15,000	Interest	NONE	
CATS Zero Coupon Bond	$1,001 - $15,000	Interest	$201 - $1,000	

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SCHEDULE IV - TRANSACTIONS

Name Jack Kingston

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Palmer & Cay Carswell Stock	S	6/28/00	$250,001 - $500,000

SCHEDULE V - LIABILITIES

Name Jack Kingston

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Mr Newman Hall, Madison, TN	Mortgage 111 Jacqueline Dr/Savannah, GA	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Jack Kingston

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Politically Incorrect	1/18-19	Savh, GA--Los Angeles and return	Y	Y	N	None
Nat'l Meat Assn	2/18-20	Savh, GA--San Francisco	Y	Y	Y	None
Politically Incorrect	8/4	Philadelphia, PA--Los Angeles--Denver, CO	Y	N	Y	None

SCHEDULE IX - AGREEMENTS

Name	Jack Kingston	Page 11 of 11

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1/5/93	J Kingston & State of GA	Health Care Plan

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

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U.S. HOUSE OF REPRESENTATIVES

MC

(Office Use Only)

Jack Kingston
(Full Name)

1034 Longworth HOB Washington, DC 20515
(Mailing Address)

202/225-5831
Daytime Telephone

Filer Status ☑ Member of the U.S. House of Representatives State: GA District: 01 ☐ Officer Or Employee Employing Office.

Report Type ☐ Annual (May 15) ☑ Amendment ☐ Termination Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☑ No ☐
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jack Kingston	5-30-01

2582

SCHEDULE IV - TRANSACTIONS

Name Jack Kingston

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Palmer & Cay Carswell Stock	S	7/6/00	$15,001 - $50,000

JACK KINGSTON
1st District, Georgia

WASHINGTON OFFICE
1034 Longworth Building
Washington, DC 20515
(202) 225-5831
(202) 226-2269 FAX

BRUNSWICK OFFICE
Federal Building, Room 304
805 Gloucester Street
Brunswick, GA 31520
(912) 265-9010
(912) 265-9013 FAX

Congress of the United States
House of Representatives

May 30, 2001

Committee On Appropriations

SAVANNAH OFFICE
The Enterprise Building
6605 Abercorn St., Suite 102
Savannah, GA 31405
(912) 352-0101
(912) 352-0105 FAX

STATESBORO OFFICE
Federal Building, Room 220
Statesboro, GA 30458
(912) 489-8797
(912) 764-8549 FAX

2001 MAY 31 AM 9:59
LEGISLATIVE RESOURCE CENTER
U.S. HOUSE OF REPRESENTATIVES
INSIDE MAIL
MC

Clerk of the House
H154, The Capitol
Washington DC 20515

To Whom It Concerns:

Attached is an amended Financial Disclosure. Schedule IV shows the correct date and amount of transaction for the year 2000.

I have filed the original amendment with the Legislative Resource Center.

Sincerely,

Jack Kingston

Jack Kingston
Member of Congress

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

INSIDE MAIL
LEGISLATIVE RESOURCE CENTER
2001 MAY 31 AM 9:59
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Jack Kingston
(Full Name)

1034 Longworth HOB Washington, DC 20515
(Mailing Address)

202/225-5831
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives	State: GA District: 01	☐ Officer Or Employee — Employing Office
Report Type	☐ Annual (May 15) ☑ Amendment	☐ Termination	Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No
If yes, complete and attach Schedule III.

IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No
If yes, complete and attach Schedule IV

V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No
If yes, complete and attach Schedule V

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $280 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX Did you have any reportable agreement or arrangement with an outside entity? Yes ☑ No ☐
If yes, complete and attach Schedule IX

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

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Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jack Kingston	5-30-01

2585

SCHEDULE IV - TRANSACTIONS

Name Jack Kingston

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Palmer & Cay Carswell Stock	S	7/6/00	$15,001 - $50,000

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

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2001 MAY 16 PM 4:35
(7) OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC INSIDE MAIL
(Office Use Only)

MARK STEVEN KIRK
(Full Name)

Apt 2-R 512 5th STREET
(Mailing Address)

Wilmette Il 60091 — Daytime Telephone (202) 225-4835

Filer Status	[X] Member of the U.S. House of Representatives — State 10 District Ill.	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you, your spouse, or a dependent child hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

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This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Mark Kirk	5/15/01

2587

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples.	Keene State	Approved Teaching Fee	$6,000
	State of Marylend	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Boerd of Education	Spouse Salary	NA
	U.S. NAVY	SALARY	7,000

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
10th District Republican Women's Club	Speech	Nov. 15, 2000	$500

This page may be copied if more space is required.

Name Mark Kiev

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Block C: Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
SP					
DC, JT	Examples				
SP	Mega Corp. Stock	E	DIVIDENDS	IV	P
DC	Simon & Schuster	Indefinite	Royalties	IX	
JT	1st Bank of Paducah, KY accounts	F	INTEREST	VI	
	MET LIFE Policy	C	DIVIDENDS, CAPITAL GAINS	NA	
	FIDELITY IRA	C	DIVIDENDS, CAPITAL GAINS	NA	

For additional assets and unearned income, use next page.

2590

SCHEDULE IV — TRANSACTIONS

Name MARK KIRK

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
	FIDELITY STOCK INDEX		X		3-3-00	X									
	RENT FROM DC PROPERTY			X	2000		X								

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	Countrywide Mortgage	Mortgage on 1031 Papermill Ct. NW				X						
	Law School Loans – PHEAA	Law loans		X								
	Wright-Patman FCU	Car loan		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source		Description	Value
Example	Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Population Resource Center - UNPAID

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

LEGISLATIVE RESOURCE CENTER
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U.S. HOUSE OF REPRESENTATIVES
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HC (Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A

For use by Members, officers, and employees

Gerald D. Kleczka
(Full Name)

3150A South 12th St Milwaukee, WI 53215
(Mailing Address)

414-297-1140
Daytime Telephone:

Filer Status ✓ Member of the U.S. House of Representatives — State: WI — District: 4 ☐ Officer Or Employee — Employing Office:

Report Type ✓ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

33

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ✓ No ☐
If yes, complete and attach Schedule I.

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If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ✓ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ✓ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ✓
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? Yes ☐ No ✓
If yes, complete and attach Schedule VI.

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Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ✓

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Gerald D. Kleczka	5/9/2001

2594

SCHEDULE I - EARNED INCOME

Nam Gerald D. Kleczka

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
George Washington Medical Faculty Associates, Inc.	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNE

Nam Gerald D. Kleczka

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Lincoln Savings Bank, Milwaukee	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Congressional Fed Credit Union, DC	$1,001 - $15,000	INTEREST	$1 - $200	
JT	North Shore Bank, Milwaukee	$1,001 - $15,000	INTEREST	$1 - $200	
	Congressional Fed Credit Union, DC	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Dean Witter IRA (attached)	None	DIVIDENDS	$1 - $200	S
	Lowes Co.,Stock	None	DIVIDENDS	$1 - $200	S

2596

SCHEDULE III - ASSETS AND "UNE

Nam Gerald D. Kleczka

BLOCK A

Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B

Year-End Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."

BLOCK C

Type of Income

If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)

BLOCK D

Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E

Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Dean Witter Nicholas Applegate Capital Managemant (attached)	$50,001 - $100,000	CAPITAL GAINS/DIVIDENDS	$15,001 - $50,000
	Dean Witter Acitve Assets Acct	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500
	Vanguard Index Trust	$15,001 - $50,000	CAPITAL GAINS/DIVIDENDS	$1 - $200
SP	Legg Mason Value Trust	$15,001 - $50,000	CAPITAL GAINS/DIVIDENDS	$2,501 - $5,000
	Marshall & Ilsely Bank Stock	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000

2597

SCHEDULE III - ASSETS AND "UNE

Nam Gerald D. Kleczka

BLOCK A

Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year.

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BLOCK B

Year-End Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."

BLOCK C

Type of Income

If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)

BLOCK D

Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E

Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Kohls Stock	$1,001 - $15,000	DIVIDENDS	NONE	
SP	Marisco Growth & Income Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	Walgreen Co.,Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Harley Davidson Inc., Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Wisconsin Retirement System	$100,001 - $250,000	INTEREST	NA	NA
SP	TIAA CREFF Retire Acct.	$50,001 - $100,000	INTEREST	NA	NA

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SCHEDULE III - ASSETS AND "UNE

Nam Gerald D. Kleczka

BLOCK A

Asset and/or Income Source

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BLOCK B

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at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."

BLOCK C

Type of Income

If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)

BLOCK D

Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E

Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Merrill Lynch CMA Account-Stocks (attached)	None	INTEREST	$1 - $200	E1
Dell Computer Stock	None	DIVIDENDS	NONE	S
Firstar Corp., Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S
Home Depot, Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Oracle Corp., Stock	$1,001 - $15,000	DIVIDENDS	NONE	
Stamps.com, Stock	None	DIVIDENDS	NONE	S

2599

SCHEDULE III - ASSETS AND "UNE

Nam Gerald D. Kleczka

BLOCK A

Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year.

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BLOCK D

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BLOCK E

Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP	Janus Growth & Income Fund IRA	$15,001 - $50,000	CAPITAL GAINS/DIVIDENDS	NA	
	Janus Twenty Fund, IRA	$100,001 - $250,000	CAPITAL GAINS/DIVIDENDS	NA	
	Janus Global Tech Fund	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$1 - $200	
	General Electric Co., Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	America Online Inc., Stock	$1,001 - $15,000	DIVIDENDS	NONE	E2

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SCHEDULE III - ASSETS AND "UNE

Nam Gerald D Kleczka

2601

BLOCK A

Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year.

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Amount of Income

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BLOCK E

Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Cisco Systems, Inc., Stock	$1,001 - $15,000	DIVIDENDS	NONE	E2
Microsoft Corp., Stock	$1,001 - $15,000	DIVIDENDS	NONE	E2
Oracle Corp., Stock	$1,001 - $15,000	DIVIDENDS	NONE	E2
Worldcom Inc., Stock	None	DIVIDENDS	NONE	E2/S
Yahoo Inc., Stock	None	DIVIDENDS	NONE	E2/S
Janus Stratvalue Fund	$50,001 - $100,000	CAPITAL GAINS/DIVIDENDS	NONE	P

SCHEDULE III - ASSETS AND "UNE

Nam Gerald D. Kleczka

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your apouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP Janus Growth & Income Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P

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SCHEDULE IV - TRANSACTIONS

Nam Gerald D. Kleczka

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Dean Witter IRA	S	7/31/00	$1,001 - $15,000
	Dell Computer Stock	S	1/10/00 12/22/00	$1,001 - $15,000
	Firstar Corp., Stock	S	12/22/00	$1,001 - $15,000
	Stamps.com Stock	S	12/22/00	$1-$1000
	General Electric Co., Stock	P	1/10/00	$1,001 - $15,000
	Worldcom Inc., Stock	S	12/22/00	$1,001 - $15,000
	Yahoo Inc., Stock	S	12/22/00	$1,001 - $15,000
	Janus Stratvalue Fund	P	2/28/00	$50,001 - $100,000
SP	Janus Growth & Income Fund	P	1/7/00	$1,001 - $15,000
	Lowes Co., Stock	S	1/10/00	$1,001 - $15,000
	Merrill Lynch CMA	E3	10/27/00	$15,001 - $50,000

FOOTNOTES

Nam Gerald D. Kleczka

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Rolled over to Dean Witter Account	Merrill Lynch CMA Account-Stocks (attached)
2	Schedule III	Transferred from Merrill Lynch CMA and sold	Yahoo Inc., Stock
2	Schedule III	Transferred from Merrill Lynch and sold	Worldcom Inc., Stock
2	Schedule III	Transferred from Merrill Lynch CMA	Oracle Corp., Stock
2	Schedule III	Transferred from Merrill Lynch CMA	Microsoft Corp., Stock
2	Schedule III	Transferred from Merrill Lynch CMA	Cisco Systems, Inc., Stock
2	Schedule III	Transferred from Merrill Lynch CMA	America Online Inc., Stock
3	Schedule IV	Transferred to Dean Witter Account	Merrill Lynch CMA

Your Financial Advisor
MR PEAR/MR HANDO
MORGAN STANLEY DEAN WITTER
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-8000

Account Number 842 127434 FA 022

Date of Birth September 21, 1953

BONNIE L KLECZKA
4200 CHRISTINE PLACE
ALEXANDRIA VA 22311 1109

IRA Rollover
Dated 01/27/97

Asset Summary

	Value	% of Assets
Money Market Funds	$0.00	0.0%
Stocks	0.00	0.0
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$0.00	100.0%
Cash	0.00	
Total Asset Value	$0.00	

Income Summary

	This Month	Year-To-Date
Money Market Funds	$33.23	$40.59
Stocks	3.00	8.50
Municipal Bonds	0.00	0.00
Corporate Fixed Income	0.00	0.00
Government Securities	0.00	0.00
Mutual Funds	0.00	0.00
Unit Investment Trusts	0.00	0.00
Certificates of Deposit	0.00	0.00
Other	0.00	0.00
Total Income	$36.23	$49.09

Activity Summary

Total Asset Value June 30 2000		$9,841.60
Cash/Money Market Activity for July		
Closing Balance 6/30	$268.29	
Credits To Your Account		
Dividends and Interest	36.23	
Deposits	0.00	
Sales Proceeds/Redemptions	9,647.84	
Other Credits	0.00	
Total Credits	9,684.07	
Debits To Your Account		
Withdrawals	-9,902.36	
Funds to Purchase Securities	0.00	
Other Debits	-50.00	
Total Debits	-9,952.36	
Closing Balance 7/31	0.00	
Net Change Cash/Money Market Activity		-268.29
Changes in Asset Value for July		
Value of Priced Assets 6/30	$9,573.31	
Securities Bought	0.00	
Securities Received	0.00	
Securities Sold/Redeemed	-9,647.84	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change in Value of Priced Assets	74.53	
Value of Priced Assets 7/31	0.00	
Net Change In Asset Value		-9,573.31
Total Asset Value as of July 31 2000		$0.00

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BONNIE L KLECZKA
4200 CHRISTINE PLACE

Account Number FA
642 127434 022

ASSET DETAILS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
CASH	.00	---	---
Total Cash and Money Market Funds	$.00		0

Net Change Since Last Statement -268.29

Annualized 30 Day Yields	
MSDW Liquid Asset Fund	6.09%
MSDW US Gvt Mymkt	5.78%

Asset Summary	Value	Est Yrly Income
Total Asset Value	$0.00	0
Total Net Change In Priced Assets Since Last Statement	-9,573.31	

CREDITS TO YOUR ACCOUNT

Dividends and Interest

Date	Activity	Description	Amount	Income Category
07-10	Dividend	WAL MART STORES INC	3.00	Stocks
07-25	Dividend	MSDW LIQUID ASSET FUND	33.23	Money Market Funds
		Total Dividends and Interest	36.23	

See Income Summary on page 1 for the month-to-date and year-to-date amounts per income category.

Sales Proceeds/Redemptions

Date	Activity	Quantity	Description	Price	Amount	Additional Information
07-03	Sold	200	LASER VISION CTRS	6 3/4	1,317.60	PREFERENTIAL RATE CLIENT LONG IN ACCOUNT

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BONNIE L KLECZKA
4200 CHRISTINE PLACE

Account Number 642 127434 FA 022

Sales Proceeds/Redemptions

Date	Activity	Quantity	Description	Price	Amount	Additional Information	
07-03	Sold	100	VARI-L CO INC	13 23/32	1,339.48	PREFERENTIAL RATE CLIENT LONG IN ACCOUNT	
07-03	Sold	64.291	MFS EMERGING GROWTH FD B	66.5600	4,198.21	CONFIRM NBR 00201798Z	
07-03	Sold	50	WAL MART STORES INC	56 1/2	2,792.55	PREFERENTIAL RATE CLIENT LONG IN ACCOUNT	
			Total Sales Proceeds/Redemptions		$9,647.84	Year-To-Date	$9,647.84
	Total Credits To Your Account				$9,684.07	Year-To-Date	$9,696.93

DEBITS TO YOUR ACCOUNT

Withdrawal

Date	Activity	Description	Amount	Additional Information	
07-31	Check	FUNDS PAID	9,902.36		
		Total Withdrawals	-9,902.36	Year-To-Date	-9,902.36

Other Debits

Date	Activity	Description	Amount	Additional Information	
07-24	Charge	TERMINATION FEE	-50.00		
		Total Other Debits	-50.00	Year-To-Date	-80.08
	Total Debits To Your Account		-9,952.36	Year-To-Date	-9,982.36

ADDITIONAL ACCOUNT INFORMATION

Money Market

Date	Activity	Description	Amount	Additional Information
		Closing Balance 6/30	268.29	
07-05	Automatic Investment	MSDW LIQUID ASSET FUND	9,647.84	
07-11	Automatic Investment	MSDW LIQUID ASSET FUND	3.00	
07-25	Dividend Reinvestment	MSDW LIQUID ASSET FUND	33.23	
07-26	Automatic Redemption	MSDW LIQUID ASSET FUND	-9,952.36	
		Closing Balance 7/31	0.00	

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MORGAN STANLEY DEAN WITTER

ACTIVE ASSETS ACCOUNT®

FOR YEAR ENDING DECEMBER 31, 2000

Your Financial Advisor
PAUL AKRE
SENIOR VICE PRESIDENT - INVESTMENTS
MORGAN STANLEY DEAN WITTER
1200 NORTH MAYFAIR RD SUITE 400
WAUWATOSA, WI 53226
(414) 256-2300

Account Number 312 042183 FA 108

AAA Customer Service 1-800-869-3326

THE HONORABLE GERALD D KLECZKA 000381
ACCESS #1-NICHOLAS-APPLEGATE
4200 CHRISTINE PLACE 312
ALEXANDRIA VA 22311 1109

Asset Summary

	Value	% of Assets
Money Market Funds	$48,916.59	50.2%
Stocks	48,502.21	49.8
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$97,418.80	100.0%
Cash	26.10	
Total Asset Value	$97,444.90	

Income Summary

	Year To Date
Money Market Funds	$465.51
Stocks	117.36
Municipal Bonds	0.00
Corporate Fixed Income	0.00
Government Securities	0.00
Mutual Funds	0.00
Unit Investment Trusts	0.00
Certificates of Deposit	0.00
Other	0.00
Total Income	$582.87
Taxable Income	$582.87
Tax Exempt Income	$0.00

Activity Summary

Total Asset Value January 01, 2000		$144,812.67
Cash/Money Market Activity for 2000		
Credits To Your Account		
Dividend and Interest	582.87	
Deposits	0.00	
Sales Proceeds/Redemptions	270,767.07	
Other Credits	1,239.77	
Total Credits	272,589.71	
Debits To Your Account		
Checking	0.00	
Debit Card	0.00	
Withdrawals	-52,900.00	
Funds to Purchase Securities	-172,384.45	
Other Debits	-2,885.61	
Total Debits	-228,170.06	

Changes in Asset Value for 2000		
Value of Priced Assets 1/01/00	$138,401.65	
Securities Bought	172,384.45	
Securities Received	111,725.55	
Securities Sold/Redeemed	-270,767.07	
Securities Delivered	-111,725.55	
Transactions at Fund Company	0.00	
Change in Value of Priced Assets	8,483.18	
Value of Priced Assets 12/31/00	48,502.21	
Net Change In Asset Value		-89,899.44
Total Asset Value as of December 31 2000		$97,444.90

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THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number 312 042183 FA 108

ASSET DETAILS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
CASH	26.10	---	---
ACTIVE ASSETS INSURED ACCOUNT	48,916.59	50.2%	2,866
Total Cash and Money Market Funds	$48,942.69	50.2%	$2,866

Net Change Since January 1, 2000 $44,419.65

Annualized 30 Day Yields	
Active Assets Money Trust	6.19%
Active Assets Govt. Sec. Tr.	6.03%
Active Assets California Tax Free	5.02%
Active Assets Tax Free Trust	3.68%
Active Assets Insured Account	5.86%
Active Assets Deposit Account	5.86%

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
14	AES CORP	55.37500	775.25	0.8%	0	0.00%	AES	
21	ALLERGAN INC	96.81250	2,033.06	2.1%	6	.33%	AGN	Next Dividend Payable 03/01
40	ALZA CP COM	42.50000	1,700.00	1.8%	0	0.00%	AZA	
22	ANDRX CP-ANDRX GR S1	57.87500	1,273.25	1.4%	0	0.00%	ADRX	
30	APPLERA CP-APPLIED BIOSYS GP	94.06250	2,821.87	2.9%	5	.18%	ABI	
62	BAKER HUGHES INC	41.56250	2,576.87	2.7%	28	1.10%	BHI	Next Dividend Payable 02/01
22	BEA SYSTEMS INC	67.31250	1,480.87	1.6%	0	0.00%	BEAS	
11	BROCADE	91.81250	1,009.93	1.0%	0	0.00%	BRCD	
12	CABLEVISION SYSTEMS CP	84.93750	1,019.25	1.0%	0	0.00%	CVC	
56	CALPINE CORP	45.06250	2,523.50	2.6%	0	0.00%	CPN	
26	CAPITAL ONE FINANCIAL CORP	65.81250	1,711.12	1.8%	2	.16%	COF	Next Dividend Payable 02/01
92	CHARTER COMM CLASS A	22.68750	2,087.25	2.1%	0	0.00%	CHTR	
12	CHECK POINT SOFTWARE TECH LTD	133.56250	1,602.75	1.6%	0	0.00%	CHKP	
23	CHUBB CP	86.50000	1,989.50	2.0%	30	1.52%	CB	Next Dividend Payable 01/05/01
13	CIENA CORPORATION	81.25000	1,056.25	1.1%	0	0.00%	CIEN	
24	COMVERSE TECHNOLOGY INC	108.62500	2,607.00	2.7%	0	0.00%	CMVT	
49	EOG RESOURCES INC	54.62500	2,676.62	2.7%	6	.25%	EOG	Next Dividend Payable 01/01
13	FOREST LABORATORIES	132.87500	1,727.37	1.8%	0	0.00%	FRX	
21	GOLDEN WEST FINCL CORP DEL	67.50000	1,417.50	1.5%	5	.37%	GDW	Next Dividend Payable 03/01
10	I O E C PHARMACEUTICALS	189.56250	1,895.62	1.9%	0	0.00%	IOPH	
15	MERCURY INTERACTIVE CORP	90.25000	1,353.75	1.4%	0	0.00%	MERQ	
14	NIKE INC B	55.81250	781.37	0.8%	6	.86%	NKE	Next Dividend Payable 01/03/01
23	NORTHERN TRUST CORP	81.56250	1,875.93	1.9%	14	.76%	NTRS	Next Dividend Payable 01/02/01
25	PAYCHEX INC	48.62500	1,215.62	1.2%	9	.74%	PAYX	Next Dividend Payable 02/01
19	PERKIN ELMER INC	105.00000	1,995.00	2.0%	10	.53%	PKI	Next Dividend Payable 02/01
4	S O L INC	148.18750	592.75	0.6%	0	0.00%	SOLI	
20	SOUTHWEST AIRLINES	33.53	670.60	0.7%	0	.06%	LUV	Next Dividend Payable 01/03/01
38	TENET HEALTHCARE CORP	44.43750	1,688.62	1.7%	0	0.00%	THC	
13	UNITEDHEALTH GP INC	61.37500	797.87	0.8%	0	.02%	UNH	
13	UNITEDHEALTH GP INC	61.37500	797.87	0.8%	0	.02%	UNH	Pending Stock Distribution

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THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number 312 042183 FA 108

Stocks	Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks							
11 USA EDUCATION	68.00000	748.00	0.8%	7	1.02%	SLM	Next Dividend Payable 03/01
Total Stocks		$48,502.21	49.8%	$134	.27%		
Net Change Since January 1, 2000		-89,899.44					

Asset Summary	Value	Est Yrly Income
Total Asset Value	$97,444.90	$3,001
Total Net Change In Priced Assets Since January 1, 2000	-89,899.44	

CREDITS TO YOUR ACCOUNT

Dividends and Interest

Activity	Description	Amount	Income Category
Taxable Interest	ACTIVE ASSETS INSURED ACCOUNT	465.51	Money Market Funds
Dividend	ALCOA INC	8.50	Stocks
Dividend	ALLERGAN INC	5.12	Stocks
Dividend	ANADARKO PETE	.70	Stocks
Dividend	APACHE CORP	1.82	Stocks
Dividend	AVON PRODUCTS INC	10.92	Stocks
Dividend	BAKER HUGHES INC	21.39	Stocks
Dividend	CAPITAL ONE FINANCIAL CORP	2.31	Stocks
Dividend	COASTAL CORP	1.63	Stocks
Dividend	CORNING INC	4.50	Stocks
Dividend	EOG RESOURCES INC	1.72	Stocks
Dividend	HARLEY DAVIDSON INC	3.57	Stocks
Dividend	LINEAR TECHNOLOGY CORPORATION	1.36	Stocks
Dividend	MILLIPORE CORP	3.08	Stocks
Dividend	NORTHERN TRUST CORP	6.22	Stocks
Dividend	OMNICOM GROUP	9.99	Stocks
Dividend	PAYCHEX INC	6.00	Stocks
Dividend	PE CORP PE BIOSYS GP	6.05	Stocks
Dividend	PERKIN ELMER INC	2.66	Stocks
Dividend	PROVIDIAN FINCL CORP	.42	Stocks
Dividend	SCIENTIFIC ATLANTA	.28	Stocks

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THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number FA
312 042183 108

Dividends and Interest

Activity	Description	Amount	Income Category
Dividend	SYSCO CORP	3.48	Stocks
Dividend	TEVA PHARMACEUTICALS IND ADR	3.01	Stocks
Dividend	TIDEWATER INC	7.95	Stocks
Dividend	TIFFANY & COMPANY NEW	4.68	Stocks
	Total Stocks	$117.36	
	Total Dividends and Interest	$582.87	

Sales Proceeds/Redemptions

Date	Activity	Quantity	Description	Price	Amount	Additional Information
02-28	Sold	10	ABGENIX INC	242.1758	2,421.67	
03-24	Sold	5	ABGENIX INC	162.4526	812.23	
02-24	Sold	5	AES CORP	87.6250	438.11	
03-15	Sold	15	AES CORP	74.0808	1,111.17	
10-23	Sold	4	AES CORP	53.6702	214.67	
03-27	Sold	5	AFFYMETRIX INC	171.3464	856.70	
08-07	Sold	6	AFFYMETRIX INC	134.2101	805.23	
05-26	Sold	7	ALCOA INC	62.8925	440.23	
06-12	Sold	10	ALCOA INC	60.4628	604.60	
01-26	Sold	14	ALLERGAN INC	51.8349	725.66	
03-14	Sold	28	ALLERGAN INC	46.4028	1,299.23	
11-21	Sold	9	ALZA CP COM	45.5063	409.54	
11-22	Sold	9	ALZA CP COM	43.0864	387.76	
02-16	Sold	8	AMERICAN TOWER CP CLASS A	44.5000	355.98	
03-06	Sold	34	AMERICAN TOWER CP CLASS A	48.7251	1,656.59	
09-18	Sold	30	AMERICAN TOWER CP CLASS A	35.5576	1,066.69	
02-16	Sold	5	AMFM INC	79.5625	397.79	
03-06	Sold	23	AMFM INC	62.6745	1,441.46	
12-15	Sold	14	ANADARKO PETE	66.7000	905.76	
03-30	Sold	6	ANDRX CORPORATION	113	677.97	
07-19	Sold	4	ANDRX CORPORATION	73.8599	295.43	
08-14	Sold	6	ANDRX CORPORATION	78.9833	473.88	
09-08	Tender	22	ANDRX CORPORATION			EXCHANGE
09-08	Sold		ANDRX CP CYBEAR G S1		.29	CASH IN LIEU OF FRACTIONS
09-19	Sold	3	ANDRX CP CYBEAR G S1	1.2355	3.70	
02-16	Sold	5	APACHE CORP	36.0625	180.30	
03-09	Sold	21	APACHE CORP	40.5096	850.67	
10-30	Sold	2	APPLIED MICRO CIRCUITS CP	143.6985	287.39	TRADE CARRIES STOCK DISTRIB.
12-15	Sold	22	APPLIED MICRO CIRCUITS CP	74.1875	1,632.07	
10-05	Sold	3	ARIBA INC	126.3750	379.11	

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THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number FA
312 042183 108

Sales Proceeds/Redemptions

Date	Activity	Quantity	Description	Price	Amount	Additional Information
12-11	Sold	10	ARIBA INC	76.2542	762.51	
12-15	Sold	9	ARIBA INC	79.6250	716.60	
11-13	Sold	10	ART TECHNOLOGY GROUP INC	44.7405	447.38	
11-20	Sold	10	ART TECHNOLOGY GROUP INC	48.1293	481.27	
02-16	Sold	6	ASPECT COMMUNICATIONS	67.6250	405.73	
03-27	Sold	17	ASPECT COMMUNICATIONS	45.5107	773.65	
03-30	Sold	52	ASPECT COMMUNICATIONS	45.1250	1,443.95	TRADE CARRIES STOCK DISTRIB.
12-15	Sold	16	AVOCENT CORPORATION	48.0625	768.97	
08-30	Sold	17	AVON PRODUCTS INC	37.4267	636.22	
12-15	Sold	21	AVON PRODUCTS INC	41.5000	871.47	
01-12	Sold	16	BEA SYSTEMS INC	62.2706	996.29	
02-24	Sold	5	BEA SYSTEMS INC	145.0625	725.28	
04-14	Sold	10	BEA SYSTEMS INC	73.6105	736.08	
05-26	Sold	26	BEA SYSTEMS INC	34.6664	901.30	
11-01	Sold	10	BEA SYSTEMS INC	75.4957	754.93	
12-15	Sold	49	BED BATH & BEYOND INC	22.5625	1,105.52	
04-05	Sold	8	BEST BUY CO	82.8125	662.47	
05-30	Sold	2	BEST BUY CO	65.4469	130.88	
06-22	Sold	12	BEST BUY CO	63.3015	759.59	
03-30	Sold	3	BIOGEN INC MASS	80.3125	240.93	
04-12	Sold	10	BIOGEN INC MASS	67.5064	675.03	
10-13	Sold	16	BIOGEN INC MASS	50.4633	807.39	
10-17	Sold	22	BOOKHAM TECH PLC ADR	34.0215	748.44	
03-30	Sold	2	BROADCOM CORP CL A	239.1250	478.23	
07-24	Sold	10	BROADCOM CORP CL A	246.0593	2,460.50	
11-27	Sold	4	BROCADE	197.4854	789.91	
12-28	Sold	11	BROCADE	84.8750	933.59	
04-14	Sold	15	CACHEFLOW	81.2991	1,219.44	
06-23	Sold	9	CAPITAL ONE FINANCIAL CORP	47.8562	430.69	
02-16	Sold	5	CHARTERED SEMICONDUCTOR ADS	97.5000	487.48	
05-30	Sold	15	CHARTERED SEMICONDUCTOR ADS	66.8448	1,002.63	
08-08	Sold	9	CHARTERED SEMICONDUCTOR ADS	68.1069	612.93	
02-16	Sold	3	CHECK POINT SOFTWARE TECH LTD	171.9375	515.79	
03-21	Sold	4	CHECK POINT SOFTWARE TECH LTD	196.4652	785.83	
11-06	Sold	8	CHECK POINT SOFTWARE TECH LTD	154.2568	1,234.00	
12-26	Cancel Buy	23	CHUBB CP		1,887.23	
10-05	Sold	16	CIENA CORPORATION	119.3750	1,909.93	
10-30	Sold	7	CIENA CORPORATION	104.1875	729.28	
12-12	Sold	34	CIRRUS LOGIC INC	17.9354	609.77	
02-16	Sold	3	CITRIX SYSTEMS INC	175	524.98	
03-21	Sold	15	CITRIX SYSTEMS INC	87.3066	1,309.55	
04-06	Sold	11	CITRIX SYSTEMS INC	70.7065	777.74	
06-15	Sold	12	CITRIX SYSTEMS INC	24.2129	290.54	
03-22	Sold	17	CLARENT CORP	125.2636	2,129.40	

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THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number FA
312 042183 108

Sales Proceeds/Redemptions

Date	Activity	Quantity	Description	Price	Amount	Additional Information
01-11	Sold	9	CMGI INC	268.9919	2,420.84	
04-13	Sold	14	CMGI INC	90.2066	1,262.84	
12-11	Sold	17	COMMERCE ONE (DEL)	38.0572	646.94	
02-07	Sold	47	COMMSCOPE INC	35.6414	1,675.09	
03-28	Sold	6	COHVERSE TECHNOLOGY INC	199.4748	1,196.81	
01-28	Sold	57	CONCORD EFS INC	20.8700	1,189.55	
12-28	Sold	32	CONCORD EFS INC	43.4375	1,389.95	
02-16	Sold	2	CONEXANT SYSTEMS INC	106.6250	213.24	
03-27	Sold	18	CONEXANT SYSTEMS INC	77.0120	1,386.17	TRADE CARRIES STOCK DISTRIB.
08-29	Sold	17	CONVERGYS CORPORATION	40.4307	687.29	
02-16	Sold	6	CORNING INC	166.2500	997.46	
02-25	Sold	5	CORNING INC	190	949.96	
04-05	Sold	5	CORNING INC	189.5000	947.46	
06-26	Sold	1	CORNING INC	242.5249	242.51	
07-11	Sold	9	CORNING INC	249.8535	2,248.60	
03-30	Sold	8	COSTCO WHOLSALE CORP	53.5000	427.98	
05-10	Sold	21	COSTCO WHOLSALE CORP	49.1722	1,032.58	
06-12	Sold	9	COSTCO WHOLSALE CORP	32.1476	289.32	TRADE CARRIES STOCK DISTRIB.
02-24	Sold	5	COVAD COMMUNICATIONS GROUP	85	424.98	
03-30	Sold	2	COVAD COMMUNICATIONS GROUP	77.3266	154.65	TRADE CARRIES STOCK DISTRIB.
03-30	Sold	11	COVAD COMMUNICATIONS GROUP	76.6876	843.52	TRADE CARRIES STOCK DISTRIB.
04-28	Sold	27	COVAD COMMUNICATIONS GROUP	27.0847	731.26	
03-30	Sold	11	CYPRESS SEMICONDUCTOR DELA	53.1250	584.36	
08-14	Sold	43	CYPRESS SEMICONDUCTOR DELA	36.3482	1,562.91	
04-14	Sold	10	DIGEX INC	88.2062	882.03	
09-21	Sold	11	DIGITAL LIGHTWAVE INC	68.6113	754.69	
01-11	Sold	3	DOUBLECLICK INC	197.0643	591.17	TRADE CARRIES STOCK DISTRIB.
02-16	Sold	2	DOUBLECLICK INC	110.8750	221.74	
02-23	Sold	6	DOUBLECLICK INC	94.4870	566.90	
02-23	Sold	6	DOUBLECLICK INC	94.5039	567.00	
02-25	Sold	8	DOUBLECLICK INC	86.1557	689.22	
07-06	Tender	8	E-TEK DYNAMICS			EXCHANGE
03-30	Sold	8	ECHOSTAR COMM CORP CL A	77.7500	621.97	
06-05	Sold	32	ECHOSTAR COMM CORP CL A	41.4236	1,325.51	
02-16	Sold	2	EFFICIENT NETWORXS INC	108.8750	217.74	
03-27	Sold	8	EFFICIENT NETWORXS INC	169.4675	1,355.69	
05-17	Sold	14	EFFICIENT NETWORKS INC	51.0568	714.77	
03-20	Sold	1	EMULEX CORP	162.1875	162.18	
04-03	Sold	9	EMULEX CORP	138.1355	1,243.17	
02-16	Sold	7	ESPEED INC CL A	71	496.98	
04-05	Sold	15	ESPEED INC CL A	59	884.97	
05-25	Sold	2	ESPEED INC CL A	30.9375	61.87	
06-23	Sold	13	ESPEED INC CL A	34.8872	453.51	
01-12	Sold	10	EXODUS COMMUNICATIONS INC	85.8446	858.42	

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THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number 312 042183 FA 108

Sales Proceeds/Redemptions

Date	Activity	Quantity	Description	Price	Amount	Additional Information
03-30	Sold	6	EXODUS COMMUNICATIONS INC	173.9375	1,043.59	
04-27	Sold	6	EXODUS COMMUNICATIONS INC	83.6309	501.77	
07-17	Sold	20	EXODUS COMMUNICATIONS INC	41.5886	831.74	
12-15	Sold	12	EXTREME NETWORKS INC	84.1875	1,010.21	
08-23	Sold	22	FIRST HEALTH GROUP CORP	28.0263	616.55	
02-16	Sold	5	FLEXTRONICS INTL LTD	57.0625	285.30	TRADE CARRIES STOCK DISTRIB.
04-05	Sold	9	FLEXTRONICS INTL LTD	69.5000	625.47	
12-11	Sold	44	FLEXTRONICS INTL LTD	30.6530	1,348.68	
02-24	Sold	5	GEMSTAR INTL GP INC	74.4375	372.17	
11-28	Sold	15	GEMSTAR-TV GUIDE INTERNATIONAL	45.9799	689.67	
03-21	Sold	7	GENENTECH INC	175.6964	1,229.82	
04-18	Sold	6	GENENTECH INC	133.7824	802.66	TRADE CARRIES STOCK DISTRIB.
04-25	Sold	5	GENENTECH INC	132	659.97	
10-06	Sold	11	GLOBESPAN INC	113.7551	1,251.26	
06-12	Sold	10	HARLEY DAVIDSON INC	36.8275	368.26	
07-03	Sold	17	HARLEY DAVIDSON INC	37.6267	639.62	
12-28	Sold	36	HARLEY DAVIDSON INC	37.3125	1,343.20	
02-24	Sold	13	HEALTH MGMT ASSOC CL A	10.6875	138.93	
03-10	Sold	119	HEALTH MGMT ASSOC CL A	11.7500	1,398.20	
12-28	Sold	22	HUMAN GENOME SCIENCES INC	69.0625	1,519.32	
06-23	Sold	19	ICN PHARMACEUTICALS INC NEW	26.5037	503.55	
10-26	Sold	30	IMMUNEX CORP NEW	41.9028	1,257.03	
02-16	Sold	3	INCYTE PHARM INC	175.7500	527.23	
02-28	Sold	5	INCYTE PHARM INC	249.9086	1,249.49	
03-20	Sold	5	INCYTE PHARM INC	131.0914	655.43	TRADE CARRIES STOCK DISTRIB.
10-25	Sold	11	INTEGRATED DEVICES TECH INC	78.2465	860.68	
11-24	Sold	12	INTEGRATED DEVICES TECH INC	44.5131	534.14	
01-10	Sold	8	INTERNET CAPITAL GROUP INC	163.5307	1,308.20	
01-25	Sold	4	INTERNET CAPITAL GROUP INC	155.5000	621.97	
02-25	Sold	10	INTERNET CAPITAL GROUP INC	112.1401	1,121.36	
12-28	Sold	18	INTERWOVEN INC	60.0625	1,081.09	TRADE CARRIES STOCK DISTRIB.
03-17	Sold	24	INTUIT INC	52.2437	1,253.80	
12-15	Sold	26	INTUIT INC	54.1250	1,407.20	
12-28	Sold	9	INVITROGEN CORP	79.3125	713.78	
12-15	Sold	34	IVAX CORP FLORIDA	42.5000	1,444.95	
04-14	Sold	6	I2 TECHNOLOGIES INC	98.8750	593.23	
09-08	Sold	6	I2 TECHNOLOGIES INC	186.1298	1,116.74	
12-28	Sold	9	I2 TECHNOLOGIES INC	49.8125	448.29	
03-30	Sold	7	JDS UNIPHASE CORP	133.1250	931.84	
07-06	Sold		JDS UNIPHASE CORP		69.04	CASH IN LIEU OF FRACTIONS
07-11	Sold	28	JDS UNIPHASE CORP	115.7764	3,241.63	
07-17	Sold	30	JDS UNIPHASE CORP	98.8042	2,964.03	
07-12	Sold	11	JUNIPER NETWORKS	148.7564	1,636.26	
08-03	Sold	7	JUNIPER NETWORKS	142.9266	1,000.45	

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THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number FA
312 042183 108

Sales Proceeds/Redemptions

Date	Activity	Quantity	Description	Price	Amount	Additional Information
12-28	Sold	26	KING PHARMACEUTICALS	47.8750	1,244.70	
08-11	Sold	28	KLA TENCOR CORP	45.9537	1,286.65	
01-25	Sold	30	KNIGHT / TRIMARK GROUP INC A	35.9016	1,077.01	
06-24	Sold	10	KOHLS CORPORATION WISC	56	559.98	
12-28	Sold	14	KOHLS CORPORATION WISC	57.3125	802.35	
02-16	Sold	2	LAM RESEARCH CORPORATION	138.3750	276.74	
03-30	Sold	10	LAM RESEARCH CORPORATION	54.2500	542.48	
05-30	Sold	47	LAM RESEARCH CORPORATION	24.4998	1,151.45	
03-30	Sold	4	LINEAR TECHNOLOGY CORPORATION	104.5000	417.98	
07-11	Sold	24	LINEAR TECHNOLOGY CORPORATION	61.4179	1,473.90	
08-25	Sold	14	MACROMEDIA INCORPORATED	66.6423	932.95	
08-15	Sold	18	MASTEC INC	31.7297	571.11	
02-16	Sold	5	MAXIM INTEGRATED PRODUCTS INC	58.5625	292.80	
10-23	Sold	12	MAXIM INTEGRATED PRODUCTS INC	69.5355	834.40	
10-31	Sold	12	MAXIM INTEGRATED PRODUCTS INC	57.9003	694.77	
09-19	Sold	44	MCLEODUSA INC CL A	14.1783	623.82	
12-08	Sold	12	MEDAREX INC	37.0412	444.47	
12-15	Sold	14	MEDAREX INC	49.8125	697.35	
02-09	Sold	14	MEDIMMUNE INC	135.8454	1,901.77	
12-15	Sold	31	MEDIMMUNE INC	54.2500	1,681.69	
02-16	Sold	3	MERCURY INTERACTIVE CORP	153.7500	461.23	TRADE CARRIES STOCK DISTRIB.
02-24	Sold	5	MERCURY INTERACTIVE CORP	87.0625	435.29	
02-25	Sold	13	MERCURY INTERACTIVE CORP	87.7515	1,140.73	
03-21	Sold	7	MERCURY INTERACTIVE CORP	97.0428	679.27	
11-07	Sold	10	MERCURY INTERACTIVE CORP	108.8699	1,088.66	
02-16	Sold	5	METROMEDIAFIBER NETWORK CL A	80.6075	403.42	
04-28	Sold	33	METROMEDIAFIBER NETWORK CL A	28.7882	949.97	
10-06	Sold	25	METROMEDIAFIBER NETWORK CL A	22.9441	573.58	
01-24	Sold	32	MGM GRAND INC	46.7345	1,495.45	
12-15	Sold	10	MICROMUSE INC	143.6250	1,436.20	
03-27	Sold	5	MILLENNIUM PHARM INC	142.2718	711.33	
12-14	Sold	28	MILLENNIUM PHARM INC	63.9966	1,791.85	
07-20	Sold	15	MILLIPORE CORP	63.2552	948.79	
08-31	Sold	13	MILLIPORE CORP	61	792.97	
12-11	Sold	11	MINIMED INC	63.5866	699.42	
09-08	Sold	15	NETWORK APPLIANCE INC	113.0337	1,695.45	
10-05	Sold	4	NETWORK APPLIANCE INC	120.9660	483.84	
10-05	Sold	4	NETWORK APPLIANCE INC	121.4702	485.86	
11-20	Sold	9	NETWORK APPLIANCE INC	78.1377	703.21	
04-27	Sold	5	NETWORK SOLUTION INC CL A	108.9131	544.55	
06-12	Tender	5	NETWORK SOLUTION INC CL A			EXCHANGE
10-30	Sold	5	NEWPORT CORP	115.1228	575.59	
12-28	Sold	12	NEWPORT CORP	78.1250	937.46	
02-16	Sold	3	NEXTEL COMMUNICATIONS INC CL A	126.5000	379.48	TRADE CARRIES STOCK DISTRIB.

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THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number FA
312 042183 108

Sales Proceeds/Redemptions

Date	Activity	Quantity	Description	Price	Amount	Additional Information
05-31	Sold	17	NEXTEL COMMUNICATIONS INC CL A	85.3585	1,451.04	
12-15	Sold	47	NOBLE DRILLING	38.5000	1,809.45	
12-12	Sold	33	NRG ENERGY INC	22.1250	730.10	
06-30	Sold	7	OMNICOM GROUP	89.0894	623.60	
08-31	Sold	12	OMNICOM GROUP	81.1254	973.46	
07-12	Sold	22	OUTBACK STEAKHOUSE	29.4304	647.44	
08-01	Sold	30	OUTBACK STEAKHOUSE	24.0044	720.10	
12-27	Sold	34	PALM INC	27.2052	924.94	
12-28	Sold	36	PALM INC	29.5625	1,064.21	
12-07	Sold	15	PAYCHEX INC	47.0134	705.17	
01-19	Sold	9	PE CORP PE BIOSYS GP	127.2995	1,145.66	
02-16	Sold	2	PE CORP PE BIOSYS GP	155	309.98	
03-27	Sold	12	PE CP COM CELERA GENOMICS GP	110.7557	1,329.02	
12-28	Sold	31	PEOPLESOFT INC	34.9375	1,083.02	
05-30	Sold	10	PMC SIERRA INC	127.5288	1,275.24	
09-08	Sold	10	PMC SIERRA INC	237.8979	2,378.90	
08-25	Sold	5	POWER-ONE INC	140.1532	700.74	
12-28	Sold	16	POWER-ONE INC	38.0625	608.97	
12-15	Sold	13	PROTEIN DESIGN LABS	96.5000	1,254.45	
11-24	Sold	7	PROVIDIAN FINCL CORP	82.4418	577.07	TRADE CARRIES STOCK DISTRIB.
12-15	Sold	16	QLOGIC CORP	114.6250	1,833.93	
08-30	Sold	17	QUANTA SERVICES INC	43.2672	735.51	
10-05	Sold	18	QUANTA SERVICES INC	25.8489	465.26	
03-09	Sold	27	QWEST COMMUNICATIONS INTL INC	57.5649	1,554.19	
06-12	Sold	19	QWEST COMMUNICATIONS INTL INC	44.6744	848.78	TRADE CARRIES STOCK DISTRIB.
12-15	Sold	18	RADIOSHACK CORP	51.0386	918.65	
02-16	Sold	2	REAL NETWORKS INC	177	353.98	
03-27	Sold	26	REAL NETWORKS INC	67.9669	1,767.08	
11-24	Sold	7	REDBACK NETWORKS	72.5000	507.48	
12-15	Sold	7	REDBACK NETWORKS	95	664.97	
08-01	Sold	10	RF MICRO DEVICES INC	69.9705	699.68	
02-16	Sold	17	ROWAN COMPANIES INC	22.4375	381.42	
04-05	Sold	27	ROWAN COMPANIES INC	29.8125	804.91	
12-12	Sold	56	ROWAN COMPANIES INC	20.5049	1,148.23	
03-30	Sold	2	S D L INC	218.5000	436.98	
07-25	Sold	2	S D L INC	425.8847	851.74	
10-31	Sold	2	S D L INC	207.8727	415.73	
03-28	Sold	9	SAFEGUARD SCIENTIFICS	93.4823	841.31	
05-22	Sold	21	SAFEGUARD SCIENTIFICS	41.2292	865.78	
12-28	Sold	22	SANMINA CORP	69.1250	1,520.69	TRADE CARRIES STOCK DISTRIB.
02-02	Sold	9	SAPIENT CORP	86.6602	779.91	
02-15	Sold	7	SAPIENT CORP	89.8529	628.94	
08-21	Sold	7	SCIENTIFIC ATLANTA	74	517.98	
12-15	Sold	14	SCIENTIFIC ATLANTA	52.7500	738.47	

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THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number 312 042183 FA 108

Sales Proceeds/Redemptions

Date	Activity	Quantity	Description	Price	Amount	Additional Information
08-15	Sold	9	SEPRACOR INC	93.4564	841.08	
11-21	Sold	10	SEPRACOR INC	69.8754	698.72	
08-01	Sold	17	SIEBEL SYSTEMS INC	147.3175	2,504.31	
02-08	Sold	55	SMURFIT STONE CONTAINER CP NEW	19.7656	1,087.07	
02-03	Sold	14	SOLECTRON CP	72.0037	1,008.01	
12-15	Sold	19	STARBUCKS CORP WASHINGTON	45.6250	866.85	
10-05	Sold	31	STILWELL FINCL INC	44.3339	1,374.30	
11-24	Sold	9	STORAGE NETWORKS INC	34.8572	313.69	
05-05	Tender	1	SUB OFFER OPUS 360 CP NTRF			EXPIRED - NO VALUE
12-28	Sold	19	SUNGARD DATA SYSTEMS INC	47.5625	903.65	
01-25	Sold	4	SYCAMORE NETWORKS INC	243.0572	972.19	
02-16	Sold	5	SYMANTEC CORP	65.3750	326.86	
07-17	Sold	19	SYMANTEC CORP	49.7435	945.09	
02-15	Sold	29	SYSCO CORP	33.0800	959.28	
12-15	Sold	53	TEKTRONIX INC	27	1,430.95	
08-25	Sold	19	TENET HEALTHCARE CORP	29	550.98	
02-16	Sold	5	TERADYNE INC	75.8750	379.36	
08-02	Sold	14	TERADYNE INC	58.3100	816.31	
02-16	Sold	2	TEVA PHARMACEUTICALS IND ADR	71.8750	143.74	
04-11	Sold	54	TEVA PHARMACEUTICALS IND ADR	34.1314	1,843.03	
11-24	Sold	8	TIBCO SOFTWARE INC	59.7192	477.73	
12-15	Sold	10	TIBCO SOFTWARE INC	66.7500	667.47	
02-09	Sold	53	TIDEWATER INC	27	1,430.95	
03-30	Sold	7	TIFFANY & COMPANY NEW	78.7500	551.23	TRADE CARRIES STOCK DISTRIB.
05-30	Sold	11	TIFFANY & COMPANY NEW	54.7979	602.76	
12-15	Sold	28	TIFFANY & COMPANY NEW	35	979.96	
12-28	Sold	29	TIME WARNER TELECOM INC A	56	1,623.94	
06-30	Sold	40	TJX COS INC NEW	18.6194	744.75	
07-12	Sold	46	TJX COS INC NEW	17.9348	824.97	
12-28	Sold	39	TRANSWITCH CORP	41.0625	1,601.38	
02-18	Sold	35	TRIBUNE CO DELAWARE NEW	38.8499	1,359.70	
12-28	Sold	27	TRIQUINT SEMICONDUCTOR INC	40.6250	1,096.84	
02-16	Sold	3	UNIVISION COMM INC CLASS A	108.5000	325.48	TRADE CARRIES STOCK DISTRIB.
03-10	Sold	12	UNIVISION COMM INC CLASS A	95.8205	1,149.81	
08-15	Sold	7	UNIVISION COMM INC CLASS A	96.2108	673.45	
08-30	Sold	10	UNIVISION COMM INC CLASS A	45	449.98	TRADE CARRIES STOCK DISTRIB.
01-10	Sold	20	USWEB CORP	40.4683	809.34	
02-15	Sold	18	VALASSIS COMMUNICATIONS INC	28.3125	509.61	
03-27	Sold	6	VERISIGN INC	186.4503	1,118.66	
06-12	Sold		VERISIGN INC		62.59	CASH IN LIEU OF FRACTIONS
09-08	Sold	5	VERISIGN INC	181.6711	908.32	
02-16	Sold	4	VERITAS SOFTWARE OE	172	687.97	
04-05	Sold	8	VERITAS SOFTWARE DE	144.5000	1,155.96	
07-11	Sold	23	VERITAS SOFTWARE OE	108.5909	2,497.50	

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THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number FA
312 042183 108

Sales Proceeds/Redemptions

Date	Activity	Quantity	Description	Price	Amount	Additional Information
12-28	Sold	20	VERTEX PHARMACEUTICALS	70.7500	1,414.95	
01-25	Sold	37	VISHAY INTERTECHNOLOGY INC	36.2425	1,340.92	
02-24	Sold	9	VISHAY INTERTECHNOLOGY INC	44.0625	396.54	
06-06	Sold	19	VISHAY INTERTECHNOLOGY INC	72.0528	1,368.57	
07-11	Sold	19	VISHAY INTERTECHNOLOGY INC	34.1497	648.81	
02-09	Sold	18	VITESSE SEMICONDUCTORS	43.6332	785.37	
10-30	Sold	6	VITESSE SEMICONDUCTORS	56.7092	340.24	
12-15	Sold	18	VITESSE SEMICONDUCTORS	62.8125	1,130.59	
01-10	Sold	10	VOICE STREAM WIRELESS CORP	108.8494	1,088.45	
02-16	Sold	2	VOICE STREAM WIRELESS CORP	149.8750	299.74	
03-30	Sold	3	VOICE STREAM WIRELESS CORP	137.7500	413.23	
04-05	Sold	4	VOICE STREAM WIRELESS CORP	129.2500	516.98	
04-28	Sold	8	VOICE STREAM WIRELESS CORP	88.7077	709.63	
07-27	Sold	6	VOICE STREAM WIRELESS CORP	133.8619	803.14	
03-30	Sold	7	XILINX INC	84.9375	594.54	
07-26	Sold	23	XILINX INC	76.3404	1,755.77	
01-25	Sold	9	XIRCOM INC	59.9211	539.27	
02-28	Sold	17	XIRCOM INC	37.1499	631.52	
			Total Sales Proceeds/Redemptions		**$270,767.07**	

Other Credits

Date	Activity	Description	Amount	Additional Information
03-03	Stock Dividend	VERITAS SOFTWARE DE	83.62	CASH IN LIEU FRACTIONAL SHARE
04-03	Adjustment	ACCESS 1Q00 CAPCHG NIC	0.88	
04-04	Journal In	ADJUSTMENT	560.00	TO ADJUST ACCT
06-09	Stock Dividend	VISHAY INTERTECHNOLOGY INC	23.15	CASH IN LIEU FRACTIONAL SHARE
10-23	****	TFR TO A/C 312-042183	0.00	
10-23	****	TFR FROM A/C 642-119411	0.00	
12-29	Adjustment	ACCESS 4Q00 FEE NIC	572.12	
		Total Other Credits	**$1,239.77**	

Total Credits To Your Account **$272,589.71**

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THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number FA
312 042183 108

DEBITS TO YOUR ACCOUNT

Withdrawal

Date	Activity	Description	Amount	Additional Information
02-14	Transfer	FUNDS TRANSFERRED	10,000.00	PER LETTER OF AUTHORIZATION TO 642-119410-0
02-17	Transfer	FUNDS TRANSFERRED	12,900.00	PER LETTER OF AUTHORIZATION TO 642-119410-0
03-31	Transfer	FUNDS TRANSFERRED	20,000.00	PER LETTER OF AUTHORIZATION TO 642-119410-0
04-05	Transfer	FUNDS TRANSFERRED	10,000.00	PER LETTER OF AUTHORIZATION TO 642-119410-0
		Total Withdrawals	-52,900.00	

Funds to Purchase Securities

Date	Activity	Quantity	Description	Price	Amount	Additional Information
03-16	Bought	5	AFFYMETRIX INC	259.1817	1,295.91	
07-11	Bought	6	AFFYMETRIX INC	155.1250	930.75	
01-19	Bought	17	ALCOA INC	83.1250	1,413.13	
07-17	Bought	15	ALLERGAN INC	80.2596	1,203.89	
10-30	Bought	6	ALLERGAN INC	83.7548	502.53	
06-06	Bought	29	ALZA CP COM	50.6250	1,468.13	
08-01	Bought	4	AMERICAN TOWER CP CLASS A	43.0321	172.13	
12-01	Bought	14	ANADARKO PETE	67.4011	943.62	
02-29	Bought	22	ANDRX CORPORATION	89.9894	1,979.77	
09-08	Received	3	ANDRX CP CYBEAR G S1			EXCHANGE
09-08	Received	22	ANDRX CP-ANDRX GR S1			EXCHANGE
07-18	Bought	9	APPLIED MICRO CIRCUITS CP	149.1273	1,342.15	
07-31	Bought	4	APPLIED MICRO CIRCUITS CP	164.4725	657.89	
07-11	Bought	11	ARIBA INC	94.2508	1,036.75	
07-14	Bought	6	ARIBA INC	95.1030	570.62	
08-15	Bought	5	ARIBA INC	139.3777	696.89	
11-07	Bought	16	AVOCENT CORPORATION	69.5383	1,112.61	
06-06	Bought	38	AVON PRODUCTS INC	43.1250	1,638.75	
03-10	Bought	62	BAKER HUGHES INC	29.3357	1,818.81	
08-22	Bought	23	BEA SYSTEMS INC	56.9500	1,309.85	
08-28	Bought	9	BEA SYSTEMS INC	55.7150	501.44	
09-29	Bought	49	BED BATH & BEYOND INC	24.9373	1,221.93	
03-22	Bought	22	BEST BUY CO	75.9795	1,671.55	
08-31	Bought	16	BIOGEN INC MASS	73.1583	1,170 53	
08-02	Bought	11	BOOKHAM TECH PLC ADR	70.9756	780.73	

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THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number FA
312 042183 108

Funds to Purchase Securities

Date	Activity	Quantity	Description	Price	Amount	Additional Information
08-14	Bought	11	BOOKHAM TECH PLC ADR	62.8732	691.61	
02-24	Bought	4	BROCADE	273	1,092.00	
07-26	Bought	7	BROCADE	199.3151	1,395.21	
12-12	Bought	12	CABLEVISION SYSTEMS CP	77.3497	928.20	
03-09	Bought	9	CACHE FLOW	139.7788	1,258.01	
03-23	Bought	6	CACHE FLOW	98.1370	588.82	
07-11	Bought	28	CALPINE CORP	65.6875	1,839.25	
03-22	Bought	35	CAPITAL ONE FINANCIAL CORP	46.7688	1,636.91	
10-12	Bought	59	CHARTER COMM CLASS A	18.8978	1,114.97	
10-30	Bought	33	CHARTER COMM CLASS A	17.6394	582.10	
01-26	Bought	6	CHECK POINT SOFTWARE TECH LTD	247.7908	1,486.74	
02-09	Bought	5	CHECK POINT SOFTWARE TECH LTD	145.3184	726.59	
12-26	Bought	23	CHUBB CP	82.0534	1,887.23	
02-29	Bought	4	CIENA CORPORATION	123.0733	492.29	
09-14	Bought	34	CIRRUS LOGIC INC	33.8300	1,150.22	
10-30	Bought	17	COMMERCE ONE (DEL)	67.8125	1,152.81	
11-07	Bought	20	CONCORD EFS INC	43.2516	865.03	
11-27	Bought	12	CONCORD EFS INC	43.1172	517.41	
06-06	Bought	17	CONVERGYS CORPORATION	44.3125	753.31	
01-05	Bought	13	COVAD COMMUNICATIONS GROUP	55.9375	727.19	
03-17	Bought	10	DIGEX INC	168.2730	1,682.73	
08-23	Bought	11	DIGITAL LIGHTWAVE INC	107.8805	1,186.69	
02-23	Cancel Sell	6	DOUBLECLICK INC		566.92	
02-29	Bought	4	E-TEK DYNAMICS	252.5220	1,010.09	
03-17	Bought	4	E-TEK DYNAMICS	270.3872	1,081.55	
02-10	Bought	24	EFFICIENT NETWORKS INC	97.1768	2,332.24	
08-08	Bought	49	EOG RESOURCES INC	33.4915	1,641.08	
08-29	Bought	6	EXTREME NETWORKS INC	180.7168	1,084.30	
06-06	Bought	22	FIRST HEALTH GROUP CORP	36.1875	796.13	
08-09	Bought	9	FOREST LABORATORIES	116.9889	1,052.90	
10-30	Bought	4	FOREST LABORATORIES	134.6970	538.79	
07-11	Bought	11	GLOBESPAN INC	106.9375	1,176.31	
12-05	Bought	14	GOLDEN WEST FINCL CORP DEL	58.4148	817.81	
12-18	Bought	7	GOLDEN WEST FINCL CORP DEL	62.0625	434.44	
03-22	Bought	8	HARLEY DAVIDSON INC	82.8929	663.14	
08-07	Bought	13	HARLEY DAVIDSON INC	43.6772	567.80	
01-12	Bought	132	HEALTH MGMT ASSOC CL A	17.7476	2,342.68	TRADE CARRIES STOCK DISTRIB.
07-14	Bought	6	HUMAN GENOME SCIENCES INC	175.3695	1,052.22	
08-04	Bought	5	HUMAN GENOME SCIENCES INC	130.2427	651.21	
10-16	Bought	6	I D E C PHARMACEUTICALS	163.3336	980.00	
10-30	Bought	4	I D E C PHARMACEUTICALS	195.1250	780.50	
06-06	Bought	19	ICN PHARMACEUTICALS INC NEW	34.6250	657.88	
07-20	Bought	21	IMMUNEX CORP NEW	58.3267	1,224.86	
08-04	Bought	9	IMMUNEX CORP NEW	53.2991	479.69	

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THE HONORABLE GERALD D KLECZKA
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Account Number FA
312 042183 108

Funds to Purchase Securities

Date	Activity	Quantity	Description	Price	Amount	Additional Information
02-07	Bought	13	INCYTE PHARM INC	123.6730	1,607.75	
07-18	Bought	13	INTEGRATED DEVICES TECH INC	68.9351	896.16	
08-28	Bought	10	INTEGRATED DEVICES TECH INC	74.8620	748.62	
08-31	Bought	17	INTERWOVEN INC	86.6784	1,473.53	
12-22	Bought	1	INTERWOVEN INC	65.3503	65.35	TRADE CARRIES STOCK DISTRIB.
08-29	Bought	26	INTUIT INC	55.6717	1,447.46	
12-13	Bought	9	INVITROGEN CORP	81.7639	735.88	
07-18	Bought	25	IVAX CORP FLORIDA	45.0672	1,126.68	
08-03	Bought	9	IVAX CORP FLORIDA	50	450.00	
02-07	Bought	6	I2 TECHNOLOGIES INC	212.2674	1,273.60	
12-11	Bought	9	I2 TECHNOLOGIES INC	57.3743	516.37	
07-06	Received	17	JDS UNIPHASE CORP			EXCHANGE
12-11	Bought	14	KING PHARMACEUTICALS	49.4926	692.90	
12-22	Bought	12	KING PHARMACEUTICALS	48.2022	578.43	
03-29	Bought	28	KLA TENCOR CORP	89.9612	2,518.91	
06-06	Bought	24	KOHLS CORPORATION WISC	53.8750	1,293.00	
07-14	Bought	14	MACROMEDIA INCORPORATED	97.3242	1,362.54	
07-11	Bought	18	MASTEC INC	42.3750	762.75	
01-26	Bought	29	MAXIM INTEGRATED PRODUCTS INC	49.6876	1,440.94	
07-11	Bought	44	MCLEODUSA INC CL A	22.2500	979.00	
10-03	Bought	7	MEDAREX INC	116.3219	814.25	
10-30	Bought	12	MEDAREX INC	66.2902	795.48	
07-11	Bought	22	MEDIMMUNE INC	75.1250	1,652.75	
08-04	Bought	9	MEDIMMUNE INC	62.7707	564.94	
01-04	Bought	6	MERCURY INTERACTIVE CORP	101.0293	606.18	
01-26	Bought	22	METROMEDIAFIBER NETWORK CL A	67.8272	1,492.20	
02-10	Bought	12	METROMEDIAFIBER NETWORK CL A	77.0591	924.71	
07-13	Bought	6	MICROMUSE INC	173.5757	1,041.45	
07-27	Bought	4	MICROMUSE INC	148.5488	594.20	
01-05	Bought	5	MILLENNIUM PHARM INC	123.7489	618.74	
08-03	Bought	14	MILLENNIUM PHARM INC	96.6453	1,353.03	
03-14	Bought	28	MILLIPORE CORP	59.8396	1,675.51	
10-04	Bought	11	MINIMED INC	89.3689	983.06	TRADE CARRIES STOCK DISTRIB.
02-29	Bought	14	NETWORK APPLIANCE INC	187.9796	2,631.71	
10-05	Cancel Sell	4	NETWORK APPLIANCE INC		485.88	
02-29	Bought	5	NETWORK SOLUTION INC CL A	301.9677	1,509.84	
07-11	Bought	10	NEWPORT CORP	84.5000	845.00	
07-12	Bought	7	NEWPORT CORP	86.4774	605.34	
12-26	Bought	14	NIKE INC B	49.2288	689.20	
06-06	Bought	47	NOBLE DRILLING	42.1875	1,982.81	
03-29	Bought	23	NORTHERN TRUST CORP	66.2274	1,523.23	
10-06	Bought	33	NRG ENERGY INC	36.5312	1,205.53	
06-06	Bought	52	OUTBACK STEAKHOUSE	30.3125	1,576.25	
09-11	Bought	43	PALM INC	40.6807	1,749.27	

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THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number 312 042183 FA 108

Funds to Purchase Securities

Date	Activity	Quantity	Description	Price	Amount	Additional Information
10-02	Bought	10	PALM INC	51.2807	512.81	
11-03	Bought	17	PALM INC	53.6132	911.42	
06-06	Bought	30	PAYCHEX INC	37.5000	1,125.00	
07-26	Bought	10	PAYCHEX INC	44.9989	449.99	
10-25	Bought	21	PEOPLESOFT INC	40.4648	849.76	
12-01	Bought	10	PEOPLESOFT INC	38.1936	381.94	
09-06	Bought	13	PERKIN ELMER INC	90.5769	1,177.50	
09-26	Bought	6	PERKIN ELMER INC	98.9503	593.70	
07-11	Bought	13	POWER-ONE INC	109.1875	1,419.44	
11-06	Bought	8	PROTEIN DESIGN LABS	140.3850	1,123.08	
12-01	Bought	5	PROTEIN DESIGN LABS	91.6275	458.14	
10-02	Bought	7	PROVIDIAN FINCL CORP	127.0626	889.44	
11-08	Bought	16	QLOGIC CORP	121.9745	1,951.59	
06-06	Bought	22	QUANTA SERVICES INC	48.3750	1,064.25	
08-01	Bought	13	QUANTA SERVICES INC	45.5565	592.23	
11-06	Bought	18	RADIOSHACK CORP	62.1760	1,119.17	
07-12	Bought	10	REDBACK NETWORKS	170.9714	1,709.71	
07-26	Bought	4	REDBACK NETWORKS	144.1533	576.61	
07-11	Bought	10	RF MICRO DEVICES INC	79.8750	798.75	
02-07	Bought	5	S D L INC	284.4231	1,422.12	TRADE CARRIES STOCK DISTRIB.
03-17	Bought	11	SANMINA CORP	126.9304	1,396.23	
07-11	Bought	21	SCIENTIFIC ATLANTA	82.3278	1,728.88	
03-08	Bought	19	SEPRACOR INC	125.4233	2,383.04	
02-29	Bought	17	SIEBEL SYSTEMS INC	138.4375	2,353.44	
12-28	Bought	20	SOUTHWEST AIRLINES	31.9987	639.97	
12-06	Bought	19	STARBUCKS CORP WASHINGTON	44.1814	839.45	
07-28	Bought	16	STILWELL FINCL INC	48.6494	778.39	
08-11	Bought	15	STILWELL FINCL INC	47.9945	719.92	
07-24	Bought	4	STORAGE NETWORKS INC	140.7811	563.12	
07-31	Bought	5	STORAGE NETWORKS INC	136.0908	680.45	
12-05	Bought	17	SUNGARD DATA SYSTEMS INC	49.6875	844.69	
12-22	Bought	2	SUNGARD DATA SYSTEMS INC	51.3942	102.79	
07-17	Bought	10	TEKTRONIX INC	85.9599	859.60	
08-23	Bought	11	TEKTRONIX INC	71.6785	788.46	
12-01	Bought	11	TEKTRONIX INC	24.8367	273.20	
06-06	Bought	57	TENET HEALTHCARE CORP	25.8750	1,474.88	
01-13	Bought	29	TEVA PHARMACEUTICALS IND ADR	73.9857	2,145.59	
07-11	Bought	13	TIBCO SOFTWARE INC	114.4375	1,487.69	
08-04	Bought	5	TIBCO SOFTWARE INC	99.1132	495.57	
08-03	Bought	14	TIFFANY & COMPANY NEW	35.9246	502.94	
11-06	Bought	18	TIME WARNER TELECOM INC A	63.2921	1,139.26	
12-04	Bought	8	TIME WARNER TELECOM INC A	51.1662	409.33	
12-22	Bought	3	TIME WARNER TELECOM INC A	58.3549	175.06	
06-06	Bought	86	TJX COS INC NEW	22	1,892.00	

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THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number 312 042183 FA 108

Funds to Purchase Securities

Date	Activity	Quantity	Description	Price	Amount	Additional Information
12-11	Bought	21	TRANSWITCH CORP	36.5685	767.94	
12-13	Bought	18	TRANSWITCH CORP	47.1674	849.01	
12-11	Bought	13	TRIQUINT SEMICONDUCTOR INC	51.9375	675.19	
12-13	Bought	14	TRIQUINT SEMICONDUCTOR INC	57.9654	811.52	
12-01	Bought	6	UNITEDHEALTH GP INC	118.7500	712.50	
12-11	Bought	4	UNITEDHEALTH GP INC	118.9312	475.72	TRADE CARRIES STOCK DISTRIB.
12-27	Bought	3	UNITEDHEALTH GP INC	120.6159	361.85	TRADE CARRIES STOCK DISTRIB.
12-26	Bought	11	USA EDUCATION	62.6966	689.66	
06-12	Received	5	VERISIGN INC			EXCHANGE
12-13	Bought	13	VERTEX PHARMACEUTICALS	75.0581	975.76	
12-18	Bought	7	VERTEX PHARMACEUTICALS	75.6020	529.21	
01-05	Bought	23	VISHAY INTERTECHNOLOGY INC	31.5149	724.84	
07-18	Bought	17	VITESSE SEMICONDUCTORS	87.2792	1,483.75	
08-16	Bought	7	VITESSE SEMICONDUCTORS	72.6071	508.25	TRADE CARRIES STOCK DISTRIB.
			Total Funds to Purchase Securities		-172,384.45	

Other Debits

Date	Activity	Description		Amount	Additional Information
01-20	Charge	ACCESS 1Q00 FEE	NIC	-664.34	
04-18	Charge	ACCESS 2Q00 FEE	NIC	-595.46	
07-18	Charge	ACCESS 3Q00 FEE	NIC	-506.77	
10-16	Charge	ACCESS 4Q00 FEE	NIC	-572.12	
12-29	Charge	ACCESS FEE REV	NIC	-546.92	
		Total Other Debits		-2,885.61	

Total Debits To Your Account -220,170.06

ADDITIONAL ACCOUNT INFORMATION

Securities Received

Date	Activity	Quantity	Description	Price	Amount	Additional Information
06-01	Stock Dividend	9	AES CORP			
10-23	Received	10	AES CORP		1,001.25	
10-23	Received	15	ALLERGAN INC		1,201.87	
10-23	Received	29	ALZA CP COM		2,450.50	
11-15	Stock Dividend	29	ALZA CP COM			

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THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number FA
312 042183 108

Securities Received

Date	Activity	Quantity	Description	Price	Amount	Additional Information
04-03	Stock Dividend	16	ANDRX CORPORATION			
10-25	Received	22	ANDRX CP-ANDRX GR S1		1,804.00	
10-23	Received	15	APPLIED MICRO CIRCUITS CP		2,718.62	
10-30	Stock Dividend	11	APPLIED MICRO CIRCUITS CP			
10-23	Received	19	ARIBA INC		2,517.50	
03-24	Stock Dividend	10	ART TECHNOLOGY GROUP INC			
10-23	Received	20	ART TECHNOLOGY GROUP INC		1,610.00	
10-23	Received	21	AVON PRODUCTS INC		958.12	
10-23	Received	62	BAKER HUGHES INC		2,294.00	
04-24	Stock Dividend	13	BEA SYSTEMS INC			
10-23	Received	32	BEA SYSTEMS INC		2,756.00	
10-23	Received	49	BED BATH & BEYOND INC		1,200.50	
02-11	Stock Dividend	6	BROADCOM CORP CL A			
03-14	Stock Dividend	4	BROCADE			
10-23	Received	15	BROCADE		5,999.57	
12-21	Stock Dividend	11	BROCADE			
10-23	Received	28	CALPINE CORP		2,305.00	
11-14	Stock Dividend	28	CALPINE CORP			
10-23	Received	26	CAPITAL ONE FINANCIAL CORP		1,709.50	
10-23	Received	59	CHARTER COMM CLASS A		1,113.62	
01-28	Stock Dividend	6	CHECK POINT SOFTWARE TECH LTD			
07-25	Stock Dividend	10	CHECK POINT SOFTWARE TECH LTD			
10-23	Received	20	CHECK POINT SOFTWARE TECH LTD		5,387.50	
09-18	Stock Dividend	18	CIENA CORPORATION			
10-23	Received	20	CIENA CORPORATION		2,842.50	
10-23	Received	34	CIRRUS LOGIC INC		1,581.00	
02-16	Stock Dividend	19	CITRIX SYSTEMS INC			
01-11	Stock Dividend	7	CMGI INC			
04-03	Stock Dividend	12	COMVERSE TECHNOLOGY INC			
10-23	Received	24	COMVERSE TECHNOLOGY INC		2,532.00	
01-13	Stock Dividend	19	COSTCO WHOLSALE CORP			
03-31	Stock Dividend	9	COVAD COMMUNICATIONS GROUP			
01-10	Stock Dividend	8	DOUBLECLICK INC			
03-22	Stock Dividend	20	ECHOSTAR COMM CORP CL A			
10-25	Received	49	EOG RESOURCES INC		2,100.87	
06-20	Stock Dividend	10	EXODUS COMMUNICATIONS INC			
08-24	Stock Dividend	6	EXTREME NETWORKS INC			
10-23	Received	12	EXTREME NETWORKS INC		1,165.60	
10-16	Stock Dividend	22	FLEXTRONICS INTL LTD			
10-23	Received	22	FLEXTRONICS INTL LTD		880.00	
10-25	Received	9	FOREST LABORATORIES		1,188.00	
10-23	Received	15	GEMSTAR-TV GUIDE INTERNATIONAL		1,006.87	
04-07	Stock Dividend	25	HARLEY DAVIDSON INC			

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THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number FA
312 042183 108

Securities Received

Date	Activity	Quantity	Description	Price	Amount	Additional Information
10-23	Received	36	HARLEY DAVIDSON INC		1,651.50	
10-05	Stock Dividend	11	HUMAN GENOME SCIENCES INC			
10-23	Received	22	HUMAN GENOME SCIENCES INC		2,158.75	
10-23	Received	6	I D E C PHARMACEUTICALS		1,181.25	
10-23	Received	30	IMMUNEX CORP NEW		1,301.25	
10-23	Received	23	INTEGRATED DEVICES TECH INC		1,683.12	
10-23	Received	17	INTERWOVEN INC		2,224.87	
10-23	Received	26	INTUIT INC		1,410.50	
10-23	Received	34	IVAX CORP FLORIDA		1,581.00	
02-17	Stock Dividend	6	I2 TECHNOLOGIES INC			
03-10	Stock Dividend	24	JDS UNIPHASE CORP			
01-14	Stock Dividend	6	JUNIPER NETWORKS			
06-15	Stock Dividend	9	JUNIPER NETWORKS			
10-23	Received	14	KOHLS CORPORATION WISC		750.75	
03-16	Stock Dividend	38	LAM RESEARCH CORPORATION			
03-27	Stock Dividend	12	LINEAR TECHNOLOGY CORPORATION			
10-23	Received	24	MAXIM INTEGRATED PRODUCTS INC		1,869.00	
10-18	Stock Dividend	7	MEDAREX INC			
10-23	Received	7	MEDAREX INC		453.25	
10-23	Received	31	MEDIMMUNE INC		1,813.50	
02-11	Stock Dividend	25	MERCURY INTERACTIVE CORP			
10-23	Received	25	MERCURY INTERACTIVE CORP		3,146.87	
04-17	Stock Dividend	29	METROMEDIAFIBER NETWORK CL A			
10-23	Received	10	MICROMUSE INC		2,123.75	
10-04	Stock Dividend	14	MILLENNIUM PHARM INC			
10-23	Received	28	MILLENNIUM PHARM INC		2,159.50	
10-23	Received	11	MINIMED INC		702.62	
03-22	Stock Dividend	14	NETWORK APPLIANCE INC			
10-23	Received	9	NETWORK APPLIANCE INC		1,263.37	
03-10	Stock Dividend	5	NETWORK SOLUTION INC CL A			
10-23	Received	17	NEWPORT CORP		2,703.00	
10-23	Received	47	NOBLE DRILLING		2,185.50	
10-23	Received	23	NORTHERN TRUST CORP		1,710.62	
10-23	Received	33	NRG ENERGY INC		1,027.12	
10-23	Received	53	PALM INC		3,100.50	
10-23	Received	40	PAYCHEX INC		1,990.00	
02-18	Stock Dividend	15	PE CORP PE BIOSYS GP			
10-23	Received	30	PE CORP PE BIOSYS GP		3,146.25	
02-18	Stock Dividend	6	PE CP CON CELERA GENOMICS GP			
10-23	Received	19	PERKIN ELMER INC		2,158.87	
02-11	Stock Dividend	10	PMC SIERRA INC			
09-11	Stock Dividend	8	POWER-ONE INC			
10-23	Received	16	POWER-ONE INC		1,398.00	

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THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number FA
312 042183 108

Securities Received

Date	Activity	Quantity	Description	Price	Amount	Additional Information
10-23	Received	7	PROVIDIAN FINCL CORP		705.25	
02-11	Stock Dividend	13	REAL NETWORKS INC			
10-23	Received	14	REDBACK NETWORKS		1,900.50	
10-23	Received	56	ROWAN COMPANIES INC		1,568.00	
03-13	Stock Dividend	5	S D L INC			
10-23	Received	6	S D L INC		1,991.25	
03-17	Stock Dividend	20	SAFEGUARD SCIENTIFICS			
03-22	Stock Dividend	11	SANMINA CORP			
10-23	Received	22	SANMINA CORP		2,543.75	
10-23	Received	14	SCIENTIFIC ATLANTA		932.75	
10-23	Received	10	SEPRACOR INC		852.50	
10-23	Received	9	STORAGE NETWORKS INC		749.25	
03-16	Stock Dividend	1	SUB OFFER OPUS 360 CP NTRF			
10-23	Received	21	TEKTRONIX INC		1,548.75	
10-31	Stock Dividend	21	TEKTRONIX INC			
10-23	Received	38	TENET HEALTHCARE CORP		1,429.75	
03-02	Stock Dividend	27	TEVA PHARMACEUTICALS IND ADR			
10-23	Received	16	TIBCO SOFTWARE INC		1,206.00	
07-20	Stock Dividend	7	TIFFANY & COMPANY NEW			
10-23	Received	28	TIFFANY & COMPANY NEW		1,099.00	
08-11	Stock Dividend	5	UNIVISION COMM INC CLASS A			
03-03	Stock Dividend	10	VERITAS SOFTWARE DE			
06-09	Stock Dividend	6	VISHAY INTERTECHNOLOGY INC			
10-23	Received	24	VITESSE SEMICONDUCTORS		1,782.00	
			Total Securities Received		111,725.55	

Securitites Delivered

Date	Activity	Quantity	Description	Price	Amount	Additional Information
10-23	Delivered	18	AES CORP		1,001.25	
10-23	Delivered	15	ALLERGAN INC		1,201.87	
10-23	Delivered	29	ALZA CP COM		2,450.50	
10-23	Delivered	22	ANDRX CP-ANDRX GR S1		1,004.00	
10-23	Delivered	13	APPLIED MICRO CIRCUITS CP		2,710.62	
10-23	Delivered	19	ARIBA INC		2,517.50	
10-23	Delivered	20	ART TECHNOLOGY GROUP INC		1,610.00	
10-23	Delivered	21	AVON PRODUCTS INC		958.12	
10-23	Delivered	62	BAKER HUGHES INC		2,294.00	
10-23	Delivered	32	BEA SYSTEMS INC		2,756.00	

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THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number FA
312 042183 108

Securitites Delivered

Date	Activity	Quantity	Description	Price	Amount	Additional Information
10-23	Delivered	49	BED BATH & BEYOND INC		1,200 50	
10-23	Delivered	15	BROCADE		3,999.37	
10-23	Delivered	28	CALPINE CORP		2,303.00	
10-23	Delivered	26	CAPITAL ONE FINANCIAL CORP		1,709.50	
10-23	Delivered	59	CHARTER COMM CLASS A		1,113.62	
10-23	Delivered	20	CHECK POINT SOFTWARE TECH LTD		3,387.50	
10-23	Delivered	20	CIENA CORPORATION		2,042.50	
10-23	Delivered	34	CIRRUS LOGIC INC		1,581.00	
10-23	Delivered	24	CONVERSE TECHNOLOGY INC		2,532.00	
10-23	Delivered	49	EOG RESOURCES INC		2,100.87	
10-23	Delivered	12	EXTREME NETWORKS INC		1,165.50	
10-23	Delivered	22	FLEXTRONICS INTL LTD		880.00	
10-23	Delivered	9	FOREST LABORATORIES		1,188.00	
10-23	Delivered	15	GEMSTAR-TV GUIDE INTERNATIONAL		1,006.87	
10-23	Delivered	36	HARLEY DAVIDSON INC		1,651.50	
10-23	Delivered	22	HUMAN GENOME SCIENCES INC		2,150.75	
10-23	Delivered	6	I D E C PHARMACEUTICALS		1,181.25	
10-23	Delivered	30	IMMUNEX CORP NEW		1,301.25	
10-23	Delivered	23	INTEGRATED DEVICES TECH INC		1,883.12	
10-23	Delivered	17	INTERHOVEN INC		2,224.87	
10-23	Delivered	26	INTUIT INC		1,410.50	
10-23	Delivered	34	IVAX CORP FLORIDA		1,581.00	
10-23	Delivered	14	KOHLS CORPORATION WISC		750.75	
10-23	Delivered	24	MAXIM INTEGRATED PRODUCTS INC		1,869.00	
10-23	Delivered	7	MEDAREX INC		453.25	
10-23	Delivered	31	MEDIMMUNE INC		1,813.50	
10-23	Delivered	25	MERCURY INTERACTIVE CORP		3,146.87	
10-23	Delivered	10	MICROMUSE INC		2,123.75	
10-23	Delivered	28	MILLENNIUM PHARM INC		2,159.50	
10-23	Delivered	11	MINIMED INC		702.62	
10-23	Delivered	9	NETWORK APPLIANCE INC		1,263.37	
10-23	Delivered	17	NEWPORT CORP		2,703.00	
10-23	Delivered	47	NOBLE DRILLING		2,185.50	
10-23	Delivered	23	NORTHERN TRUST CORP		1,710.62	
10-23	Delivered	33	NRG ENERGY INC		1,027.12	
10-23	Delivered	53	PALM INC		3,100.50	
10-23	Delivered	40	PAYCHEX INC		1,990.00	
10-23	Delivered	30	PE CORP PE BIOSYS GP		3,146.25	
10-23	Delivered	19	PERKIN ELMER INC		2,158.87	
10-23	Delivered	16	POWER-ONE INC		1,398.00	
10-23	Delivered	7	PROVIDIAN FINCL CORP		705.25	
10-23	Delivered	14	REDBACK NETWORKS		1,900.50	
10-23	Delivered	56	ROWAN COMPANIES INC		1,560.00	

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THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number FA
312 042183 108

Securitites Delivered

Date	Activity	Quantity	Description	Price	Amount	Additional Information
10-23	Delivered	6	S D L INC		1,991.25	
10-23	Delivered	22	SANMINA CORP		2,543.75	
10-23	Delivered	14	SCIENTIFIC ATLANTA		932.75	
10-23	Delivered	10	SEPRACOR INC		852.50	
10-23	Delivered	9	STORAGE NETWORKS INC		749.25	
10-23	Delivered	21	TEKTRONIX INC		1,548.75	
10-23	Delivered	38	TENET HEALTHCARE CORP		1,429.75	
10-23	Delivered	18	TIBCO SOFTWARE INC		1,206.00	
10-23	Delivered	28	TIFFANY & COMPANY NEW		1,099.00	
10-23	Delivered	24	VITESSE SEMICONDUCTORS		1,782.00	
			Total Securities Delivered		-111,725.55	

Tax Information

	Year-to-Date		Year-to-Date
Reportable Gross Proceeds	267,933.81	Foreign Tax Paid	.75

The tax information provided above should be used only as a guide; a complete 1099 will be sent to you.

Messages

Please note that sell trades executed, checks written and debit card transactions made in 2000, and which settle early in 2001, will be reflected as 2000 transactions under the Assets, Checking and Debit Card sections of the Activity Details portion of the statement.

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Your Financial Advisor
PAUL AKRE
SENIOR VICE PRESIDENT - INVESTMENTS
MORGAN STANLEY DEAN WITTER
1200 NORTH MAYFAIR RD SUITE 400
WAUWATOSA, WI 53226
(414) 256-2300

Account Number FA
312 042183 108

AAA Customer Service
1-800-869-3326

THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE
4200 CHRISTINE PLACE
ALEXANDRIA VA 22311 1109

GAIN AND LOSS SUMMARY

The Gain and Loss Summary is provided for information purposes only and should not be used for tax preparation. The data required to estimate a gain or loss, including cost basis (purchase price), has been provided for most securities purchased through Dean Witter Reynolds Inc. after 1985. Information unavailable through Dean Witter Reynolds Inc. trade history has been or will need to be provided by you through your Financial Advisor. Dean Witter Reynolds Inc. makes every effort to adjust cost basis for capital changes from the day of enrollment and/or the time information is provided. Cost basis is not adjusted for certain events, such as amortization of non-Municipal Bond premiums, exercise of stock options, and receipt of cash in lieu of fractional shares (Please see your Gain and Loss Summary brochure for more details.) Dean Witter Reynolds Inc. does not guarantee nor will it independently verify the accuracy of this information. Again, the Summary does not conform to tax preparation standards and should not be used for tax. To correct any information or provide missing information, please contact your Financial Advisor.

Unrealized Gain/(Loss)	Quantity	Date Acquired	Unit Cost	Adjusted Total Cost	Market Value	Unrealized Gain/(Loss)	Additional Information
Stocks							
Common Stocks							
AES CORP	14	09-23-99	32.6250	456.75	775.25	318.50	Long Term
ALLERGAN INC	15	07-12-00	80.2593	1,203.89	1,452.18	248.29	Short Term
	6	10-25-00	83.7550	502.53	580.88	78.35	Short Term
	21		81.2501	1,706.42	2,033.06	326.64	
ALZA CP COM	40	06-01-00	25.3125	1,012.50	1,700.00	687.50	Short Term
ANDRX CP-ANDRX GR 51	22	02-24-00	44.8054	985.72 P	1,273.25	287.53	Short Term ADJUSTED 12/04/00
APPLERA CP-APPLIED BIOSYS GP	30	06-18-99	28.7500	862.50	2,821.87	1,959.37	Long Term
BAKER HUGHES INC	62	03-07-00	29.3356	1,818.81	2,576.87	758.06	Short Term

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THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number FA
312 042183 108

Unrealized Gain/(Loss)	Quantity	Date Acquired	Unit Cost	Adjusted Total Cost	Market Value	Unrealized Gain/(Loss)	Additional Information
BEA SYSTEMS INC	13	08-17-00	56.9500	740.35	875.05	134.70	Short Term
	9	08-23-00	55.7155	501.44	605.82	104.38	Short Term
	22		56.4450	1,241.79	1,480.87	239.08	
BROCADE	11	07-21-00	99.6578	1,096.24	1,009.93	(86.31)	Short Term
CABLEVISION SYSTEMS CP	12	12-07-00	77.3500	928.20	1,019.25	91.05	Short Term
CALPINE CORP	56	07-06-00	52.8437	1,839.25	2,523.50	684.25	Short Term
CAPITAL ONE FINANCIAL CORP	26	03-17-00	46.7688	1,215.99	1,711.12	495.13	Short Term
CHARTER COMM CLASS A	59	10-09-00	18.8977	1,114.97	1,338.56	223.59	Short Term
	33	10-25-00	17.6393	582.10	748.69	166.59	Short Term
	92		18.4464	1,697.07	2,087.25	390.18	
CHECK POINT SOFTWARE TECH LTD	2	01-21-00	61.9475	123.89	267.12	143.23	Short Term
	10	02-04-00	72.6590	726.59	1,335.63	609.04	Short Term
	12		70.8733	850.48	1,602.75	752.27	
CHUBB CP	23			please provide	1,989.50		
CIENA CORPORATION	5	12-13-99	35.9264	179.63	406.25	226.62	Long Term
	8	02-24-00	61.5362	492.29	650.00	157.71	Short Term
	13		51.6862	671.92	1,056.25	384.33	
COMVERSE TECHNOLOGY INC	24	03-02-98	15.7436	377.85	2,607.00	2,229.15	Long Term
EOG RESOURCES INC	49	08-03-00	33.4914	1,641.08	2,676.62	1,035.54	Short Term
FOREST LABORATORIES	9	08-04-00	116.9888	1,052.90	1,195.87	142.97	Short Term
	4	10-25-00	134.6975	538.79	531.50	(7.29)	Short Term
	13		122.4377	1,591.69	1,727.37	135.68	
GOLDEN WEST FINCL CORP DEL	14	11-30-00	58.4150	817.81 P	945.00	127.19	Short Term ADJUSTED 12/04/00
	7	12-13-00	62.0628	434.44	472.50	38.06	Short Term
	21		59.6310	1,252.25	1,417.50	165.25	

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THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number FA
312 042183 108

Unrealized Gain/(Loss)	Quantity	Date Acquired	Unit Cost	Adjusted Total Cost	Market Value	Unrealized Gain/(Loss)	Additional Information
I D E C PHARMACEUTICALS	6	10-11-00	163.3333	980.00	1,137.37	157.37	Short Term
	4	10-25-00	195.1250	780.50	758.25	(22.25)	Short Term
	10		176.0500	1,760.50	1,895.62	135.12	
MERCURY INTERACTIVE CORP	3	06-31-99	23.0636	71.59	270.75	199.16	Long Term
	12	12-30-99	50.5150	606.18	1,083.00	476.82	Long Term
	15		45.1847	677.77	1,353.75	675.98	
NIKE INC B	14	12-20-00	49 2205	689.20	781.37	92.17	Short Term
NORTHERN TRUST CORP	23	03-24-00	66.2273	1,523.23	1,875.93	352.70	Short Term
PAYCHEX INC	15	06-01-00	37.5000	562.50	729.37	166.87	Short Term
	10	07-21-00	44.9990	449.99	486.25	36.26	Short Term
	25		40.4996	1,012.49	1,215.62	203.13	
PERKIN ELMER INC	13	08-31-00	90.5769	1,177.50	1,365.00	187.50	Short Term
	6	09-21-00	98.9500	593.70	630.00	36.30	Short Term
	19		93.2211	1,771.20	1,995.00	223.80	
S D L INC	4	02-02-00	142.2120	568.85	592.75	23.90	Short Term
SOUTHWEST AIRLINES	20	12-22-00	31.9985	639.97	670.60	30.63	Short Term
TENET HEALTHCARE CORP	38	06-01-00	25.8750	983.25	1,688.62	705.37	Short Term
UNITEDHEALTH GP INC	6	11-28-00	118.7500	712.50 P	368.24	(344.26)	Short Term ADJUSTED 12/04/00
	4	12-06-00	118.9300	475.72	245.49	(230.23)	Short Term
	3	12-21-00	120.6166	361.85	184.12	(177.73)	Short Term
	13	12-31-00		0.00	797.89	797.89	Short Term
	26		59.6181	1,550.07	1,595.74	45.67	
USA EDUCATION	11	12-20-00	62.6963	689.66	748.00	58.34	Short Term
Totals for positions with cost data available				$33,112.70	$46,512.71	$13,400.01	
Total Market Value for all positions					$48,502.21		

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THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number FA
312 042183 108

Unrealized Gain/(Loss)	Quantity	Date Acquired	Unit Cost	Adjusted Total Cost	Market Value	Unrealized Gain/(Loss)	Additional Information

P - You have provided the trade history for this transaction; it was not available through Dean Witter Reynolds Inc. records.

The "Total Cost" and "Unit Cost" for Fixed Income Unit Trusts, Mortgage-Backed Securities, and Zero Coupon Bonds has been adjusted to reflect any partial return of principal or capital that may have been paid to you, or accreted interest earned, since your purchase date. In the event that the accumulated total return of principal or capital is greater than the provided original cost, the adjusted cost will be "0.00".

Realized Gain/(Loss)	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
ABGENIX INC	10	12-17-99	02-23-00	1,094.94	2,421.67	1,326.73	Short Term
ABGENIX INC	5	12-17-99	03-21-00	547.47	812.23	264.76	Short Term
AES CORP	5	07-28-99	02-18-00	297.60	438.11	140.51	Short Term
AES CORP	9	07-28-99	03-10-00	536.04	666.70	130.66	Short Term
AES CORP	6	09-23-99	03-10-00	391.50	444.47	52.97	Short Term
AES CORP	4	09-23-99	10-18-00	130.60	214.67	84.17	Long Term
AFFYMETRIX INC	5	03-13-00	03-22-00	1,295.91	856.70	(439.21)	Short Term
AFFYMETRIX INC	6	07-06-00	08-02-00	930.75	805.23	(125.52)	Short Term
ALCOA INC	7	01-13-00	05-23-00	581.88	440.23	(141.65)	Short Term
ALCOA INC	10	01-13-00	06-07-00	831.25	604.60	(226.65)	Short Term
ALLERGAN INC	14	02-04-99	01-21-00	556.58	725.66	169.08	Short Term
ALLERGAN INC	28	02-04-99	03-09-00	1,113.16	1,299.23	186.07	Long Term
ALZA CP COM	9	06-01-00	11-16-00	227.81	409.54	181.73	Short Term
ALZA CP COM	9	06-01-00	11-17-00	227.81	387.76	159.95	Short Term
AMERICAN TOWER CP CLASS A	8	12-16-99	02-11-00	242.71	355.98	113.27	Short Term
AMERICAN TOWER CP CLASS A	34	12-16-99	03-01-00	1,031.50	1,656.59	625.09	Short Term
AMERICAN TOWER CP CLASS A	26	12-16-99	09-13-00	788.79	924.46	135.67	Short Term
AMERICAN TOWER CP CLASS A	4	07-27-00	09-13-00	172.13	142.23	(29.90)	Short Term
AMFM INC	5	02-11-00	02-11-00	please provide	397.79		
AMFM INC	23	02-11-00	03-01-00	please provide	1,441.46		
ANADARKO PETE	14	11-28-00	12-12-00	943.62	905.76	(37.86)	Short Term Adjusted 12/04/00
ANDRX CORPORATION	6	02-24-00	03-27-00	639.94	677.97	138.03	Short Term
ANDRX CORPORATION	4	02-24-00	07-14-00	179.98	295.43	115.45	Short Term
ANDRX CORPORATION	6	02-24-00	08-09-00	269.97	473.88	203.91	Short Term
APACHE CORP	5	05-21-99	02-11-00	177.50	180.30	2.80	Short Term
APACHE CORP	7	05-21-99	03-06-00	248.50	283.56	35.06	Short Term
APACHE CORP	14	06-29-99	03-06-00	553.88	567.11	13.23	Short Term
APPLIED MICRO CIRCUITS CP	2	07-13-00	10-25-00	298.26	287.39	(10.87)	Short Term
APPLIED MICRO CIRCUITS CP	14	07-13-00	12-12-00	1,043.89	1,038.59	(5.30)	Short Term
APPLIED MICRO CIRCUITS CP	8	07-26-00	12-12-00	657.89	593.48	(64.41)	Short Term
ARIBA INC	3	07-06-00	10-02-00	282.75	379.11	96.36	Short Term
ARIBA INC	8	07-06-00	12-06-00	754.00	610.01	(143.99)	Short Term

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THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number FA
312 042183 108

Realized Gain/(Loss)	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
ARIBA INC	2	07-11-00	12-06-00	190.21	152.50	(37.71)	Short Term
ARIBA INC	4	07-11-00	12-12-00	380.41	318.49	(61.92)	Short Term
ARIBA INC	5	08-10-00	12-12-00	696.09	398.11	(298.78)	Short Term
ART TECHNOLOGY GROUP INC	10	10-22-99	11-08-00	317.71	447.38	129.67	Long Term
ART TECHNOLOGY GROUP INC	10	10-22-99	11-15-00	317.71	481.27	163.56	Long Term
ASPECT COMMUNICATIONS	6	10-29-99	02-11-00	149.03 P	405.73	256.70	Short Term Adjusted 12/04/00
ASPECT COMMUNICATIONS	17	10-29-99	03-22-00	422.26 P	773.65	351.39	Short Term Adjusted 12/04/00
ASPECT COMMUNICATIONS	32	10-29-99	03-27-00	794.85 P	1,443.95	649.10	Short Term Adjusted 12/04/00
AVOCENT CORPORATION	16	11-02-00	12-12-00	1,112.61	768.97	(343.64)	Short Term
AVON PRODUCTS INC	17	06-01-00	08-25-00	733.13	636.22	(96.91)	Short Term
AVON PRODUCTS INC	21	06-01-00	12-12-00	905.63	871.47	(34.16)	Short Term
BEA SYSTEMS INC	16	09-24-99	01-07-00	243.30	996.29	752.99	Short Term
BEA SYSTEMS INC	5	09-24-99	02-18-00	76.03	725.28	649.25	Short Term
BEA SYSTEMS INC	10	09-24-99	04-11-00	152.06	736.08	584.02	Short Term
BEA SYSTEMS INC	26	09-24-99	05-23-00	197.68	901.30	703.62	Short Term
BEA SYSTEMS INC	10	08-17-00	10-27-00	569.50	754.93	185.43	Short Term
BED BATH & BEYOND INC	49	09-26-00	12-12-00	1,221.93	1,105.52	(116.41)	Short Term
BEST BUY CO	8	03-17-00	03-31-00	607.04	662.47	54.43	Short Term
BEST BUY CO	2	03-17-00	05-24-00	151.96	130.88	(21.08)	Short Term
BEST BUY CO	12	03-17-00	06-19-00	911.75	759.59	(152.16)	Short Term
BIOGEN INC MASS	3	11-04-98	03-27-00	110.36	240.93	130.57	Long Term
BIOGEN INC MASS	10	11-04-98	04-07-00	367.86	675.03	307.17	Long Term
BIOGEN INC MASS	16	08-28-00	10-10-00	1,170.53	807.39	(363.14)	Short Term
BOOKHAM TECH PLC ADR	11	07-28-00	10-12-00	780.73	374.22	(406.51)	Short Term
BOOKHAM TECH PLC ADR	11	08-09-00	10-12-00	691.61	374.22	(317.39)	Short Term
BROADCOM CORP CL A	2	07-28-99	03-27-00	120.64	478.23	357.59	Short Term
BROADCOM CORP CL A	10	07-28-99	07-19-00	603.22	2,460.50	1,857.28	Short Term
BROCADE	4	02-18-00	11-21-00	546.00	789.91	243.91	Short Term
BROCADE	8	02-18-00	12-22-00	546.00	678.97	132.97	Short Term
BROCADE	3	07-21-00	12-22-00	298.97	254.62	(44.35)	Short Term
CACHEFLOW	9	03-06-00	04-11-00	1,258.01	731.66	(526.35)	Short Term
CACHEFLOW	6	03-20-00	04-11-00	588.82	487.78	(101.04)	Short Term
CAPITAL ONE FINANCIAL CORP	9	03-17-00	06-20-00	420.92	430.69	9.77	Short Term
CHARTERED SEMICONDUCTOR ADS	5	11-30-99	02-11-00	267.70	487.48	219.78	Short Term
CHARTERED SEMICONDUCTOR ADS	15	11-30-99	05-24-00	803.11	1,002.63	199.52	Short Term
CHARTERED SEMICONDUCTOR ADS	9	11-30-99	08-03-00	481.87	612.93	131.06	Short Term
CHECK POINT SOFTWARE TECH LTD	3	01-21-00	02-11-00	371.69	515.79	144.10	Short Term
CHECK POINT SOFTWARE TECH LTD	4	01-21-00	03-16-00	495.58	785.83	290.25	Short Term
CHECK POINT SOFTWARE TECH LTD	8	01-21-00	11-01-00	495.58	1,234.00	738.42	Short Term
CIENA CORPORATION	16	12-13-99	10-02-00	574.82	1,909.93	1,335.11	Short Term
CIENA CORPORATION	7	12-13-99	10-25-00	251.49	729.28	477.79	Short Term

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THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number FA
312 042183 108

Realized Gain/(Loss)	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
CIRRUS LOGIC INC	34	09-11-00	12-07-00	1,150.22	609.77	(540.45)	Short Term
CITRIX SYSTEMS INC	3	12-21-98	02-11-00	135.05	524.98	389.93	Long Term
CITRIX SYSTEMS INC	10	12-21-98	03-16-00	225.08	873.03	647.95	Long Term
CITRIX SYSTEMS INC	5	08-13-99	03-16-00	132.31	436.52	304.21	Short Term
CITRIX SYSTEMS INC	11	08-13-99	03-30-00	291.08	777.74	486.66	Short Term
CITRIX SYSTEMS INC	12	08-13-99	06-12-00	317.54	290.54	(27.00)	Short Term
CLARENT CORP	17	10-29-99	05-17-00	1,645.99	2,129.40	483.41	Short Term
CMGI INC	9	03-04-99	01-06-00	642.94	2,420.84	1,777.90	Short Term
CMGI INC	14	03-04-99	04-10-00	500.07	1,262.84	762.77	Long Term
COMMERCE ONE (DEL)	17	10-25-00	12-06-00	1,152.81	646.94	(505.87)	Short Term
COMMSCOPE INC	30	08-17-99	02-02-00	1,147.24	1,069.21	(78.03)	Short Term
COMMSCOPE INC	17	09-23-99	02-02-00	578.00	605.88	27.88	Short Term
COMVERSE TECHNOLOGY INC	6	03-02-98	03-23-00	188.92	1,196.81	1,007.89	Long Term
CONCORD EFS INC	39	10-29-99	01-25-00	1,038.28	813.90	(224.38)	Short Term
CONCORD EFS INC	18	11-19-99	01-25-00	574.82	375.65	(199.17)	Short Term
CONCORD EFS INC	20	11-02-00	12-22-00	865.03	868.72	3.69	Short Term
CONCORD EFS INC	12	11-21-00	12-22-00	517.41	521.23	3.82	Short Term
CONEXANT SYSTEMS INC	2	06-22-99	02-11-00	67.31	213.24	145.93	Short Term
CONEXANT SYSTEMS INC	8	06-22-99	03-22-00	269.23	616.08	346.85	Short Term
CONEXANT SYSTEMS INC	10	10-21-99	03-22-00	417.84	770.09	352.25	Short Term
CONVERGYS CORPORATION	17	06-01-00	08-24-00	753.31	687.29	(66.02)	Short Term
CORNING INC	6	03-09-99	02-11-00	355.83	997.46	641.63	Short Term
CORNING INC	5	03-09-99	02-22-00	296.52	949.96	653.44	Short Term
CORNING INC	5	03-09-99	03-31-00	296.52	947.46	650.94	Long Term
CORNING INC	1	03-09-99	06-21-00	59.30	242.51	183.21	Long Term
CORNING INC	9	03-09-99	07-06-00	533.74	2,248.60	1,714.86	Long Term
COSTCO WHOLESALE CORP NEW	8	12-03-99	03-27-00	388.29	427.98	39.69	Short Term
COSTCO WHOLESALE CORP NEW	21	12-03-99	05-05-00	1,019.27	1,032.58	13.31	Short Term
COSTCO WHOLESALE CORP NEW	9	12-03-99	06-07-00	436.83	289.32	(147.51)	Short Term
COVAD COMMUNICATIONS GROUP	5	07-23-99	02-18-00	297.44	424.98	127.54	Short Term
COVAD COMMUNICATIONS GROUP	2	07-23-99	03-27-00	118.98	154.65	35.67	Short Term
COVAD COMMUNICATIONS GROUP	11	07-23-99	03-27-00	654.37	843.52	189.15	Short Term
COVAD COMMUNICATIONS GROUP	7.500	07-23-99	04-25-00	297.44	203.13	(94.31)	Short Term
COVAD COMMUNICATIONS GROUP	19.500	12-31-99	04-25-00	727.19	528.13	(199.06)	Short Term
CYPRESS SEMICONDUCTOR DELA	11	09-17-99	03-27-00	303.28	584.36	281.08	Short Term
CYPRESS SEMICONDUCTOR DELA	43	09-17-99	08-09-00	1,185.56	1,562.91	377.35	Short Term
DIGEX INC	10	03-14-00	04-11-00	1,682.73	882.03	(800.70)	Short Term
DIGITAL LIGHTWAVE INC	11	08-18-00	09-18-00	1,186.69	754.69	(432.00)	Short Term
DOUBLECLICK INC	3	07-09-99	01-06-00	309.16	591.17	282.01	Short Term
DOUBLECLICK INC	2	07-09-99	02-11-00	103.05	221.74	118.69	Short Term
DOUBLECLICK INC	6	07-09-99	02-17-00	309.16	566.90	257.74	Short Term
DOUBLECLICK INC	6	07-09-99	02-17-00	309.16	567.00	257.84	Short Term
DOUBLECLICK INC	2	07-09-99	02-22-00	103.05	172.31	69.26	Short Term
DOUBLECLICK INC	6	02-17-00	02-22-00	566.92	516.92	(50.00)	Short Term

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MORGAN STANLEY DEAN WITTER

ACTIVE ASSETS ACCOUNT®

FOR YEAR ENDING DECEMBER 31, 2000

THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number 312 042183 FA 108

Realized Gain/(Loss)	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
ECHOSTAR COMM CORP CL A	8	11-01-99	03-27-00	270.16	621.97	351.81	Short Term
ECHOSTAR COMM CORP CL A	18	11-01-99	05-31-00	607.87	745.60	137.73	Short Term
ECHOSTAR COMM CORP CL A	14	12-07-99	05-31-00	585.63	579.91	(5.72)	Short Term
EFFICIENT NETWORKS INC	2	02-07-00	02-11-00	194.35	217.74	23.39	Short Term
EFFICIENT NETWORKS INC	8	02-07-00	03-22-00	777.41	1,355.69	578.28	Short Term
EFFICIENT NETWORKS INC	14	02-07-00	05-12-00	1,360.47	714.77	(645.70)	Short Term
EMULEX CORP	1	10-22-99	03-15-00	79.54	162.18	82.64	Short Term
EMULEX CORP	9	10-22-99	03-29-00	715.83	1,243.17	527.34	Short Term
ESPEED INC CL A	7	12-10-99	02-11-00	283.88	496.98	213.10	Short Term
ESPEED INC CL A	15	12-10-99	03-31-00	608.32	884.97	276.65	Short Term
ESPEED INC CL A	2	12-10-99	05-22-00	81.11	61.87	(19.24)	Short Term
ESPEED INC CL A	13	12-10-99	06-20-00	527.21	453.51	(73.70)	Short Term
EXODUS COMMUNICATIONS INC	10	07-09-99	01-07-00	333.39	858.42	525.03	Short Term
EXODUS COMMUNICATIONS INC	6	07-09-99	03-27-00	200.03	1,065.59	865.56	Short Term
EXODUS COMMUNICATIONS INC	4	07-09-99	04-24-00	133.36	334.51	201.15	Short Term
EXODUS COMMUNICATIONS INC	2	12-07-99	04-24-00	142.06	167.26	25.20	Short Term
EXODUS COMMUNICATIONS INC	20	12-07-99	07-12-00	710.32	831.74	121.42	Short Term
EXTREME NETWORKS INC	12	08-24-00	12-12-00	1,084.30	1,010.21	(74.09)	Short Term
FIRST HEALTH GROUP CORP	22	06-01-00	08-18-00	796.13	616.55	(179.58)	Short Term
FLEXTRONICS INTL LTD	5	12-04-98	02-11-00	94.92	285.30	190.38	Long Term
FLEXTRONICS INTL LTD	9	12-04-98	03-31-00	170.85	625.47	454.62	Long Term
FLEXTRONICS INTL LTD	44	12-04-98	12-06-00	417.64	1,348.68	931.04	Long Term
GEMSTAR-TV GUIDE INTERNATIONAL	15	11-22-00	11-22-00	689.83 P	689.67	(.16)	Short Term Adjusted 12/04/00
GENENTECH INC	7	12-16-99	03-16-00	779.30	1,229.82	450.52	Short Term
GENENTECH INC	6	12-16-99	04-13-00	667.97	802.66	134.69	Short Term
GENENTECH INC	5	12-16-99	04-19-00	556.64	659.97	103.33	Short Term
GLOBESPAN INC	11	07-06-00	10-03-00	1,176.31	1,251.26	74.95	Short Term
HARLEY DAVIDSON INC	10	10-19-99	06-07-00	294.15	368.26	74.11	Short Term
HARLEY DAVIDSON INC	17	10-19-99	06-28-00	500.06	639.62	139.56	Short Term
HARLEY DAVIDSON INC	7	10-19-99	12-22-00	205.91	261.18	55.27	Long Term
HARLEY DAVIDSON INC	16	03-17-00	12-22-00	663.14	596.98	(66.16)	Short Term
HARLEY DAVIDSON INC	13	08-02-00	12-22-00	567.80	485.04	(82.76)	Short Term
HEALTH MGMT ASSOC CL A	13	01-07-00	02-18-00	230.72	138.93	(91.79)	Short Term
HEALTH MGMT ASSOC CL A	119	01-07-00	03-07-00	2,111.96	1,398.20	(713.76)	Short Term
HUMAN GENOME SCIENCES INC	12	07-11-00	12-22-00	1,052.22	828.72	(223.50)	Short Term
HUMAN GENOME SCIENCES INC	10	08-01-00	12-22-00	651.21	690.60	39.39	Short Term
ICN PHARMACEUTICALS INC NEW	19	06-01-00	06-20-00	657.88	503.55	(154.33)	Short Term
IMMUNEX CORP NEW	21	07-17-00	10-23-00	1,224.86	879.92	(344.94)	Short Term
IMMUNEX CORP NEW	9	08-01-00	10-23-00	479.69	377.11	(102.58)	Short Term
INCYTE GENOMICS INC	3	02-02-00	02-11-00	371.02	527.23	156.21	Short Term
INCYTE GENOMICS INC	5	02-02-00	02-23-00	618.37	1,249.49	631.12	Short Term
INCYTE GENOMICS INC	5	02-02-00	03-15-00	618.37	655.43	37.06	Short Term
INTEGRATED DEVICES TECH INC	11	07-13-00	10-20-00	758.29	860.68	102.39	Short Term

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THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number FA
312 042183 108

Realized Gain/(Loss)	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
INTEGRATED DEVICES TECH INC	2	07-13-00	11-20-00	137.87	89.02	(48.85)	Short Term
INTEGRATED DEVICES TECH INC	10	08-23-00	11-20-00	748.62	445.12	(303.50)	Short Term
INTERNET CAPITAL GROUP INC	8	09-01-99	01-05-00	328.53	1,308.20	979.67	Short Term
INTERNET CAPITAL GROUP INC	4	09-01-99	01-20-00	164.27	621.97	457.70	Short Term
INTERNET CAPITAL GROUP INC	10	09-01-99	02-22-00	410.66	1,121.36	710.70	Short Term
INTERWOVEN INC	17	08-28-00	12-22-00	1,473.53	1,021.03	(452.50)	Short Term
INTERWOVEN INC	1	12-19-00	12-22-00	65.35	60.06	(5.29)	Short Term
INTUIT INC	24	08-12-99	03-14-00	620.84	1,253.80	632.96	Short Term
INTUIT INC	26	08-24-00	12-12-00	1,447.46	1,407.20	(40.26)	Short Term
INVITROGEN CORP	9	12-08-00	12-22-00	735.88	713.78	(22.10)	Short Term
IVAX CORP FLORIDA	25	07-13-00	12-12-00	1,126.68	1,062.46	(64.22)	Short Term
IVAX CORP FLORIDA	9	07-31-00	12-12-00	450.00	382.49	(67.51)	Short Term
I2 TECHNOLOGIES INC	6	02-02-00	04-11-00	636.80	593.23	(43.57)	Short Term
I2 TECHNOLOGIES INC	6	02-02-00	09-05-00	636.80	1,116.74	479.94	Short Term
I2 TECHNOLOGIES INC	9	12-06-00	12-22-00	516.37	448.29	(68.08)	Short Term
JDS UNIPHASE CORP	4	07-26-99	03-27-00	please provide	532.68		
JDS UNIPHASE CORP	3	08-10-99	03-27-00	63.71	399.36	335.65	Short Term
JDS UNIPHASE CORP	28	08-10-99	07-06-00	594.58	3,241.63	2,647.05	Short Term
JDS UNIPHASE CORP	1	08-10-99	07-12-00	21.24	98.80	77.56	Short Term
JDS UNIPHASE CORP	12	11-19-99	07-12-00	621.70	1,185.61	563.91	Short Term
JDS UNIPHASE CORP	17	07-06-00	07-12-00	please provide	1,679.62		
JUNIPER NETWORKS	6	10-07-99	07-07-00	194.00	892.51	698.51	Short Term
JUNIPER NETWORKS	5	10-19-99	07-07-00	195.55	743.75	548.20	Short Term
JUNIPER NETWORKS	7	10-19-99	07-31-00	273.78	1,000.45	726.67	Short Term
KING PHARMACEUTICALS	14	12-06-00	12-22-00	692.90	670.22	(22.68)	Short Term
KING PHARMACEUTICALS	12	12-19-00	12-22-00	578.43	574.48	(3.95)	Short Term
KLA TENCOR CORP	28	03-24-00	08-08-00	2,518.91	1,286.65	(1,232.26)	Short Term
KNIGHT / TRIMARK GROUP INC A	30	11-15-99	01-20-00	1,297.01	1,077.01	(220.00)	Short Term
KOHLS CORPORATION WISC	10	06-01-00	08-21-00	538.75	559.98	21.23	Short Term
KOHLS CORPORATION WISC	14	06-01-00	12-22-00	754.25	802.35	48.10	Short Term
LAM RESEARCH CORPORATION	2	10-21-99	02-11-00	153.25	276.74	123.49	Short Term
LAM RESEARCH CORPORATION	10	10-21-99	03-27-00	255.42	542.48	287.06	Short Term
LAM RESEARCH CORPORATION	23	10-21-99	05-24-00	587.46	563.48	(23.98)	Short Term
LAM RESEARCH CORPORATION	24	10-27-99	05-24-00	610.00	587.97	(22.03)	Short Term
LINEAR TECHNOLOGY CORPORATION	4	07-01-99	03-27-00	275.89	417.98	142.09	Short Term
LINEAR TECHNOLOGY CORPORATION	24	09-15-99	07-06-00	803.79	1,473.98	670.19	Short Term
MACROMEDIA INCORPORATED	14	07-11-00	08-22-00	1,362.54	932.95	(429.59)	Short Term
MASTEC INC	18	07-06-00	08-10-00	762.75	571.11	(191.64)	Short Term
MAXIM INTEGRATED PRODUCTS INC	5	01-21-00	02-11-00	248.44	292.80	44.36	Short Term
MAXIM INTEGRATED PRODUCTS INC	12	01-21-00	10-18-00	596.25	834.40	238.15	Short Term
MAXIM INTEGRATED PRODUCTS INC	12	01-21-00	10-26-00	596.25	694.77	98.52	Short Term
MCLEODUSA INC CL A	44	07-06-00	09-14-00	979.00	623.82	(355.18)	Short Term
MEDAREX INC	12	09-28-00	12-05-00	697.93	444.47	(253.46)	Short Term
MEDAREX INC	2	09-28-00	12-12-00	116.32	99.62	(16.70)	Short Term

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THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number FA
312 042183 108

Realized Gain/(Loss)

	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
MEDAREX INC	12	10-25-00	12-12-00	795.48	597.73	(197.75)	Short Term
MEDIMMUNE INC	14	07-07-99	02-04-00	1,042.93	1,901.77	858.84	Short Term
MEDIMMUNE INC	22	07-06-00	12-12-00	1,652.75	1,193.46	(459.29)	Short Term
MEDIMMUNE INC	9	08-01-00	12-12-00	564.94	488.23	(76.71)	Short Term
MERCURY INTERACTIVE CORP	3	08-31-99	02-11-00	143.18	461.23	318.05	Short Term
MERCURY INTERACTIVE CORP	5	08-31-99	02-18-00	119.32	435.29	315.97	Short Term
MERCURY INTERACTIVE CORP	13	08-31-99	02-22-00	310.23	1,140.73	830.50	Short Term
MERCURY INTERACTIVE CORP	7	08-31-99	03-16-00	167.05	679.27	512.22	Short Term
MERCURY INTERACTIVE CORP	10	08-31-99	11-02-00	238.64	1,088.66	850.02	Long Term
METROMEDIAFIBER NETWORK CL A	5	01-21-00	02-11-00	339.14	403.42	64.28	Short Term
METROMEDIAFIBER NETWORK CL A	33	01-21-00	04-25-00	1,119.15	949.97	(169.18)	Short Term
METROMEDIAFIBER NETWORK CL A	1	01-21-00	10-03-00	33.91	22.94	(10.97)	Short Term
METROMEDIAFIBER NETWORK CL A	24	02-07-00	10-03-00	924.71	550.64	(374.07)	Short Term
MGM MIRAGE	32	07-02-99	01-19-00	1,535.59	1,495.45	(40.14)	Short Term
MICROMUSE INC	6	07-10-00	12-12-00	1,041.45	861.72	(179.73)	Short Term
MICROMUSE INC	4	07-24-00	12-12-00	594.20	574.48	(19.72)	Short Term
MILLENNIUM PHARM INC	5	12-31-99	03-22-00	618.74	711.33	92.59	Short Term
MILLENNIUM PHARM INC	28	07-31-00	12-11-00	1,353.03	1,791.85	438.82	Short Term
MILLIPORE CORP	15	03-09-00	07-17-00	897.59	948.79	51.20	Short Term
MILLIPORE CORP	13	03-09-00	08-28-00	777.92	792.97	15.05	Short Term
MINIMED INC	11	09-29-00	12-06-00	983.06	699.42	(283.64)	Short Term
NETWORK APPLIANCE INC	15	02-24-00	09-05-00	1,409.84	1,695.45	285.61	Short Term
NETWORK APPLIANCE INC	4	02-24-00	10-02-00	375.96	483.84	107.88	Short Term
NETWORK APPLIANCE INC	4	02-24-00	10-02-00	375.96	485.86	109.90	Short Term
NETWORK APPLIANCE INC	5	02-24-00	11-15-00	469.95	390.67	(79.28)	Short Term
NETWORK APPLIANCE INC	4	10-02-00	11-15-00	485.88	312.54	(173.34)	Short Term
NETWORK SOLUTION INC CL A	5	02-24-00	04-24-00	754.92	544.55	(210.37)	Short Term
NEWPORT CORP	5	07-06-00	10-25-00	422.50	575.59	153.09	Short Term
NEWPORT CORP	5	07-06-00	12-22-00	422.50	390.61	(31.89)	Short Term
NEWPORT CORP	7	07-07-00	12-22-00	605.34	546.85	(58.49)	Short Term
NEXTEL COMMUNICATIONS INC CL A	5	09-10-99	02-11-00	204.94	379.48	174.54	Short Term
NEXTEL COMMUNICATIONS INC CL A	11	09-10-99	05-25-00	751.45	938.91	187.46	Short Term
NEXTEL COMMUNICATIONS INC CL A	6	11-19-99	05-25-00	582.00	512.13	(69.87)	Short Term
NO CORPORATE TITLE	5	12-07-99	02-18-00	523.12	572.17	49.05	Short Term
NOBLE DRILLING	47	06-01-00	12-12-00	1,982.81	1,809.63	(173.38)	Short Term
NRG ENERGY INC	33	10-03-00	12-07-00	1,205.53	730.10	(475.43)	Short Term
OMNICOM GROUP	7	12-10-99	06-27-00	706.06	623.60	(82.46)	Short Term
OMNICOM GROUP	12	12-10-99	08-28-00	1,210.38	973.46	(236.92)	Short Term
OUTBACK STEAKHOUSE	22	06-01-00	07-07-00	666.88	647.44	(19.44)	Short Term
OUTBACK STEAKHOUSE	30	06-01-00	07-27-00	909.38	720.10	(189.28)	Short Term
PALM INC	34	09-06-00	12-21-00	1,383.14	924.94	(458.20)	Short Term
PALM INC	9	09-06-00	12-22-00	366.13	266.05	(100.08)	Short Term
PALM INC	10	09-27-00	12-22-00	512.81	295.61	(217.20)	Short Term
PALM INC	17	10-31-00	12-22-00	911.42	502.54	(408.88)	Short Term

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THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number FA
312 042183 108

Realized Gain/(Loss)	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
PAYCHEX INC	15	06-01-00	12-04-00	562.50	705.17	142.67	Short Term
PE CORP PE BIOSYS GP	9	06-18-99	01-13-00	517.50	1,145.66	628.16	Short Term
PE CORP PE BIOSYS GP	2	06-18-99	02-11-00	115.00	309.98	194.98	Short Term
PE CP COM CELERA GENOMICS GP	12	12-22-99	03-22-00	708.00	1,329.02	621.02	Short Term
PEOPLESOFT INC	21	10-20-00	12-22-00	849.76	733.66	(116.10)	Short Term
PEOPLESOFT INC	10	11-28-00	12-22-00	381.94	349.36	(32.58)	Short Term
							Adjusted 12/04/00
PMC SIERRA INC	8	11-23-98	05-24-00	117.00	1,020.19	903.19	Long Term
PMC SIERRA INC	2	11-11-99	05-24-00	107.44	255.05	147.61	Short Term
PMC SIERRA INC	10	11-11-99	09-05-00	537.19	2,378.90	1,841.71	Short Term
POWER-ONE INC	5	07-06-00	08-22-00	545.94	700.74	154.80	Short Term
POWER-ONE INC	16	07-06-00	12-22-00	873.50	608.97	(264.53)	Short Term
PROTEIN DESIGN LABS	8	11-01-00	12-12-00	1,123.08	771.97	(351.11)	Short Term
PROTEIN DESIGN LABS	5	11-28-00	12-12-00	458.14	482.48	24.34	Short Term
							Adjusted 12/04/00
PROVIDIAN FINCL CORP	7	09-27-00	11-20-00	889.44	577.07	(312.37)	Short Term
QLOGIC CORP	16	11-03-00	12-12-00	1,951.59	1,833.93	(117.66)	Short Term
QUANTA SERVICES INC	17	06-01-00	08-25-00	822.38	735.51	(86.87)	Short Term
QUANTA SERVICES INC	5	06-01-00	10-02-00	241.88	129.24	(112.64)	Short Term
QUANTA SERVICES INC	13	07-27-00	10-02-00	592.23	336.02	(256.21)	Short Term
QWEST COMMUNICATIONS INTL INC	27	11-05-99	03-06-00	980.09	1,554.19	574.10	Short Term
QWEST COMMUNICATIONS INTL INC	19	11-05-99	06-07-00	689.69	848.78	159.09	Short Term
RADIOSHACK CORP	18	11-01-00	12-12-00	1,119.17	918.65	(200.52)	Short Term
REAL NETWORKS INC	2	07-15-99	02-11-00	181.50	353.98	172.48	Short Term
REAL NETWORKS INC	14	07-15-99	03-22-00	635.25	951.50	316.25	Short Term
REAL NETWORKS INC	12	09-28-99	03-22-00	602.25	815.58	213.33	Short Term
REDBACK NETWORKS	7	07-07-00	11-20-00	1,196.80	507.48	(689.32)	Short Term
REDBACK NETWORKS	3	07-07-00	12-12-00	512.91	284.99	(227.92)	Short Term
REDBACK NETWORKS	4	07-21-00	12-12-00	576.61	379.98	(196.63)	Short Term
RF MICRO DEVICES INC	10	07-06-00	07-27-00	798.75	699.68	(99.07)	Short Term
ROWAN COMPANIES INC	17	05-26-99	02-11-00	297.20	381.42	84.22	Short Term
ROWAN COMPANIES INC	27	05-26-99	03-31-00	472.03	804.91	332.88	Short Term
ROWAN COMPANIES INC	23	05-26-99	12-07-00	402.10	471.59	69.49	Long Term
ROWAN COMPANIES INC	33	11-19-99	12-07-00	624.94	676.64	51.70	Long Term
S O L INC	2	02-02-00	03-27-00	284.42	436.98	152.56	Short Term
S O L INC	2	02-02-00	07-20-00	284.42	851.74	567.32	Short Term
S O L INC	2	02-02-00	10-26-00	284.42	415.73	131.31	Short Term
SAFEGUARD SCIENTIFICS	9	11-11-99	03-23-00	356.25	841.31	485.06	Short Term
SAFEGUARD SCIENTIFICS	6	11-11-99	05-17-00	237.50	247.37	9.87	Short Term
SAFEGUARD SCIENTIFICS	15	12-07-99	05-17-00	656.81	618.41	(38.40)	Short Term
SANMINA CORP	22	03-14-00	12-22-00	1,396.23	1,520.69	124.46	Short Term
SAPIENT CORP	9	11-11-99	01-28-00	639.00	779.91	140.91	Short Term
SAPIENT CORP	7	11-11-99	02-10-00	497.00	628.94	131.94	Short Term
SCIENTIFIC ATLANTA	7	07-06-00	08-16-00	576.29	517.98	(58.31)	Short Term

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THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number FA
312 042183 108

Realized Gain/(Loss)	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
SCIENTIFIC ATLANTA	14	07-06-00	12-12-00	1,152.59	738.47	(414.12)	Short Term
SEPRACOR INC	9	05-05-00	08-10-00	1,128.81	841.08	(287.73)	Short Term
SEPRACOR INC	10	05-05-00	11-16-00	1,254.23	698.72	(555.51)	Short Term
SIEBEL SYSTEMS INC	17	02-24-00	07-27-00	2,353.44	2,504.31	150.87	Short Term
SMURFIT STONE CONTAINER CP NEW	55	12-16-99	02-03-00	1,294.13	1,087.07	(207.06)	Short Term
SOLECTRON CP	14	04-14-99	01-31-00	738.45	1,008.01	269.56	Short Term
STARBUCKS CORP WASHINGTON	19	12-01-00	12-12-00	839.45	866.85	27.40	Short Term
STILWELL FINCL INC	16	07-25-00	10-02-00	778.59	709.52	(69.07)	Short Term
STILWELL FINCL INC	15	08-08-00	10-02-00	719.92	664.98	(54.94)	Short Term
STORAGE NETWORKS INC	4	07-19-00	11-20-00	563.12	139.42	(423.70)	Short Term
STORAGE NETWORKS INC	5	07-26-00	11-20-00	680.45	174.27	(506.18)	Short Term
SUNGARD DATA SYSTEMS INC	17	11-30-00	12-22-00	844.69	808.53	(56.16)	Short Term Adjusted 12/04/00
SUNGARD DATA SYSTEMS INC	2	12-19-00	12-22-00	102.79	95.12	(7.67)	Short Term
SYCAMORE NETWORKS INC	2	10-22-99	01-20-00	416.94	486.10	69.16	Short Term
SYCAMORE NETWORKS INC	2	11-22-99	01-20-00	524.13	486.10	(38.03)	Short Term
SYMANTEC CORP	5	10-27-99	02-11-00	225.29	326.86	101.57	Short Term
SYMANTEC CORP	19	10-27-99	07-12-00	856.10	945.09	88.99	Short Term
SYSCO CORP	29	10-22-99	02-10-00	1,042.97	959.28	(83.69)	Short Term
TEKTRONIX INC	20	07-12-00	12-12-00	859.60	539.98	(319.62)	Short Term Adjusted 12/04/00
TEKTRONIX INC	22	08-18-00	12-12-00	788.46	593.98	(194.48)	Short Term Adjusted 12/04/00
TEKTRONIX INC	11	11-28-00	12-12-00	273.20	296.99	23.79	Short Term Adjusted 12/04/00
TENET HEALTHCARE CORP	19	06-01-00	08-22-00	491.63	550.98	59.35	Short Term
TERADYNE INC	5	03-19-99	02-11-00	142.58	379.36	236.78	Short Term
TERADYNE INC	14	05-19-99	07-28-00	399.21	816.51	417.10	Long Term
TEVA PHARMACEUTICALS IND ADR	2	01-10-00	02-11-00	147.97	143.74	(4.23)	Short Term
TEVA PHARMACEUTICALS IND ADR	54	01-10-00	04-06-00	1,997.62	1,843.03	(154.59)	Short Term
TIBCO SOFTWARE INC	8	07-06-00	11-20-00	915.50	477.73	(437.77)	Short Term
TIBCO SOFTWARE INC	5	07-06-00	12-12-00	572.19	333.74	(238.45)	Short Term
TIBCO SOFTWARE INC	5	08-01-00	12-12-00	495.57	333.74	(161.83)	Short Term
TIDEWATER INC	36	08-05-99	02-04-00	1,231.14	971.97	(259.17)	Short Term
TIDEWATER INC	17	11-19-99	02-04-00	595.52	458.98	(136.54)	Short Term
TIFFANY & COMPANY NEW	7	04-13-99	03-27-00	286.89	551.23	264.34	Short Term
TIFFANY & COMPANY NEW	10	04-15-99	05-24-00	409.84	547.96	138.12	Long Term
TIFFANY & COMPANY NEW	1	12-09-99	05-24-00	82.10	54.80	(27.30)	Short Term
TIFFANY & COMPANY NEW	14	12-09-99	12-12-00	574.67	489.98	(84.69)	Long Term
TIFFANY & COMPANY NEW	14	07-31-00	12-12-00	502.94	489.98	(12.96)	Short Term
TIME WARNER TELECOM INC A	18	11-01-00	12-22-00	1,139.26	1,007.96	(131.30)	Short Term
TIME WARNER TELECOM INC A	8	11-29-00	12-22-00	409.33	447.98	38.65	Short Term Adjusted 12/04/00
TIME WARNER TELECOM INC A	3	12-19-00	12-22-00	175.06	167.99	(7.07)	Short Term

This summary is not part of your account statement. It is for information purposes only and should not be used for tax preparation.

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THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number FA
312 042183 108

Realized Gain/(Loss)	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
TJX COS INC NEW	40	06-01-00	06-27-00	880.00	744.75	(135.25)	Short Term
TJX COS INC NEW	46	06-01-00	07-07-00	1,012.00	824.97	(187.03)	Short Term
TRANSWITCH CORP	21	12-06-00	12-22-00	767.94	862.28	94.34	Short Term
TRANSWITCH CORP	18	12-08-00	12-22-00	849.01	739.10	(109.91)	Short Term
TRIBUNE CO DELAWARE NEW	30	10-12-99	02-15-00	1,529.75	1,165.46	(364.29)	Short Term
TRIBUNE CO DELAWARE NEW	5	12-15-99	02-15-00	281.88	194.24	(87.64)	Short Term
TRIQUINT SEMICONDUCTOR INC	13	12-06-00	12-22-00	675.19	528.11	(147.08)	Short Term
TRIQUINT SEMICONDUCTOR INC	14	12-08-00	12-22-00	811.52	568.73	(242.79)	Short Term
UNICARE SERVICES INC	3	09-08-00	09-14-00	please provide	3.70		
UNIVISION COMM INC CLASS A	3	07-02-99	02-11-00	197.35	325.48	128.13	Short Term
UNIVISION COMM INC CLASS A	12	07-02-99	03-07-00	789.42	1,149.81	360.39	Short Term
UNIVISION COMM INC CLASS A	2	07-02-99	08-10-00	131.57	192.41	60.84	Long Term
UNIVISION COMM INC CLASS A	5	07-26-99	08-10-00	340.84	481.04	140.20	Long Term
UNIVISION COMM INC CLASS A	10	07-26-99	08-25-00	340.84	449.98	109.14	Long Term
USWEB CORP	5	10-29-99	01-05-00	193.31	202.34	9.03	Short Term
USWEB CORP	15	11-05-99	01-05-00	600.94	607.01	6.07	Short Term
VALASSIS COMMUNICATIONS INC	4	06-10-99	02-10-00	153.43	113.25	(40.18)	Short Term
VALASSIS COMMUNICATIONS INC	14	07-27-99	02-10-00	528.78	396.36	(132.42)	Short Term
VERISIGN INC	6	12-21-99	03-22-00	1,132.87	1,118.66	(14.21)	Short Term
VERISIGN INC	5	06-12-00	09-05-00	please provide	908.32		
VERITAS SOFTWARE DE	4	11-02-98	02-11-00	72.69	687.97	615.28	Long Term
VERITAS SOFTWARE DE	8	11-02-98	03-31-00	98.48	1,155.96	1,057.48	Long Term
VERITAS SOFTWARE DE	25	11-02-98	07-06-00	283.13	2,497.50	2,214.37	Long Term
VERTEX PHARMACEUTICALS	15	12-08-00	12-22-00	975.76	919.72	(56.04)	Short Term
VERTEX PHARMACEUTICALS	7	12-15-00	12-22-00	529.21	495.23	(33.98)	Short Term
VISHAY INTERTECHNOLOGY INC	37	09-20-99	01-20-00	927.61	1,340.92	413.31	Short Term
VISHAY INTERTECHNOLOGY INC	9	09-20-99	02-18-00	225.63	396.54	170.91	Short Term
VISHAY INTERTECHNOLOGY INC	9	09-20-99	06-01-00	225.63	648.27	422.64	Short Term
VISHAY INTERTECHNOLOGY INC	10	12-31-99	06-01-00	315.15	720.30	405.15	Short Term
VISHAY INTERTECHNOLOGY INC	19	12-31-99	07-06-00	409.69	648.81	239.12	Short Term
VITESSE SEMICONDUCTORS	18	11-11-97	02-04-00	197.44	785.37	587.93	Long Term
VITESSE SEMICONDUCTORS	6	07-13-00	10-25-00	523.68	340.24	(183.44)	Short Term
VITESSE SEMICONDUCTORS	11	07-13-00	12-12-00	960.07	690.92	(269.15)	Short Term
VITESSE SEMICONDUCTORS	7	08-11-00	12-12-00	508.25	439.67	(68.58)	Short Term
VOICE STREAM WIRELESS CORP	10	05-04-99	01-05-00	please provide	1,088.45		
VOICE STREAM WIRELESS CORP	2	05-04-99	02-11-00	please provide	299.74		
VOICE STREAM WIRELESS CORP	3	05-04-99	03-27-00	please provide	413.23		
VOICE STREAM WIRELESS CORP	4	05-04-99	03-31-00	please provide	516.98		
VOICE STREAM WIRELESS CORP	8	05-04-99	04-25-00	please provide	709.63		
VOICE STREAM WIRELESS CORP	6	05-04-99	07-24-00	please provide	803.14		
XILINX INC	2	08-12-99	03-27-00	67.10	169.87	102.77	Short Term
XILINX INC	5	09-15-99	03-27-00	178.08	424.67	246.59	Short Term
XILINX INC	11	09-15-99	07-21-00	391.78	839.72	447.94	Short Term
XILINX INC	12	10-19-99	07-21-00	420.75	916.05	495.30	Short Term

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THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

Account Number FA
312 042183 108

Realized Gain/(Loss)	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
XIRCOM INC	9	10-19-99	01-20-00	461.70	539.27	77.57	Short Term
XIRCOM INC	7	10-19-99	02-23-00	359.10	260.04	(99.06)	Short Term
XIRCOM INC	10	12-16-99	02-23-00	685.38	371.48	(313.90)	Short Term
Totals for closing transactions with cost data available				$219,463.08	$259,953.40	$40,490.32	
Total Proceeds					$268,747.94		

P - You have provided the trade history for this transaction, it was not available through Dean Witter Reynolds Inc. records.

Summary	Short Term	Long Term	Total
Unrealized gain/(loss).....	$7,990.39	$5,409.62	$13,400.01
Realized gain/(loss) year to date....	$25,370.08	$15,120.24	$40,490.32

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MERRILL LYNCH CMA® ACCOUNT

GERALD O KLECZKA

Cash Flow Summary

Activity Summary	This Statement	Year-to-Date	Credits	This Statement	Year-to-Date	Debits	This Statement	Year-to-Date
Opening Balance Cash & Money Accounts	490.71		Sales			Purchases		
			Income	2.26	69.66	Withdrawals		
			Funds Received			CMA Checks		6,500.00
			Other		75.00	Other		100.00
Net Credits & Debits	2.26	6,455.34DR						
Closing Balance Cash & Money Accounts	492.97		Total Credits	2.26	144.66	Total Debits		6,600.00

Bank Deposit Interest Summary

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
Merrill Lynch Bank USA	1	1	6.17	0.00	2
Total ML Banking Advantage	1			0.00	2

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Merrill Lynch

MERRILL LYNCH CMA® ACCOUNT

TOTAL ACCOUNT VALUE AS OF 10/27/2000 $37,480.15

GERALD D KLECZKA
4200 CHRISTINE PL
ALEXANDRIA VA 22311-1109

YOUR FINANCIAL CONSULTANT:
ROBERT B LOBBAN JR
Robbie_LobbanJr@ml.com
(703) 248-7500

Your Merrill Lynch O
4121 WILSON BLVD.
ARLINGTON VA

FOR CUSTOMER SERVICE QUESTIONS: 1-800-CMA-INFO (1-8

Monthly Portfolio Summary

Asset	09/29/00 Value	%	10/27/00 Value	%
Cash/Money Accounts	490	1	492	1
CD's/Equivalents				
Government Securities				
Corporate Bonds				
Municipal Bonds				
Equities	42,548	99	36,987	99
Mutual Funds				
Options				
Other				
Long Market Value	43,039		37,479	
Short Market Value				
Estimated Accrued Interest				
Debit Balance				
Net Portfolio Value	43,039		37,480	

Income Summar

	This Statement	Year-to-Date
Money Fund Dividends	2.26	69.66
Tax-Exempt Funds		
Tax-Exempt Interest		
Reportable Interest		
Reportable Dividends		
Income Not Reported		
Total	2.26	69.66

Items for Attention

Security	Message	Date
	No Items For Attention	

Purchasing Power 492 Investor Credit Line

NEWS

The Unlimited Advantage(SM) service is a relationship-based financial service designed to give investors access to a broad range of resources from Merrill Lynch for a simple annual fee For more details, see the enclosed newsletter

Financial Market Indicators

	This Statement	Last Statement	Previous Year-End
Dow Jones Industrial Average	10590.62	10650.92	11497.12
Three-Month Treasury Bills	6.32%	6.20%	5.33%
Long-Term Treasury Bonds	5.74%	5.88%	6.47%

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Merrill Lynch

MERRILL LYNCH CMA® ACCOUNT

AWARD WINNING STATEMENT

GERALD D KLECZKA

Daily Activity Review

Date	Transaction	Quantity	Description	Price	Amount	Cash Balance	Money Account Balance
09/29	Opening Balance					1.71CR	489
10/02	Deposit To		ML BANKING ADVANTAGE		1.00	.71CR	490
10/27	Share Dividend	2	CMA MONEY FUND				
10/27	Cash Dividend		CMA MONEY FUND FROM 9-29 THRU 10-26		.26CR		
10/27	Closing Balance				.97CR	.97CR	492

Customer Service

For information about your account, please call 1-800-CMA-INFO (1-800-262-4636). To report Lost or Stolen Visa Cards or Checks, please call 1-800-262-5678. When requested, enter your Access Code 31-658-72614.

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Merrill Lynch

MERRILL LYNCH CMA® ACCOUNT

AWARD WINNING STATEMENT

GERALD D KLECZKA

Current Portfolio

Quantity	Security Description	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
	Cash and Money Accounts									
2	ML BANKING ADVANTAGE		1.00	2	1.00	2				6.17
490	CMA MONEY FUND		1.00	490	1.00	490			29	6.12
	Total Cash/Money Accounts			492		492			30	6.12

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MERRILL LYNCH CMA® ACCOUNT

GERALD O KLECZKA

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Equities										
120	** AMERICA ONLINE INC DEL	AOL		05/06/99	62.66	7,520	48.00	5,760	(1,760)		
260	** CISCO SYSTEMS INC COM	CSCO		05/06/99	27.25	7,087	50.68	13,178	6,091		
175	** DELL COMPUTER CORP	DELL		05/06/99	41.01	7,177	27.93	4,889	(2,287)		
90	** MICROSOFT CORP	MSFT		05/06/99	81.01	7,291	67.68	6,091	(1,199)		
80	** YAHOO INC CAL PV$0.00017	YHOO		05/13/99	85.75	6,860	55.25	4,420	(2,440)		
120	** WORLDCOM INC NEW GA COM	WCOM		05/13/99	58.83	7,060	22.06	2,647	(4,412)		
	Total Equities					42,995		36,987	(6,007)		
	Total of Long Portfolio					43,487		37,479	(6,007)	30	.0

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Dividends and Interest			
10/27	Share Dividend	2	CMA MONEY FUND			
10/27	Cash Dividend		CMA MONEY FUND FROM 9-29 THRU 10-26			.26
	Income Total		CMA MONEY FUND			2.00
			Net Total			2.26

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

COPY

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
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MC
(Office Use Only)

30

Joseph K. Knollenberg
(Full Name)

1130 Park Place Court
(Mailing Address)

Bloomfield Hills, MI 48302

Daytime Telephone 202-225-5802

Filer Status	[X] Member of the U.S. House of Representatives	State: Michigan District: 11th	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/11/01

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the Instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset												Block C: Type of Income							Block D: Amount of Income											Block E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:* SP Mega Corp. Stock					X								X										X								P
	Examples: Simon & Schuster		Indefinite																	Royalties									X			
	Examples: 1st Bank of Paducah, KY accounts						X									X										X						
	Washington Mutual Inv. Fund Brea, CA	X												X			X				X											S
	Bank One Detroit, MI			X												X						X										
	The Bank of Bloomfield Hills, Bfd. Hills, MI						X									X										X						
	Congressional Fed Cr Union, Washington, DC			X										NON-INTEREST BEARING ACCOUNT							X											
	Farm-Thru Helen K. Knollenberg Tr-Matoon, IL			X										FARM INTEREST									X									
	Research Electro Optics Boulder, CO (7,680 Shs)						X							X							X											P, E

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Joseph K. Knollenberg

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Mutual Funds held through Fidelity Brokerage Services,LLC Boston, MA																															
	See attached year-end reports							X						X			X										X					*P
	Securities held through W.S. Griffith and Co., Inc., 2355 Northside Drive, Suite 250, San Diego, CA 92108																															
SP	See attached year-end reports					X								X			X									X						* **

This page may be copied if more space is required.

* Additional purchases through dividend reinvestment

** Additional shares acquired due to stock splits

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Joseph K. Knollenberg

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	Securities held through Paine Webber, Inc. Retirement-Wilson, Kemp & Assoc. Inc. Investment Counsel				
	See attached year-end report	H: X	DIVIDENDS: X; INTEREST: X	VII: X	P,S,E**

This page may be copied if more space is required.

** Additional shares acquired due to stock splits

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SCHEDULE IV — TRANSACTIONS

Name Joseph K. Knollenberg

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	568.534 Washington Mutual Investors Fund		X		1-03-00		X								
	Activity through Fidelity Brokerage Services LLC - Dividends Reinvested see attached year-end report	X			*		X								
	Activity through W.S. Griffith & Co., Inc. - see attached year-end report	X			*	X									
				X	Various stock splits	(transactions less than $1,000)									
	Activity through Paine Webber, Inc. Retirement-Wilson, Kemp & Assoc. Investment Counsel - see attached year-end report	X							X						
			X						X						
				X	Various stock splits & spin-offs	(transactions less than $1,000)									
	Research Electro Optics (320 Shs x 12= 3,840 Shs)			X	12 for 1 stk split 2000	(transaction less than $1,000)									
	Research Electro Optics 3,840 Shares	X			2000			X							

This page may be copied if more space is required.

* Dividends are reinvested throughout the year as dividends and capital gains are received.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				x						
	Fidelity Brokerage Services LLC	Margin Account			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Daparture—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Baltic American Freedom League	Mar 25-27	Wash, DC-Los Angeles-Wash, DC	Y	Y	N	N
Allstate Insurance	May 21-22	Detroit-Chicago-Wash, DC	Y	Y	Y	N
East Tennessee Economic Council	June 16	Wash, DC-Knoxville, TN-Detroit	N	Y	N	N
Michigan Association of Broadcasters	Aug. 8	Detroit-Traverse City-Detroit	N	Y	N	N

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Fidelity Investments

FIDELITY **PREFERRED RETIREMENT** SERVICES℠

Investment Report

December 1, 2000 - December 31, 2000

Online	Fidelity.com
FAST(sm)-Automated Telephone	800-544-5555
Preferred Retirement Services	800-544-6565

#BWNFRKS ENV# 235254156

JOSEPH K KNOLLENBERG
JOSEPH K KNOLLENBERG LVG TRUST
1130 PARK PLACE CT
BLOOMFIELD MI 48302-1459

2654

Ultra Service Account X35-088080 JOSEPH K KNOLLENBERG LVG TRUST U/A 06/25/71 JOSEPH K KNOLLENBERG TRUSTEE

Account Summary

Beginning market value as of Dec 1	$272,851.33
Margin interest paid	-771.71
Change in investment value	8,936.41
Change in debit balance	771.71
Ending market value as of Dec 31	281,787.74
Debit balance	-81,428.11
Ending Net Value	**$200,359.63**

Your commission schedule	**Preferred**
Minimal annual trade requirement	Waived
Eligible trades from Jan 2000 - Dec 2000	0
Current rate on debit balance	9.05%
Additional amount you can borrow	$90,822.18

Income Summary

	This Period	Year to Date
Taxable		
Dividends	$1,067.23	$3,626.92
St cap gain	632.44	2,044.78
Lt cap gain	24,129.49	37,811.26
Tax-exempt		
Dividends	79.14	977.37
Total	**$25,908.30**	**$44,460.33**

Holdings (Symbol) as of December 31, 2000

	Performance December 31, 2000	Quantity December 31, 2000	Price per Unit December 31, 2000	Total Cost Basis	Total Value December 1, 2000	Total Value December 31, 2000
Mutual Funds *100% of holdings*	-91					
M FIDELITY STOCK SELECTOR (FDSSX)	1.312	1,571.5520	$24.84000	$38,933.22	$38,423.23	$39,037.35
M FIDELITY EQUITY INCOME II (FEQTX)	1.321	1,628.3450	23.86000	39,100.30	36,778.92	38,852.31

Fidelity Investments

FIDELITY **PREFERRED RETIREMENT** SERVICES℠

Investment Report

December 1, 2000 - December 31, 2000

Ultra Service Account X35-088080 JOSEPH K KNOLLENBERG LVG TRUST U/A 06/25/71 JOSEPH K KNOLLENBERG TRUSTEE

Holdings (Symbol) as of December 31, 2000

	Performance December 31, 2000	Quantity December 31, 2000	Price per Unit December 31, 2000	Total Cost Basis	Total Value December 1, 2000	Total Value December 31, 2000
FIDELITY HIGH INCOME (SPHIX) 1892	30-day yield 12 43%	1,946.6440	9 45000	23,850 85	17,813.33	18,395.78
FIDELITY FUND (FFIDX) 2305		2,668 4180	32.76000	71,404.59	85,339.02	87,417.37
SPARTAN MI MUNICIPAL INCOME (FMHTX) 1580	30-day yield 4.55%	1,663 8960	11.48000	18,768.28	18,624.70	19,101.52
OBERWEIS EMERGING GROWTH PORTFOLIO (OBEGX)	602	917.6380	21.19000	27,005 72	19,031.81	19,444.74
PBHG GROWTH (PBHGX)	1260	962 5370	31.10000	26,399 16	29,263.16	29,934 90
VANGUARD WINDSOR II (VWNFX)	1014	1,088 3740	27 20000	25,879 14	27,577.16	29,603.77
Total Market Value						281,787.74
Debit balance					- 80,656 40	-81,428 11
Total Net Value						$200,359.63

All positions held in margin account unless indicated otherwise

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Transaction Details (for holdings with activity this period)

Core Account - *Fidelity MI Muni Money Mkt*

Description	Amount	Balance
Beginning		-$80,656 40
Investment Activity		
Securities bought	-$25,908.30	
Income	25,908 30	
Margin interest	-771 71	
Subtotal of Investment Activity	- $771.71	
Ending		-$81,428.11

Investment Activity

Settlement Date	Security	Description	Quantity	Price per Unit	Transaction Amount
12/08	FIDELITY STOCK SELECTOR	Dividend received			$170.59
12/08	FIDELITY STCCK SELECTOR	Long-term cap gain			6,482.61
12/08	FIDELITY STOCK SELECTOR	Reinvestment	259 2830	$25 66000	-6,653.20
12/13	PBHG GROWTH	Short-term cap gain			632.44
12/13	PBHG GROWTH	Long-term cap gain			4,506.30

Fidelity Investments

FIDELITY **PREFERRED RETIREMENT** SERVICES℠

Investment Report

December 1, 2000 - December 31, 2000

Ultra Service Account X35-088080 JOSEPH K KNOLLENBERG LVG TRUST U/A 06/25/71 JOSEPH K KNOLLENBERG TRUSTEE

Transaction Details

Investment Activity

Settlement Date	Security	Description	Quantity	Price per Unit	Transaction Amount
12/ 13	PBHG GROWTH AS OF 12/13/00	Reinvestment	17.4950	36.15000	-632.44
12/ 13	PBHG GROWTH AS OF 12/13/00	Reinvestment	124.6560	36.15000	-4,506.30
12/ 18	VANGUARD WINDSOR II	Dividend received			328.42
12/ 18	VANGUARD WINDSOR II	Long-term cap gain			1,272.63
12/ 18	VANGUARD WINDSOR II	Reinvestment	12.7290	25.80000	-328.42
12/ 18	VANGUARD WINDSOR II	Reinvestment	49.3270	25.80000	-1,272.63
12/ 22	FIDELITY EQUITY INCOME II	Dividend received			160.61
12/ 22	FIDELITY EQUITY INCOME II	Long-term cap gain			6,598.26
12/ 22	FIDELITY EQUITY INCOME II	Reinvestment	289.9560	23.31000	-6,758.87
12/ 22	FIDELITY FUND	Dividend received			249.75
12/ 22	FIDELITY FUND	Long-term cap gain			5,269.69
12/ 22	FIDELITY FUND	Reinvestment	170.9340	32.29000	-5,519.44
12/ 29	FIDELITY HIGH INCOME	Dividend received			157.86
12/ 29	FIDELITY HIGH INCOME	Reinvestment	16.7050	9.45000	-157.86
12/ 29	SPARTAN MI MUNICIPAL INCOME	Dividend received			79.14
12/ 29	SPARTAN MI MUNICIPAL INCOME	Reinvestment	6.8940	11.48000	-79.14

Margin Activity as of December 31, 2000

Period	Period Balance	Interest Rate	Average Daily Balance	Interest Paid
11/21-12/20	80,656	9.050%	80,656	-$608.28
12/21-12/28	81,264	9.050%	81,264	-163.43

Period	Period Balance	Interest Rate	Average Daily Balance	Interest Paid
Total this period				**-$771.71**
Total year to date				**-$6,360.74**

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Fidelity Investments

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JOSEPH K KNOLLENBERG
JOSEPH K KNOLLENBERG LVG TRUST
1130 PARK PLACE CT
BLOOMFIELD MI 48302-1459

Tax Information: 800-544-1877
Customer Service: 800-544-6666
Visit Us Online: Fidelity.com

Detail Information — 1099-DIV — Dividends and Distributions

This detail information is not reported to the IRS. It is provided for your assistance in tax return preparation.

Description	CUSIP	Date	Amount	Total
Ordinary Dividends - LINE 1				
Dividend Distributions				
FIDELITY STOCK SELECTOR	316066307	12/08/00	170.59	170.59
FIDELITY EQUITY INCOME II	316145101	03/10/00	119.11	
		06/09/00	132.81	
		09/15/00	146.64	
		12/22/00	160.61	559.17
FIDELITY HIGH INCOME	316146406	01/31/00	89.83	
		02/28/00	85.57	
		03/31/00	94.23	
		04/28/00	141.73	
		05/31/00	137.89	
		06/30/00	137.48	
		07/31/00	144.15	
		08/31/00	144.21	
		09/29/00	139.63	
		10/31/00	145.38	
		11/30/00	140.40	
		12/29/00	157.86	1,558.36
FIDELITY FUND	316153105	03/10/00	69.15	
		06/09/00	92.27	
		09/15/00	149.62	
		12/22/00	249.75	560.79
VANGUARD WINDSOR II	922018205	06/26/00	294.21	
		12/18/00	328.42	622.63
		Total Dividend Distributions		**3,471.54**
Short-Term Capital Gain Distributions				
OBERWEIS EMERGING GROWTH PORTFOLIO	674375100	11/14/00	1,412.34	1,412.34

Fidelity Investments

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JOSEPH K KNOLLENBERG
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1130 PARK PLACE CT
BLOOMFIELD MI 48302-1459

Tax Information: 800-544-1877
Customer Service: 800-544-6666
Visit Us Online: Fidelity.com

Detail Information — 1099-DIV — Dividends and Distributions

Description	CUSIP	Date	Amount	Total

This detail information is not reported to the IRS. It is provided for your assistance in tax return preparation.

Short-Term Capital Gain Distributions

PBHG GROWTH	69316H106	12/13/00	632.44	632.44
Total Short-Term Capital Gain Distributions				2,044.78
Total Ordinary Dividends - LINE 1				5,516.32 #

Under the Internal Revenue Code, distributions of short-term gains are taxable as dividends and are reported on Line 1 of FORM 1099-DIV Report Total Ordinary Dividends in aggregate on the appropriate IRS tax return Do not separate dividend distributions from short-term capital gain distributions

Capital Gain Distributions Subject to 20% Gain

The portion of the Cap. Gain Dist. subject to the 20% Gain is equal to Line 2a less any amount shown on lines 2b and 2c

FIDELITY STOCK SELECTOR	316066307	12/08/00	6,482.61	6,482.61
FIDELITY EQUITY INCOME II	316145101	01/07/00	66.05	
		12/22/00	6,598.26	6,664.31
FIDELITY FUND	316153105	08/04/00	7,134.61	
		12/22/00	5,269.69	12,404.30
OBERWEIS EMERGING GROWTH PORTFOLIO	674375100	11/14/00	6,481.11	6,481.11
PBHG GROWTH	69316H106	12/13/00	4,506.30	4,506.30
VANGUARD WINDSOR II	922018205	12/18/00	1,272.63	1,272.63
Total Capital Gain Distributions Subject to 20% Gain				37,811.26
Total Long-Term Capital Gain Distributions - LINE 2a(includes 2b and 2c)				37,811.26 **

** This is the number you need to complete IRS FORM 1040, Schedule D, Line 13 or, if your only capital gains are capital gain distributions, put this number on IRS FORM 1040, Line 13.

Non-Taxable Distributions - LINE 3

FIDELITY HIGH INCOME	316146406	01/31/00	51.77	
		02/28/00	49.31	
		03/31/00	54.30	155.38
Total Non-Taxable Distributions - LINE 3				155.38

Fidelity Investments

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JOSEPH K KNOLLENBERG
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1130 PARK PLACE CT
BLOOMFIELD MI 48302-1459

Tax Information: 800-544-1877
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Other Information For Tax Return Preparation

Amount

The information on this and the following pages is not reported to the IRS.
It is provided for your assistance in tax return preparation.

Tax-Exempt Dividends and Interest	977.37
Margin Interest Paid	6,360.74
Return of Principal	0.00
Non-Reportable Option Sales	0.00
Purchase Detail(Including Commissions) - Cost Less Accrued Interest Paid	44,405.39
- Accrued Interest Paid	0.00

Detail Information — Tax-Exempt Dividends and Interest

This information is not reported to the IRS. It is provided for your assistance in tax return preparation.

Description	CUSIP	Date	Tax-Exempt Amount	Total
Tax-Exempt Dividends				
FIDELITY MI MUNI MONEY MARKET	316201508	01/31/00	53.33	
		02/28/00	1.61	54.94
SPARTAN MI MUNICIPAL INCOME	316412204	01/31/00	76.70	
		02/28/00	72.07	
		03/31/00	77.48	
		04/28/00	75.25	
		05/31/00	78.79	
		06/30/00	76.21	
		07/31/00	77.72	
		08/31/00	78.26	
		09/29/00	75.34	
		10/31/00	78.50	
		11/30/00	76.97	
		12/29/00	79.14	922.43
			Total Tax-Exempt Dividends	977.37
			Total Tax-Exempt Dividends and Interest	977.37

Fidelity Investments

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JOSEPH K KNOLLENBERG
JOSEPH K KNOLLENBERG LVG TRUST
1130 PARK PLACE CT
BLOOMFIELD MI 48302-1459

Tax Information: 800-544-1877
Customer Service: 800-544-6666
Visit Us Online: Fidelity.com

Detail Information — Margin Interest Paid

This information is not reported to the IRS. It is provided for your assistance in tax return preparation.

Description	Date	Total
MARGIN INTEREST @ 8.050% AVG B	02/03/00	50.36
MARGIN INTEREST @ 8.300% AVG B	02/21/00	311.54
MARGIN INTEREST @ 8.300% AVG B	03/20/00	486.94
MARGIN INTEREST @ 8.550% AVG B	03/27/00	126.21
MARGIN INTEREST @ 8.550% AVG B	04/23/00	486.81
MARGIN INTEREST @ 8.550% AVG B	05/16/00	418.04
MARGIN INTEREST @ 9.050% AVG B	05/21/00	96.19
MARGIN INTEREST @ 9.050% AVG B	06/20/00	581.04
MARGIN INTEREST @ 9.050% AVG B	07/20/00	585.42
MARGIN INTEREST @ 9.050% AVG B	08/20/00	609.49
MARGIN INTEREST @ 9.050% AVG B	09/20/00	614.24
MARGIN INTEREST @ 9.050% AVG B	10/22/00	639.00
MARGIN INTEREST @ 9.050% AVG B	11/20/00	583.75
MARGIN INTEREST @ 9.050% AVG B	12/20/00	608.28
MARGIN INTEREST @ 9.050% AVG B	12/28/00	163.43
	Total Margin Interest Paid	6,360.74

2000 Purchase Detail (Including Commissions)

This information is not reported to the IRS. It is provided for your assistance in tax return preparation.

Description	CUSIP	Quantity	Date of Purchase	Purchase Cost ††	Accrued Interest Paid
FIDELITY STOCK SELECTOR	316066307	259.28300	12/08/00	6,653.20	0.00
FIDELITY EQUITY INCOME II	316145101	2.45300	01/07/00	66.05	0.00
		4.69100	03/10/00	119.11	0.00
		4.98300	06/09/00	132.81	0.00
		5.26000	09/15/00	146.64	0.00
		289.95600	12/22/00	6,758.87	0.00
FIDELITY HIGH INCOME	316146406	12.08200	01/31/00	141.60	0.00
		11.43100	02/28/00	134.88	0.00
		12.87100	03/31/00	148.53	0.00
		12.50900	04/28/00	141.73	0.00
		12.65000	05/31/00	137.89	0.00
		12.54400	06/30/00	137.48	0.00
		13.16400	07/31/00	144.15	0.00
		13.19400	08/31/00	144.21	0.00
		13.12300	09/29/00	139.63	0.00
		14.42300	10/31/00	145.38	0.00

Fidelity Investments

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JOSEPH K KNOLLENBERG
JOSEPH K KNOLLENBERG LVG TRUST
1130 PARK PLACE CT
BLOOMFIELD MI 48302-1459

Tax Information: 800-544-1877
Customer Service: 800-544-6666
Visit Us Online: Fidelity.com

2000 Purchase Detail (Including Commissions)

This information is not reported to the IRS. It is provided for your assistance in tax return preparation.

Description	CUSIP	Quantity	Date of Purchase	Purchase Cost ††	Accrued Interest Paid
FIDELITY HIGH INCOME	316146406	15.21100	11/30/00	140.40	0.00
		16.70500	12/29/00	157.86	0.00
FIDELITY FUND	316153105	1.61100	03/10/00	69.15	0.00
		2.23900	06/09/00	92.27	0.00
		184.73900	08/04/00	7,134.61	0.00
		3.80900	09/15/00	149.62	0.00
		170.93400	12/22/00	5,519.44	0.00
SPARTAN MI MUNICIPAL INCOME	316412204	7.12200	01/31/00	76.70	0.00
		6.65500	02/28/00	72.07	0.00
		7.03100	03/31/00	77.48	0.00
		6.89700	04/28/00	75.25	0.00
		7.30900	05/31/00	78.79	0.00
		6.92200	06/30/00	76.21	0.00
		6.98900	07/31/00	77.72	0.00
		6.96300	08/31/00	78.26	0.00
		6.76900	09/29/00	75.34	0.00
		7.00300	10/31/00	78.50	0.00
		6.84800	11/30/00	76.97	0.00
		6.89400	12/29/00	79.14	0.00
OBERWEIS EMERGING GROWTH PORTFOLIO	674375100	258.93400	11/14/00	6,481.11	0.00
		56.42600	11/14/00	1,412.34	0.00
PBHG GROWTH	69316H106	124.65600	12/13/00	4,506.30	0.00
		17.49500	12/13/00	632.44	0.00
VANGUARD WINDSOR II	922018205	11.80600	06/26/00	294.21	0.00
		49.32700	12/18/00	1,272.63	0.00
		12.72900	12/18/00	328.42	0.00
			Total	44,405.39	0.00

†† *Includes commissions, and is less Accrued Interest Paid, if applicable*

Fidelity Investments

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Account No.	Taxpayer ID.	Page
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JOSEPH K KNOLLENBERG
JOSEPH K KNOLLENBERG LVG TRUST
1130 PARK PLACE CT
BLOOMFIELD MI 48302-1459

Tax Information: 800-544-1877
Customer Service: 800-544-6666
Visit Us Online: Fidelity.com

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December 2000

Resource Management Account

WS GRIFFITH & CO , INC
2355 NORTHSIDE DRIVE STE 250
SAN DIEGO, CA 92108-2707

HCZL265165 X1 1200 L4 0

Value on November 30	$	92,255 57
Value on December 29	$	92,706 61
Money funds in 12/29 value	$	3,446 90

WS Griffith and Co., Inc.
Member NASD, SIPC
Account carried by Correspondent Services Corporation (csc)

Account Number L4 29236 CX HCZL265165 X1

Your Investment Representative
HRASSERT/BANK BLOOMFIELD HILLS 619 581 7096

SANDRA R KNOLLENBERG
1130 PARK PLACE CRT
BLOOMFIELD HILLS MI 48302-1459

Activity highlights

	Current period	Year to date
Deposits	$ 00	$ 370 60
Money fund checks paid	00	-24,000 00
Resource Management Account fee	00	-85 00
Net change from activity listed above	$ .00	$ -23,714 40

Portfolio summary

	Current period	Year to date
Opening value	$ 92,255 57	$ 127,916 51
Net change from Activity Highlights	00	-23,714 40
Net security earnings	3,013 80	5,553 75
Change in value of investments	-2,562 76	-17 119 25
Value on December 29	$ 92,706 61	$ 92,706 61

Asset summary

Refer to the disclosure on the back of the first page for information on assets excluded from this summary

	% of portfolio	Value
Equities	19 04	17,658 36
Money funds	3 71	3,446 90
Mutual funds	77 25	71 601 35
Net invested assets	100 00	$ 92,706 61
Value on December 29		$ 92,706 61

Bulletin Board
IN ORDER TO OBTAIN THE BEST EXECUTION FOR OUR CLIENTS, WE MAY REROUTE ORDERS TO LEADING MARKET MAKERS WE DID NOT RECEIVE PAYMENT FOR THIS ORDER FLOW IN 2000
RESOURCELINE 1-800-RMA-1000 ACCOUNT # 698029236

2663

WS Griffith and Co., Inc.
Member NASD, SIPC
Account carried by Correspondent Services Corporation [csc]

NCZL265166 X1

Earnings summary

Earnings below are classified as taxable or non-taxable based on the tax status for the specific security on which the earnings are received and does not attempt to reflect your account's tax status or reporting requirements. Use only official tax reporting documents (e.g. 1099) for tax reporting purposes. The classification of private investment distributions can only be determined by referring to the official year-end tax reporting document provided by the issuer.

	Current period	Year-to-date
Taxable		
Dividends	$ 590.88	$ 1,425.50
Other	2,422.92	4,089.56
Total taxable security earnings	$ 3,013.80	$ 5,515.06
Total current year security earnings	$ 3,013.80	$ 5,515.06
Prior year(s) adjustments	.00	38.69
Net security earnings	$ 3,013.80	$ 5,553.75

Asset portfolio

When available, prices, income and current values may be approximate and thus gains/losses may not be accurately reflected. Refer to the disclosure on the back of the first page. An asterisk (*) indicates a change in the original transaction data from the prior month or the display of new data input at your branch office. Gains/losses are not calculated for zero coupon investments.

Equities

Common stock

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
58	AT&T CORP	17.250	1,000.50	9	05/04/99	58.000	51.062	3,036	-2,035
96	CISCO SYS INC	38.250	3,672.00			96.000	---This information was unavailable---		
41	DU PONT DE NEMOURS	48.313	1,980.83	57	05/04/99	41.000	70.937	2,982	-1,001
84	GENL ELECTRIC CO	47.938	4,026.79	54	05/04/99	84.000	34.583	2,979	1,047
35	MICROSOFT CORP	43.375	1,518.12		05/04/99	35.000	79.687	2,861	-1,342
72	SUN MICROSYSTEMS INC	27.875	2,007.00			72.000	---This information was unavailable---		
65	WAL MART STORES INC	53.125	3,453.12	16	05/04/99	65.000	45.250	3,015	438
	Total	$	**17,658.36**	**136**				**14,873**	**-2,893**

Money funds

Description	Opening balance	Closing balance	Closing share price	Average yield	Dividend period	Days in period
LIR MNY MKT FUND	3,413.51	3,446.90	1.00	5.81%	11/16 - 12/20	35
Total	$ 3,413.51	$ 3,446.90				

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WS Griffith and Co., Inc.
Member NASD, SIPC
Account carried by Correspondent Services Corporation (csc)

NCZL265167-X1

Mutual funds

Unrealized gain/loss indicates the cost basis versus the current value for each security lot from a tax reporting perspective and is the amount that would be realized should you sell the lot. Cost basis may need to be adjusted for return of capital payments. An "earnings" lot represents the aggregate cost basis of all dividends paid that were reinvested in the fund. If you have earnings lots, an additional calculation appears showing the overall change in value of your current position versus the money you invested in the fund. This "investment return" shows overall performance of your total investment including dividends reinvested in the fund and market appreciation or depreciation. The "investment return" figure does not include cash dividends not reinvested in the fund.

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
90 750	ALLIANCE TECHNOLOGY FUND INC	90 820	8,241 91		05/04/99	82 227	85 130	7,000	467
	Total client investment:		$ 7,000		Earnings	8 523	100 660	857	-82
	Investment return:		$ 1,241						
710 785	FIDELITY ADVISOR HEALTH	23 760	16,887 77		05/04/99	661 578	19 650	13,000	2,719
	CARE FUND CLASS A				Earnings	49 187	21 062	1,035	133
	Total client investment:		$ 13,000						
	Investment return:		$ 3,887						
934 523	GABELLI VALUE FD INC	16 130	15,073 85		Earnings	163 372	17 143	2,800	-164
						771 151	--This information was unavailable--		
439 402	INVESTMENT CO AMER	31 060	13,647 82	215	05/04/99	367 751	35 350	13,000	-1,577
	Total client investment:		$ 13,000		Earnings	71 651	31 138	2,231	-5
	Investment return:		$ 647						
284 719	JOHN HANCOCK REGIONAL	43 070	12,262 84	230	05/04/99	228 793	56 820	13,000	-3,145
	BANK FUND CLASS A				Earnings	55 926	39 186	2,191	217
	Total client investment:		$ 13,000						
	Investment return:		$ -737						
157 685	MUNDER NETNET FUND	34 730	5,487 16		05/04/99	155 833	44 920	7,000	-1,587
	CLASS A				Earnings	2 162	54 051	118	-40
	Total client investment:		$ 7,000						
	Investment return:		$ -1,512						
	Total		$ 71,601 35	445				62,230	-3,064

Monthly activity

Cash flow

Date	Activity	Description	Amount
1/30		**Balance forward**	$ .00
2/14	DIVIDEND	DU PONT DE NEMOURS	14 35
		PAID ON 41	

Date	Activity	Description	Amount
12/18	LT CAP GAIN	FIDELITY ADVISOR HEALTH	217 41
		CARE FUND CLASS A	
		20% RATE GAIN	
12/20	DIVIDEND	INVESTMENT CO AMER	53 89
		AS OF 12/19/00	

Continued on page 4

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WS Griffith and Co., Inc.
Member NASD, SIPC
Account carried by Correspondent Services Corporation (csc)

NCZL265168 X1

Cash flow - continued

Date	Activity	Description	Amount
12/20	DIVIDEND	INVESTMENT CO AMER AS OF 12/19/00	12 44
12/20	LT CAP GAIN	INVESTMENT CO AMER 20% RATE GAIN AS OF 12/19/00	696 44
12/20	LT CAP GAIN	ALLIANCE TECHNOLOGY FUND INC 20% RATE GAIN	525 90
12/22	DIVIDEND	LIR PREMIER MONEY MARKET FD	19 04
12/29	ST CAP GAIN	GABELLI VALUE FD INC SHORT TERM CAPITAL GAIN AS OF 12/27/00	491 16
12/29	LT CAP GAIN	GABELLI VALUE FD INC 20% RATE GAIN AS OF 12/27/00	983 17
		Funds used for security transactions	$ -2,980.41
		Funds used for money fund transactions	$ -33.39
12/29		**Closing balance**	$ .00

Security transactions

Refer to the disclosure on the back of the first page regarding the Price/Value presented for restricted securities

Date	Activity	Description	Quantity	Price / Value	Amount
12/06	DIVIDEND	SUN MICROSYSTEMS INC PAID ON 36	36 000		
12/18	REINVESTMENT	FIDELITY ADVISOR HEALTH CARE FUND CLASS A 20% RATE GAIN REINVESTED AT 22 99 NAV ON 12/18/00	9 457	$	217 41
12/20	REINVESTMENT	INVESTMENT CO AMER DIVIDEND REINVESTED AT 30 69 NAV ON 12/19/00 AS OF 12/19/00	1 756		53 89
12/20	REINVESTMENT	INVESTMENT CO AMER 20% RATE GAIN REINVESTED AT 30 69 NAV ON 12/19/00 AS OF 12/19/00	22 693		696 44
12/20	REINVESTMENT	1000TH INVESTMENT CO OF AMER DIVIDEND REINVESTED AT 30 69 NAV ON 12/19/00 AS OF 12/19/00	405 000		12 44
12/20	REINVESTMENT	ALLIANCE TECHNOLOGY FUND INC 20% RATE GAIN REINVESTED AT 93 26 NAV ON 12/20/00	5 639		525 90
12/29	REINVESTMENT	GABELLI VALUE FD INC ST CAP GAINS REINVESTED AT 15 77 NAV ON 12/27/00 AS OF 12/27/00	31 145		491 16
12/29	REINVESTMENT	GABELLI VALUE FD INC 20% RATE GAIN REINVESTED AT 15 77 NAV ON 12/27/00 AS OF 12/27/00	62 344		983 17
		Securities purchased		$	2,980.41

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WS Griffith and Co., Inc.
Member NASD, SIPC
Account carried by Correspondent Services Corporation [csc]

NCZL285169 X1

Money fund transactions

The LIR Premier Money Market Fund is your primary sweep fund

Date	Activity	Money fund		Amount
1/30		Opening LIR Premier Mny Mkt Fund	$	3,413 51
2/15	BOUGHT	LIR PREMIER MNY MKT FUND		14 35
2/22	BOUGHT	LIR PREMIER MNY MKT FUND		19 04
2/29		Closing LIR Premier Mny Mkt Fund	$	3,446 90
		Money funds purchased	$	33 39

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2000 Year-End Summary

Resource Management Account

Account Number L4 29236 CX Page 1 of 2

Your Investment Representative
BRASSERT/BANK BLOOMFIELD HILLS
619-584-7096

WS Griffith and Co., Inc.
Member NASD, SIPC
Account carried by Correspondent Services Corporation (CSC)

NCZL285170-X1

Dear Resource Management Account(R) Client,

Your enclosed 2000 Year-End Summary details important tax-related information designed to assist you and your tax advisor in your tax planning and preparation process. Please be aware that your January month-end statement will reflect any transactions with a transaction date in December, but a settlement or posting date in January.

The Resource Management Account (RMA(R)) helps you to effectively organize your financial records. The Year-End Summary and other RMA features, including check writing, the complimentary MasterCard and Bill Payment and Electronic Funds Transfer Services, can help you manage your money more efficiently.

You should always consult your own tax advisor for reporting advice and refer to official tax documents for tax reporting purposes. Official tax documents are mailed to you under separate cover. This statement is for informational purposes only and is not a tax document. Questions should be directed to your Investment Representative or the Financial Services Center at 800-RMA-1000.

Activity highlights

		Year to-date
Deposits	$	370.60
Money fund checks paid		-24,000.00
Resource Management Account fee		-85.00
Net change from activity listed above	$	-23,714.40

Portfolio summary

		Year to date
Opening value	$	127,986.51
Net change from Activity Highlights		-23,714.40
Net security earnings		5,553.75
Change in value of investments		-17,119.25
Value on December 29	$	92,706.61

Earnings summary

		Paid in 2000
Taxable		
Dividends	$	1,425.50
Other		4,089.56
Total taxable security earnings	$	5,515.06
Total current year security earnings	$	5,515.06
Prior year(s) adjustments		38.69
Net security earnings	$	5,553.75

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WS Griffith and Co., Inc.
Member NASD, SIPC
Account carried by Correspondent Services Corporation [csc]

NCZL285171-X1

Checking summary

Check Number	Description	Date	Amount
	Uncoded checks		
0105	JOSEPH KNOLLENBERG	01/04	20,000 00
0107	JOA KANLLERHEG	10/25	4,000 00

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2000 Consolidated Form 1099

W.S. GRIFFITH & CO., INC.
2355 NORTHSIDE DRIVE, STE 250
SAN DIEGO, CA 92108-2707

C32L062027-X - L4

IMPORTANT NOTICE:
This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.
PaineWebber Inc., 1285 Ave of Americas, NY, NY 10019
(Federal ID 13-2638166)

Account Number L4 29236 CX C32L062027-X
Tax Identification Number 375-38-9786

Your Investment Representative or Contact
BRASSERT/BANK BLOOMFIELD HILLS 619-584-7096

SANDRA R KNOLLENBERG
1130 PARK PLACE CRT
BLOOMFIELD HILLS MI 40302-1459

Form 1099-DIV Dividends and Distributions *(OMB No 1545-0110)*

Line#	Category	Amount
1	Ordinary dividends (includes line 5)	$ 1,431 38
2a	Total capital gain distributions (includes lines 2b, 2c, 2d)	4,089 56
2b	28% rate gain	0 00
2c	Unrecaptured section 1250 gain	0 00
2d	Section 1202 gain	0.00
3	Nontaxable distributions	0 00
4	Federal income tax withheld	0.00
5	Investment expenses	0 00
6	Foreign tax paid	0 00
7	Foreign country or U.S possession	
8	Liquidation distributions - cash	0 00
9	Liquidation distributions - non-cash (fair market value)	0 00

Only the forms applicable to your account are shown. Forms which are not applicable and therefore not shown include: Form 1099-OID, Form 1099-B, Form 1099-INT, Form 1099-MISC.

Details for Items Reported on Forms 1099

Dividends and Distributions Details Reported on Form 1099-DIV

Description	Additional information	Year paid	Tax year	Amount
T&T CORP		2000	2000	51.04
CR MNY MKT FUND		2000	2000	31.05
U PONT DE NEMOURS		2000	2000	57.40
ABELLI VALUE FD INC		2000	2000	491.10
ENL ELECTRIC CO		2000	2000	45.92
VESTMENT CO AMER		2000	2000	213.88
OHN HANCOCK REGIONAL BANK FUND CLASS A		2000	2000	200.32
IR PREMIER MONEY MARKET FD		2000	2000	319.66
AL MART STORES INC		2000	2000	14 95
Total Line 1 Ordinary dividends (less line 5)				$ 1,431.38
LLIANCE TECHNOLOGY FUND INC		2000	2000	525 90
IDELITY ADVISOR HEALTH CARE FUND CLASS A		2000	2000	764.74
ABELLI VALUE FD INC		2000	2000	983.17
VESTMENT CO AMER		2000	2000	858 41

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Your Investment Representative or Contact
BRASSERT/BANK BLOOMFIELD HILLS
619-584-7096

C32L08202#-X

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional information	Year paid	Tax year	Amount
JOHN HANCOCK REGIONAL BANK FUND CLASS A		2000	2000	883 22
MUNDER NETNET FUND CLASS A		2000	2000	74 12
Total Line 2a Total capital gain distributions (less lines 2b, 2c and 2d)				$ 4,089.56

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SUMMARY SHEET

JOSEPH K. KNOLLENBERG, IRA ROLLOVER

Valuation as of December 31, 2000

PORTFOLIO	CURRENT MARKET $	CURRENT MARKET %	ANNUAL INTEREST/ DIVIDENDS
Equities	$ 655,826	70.0%	$ 7,480
Bonds	$ 205,969	22.0%	$ 13,317
Cash Equivalents	$ 75,061	8.0%	$ 3,828
TOTAL PORTFOLIO	**$ 936,856**	**100.0%**	**$ 24,626**

JOSEPH K. KNOLLENBERG, IRA ROLLOVER

Valuation as of December 31, 2000

SECURITIES	FACE VALUE OR # SHARES	COST	CURRENT MARKET VALUE	ANNUAL INTEREST/ DIVIDENDS
Cyclical Industries				
Barnes Group	1,100	$ 18,759	$ 21,862	$ 880
Emerson Electric Company	500	24,927	39,406	765
Engelhard Corporation	1,000	21,245	20,375	400
Kimberly Clark Corp.	450	21,649	31,810	504
Transocean Offshore Inc.	600	20,196	27,600	72
		106,776	141,054	2,621
Finance				
Allstate Corporation	1,300	$ 43,631	$ 56,631	$ 988
Morgan Stanley Dean Witter	700	14,394	55,475	644
		58,025	112,106	1,632
Science & Technology				
Avaya Inc.	57	$ 1,844	$ 588	$ 0
Compuware Corporation	2,000	25,804	12,500	0
Electronic Data Systems Corp.	450	19,101	25,987	270
Gartner Group	1,000	23,377	6,900	0
Intel Corporation	1,000	19,492	30,062	80
International Business Machines	300	30,496	25,500	156
Johnson & Johnson	300	20,755	31,519	384
Lucent Technologies Inc	850	35,985	11,475	68
Merck & Company	400	25,753	37,450	544
Microsoft Corporation	400	24,654	17,350	0
Schlumberger Ltd	350	17,650	27,978	262
Sigma Aldrich Corporation	900	28,000	35,381	297
		272,912	262,691	2,061
Service & Consumer				
Paychex Inc.	1,125	$ 20,914	$ 54,703	$ 405
Pepsico, Incorporated	600	19,724	29,737	336
United Parcel Service Cl B	400	21,504	23,500	304
Vodafone Group PLC	600	22,255	21,487	120
		84,397	129,428	1,165

JOSEPH K. KNOLLENBERG, IRA ROLLOVER

Valuation as of December 31, 2000

SECURITIES	FACE VALUE OR # SHARES	COST	CURRENT MARKET VALUE	ANNUAL INTEREST/ DIVIDENDS
Utilities				
Worldcom Inc.	750	$ 30,171	$ 10,547	$ 0
TOTAL STOCKS		$ 552,281	$ 655,826	$ 7,480
Bonds - Government				
U.S. Treasury Notes 6.625% Due 06-30-01	50,000	$ 50,002	$ 50,219	$ 3,312
U.S. Treasury Notes 6.000% Due 07-31-02	50,000	51,568	50,531	3,000
U.S. Treasury Notes 7.000% Due 07-15-06	50,000	50,517	54,437	3,500
Federal Home Loan Banks 7.010% Due 11-22-06	50,000	50,004	50,781	3,505
		202,092	205,969	13,317
TOTAL BONDS		$ 202,092	$ 205,969	$ 13,317
TOTAL SECURITIES		$ 754,373	$ 861,795	$ 20,797
Cash Equivalents				
Cash & Equivalents		$ 75,061	$ 75,061	$ 3,828
TOTAL PORTFOLIO		**$ 829,434**	**$ 936,856**	**$ 24,626**

Current rate of interest for Cash & Equivalents 5.1%

Wilson, Kemp & Associates Inc.

PURCHASE AND SALE

JOSEPH K. KNOLLENBERG, IRA ROLLOVER

From 01-01-00 To 12-31-00

Trade Date	Settle Date	Quantity	Security	Unit Price	Amount
PURCHASES					
05-01-00	05-04-00	2,000	Compuware Corporation	12.90	25,804
03-13-00	03-16-00	150	Emerson Electric Company	42.87	6,430
09-28-00	10-03-00	50	International Business Machines	118.82	5,941
02-03-00	02-08-00	500	Lucent Technologies Inc.	56.30	28,148
09-28-00	10-03-00	200	Lucent Technologies Inc.	32.21	6,442
10-18-00	10-23-00	150	Lucent Technologies Inc.	21.93	3,289
03-13-00	03-16-00	100	Merck & Company	57.48	5,748
09-28-00	10-03-00	400	Microsoft Corporation	61.64	24,654
03-13-00	03-16-00	150	Sigma Aldrich Corporation	21.80	3,270
02-03-00	02-08-00	533	Transocean Offshore Inc.	34.05	18,146
03-13-00	03-16-00	400	United Parcel Service Cl B	53.76	21,504
09-28-00	10-03-00	600	Vodafone Group PLC	37.09	22,255
03-13-00	03-16-00	500	Worldcom Inc.	45.73	22,867
09-28-00	10-03-00	250	Worldcom Inc.	29.22	7,304
					201,806
SALES					
09-28-00	10-03-00	300	Allstate Corporation	34.82	10,445
03-13-00	03-16-00	694	Archer Daniels Midland	9.40	6,526
03-13-00	03-16-00	187	Archer Daniels Midland	9.40	1,762
03-13-00	03-16-00	220	Archer Daniels Midland	9.40	2,072
03-13-00	03-16-00	331	Archer Daniels Midland	9.40	3,109
10-01-00	10-04-00	1	Avaya Inc.	15.56	21
03-13-00	03-16-00	400	Block (H&R) Inc	42.05	16,820
03-13-00	03-16-00	100	Morgan Stanley Dean Witter	82.20	8,220
09-28-00	10-03-00	400	Morgan Stanley Dean Witter	90.74	36,294
05-01-00	05-04-00	500	Pall Corporation	22.34	11,172
05-01-00	05-04-00	300	Pall Corporation	22.34	6,703
05-01-00	05-04-00	200	Pall Corporation	22.34	4,469
01-21-00	01-26-00	300	Sears, Roebuck & Company	32.25	9,676
02-03-00	02-08-00	300	Sears, Roebuck & Company	31.09	9,326
05-01-00	05-04-00	250	Shared Medical Systems	70.34	17,584
05-01-00	05-04-00	150	Shared Medical Systems	70.34	10,550

Wilson, Kemp & Associates Inc.

PURCHASE AND SALE

JOSEPH K. KNOLLENBERG, IRA ROLLOVER

From 01-01-00 To 12-31-00

Trade Date	Settle Date	Quantity	Security	Unit Price	Amount
01-11-00	01-14-00	1	Transocean Offshore Inc.	29.54	22
01-15-00	01-15-00	50,000	U.S. Treasury Notes 6.375% Due 01-15-00	100.00	50,000
					204,774

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2001 JUN -3 AM 9:11
OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES
(Office Use Only)

James Thomas Kolbe
(Full Name)

2266 Rayburn House Office Building Washington, DC 20515
(Mailing Address)

202-225-2542
Daytime Telephone

Filer Status: ✓ Member of the U.S. House of Representatives — State: AZ District: 05 — Officer Or Employee — Employing Office:

Report Type: ✓ Annual (May 15) — Amendment — Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes	No ✓
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes	No ✓
III	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ✓	No
IV	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV	Yes ✓	No
V	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes	No ✓
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes	No ✓
VII	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes ✓	No
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ✓	No
IX	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes	No ✓

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes	No ✓
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief		7 JUNE 2001

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SCHEDULE III - ASSETS AND "UNE

Nam James Thomas Kolbe

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	1/3 interest in 300 acres of land, Sonoita, AZ	$100,001 - $250,000	GRAZING FEE	$1 - $200	
	Wright Patman FCU - Checking and Savings, Washington, DC	$1,001 - $15,000	INTEREST	$1 - $200	
	Compass Bank - Checking and Savings, Tucson, AZ	$1,001 - $15,000	INTEREST	$1 - $200	
JT	NationsBank - Checking and Savings, Washington, DC	None	NONE	NONE	Closed
	Exeter Real Estate Investors XX - Limited Partnership	$15,001 - $50,000	NONE	NONE	
	Rental of Efficiency Apartment attached to Residence, 519 East Capitol Street, SE, Washington, DC	None	RENT	$5,001 - $15,000	

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SCHEDULE III - ASSETS AND "UNE

Nam James Thomas Kolbe

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Golder Ranch Assoc., Limited Partnership - 1/2 unit	$1,001 - $15,000	NONE	NONE	
Wood Canyon Corp. - 5% of outstanding stock	$50,001 - $100,000	NONE	NONE	
Dain Rauscher - Daily Accumulation Fund	$1,001 - $15,000	INTEREST	$201 - $1,000	
Investment Company of America - Mutual Fund	$100,001 - $250,000	CAPITAL GAINS	$5,001 - $15,000	
Investment Company of America - Mutual Fund	$100,001 - $250,000	INTEREST/DIVIDENDS	$2,501 - $5,000	
Delaware Group Trend Fund	$50,001 - $100,000	CAPITAL GAINS	$5,001 - $15,000	

SCHEDULE III - ASSETS AND "UNE

Nam James Thomas Kolbe

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Insured Municipal Income Trust	$1,001 - $15,000	INTEREST	$1 - $200	
Chandler Water & Sewer Revenue Bonds	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
Mexico Fund - Mutual Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
Medtronic, Inc. - Stock	$100,001 - $250,000	DIVIDENDS	$201 - $1,000	
Home Depot - Stock	$15,001 - $50,000	DIVIDENDS	$1 - $200	
Financing Corp. CPN FICO	$1,001 - $15,000	NONE	NONE	

SCHEDULE III - ASSETS AND "UNE

Nam James Thomas Kolbe

BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B Year-End Value of Asset at close of reporting year If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income If other than one of the listed categories, specify the type of income by writing a brief description in this block (For example: Partnership income or Farm Income)	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income For all other assets, indicate the category of income by checking the appropriate box below Dividends, even if reinvested, should be listed as income.	BLOCK E Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Health South Corp. - Stock	$1,001 - $15,000	NONE	NONE	
Motorola Corp. - Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
Broken Hill Proprietary - Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Esterline Corp. - Stock	$1,001 - $15,000	NONE	NONE	
Merrill Lynch Banking Advantage (formerly Merrill Lynch CMA)	$1,001 - $15,000	INTEREST	$201 - $1,000	
Merrill Lynch Basic Value Fund - Mutual Fund	$15,001 - $50,000	CAPITAL GAINS	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNE

Nam James Thomas Kolbe

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optiona. column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Merrill Lynch Basic Value Fund - Mutual Fund (also includes Merrill Lynch Special Value Focus & Merrill Lynch Basic Value Fund)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
Muniyield Arizona Fund	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
Easton Vance Greater China Growth Fund	$1,001 - $15,000	DIVIDENDS	NONE	
Global Strategy Focus Fund	$1,001 - $15,000	NONE	NONE	S
H&W International VIP	$1,001 - $15,000	NONE	NONE	S

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SCHEDULE III - ASSETS AND "UNE

Nam James Thomas Kolbe

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Merrill Lynch Prime Bond Fund	$1,001 - $15,000	NONE	NONE	S
Merrill Lynch Quality Equity	$15,001 - $50,000	NONE	NONE	
ML Corporate Bonds	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
Pacific Telesis - PFD Securities (formerly Pacific Telesis - PFD Stock)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
BSCH Finance, LTD - PFD Stock	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
Alliance Workwide Privitization Fund	$1,001 - $15,000	DIVIDENDS	NONE	

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SCHEDULE III - ASSETS AND "UNE

Nam James Thomas Kolbe

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ATM Global Telecommunications & Technology Fund	$1,001 - $15,000	NONE	NONE	
Merrill Lynch Global Allocation	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
Retirement Account Money Fund	$1,001 - $15,000	INTEREST	$201 - $1,000	
Scudder Latin America Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Evergreen Blue Chip Fund	$1,001 - $15,000	INTEREST	NONE	

SCHEDULE IV - TRANSACTIONS

Nam James Thomas Kolbe

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Global Strategy Focus Fund	S	08-31-00	$1,001 - $15,000
	H&W International VIP	S	08-31-00	$1,001 - $15,000
	Merrill Lynch Prime Bond Fund	S	08-31-00	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AN

Nam James Thomas Kolbe

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
World Economic Forum	Jan. 28-Feb. 1	Washington, DC - Zurich, Switzerland - Washington, DC	Y	Y	N	N/A
Embry-Riddle Aeronautical University	Jun. 1-3	Washington, DC - Greensboro, NC - Washington, DC (transportation NOT provided)	Y	N	N	N/A
ABC News	Aug. 5	Toronto, Canada - Washington, DC	N	N	N	N/A
National Parks Conservation Association	Aug. 9-16	Tucson, AZ - Anchorage, AK - Tucson, AZ	Y	Y	N	N/A

SCHEDULE VIII - POSITIONS

Nam James Thomas Kolbe

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Embry-Riddle Aeronautical University, Daytona Beach, FL
Board of Visitors	Weinberg College of Arts & Sciences, Northwestern University, Evanston, IL
Board of Directors	Community Food Bank, Tucson, AZ
Board of Directors	Wright Flight, Tucson, AZ
Board of Directors	International Republican Institute, Washington, DC

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 4:58
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(5)

MC

DENNIS J. KUCINICH
(Full Name)

14400 DETROIT AVE.
LAKEWOOD, OHIO 44107
(Mailing Address)

Daytime Telephone (216) 228-8850

Filer Status	[✓] Member of the U.S. House of Representatives — State: OH Distrct: 10	[ ] Officer or Employee	Employing Office:
Report Type	[✓] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [✓]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [✓]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [✓]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [✓]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [ ]	No [✓]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [✓]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [✓]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [✓]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [✓]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS N/A

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [✓]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [✓]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Dennis J. Kucinich	5/15/01

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SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples	Association of American Associations, Wash., D.C	Speech	Feb. 2, 2000	$2,000
	XYZ Magazine	Article	Aug 13, 2000	$500
	PADUA KEY CLUB, PARMA OHIO	SPEECH	NOV. 17, 2000	$500
	$500 TO SALVATION ARMY, CLEVE. OH.			

This page may be copied if more space is required.

Name DENNIS J. KUCINICH

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A		BLOCK B		BLOCK C		BLOCK D		BLOCK E
SP, DC, JT	*Examples:*	SP Mega Corp Stock	X (A: None)		X (DIVIDENDS)		X (IV: $1,001 – $2,500)		P
		Simon & Schuster	Indefinite (E: $15,001 – $50,000)			Royalties		X (IX: $100,001 – $1,000,000)	
		1st Bank of Paducah, KY accounts	X (F: $100,001 – $250,000)		X (INTEREST)		X (VI: $5,001 – $15,000)		
	OH. PUB.EMP. RET. SVC.		X (C: $1,001 – $15,000)			STATE RET. FUND			
	AM. CROATIAN LODGE, EASTLAKE		X (B: $1 – $1,000)		X (DIVIDENDS)		X (II: $1 – $200)		
	THIRD FEDERAL S+L		X (C: $1,001 – $15,000)			SAVINGS / CHECKING	X (III: $201 – $1,000)		

For additional assets and unearned income, use next page.

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Name DENNIS J. KUCINICH | Page 4 of 5

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delewere	Mortgage on 123 Main St., Dover, Del				X						
	JAMES CARNEY, JR. CLEVE. OHIO	PERSONAL LOAN		X								
	SHIRLEY PARKER, LA., CALIF.	PERSONAL LOAN		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NONE		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
PERSONAL APPEARANCE / PROGRAM	COMEDY CENTRAL	JAN 29-30	CLEVE., - MANCHESTER - CLEVE. NEW HAMP.	Y	Y	N	N
SPEECH	AM. FED OF GOVT. EMPLOYEES	FEB 27	CLEVE. - WASH. - CLEVE	N	N	N	N
SPEECH	UNIV. OF TEXAS	APRIL 1-2	CLEVE. - AUSTIN - CLEVE.	Y	N	N	N
SPEECH	S. CAL. AMER. FOR DEM. ACT.	APRIL 8-9	CLEVE. - L.A. - CLEVE	N	N	N	N
SPEECH	EARTH DAY NEW YORK	APRIL 24	CLEVE. - NYC - CLEVE.	N	N	N	N
PERSONAL APPEARANCE / PROGRAM	SIMON AND SCHUSTER	APRIL 29-30	CLEVE. - NYC - CLEVE.	Y	N	N	N
SPEECH	NATURAL PRODUCTS EXPO	SEPT 20-21	WASH., - BALT. - WASH.	Y	Y	N	N
SPEECH	COALITION FOR PEACE ACTION	NOV. 12	CLEVE., - PRINCETON, NJ - CLEVE.	N	N	N	N
SPEECH / PRESENTATION	DIRECTOR'S GUILD OF AMER.	DEC. 11-12	CLEVE. - NYC - CLV	Y	Y	N	N

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A For use by Members, officers, and employees

HAND DELIVERED

(19)

LEGISLATIVE RESOURCE CENTER

2001 MAY 15 PM 1:28

(Office Use Only)

MC

2693

John J LaFalce
(Full Name)

2310 Rayburn House Office Building Washington, DC 20515
(Mailing Address)

202-225-3231
Daytime Telephone

Filer Status ✓ Member of the U S. House of Representatives — State NY, District 29 — Officer Or Employee — Employing Office

Report Type ✓ Annual (May 15) — Amendment — Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e g , salaries or fees) of $200 or more from any source in the reporting period? Yes No ✓
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes No ✓
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ✓ No
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ✓ No
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes No ✓
If yes, complete and attach Schedule V

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? Yes No ✓
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? Yes ✓ No
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes No ✓
If yes, complete and attach Schedule VIII.

IX Did you have any reportable agreement or arrangement with an outside entity? Yes No ✓
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes No ✓

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U S C app 4, § 104 and U S C § 1001)

Certification

I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief

Signature of Reporting Individual: John J LaFalce

Date (Month, Day, Year): 5/15/01

SCHEDULE III - ASSETS AND "U

Na John J. LaFalce

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
AOL	$1,001 - $15,000	N/A	NONE	S
Ariba	$15,001 - $50,000	N/A	NONE	
Summit Technology	$1 - $1,000	N/A	NONE	S
Ciber	None	N/A	NONE	S
China Telecom	$100,001 - $250,000	N/A	NONE	
Ventro (name changed from Chemdex)	$1 - $1,000	N/A	NONE	

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Centura	$1 - $1,000	N/A	NONE	
Corel Corp.	None	CAPITAL GAINS	$201 - $1,000	S
Comptek Research	None	CAPITAL GAINS	$15,001 - $50,000	S
Fidelity Asset Manager: Aggressive	None	CAPITAL GAINS	$1,001 - $2,500	S
Fidelity Select Computers	$1,001 - $15,000	CAPITAL GAINS	$2,501 - $5,000	S
Fidelity Aggressive Growth	$15,001 - $50,000	Capital Gains/ Dividends	$2,501 - $5,000	

SCHEDULE III - ASSETS AND "U

Na John J. LaFalce

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Fidelity Select Developing Comm.	$15,001 - $50,000	Capital Gains / Dividends	$5,001 - $15,000	
Corning	$50,001 - $100,000	INTEREST	$201 - $1,000	
Internet Capital	$1,001 - $15,000	N/A	NONE	
Infosys Tech	$15,001 - $50,000	Dividends	$1 - $200	
Looksmart	None	N/A	NONE	S
Merrill Lynch Global Technology B	$1,001 - $15,000	N/A	NONE	

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SCHEDULE III - ASSETS AND "U

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Merrill Lynch Global Technology D	$1,001 - $15,000	N/A	NONE	
Merrill Lynch S & P 500 Mitts (formerly MLF Amex)	None	N/A	NONE	S
Metrocall	None	N/A	NONE	S
Motorola	$15,001 - $50,000	Dividends	$201 - $1,000	
Microsoft	$1,001 - $15,000	Capital Gains	$15,001 - $50,000	S
Network Associates	None	N/A	NONE	S

SCHEDULE III - ASSETS AND "U

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Nextel	$50,001 - $100,000	N/A	NONE	
Oracle	$250,001 - $500,000	CAPITAL GAINS	$15,001 - $50,000	S
PurchasePro	$15,001 - $50,000	N/A	NONE	
QLT Phototherapeutics	$15,001 - $50,000	N/A	NONE	
Red Hat	$1,001 - $15,000	N/A	NONE	
Somnus Medical Technologies	$1,001 - $15,000	N/A	NONE	

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SCHEDULE III - ASSETS AND "U

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Telemate	None	N/A	NONE	S
VerticalNet	$1,001 - $15,000	N/A	NONE	
Verisign	$15,001 - $50,000	N/A	NONE	
Yahoo	None	N/A	NONE	S
Putnam Investment Fund B	$15,001 - $50,000	CAPITAL GAINS	$201 - $1,000	
Putnam Voyagers Fund B	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	

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ML Retirement Reserves Money Market	$1 - $1,000	Dividends	$1 - $200	
ML Cash Management Money Market	$1,001 - $15,000	Dividends	$1 - $200	
Prudential Money Mart Assets	$1,001 - $15,000	Dividends	$1 - $200	
Morgan Stanley Active Assets & Retirement Moneymkt.	$1,001 - $15,000	Dividends	$201 - $1,000	
Sports Trac, Inc. (Bridge Loan) 6900 E. Bellview Avenue Englewood, CO	$1 - $1,000	N/A	NONE	
First Niagara Savings Bank (formely Lockport Savings Bank(	$1,001 - $15,000	Interest	$1 - $200	

2700

SCHEDULE III - ASSETS AND "U

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M & T Bank Savings Account	$15,001 - $50,000	Interest	$2,501 - $5,000	
Congressional Federal Credit Union	$1,001 - $15,000	Interest	$1 - $200	
Colonial Manor Condo	$15,001 - $50,000	Rent	$2,501 - $5,000	
New York State College Savings Progam (for Martin LaFalce)	$1,001 - $15,000	Dividends	$201 - $1,000	
China Unicom	$1,001 - $15,000	N/A	NONE	P
Cisco	$1,001 - $15,000	N/A/NON	NONE	P

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Fidelity Select Biotechnology	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$201 - $1,000	P
Fidelity Select Technology	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$1,001 - $2,500	P
Fidelity Select Electronics	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$1,001 - $2,500	P
Intraware	$1 - $1,000	N/A	NONE	P
Open-TV	$1,001 - $15,000	N/A	NONE	P
NASDAQ 100 Trust	$1,001 - $15,000	N/A	NONE	P

2702

SCHEDULE III - ASSETS AND "U

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Tivo	$1,001 - $15,000	N/A	NONE	P
Verizon	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
Vision Money Mkt	$1,001 - $15,000	INTEREST	$1 - $200	
All American Financial Insurance Policy	None	DIVIDENDS	$1 - $200	
Broadband Unit Trust	$1,001 - $15,000	Year end allocation	$1 - $200	P
ML Teleglobal Unit Trust	$15,001 - $50,000	Re-classification Distribution	$1 - $200	P

2703

SCHEDULE III - ASSETS AND "U

Na John J. LaFalce

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Fidelity Select Software and Computer	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$2,501 - $5,000	
Liberate Technologies	None	N/A	NONE	PS
N-Top	None	N/A	NONE	PS
Verio	None	CAPITAL GAINS	$201 - $1,000	PS
Intuit	None	N/A	NONE	PS
Biotech Unit Trust 99B	$1,001 - $15,000	N/A	NONE	P

SCHEDULE III - ASSETS AND "U

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other essets, indicate the category of income by checking the appropriate box below Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Internet Trust 99D	$1,001 - $15,000	N/A	NONE	P

2705

SCHEDULE IV - TRANSACTIONS

Na John J. LaFalce

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	AOL	S	12-20-00	$1,001 - $15,000
	Corel Corp	S	3-10-00	$15,001 - $50,000
	Fidelity Asset Manager: Aggr	S	1-7-00	$1,001 - $15,000
	Fidelity Select Computers	S	1-7-00	$1,001 - $15,000
	Looksmart	S	1-7-00	$1,001 - $15,000
	Metrocall	S	1-7-00	$1,001 - $15,000
	Microsoft	S	3-9-00	$1,001 - $15,000
	Microsoft	S	8/18/00	$1,001 - $15,000
	Microsoft	S	1/5/2000	$1,001 - $15,000
	Telemate	S	1-7-00	$1,001 - $15,000
	Yahoo	S	12/26/00	$1,001 - $15,000
	Yahoo	PS	7/12/00 12/20/00	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Na John J. LaFalce

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Oracle	S	7-12-00	$15,001 - $50,000
	ML Teleglobal Unit Trust	P	3/10/00	$1,001 - $15,000
	Broadband Unit Trust	P	3/10/00	$1,001 - $15,000
	Verizon Communications	P	8/18/00	$15,001 - $50,000
	Comptek Reserach	S	1-6-00	$15,001 - $50,000
	Network Associates	S	1-7-00	$15,001 - $50,000
	Ciber	S	6-22-00	$15,001 - $50,000
	N-Top	PS	1/7/00 12/26/00	$1,001 - $15,000
	Verio	PS	1/7/00 5/8/00	$1,001 - $15,000
	Open-TV	P	1-7-00	$15,001 - $50,000
	China Unicom	P	6/22/00	$15,001 - $50,000
	Cisco	P	6/22/00	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Na John J. LaFalce

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Summit Technology	S	3/10/00	$1,001 - $15,000
	Internet Trust	P	1-5-00	$1,001 - $15,000
	Merrill Lynch S & P 500 Mitts (formerly MLF Amex)	S	3-9-00	$1,001 - $15,000
	Biotech Unit Trust 99B	P	1/5/00	$1,001 - $15,000
	NASDAQ 100 Trust	P	7/31/00	$1,001 - $15,000
	Intraware	P	1/7/00	$15,001 - $50,000
	Tivo, Inc.	P	3/10/00	$1,001 - $15,000
	Intuit	PS	1/6/00 3/10/00	$15,001 - $50,000
	Fidelity Select Biotechnology	P	1/7/00	$1,001 - $15,000
	Fidelity Select Technology	P	1/7/00	$1,001 - $15,000
	Fidelity Select Electronics	P	1/7/00	$1,001 - $15,000
	Fidelity Select Software and Computer	P	1/7/00	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Na John J. LaFalce

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	LiberateTechnologies	P	1/7/00	$15,001 - $50,000
	Liberate Technologies	S	12/20/00	$1,001 - $15,000
	Putnam Investors Fund B	P	2/7/00 3/21/00	$15,001 - $50,000
	Putnam Voyagers Fund B	P	3/21/00	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS

Na John J LaFalce

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
International Management and Development Institute	Jan. 11- 16	Buffalo, NY -- Frankfort and Baden Baden, Germany--Buffalo, NY	Y	Y	N	N/A
Cong Study Group on Germany/ Asso. Former M.C.	Apr. 17-21	Niagara Falls, NY	Y	Y	Y	N/A
North Carolina Bankers Assn.	Sept. 17-18	Buffalo, NY -Charlotte, NC-Buffalo, NY	Y	Y	N	N/A

FOOTNOTES

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	formerly called Chemdex	Ventro
2	Schedule III	formerly called MLF Amex	Merrill Lynch S&P 500

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

(9) HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2001 MAY 10 PM 3:21
(Office Use Only) MC

Ray H. LaHood
(Full Name)

1424 Longworth Building Washington, DC 20515
(Mailing Address)

202-225-6201
Daytime Telephone

Filer Status ☑ Member of the U.S. House of Representatives — State: IL District 18 — ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) — ☐ Amendment — ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☑ No ☐	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4 § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/10/2001

2712

SCHEDULE I - EARNED INCOME

Name Ray H. LaHood

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Council of Independent Colleges	Spouse Salary	NA
Council of American Overseas Research	Spouse Independent Contractor Fee	NA

SCHEDULE II - PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

Name Ray H. LaHood

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Central IL Builders	Speech	Nov. 17, 2000	$1,500

Honorarium for Speech to Central Illinois Builders on November 17, 2000, donated to charity as follows:

Salvation Army, Tree of Lights Campaign	$500
Central Illinois Big Brothers/Big Sisters	$500
Peoria Notre Dame High School	$500

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ray H. LaHood

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA: (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S Government retirement programs If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	National City Savings & Investment Plan	$15,001 - $50,000	Deferred/Not self directed	NA-Retirement	NA
SP	National. City Retirement Plan	$15,001 - $50,000	Deferred/Not self directed	NA-Retirement	NA
SP	TIAA-CREF	$1,000-15,000	Retirement/Not self directed	NA-Retirement	NA
SP	Morgan Stanley /Dean Witter IRA Rollover -200 Shares Amer. Classic	$1,000-15,000	Deferred	$1-$200	P
SP	Morgan Stanley/Dean Witter IRA Rollover-200 shares Caterpillar	$1,000-15,000	Deferred	$201 - $1,000	P

2715

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ray H. LaHood

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Morgan Stanley/Dean Witter IRA Rollover-100 shares RLI Corp	$1,000-15,000	Deferred	$1-$200	P
	Amer. Classic Voyager-200 shares	None	Capital Gains	$1,000-$2,500	S

2716

SCHEDULE IV - TRANSACTIONS

Name Ray H LaHood

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Morgan Stanley/Dean Witter IRA Rollover-200 shares Amer. Classic	P	3/2000	$1,001 - $15,000
SP	Morgan Stanley/Dean Witter IRA Rollover-200 shares Caterpillar	P	3/2000	$1,001 - $15,000
SP	Morgan Stanley/Dean Witter IRA- Rollover 100 shares RLI Corp	P	3/2000	$1,001 - $15,000
	Amer. Classic Voyager-200 shares	S	02/24/00	$1,001 - $15,000
	Amer. West-100 shares	S	02/24/00	$1,001-$15,000
	Ikon Office Solu-200 shares	S	02/24/00	$1,001-$15,000
	RLI Corp.-100 shares	S	02/24/00	$1,001-$15,000
	Amer. Classic Voyager-200 shares	S	02/24/00	$1,001 - $15,000

2717

SCHEDULE V - LIABILITIES

Name Ray H. LaHood

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Morton Comm. Bank/Morton, IL	Student Loan	$50,001 - $100,000
JT	Citizens Equity Fed. Credit Union	Revolving Loan	$15,001 - $50,000
JT	Salliemae	Student Loan	$50,001 - $100,000
JT	Direct Loans - U. S. Department of Education	Student Loan	$10,001 - $15,000
	Pontiac Natl. Bank, Pontiac, IL	Short Term/Misc. Exp.	$15,001 - $50,000
	Citizens Natl. Bank, Toluca, IL	Student Loan	$15,001 - $50,000
	Central IL Bank, Bloomington, IL	Short Term/Misc. Loan	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Ray H LaHood

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act, travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
St. Sharbel Church, Peoria	5/26-6/3/2000	Chicago-Beirut-Chicago	N	Y	Y	None

SCHEDULE VIII - POSITIONS

Name Ray H. LaHood

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee (Unpaid)	Gallaudet University
Trustee (Unpaid)	Bradley University

HOUSE OF REPRESENTATIVES

FORM A

For use by Members, officers, and employees

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

(9) HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2001 MAY 15 PM 6:06

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Nicholas V. Lampson
(Full Name)

8350 Collier Rd.
Beaumont TX 77706
(Mailing Address)

Daytime Telephone: 202 225-6565

Filer Status	[X] Member of the U.S. House of Representatives — State: TX District: 9	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ] No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X] No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics In Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Nicholas V Lampson	May 15, 2001.

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Name Cove Nicklampson Page ___ of ___

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keane State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Beaumont Independent School District	Spouse Salary	34,600

For payments to charity in lieu of honoraria, use Schedule II.

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SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500

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Name Cong. Nick Lampson | Page ___ of ___

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B: None	A: $1 – $1,000	B: $1,001 – $15,000	C: $15,001 – $50,000	D: $50,001 – $100,000	E: $100,001 – $250,000	F: $250,001 – $500,000	G: $500,001 – $1,000,000	H: $1,000,001 – $5,000,000	I: $5,000,001 – $25,000,000	J: $25,000,001 – $50,000,000	K: Over $50,000,000	L	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	Block D: None	I: $1 – $200	II: $201 – $1,000	III: $1,001 – $2,500	IV: $2,501 – $5,000	V: $5,001 – $15,000	VI: $15,001 – $50,000	VII: $50,001 – $100,000	VIII: $100,001 – $1,000,000	IX: $1,000,001 – $5,000,000	X: Over $5,000,000	XI	Block E: P, S, E
SP, DC, JT	Examples:	SP	Mega Corp. Stock					X								X										X								P
			Simon & Schuster		Indefinite																	Royalties									X			
			1st Bank of Paducah, KY accounts						X									X										X						
	Cong. Federal Credit Union					X												X						X										
	Jefferson Co. Employee Credit Union			X														X							X									
	TX Co. Dist. Retirement Sys								X														X											
	Thrift Savings Plan						X																											

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name Cong Nick Lampson | Page ___ of ___

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction (P, S, E)
JT	1038 Park Meadow Beaumont, TX	D $15,001 – $50,000	RENT	VI $5,001 – $15,000	
JT	Pruitmon Sq. #24c Beaumont, TX	D $15,001 – $50,000	RENT	IV $1,001 – $2,500	
JT	Pruitmon Sq #25d Beaumont, TX	D $15,001 – $50,000	RENT	IV $1,001 – $2,500	
	Note receivable Keith Brousard Beaumont TX	D $15,001 – $50,000		I None	

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Name Craig Nickl Ampson Page ___ of ___

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	P $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-00		X								

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Name CONG. NICK LAMPSON Page — of —

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
HIRETENITA	~~State~~ Bank Beaumont, TX	Prutzman Sq. Townhomes		X								
	G. MAC Mortgage Corp., Waterloo IA	1038 Park Meadow		X								
ESTATE of	U.G. Hall, Dickinson, TX	Personal loan		X								
	Citizens State Bank	Dickinson, TX		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
N/A		

Use additional sheets if more space is required.

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Name Congr. Nick Lampson Page ___ of ___

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Clear Lake Area Economic Development Foundation	July 23-July 27	DC-London, England-DC	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
N/A	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service, continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

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Use additional sheets if more space is required.

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A

For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

(10) 2001 MAY 15 PM 6:05

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

James R. Langevin
(Full Name)

US House of Representatives 109 Cannon HOB Washington, DC 20515
(Mailing Address)

202-225-2735
Daytime Telephone:

(Office Use Only)

Filer Status ☑ Member of the U.S. House of Representatives — State: RI — District: 02 ☐ Officer Or Employee — Employing Office:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such e trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification

I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.

Signature of Reporting Individual: James R Langevin

Date (Month, Day, Year): 5-15-01

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SCHEDULE I - EARNED INCOME

Nam James R. Langevin

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Rhode Island	Salary	$80,000

SCHEDULE III - ASSETS AND "UNE

Nam James R. Langevin

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Eaton Vance Nat'l Muni Fund	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	
Investment Co. Of America	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
MSDW Aggresive Equity Fund	$50,001 - $100,000	CAPITAL GAINS	$5,001 - $15,000	
MSDW Dividend Growth Fund	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	
Putnam Growth and Income Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
Putnam Voyager Fund	$100,001 - $250,000	CAPITAL GAINS	$15,001 - $50,000	

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SCHEDULE III - ASSETS AND "UNE

Nam James R. Langevin

BLOCK A Asset and/or Income Source Identify (a) each esset held for investment or production of income with a fair market value exceeding $1,000 at the and of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Washington Mutual Investors Fund	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	
MSDW American Opport. Fund	$100,001 - $250,000	CAPITAL GAINS	$15,001 - $50,000	
Dreyfus Strategic Muni Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
Dreyfus Strategic Muni Bond Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
MFS Municipal Income Trust	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
Nuveen Premium Inc. Mutual Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	

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BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Van Kampen Muni Trust SBI	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	S
340 Shawmut Avenue, Warwick, RI	$50,001 - $100,000	RENT	$2,501 - $5,000	
15 Jefferson Street, Warwick, RI	$50,001 - $100,000	RENT	$5,001 - $15,000	
Bonnet Shores Beach Cabana	$15,001 - $50,000	RENT	$201 - $1,000	
Muni Enhanced Fund	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	P
Sun Life of Canada (results of demutualization of company)	$15,001 - $50,000	Other (Please specify)	NONE	P

SCHEDULE III - ASSETS AND "UNE

Nam James R. Langevin

BLOCK A

Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B

Year-End Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."

BLOCK C

Type of Income

If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)

BLOCK D

Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E

Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Sun Life of Canada (insurance policy)	$500,001 - $1,000,000	INTEREST (from principal on premium)	$5,001 - $15,000
Citizens Bank (savings account)	$1,001 - $15,000	INTEREST	$1 - $200
U.S. Savings Bonds	$1,001 - $15,000	N/A	NONE
MSDW Utilities Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Nam James R. Langevin

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Investment Co. Of America	S	2000	$15,001 - $50,000
	MSDW Aggresive Equity Fund	S	2000	$1,001 - $15,000
	MSDW Amer. Opportunity Fund	S	2000	$1,001 - $15,000
	MSDW Dividend Growth Fund	S	2000	$1,001 - $15,000
	MSDW Utilities Fund	S	2000	$1,001 - $15,000
	Putnam Growth and Income Fund	S	2000	$1,001 - $15,000
	Putnam Voyager Fund	S	2000	$1,001 - $15,000
	Washington Mutual Investors Fund	S	2000	$1,001 - $15,000
	Muni Enhanced Fund	P	2000	$15,001 - $50,000
	Sun Life of Canada (results of demutualization of company)	P	2000	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Nam James R. Langevin

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Fleet Mortgage Group	Mortgage on 124 Abbott Avenue, Warwick, RI	$50,001 - $100,000
	Morgan Stanley	Margin Debt	$250,001 - $500,000
	Dovenmuehle Mortgage, Inc.	Mortgage on 15 Temple Avenue, Warwick, RI	$15,001 - $50,000
	Washington Trust	Mortgage on 170 Shannon Drive, Warwick, RI	$50,001 - $100,000
	Washington Trust	Mortgage on 83 Elmdale Avenue, Warwick, RI	$50,001 - $100,000
	Fleet Mortgage	Mortgage on 340 Shawmut Avenue, Warwick, RI	$50,001 - $100,000

SCHEDULE VIII - POSITIONS

Nam James R. Langevin

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	American Red Cross of RI
Board of Directors	Pari Independent Living
Board of Directors	Warwick Shelter
Member	Save the Bay
Member	Naval War College Foundation
Member	Greater Warwick Lions Club
Member	Knights of Columbus Warwick Council
Member	Ahepa
Board of Directors	Tech Access
Member, Capital Campaign Board	Elizabeth Buffum Chace House

JAMES R. LANGEVIN
2D DISTRICT, RHODE ISLAND

Congress of the United States
House of Representatives
Washington, DC 20515–3902

EDUCATION RESOURCE CENTER
2001 MAY 15 PM 6:04
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

May 15, 2001

HAND DELIVERED

The Honorable Joel Hefley, Chairman
Committee on Standards of Official Conduct
U.S. House of Representatives
HT2 Capitol Building
Washington, DC 20515

Dear Chairman Hefley:

Enclosed please find my Financial Disclosure Statement for the year 2000.

I am aware that the exact dates for transactions listed on Schedule IV have not been supplied on this statement. Please be assured that I plan to amend my statement and provide this information as quickly as possible.

Thank you in advance for your patience with this matter.

Sincerely,

Jim Langevin

James R. Langevin
Member of Congress

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TOM LANTOS
CALIFORNIA

WASHINGTON OFFICE
2217 RAYBURN BUILDING
WASHINGTON, D.C. 20515
(202) 225-3531

DISTRICT OFFICE
400 S. EL CAMINO REAL
SUITE 410
SAN MATEO, CA 94402
(650) 342-0300
IN SAN FRANCISCO
(415) 566-5257

HAND DELIVERED

INTERNATIONAL RELATIONS COMMITTEE
Ranking Democratic Member

GOVERNMENT REFORM COMMITTEE

Subcommittee on Energy Policy, Natural Resources and Regulatory Affairs

Subcommittee on National Security, Veterans' Affairs and International Relations

Co-chair, Permanent United States Congressional Delegation to the European Parliament

Member, United States Congressional Delegation to the NATO Parliamentary Assembly

Co-chair, Congressional Human Rights Caucus

Member, United States Holocaust Memorial Council

Congress of the United States
House of Representatives
Washington, D.C. 20515

May 15, 2001

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 2:00
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MA

(9)

The Honorable Lamar S. Smith, Chairman
The Honorable Howard Berman, Ranking Member
Committee on Standards of Official Conduct
U.S. House of Representatives
HT-2 The Capitol
Washington, D.C. 20515

Dear Lamar and Howard,

I am writing this letter to you in connection with the filing of my May 15, 2001 Annual Financial Disclosure Report. There are some significant changes in my financial status that are reflected in the report this year, and I want to make clear what those changes are because they may not be evident from simply comparing this current annual report with the one filed on May 15, 2000.

First, our financial situation has changed with the death of my mother-in-law which resulted in my wife's inheritance of property in Canada. One of those properties was sold this past year, and my wife still owns another. Second, last year we sold our family home in California which we have owned for over three decades. As you know, real estate values in the Bay Area have been particularly robust lately. I regret that I sold our house a bit past the peak of the real estate boom in our area, but the appreciation on the property was still substantial. As a result of these changes in our financial status, we have transferred a number of assets into stocks and other securities.

I felt that an explanation of our financial situation would help to put these changes into context. If you or your staff need any additional information or if your have questions, please contact me or my Chief of Staff, Dr. Robert R. King.

Most cordially,

TOM

TOM LANTOS
Member of Congress

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

Thomas Peter Lantos
(Full Name)

2217 Rayburn House Office Building, 225-3531
(Mailing Address) Daytime Telephone

(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State CA District 12	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Tom Lantos	5/15/01

2741

SCHEDULE I - EARNED INCOME

Source	Type	Amount
State of California Public Systems	Pension	13,504
California Teachers Association	Pension	14,018

SCHEDULE III – ASSETS AND "UNEARNED" INCOME

	Asset and/or Income Source	Value of Asset	Type of Income	Amount of Income	Transaction
	Keough Retirement - Merrill Lynch (consists of;)				
	(1) Allstate Corp Del	C	Dividends	II	
	(2) Morgan Stanely Dean Witter Disc.	C	Dividends	II	
	(3) Sears Roebuck	C	Dividends	II	
	(4) U.S. Airways Group Del $1	D	Dividends	N/A	
	(5) ML USA Govt Reserves	G	Dividends	VI	
JT	Merrill Lynch Cash Mgmt. Account - CMA Government Fund	D	Dividends	VI	
			Interest	III	
	Merrill Lynch IRA	D	Dividends	IV	
SP	Merrill Lynch IRA (consists of;)				
	(1) Boeing	D	Dividends	III	
	(2) ML USA Govt. Reserves	C	Dividends	III	

JT	Wright-Patman Congressional Federal Credit Union - Money Market, Checking, and Savings Accounts	G	Interest	II	
			Dividends	VI	
JT	Charles Schwab Account (consists of;)				
	(1) AMR Corp	F	N/A	N/A	P
	(2) Apple Computer Inc.	C	N/A	N/A	P
	(3) Boeing Co	G	Dividends	V	P
	(3) Carnival Corp	F	Dividends	IV	P
	(4) Caterpillar Inc.	D	N/A	N/A	P
	(5) Disney Wal Hldg. Co	D	Dividends	II	
	(6) Eastman Kodak Company	D	N/A	N/A	P
	(7) E.S.C. Medical Sys. Ord. F	D	N/A	N/A	S
	(8) Glenborough Rlty Trust Inc.	E	Dividends	V	P
			Capital Gains	IV	
	(9) Glenborough A 7.75%	F	Dividends	VI	P
			Capital Gains	IV	
	(10) Hasbro	D	Dividends	III	P
	(11) Lear Corp	D	N/A	N/A	P
	(12) Lockheed Martin	F	Dividends	IV	P
	(13) Northwest Airlines Corp.	E	N/A	N/A	P
	(14) Raytheon Co New CL A	E	Dividends	III	P

	(15) Schwab Money Market Fund	H	Dividends	VII	
	(16) Tommy Hilfiger Corp. F	D	N/A	N/A	P
	(17) UNISYS Corp	D	N/A	N/A	P
	(18) United Airline Corp	G	Dividends	IV	P
	(19) U.S. Airways Group Inc.	E	N/A	N/A	P
	(20) West Jet Airlines Ltd.	D	N/A	N/A	P
	(21) Xerox	E	Dividends	V	P
JT	1230 Southdown Road Hillsborough, CA	I	Gross Rent	VI	S
			Net Rent	VI	
SP	210 Justice Court, Washington, DC	F	Gross Rent	VI	
			Net Rent	IV	
SP	210 McMullen Place Vancouver, B.C.	F	N/A	None	S
SP	Multi-Unit Apartment Building, 5940 Balzam, Vancouver, B.C.	H	Gross Rent	VIII	
			Net Rent	VII	

Schedule IV – Transactions

	Asset	Type of Transaction	Date	Amount of Transaction
	A M R Corp.	P	7/20/00	C
	A M R Corp.	P	7/25/00	C
	A M R Corp.	P	9/27/00	C

	A M R Corp.	P	10/19/00	C
	Apple Computer Inc.	P	9/29/00	C
	Boeing Co.	P	2/10/00	C
	Boeing Co.	P	3/01/00	C
	Boeing Co.	P	3/13/00	C
	Boeing Co.	P	6/30/00	C
	Carnival Corp.	P	4/05/00	C
	Carnival Corp.	P	6/22/00	C
	Carnival Corp.	P	7/18/00	C
	Carnival Corp.	P	7/25/00	C
	Carnival Corp.	P	9/08/00	C
	Carnival Corp.	P	9/15/00	C
	Caterpillar Inc.	P	10/23/00	C
	Eastman Kodak Company	P	9/27/00	C
	Glenborough A 7.75% PFD	P	4/5/00	C
	Glenborough A 7.75% PFD	P	7/17/00	C
	Glenborough A 7.75% PFD	P	10/05/00	D
	Glenborough RLTY TR INC.	P	2/10/00	B
	Hasbro Inc.	P	7/24/00	B
	Hasbro Inc.	P	7/25/00	B
	Lear Corp.	P	7/24/00	C
	Lear Corp	P	7/25/00	C
	Lockheed Martin Corp.	P	2/15/00	B

	Lockheed Martin Corp.	P	3/13/00	C
	Lockheed Martin Corp.	P	7/20/00	C
	Lockheed Martin Corp.	P	7/25/00	C
	Northwest Airlines Corp.	P	6/02/00	D
	Northwest Airlines Corp.	P	10/19/00	C
	Raytheon Co. New CL A	P	2/28/00	B
	Raytheon Co. New CL A	P	7/20/00	C
	Tommy Hilfiger Corp. F	P	4/11/00	B
	Tommy Hilfiger Corp. F	P	5/25/00	B
	Tommy Hilfiger Corp. F	P	7/17/00	B
	United Airlines Corp. New	P	7/20/00	E
	United Airlines Corp. New	P	7/25/00	D
	United Airlines Corp. New	P	9/12/00	C
	United Airlines Corp. New	P	9/29/00	C
	United Airlines Corp. New	P	11/06/00	D
	UNISYS CORP	P	7/20/00	C
	U.S. Airways Group Inc.	P	2/2800	C
	U.S. Airways Group Inc.	P	3/01/00	C
	U.S. Airways Group Inc.	P	3/13/00	C
	U.S. Airways Group Inc.	P	3/15/00	C
	U.S. Airways Group Inc.	P	10/10/00	C
	West Jet Airlines LTD. F	P	6/5/00	C
	Xerox	P	2/15/00	C

	Xerox	P	2/28/00	C
	Xerox	P	3/01/00	C
	Xerox	P	3/13/00	C
	Xerox	P	6/01/00	C
	Xerox	P	7/20/00	C
	Xerox	P	7/26/00	C
	Xerox	P	9/12/00	C
	Xerox	P	9/15/00	C
	Xerox	P	10/03/00	C
	Xerox	P	11/03/00	C
	Xerox	P	11/06/00	C
	US Airways Group Inc.	S	5/24/00	D
	US Airways Group Inc.	S	6/23/00	C
	E S C Medical Sys Ord F	S	6/21/00	C
	Xerox Corp	S	12/26/00	C
SP	210 McMullen #602 Vancouver, B.C.	S	1/17/00	E
JT	1230 Southdown Road	S	7/14/00	H

Schedule VII - Travel Payments and Reimbursements

Source	Dates	Point of Departure Point of Return	Lodging	Food	Family Member	Any time not at sponsor's expense
Survivors of the Shoah Visual History Foundations	Jan- 4-6	Copenhagen - Vilnius; - Riga;- Tallinn; - Copenhagen	Y	N	Y	None
International Management and Development Institute	Jan 14-15	Baden-Baden, Germany; - Copenhagen - Washington, DC	Y	Y	Y	None
The Museum of Jewish Heritage	Feb. 23-24	West Palm Beach, FL - Washington, DC	Y	N	Y	None
International Management and Development Institute	April 15 - May 2	Washington, DC - Budapest - Copenhagen - Vienna - Washington, DC	Y	Y	Y	11 Days
University of Michigan	May 8	Washington, DC - Auburn Hills, MI - Washington, DC	N	Y	N	None
Cumberland University	June 23-June 24	Washington, DC - Nashville, TN - New York, NY	Y	Y	Y	None
American Israel Public Affairs Committee	June 25 June 26	New York-Washington, DC	Y	Y	Y	None
Guardian Industries Corp.	July 28-July 30	Washington, DC - San Francisco, CA - Monterey, CA - San Francisco, CA	Y	N	Y	None

TOM LANTOS
CALIFORNIA

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IN SAN FRANCISCO
(415) 566-5257

Congress of the United States
House of Representatives
Washington, D.C. 20515

INTERNATIONAL RELATIONS COMMITTEE
Ranking Democratic Member

GOVERNMENT REFORM COMMITTEE
Subcommittee on Energy Policy, Natural Resources and Regulatory Affairs
Subcommittee on National Security, Veterans Affairs and International Relations

Co-Chair, Permanent United States Congressional Delegation to the European Parliament

Member, United States Congressional Delegation to the NATO Parliamentary Assembly

Co-Chair, Congressional Human Rights Caucus

Member, United States Holocaust Memorial Council

3

MC

June 8, 2001

The Hon. Jeff Trandahl, Clerk of the House
United States House of Representatives
C/O Legislative Resource Center
B-106 Cannon House Office Building
Washington, D.C. 20515

AMENDMENT to Financial Disclosure Statement for 2000
Filed by Congressman TOM LANTOS on May 15, 2001

Dear Mr. Trandahl:

I filed my Financial Disclosure Report covering calendar year 2000 with the Legislative Resource Center on May 15, 2001. I have been notified by the staff of the Committee on Standards that there are two questions with that filing. This letter and the attached materials are intended to respond to those questions.

First, page 9 of my Financial Disclosure Report was apparently separated from the rest of the report when it was filed. I have attached to this letter page 9 of the Report which includes the last portion of **Schedule VII – Travel Payments and Reimbursements** and includes all of **Schedule VIII – Positions**.

Second, information reported on page 4 of **Schedule III – Assets and "Unearned" Income** was incorrectly reported due to confusion regarding the information to be supplied in this schedule. The information regarding three assets that were reported needs to be adjusted. I have indicated the corrected information on the amended form in bold and italic type.

Sincerely,

Tom Lantos

TOM LANTOS
Member of Congress

Attachments:

(1) Page 9 of the Financial Disclosure Statement including part of **Schedule VII – Travel Payments and Reimbursements** and all of **Schedule VIII – Positions.**

(2) Amended information for **Schedule III – Assets and "Unearned" Income**

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International Management and Development Institute	Aug 5 - Aug 14	San Francisco, CA - Copenhagen, Denmark	N	N	Y	7 Days
International Management and Development Institute	Aug 24 - Aug 26	Copenhagen, Denmark - Helsinki, Finland	Y	N	Y	None
International Management and Development Institute	Sept. 1 - Sept. 5	Helsinki, Finland - Copenhagen, Denmark - New York	N	N	Y	3 Days
International Management and Development Institute	Nov 25 - Dec 2	Denmark - Singapore - China - Denmark	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

Board Member (uncompensated)	Peres Peace Center
Board Member (uncompensated)	William Davidson Institute - University of Michigan Business School
Board Member (uncompensated)	Central European University

AMENDED DATA FOR
THOMAS PETER LANTOS
FINANCIAL DISCLOSURE STATEMENT – CALENDAR YEAR 2000

SCHEDULE III – ASSETS AND "UNEARNED" INCOME

	Asset and/or Income Source	Value of Asset	Type of Income	Amount of Income	Transaction
JT	Charles Schwab Account (consists of) *(7) E.S.C. Medical Sys Ord. F*	*NONE*	N/A	N/A	S
JT	1230 Southdown Road Hillsborough, CA	*NONE*	Gross Rent	VI	S
			Net Rent	IV	
SP	210 McMullen Place Vancouver, BC	*NONE*	N/A	None	S

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

MAY 15 2001
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LEGISLATIVE [illegible] OFFICE CENTER
01 MAY 18 AM 11:34
[illegible] REPRESENTATIVES
MC
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43

Stephen Michael Largent
(Full Name)

6150 S. Louisville Tulsa, OK 74136
(Mailing Address)

(918) 492-0106
Daytime Telephone

Filer Status	[X] Member of the U S House of Representatives	State OK District #1	[ ] Officer or Employee — Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attech Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attech Schedule IV.**	Yes [X]	No [ ]	IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Stephen Michael Largent	5/14/01

2752

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples.	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Profootball Hall of Fame	Card Signings	1,000
	Players, Inc.	"	20,443
	International Sports Marketing	"	12,000
	H.O.F. Cards - I LLC	"	850
	Note: Earnings in excess of $21,195		
	Cap donated to charity.		

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name: Stephen Michael Largent

BLOCK A Asset and /or Income Source	BLOCK B Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E TRANSACTION
	A None	B $1 - $1000	C $1001 - $2500	D $2501 - $5000	E $5001 - $15000	F $15001 - $50000	G $50001 - $100000	H $100001 - $250000	I $250001 - $500000	J $500001 - $1000000	K $1000001 - $5000000	L Over $5000000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Business Income	Other	I None	II $1 - $200	III $201 - $1000	IV $1001 - $2500	V $2501 - $5000	VI $5001 - $15000	VII $15001 - $50000	VIII $50001 - $100000	IX $100001 - $250000	X $250001 - $500000	XI Over $500000	
Merrill Lynch CMA			X										X									X									
Fidelity Money Market Fund				X											X								X								
American Bank and Trust			X												X							X									
Local America Bank			X												X						X										
Pimco Total Return Fund	X												X			X				X											S
FERS, Thrift Plan						X							X		X																
Exxon Stock				X									X									X									
Delaware Select Growth Fund B				X									X			X				X											P
Microsoft Corp			X										X							X											
Cisco Systems, Inc			X										X							X											
Williams Companies			X										X								X										
Federal Government Retirement Plan				X											X									X							
Congressional Federal Credit Union				X											X							X									
Vanguard Int-trm Tax Exemp								X							X	X				X											P
Wells I Ltd Partnership			X											X							X										
Concord Ltd					X										X				X						X						
Fidelity Diversified Intl					X								X			X				X											P
CNL II Ltd Partnerhip				X										X	X								X								
CNL VI Ltd Partnerhip				X										X	X								X								
Mid America Apts, LP				X										X	X							X									
Cash surrender Value of life insurance					X								X											X							
Numismatic Coins				X												X				X											
Gold & Silver				X												X				X											
Screen Actors Guild			X																X				X								

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name: Stephen Michael Largent

BLOCK A Asset and /or Income Source	BLOCK B Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E TRANSACTION
	A None	B $50 or less	C $50-$300	D $300-$1000	E $1001-$2500	F $2501-$5000	G $5001-$15000	H $15001-$50000	I $50001-$100000	J $100001-$500000	K $500001-$1000000	L Over $1000000	Interest	Dividends	Rent	Royalties	Capital Gains	Partnership Income	Other	I None	II $1-$200	III $201-$1000	IV $1001-$2500	V $2501-$5000	VI $5001-$15000	VII $15001-$50000	VIII $50001-$100000	IX $100001-$1000000	X Over $1000000	XI Value Unknown	
Northcreek Investment Ltd Partnership		X												X	X	X						X								
First Hand Tech Value Fund					X											X								X						P
Russell Small Cap Eq						X										X				X										P
Bert Bell NFL Retirement Plan							X												X						X					
Capital World Growth & Income Fund									X				X			X						X								
Vanuard Index S&P500					X											X								X						P
Capital Income Builder fund	X												X			X				X										S
AIM Charter Fund					X								X			X							X							
Merrill Lunch Floating Rate fund		X											X								X									
Compaq Computer Corp			X										X			Y					X									
G02 Net Inc	X															Y				X										S
Merck & Co Inc			X										X								X									
Russell Tax Exempt Bond Fund	X												X			X							X							S
Russell Equity I Fund					X								X			X							X							P,S,E
Russell Equity II Fund	X												X			X						X								P,S,E
Russell Equity Q Fund						X							X			X							X							P,S,E
Russell Fixed Income I Fund	X												X			X								X						S
Russell Fixed Income III Fund	Y												X			Y								X						S
Vanguard Life Strategy Fund, IRA				X									X			X							X							
Russell International Fund						X							X			X								X						P
Russell Equity TMLEC							X						X			X					X									P
Russell Real Estate Sec Fund						Y							X			X							X							P,S,E
Fidelity Municipal Money Market				X									X										X							P,S,E
One Glen Lakes, Ltd		X												X	X	X				X										
Lake Stella Associates, Ltd		X													X						X									

Name Stephen Michael Largent

BLOCK A Asset and /or Income Source	BLOCK B Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income										BLOCK E TRANSACTION
	A None	B $1,000 or less	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	A Dividends	B Rent	C Interest	D Capital gains	E Royalties	F None	G $1,200 or less	H $1,201-$2,500	I $2,501-$5,000	J $5,001-$15,000	K $15,001-$50,000	L $50,001-$100,000	M $100,001-$1,000,000	N $1,000,001-$5,000,000	O Over $5,000,000	
Nations Marsico Growth Fund B				X									X			X					X							P
Russell Equity I	X												X			X					X							S
Russell Equity Q				X									X			X						X						P,S
Russell Fixed Income I	X												X			X						X						S
Russell Short Term Bond fund	X												X									X						P,S
Russell International	X												X			X					X							S
Vanguard Index Trust S &P 500			X										X			X				X								P
Russell Real Estate Sec	X												X			X					X							S
MSDW U.S Real Estate			X										X			X				X								P
Fidelity Diversified Int/			X										X			X					X							P
Pimco Total Bond Fund				X											X	X				X								P
Vanguard Bond Index			X												X	X				X								P
Fidelity Municipal Money Market				X												X				X								P
Russell Equity II			X										X			X					X							
Russell Money Market	X																			X								S
Electronic Data Systems			X										X			X					X							
Merrill Lynch CMA		X											X			X				X								
CNL Income Fund II			X											X	X							X						
Vanguard Growth & Income Fund				X									X			X					X							P
Vanguard Tax Exempt				X											X						X							P
Dow Chemical			X												X	X					X							
Vanguard Wellington Fund				X									X			X							X					P,S,E
Farm								X										X		X								
Vanguard Muni Bond Fund	X														X	X					X							S
Rent deposit			X												X					X								
Vanguard Prime Portfolio		X											X			X					X							P,S,E
Federal Home Loan Mtg Corp				X									X			X							X					P
FNMA Debentures			X											X								X						
U S Treasury Notes				X										X								X						Partial S
Solomon Smith Barney Bonds			X												X						X							
A T & T Common Stock			X										X			X					X							
Amgen Inc Common Stock	X															X								X				S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name: Stephen Michael Largent

BLOCK A Asset and /or Income Source	BLOCK B Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E TRANSACTION
	NONE	$1-$500	$501-$1000	$1001-$2500	$2501-$5000	$5001-$15000	$15001-$50000	$50001-$100000	$100001-$500000	$500001-$1000000	OVER $1000000	DIVIDEND	RENT	INTEREST	CAPITAL GAIN	DIVIDEND REINVESTMENT	OTHER	NONE	$1-$200	$201-$1000	$1001-$2500	$2501-$5000	$5001-$15000	$15001-$50000	$50001-$100000	$100001-$500000	$500001-$1000000	OVER $1000000	
Amoco Corp Common Stock	X											X			X					X										S
Solectron Corp			X									X			X					X										P
Beckman Coulter, Inc Common Stock			X									X			X					X										
H & R Block Common Stock	X											X			X					X										S
Schering Plough Corp			X									X			X					X										P
Chevron Corp Common Stock			X									X			X					X										
Conagra Inc Common Stock	X											X			X				X											S
Corning Inc Common Stock			X									X			X					X										Partial Sale
Dun & Bradstreet Crp Del	X											X			X					X										S
Texas Instruments, Inc			X									X			X					X										P
Honeywell Computer	X											X			X					X										P, S
Emerson Elec Co Common Stock	X											X			X					X										S
GTE Corp Common Stock	X											X			X					X										E
Ingersoll Rand Common Stock			X									X			X					X										
IBM Corp			X									X			X					X										
Verizon Communications			X									X			X					X										E
Boeing Corp	X											X			X					X										S, P
McDonalds Corp Common Stock			X									X			X					X										
Minnesota Mng Mfg	X											X			X					X										S
Motorola Inc Common Stock			X									X			X					X										Partial S
ADC Telecommunications			X									X			X				X											P
Norfolk Southern Corp Common Stock	X											X			X					X										S
Phillips Petroleum Common Stock			X									X			X					X										
Weyerhaeuser Company	X											X			X					X										P,S
Proctor & Gamble Common Stock	X											X			X					X										S

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name: Stephen Michael Largent

BLOCK A Asset and /or Income Source	BLOCK B Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E TRANSACTION
	NONE	$1,000	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	OVER $50,000,000	DIVIDEND	RENT	INTEREST	CAPITAL GAIN	FARM PRODUCT	ROYALTY OR LEASE	OTHER	NONE	$1 - $200	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	OVER $100,000	
SBC Communicatns Inc PV			X										X			X					X								
Sherwin Williams Common Stock			X										X			X					X								
Alcoa Inc			X										X			X					X								P
Cisco Systems			X										X			X					X								P
Washington Mutual Inc Common Stock			X										X			X					X								
General Electric			X										X			X					X								P
Whirlpool Corp Common Stock			X										X			X					X								
Associates Corp, Global Notes						X							X			X							X						
Bank of New York			X										X			X					X								
Compaq Computer Corp			X										X			X					X								Additional P
Eastman Kodak			X										X			X					X								
Eaton Corp			X										X			X					X								
St Paul Co's Inc			X										X			X					X								
SLM Holding Corp	X												X			X					X								E
Lucent Technologies Inc			X										X			X					X								Additional P
Merck & Co			X										X			X					X								Additional P
Williams Communications Group				X												X				X									
Merrill Lynch CMA			X												X						X								
Infospace, Inc NFS LLC			X													X					X								P
Intl Fibercom Inc NFS LLC				X												X					X								P
Walmart Stores Inc			X													X					X								
USA Education Inc			X										X			X					X								E
Computer Science			X													X					X								P
Avaya, Inc	X															X				X									P,S

SCHEDULE IV- TRANSACTIONS

Stephen Michael Largent

ASSET	TYPE of Transaction			Date	Amount of Transaction									
	Purchase	Sale	Exchange		B Between $0 and $1,000	C Between $1,001 and $15,000	D Between $15,001 and $50,000	E Between $50,001 and $100,000	F Between $100,001 and $250,000	G Between $250,001 and $500,000	H Between $500,001 and $1,000,000	I Between $1,000,001 and $5,000,000	J Between $5,000,001 and $25,000,000	K Over $25,000,000
Pimco Total Return Fund		x		January 27, 2000		x								
Delaware Select Growth Fund B	x			January 31, 2000		x								
Capital Income Builder Fund		x		January 27, 2000		x								
GO2 Net Inc		x		January 21, 2000		x								
Nations Marsico Growth Fund B	x			January 31, 2000		x								
Alcoa Inc	x			August 23, 2000	x									
Cisco Systems	x			September 12, 2000	x									
General Electric	x			August 16, 2000	x									
Compaq Computer Corp	x			May 11, 2000	x									
SLM Holding Corp			x	August 7, 2000	x									
Lucent Technologies	x			June 26, 2000	x									
Merck & Co	x			September 12, 2000	x									
GO2 Net Inc			x	October 16, 2000		x								
Infospace Inc NFS LLC			x	October 16, 2000		x								
Intl Fibercom Inc NFS LLC	x			Feb 28 & Apr 18, 2000		x								
USA Education Inc			x	7 Aug 00	x									
Computer Science	x			August 7, 2000	x									
Motorola Inc		x		Jan19 & May 23, 00	x									
Federal Home Loan Mortgage Corp	x			August 2, 2000	x									
U.S Treasury Notes		x		July 27, 2000	x									
Amgen Inc		x		Jan 14, 00 & Mar 10, 00	x									
Bp Amoco Corp		x		September 7, 2000	x									
Solectron Corp	x			Jan15,00 & Mar 13, 00	x									
H & R Block		x		June 21, 2000	x									
Schering Plough Corp	x			March 15, 2000	x									
Conagra Inc		x		March 10, 2000	x									
Corning Inc		x		January 19, 2000	x									
Dun & Bradstreet Corp		x		May 2, 2000	x									
Texas Instruments	x			Mar 22,00 & Apr 19,00	x									
Texas Instruments	x			November 21, 2000	x									
Honeywell Computer	x			May 11& Sep 12,00	x									
Honeywell Computer		x		October 24, 2000	x									
Emerson Electric Co		x		May 8, 2000	x									
GTE Corp			x	July 7, 2000	x									
Verizon Communications			x	July 7, 2000	x									
Boeing Corp	x	x		Feb 7 & Aug 1, 2000	x									
ADC Telecommunications	x			Feb 14, & Nov 1, 00	x									
Norfolk Southern Corp		x		March 17, 2000	x									
Weyerhaeuser Company		x	x	Jan 20 & Aug 11, 2000	x									
Proctor & Gamble		x		March 8, 2000	x									
Minnesota Mining & Mfg		x		August 11, 2000	x									

SCHEDULE IV- TRANSACTIONS

Stephen Michael Largent

ASSET	TYPE of Transaction			Date	Amount of Transaction									
	Purchase	Sale	Exchange		B	C	D	E	F	G	H	I	J	K
Vanguard Int_Term Tax Exempt	See detail schedule of transactions attached, page 9-40													
First Hand Tech Value Fund	See detail schedule of transactions attached, page 9-40													
Russell Small Cap Equity Fund	See detail schedule of transactions attached, page 9-40													
Vanguard Index S & P 500 Fund	See detail schedule of transactions attached, page 9-40													
Russell Tax Exempt Bond Fund	See detail schedule of transactions attached, page 9-40													
Russell Equity I Fund	See detail schedule of transactions attached, page 9-40													
Russell Equity II Fund	See detail schedule of transactions attached, page 9-40													
Russell Equity Q Fund	See detail schedule of transactions attached, page 9-40													
Russell Fixed Income I Fund	See detail schedule of transactions attached, page 9-40													
Russell International Fund	See detail schedule of transactions attached, page 9-40													
Russell Equity -TMLEC	See detail schedule of transactions attached, page 9-40													
Russell Real Estate Sec Fund	See detail schedule of transactions attached, page 9-40													
Fidelity Municipal Money Market	See detail schedule of transactions attached, page 9-40													
Russell Short Term Bond Fund	See detail schedule of transactions attached, page 9-40													
Vanguard Index Trust S & P	See detail schedule of transactions attached, page 9-40													
Russell Real Estate Sec Fund	See detail schedule of transactions attached, page 9-40													
MSDW U.S. Real Estate	See detail schedule of transactions attached, page 9-40													
Pimco Total Bond Fund	See detail schedule of transactions attached, page 9-40													
Vanguard Bond Index	See detail schedule of transactions attached, page 9-40													
Vanguard Growth & Income Fund	See detail schedule of transactions attached, page 9-40													
Vanguard Tax Exempt	See detail schedule of transactions attached, page 9-40													
Vanguard Wellington Fund	See detail schedule of transactions attached, page 9-40													
Vanguard Muni Bond Fund	See detail schedule of transactions attached, page 9-40													
Vanguard Prime Portfolio	See detail schedule of transactions attached, page 9-40													
Fidelity Diversified Intl	See detail schedule of transactions attached, page 9-40													

Ronald Blue & Co., LLC

TRANSACTION REPORT

STEVE & TERRY LARGENT

f908444

From 01-01-00 To 12-31-00

Trade Date	Settle Date	Security	Quantity	Price	Trade Amount
Contributions/Transfers In					
09-05-00	09-05-00	Cash Account	20,000.00	1 00	20,000.00
					20,000.00
Withdrawals/Transfers Out					
06-08-00	06-08-00	Cash Account	20,000.00	1.00	20,000.00
08-09-00	08-09-00	Cash Account	1,081.83	1.00	1,081.83
08-14-00	08-14-00	Cash Account	20,000.00	1 00	20,000.00
10-24-00	10-24-00	Russell Equity II	800.000	37.70	30,159.97
					71,241.80
Fees/Expenses					
01-04-00	01-04-00	Management and other fees	733.00	1.00	733.00
01-04-00	01-04-00	Management and other fees	4,346.00	1.00	4,346.00
04-03-00	04-03-00	Management and other fees	713.00	1.00	713.00
04-03-00	04-03-00	Management and other fees	4,343.00	1.00	4,343.00
07-03-00	07-03-00	Management and other fees	718.00	1.00	718.00
07-03-00	07-03-00	Management and other fees	4,293.00	1.00	4,293.00
10-02-00	10-02-00	Management and other fees	5,259.00	1.00	5,259.00
					20,405.00
Interest/Dividend Income					
01-28-00	01-28-00	Russell Tax Free Money Mkt	18.29	1 00	18.29
02-02-00	02-02-00	Russell Tax-Ex Bond Fund	923.510	1 00	923 51
02-18-00	02-18-00	Russell Tax-Mgd Large Cap Eq	112.730	1 00	112.73
02-28-00	02-28-00	Russell Tax Free Money Mkt	15.94	1 00	15.94
03-02-00	03-02-00	Russell Tax-Ex Bond Fund	1,087.240	1.00	1,087.24
03-30-00	03-30-00	Russell Tax Free Money Mkt	17.83	1.00	17.83
04-04-00	04-04-00	Russell Equity I	396.870	1.00	396.87
04-04-00	04-04-00	Russell Equity II	23.260	1.00	23.26
04-04-00	04-04-00	Russell Equity Q	318.320	1.00	318.32
04-04-00	04-04-00	Russell Fixed Income I	7,067.600	1.00	7,067.60
04-04-00	04-04-00	Russell Fixed Income III	3,652.330	1.00	3,652.33
04-04-00	04-04-00	Russell Real Estate Securities	2,170.980	1 00	2,170.98
04-04-00	04-04-00	Russell Tax-Ex Bond Fund	1,035.280	1.00	1,035.28
04-27-00	04-27-00	Russell Tax Free Money Mkt	3.77	1 00	3.77
05-02-00	05-02-00	Russell Tax-Ex Bond Fund	1,016.490	1.00	1,016.49
05-30-00	05-30-00	Russell Tax Free Money Mkt	2.33	1.00	2.33
06-02-00	06-02-00	Russell Tax-Ex Bond Fund	1,073.790	1.00	1,073.79
06-29-00	06-29-00	Russell Tax Free Money Mkt	27.21	1 00	27.21

Ronald Blue & Co., LLC

TRANSACTION REPORT

STEVE & TERRY LARGENT

f908444

From 01-01-00 To 12-31-00

Trade Date	Settle Date	Security	Quantity	Price	Trade Amount
07-05-00	07-05-00	Russell Equity I	322.600	1.00	322.60
07-05-00	07-05-00	Russell Equity II	44.020	1.00	44.02
07-05-00	07-05-00	Russell Equity Q	273.290	1.00	273.29
07-05-00	07-05-00	Russell Fixed Income I	6,591.670	1.00	6,591.67
07-05-00	07-05-00	Russell Fixed Income III	3,340.770	1.00	3,340.77
07-05-00	07-05-00	Russell Real Estate Securities	1,413.380	1.00	1,413.38
07-05-00	07-05-00	Russell Tax-Ex Bond Fund	887.770	1.00	887.77
07-28-00	07-28-00	Russell Tax Free Money Mkt	20.75	1.00	20.75
08-02-00	08-02-00	Russell Tax-Ex Bond Fund	1,229.020	1.00	1,229.02
08-30-00	08-30-00	Russell Tax Free Money Mkt	14.20	1.00	14.20
08-31-00	08-31-00	Fidelity Municipal Money Mkt	3.31	1.00	3.31
09-06-00	09-06-00	Russell Tax-Ex Bond Fund	1,057.030	1.00	1,057.03
09-29-00	09-29-00	Fidelity Municipal Money Mkt	68.42	1.00	68.42
10-04-00	10-04-00	Russell Equity I	304.620	1.00	304.62
10-04-00	10-04-00	Russell Equity II	49.490	1.00	49.49
10-04-00	10-04-00	Russell Equity Q	256.610	1.00	256.61
10-04-00	10-04-00	Russell Fixed Income I	6,753.220	1.00	6,753.22
10-04-00	10-04-00	Russell Fixed Income III	3,909.370	1.00	3,909.37
10-04-00	10-04-00	Russell Real Estate Securities	2,667.810	1.00	2,667.81
10-04-00	10-04-00	Russell Tax-Ex Bond Fund	951.840	1.00	951.84
10-31-00	10-31-00	Fidelity Municipal Money Mkt	72.93	1.00	72.93
10-31-00	10-31-00	Vanguard Int-Trm Tax Exempt	404.390	1.00	404.39
11-30-00	11-30-00	Fidelity Municipal Money Mkt	150.53	1.00	150.53
11-30-00	11-30-00	Vanguard Int-Trm Tax Exempt	2,429.140	1.00	2,429.14
12-08-00	12-08-00	Fidelity Diversified Intrl	2,324.290	1.00	2,324.29
12-15-00	12-15-00	Russell Equity I	147.560	1.00	147.56
12-15-00	12-15-00	Russell Equity Q	389.390	1.00	389.39
12-15-00	12-15-00	Russell Real Estate Securities	2,375.380	1.00	2,375.38
12-22-00	12-22-00	Russell International Fund	581.630	1.00	581.63
12-22-00	12-22-00	Russell Tax-Mgd Large Cap Eq	2,219.050	1.00	2,219.05
12-22-00	12-22-00	Vanguard Index Trust S&P 500	161.510	1.00	161.51
12-29-00	12-29-00	Fidelity Municipal Money Mkt	152.77	1.00	152.77
12-29-00	12-29-00	Vanguard Int-Trm Tax Exempt	2,530.770	1.00	2,530.77
					63,062.30
Cap Gain Distribution Income - Short					
02-18-00	02-18-00	Russell Equity I	1,801.440	1.00	1,801.44
02-18-00	02-18-00	Russell Equity II	914.730	1.00	914.73
02-18-00	02-18-00	Russell Equity Q	1,379.750	1.00	1,379.75
02-18-00	02-18-00	Russell International Fund	4,914.260	1.00	4,914.26
12-15-00	12-15-00	Firsthand Tech Value Fund	1,523.100	1.00	1,523.10

Ronald Blue & Co., LLC

TRANSACTION REPORT

STEVE & TERRY LARGENT

f908444

From 01-01-00 To 12-31-00

Trade Date	Settle Date	Security	Quantity	Price	Trade Amount
12-15-00	12-15-00	Russell Equity Q	23.700	1.00	23.70
12-22-00	12-22-00	Russell International Fund	406.270	1.00	406.27
					10,963.25
Cap Gain Distribution Income - Long					
02-18-00	02-18-00	Russell Equity I	1,778.830	1.00	1,778.83
02-18-00	02-18-00	Russell Equity II	2.330	1.00	2.33
02-18-00	02-18-00	Russell Equity Q	1,632.830	1.00	1,632.83
02-18-00	02-18-00	Russell International Fund	1,887.310	1.00	1,887.31
12-08-00	12-08-00	Fidelity Diversified Intrl	3,423.040	1.00	3,423.04
12-15-00	12-15-00	Firsthand Tech Value Fund	3,782.650	1.00	3,782.65
12-15-00	12-15-00	Russell Equity I	917.710	1.00	917.71
12-15-00	12-15-00	Russell Equity Q	5,006.530	1.00	5,006.53
12-22-00	12-22-00	Russell International Fund	8,931.010	1.00	8,931.01
					27,362.24
Buys (Intra - Account)					
01-28-00	01-28-00	Russell Tax Free Money Mkt	18.29	1.00	18.29
02-02-00	02-02-00	Russell Tax-Ex Bond Fund	45.516	20.29	923.51
02-18-00	02-18-00	Russell Equity I	53.234	33.84	1,801.44
02-18-00	02-18-00	Russell Equity I	52.566	33.84	1,778.83
02-18-00	02-18-00	Russell Equity II	25.075	36.48	914.73
02-18-00	02-18-00	Russell Equity II	0.064	36.41	2.33
02-18-00	02-18-00	Russell Equity Q	36.608	37.69	1,379.75
02-18-00	02-18-00	Russell Equity Q	43.323	37.69	1,632.83
02-18-00	02-18-00	Russell International Fund	111.409	44.11	4,914 26
02-18-00	02-18-00	Russell International Fund	42.786	44.11	1,887.31
02-18-00	02-18-00	Russell Tax-Mgd Large Cap Eq	5.826	19.35	112.73
02-28-00	02-28-00	Russell Tax Free Money Mkt	15.94	1.00	15.94
03-02-00	03-02-00	Russell Tax-Ex Bond Fund	53.453	20.34	1,087.24
03-30-00	03-30-00	Russell Tax Free Money Mkt	17.83	1.00	17.83
04-04-00	04-04-00	Russell Equity I	10.972	36.17	396.87
04-04-00	04-04-00	Russell Equity II	0.630	36.92	23.26
04-04-00	04-04-00	Russell Equity Q	7.594	41.92	318.32
04-04-00	04-04-00	Russell Fixed Income I	343.922	20.55	7,067.60
04-04-00	04-04-00	Russell Fixed Income III	376.917	9.69	3,652.33
04-04-00	04-04-00	Russell Real Estate Securities	94.349	23.01	2,170.98
04-04-00	04-04-00	Russell Tax-Ex Bond Fund	50.551	20.48	1,035.28
04-27-00	04-27-00	Russell Tax Free Money Mkt	3.77	1.00	3.77
05-02-00	05-02-00	Russell Tax-Ex Bond Fund	50.024	20.32	1,016.49
05-30-00	05-30-00	Russell Tax Free Money Mkt	2.33	1.00	2.33

Report run at 1:50 PM on 04/18/2001

Ronald Blue & Co., LLC

TRANSACTION REPORT

STEVE & TERRY LARGENT

f908444

From 01-01-00 To 12-31-00

Trade Date	Settle Date	Security	Quantity	Price	Trade Amount
06-02-00	06-02-00	Russell Tax-Ex Bond Fund	52.792	20.34	1,073.79
06-07-00	06-07-00	Russell Tax Free Money Mkt	30,000.00	1.00	30,000.00
06-29-00	06-29-00	Russell Tax Free Money Mkt	27.21	1.00	27.21
07-05-00	07-05-00	Russell Equity I	9.162	35.21	322.60
07-05-00	07-05-00	Russell Equity II	1.172	37.56	44.02
07-05-00	07-05-00	Russell Equity Q	6.710	40.73	273.29
07-05-00	07-05-00	Russell Fixed Income I	322.016	20.47	6,591.67
07-05-00	07-05-00	Russell Fixed Income III	345.478	9.67	3,340.77
07-05-00	07-05-00	Russell Real Estate Securities	54.298	26.03	1,413.38
07-05-00	07-05-00	Russell Tax-Ex Bond Fund	43.158	20.57	887.77
07-28-00	07-28-00	Russell Tax Free Money Mkt	20.75	1.00	20.75
08-02-00	08-02-00	Russell Tax-Ex Bond Fund	59.430	20.68	1,229.02
08-24-00	08-24-00	Fidelity Municipal Money Mkt	4,518.16	1.00	4,518.16
08-31-00	08-31-00	Fidelity Municipal Money Mkt	3.31	1.00	3.31
09-01-00	09-01-00	Fidelity Municipal Money Mkt	14.20	1.00	14.20
09-05-00	09-05-00	Fidelity Municipal Money Mkt	20,000.00	1.00	20,000.00
09-06-00	09-06-00	Russell Tax-Ex Bond Fund	50.721	20.84	1,057.03
09-29-00	09-29-00	Fidelity Municipal Money Mkt	68.42	1.00	68.42
10-04-00	10-04-00	Russell Equity I	8.538	35.68	304.62
10-04-00	10-04-00	Russell Equity II	1.280	38.66	49.49
10-04-00	10-04-00	Russell Equity Q	6.303	40.71	256.61
10-04-00	10-04-00	Russell Fixed Income I	327.826	20.60	6,753.22
10-04-00	10-04-00	Russell Fixed Income III	402.613	9.71	3,909.37
10-04-00	10-04-00	Russell Real Estate Securities	99.212	26.89	2,667.81
10-04-00	10-04-00	Russell Tax-Ex Bond Fund	45.983	20.70	951.84
10-26-00	10-27-00	Fidelity Diversified Intrl	4,225.979	22.48	95,000.00
10-26-00	10-27-00	Firsthand Tech Value Fund	674.959	103.71	70,000.00
10-26-00	10-27-00	Russell Tax-Mgd Small Cap Eq	20,891.365	10.77	225,000.00
10-26-00	10-27-00	Vanguard Index Trust S&P 500	436.508	126.04	55,016.00
10-26-00	10-27-00	Vanguard Int-Trm Tax Exempt	45,977.011	13.05	600,016.00
10-27-00	10-27-00	Fidelity Municipal Money Mkt	26,434.02	1.00	26,434.02
10-31-00	10-31-00	Fidelity Municipal Money Mkt	72.93	1.00	72.93
10-31-00	10-31-00	Vanguard Int-Trm Tax Exempt	30.988	13.05	404.39
11-30-00	11-30-00	Fidelity Municipal Money Mkt	150.53	1.00	150.53
11-30-00	11-30-00	Vanguard Int-Trm Tax Exempt	185.998	13.06	2,429.14
12-08-00	12-08-00	Fidelity Diversified Intrl	158.916	21.54	3,423.04
12-08-00	12-08-00	Fidelity Diversified Intrl	107.906	21.54	2,324.29
12-15-00	12-15-00	Firsthand Tech Value Fund	18.675	81.56	1,523.10
12-15-00	12-15-00	Firsthand Tech Value Fund	46.379	81.56	3,782.65
12-15-00	12-15-00	Russell Equity I	4.614	31.98	147.56
12-15-00	12-15-00	Russell Equity I	28.696	31.98	917.71

Ronald Blue & Co., LLC

TRANSACTION REPORT

STEVE & TERRY LARGENT

f908444

From 01-01-00 To 12-31-00

Trade Date	Settle Date	Security	Quantity	Price	Trade Amount
12-15-00	12-15-00	Russell Equity Q	10.853	35.88	389.39
12-15-00	12-15-00	Russell Equity Q	139.536	35.88	5,006.53
12-15-00	12-15-00	Russell Equity Q	0.660	35.91	23.70
12-15-00	12-15-00	Russell Real Estate Securities	85.723	27.71	2,375.38
12-22-00	12-22-00	Russell International Fund	242.822	36.78	8,931.01
12-22-00	12-22-00	Russell International Fund	15.814	36.78	581.63
12-22-00	12-22-00	Russell International Fund	11.046	36.78	406.27
12-22-00	12-22-00	Russell Tax-Mgd Large Cap Eq	116.181	19.10	2,219.05
12-22-00	12-22-00	Vanguard Index Trust S&P 500	1.340	120.53	161.51
12-29-00	12-29-00	Fidelity Municipal Money Mkt	152.77	1.00	152.77
12-29-00	12-29-00	Vanguard Int-Trm Tax Exempt	190.714	13.27	2,530.77
					1,227,371.97
Sells (Intra - Account)					
01-04-00	01-04-00	Russell Tax Free Money Mkt	5,079.00	1.00	5,079.00
04-03-00	04-03-00	Russell Tax Free Money Mkt	5,056.00	1.00	5,056.00
06-07-00	06-07-00	Russell Fixed Income I	1,460.565	20.54	30,000.00
06-08-00	06-08-00	Russell Tax Free Money Mkt	20,000.00	1.00	20,000.00
07-03-00	07-03-00	Russell Tax Free Money Mkt	5,011.00	1.00	5,011.00
08-09-00	08-09-00	Russell Tax Free Money Mkt	1,081.83	1.00	1,081.83
08-14-00	08-14-00	Russell Fixed Income I	963.855	20.75	20,000.00
08-23-00	08-24-00	Russell Tax Free Money Mkt	4,518.16	1.00	4,518.16
10-02-00	10-02-00	Fidelity Municipal Money Mkt	5,259.00	1.00	5,259.00
10-26-00	10-27-00	Russell Equity I	2,977.963	33.58	100,000.00
10-26-00	10-27-00	Russell Equity II	524.421	36.86	19,330.16
10-26-00	10-27-00	Russell Fixed Income I	19,999.308	20.84	416,785.58
10-26-00	10-27-00	Russell Fixed Income III	24,110.106	9.78	235,796.84
10-26-00	10-27-00	Russell Tax-Ex Bond Fund	14,380.866	20.83	299,553.44
					1,167,471.01

Ronald Blue & Co., LLC

REALIZED GAINS AND LOSSES

STEVE & TERRY LARGENT

f908444

From 01-01-00 Through 12-31-00

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
U.S. STOCK FUNDS							
04-04-95	10-26-00	0.758	Russell Equity I	19 04	25.45		6.41
07-05-95	10-26-00	38.442	Russell Equity I	1,054.46	1,290.88		236.42
10-03-95	10-26-00	31.701	Russell Equity I	929.15	1,064.52		135.37
12-14-95	10-26-00	280.972	Russell Equity I	7,855.98	9,435.04		1,579.06
12-14-95	10-26-00	36.420	Russell Equity I	1,018.29	1,222.98		204.69
12-14-95	10-26-00	524.848	Russell Equity I	14,674.76	17,624.40		2,949.64
02-16-96	10-26-00	103.290	Russell Equity I	2,999.54	3,468.48		468.94
02-16-96	10-26-00	87.709	Russell Equity I	2,547.07	2,945.27		398.20
04-02-96	10-26-00	32.533	Russell Equity I	955.48	1,092.46		136.98
07-02-96	10-26-00	33.468	Russell Equity I	1,012.73	1,123.86		111.13
12-16-96	10-26-00	206.812	Russell Equity I	6,103.01	6,944.75		841.74
12-16-96	10-26-00	510.965	Russell Equity I	15,078.59	17,158.20		2,079.61
02-14-97	10-26-00	101.978	Russell Equity I	3,242.91	3,424.42		181.51
02-14-97	10-26-00	108.227	Russell Equity I	3,441.62	3,634.26		192.64
12-19-97	10-26-00	764.331	Russell Equity I	22,608.92	25,666.24		3,057.32
12-19-97	10-26-00	115.509	Russell Equity I	3,416.75	3,878.79		462.04
				86,958.30	100,000.00	0 00	13,041.70
12-19-97	10-26-00	86.350	Russell Equity II	2,717.45	3,182.86		465.41
12-19-97	10-26-00	74.829	Russell Equity II	2,354.87	2,758.20		403.33
12-19-97	10-26-00	80.193	Russell Equity II	2,523.67	2,955.91		432.24
02-20-98	10-26-00	6.394	Russell Equity II	216 82	235.68		18.86
02-20-98	10-26-00	10.521	Russell Equity II	356.78	387.80		31.02
02-20-98	10-26-00	17.036	Russell Equity II	577.69	627.95		50.26
07-02-98	10-26-00	0.546	Russell Equity II	19.62	20.13		0.51
08-04-98	10-26-00	157.183	Russell Equity II	5,000 00	5,793.77		793.77
07-02-99	10-26-00	0.591	Russell Equity II	19.59	21.78		2 19
12-17-99	10-26-00	35.345	Russell Equity II	1,176.28	1.302.82	126.54	
12-17-99	10-26-00	1 031	Russell Equity II	34 30	38.00	3.70	
12-17-99	10-26-00	26.181	Russell Equity II	871.32	965.03	93.71	
02-18-00	10-26-00	25.075	Russell Equity II	914.73	924.27	9.54	
02-18-00	10-26-00	0.064	Russell Equity II	2.33	2.36	0 03	
04-04-00	10-26-00	0.630	Russell Equity II	23.26	23.22	-0.04	
07-05-00	10-26-00	1.172	Russell Equity II	44.02	43.20	-0.82	
10-04-00	10-26-00	1.280	Russell Equity II	49.49	47.18	-2.31	
				16,902.22	19,330.16	230.35	2,197.59
				103,860.52	119,330.16	230.35	15,239.29
BOND FUNDS							
04-05-94	06-07-00	164 704	Russell Fixed Income I	3,379 72	3,383.02		3.30
07-05-94	06-07-00	297.782	Russell Fixed Income I	5,991.37	6,116 44		125.07
10-04-94	06-07-00	313.570	Russell Fixed Income I	6,208 69	6,440.73		232.04
12-14-94	06-07-00	312.709	Russell Fixed Income I	6,113.46	6,423.04		309.58
02-17-95	06-07-00	17.500	Russell Fixed Income I	353.14	359.45		6.31
04-04-95	06-07-00	271.469	Russell Fixed Income I	5,497 24	5,575 97		78.73
07-05-95	06-07-00	82.831	Russell Fixed Income I	1,739.45	1,701.35		-38.10
07-05-95	08-14-00	173.306	Russell Fixed Income I	3,639 42	3,596.10		-43.32
10-03-95	08-14-00	239 253	Russell Fixed Income I	5,043.46	4,964.50		-78.96
12-14-95	08-14-00	250.316	Russell Fixed Income I	5,351.76	5,194.06		-157.70
02-16-96	08-14-00	12 250	Russell Fixed Income I	264 72	254.19		-10.53
04-02-96	08-14-00	245.252	Russell Fixed Income I	5,138 03	5,088.98		-49.05

Ronald Blue & Co., LLC

REALIZED GAINS AND LOSSES

STEVE & TERRY LARGENT

f908444

From 01-01-00 Through 12-31-00

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
07-02-96	08-14-00	43 478	Russell Fixed Income I	893 47	902 17		8.70
07-02-96	10-26-00	231 973	Russell Fixed Income I	4,767.05	4,834.32		67.27
09-06-96	10-26-00	630.794	Russell Fixed Income I	13,051.13	13,145.75		94.62
09-06-96	10-26-00	3,255 882	Russell Fixed Income I	67,364 20	67,852 58		488.38
09-06-96	10-26-00	405.113	Russell Fixed Income I	8,381.79	8,442.55		60.76
09-06-96	10-26-00	258 487	Russell Fixed Income I	5,348 09	5,386 87		38.78
09-06-96	10-26-00	2,529.772	Russell Fixed Income I	52,340.98	52,720 45		379.47
09-06-96	10-26-00	2,018.830	Russell Fixed Income I	41,769.60	42,072.42		302 82
05-14-97	10-26-00	1,452.859	Russell Fixed Income I	30,335.70	30,277.58		-58.12
05-14-97	10-26-00	22 022	Russell Fixed Income I	459.82	458.94		-0.88
05-14-97	10-26-00	26 865	Russell Fixed Income I	560.95	559 87		-1.08
04-23-98	10-26-00	3,096 174	Russell Fixed Income I	66,691.58	64,524.26		-2,167.32
07-02-98	10-26-00	302.453	Russell Fixed Income I	6,569 27	6,303.12		-266.15
08-04-98	10-26-00	2,524 094	Russell Fixed Income I	55,000 00	52,602.12		-2,397.88
10-02-98	10-26-00	346.581	Russell Fixed Income I	7,739 16	7,222.75		-516 41
12-16-98	10-26-00	43.772	Russell Fixed Income I	952.91	912.21		-40.70
12-16-98	10-26-00	324 119	Russell Fixed Income I	7,056.06	6,754 64		-301 42
12-16-98	10-26-00	170 918	Russell Fixed Income I	3,720 88	3,561.93		-158.95
02-19-99	10-26-00	19 759	Russell Fixed Income I	427.38	411 78		-15 60
04-05-99	10-26-00	333 324	Russell Fixed Income I	7,129 81	6,946.47		-183.34
07-02-99	10-26-00	341.749	Russell Fixed Income I	7,111.79	7,122.05		10.26
10-04-99	10-26-00	318.174	Russell Fixed Income I	6,551.20	6,630.75		79 55
12-17-99	10-26-00	351 830	Russell Fixed Income I	7,145 67	7,332.13	186.46	
04-04-00	10-26-00	343 922	Russell Fixed Income I	7,067 60	7,167.33	99 73	
07-05-00	10-26-00	322.016	Russell Fixed Income I	6,591.67	6,710 81	119 14	
10-04-00	10-26-00	327 826	Russell Fixed Income I	6,753.22	6,831 90	78 68	
				470,501 44	466,785.58	484 01	-4,199 87
02-23-93	10-26-00	7,778 856	Russell Fixed Income III	79,110 97	76,077.21		-3,033.76
04-02-93	10-26-00	100 846	Russell Fixed Income III	1,016 53	986 27		-30 26
07-02-93	10-26-00	315 760	Russell Fixed Income III	3,271 27	3,088 13		-183.14
10-04-93	10-26-00	260 031	Russell Fixed Income III	2,758 93	2,543 10		-215 83
12-15-93	10-26-00	37 822	Russell Fixed Income III	393 35	369 90		-23 45
12-15-93	10-26-00	130 551	Russell Fixed Income III	1,357 73	1,276 79		-80 94
12-15-93	10-26-00	234 416	Russell Fixed Income III	2,437 93	2,292.59		-145 34
02-18-94	10-26-00	8 771	Russell Fixed Income III	91 66	85 78		-5 88
02-28-94	10-26-00	113 462	Russell Fixed Income III	1,180.00	1,109 66		-70.34
02-28-94	10-26-00	481.346	Russell Fixed Income III	5,006 00	4,707.56		-298 44
04-05-94	10-26-00	306 512	Russell Fixed Income III	3,022 21	2,997 69		-24.52
07-05-94	10-26-00	371 950	Russell Fixed Income III	3,585 60	3,637 67		52.07
10-04-94	10-26-00	393.994	Russell Fixed Income III	3,758 70	3,853.26		94.56
12-14-94	10-26-00	404 754	Russell Fixed Income III	3,800 64	3,958 49		157.85
02-17-95	10-26-00	14.261	Russell Fixed Income III	136 62	139 47		2.85
03-08-95	10-26-00	1,759 628	Russell Fixed Income III	16,892 43	17,209 16		316 73
04-04-95	10-26-00	454 134	Russell Fixed Income III	4,364 23	4,441 43		77.20
07-05-95	10-26-00	404 875	Russell Fixed Income III	4,044 70	3,959.68		-85.02
10-03-95	10-26-00	371 981	Russell Fixed Income III	3,749 57	3,637.97		-111.60
12-14-95	10-26-00	399 958	Russell Fixed Income III	4,091.57	3,911 59		-179 98
04-02-96	10-26-00	421 305	Russell Fixed Income III	4,238.33	4,120.36		-117.97
07-02-96	10-26-00	405 910	Russell Fixed Income III	4,026 63	3,969 80		-56.83
09-06-96	10-26-00	652 640	Russell Fixed Income III	6,519 87	6,382.82		-137 05
05-14-97	10-26-00	1,424 347	Russell Fixed Income III	14,514 10	13,930.12		-583.98
12-19-97	10-26-00	27 852	Russell Fixed Income III	289 95	272.39		-17.56

Ronald Blue & Co., LLC

REALIZED GAINS AND LOSSES

STEVE & TERRY LARGENT

f908444

From 01-01-00 Through 12-31-00

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
12-19-97	10-26-00	72.771	Russell Fixed Income III	757.54	711.70		-45 84
02-20-98	10-26-00	67.388	Russell Fixed Income III	709.60	659.05		-50.55
02-20-98	10-26-00	24.068	Russell Fixed Income III	253 43	235.39		-18.04
04-23-98	10-26-00	372.682	Russell Fixed Income III	3,887.07	3,644.83		-242.24
07-02-98	10-26-00	275.884	Russell Fixed Income III	2,891.26	2,698.15		-193 11
08-04-98	10-26-00	2,378.687	Russell Fixed Income III	25,000.00	23,263.57		-1,736.43
10-02-98	10-26-00	330.023	Russell Fixed Income III	3,491.64	3,227.63		-264 01
12-16-98	10-26-00	252.904	Russell Fixed Income III	2,584.68	2,473.40		-111.28
12-16-98	10-26-00	340 681	Russell Fixed Income III	3,481.76	3,331.86		-149.90
12-16-98	10-26-00	216.835	Russell Fixed Income III	2,216.05	2,120.65		-95.40
04-05-99	10-26-00	321.091	Russell Fixed Income III	3,255 86	3,140.27		-115.59
07-02-99	10-26-00	324 809	Russell Fixed Income III	3,215.61	3,176.63		-38.98
10-04-99	10-26-00	355.126	Russell Fixed Income III	3,462.48	3,473.13		10.65
12-17-99	10-26-00	376.187	Russell Fixed Income III	3,615.16	3,679.11	63.95	
04-04-00	10-26-00	376.917	Russell Fixed Income III	3,652.33	3,686.25	33.92	
07-05-00	10-26-00	345.478	Russell Fixed Income III	3,340 77	3,378.77	38.00	
10-04-00	10-26-00	402.613	Russell Fixed Income III	3,909 37	3,937.56	28.19	
				243,384.13	235,796.84	164 06	-7,751.35
02-28-94	10-26-00	1,837.935	Russell Tax-Ex Bond Fund	39,019.36	38,284.19		-735.17
03-02-94	10-26-00	28.394	Russell Tax-Ex Bond Fund	597.41	591.45		-5.96
04-05-94	10-26-00	22.678	Russell Tax-Ex Bond Fund	471.24	472.38		1.14
05-03-94	10-26-00	25.946	Russell Tax-Ex Bond Fund	542.02	540.46		-1.56
06-02-94	10-26-00	26.760	Russell Tax-Ex Bond Fund	560.09	557.41		-2.68
07-05-94	10-26-00	27.056	Russell Tax-Ex Bond Fund	563.58	563.58		0.00
08-02-94	10-26-00	25.954	Russell Tax-Ex Bond Fund	542 18	540.62		-1.56
09-02-94	10-26-00	27.339	Russell Tax-Ex Bond Fund	570 56	569.47		-1.09
10-04-94	10-26-00	27 933	Russell Tax-Ex Bond Fund	579 61	581 84		2.23
11-02-94	10-26-00	27.980	Russell Tax-Ex Bond Fund	576.95	582 82		5 87
12-02-94	10-26-00	29 506	Russell Tax-Ex Bond Fund	606.65	614 61		7.96
12-14-94	10-26-00	23.068	Russell Tax-Ex Bond Fund	471.75	480.51		8.76
02-02-95	10-26-00	27.029	Russell Tax-Ex Bond Fund	555.72	563 01		7.29
03-02-95	10-26-00	29.167	Russell Tax-Ex Bond Fund	604 63	607.55		2.92
03-08-95	10-26-00	1,318 609	Russell Tax-Ex Bond Fund	27 282.02	27,466 63		184.61
03-08-95	10-26-00	1,080.179	Russell Tax-Ex Bond Fund	22.348 90	22,500.13		151.23
04-04-95	10-26-00	28.179	Russell Tax-Ex Bond Fund	585.85	586.97		1 12
05-02-95	10-26-00	32.924	Russell Tax-Ex Bond Fund	684.16	685.81		1.65
06-02-95	10-26-00	33.229	Russell Tax-Ex Bond Fund	700.80	692.16		-8.64
07-05-95	10-26-00	33.840	Russell Tax-Ex Bond Fund	710.31	704.89		-5.42
08-02-95	10-26-00	34.101	Russell Tax-Ex Bond Fund	717.82	710.32		-7.50
09-05-95	10-26-00	33 639	Russell Tax-Ex Bond Fund	710 80	700.70		-10 10
10-03-95	10-26-00	34 324	Russell Tax-Ex Bond Fund	723.55	714.97		-8.58
11-02-95	10-26-00	33.396	Russell Tax-Ex Bond Fund	705 99	695 64		-10.35
12-04-95	10-26-00	33.180	Russell Tax-Ex Bond Fund	705 08	691 14		-13.94
12-14-95	10-26-00	32.875	Russell Tax-Ex Bond Fund	694 64	684 79		-9.85
02-02-96	10-26-00	35.993	Russell Tax-Ex Bond Fund	768.81	749.73		-19.08
03-04-96	10-26-00	37.599	Russell Tax-Ex Bond Fund	800.11	783.19		-16.92
04-02-96	10-26-00	37.468	Russell Tax-Ex Bond Fund	789.08	780.46		-8.62
05-02-96	10-26-00	37.134	Russell Tax-Ex Bond Fund	777.95	773.50		-4.45
06-04-96	10-26-00	35 639	Russell Tax-Ex Bond Fund	746.28	742.36		-3.92
07-02-96	10-26-00	35.156	Russell Tax-Ex Bond Fund	734.75	732 30		-2.45
08-02-96	10-26-00	34 658	Russell Tax-Ex Bond Fund	728.51	721.93		-6.58
09-04-96	10-26-00	21.969	Russell Tax-Ex Bond Fund	459.38	457 61		-1 77

Ronald Blue & Co., LLC

REALIZED GAINS AND LOSSES

STEVE & TERRY LARGENT

f908444

From 01-01-00 Through 12-31-00

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
09-06-96	10-26-00	2,011 612	Russell Tax-Ex Bond Fund	42,062.81	41,901 87		-160 94
05-14-97	10-26-00	849 077	Russell Tax-Ex Bond Fund	17,779.68	17,686 27		-93.41
04-23-98	10-26-00	1,362.776	Russell Tax-Ex Bond Fund	28,809.08	28,386 62		-422.46
06-02-98	10-26-00	33 457	Russell Tax-Ex Bond Fund	709 63	696 91		-12.72
07-02-98	10-26-00	32 923	Russell Tax-Ex Bond Fund	698 29	685 79		-12.50
08-04-98	10-26-00	33 834	Russell Tax-Ex Bond Fund	717.29	704 76		-12.53
08-04-98	10-26-00	1,297.170	Russell Tax-Ex Bond Fund	27,500.00	27,020.05		-479.95
09-02-98	10-26-00	36.930	Russell Tax-Ex Bond Fund	788 08	769 25		-18 83
10-02-98	10-26-00	35.853	Russell Tax-Ex Bond Fund	771.55	746 82		-24.73
11-03-98	10-26-00	37 943	Russell Tax-Ex Bond Fund	811.98	790.35		-21.63
12-02-98	10-26-00	35 686	Russell Tax-Ex Bond Fund	764.40	743.34		-21.06
12-16-98	10-26-00	37 439	Russell Tax-Ex Bond Fund	800.82	779.85		-20.97
02-02-99	10-26-00	36 940	Russell Tax-Ex Bond Fund	794.21	769 46		-24.75
03-02-99	10-26-00	36 615	Russell Tax-Ex Bond Fund	781.72	762 69		-19 03
04-05-99	10-26-00	35 857	Russell Tax-Ex Bond Fund	764.47	746 90		-17.57
05-04-99	10-26-00	37.020	Russell Tax-Ex Bond Fund	787.78	771.13		-16.65
06-02-99	10-26-00	36 694	Russell Tax-Ex Bond Fund	772 78	764.34		-8 44
07-02-99	10-26-00	39 914	Russell Tax-Ex Bond Fund	831.41	831 41		0 00
07-02-99	10-26-00	2,400.384	Russell Tax-Ex Bond Fund	50,000 00	50,000 00		0 00
08-03-99	10-26-00	44 632	Russell Tax-Ex Bond Fund	929 68	929 68		0.00
09-02-99	10-26-00	51.319	Russell Tax-Ex Bond Fund	1,061 79	1,068 97		7 18
10-04-99	10-26-00	44 089	Russell Tax-Ex Bond Fund	911.76	918.37		6 61
11-02-99	10-26-00	53.198	Russell Tax-Ex Bond Fund	1,093.22	1,108.12	14.90	
12-02-99	10-26-00	51.385	Russell Tax-Ex Bond Fund	1,058 01	1,070.35	12.34	
12-17-99	10-26-00	65 655	Russell Tax-Ex Bond Fund	1,341 99	1,367.59	25 60	
02-02-00	10-26-00	45 516	Russell Tax-Ex Bond Fund	923.51	948 10	24.59	
03-02-00	10-26-00	53 453	Russell Tax-Ex Bond Fund	1,087.24	1,113 43	26.19	
04-04-00	10-26-00	50 551	Russell Tax-Ex Bond Fund	1,035.28	1,052 98	17.70	
05-02-00	10-26-00	50.024	Russell Tax-Ex Bond Fund	1,016.49	1,042 00	25.51	
06-02-00	10-26-00	52 792	Russell Tax-Ex Bond Fund	1,073 79	1,099 66	25.87	
07-05-00	10-26-00	43 158	Russell Tax-Ex Bond Fund	887.77	898 98	11 21	
08-02-00	10-26-00	59 430	Russell Tax-Ex Bond Fund	1,229 02	1,237 93	8 91	
09-06-00	10-26-00	50.721	Russell Tax-Ex Bond Fund	1,057.03	1,056 52	-0 51	
10-04-00	10-26-00	45 983	Russell Tax-Ex Bond Fund	951 84	957 82	5 98	
				301,240.94	299,553 44	198 29	-1,885.79
				1,015,126.51	1,002,135.86	846.36	-13,837.01
TOTAL GAINS						1,080.39	18,625.40
TOTAL LOSSES						-3 68	-17,223.13
				1,118,987.03	**1,121,466.02**	**1,076.71**	**1,402.28**

TOTAL REALIZED GAIN/LOSS 2,478 99

Ronald Blue & Co., LLC
1099 RECONCILIATION
STEVE & TERRY LARGENT
f908444
From 01-01-00 Through 12-31-00

INTEREST (1099-INT)	
TOTAL INTEREST	0.00
DIVIDENDS AND DISTRIBUTIONS (1099-DIV)	
Russell Equity I	
Long Term Gains	2,696.54
Short Term Gains	1,801.44
Ordinary Income	1,171.65
	5,669.63
Russell Equity II	
Long Term Gains	2.33
Short Term Gains	914.73
Ordinary Income	116.77
	1,033.83
Russell Equity Q	
Long Term Gains	6,639.36
Short Term Gains	1,403.45
Ordinary Income	1,237.61
	9,280.42
Russell Tax-Mgd Large Cap Eq	
Ordinary Income	2,331.78
Firsthand Tech Value Fund	
Long Term Gains	3,782.65
Short Term Gains	1,523.10
	5,305.75
Vanguard Index Trust S&P 500	
Ordinary Income	161.51
Fidelity Diversified Intrl	
Long Term Gains	3,423.04
Ordinary Income	2,324.29
	5,747.33
Russell International Fund	
Long Term Gains	10,818.32
Short Term Gains	5,320.53
Ordinary Income	581.63
	16,720.48
Russell Fixed Income I	
Ordinary Income	20,412.49
Russell Fixed Income III	
Ordinary Income	10,902.47

Ronald Blue & Co , LLC

1099 RECONCILIATION

STEVE & TERRY LARGENT

f908444

From 01-01-00 Through 12-31-00

Russell Tax-Ex Bond Fund	
Ordinary Income	9,261.97
Vanguard Int-Trm Tax Exempt	
Ordinary Income	5,364.30
Russell Real Estate Securities	
Ordinary Income	8,627.55
Fidelity Municipal Money Mkt	
Ordinary Income	447.96
Russell Tax Free Money Mkt	
Ordinary Income	120.32
TOTAL DIVIDENDS AND DISTRIBUTIONS	**101,387.79**

PROCEEDS FROM TRANSACTIONS (1099-B)

Security	Date	Proceeds
Russell Equity I	10-26-00	~~100,000.00~~
Russell Equity II	10-26-00	19,330.16
Russell Fixed Income I	06-07-00	30,000.00
Russell Fixed Income I	08-14-00	20,000.00
Russell Fixed Income I	10-26-00	416,785.58
Russell Fixed Income III	10-26-00	235,796.84
Russell Tax-Ex Bond Fund	10-26-00	299,553.44
Fidelity Municipal Money Mkt	10-02-00	5,259.00
Russell Tax Free Money Mkt	01-04-00	5,079.00
Russell Tax Free Money Mkt	04-03-00	5,056.00
Russell Tax Free Money Mkt	06-08-00	20,000.00
Russell Tax Free Money Mkt	07-03-00	5,011.00
Russell Tax Free Money Mkt	08-09-00	1,081.83
Russell Tax Free Money Mkt	08-23-00	4,518.16
TOTAL PROCEEDS		**1,167,471.01**

Fidelity Investments

Brokerage Name and Address:
FIDELITY INVESTMENTS
INSTITUTIONAL BROKERAGE GROUP
164 NORTHERN AVENUE - Z2R
BOSTON MA 02110

2000 Tax Reporting Statement

Account No.	Taxpayer ID.	Page
379-908444	440-58-7355	2 of 2

Payor's Name and Address:
NATIONAL FINANCIAL SERVICES LLC
200 LIBERTY ST. 5TH FLR
NEW YORK, NY 10281-5500

0002636 02 AB 0.488 T 7 0 74136-160160 FA 0304

STEPHEN M LARGENT
TERRY LARGENT
6150 S LOUISVILLE AVE
TULSA OK 74136-1501

Federal ID Number: 04-3523567

Customer Service: 404-705-7000

Form 1099-B * **2000 Proceeds from Broker and Barter Exchange Transactions** (OMB NO. 1545-0716) Copy B for Recipient

5. Description	1b. CUSIP	Quantity	Event	1a. Date of Sale	2. Stock, Bond, etc. $	4. Federal Income Tax Withheld
FRANK RUSSELL EQUITY I CLASS I	782493100	2,977.963	SALE	10/26/00	100,000.00	0.00
FRANK RUSSELL EQUITY II CLASS I	782493209	524.421	SALE	10/26/00	19,330.16	0.00
FRANK RUSSELL FIXED INCOME I CLASS I	782493407	19,999.308	SALE	10/26/00	416,785.58	0.00
FRANK RUSSELL FIXED INCOME III CLASS I	782493738	24,110.106	SALE	10/26/00	235,796.84	0.00
FRANK RUSSELL TAX EXEMPT BOND CLASS S	782493837	14,380.866	SALE	10/26/00	299,553.44	0.00
			Total - COLUMN 2		1,071,466.02	
			Total - COLUMN 4			0.00

ss Commissions

Refer to the enclosed *Understanding Your 2000 Tax Statement* brochure for more information.

*This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Please see the enclosed important instructions.

Fidelity Investments

2000 Supplemental Information		
Account No.	Taxpayer ID.	Page
379-908444	440-58-7355	4 of 4

STEPHEN M LARGENT
TERRY LARGENT
6150 S LOUISVILLE AVE
TULSA OK 74136-1501

Customer Service: 404-705-7000

2000 Purchase Detail (Including Commissions)

This information is not reported to the IRS. It is provided for your assistance in tax return preparation.

Description	CUSIP	Quantity	Date of Purchase	Purchase Cost **	Accrued Interest Paid
IDELITY DIVERSIFIED INTERNATIONAL	315910802	4,225.97900	10/26/00	95,000.00	0.00
		266.82200	12/08/00	5,747.33	0.00
IRSTHAND TECHNOLOGY VALUE	337941108	674.95900	10/26/00	70,000.00	0.00
		46.37900	12/15/00	3,782.65	0.00
		18.67500	12/15/00	1,523.10	0.00
RUSSELL TAX MGD SMALL CAP CL S	782478408	20,891.36500	10/26/00	225,000.00	0.00
RUSSELL EQUITY I CLASS I	782493100	8.53800	10/04/00	304.62	0.00
		28.69600	12/15/00	917.71	0.00
		4.61400	12/15/00	147.56	0.00
RANK RUSSELL EQUITY II CLASS I	782493209	1.28000	10/04/00	49.49	0.00
RANK RUSSELL FIXED INCOME I CLASS I	782493407	327.82600	10/04/00	6,753.22	0.00
RANK RUSSELL INTERNATIONAL CL I	782493605	242.82200	12/22/00	8,931.01	0.00
		15.81400	12/22/00	581.63	0.00
		11.04600	12/22/00	406.27	0.00
ANK RUSSELL TAX MGD LARGE CAP CL S	782493720	116.18100	12/22/00	2,219.05	0.00
ANK RUSSELL FIXED INCOME III CLASS I	782493738	402.61300	10/04/00	3,909.37	0.00
ANK RUSSELL REAL ESTATE SECS S	782493761	99.21200	10/04/00	2,667.81	0.00
		85.72300	12/15/00	2,375.38	0.00
ANK RUSSELL EQUITY Q CLASS I	782493811	6.30300	10/04/00	256.61	0.00
		139.53500	12/15/00	5,006.53	0.00
		10.85300	12/15/00	389.39	0.00
		0.66100	12/15/00	23.70	0.00
ANK RUSSELL TAX EXEMPT BOND CLASS S	782493837	50.72100	09/06/00	1,057.03	0.00
		45.98300	10/04/00	951.84	0.00
NGUARD INTERMED TERM TAX EXEMPT	922907209	45,977.01100	10/26/00	600,018.00	0.00
		30.98800	10/31/00	404.39	0.00
		185.99800	11/30/00	2,429.14	0.00
		190.71400	12/29/00	2,530.77	0.00
NGUARD INDEX TRUST S&P 500 PORT	922908108	436.50800	10/26/00	55,016.00	0.00
		1.34000	12/22/00	161.51	0.00
			Total	1,098,559.11	0.00

Includes commissions, and is less Accrued Interest Paid, if applicable.

Ronald Blue & Co., LLC

TRANSACTION REPORT

LARGENT CHILDREN'S TRUST

f939609

From 01-01-00 To 12-31-00

Trade Date	Settle Date	Security	Quantity	Price	Trade Amount
Withdrawals/Transfers Out					
03-22-00	03-22-00	Cash Account	29,000.00	1.00	29,000.00
08-29-00	08-29-00	Cash Account	15,000.00	1.00	15,000.00
					44,000.00
Fees/Expenses					
01-04-00	01-04-00	Management and other fees	39.00	1.00	39.00
01-04-00	01-04-00	Management and other fees	296.00	1.00	296.00
04-03-00	04-03-00	Management and other fees	40.00	1.00	40.00
04-03-00	04-03-00	Management and other fees	300.00	1.00	300.00
07-03-00	07-03-00	Management and other fees	38.00	1.00	38.00
07-03-00	07-03-00	Management and other fees	181.00	1.00	181.00
10-02-00	10-02-00	Management and other fees	261.00	1.00	261.00
					1,155.00
Interest/Dividend Income					
01-28-00	01-28-00	Russell Money Market Fund	21.36	1.00	21.36
02-28-00	02-28-00	Russell Money Market Fund	20.67	1.00	20.67
03-30-00	03-30-00	Russell Money Market Fund	22.74	1.00	22.74
04-04-00	04-04-00	Russell Equity I	34.460	1.00	34.46
04-04-00	04-04-00	Russell Equity Q	37.550	1.00	37.55
04-04-00	04-04-00	Russell Fixed Income I	590.820	1.00	590.82
04-04-00	04-04-00	Russell Real Estate Securities	70.080	1.00	70.08
04-04-00	04-04-00	Russell Short Term Bond Fund	351.930	1.00	351.93
04-27-00	04-27-00	Russell Money Market Fund	19.58	1.00	19.58
05-30-00	05-30-00	Russell Money Market Fund	23.66	1.00	23.66
06-29-00	06-29-00	Russell Money Market Fund	23.20	1.00	23.20
07-05-00	07-05-00	Russell Equity I	28.010	1.00	28.01
07-05-00	07-05-00	Russell Equity Q	32.240	1.00	32.24
07-05-00	07-05-00	Russell Fixed Income I	590.650	1.00	590.65
07-05-00	07-05-00	Russell Real Estate Securities	45.630	1.00	45.63
07-05-00	07-05-00	Russell Short Term Bond Fund	349.880	1.00	349.88
07-28-00	07-28-00	Russell Money Market Fund	21.98	1.00	21.98
08-30-00	08-30-00	Russell Money Market Fund	19.42	1.00	19.42
08-31-00	08-31-00	Fidelity Municipal Money Mkt	3.03	1.00	3.03
09-29-00	09-29-00	Fidelity Municipal Money Mkt	13.41	1.00	13.41
10-04-00	10-04-00	Russell Equity I	26.450	1.00	26.45
10-04-00	10-04-00	Russell Equity Q	30.270	1.00	30.27
10-04-00	10-04-00	Russell Fixed Income I	387.080	1.00	387.08
10-04-00	10-04-00	Russell Real Estate Securities	86.120	1.00	86.12

Ronald Blue & Co., LLC

TRANSACTION REPORT

LARGENT CHILDREN'S TRUST

f939609

From 01-01-00 To 12-31-00

Trade Date	Settle Date	Security	Quantity	Price	Trade Amount
10-04-00	10-04-00	Russell Short Term Bond Fund	406.530	1.00	406.53
10-31-00	10-31-00	Fidelity Municipal Money Mkt	13.39	1.00	13.39
11-30-00	11-30-00	Fidelity Municipal Money Mkt	12.91	1.00	12.91
12-08-00	12-08-00	Russell Fixed Income I	440.760	1.00	440.76
12-08-00	12-08-00	Russell Short Term Bond Fund	424.810	1.00	424.81
12-15-00	12-15-00	Russell Equity II	16.010	1.00	16.01
12-15-00	12-15-00	Russell Equity Q	45.930	1.00	45.93
12-22-00	12-22-00	Vanguard Index Trust S&P 500	18.780	1.00	18.78
12-27-00	12-27-00	MSDW US Real Estate	45.530	1.00	45.53
12-29-00	12-29-00	Fidelity Municipal Money Mkt	41.96	1.00	41.96
12-29-00	12-29-00	Pimco Total Bond Instl	82.090	1.00	82.09
12-29-00	12-29-00	Vanguard Bond Index Short-Trm	29.230	1.00	29.23
					4,428.15
Cap Gain Distribution Income - Short					
02-18-00	02-18-00	Russell Equity I	156.430	1.00	156.43
02-18-00	02-18-00	Russell Equity Q	162.750	1.00	162.75
02-18-00	02-18-00	Russell International Fund	351.260	1.00	351.26
12-15-00	12-15-00	Russell Equity II	292.450	1.00	292.45
12-15-00	12-15-00	Russell Equity Q	2.800	1.00	2.80
12-27-00	12-27-00	MSDW US Real Estate	11.480	1.00	11.48
					977.17
Cap Gain Distribution Income - Long					
02-18-00	02-18-00	Russell Equity I	154.470	1.00	154.47
02-18-00	02-18-00	Russell Equity Q	192.600	1.00	192.60
02-18-00	02-18-00	Russell International Fund	134.900	1.00	134.90
12-15-00	12-15-00	Russell Equity II	538.670	1.00	538.67
12-15-00	12-15-00	Russell Equity Q	590.550	1.00	590.55
12-27-00	12-27-00	MSDW US Real Estate	49.750	1.00	49.75
					1,660.94
Buys (Intra - Account)					
01-28-00	01-28-00	Russell Money Market Fund	21.36	1.00	21.36
02-18-00	02-18-00	Russell Equity I	4.623	33.84	156.43
02-18-00	02-18-00	Russell Equity I	4.565	33.84	154.47
02-18-00	02-18-00	Russell Equity Q	4.318	37.69	162.75
02-18-00	02-18-00	Russell Equity Q	5.110	37.69	192.60
02-18-00	02-18-00	Russell International Fund	7.963	44.11	351.26
02-18-00	02-18-00	Russell International Fund	3.058	44.11	134.90
02-28-00	02-28-00	Russell Money Market Fund	20.67	1.00	20.67

Ronald Blue & Co., LLC

TRANSACTION REPORT

LARGENT CHILDREN'S TRUST

f939609

From 01-01-00 To 12-31-00

Trade Date	Settle Date	Security	Quantity	Price	Trade Amount
03-30-00	03-30-00	Russell Money Market Fund	22.74	1.00	22.74
04-04-00	04-04-00	Russell Equity I	0.953	36.16	34.46
04-04-00	04-04-00	Russell Equity Q	0.896	41.91	37.55
04-04-00	04-04-00	Russell Fixed Income I	28.750	20.55	590.82
04-04-00	04-04-00	Russell Real Estate Securities	3.046	23.01	70.08
04-04-00	04-04-00	Russell Short Term Bond Fund	19.487	18.06	351.93
04-27-00	04-27-00	Russell Money Market Fund	19.58	1.00	19.58
05-30-00	05-30-00	Russell Money Market Fund	23.66	1.00	23.66
06-29-00	06-29-00	Russell Money Market Fund	23.20	1.00	23.20
07-05-00	07-05-00	Russell Equity I	0.796	35.19	28.01
07-05-00	07-05-00	Russell Equity Q	0.792	40.71	32.24
07-05-00	07-05-00	Russell Fixed Income I	28.854	20.47	590.65
07-05-00	07-05-00	Russell Real Estate Securities	1.753	26.03	45.63
07-05-00	07-05-00	Russell Short Term Bond Fund	19.395	18.04	349.88
07-28-00	07-28-00	Russell Money Market Fund	21.98	1.00	21.98
08-24-00	08-24-00	Fidelity Municipal Money Mkt	4,144.20	1.00	4,144.20
08-31-00	08-31-00	Fidelity Municipal Money Mkt	3.03	1.00	3.03
09-01-00	09-01-00	Fidelity Municipal Money Mkt	19.42	1.00	19.42
09-29-00	09-29-00	Fidelity Municipal Money Mkt	13.41	1.00	13.41
10-04-00	10-04-00	Russell Equity I	0.741	35.70	26.45
10-04-00	10-04-00	Russell Equity Q	0.744	40.69	30.27
10-04-00	10-04-00	Russell Fixed Income I	18.790	20.60	387.08
10-04-00	10-04-00	Russell Real Estate Securities	3.203	26.89	86.12
10-04-00	10-04-00	Russell Short Term Bond Fund	22.411	18.14	406.53
10-31-00	10-31-00	Fidelity Municipal Money Mkt	13.39	1.00	13.39
11-30-00	11-30-00	Fidelity Municipal Money Mkt	12.91	1.00	12.91
12-08-00	12-08-00	Russell Fixed Income I	21.089	20.90	440.76
12-08-00	12-08-00	Russell Short Term Bond Fund	23.406	18.15	424.81
12-14-00	12-15-00	Fidelity Diversified Intrl	557.621	21.52	12,000.00
12-14-00	12-15-00	MSDW US Real Estate	310.345	14.55	4,516.00
12-14-00	12-15-00	Pimco Total Bond Instl	2,944.015	10.37	30,516.00
12-14-00	12-15-00	Russell Equity II	174.033	36.20	6,300.00
12-14-00	12-15-00	Vanguard Bond Index Short-Trm	1,007.049	9.95	10,016.00
12-14-00	12-15-00	Vanguard Index Trust S&P 500	50.761	124.43	6,316.00
12-15-00	12-15-00	Fidelity Municipal Money Mkt	17,992.29	1.00	17,992.29
12-15-00	12-15-00	Russell Equity II	0.515	31.09	16.01
12-15-00	12-15-00	Russell Equity II	9.404	31.10	292.45
12-15-00	12-15-00	Russell Equity II	17.321	31.10	538.67
12-15-00	12-15-00	Russell Equity Q	0.078	35.90	2.80
12-15-00	12-15-00	Russell Equity Q	16.459	35.88	590.55
12-15-00	12-15-00	Russell Equity Q	1.280	35.88	45.93

Report run at 1 43 PM on 04/18/2001

Ronald Blue & Co., LLC

TRANSACTION REPORT

LARGENT CHILDREN'S TRUST

f939609

From 01-01-00 To 12-31-00

Trade Date	Settle Date	Security	Quantity	Price	Trade Amount
12-22-00	12-22-00	Vanguard Index Trust S&P 500	0.156	120.38	18.78
12-27-00	12-27-00	MSDW US Real Estate	0.787	14.59	11.48
12-27-00	12-27-00	MSDW US Real Estate	3.412	14.58	49.75
12-27-00	12-27-00	MSDW US Real Estate	3.123	14.58	45.53
12-29-00	12-29-00	Fidelity Municipal Money Mkt	41.96	1.00	41.96
12-29-00	12-29-00	Pimco Total Bond Instl	7.901	10.39	82.09
12-29-00	12-29-00	Vanguard Bond Index Short-Trm	2.932	9.97	29.23
					98,866.75
Sells (Intra - Account)					
01-04-00	01-04-00	Russell Money Market Fund	335.00	1.00	335.00
03-22-00	03-22-00	Russell Fixed Income I	242.131	20.65	5,000.00
03-22-00	03-22-00	Russell Real Estate Securities	390.964	23.02	9,000.00
03-22-00	03-22-00	Russell Short Term Bond Fund	822.368	18.24	15,000.00
04-03-00	04-03-00	Russell Money Market Fund	340.00	1.00	340.00
07-03-00	07-03-00	Russell Money Market Fund	219.00	1.00	219.00
08-23-00	08-24-00	Russell Money Market Fund	4,144.20	1.00	4,144.20
08-28-00	08-29-00	Russell Fixed Income I	721.501	20.79	15,000.00
10-02-00	10-02-00	Fidelity Municipal Money Mkt	261.00	1.00	261.00
12-14-00	12-15-00	Russell Equity I	502.684	32.87	16,523.22
12-14-00	12-15-00	Russell Fixed Income I	1,167.420	21.02	24,539.17
12-14-00	12-15-00	Russell International Fund	348.187	38.99	13,575.81
12-14-00	12-15-00	Russell Real Estate Securities	247.517	27.89	6,903.25
12-14-00	12-15-00	Russell Short Term Bond Fund	1,435.670	18.19	26,114.84
					136,955.49

Ronald Blue & Co., LLC

REALIZED GAINS AND LOSSES

LARGENT CHILDREN'S TRUST

f939609

From 01-01-00 Through 12-31-00

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
U.S. STOCK FUNDS							
07-05-95	12-14-00	2.380	Russell Equity I	65.27	78.23		12.96
10-03-95	12-14-00	2.245	Russell Equity I	65.81	73.79		7.98
12-14-95	12-14-00	19.899	Russell Equity I	556.39	654.08		97.69
12-14-95	12-14-00	2.579	Russell Equity I	72.12	84.77		12.65
12-14-95	12-14-00	37.172	Russell Equity I	1,039.31	1,221.84		182.53
02-16-96	12-14-00	7.315	Russell Equity I	212.44	240.44		28.00
02-16-96	12-14-00	6.212	Russell Equity I	180.39	204.19		23.80
04-02-96	12-14-00	2.304	Russell Equity I	67.67	75.73		8.06
07-02-96	12-14-00	2.370	Russell Equity I	71.72	77.90		6.18
10-02-96	12-14-00	1.991	Russell Equity I	62.02	65.44		3.42
12-16-96	12-14-00	17.963	Russell Equity I	530.09	590.44		60.35
12-16-96	12-14-00	2.181	Russell Equity I	64.36	71.69		7.33
12-16-96	12-14-00	44.381	Russell Equity I	1,309.67	1,458.81		149.14
02-14-97	12-14-00	8.886	Russell Equity I	282.58	292.08		9.50
02-14-97	12-14-00	9.431	Russell Equity I	299.89	310.00		10.11
04-02-97	12-14-00	1.996	Russell Equity I	58.49	65.61		7.12
07-02-97	12-14-00	1.405	Russell Equity I	49.93	46.18		-3.75
10-02-97	12-14-00	1.220	Russell Equity I	47.62	40.10		-7.52
12-19-97	12-14-00	62.798	Russell Equity I	1,857.56	2,064.17		206.61
12-19-97	12-14-00	1.663	Russell Equity I	49.20	54.66		5.46
12-19-97	12-14-00	45.917	Russell Equity I	1,358.24	1,509.30		151.06
12-19-97	12-14-00	42.081	Russell Equity I	1,244.75	1,383.20		138.45
02-20-98	12-14-00	4.716	Russell Equity I	151.11	155.02		3.91
02-20-98	12-14-00	7.775	Russell Equity I	249.12	255.56		6.44
02-20-98	12-14-00	8.181	Russell Equity I	262.11	268.91		6.80
04-02-98	12-14-00	1.441	Russell Equity I	49.69	47.37		-2.32
07-02-98	12-14-00	0.678	Russell Equity I	23.87	22.29		-1.58
10-02-98	12-14-00	0.834	Russell Equity I	24.48	27.41		2.93
12-16-98	12-14-00	6.274	Russell Equity I	205.71	206.23		0.52
12-16-98	12-14-00	0.687	Russell Equity I	22.52	22.58		0.06
12-16-98	12-14-00	9.848	Russell Equity I	322.92	323.70		0.78
02-19-99	12-14-00	0.557	Russell Equity I	19.55	18.31		-1.24
02-19-99	12-14-00	2.096	Russell Equity I	73.62	68.90		-4.72
03-12-99	12-14-00	56.184	Russell Equity I	2,042.85	1,846.77		-196.08
03-12-99	12-14-00	19.087	Russell Equity I	694.00	627.39		-66.61
04-05-99	12-14-00	0.683	Russell Equity I	25.28	22.45		-2.83
07-02-99	12-14-00	0.708	Russell Equity I	27.94	23.27		-4.67
10-04-99	12-14-00	0.761	Russell Equity I	27.80	25.01		-2.79
12-17-99	12-14-00	12.370	Russell Equity I	444.32	406.60	-37.72	
12-17-99	12-14-00	1.264	Russell Equity I	45.42	41.55	-3.87	
12-17-99	12-14-00	32.473	Russell Equity I	1,166.44	1,067.39	-99.05	
02-18-00	12-14-00	4.623	Russell Equity I	156.43	151.96	-4.47	
02-18-00	12-14-00	4.565	Russell Equity I	154.47	150.05	-4.42	
04-04-00	12-14-00	0.953	Russell Equity I	34.46	31.33	-3.13	
07-05-00	12-14-00	0.796	Russell Equity I	28.01	26.16	-1.85	
10-04-00	12-14-00	0.741	Russell Equity I	26.45	24.36	-2.09	
				15,824.09	16,523.22	-156.60	855.73
				15,824.09	16,523.22	-156.60	855.73
INTERNATIONAL STOCK FUNDS							
05-06-91	12-14-00	27.022	Russell International Fund	857.14	1,053.59		196.45

Ronald Blue & Co., LLC

REALIZED GAINS AND LOSSES

LARGENT CHILDREN'S TRUST

f939609

From 01-01-00 Through 12-31-00

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
12-17-91	12-14-00	16 262	Russell International Fund	501.84	634 06		132.22
12-17-91	12-14-00	2 577	Russell International Fund	79 52	100.48		20.96
01-22-92	12-14-00	9 438	Russell International Fund	302.00	367.99		65.99
02-21-92	12-14-00	1 124	Russell International Fund	35.20	43 82		8.62
12-17-92	12-14-00	14 639	Russell International Fund	423 81	570.77		146.96
12-17-92	12-14-00	6.192	Russell International Fund	179.26	241.43		62.17
02-19-93	12-14-00	1 241	Russell International Fund	36 65	48.39		11.74
12-15-93	12-14-00	7.812	Russell International Fund	282 08	304.59		22.51
12-15-93	12-14-00	8 351	Russell International Fund	301 56	325 61		24.05
12-15-93	12-14-00	9 613	Russell International Fund	347.11	374 81		27.70
02-18-94	12-14-00	0 872	Russell International Fund	34.27	34.00		-0.27
02-18-94	12-14-00	0 813	Russell International Fund	31.95	31.70		-0.25
02-18-94	12-14-00	3 745	Russell International Fund	147.18	146.02		-1.16
12-14-94	12-14-00	3 530	Russell International Fund	117 57	137.63		20.06
12-14-94	12-14-00	10 328	Russell International Fund	344 02	402 69		58 67
12-14-94	12-14-00	39.729	Russell International Fund	1,323.38	1,549 03		225.65
02-17-95	12-14-00	0 938	Russell International Fund	30.96	36.57		5 61
02-17-95	12-14-00	0 123	Russell International Fund	4 05	4.80		0.75
12-14-95	12-14-00	7.575	Russell International Fund	270.74	295.35		24 61
12-14-95	12-14-00	10 922	Russell International Fund	390.34	425 85		35.51
02-16-96	12-14-00	0 726	Russell International Fund	26.81	28.31		1.50
02-16-96	12-14-00	0.235	Russell International Fund	8 67	9.16		0.49
02-16-96	12-14-00	2 524	Russell International Fund	93 20	98 41		5 21
09-06-96	12-14-00	4 599	Russell International Fund	170.21	179.31		9 10
12-16-96	12-14-00	1 054	Russell International Fund	38 72	41.10		2.38
12-16-96	12-14-00	4 027	Russell International Fund	148 01	157.01		9 00
12-16-96	12-14-00	12.264	Russell International Fund	450.72	478 17		27 45
02-14-97	12-14-00	2.137	Russell International Fund	77 67	83 32		5 65
02-14-97	12-14-00	0 591	Russell International Fund	21.50	23 04		1.54
02-14-97	12-14-00	2 952	Russell International Fund	107 33	115.10		7.77
05-14-97	12-14-00	50 395	Russell International Fund	1,955.31	1,964 90		9.59
12-19-97	12-14-00	2.772	Russell International Fund	94 65	108 08		13.43
12-19-97	12-14-00	7 601	Russell International Fund	259.49	296.36		36 87
12-19-97	12-14-00	13 344	Russell International Fund	455 54	520.28		64 74
12-19-97	12-14-00	14 566	Russell International Fund	497.28	567 93		70 65
02-20-98	12-14-00	1.329	Russell International Fund	48 86	51.82		2.96
02-20-98	12-14-00	0.249	Russell International Fund	9.15	9 71		0.56
02-20-98	12-14-00	2 409	Russell International Fund	88.57	93 93		5.36
12-16-98	12-14-00	6.572	Russell International Fund	240 98	256.24		15.26
12-16-98	12-14-00	3 135	Russell International Fund	114 97	122 23		7.26
02-19-99	12-14-00	1 165	Russell International Fund	42 57	45.42		2.85
02-19-99	12-14-00	0.178	Russell International Fund	6.51	6.94		0 43
02-19-99	12-14-00	1 814	Russell International Fund	66.29	70.73		4 44
12-17-99	12-14-00	0 322	Russell International Fund	14 25	12 55	-1 70	
12-17-99	12-14-00	3 336	Russell International Fund	147.41	130 07	-17.34	
12-17-99	12-14-00	14 024	Russell International Fund	619 74	546 80	-72 94	
02-18-00	12-14-00	7 963	Russell International Fund	351 26	310.48	-40.78	
02-18-00	12-14-00	3 058	Russell International Fund	134 90	119.23	-15.67	
				12,331 20	13,575 81	-148 43	1,393.04
				12,331.20	13,575 81	-148 43	1,393.04

Ronald Blue & Co., LLC

REALIZED GAINS AND LOSSES

LARGENT CHILDREN'S TRUST

f939609

From 01-01-00 Through 12-31-00

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
BOND FUNDS							
02-22-93	03-22-00	7.097	Russell Fixed Income I	158.33	147.00		-11.33
04-02-93	03-22-00	26.277	Russell Fixed Income I	579.40	543.00		-36.40
07-02-93	03-22-00	25.066	Russell Fixed Income I	561.72	518.00		-43.72
10-04-93	03-22-00	23.965	Russell Fixed Income I	544.49	495.00		-49.49
12-15-93	03-22-00	21 897	Russell Fixed Income I	474.73	452 00		-22.73
12-15-93	03-22-00	19.136	Russell Fixed Income I	414.86	395.00		-19.86
12-15-93	03-22-00	28.211	Russell Fixed Income I	611.61	582 00		-29.61
02-18-94	03-22-00	4.080	Russell Fixed Income I	88.42	84.00		-4.42
02-28-94	03-22-00	77.386	Russell Fixed Income I	1,670 00	1,598.00		-72.00
02-28-94	03-22-00	1 622	Russell Fixed Income I	35.00	33.00		-2.00
04-05-94	03-22-00	7.394	Russell Fixed Income I	151.73	153.00		1.27
04-05-94	08-28-00	18 442	Russell Fixed Income I	378.43	383.41		4.98
07-05-94	08-28-00	26.871	Russell Fixed Income I	540.65	558.65		18.00
10-04-94	08-28-00	28.296	Russell Fixed Income I	560.26	588.27		28.01
12-14-94	08-28-00	28 218	Russell Fixed Income I	551.66	586.65		34.99
02-17-95	08-28-00	1.609	Russell Fixed Income I	32 46	33.45		0.99
04-04-95	08-28-00	24 475	Russell Fixed Income I	495.62	508.84		13.22
07-05-95	08-28-00	23.093	Russell Fixed Income I	484 95	480.10		-4.85
10-03-95	08-28-00	21.571	Russell Fixed Income I	454 71	448.46		-6.25
12-14-95	08-28-00	22.568	Russell Fixed Income I	482.50	469.19		-13.31
02-16-96	08-28-00	1.105	Russell Fixed Income I	23.87	22.97		-0.90
04-02-96	08-28-00	22.111	Russell Fixed Income I	463.23	459.69		-3.54
07-02-96	08-28-00	24 834	Russell Fixed Income I	510.34	516.30		5.96
09-06-96	08-28-00	72.185	Russell Fixed Income I	1,493.50	1,500.73		7.23
09-06-96	08-28-00	144 884	Russell Fixed Income I	2,997.64	3,012.14		14.50
09-06-96	08-28-00	75.664	Russell Fixed Income I	1,565.49	1,573.05		7.56
10-02-96	08-28-00	29.106	Russell Fixed Income I	604 54	605.11		0.57
12-16-96	08-28-00	26.501	Russell Fixed Income I	555.73	550.96		-4.77
02-14-97	08-28-00	3.260	Russell Fixed Income I	69.31	67.78		-1.53
04-02-97	08-28-00	30 927	Russell Fixed Income I	634.31	642.97		8.66
05-14-97	08-28-00	95.781	Russell Fixed Income I	1,999.91	1,991.28		-8.63
05-14-97	12-14-00	118.111	Russell Fixed Income I	2,466.16	2,482.69		16.53
05-14-97	12-14-00	168 403	Russell Fixed Income I	3,516.26	3,539.83		23.57
05-14-97	12-14-00	76.986	Russell Fixed Income I	1,607.46	1,618.25		10.79
05-14-97	12-14-00	206.375	Russell Fixed Income I	4,309.11	4,338.00		28.89
05-14-97	12-14-00	3.677	Russell Fixed Income I	76.78	77.29		0.51
05-14-97	12-14-00	61.705	Russell Fixed Income I	1,288.39	1,297.04		8.65
07-02-97	12-14-00	41.808	Russell Fixed Income I	875.87	878.80		2.93
10-02-97	12-14-00	40.761	Russell Fixed Income I	869.02	856.80		-12.22
12-19-97	12-14-00	40.288	Russell Fixed Income I	866.19	846.85		-19.34
02-20-98	12-14-00	3.654	Russell Fixed Income I	79.58	76.81		-2.77
04-02-98	12-14-00	39.607	Russell Fixed Income I	854.72	832.54		-22.18
07-02-98	12-14-00	40.333	Russell Fixed Income I	876.04	847.80		-28.24
10-02-98	12-14-00	41.214	Russell Fixed Income I	920.30	866.32		-53.98
12-16-98	12-14-00	5.427	Russell Fixed Income I	118.15	114.08		-4.07
12-16-98	12-14-00	40.188	Russell Fixed Income I	874 90	844.75		-30.15
12-16-98	12-14-00	21.192	Russell Fixed Income I	461.36	445.46		-15.90
02-19-99	12-14-00	2.450	Russell Fixed Income I	52.99	51.50		-1.49
04-05-99	12-14-00	27.470	Russell Fixed Income I	587.58	577.42		-10.16
07-02-99	12-14-00	28.164	Russell Fixed Income I	586.09	592 01		5.92
10-04-99	12-14-00	29.155	Russell Fixed Income I	600.31	612.84		12.53
12-17-99	12-14-00	32.969	Russell Fixed Income I	669.60	693.01	23 41	
04-04-00	12-14-00	28.750	Russell Fixed Income I	590.82	604.32	13.50	

Ronald Blue & Co., LLC

REALIZED GAINS AND LOSSES

LARGENT CHILDREN'S TRUST

f939609

From 01-01-00 Through 12-31-00

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
07-05-00	12-14-00	28 854	Russell Fixed Income 1	590.65	606.51	15.86	
10-04-00	12-14-00	18.790	Russell Fixed Income 1	387.08	394 96	7 88	
12-08-00	12-14-00	21.089	Russell Fixed Income 1	440 76	443.29	2 53	
				44,755.57	44,539.17	63 18	-279.58
03-12-99	03-22-00	822.368	Russell Short Term Bond Fund	15,115 13	15,000 00		-115.13
03-12-99	12-14-00	473.507	Russell Short Term Bond Fund	8,703 06	8,613.09		-89 97
03-12-99	12-14-00	259 032	Russell Short Term Bond Fund	4,761.00	4,711.79		-49 21
03-12-99	12-14-00	524 430	Russell Short Term Bond Fund	9,639 03	9,539.39		-99 64
04-05-99	12-14-00	19 405	Russell Short Term Bond Fund	354 73	352.98		-1.75
07-02-99	12-14-00	24 559	Russell Short Term Bond Fund	444 51	446.73		2.22
10-04-99	12-14-00	29 135	Russell Short Term Bond Fund	525.30	529.96		4 66
12-17-99	12-14-00	20 903	Russell Short Term Bond Fund	376 68	380.23	3.55	
04-04-00	12-14-00	19 487	Russell Short Term Bond Fund	351 93	354 47	2.54	
07-05-00	12-14-00	19.395	Russell Short Term Bond Fund	349 88	352.79	2.91	
10-04-00	12-14-00	22 411	Russell Short Term Bond Fund	406.53	407.66	1.13	
12-08-00	12-14-00	23 406	Russell Short Term Bond Fund	424 81	425.75	0.94	
				41,452.59	41,114 84	11.07	-348.82
				86,208 16	85,654 01	74 25	-628.40
REAL ESTATE FUNDS							
02-22-93	03-22-00	152.523	Russell Real Estate Securities	3,578 19	3,511 00		-67.19
04-02-93	03-22-00	5 563	Russell Real Estate Securities	140 14	128 00		-12 14
07-02-93	03-22-00	5.355	Russell Real Estate Securities	129 65	123.00		-6 65
10-04-93	03-22-00	4 921	Russell Real Estate Securities	129 04	113.00		-16 04
12-15-93	03-22-00	29 850	Russell Real Estate Securities	667.15	687.00		19.85
12-15-93	03-22-00	3.601	Russell Real Estate Securities	80 49	83.00		2.51
12-15-93	03-22-00	5 695	Russell Real Estate Securities	127.29	131.00		3.71
02-18-94	03-22-00	1.004	Russell Real Estate Securities	23 86	23.00		-0.86
02-18-94	03-22-00	1.820	Russell Real Estate Securities	43 23	42.00		-1 23
04-05-94	03-22-00	5 999	Russell Real Estate Securities	140 80	138 00		-2.80
07-05-94	03-22-00	6 663	Russell Real Estate Securities	156 78	153.00		-3.78
10-04-94	03-22-00	6.580	Russell Real Estate Securities	147 86	152.00		4 14
12-14-94	03-22-00	7.960	Russell Real Estate Securities	167 63	183.00		15.37
12-14-94	03-22-00	10.746	Russell Real Estate Securities	226.32	247.00		20.68
02-17-95	03-22-00	0.971	Russell Real Estate Securities	21.01	22.00		0 99
02-17-95	03-22-00	0.002	Russell Real Estate Securities	0 05	0 00		-0.05
04-04-95	03-22-00	4 880	Russell Real Estate Securities	105 46	112.00		6.54
07-05-95	03-22-00	5.209	Russell Real Estate Securities	116 06	120.00		3 94
10-03-95	03-22-00	4.740	Russell Real Estate Securities	108.93	109 00		0 07

Ronald Blue & Co., LLC

REALIZED GAINS AND LOSSES

LARGENT CHILDREN'S TRUST

f939609

From 01-01-00 Through 12-31-00

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
12-14-95	03-22-00	6.379	Russell Real Estate Securities	147.29	147.00		-0.29
04-02-96	03-22-00	5.015	Russell Real Estate Securities	118.50	116.00		-2.50
07-02-96	03-22-00	5.238	Russell Real Estate Securities	129.63	121.00		-8.63
09-06-96	03-22-00	81.416	Russell Real Estate Securities	2,110.31	1,875.00		-235.31
10-02-96	03-22-00	5.787	Russell Real Estate Securities	151.16	133.00		-18.16
12-16-96	03-22-00	6.047	Russell Real Estate Securities	164.35	139.00		-25.35
12-16-96	03-22-00	17.000	Russell Real Estate Securities	462.07	392.00		-70.07
12-16-96	12-14-00	4.659	Russell Real Estate Securities	126.63	129.94		3.31
02-14-97	12-14-00	1.894	Russell Real Estate Securities	55.29	52.82		-2.47
02-14-97	12-14-00	0.880	Russell Real Estate Securities	25.68	24.54		-1.14
02-14-97	12-14-00	2.645	Russell Real Estate Securities	77.19	73.77		-3.42
04-02-97	12-14-00	5.492	Russell Real Estate Securities	156.48	153.17		-3.31
05-14-97	12-14-00	80.091	Russell Real Estate Securities	2,285.00	2,233.74		-51.26
07-02-97	12-14-00	6.698	Russell Real Estate Securities	199.60	186.81		-12.79
10-02-97	12-14-00	5.837	Russell Real Estate Securities	191.35	162.79		-28.56
12-19-97	12-14-00	10.565	Russell Real Estate Securities	314.31	294.66		-19.65
12-19-97	12-14-00	7.813	Russell Real Estate Securities	232.43	217.90		-14.53
12-19-97	12-14-00	16.220	Russell Real Estate Securities	482.57	452.38		-30.19
12-19-97	12-14-00	12.428	Russell Real Estate Securities	369.72	346.62		-23.10
02-20-98	12-14-00	1.690	Russell Real Estate Securities	49.78	47.13		-2.65
02-20-98	12-14-00	2.604	Russell Real Estate Securities	76.70	72.63		-4.07
02-20-98	12-14-00	5.765	Russell Real Estate Securities	169.86	160.79		-9.07
04-02-98	12-14-00	5.606	Russell Real Estate Securities	167.83	156.35		-11.48
07-02-98	12-14-00	7.986	Russell Real Estate Securities	225.21	222.73		-2.48
10-02-98	12-14-00	9.230	Russell Real Estate Securities	224.57	257.42		32.85
12-16-98	12-14-00	6.852	Russell Real Estate Securities	166.24	191.10		24.86
02-19-99	12-14-00	3.926	Russell Real Estate Securities	93.44	109.50		16.06
04-05-99	12-14-00	7.616	Russell Real Estate Securities	177.37	212.41		35.04
07-02-99	12-14-00	7.031	Russell Real Estate Securities	178.16	196.09		17.93
10-04-99	12-14-00	8.081	Russell Real Estate Securities	184.97	225.38		40.41
12-17-99	12-14-00	17.906	Russell Real Estate Securities	388.92	499.40	110.48	
04-04-00	12-14-00	3.046	Russell Real Estate Securities	70.08	84.95	14.87	
07-05-00	12-14-00	1.753	Russell Real Estate Securities	45.63	48.89	3.26	
10-04-00	12-14-00	3.203	Russell Real Estate Securities	86.12	89.34	3.22	
				16,214.38	15,903.25	131.83	-442.96
				16,214.38	15,903.25	131.83	-442.96
TOTAL GAINS						206.08	3,055.96
TOTAL LOSSES						-305.03	-1,878.55
				130,577.84	**131,656.29**	**-98.95**	**1,177.40**

TOTAL REALIZED GAIN/LOSS 1,078.45

Ronald Blue & Co., LLC

1099 RECONCILIATION

LARGENT CHILDREN'S TRUST

f939609

From 01-01-00 Through 12-31-00

INTEREST (1099-INT)	
TOTAL INTEREST	0.00
DIVIDENDS AND DISTRIBUTIONS (1099-DIV)	
Russell Equity I	
Long Term Gains	154.47
Short Term Gains	156.43
Ordinary Income	88.92
	399.82
Russell Equity II	
Long Term Gains	538.67
Short Term Gains	292.45
Ordinary Income	16.01
	847.13
Russell Equity Q	
Long Term Gains	783.15
Short Term Gains	165.55
Ordinary Income	145.99
	1,094.69
Vanguard Index Trust S&P 500	
Ordinary Income	18.78
Russell International Fund	
Long Term Gains	134.90
Short Term Gains	351.26
	486.16
Pimco Total Bond Instl	
Ordinary Income	82.09
Russell Fixed Income I	
Ordinary Income	2,009.31
Russell Short Term Bond Fund	
Ordinary Income	1,533.15
Vanguard Bond Index Short-Trm	
Ordinary Income	29.23
MSDW US Real Estate	
Long Term Gains	49.75
Short Term Gains	11.48
Ordinary Income	45.53
	106.76
Russell Real Estate Securities	

Ronald Blue & Co., LLC

1099 RECONCILIATION

LARGENT CHILDREN'S TRUST

f939609

From 01-01-00 Through 12-31-00

Ordinary Income	201.83
Fidelity Municipal Money Mkt	
Ordinary Income	84.70
Russell Money Market Fund	
Ordinary Income	172.61
TOTAL DIVIDENDS AND DISTRIBUTIONS	**7,066.26**

PROCEEDS FROM TRANSACTIONS (1099-B)

Security	Date	Proceeds
Russell Equity I	12-14-00	16,523.22
Russell International Fund	12-14-00	13,575.81
Russell Fixed Income I	03-22-00	5,000.00
Russell Fixed Income I	08-28-00	15,000.00
Russell Fixed Income I	12-14-00	24,539.17
Russell Short Term Bond Fund	03-22-00	15,000.00
Russell Short Term Bond Fund	12-14-00	26,114.84
Russell Real Estate Securities	03-22-00	9,000.00
Russell Real Estate Securities	12-14-00	6,903.25
Fidelity Municipal Money Mkt	10-02-00	261.00
Russell Money Market Fund	01-04-00	335.00
Russell Money Market Fund	04-03-00	340.00
Russell Money Market Fund	07-03-00	219.00
Russell Money Market Fund	08-23-00	4,144.20
TOTAL PROCEEDS		**136,955.49**

THE Vanguard GROUP.

Vanguard Tax-Exempt Money Market Fund

JAMES A ZORN TR TR
UA 11-07-89
LARGENT CHILDRENS TRUST

(800) 992-2911 - Investment Adviser Services
INV-ADVISER EXT-4427
Fund number: 45
Account number: 9902413893
Statement number: 07635153

Third Party Copy

ACCOUNT VALUE	On 12/31/1999	On 12/31/2000
	$ 9,125.14	$ 16,435.33

Trade date	Transaction	Dollar amount	Share price	Shares transacted	Total shares owned
	Balance on 12/31/1999		$ 1.00		9,125.140
1/07	Checkwriting 1041	$ -1,254.25	1.00	-1,254.250	7,870.890
1/11	Checkwriting 1040	-2,204.58	1.00	-2,204.580	5,666.310
1/12	Checkwriting 1042	-472.68	1.00	-472.680	5,193.630
1/14	Checkwriting 1043	-500.00	1.00	-500.000	4,693.630
1/14	Checkwriting 1044	-349.48	1.00	-349.480	4,344.150
1/20	Check purchase	309.38	1.00	309.380	4,653.530
1/31	Income dividend	17.35	1.00	17.350	4,670.880
2/14	Checkwriting 1045	-618.03	1.00	-618.030	4,052.850
2/22	Checkwriting 1046	-884.00	1.00	-884.000	3,168.850
2/29	Check purchase	597.13	1.00	597.130	3,765.980
2/29	Income dividend	11.11	1.00	11.110	3,777.090
3/21	Checkwriting 1047	-1,382.00	1.00	-1,382.000	2,395.090
3/23	Wire purchase	29,000.00	1.00	29,000.000	31,395.090
3/21	Checkwriting 1048	-4,067.50	1.00	-4,067.500	27,327.590
3/29	Checkwriting 1049	-350.00	1.00	-350.000	26,977.590
3/31	Income dividend	28.51	1.00	28.510	27,006.100
4/24	Check purchase	309.38	1.00	309.380	27,315.480
4/28	Checkwriting 1050	-600.00	1.00	-600.000	26,715.480
4/30	Income dividend	85.71	1.00	85.710	26,801.190
5/02	Checkwriting 1051	-4,700.00	1.00	-4,700.000	22,101.190
5/18	Checkwriting 1052	-325.64	1.00	-325.640	21,775.550
5/31	Income dividend	83.64	1.00	83.640	21,859.190
6/05	Checkwriting 1053	-9,278.50	1.00	-9,278.500	12,580.690
6/14	Checkwriting 1054	-5,760.00	1.00	-5,760.000	6,820.690
6/28	Checkwriting 1055	-4,200.00	1.00	-4,200.000	2,620.690
6/30	Income dividend	32.63	1.00	32.630	2,653.320
7/12	Check purchase	2,470.00	1.00	2,470.000	5,123.320
7/20	Check purchase	309.38	1.00	309.380	5,432.700
7/31	Check purchase	596.88	1.00	596.880	6,029.580
7/31	Income dividend	14.12	1.00	14.120	6,043.700
8/22	Checkwriting 1058	-3,060.00	1.00	-3,060.000	2,983.700
8/23	Checkwriting 1057	-394.71	1.00	-394.710	2,588.990
8/29	Wire purchase	15,000.00	1.00	15,000.000	17,588.990
8/31	Checkwriting 1056	-6,580.00	1.00	-6,580.000	11,008.990
8/31	Income dividend	19.23	1.00	19.230	11,028.220
9/29	Income dividend	37.69	1.00	37.690	11,065.910
10/19	Check purchase	1,760.00	1.00	1,760.000	12,825.910
10/31	Income dividend	41.47	1.00	41.470	12,867.380
11/10	Check purchase	3,460.00	1.00	3,460.000	16,327.380

THE Vanguard GROUP.

December 31, 2000, year-to-date

Vanguard Tax-Exempt Money Market Fund

JAMES A ZORN TR TR
UA 11-07-89
LARGENT CHILDRENS TRUST

(800) 992-2911 - Investment Adviser Services
INV-ADVISER EXT-4427
Fund number: 45
Account number: 9902413893
Statement number: 07635153

Third Party Copy

Trade date	Transaction	Dollar amount	Share price	Shares transacted	Total shares owned
11/30	Income dividend	51.24	1.00	51.240	16,378.620
12/29	Income dividend	56.71	1.00	56.710	16,435.330
	Balance on 12/31/2000		$ 1.00		16,435.330

Tax-exempt income	$ 479.41
Purchases year-to-date	$ 53,812.15
Redemptions year-to-date	46,981.37

	Annualized yield	Compound annual yield	Distribution payable date
October	4.16%	4.24%	11/01/2000
November	4.18	4.26	12/01/2000
December	4.03	4.11	1/02/2001

THE Vanguard GROUP.

Vanguard Prime Money Market Fund

STEVE M LARGENT CUST
KRAMER LARGENT
OK UNIF TRANS MIN ACT

(800) 992-2911 - Investment Adviser Services
INV-ADVISER EXT-4427
Fund number: 30
Account number: 9880651434
Statement number: 04030388

Third Party Copy

ACCOUNT VALUE	On 12/31/1999	On 12/31/2000
	$ 1,498.29	$ 275.02

Trade date	Transaction	Dollar amount	Share price	Shares transacted	Total shares owned
	Balance on 12/31/1999		$ 1.00		1,498.290
1/31	Income dividend	$ 7.11	1.00	7.110	1,505.400
2/29	Income dividend	6.68	1.00	6.680	1,512.080
3/24	Checkwriting 1013	-440.00	1.00	-440.000	1,072.080
3/29	Checkwriting 1014	-13.00	1.00	-13.000	1,059.080
3/31	Income dividend	6.72	1.00	6.720	1,065.800
4/28	Checkwriting 1015	-250.00	1.00	-250.000	815.800
4/30	Income dividend	4.97	1.00	4.970	820.770
5/31	Income dividend	4.24	1.00	4.240	825.010
6/22	Checkwriting 1016	-313.00	1.00	-313.000	512.010
6/30	Income dividend	3.80	1.00	3.800	515.810
7/14	Checkwriting 1017	-250.00	1.00	-250.000	265.810
7/31	Income dividend	2.01	1.00	2.010	267.820
8/31	Income dividend	1.45	1.00	1.450	269.270
9/29	Income dividend	1.40	1.00	1.400	270.670
10/31	Income dividend	1.46	1.00	1.460	272.130
11/30	Income dividend	1.42	1.00	1.420	273.550
12/29	Income dividend	1.47	1.00	1.470	275.020
	Balance on 12/31/2000		$ 1.00		275.020

Income dividends	$ 42.73
Redemptions year-to-date	$ 1,266.00

	Annualized yield	Compound annual yield	Distribution payable date
October	6.34%	6.53%	11/01/2000
November	6.34	6.53	12/01/2000
December	6.33	6.52	1/02/2001

THE Vanguard GROUP.

December 31, 2000, year-to-date

Vanguard Wellington Fund

STEVE M LARGENT CUST
KRAMER LARGENT
OK UNIF TRANS MIN ACT

(800) 992-2911 - Investment Adviser Services
"INV-ADVISER" EXT-4427
Fund number: 21
Account number: 9880651434
Statement number: 04030388

Third Party Copy

ACCOUNT VALUE	On 12/31/1999	On 12/31/2000
	$ 32,566.61	$ 17,881.42

Trade date	Transaction	Dollar amount	Share price	Shares transacted	Total shares owned
	Balance on 12/31/1999		$ 27.96		1,164.757
3/13	Exchange to Grow & Inc	$ -15,000.00	25.62	-585.480	579.277
3/24	Income dividend .25	144.82	26.89	5.386	584.663
6/23	Income dividend .25	146.17	27.27	5.360	590.023
9/22	Income dividend .26	153.41	27.76	5.526	595.549
12/22	Income dividend .31	184 62	27.82	6.636	602.185
12/22	ST cap gain .03	17.87	27.82	.642	602.827
12/22	LT cap gain 1.45	863.55	27.82	31.041	633.868
	Balance on 12/31/2000		$ 28.21		633.868

Income dividends	$ 629.02
Short-term gains	17.87
Long-term gains	863.55
Total income year-to-date	$ 1,510.44
Redemptions year-to-date	$ 15,000.00

The current Fund distribution was payable on December 26, 2000.

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THE Vanguard GROUP.

Vanguard Growth and Income Fund

STEVE M LARGENT CUST
KRAMER LARGENT
OK UNIF TRANS MIN ACT

(800) 992-2911 - Investment Adviser Services
INV-ADVISER EXT-4427
Fund number: 93
Account number: 9880651434
Statement number: 04030388

Third Party Copy

ACCOUNT VALUE	On 12/31/1999	On 12/31/2000
	$ 0.00	$ 14,735.00

Trade date	Transaction	Dollar amount	Share price	Shares transacted	Total shares owned
	Balance on 12/31/1999		$ 37.08		.000
3/13	Exchange from Wellington	$ 15,000.00	34.36	436.554	436.554
3/24	ST cap gain .43	187.72	37.05	5.067	441.621
3/24	LT cap gain .64	279.39	37.05	7.541	449.162
6/23	Income dividend .17	76.36	34.96	2.184	451.346
12/22	Income dividend .18	81.24	31.69	2.564	453.910
12/22	LT cap gain .40	180.54	31.69	5.697	459.607
	Balance on 12/31/2000		$ 32.06		459.607

Income dividends	$ 157.60
Short-term gains	187.72
Long-term gains	459.93
Total income year-to-date	$ 805.25
Purchases year-to-date	$ 15,000.00

Total cost basis on 12/31/2000	$ 15,805.25
Average cost per share	34.39

The current Fund distribution was payable on January 2, 2001.

THE Vanguard GROUP.

Vanguard Prime Money Market Fund

STEVE M LARGENT CUST
KELLY LARGENT
OK UNIF TRANS MIN ACT

(800) 992-2911 - Investment Adviser Services
INV-ADVISER EXT-4427
Fund number: 30
Account number: 9880651120
Statement number: 04030354

Third Party Copy

ACCOUNT VALUE	On 12/31/1999	On 12/31/2000
	$ 841.96	$ 537.73

Trade date	Transaction	Dollar amount	Share price	Shares transacted	Total shares owned
	Balance on 12/31/1999		$ 1.00		841.960
1/31	Income dividend	$ 4.00	1.00	4.000	845.960
2/29	Income dividend	3.75	1.00	3.750	849.710
3/24	Checkwriting 1014	-168.00	1.00	-168.000	681.710
3/29	Checkwriting 1015	-45.00	1.00	-45.000	636.710
3/31	Income dividend	3.86	1.00	3.860	640.570
4/28	Checkwriting 1016	-250.00	1.00	-250.000	390.570
4/30	Income dividend	2.94	1.00	2.940	393.510
5/03	Exchange from Wellington	1,000.00	1.00	1,000.000	1,393.510
5/02	Checkwriting 1017	-650.00	1.00	-650.000	743.510
5/31	Income dividend	3.46	1.00	3.460	746.970
6/26	Checkwriting 1018	-313.00	1.00	-313.000	433.970
6/30	Income dividend	3.61	1.00	3.610	437.580
7/14	Checkwriting 1019	-250.00	1.00	-250.000	187.580
7/31	Income dividend	1.58	1.00	1.580	189.160
8/31	Income dividend	1.02	1.00	1.020	190.180
9/29	Income dividend	0.99	1.00	.990	191.170
10/13	Exchange from Wellington	1,000.00	1.00	1,000.000	1,191.170
10/11	Checkwriting 1020	-660.49	1.00	-660.490	530.680
10/31	Income dividend	1.40	1.00	1.400	532.080
11/30	Income dividend	2.77	1.00	2.770	534.850
12/29	Income dividend	2.88	1.00	2.880	537.730
	Balance on 12/31/2000		$ 1.00		537.730

Income dividends	$ 32.26
Purchases year-to-date	$ 2,000.00
Redemptions year-to-date	2,336.49

	Annualized yield	Compound annual yield	Distribution payable date
October	6.34%	6.53%	11/01/2000
November	6.34	6.53	12/01/2000
December	6.33	6.52	1/02/2001

THE Vanguard GROUP.

December 31, 2000, year-to-date

Vanguard Wellington Fund

STEVE M LARGENT CUST
KELLY LARGENT
OK UNIF TRANS MIN ACT

(800) 992-2911 - Investment Adviser Services
INV-ADVISER EXT-4427
Fund number: 21
Account number: 9880651120
Statement number: 04030354

Third Party Copy

ACCOUNT VALUE	On 12/31/1999	On 12/31/2000
	$ 27,214.19	$ 13,379.38

Trade date	Transaction	Dollar amount	Share price	Shares transacted	Total shares owned
	Balance on 12/31/1999		$ 27.96		973.326
3/13	Exchange to Grow & Inc	$ -12,000.00	25.62	-468.384	504.942
3/24	Income dividend .25	126.24	26.89	4.695	509.637
5/03	Exchange to Prime MM	-1,000.00	27.28	-36.657	472.980
6/23	Income dividend .25	118.25	27.27	4.336	477.316
9/22	Income dividend .26	124.10	27.76	4.470	481.786
10/13	Exchange to Prime MM	-1,000.00	27.64	-36.179	445.607
12/22	Income dividend .31	138.14	27.82	4.965	450.572
12/22	ST cap gain .03	13.37	27.82	.481	451.053
12/22	LT cap gain 1.45	646.13	27.82	23.225	474.278
	Balance on 12/31/2000		$ 28.21		474.278

Income dividends	$ 506.73
Short-term gains	13.37
Long-term gains	646.13
Total income year-to-date	$ 1,166.23
Redemptions year-to-date	$ 14,000.00

Total cost basis on 12/31/2000	$ 11,139.47
Average cost per share	23.49

The current Fund distribution was payable on December 26, 2000.

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033023 16 406D MA 4 x

THE Vanguard GROUP.

Vanguard Growth and Income Fund

STEVE M LARGENT CUST
KELLY LARGENT
OK UNIF TRANS MIN ACT

(800) 992-2911 - Investment Adviser Services
INV-ADVISER EXT-4427
Fund number: 93
Account number: 9880651120
Statement number: 04030354

Third Party Copy

ACCOUNT VALUE	On 12/31/1999	On 12/31/2000
	$ 0.00	$ 11,787.98

Trade date	Transaction	Dollar amount	Share price	Shares transacted	Total shares owned
	Balance on 12/31/1999		$ 37.08		.000
3/13	Exchange from Wellington	$ 12,000.00	34.36	349.243	349.243
3/24	ST cap gain .43	150.17	37.05	4.053	353.296
3/24	LT cap gain .64	223.52	37.05	6.033	359.329
6/23	Income dividend .17	61.09	34.96	1.747	361.076
12/22	Income dividend .18	64.99	31.69	2.051	363.127
12/22	LT cap gain .40	144.43	31.69	4.558	367.685
	Balance on 12/31/2000		$ 32.06		367.685

Income dividends	$ 126.08
Short-term gains	150.17
Long-term gains	367.95
Total income year-to-date	$ 644.20
Purchases year-to-date	$ 12,000.00

Total cost basis on 12/31/2000	$ 12,644.20
Average cost per share	34.39

The current Fund distribution was payable on January 2, 2001.

Source	Date	Departure and Return	Lodging	Food	Family	
Friends of Ray LaHood	January 19	Tulsa; Jacksonville, IL; Tulsa	No	Yes	No	*
The Gillette Company	January 28-31	Tulsa; Carefree, AZ; DC	Yes	Yes	Yes	*
Steve Fair Campaign	March 4	Tulsa; Lawton, OK; Tulsa	No	Yes	No	*
Electric Reliability Council of Texas (ERCOT)	June 12	Tulsa; Taylor, TX; DC	No	Yes	No	*
National Football League	July 28-30	DC; Canton, OH; Tulsa	Yes	Yes	No	*
Mountaire Corporation	July 31	Tulsa; Providence, RI; Tulsa	No	Yes	No	*
Mike Ditka Hall of Fame Players Association	August 27-28	Tulsa; Chicago, IL	Yes	Yes	No	*
Dan McDonald for Congress	August 29	Seattle, WA	Yes	Yes	No	*
John Koster for Congress	August 29	Seattle, WA	Yes	Yes	No	*
Francis for Congress	August 30-31	Hawaii	Yes	Yes	No	*
Porter for Congress	September 1	Las Vegas, Nevada	Yes	Yes	No	*

* Any time not at sponsor's expense - No

Jeff Flake for Congress	September 1-2	Phoenix, AZ; Tulsa	Yes	Yes	No	*
Todd Akin for Congress	September 11	Tulsa; St. Louis, MO; DC	No	Yes	No	*
Trent Mattson for Congress	October 21	Tulsa; Kelso, WA; Tulsa	No	Yes	No	*
Committee to Elect Lindsey Graham	October 23-24	Tulsa; Greenville, SC; DC	No	Yes	No	*
Phil Kline for Congress	October 25	DC; Kansas City; DC	No	Yes	No	*

* Any time not at sponsor's expense - No

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 2:08
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

(6)
MC

Richard Ray Larsen
(Full Name)

1407-90th Ave NE Everett, WA 98205 202-225-2605
(Mailing Address) Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives	State: WA District: 02	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☑	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☑	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Richard R Larsen	05/15/01

2795

74-034 2001 - 27

Name Richard R. Larsen

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Kaena State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Snohomish County	Salary (self)	$ 74,000

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C	Block D	Block E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP, DC, JT	Examples: SP Mega Corp Stock	Indefinite; X	X	X	P
	Simon & Schuster			Royalties	
	1st Bank of Paducah, KY accounts	X	X	X	
JT	Everett Mutual Checking acct	X	X	X	
JT	Southwest Credit Union Savings	X	X	X	
JT	Ever Trust Stock	X	X	X	
JT	AXP New Dimensions A	X	X	X	
SP	AXP New Dimensions B (TSCA Trust Co.)	X	X	X	
JT	AXP Managed Allocation A	X	X	X	

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Richard R. Larsen

Page 3 of 5

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP JT	AXP Equity Value B			X										X			X					X										
JT	AXP Equity Value A			X										X			X					X										
JT	AXP Strategy Aggressive B				X												X								X							
JT	AXP Strategy Aggressive A			X													X								X							
JT	AXP Diversified Equity Income B			X										X			X					X										
S	Life Protection Plus			X																NONE	X											
S	Flexible Annuity			X																NONE	X											
* SP	Tiffe Ingrid Larsen IRA plan (AMEX TRUST Co.)			X																[illegible]	[illegible]											
* S	Richard Larsen IRA plan (AMEX TRUST Co.)				X															[illegible]	[illegible]											
* S	Richard R. Larsen Roth IRA plan (AMEX TRUST Co.)			X																[illegible]	[illegible]											

* See attached for breakouts

This page may be copied if more space is required.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name

Page 4 of 5

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
*	IRA Breakouts																															
SP	Tiin Ingrid Karlen IRA plan																															
	AXP Blue Chip			X										X			X						N/A									
S	Richard R. Larsen IRA Plan																															
	AXP Growth Fund			X										X			X						N/A									
	AXP Blue Chip			X										X			X						N/A									
	AXP Diversified Equity Income			X										X			X						N/A									
S	Richard R. Larsen Roth IRA Plan																															
	Strategy Aggressive			X										X			X						N/A									

Note: Per Financial advisor, all dividends or capital gains for IRAs are reinvested and income is not taxed.

This page may be copied if more space is required.

Name Richard R. Larsen

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Sec/Treasurer	Arlington High School Class of 1983 Reunion Committee

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO. 2001 70–729 (mac)

Use additional sheets if more space is required.

2800

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

(6)
HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 3:49
MC
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

JOHN B. LARSON
(Full Name)

1887 OLD MAIN STREET
(Mailing Address)

EAST HARTFORD, CT 06108

Daytime Telephone
WASHINGTON (202)225-2265
HARTFORD (860)278-8888

Filer Status: [X] Member of the U.S. House of Representatives — State: CT District: 1ST; [ ] Officer or Employee — Employing Office

Report Type: [X] Annual (May 15); [ ] Amendment; [ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who flies more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John B Larson	5/11/01

2801

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	NETWORKING CONCEPTS, LLC	INSURANCE COMMISSIONS FOR PAST SERVICES	GROSS $50,001 NET $45,987
	LARSON & LYSIK, INC.	SPOUSE SALARY	N/A

For payments to charity in lieu of honoraria, use Schedule II.

2802

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction
SP	Mega Corp Stock					X								X										X								P
DC, Examples	Simon & Schuster		Indefinite																	Royalties								X				
JT	1st Bank of Paducah, KY accounts						X									X									X							
	FLEET BANK - SAVINGS			X												X						X										
	GALAXY FUNDS MONEY MARKET				X									X										X								
	FLEET BANK - IRA			X												X						X										
SP	FLEET BANK - IRA			X												X						X										
	CONGRESSIONAL FEDERAL CREDIT UNION			X												X						X										

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JOHN B. LARSON

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	COMMON STOCK -																													
	LARSON & LYSIK, INC						X							N	O	N	E				N	O	N	E						
JT	NETWORKING CONCEPTS LLC						X							S	E	E		S	C H E D		U L	E		I						
	MERRILL LYNCH SEP:																													
	PHOENIX ENGEMANN FUND			X									X			X					X									
	LORD ABBETT GROWTH FUND			X									X			X					X									
	IVY INT'L FUND			X									X			X					X									
	M.L. BASIC VALUE FUND			X									X			X					X									
	M.L. RETIREMENT FUND				X								X			X							X							

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
The Democratic Leadership Council	Apr.28-30	Wash., DC-New Orleans -Wash., D.C.	Y	Y	N	N
Amistad America, Inc.	March 23-26	Wash - Mystic -Wash. D.C.	Y	Y	Y	N
Merrill Lynch/NYSE	Jan. 23-24	Wash - NY -Wash. D.C.	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
DIRECTOR	THE OLD STATE HOUSE
MEMBER	RIVER FRONT RECAPTURE
MEMBER	NETWORKING CONCEPTS, LLC

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 2001 70-729 (mac)

Use additional sheets if more space is required.

2806

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

9

LEGISLATIVE RESOURCE CENTER
2001 MAY -7 AM 10:41
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Thomas P. Latham
(Full Name)

178-180th Street
(Mailing Address)

Alexander, Iowa 50420

Daytime Telephone 641-692-3240

Filer Status: [X] Member of the U.S. House of Representatives — State Iowa, District 5 — [ ] Officer or Employee — Employing Office

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X] No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Thomas Latham	5/7/01

2807

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keane State	Approved Teaching Fee	$6,000
	State of Meryland	Legisletive Pension	$9,000
	Civil Wer Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salery	NA
	Latham Seed Co., Alexander, Iowa	Salary	$6,000

For payments to charity in lieu of honoraria, use Schedule II.

2808

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset	Block C: Type of Income	Block D: Amount of Income	Block E: Transaction
SP, DC, JT	Examples				
SP	Megs Corp Stock	X (A: $50,000,000 – $100,000,000)	X DIVIDENDS	X (IV: $1,001 – $2,500)	P
	Simon & Schuster	Indefinite (B: $1 – $1,000)	Royalties		
	1st Bank of Paducah, KY accounts	X (F: $100,001 – $250,000)	X INTEREST	X (VI: $5,001 – $15,000)	
	Latham Seed Co. (1/3 Interest) Common Stock, Seed Sales, Iowa	X (H: $500,001 – $1,000,000)	S-Corp. Income	X (IX: $100,001 – $1,000,000)	
	Latham Kanawha Farm (80 Acres) Partnership Interest (25%)	X (D: $15,001 – $50,000)	Ptrship Income	X (IV: $1,001 – $2,500)	
	Latham Hospital Farm (360 acres) Partnership Interest (25%)	X (F: $100,001 – $250,000)	Ptrship Income	X (VI: $5,001 – $15,000)	
	Latham Wright Farm (674 acres) Partnership Interest (20%)	X (G: $250,001 – $500,000)	Ptrship Income	X (VII: $15,001 – $50,000)	
	Farm Buildings Alexander, Iowa	X (D: $15,001 – $50,000)	X RENT	X (IV: $1,001 – $2,500)	
	GE Life + Annuity Company Annuity Contract	X (E: $50,001 – $100,000)	Not Appicable	Not Applicable	

For additional assets and unearned income, use next page.

2809

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Thomas P. Latham

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	401K Pension Plan Fringe Benefit Design				X									Not Applicable							Not Applicable										
	Individual Retirement Plan Salomon Smith Barney				X									Not Applicable							Not Applicable										
	First Citizens Nat'l Bank Clarion, Iowa				X											X							X								
DC	First Citizens Nat'l Bank Clarion, Iowa				X											X							X								
	Municipal Bonds-Salomon Smith Barney-Iowa State Univ. of Science + Tech - Univ. of Iowa Academic O-Coupon				X											X								X							
	Municipal Money Mkt Funds Acct-Salomon Smith Barney Mutual Funds			X										X									X								

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2810

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Thomas P. Latham

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Fedelity Advisor Ser II Growth Opportunities Port Class T	X														X											X				
	Green Circle Inv. Inc. 1/6 Common Stock Bank Holding Co. For Peoples Trust + Savings Bank								X					X							X										
	Congressional Credit Union Washington, D.C.			X												X						X									
	Peoples Trust + Savings Bank Grand Junction, Iowa		X													X					X										

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SCHEDULE IV — TRANSACTIONS

Name Thomas P. Latham

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Maga Corporation Common Stock	X			10–12–00		X								
	Fedelity Advisor Ser II Growth Opporunities Port CL T		X		3-28-00				X						
	Latham Wright Farm Purchase Additional 112 acres	X			5-12-00			X							

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2812

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Peoples Trust + Savings Bank Grand Junction, Iowa	Unsecured		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
Not Applicable		

Use additional sheets if more space is required.

2813

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Chicago Board of Trade/ Chicago Mercantile Exchange	Mar 17-20	Omaha Ne-Bacoraton-Wash, DC	Y	Y	Y	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Consultant	Latham Seed Company
General Partner	Latham Kanawha Farm
General Partner	Latham Hospital Farm
General Partner	Latham Wright Farm

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	Not Applicable	

GPO 2001 70–729 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A

For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER

2001 MAY 15 AM 9:31

OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES

3

HAND DELIVERED

(Office Use Only)

STEVEN C. LATOURETTE
(Full Name)

2453 RAYBURN HOB
(Mailing Address)

WASHINGTON D.C.

Daytime Telephone 202-225-5731

Filer Status	☑ Member of the U.S. House of Representatives — State: OH Distnct 19	☐ Officer or Employee	Employing Office.
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☐	No ☑
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☑
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑	No ☐
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☑
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	S. Latourette	5-15-2001

2816

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name S. Lostourgetts

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples*																															
SP	Mega Corp. Stock					X								X																		P
	Simon & Schuster		Indefinite																	Royalties				X					X			
	1st Bank of Paducah, KY accounts						X									X									X							
	THRIFT SAVINGS				X											X									X							

For additional assets and unearned income, use next page.

SCHEDULE VII[2]—TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	C. E. L. I. / Aviation Conference	July 6-10	Wash D.C. - Seattle - Wash. D.C.	Y	Y	N	—

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MC (26)

(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A

For use by Members, officers, and employees

James A Leach

(Full Name)

2186 Rayburn House Office Building Washington, DC 20515

(Mailing Address)

202-225-6576

Daytime Telephone

Filer Status: ✓ Member of the U S House of Representatives — State IA, District 01

Officer Or Employee

Employing Office

Report Type: ✓ Annual (May 15) Amendment Termination

Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes No ✓

If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes No ✓

If yes, complete and attach Schedule II

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ✓ No

If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ✓ No

If yes, complete and attach Schedule IV

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ✓ No

If yes, complete and attach Schedule V

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? Yes No ✓

If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? Yes ✓ No

If yes, complete and attach Schedule VII

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ✓ No

If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes No ✓

If yes, complete and attach Schedule IX

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes No ✓

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4 § 104 and U.S.C. § 1001).

Certification

I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.

Signature of Reporting Individual: James A Leach

Date (Month, Day, Year): 5/15/01

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SCHEDULE I - EARNED INCOME

Nam James A. Leach 2 of 26

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
NA		

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SCHEDULE II - PAYMENTS IN LIEU OF HONO

Nam James A. Leach 3 of 26

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
NA			

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A. Leach

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	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Leach Family Farms, Inc.	$250,001 - $500,000	DIVIDENDS	$5,001 - $15,000	
	Mehta Tech, Inc.	$250,001 - $500,000	INTEREST	$15,001 - $50,000	
	Foxley Cattle Company	$1,000,001 - $5,000,000	DIVIDENDS	$100,001 - $1,000,000	
	Merrill Lynch CMA	$1,000,001 - $5,000,000	INTEREST	$50,001 - $100,000	S
	Mehta Tech Holdings, Inc.	$250,001 - $500,000	DIVIDENDS	$15,001 - $50,000	
	The following investments are held in the Lois Hill Leach Trust:				

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A. Leach

ORIGINAL

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	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	CMA Treasury Fund	$15,001 - $50,000	INTEREST	$201 - $1,000	S
	Michigan Mun. Bd. Auth. Dev.	$50,001 - $100,000	INTEREST	$2,501 - $5,000	
	AT&T Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Amn Water Works	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Avaya	$1 - $1,000	DIVIDENDS	NONE	
	BellSouth Corp.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A. Leach

	BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependant child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Boeing Company	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Bristol Myers Squibb Co.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Domtar, Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Ericsn LM Tel.	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
	FPL Group, Inc.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	GATX Corp.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A. Leach

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	General Electric	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
	IMC Global Inc. Dev PV	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Intl Flavors & Fragrances	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Kansas City Power & Light	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Lucent Technologies	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Lee Enterprises, Inc.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A. Leach

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Lee Enterprises, Inc. CLB	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Media One Group, Inc.	$1,001 - $15,000	DIVIDENDS	NONE	S
	Mattel, Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Merck & Co., Inc.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Motorola, Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Nestle	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A. Leach

	BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investment(s) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Potash Corp. Saskatchewan	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Quest Comm. International, Inc.	$15,001 - $50,000	DIVIDENDS	NONE	
	Regal Beloit Corp.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	SBC Communications, Inc.	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	Sara Lee Corp.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Standard & Poors Deposit Receipts	$1,001 - $15,000	DIVIDENDS	$1 - $200	

ORIGINAL

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A. Leach

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Sysco Corporation	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Toys R Us	$1,001 - $15,000	DIVIDENDS	NONE	
	Verizon Communications Com.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Vodafone Airtouch	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	ML Eurofund FD CL A	$50,001 - $100,000	DIVIDENDS	NONE	
	Templeton Foreign Fund	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A. Leach

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	ML Technology Vent LP	$1 - $1,000	INTEREST	NONE	
	The following investments are held in the James A. Leach Trust:				
	CMA Money Fund	$500,001 - $1,000,000	INTEREST	$50,001 - $100,000	
	H.J. Heinz Co.	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	Medtronic, Inc.	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
	Merck & Co., Inc.	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name: Honorable James A. Leach

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Nokia Corp.	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
	Pfizer, Inc.	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
	Vivendi Universal	$50,001 - $100,000	DIVIDENDS	NONE	
	Texas Utils.	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	
	Target Corp.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Merrill Lynch IRA Account:				

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A. Leach

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Singapore Air	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Retirement Reserves Fund	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Merrill Lynch IRA Account:				
SP	Singapore Air	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Retirement Reserves Fund	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Johnson & Johnson	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A. Leach

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	The following securities are held by Merrill Lynch:				
SP	CBA Money Fund	$50,001 - $100,000	INTEREST	$2,501 - $5,000	
SP	Avaya Inc.	$1 - $1,000	DIVIDENDS	NONE	P
SP	Cais Internet, Inc.	$1,001 - $15,000	DIVIDENDS	NONE	
SP	Cisco Systems, Inc.	$15,001 - $50,000	DIVIDENDS	NONE	
SP	Coca Cola	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

ORIGINAL

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A. Leach

BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	GATX Corporation	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Lee Enterprises, Inc.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Lucent Technologies, Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Payless Shoes, Inc.	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	Qualcomm, Inc.	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	Worldcomm, Inc.	$1,001 - $15,000	DIVIDENDS	NONE	P

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A. Leach

BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
DC	GATX Corporation	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC	Lee Enterprises	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
DC	Singapore Air	$1,001 - $15,000	DIVIDENDS	NONE	
DC	Domtar, Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	CBA Money Fund	$15,001 - $50,000	INTEREST	$201 - $1,000	S
DC	Lee Enterprises	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	

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SCHEDULE [redacted] - ASSETS AND "UNEARNED" INCOME

Name Honorable James A Leach

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DC	Singapore Air	$1,001 - $15,000	DIVIDENDS	NONE	
DC	Domtar, Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	CBA Money Fund	$50,001 - $100,000	INTEREST	$5,001 - $15,000	P
DC	UAL Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Wells Fargo IRA Account	$1,001 - $15,000	INTEREST	$1 - $200	
	Wells Fargo IRA Account	$1,001 - $15,000	INTEREST	$1 - $200	

ORIGINAL

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A. Leach

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Wyoming Mineral Rights	$1,001 - $15,000	RENT	$5,001 - $15,000	
SP	The Canderock Group Club	$1 - $1,000	DIVIDENDS	$1 - $200	
SP	Singapore Airlines	$1,001 - $15,000	DIVIDENDS	NONE	
	Congressional Credit Union	$50,001 - $100,000	INTEREST	NONE	

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SCHEDULE IV - TRANSACTIONS

Name Honorable James A. Leach

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Merrill Lynch CMA	S	Various	$500,001 - $1,000,000
	CMA Treasury Fund	S	Various	$1,001 - $15,000
SP	Johnson & Johnson	P	02-16-00	$1,001 - $15,000
SP	Avaya Inc.	P	02-28-00	$1,001 - $15,000
	Media One Group, Inc.	S	07-21-00	$1,001 - $15,000
SP	Lucent Technologies, Inc.	P	02-28-00	$15,001 - $50,000
SP	Payless Shoes, Inc.	P	09-19-00	$1,001 - $15,000
SP	Qualcomm, Inc.	P	02-28-00	$15,001 - $50,000
SP	Worldcomm, Inc.	P	02-28-00	$15,001 - $50,000
SP	CBA Money Fund	P	Various	$1,001 - $15,000
DC	CBA Money Fund	P	Various	$1,001 - $15,000

ORIGINAL

SCHEDULE V - LIABILITIES

Name Honorable James A. Leach

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	First Midwest Mortgage Corp. Joliet, Illinois	Mortgage on Iowa Residence	$250,001 - $500,000

SCHEDULE VI - GIFTS

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Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
NA		

SCHEDULE VII - TRAVEL PAYMENTS AN

Nam James A Leach

ORIGINAL

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Keynote Address to the Securities Industry Association "New World of Financial Services" Conference	January 10-11	Cedar Rapids, Iowa to New York City, New York	N	Y	N	NONE
Speaker and Panel Participant at the World Economic Forum Davos, Switzerland	January 27-31	Washington, DC to Davos, Switzerland to Washington, DC	Y	Y	N	NONE
Keynote Address to the American Council of Life Insurers Conference	February 29-March 1	Washington, DC to New York City, New York to Washington, DC	Y	Y	N	NONE
Keynote Address to the Fordham University Law School Symposium on the Future of Financial Services	April 2-3	Washington, DC to New York City, New York to Washington, DC	Y	Y	N	NONE
The Century Foundation (formerly The Twentieth Century Fund) Annual Meeting	April 7-9	Washington, DC to Phoenix, Arizona to Wahington, DC	Y	Y	Y	NONE
Keynote Speaker at the Conference of State Bank Supervisors Annual Meeting	May 11-12	Washington, DC to San Francisco, California to Washington, DC	Y	Y	N	NONE

SCHEDULE VII - TRAVEL PAYMENTS AN

Nam James A Leach

ORIGINAL

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Lazio for Senate	June 16	New York City to Washington, DC	N	Y	N	NONE
Robin Hayes for Congress	June 19	Washington, DC to Charlotte, North Carolina to Washington, DC	N	Y	N	NONE
Keynote Speaker at the Iowa Bankers Association Central States Conference	June 23-24	Washington, DC to Denver Colorado to Washington, DC	Y	Y	N	NONE
Tour and Meeting at the Chicago Board of Trade	July 16-17	Moline, Illinois to Chicago, Illinois to Washington, DC	Y	Y	N	NONE
Speech to the Iowa Independent Bankers Association Annual Meeting	July 22	Moline, Illinois to Spencer, Iowa to Moline, Illinois	Y	Y	N	NONE
Keynote Address to the Deloitte and Touche Financial Services Industry Conference on "The New Regulatory Architecture"	September 11	Washington, DC to New York City, New York	N	N	N	NONE
Lee Terry for Congress	September 22-24	Omaha, Nebraska to Washington, DC	Y	Y	Y	NONE
Adam Putnam for Congress	September 25	Washington, DC to Tampa, Florida to Washington, DC	Y	Y	N	NONE

SCHEDULE VII - TRAVEL PAYMENTS AN

Nam James A. Leach

ORIGINAL

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Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
The Century Foundation (formerly The Twentieth Century Fund) Board Meeting	November 16-17	Washington, DC to New York City, New York to Washington, DC	Y	Y	N	NONE

SCHEDULE VIII - POSITIONS

Nam James A. Leach

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entitles; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Vice Chair of the Board (unpaid)	The Century Foundation (formerly The Twentieth Century Fund) (non-profit educational foundation)
Member of the Board (unpaid)	Johns Hopkins University School of Advanced International Studies Advisory Council
Member of the Board (unpaid)	The Kettering Foundation, Dayton, Ohio (non-profit educational foundation)
Member of the Board (unpaid)	Project Vote Smart (non-profit voter education foundation)
Member of the Board (unpaid)	Internews Network Inc. (non-profit organization that assists in the development of a free and independent media worldwide)
Member of Congressional Advisory Committee (unpaid)	John E. Moss Foundation (non-profit foundation promoting the publics interest in Congress)
Member of Congressional Advisory Board (unpaid)	The Victims of Communism Memorial Foundation (non-profit)

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SCHEDULE IX - AGREEMENTS

Nam JAMES A. LEACH 26 of 26

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NA	

ORIGINAL

2844

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(8)

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 2:08
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

BARBARA LEE
(Full Name)

U S HOUSE OF REPRESENTATIVES, 414 CANNON HOB
(Mailing Address)

WASHINGTON, DC 20515

Daytime Telephone (202) 225-2661

Filer Status	[XX] Member of the U.S. House of Representatives	State CA Distinct 9	[ ] Officer or Employee	Employing Office.
Report Type	[XX] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [XX]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [XX]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [XX]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [XX]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [XX]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [XX]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [XX]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [XX]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [XX]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [XX]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [XX]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month Day Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Barbara Lee	May 15, 2001

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP	SP Mega Corp. Stock					X								X										X									P
DC, Examples:	Simon & Schuster		Indefinite																	Royalties									X				
JT	1st Bank of Paducah, KY accounts						X									X										X							
	RENTAL PROPERTY: 1526 ATLANTA AVE., PORTSMOUTH, VA	X													X						X												S
	W.C. PARRISH CO. INC. NOTE FROM SALE OF BUSINESS -- PAYMENTS RECEIVED FROM GILLTONE PROPS, INC.					X														NOTE PAYMENTS						X							

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name BARBARA LEE

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	RENTAL PROPERTY: 9 CITY COURT, SACRAMENTO, CA (50% OWNERSHIP)	A X; RENT X; IV X			S
	MERRILL LYNCH CASH MANAGEMENT ACCOUNT	F X; INTEREST X; VI X			
	STATE OF CALIFORNIA SAVINGS PLUS DEFERRED COMP. PROGRAM - HELD IN THE FOLLOWING FUNDS:				
	T. ROWE PRICE EQUITY INCOME FUND	D X; I X			
	SSP 500 INDEX FUND	D X; I X			
	T. ROWE PRICE NEW HORIZIONS FUND	D X; I X			
	MANULIFE FINANCIAL RETIREMENT ACCOUNT INCLUDES FOLLOWING MUTUAL FUNDS:				
	MANULIFE EMERGING SMALL COMPANY FUND	C X; I X			
	MANULIFE EQUITY INCOME FUND	C X; I X			
	MANULIFE MONEY MARKET FUND	C X; I X			
	MANULIFE INVESTMENT QUALITY BOND FUND	C X; I X			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name BARBARA LEE

Page 4 of 8

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	MERRILL LYNCH RETIREMENT ACCOUNT INCLUDES FOLLOWING																															
	MERRILL LYNCH MONEY MARKET ACCOUNT		X																		X											
	ADAPTIVE BROADBAND CORP. STOCK		X																		X											
	GNL DATACOMM. IND. CORP STOCK		X																		X											
	SAKS INC. TEEN PV STOCK		X																		X											S
	XEROX CORP. STOCK			X										X									X									P
	SILICON GRAPHICS INC. STOCK			X																	X											
	LORAL SPACE LCOMM PV STOCK			X																	X											P
	JC PENNEY CO. STOCK			X										X									X									P

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SCHEDULE IV — TRANSACTIONS

Name BARBARA LEE

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-00		X								
	GNL DATACOMM IND.INC. STOCK (SALE OF PORTION OF STOCK)		X		2-28-00	X									
	RENTAL PROPERTY: 1526 ATLANTA AVENUE PORTSMOUTH, VA		X		1-28-00		X								
	RENTAL PROPERTY: 9 CITY COURT SACRAMENTO, CA		X		6-16-00				X						
	SAKS INC. TENN PV STOCK		X		7-3-00	X									
	XEROX CORP. STOCK (PURCHASES OF ADDL. STOCK)	X X			7-3-00 10-18-00	X X									
	LORAL SPACELCOMM PV STOCK	X			4-17-00	X									
	JC PENNEY CO. STOCK	X			2-28-00	X									

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	ATLANTIC MORTGAGE (PAID OFF IN 2000)	Mortgage on 9 City Court Sacramento				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
	NONE	

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
INTERRELIGIOUS FOUNDATION FOR COMMUNITY ORGANIZING (OPEN FIRST U.S.-CUBA HEALTH TRADE FAIR)	Jan 20-26	Wash., D.C. -- Havana -- Wash., D.C.	Y	Y	N	N
UNITED FOR A FAIR ECONOMY (GAVE SPEECH)	Apr. 8-9	Wash., D.C. -- Boston -- Wash., D.C.	Y	Y	N	N
ELIZABETH SCHWARTZ; PANETTA INSTITUTE (ACCEPTED AWARD FOR SEN. MOYNIHAN AND SPEECH)	Jun. 10-11	Oakland -- Pebble Beach Oakland	Y	Y	N	N
PHYTO-RIKER; HOPKINS, LAWRENCE & BAILEY; JMA CONSTRUCTION FANNIE MAE; TRACO INVESTIGATIONS (TRADE MISSION)	Aug. 5-17	Wash., D.C. -- Ghana -- Wash., D.C.	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of eny corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
TRUSTEE	GHANA CHILDREN'S FUND (NONPROFIT, PUBLIC BENEFIT CORPORATION, A PROJECT OF THE EAST BAY COMMUNITY FOUNDATION)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a tormer or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

GPO. 2001 70-729 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

Form A
For use by Members, officers, and employees

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

(8)

LEGISLATIVE RESOURCE CENTER
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2853

Hon. Sander M. Levin
(Full Name)

2300 Rayburn Bldg.
Washington DC 20515
(Mailing Address)

Daytime Telephone 202/225-4961

Filer Status: [X] Member of the U.S. House of Representatives — State MI District 12 — [ ] Officer or Employee — Employing Office

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g. salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.** — Yes [ ] No [X]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [X] No [ ]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.** — Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [X] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS--Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4/104 and 18 U.S.C. 1001)

CERTIFICATION	SIGNATURE OF REPORTING INDIVIDUAL	DATE (Month/Day/Year)
I CERTIFY that the statements I have made on this form, and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Sander M. Levin	5/15/01

Name Hon Sander M Levin | Page ___ of ___

SCHEDULE I -- EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Victoria Levin, National Institute of Mental Health	Spouse Salary	$74,143.33

For payments to charity in lieu of honoraria, use Schedule II.

Name Hon Sander M Levin | Page ____ of ____

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source**	BLOCK B **Value of Asset**	BLOCK C **Type of Income**	BLOCK D **Amount of Income**	BLOCK E **Transaction**
Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e. one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year. **Exclude**: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT) in the optional column on the far left.	at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	If other than one of the listed categories, specify the type of income by writing a brief description in this block.	For retirement plans that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP DC *Examples* SP, 123 Main St., Dover, Del. JT 1st Bank of Paducah, KY accounts	Mega Corp. Stock $15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
Wright Patman Federal Credit Union	$1,001 - $15,000	Interest	$1,001 - $2,500	NA
JT First Union	$1,001 - $15,000	Interest	$201 - $1,000	NA
Michigan National Bank	$1 - $1,000	Interest	$1 - $200	NA
JT 1/2 interest in 78A; 1/6 interest in 18A, Tyrone Township, Michigan	$50,001 - $100,000	NA	None	NA
1/9 undivided interest in commercial real estate, West Maple, Birmimgham, Michigan	$100,001 - $250,000	Rent	$15,001 - $50,000	NA

Name Hon Sander M Levin | Page ____ of ____

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	5.3 % interest in accounts receivable from Hayden Gregory previously held by LNS Co., a general partnership now liquidated	$1,001 - $15,000	Repayment of Loan Principal	$5,001 - $15,000	NA
	5.3% interest in Michigan mineral rights previously held by LNS Co., a general partnership now liquidated (see attached)	$15,001 - $50,000	NA	$5,001 - $15,000	Royalty
	5.3% interest in LRS Co., a general partnership owning real estate in Romulus and Pontiac Townships, Michigan and accounts receivable.	$1,001 - $15,000	NA	None	NA
JT	Vacation House, Lot 4, West Tisbury, MA	$250,001 - $500,000	Rent	$15,001 - $50,000	NA
JT	Martha's Vineyard Cooperative Bank	$1,001 - $15,000	Interest	$1 - $200	NA
JT	Loan: Clark and Bonnie Barnes, Victoria Rd., Tyrone Township. Livingston Co., Michigan	$1,001 - $15,000	NA	None	NA
SP	IRA-Merrill Lynch (see attachment)	$15,001 - $50,000	Dividends	$201 - $1,000	NA
	KEOGH - Merrill Lynch (see attachment)	$50,001 - $100,000	Dividends	$5,001 - $15,000	NA

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

ATTACHMENT:

5.3% interest in Michigan mineral rights previously held by LNS Co., a general partnership now liquidated: Shell Western - income - $168; Michigan Consolidated Gas Co. - income -$79; Quicksilver Pipeline LLC, assignee from previous lease - income - $1036; Dominion Midwest Energy, assignee from previous lease - income - $7,249; and Muskegon Development Co., an assignee from previous lease - income - $49.. The year-end value of these interests are estimated herein based on latest available information and technical advice.

ATTACHMENT:

1. IRA - Merrill Lynch Portfolio
   - Merrill Lynch Growth Fund CL D
   - Merrill Lynch U.S. Govt. Mortgage Fund CL D
   - Merrill Lynch Retirement Reserves CL I Money Market

2. KEOGH - Merrill Lynch Portfolio
   - Money Purchase Plan:
     - Merrill Lynch Growth Fund CL D
     - Merrill Lynch Basic Value Fund CL D
     - Fidelity Advisor Ser VII Overseas Portfolio CL T
     - Merrill Lynch Retirement Reserves CL I Money Market
   - Profit Sharing Plan:
     - Merrill Lynch Growth Fund CL D
     - Fidelity Advisor Growth Opportunities Portfolio CL T
     - Merrill Lynch Basic Value Fund CL D
     - Fidelity Advisor Ser VII Overseas Portfolio CL T
     - Merrill Lynch Retirement Reserves CL I Money Market

Name Hon. Sander M. Levin	Page ___ of ___

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year.
Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report **revolving charge accounts** only if the balance at the close of preceding calendar year exceeded $10,000.

SP,DC,JT	Creditor	Type of Liability	Amount of Liability
Example	*First Bank of Wilmington, Delaware*	*Mortgage on 123 Main St., Dover, Del.*	*$100,001 - $250,000*
JT	Countrywide Home Loans	Mortgage on vacation house, West Tisbury, MA	$250,001 - $500,000
JT	Bank of Boston	Equity loan on vacation house, West Tisbury, MA	$15,001 - $50,000

Name Hon. Sander M. Levin | Page ____ of ____

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples Chicago Chamber of Commerce Mega Corporation	Mar 2 Aug 6-13	Wash., D.C.-Chicago-Wash., D.C. Wash., D.C.-Los Angeles-Cleveland	N Y	N Y	N Y	N 4 Days
Harvard University and The Commonwealth Fund	Jan 20-22, 2000	DC-Miami-Aventura-Miami-DC	Y	Y	Y	NA
Aspen Institute	Apr 18-22, 2000	DC-Miami- Grand Cayman-Miami-DC	Y	Y	Y	NA
Aspen Institute	Aug. 20-25, 2000	DC-Prague-DC	Y	Y	Y	1
Cong. Economic Leadership Institute and Transatlantic Policy Network	Nov. 27-Nov. 29,Dec.1-4, 2000	DC-Brussels,Venice-DC	Y	Y	N	1 (Cong. Travel)

Name Hon. Sander M. Levin	Page ____ of _____

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization any labor organization, or any educational or other institution other than the United States

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature, and positions listed on Schedule I.

Position	Organization
Partner	LRS Company
Board Member	Community Anti-Drug Coalitions of America

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

(22) HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 14 PM 2:30
(Office Use Only) MC

Jerry Lewis
(Full Name)
2112 Rayburn House Office Bldg. (202) 225-5861
(Mailing Address) Daytime Telephone
Washington D.C. 20515

Filer Status	☒ Member of the U.S. House of Representatives	State: CA District: 40	☐ Officer or Employee	Employing Office
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

copy of Financial Disclosure of Spouse is attached

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☒	No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jerry Lewis	5-10-'01

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Name Jerry Lewis | Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Legislators' Retirement System, State of CA.	Legislative Pension	$3,454.00

For payments to charity in lieu of honoraria, use Schedule II.

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Name Jerry Lewis | Page 1 of 1

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
NONE			

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Name

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			Block A	Block B: None	A: $1 – $1,000	B: $1,001 – $15,000	C: $15,001 – $50,000	D: $50,001 – $100,000	E: $100,001 – $250,000	F: $250,001 – $500,000	G: $500,001 – $1,000,000	H: $1,000,001 – $5,000,000	I: $5,000,001 – $25,000,000	J: $25,000,001 – $50,000,000	K: Over $50,000,000	L	Block C: Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: None	I: $1 – $200	II: $201 – $1,000	III: $1,001 – $2,500	IV: $2,501 – $5,000	V: $5,001 – $15,000	VI: $15,001 – $50,000	VII: $50,001 – $100,000	VIII: $100,001 – $1,000,000	IX: $1,000,001 – $5,000,000	X: Over $5,000,000	XI	Block E: P, S, E
SP, DC, JT	Examples:	SP	Mega Corp. Stock						X								X											X								P
			Simon & Schuster			Indefinite																	Royalties									X				
			1st Bank of Paducah, KY accounts						X										X										X							
			631 Constitution Ave NE Wash. D.C. 20002									X						X												X						
			Chas. Schwab Co. - IRA - cash + Odwalla stock				X													NONE								NONE								
			Lewis Family Trust - 1/4 Sh. (copy attached)						X								Trust distributions											X								

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Jerry Lewis

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	Odwalla, Inc. Common Stock	F X	CAPITAL GAINS: NONE	IV: NONE	
	Congressional Federal Credit Union	D X	INTEREST X	IV X	
	Parcel 173 as shown on Map filed recorded May 23 1977, BK. 49 Pgs. 65 + 66, County of San Bernardino	D X	CAPITAL GAINS: NONE	IV: NONE	
	Penn Mutual Life Insurance Co. Retirement	G X	CAPITAL GAINS: NONE	IV: NONE	
	Legislators Retirement System, St. of CA.	F X	Legislative Pension	V X	
	Arrowhead Credit Union San Bernardino CA	C X	INTEREST X	I X	

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SCHEDULE IV — TRANSACTIONS

Name Jerry Lewis | Page 1 of 1

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–00		X								
	NONE														

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Name Jerry Lewis | Page 1 of 1

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delawere	Mortgege on 123 Main St., Dover, Del				X						
	Greater Atlantic Bank in Virginia	Mortgage on 681 West. Constitution Ave Berryville					X					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
	NONE	

Use additional sheets if more space is required.

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Name Jerry Lewis | Page 1 of 1

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	NONE						

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Name Jerry Lewis | Page 1 of 1

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	Loma Linda University Children's Hospital

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
July '59	Penn Mutual Life Insurance Co.	Agent Retirement Plan
1969	Legislators' Retirement System, St of CA.	

GPO 2001 70-729 (mac)

Use additional sheets if more space is required.

CONTINUATION OF EDWARD R. LEWIS AND ELAINE L. LEWIS TRUST

The undersigned, Richard J. Lewis, Edward R. Lewis, Jr., C. Jeremy Lewis, and John C. Lewis are the vested sole beneficiaries of the Edward R. Lewis and Elaine L. Lewis Trust executed June 15, 1983, a copy of which is attached hereto. Following the deaths of Edward R. Lewis and Elaine L. Lewis, outright distribution has been made of some of the assets of this Trust, but the assets listed on Schedule A attached hereto continue to be held by C. Jeremy Lewis as Trustee of said Trust for the benefit of all of the undersigned.

The undersigned hereby agree that said property shall continue to be held, administered, and distributed by Trustee of said Trust in accordance with the provisions of said Trust as modified by this instrument.

1. Purpose. The purpose of this Trust as hereby extended shall be for Trustee to manage the assets of this Trust, collect all payments on obligations owed, divide and distribute the proceeds equally between us, liquidate all tangible assets of this Trust, and make distribution of the net proceeds to us.

2. Term. The term of this Trust shall be for so long as necessary to sell the real property and obtain complete payment on all notes and contracts which are the subject of this Trust, or for 10 years from the date of this instrument, whichever first occurs. At the expiration of this Trust, outright distribution shall be made by Trustee to the undersigned in equal shares, if all of them living, or to the successor beneficiaries of each of us as designated on the beneficiary designation of each, if any of us is not living at the time a distribution is to be made.

3. Beneficiary Designations. For so long as each of us are living, all distributions shall be made in equal shares to each of us, with Trustee holding such reserves as Trustee may deem appropriate for the management of the Trust estate. Upon the death of any of us prior to the termination of this Trust, the share of this Trust allocable to such deceased person and all distributions being made for such person shall be delivered to the beneficiaries designated for such person in accordance with the Beneficiary Designation of such deceased person delivered to Trustee. If no Beneficiary Designation has been executed by any of us at the time of the death of any of us or Trustee has not received the original of such Beneficiary Designation when a distribution is to be made, distribution of such deceased person's share shall be made without the need for any probate administration to the beneficiaries of such deceased person's last Will, or if there is no Will, to such deceased beneficiary's intestate heirs according to California's laws of intestate succession. If Trustee has any doubt as to the appropriate distribution to be made, Trustee may, in Trustee's sole discretion, make distribution to the estate of the one of us as to

whom the ambiguity exists or may petition the Court for instructions as to the appropriate distribution. Each of us may modify his Beneficiary Designation at any time by sending to Trustee a duly executed revision and, upon receipt of such change of Beneficiary Designation by Trustee, such change shall be effective.

3.1 Each of us shall be considered as the primary beneficiary of his share of this Trust, and the designated successor beneficiaries shall have no rights or powers concerning this Trust until the interests of such successor beneficiaries vest upon the death of one of us.

3.2 Notwithstanding anything set forth above, Trustee may, in Trustee's sole discretion, at any time elect to distribute all of the assets of this Trust in undivided shares equally between the four primary beneficiaries of the Trust; provided, however, if any of us is then deceased, the distribution of the share of such deceased one of us shall be made to the designated beneficiaries of such deceased person.

4. Additional modifications of Trust. This Trust may be amended at any time by written document executed by the four of us and may be revoked by written document executed by the four of us. It may not be amended or revoked in any other manner.

Executed effective December 1, 1989.

Richard J. Lewis

Edward R. Lewis, Jr.

C. Jeremy Lewis

John C. Lewis

Approved as to form:
GRESHAM, WARNER, SAVAGE, NOLAN & TILDEN

By: Philip M. Savage, III

All assets in the Edward R. and Elaine L. Lewis Trust dated June 15, 1983 remaining following outright distribution after the death of Edward R. Lewis on May 13, 1988, including but not limited to the following:

1. Note dated 5-18-78 in the amount of $11,933.14 by Mark E. Brandlin, at 7% per annum, payments of $125.00 payable on the 1st day of each calendar month, commencing on 5-1-78, secured by deed of trust recorded 9-4-79 as Instrument 1034, in Book 9763, Page 1571. Reconveyed

2. Note dated 7-18-84 in the amount of $31,750.00 by Robert Bresnahan and Carolyn Bresnahan and Carolyn Bresnahan as joint tenants, and Rob Bresnahan, at 7% per annum, payments of $250.00 payable on the 18th day of each calendar month, commencing on 7-18-79, secured by deed of trust recorded 8-27-84 as Instrument 84-204415. Reconveyed 197

3. Note dated 9-1-78 in the amount of $23,245.00 by Gerald W. Bromley and Phyllis Bromley, joint tenants, at 7% per annum, payments of $175.00 payable on the 1st day of each calendar month, commencing 10-1-78, secured by deed of trust recorded 9-4-79 as Instrument 1035, in Book 9763, Page 1572.

4. Real property in San Bernardino County, CA, subject to contract for sale dated 10-26-81 for $32,000.00 by Earl D. Burdett.

5. Real property in San Bernardino County, CA, subject to contract for sale dated 10-26-81 for $32,000.00 by Earl D. Burdett.

6. Real property in San Bernardino County, CA, subject to contract for sale dated 9-16-85 for $60,000.00 by Glasscamp, Inc.

7. Real property in San Bernardino County, CA, subject to contract for sale dated 9-16-85 for $32,000.00 by Glasscamp, Inc.

8. Note dated 7-22-75 in the amount of $14,400.00 by Alan G. DeWeese and Margaret M. DeWeese, at 7% per annum, payments of $125.00 payable on the 23rd day of each calendar month, commencing 8-23-75, secured by deed of trust recorded 9-4-79 as Instrument 1031, in Book 9763, Page 1568. - Reconveyed

9. Note dated 9-24-80 in the amount of $12,575.00 by Edward W. Heber, Jr., and Judith A. Heber, at 7% per annum, payments of $125.00 payable on the 1st day of each calendar month, commencing on 10-1-80, secured by deed of trust recorded 1-19-81 as Instrument 81-012555.

10. Note dated 5-8-78 in the amount of $14,404.22 by James A. and Earleen K. Helm, at 7% per annum, payments of $125.00 payable on the 5th day of calendar month, commencing 6-5-78, secured by deed of trust recorded 9-4-79 as Instrument 1038, in Book 9763, Page 1575.

11. Real property in San Bernardino County, CA, subject to contract for sale dated 4-20-73 for $12,000.00 by Randy Don Hopkinson & Georgette M. Hopkinson. - Reconveyed

12. Note dated 12-24-84 in the amount of $31,750.00 by Sam J. Kahn and Betty L. Kahn as joint tenants, and Robert Craig Bennion and La Ree Bennion as joint tenants, at 7% per annum, payments of $250.00 payable on the 30th day of each calendar month, commencing on 6-30-77, secured by deed of trust recorded 1-2-85 as Instrument 85-000662.

13. Real property in San Bernardino County, CA, subject to contract for sale dated 6-13-79 for $32,000.00 by Carl E. Knauff, Peggy Knauff, Randy W. Roach, Barbara Roach, Stephen F. Jaurigue, Kathleen S. Jaurigue, Philippe J. Tatton, and Susan E. Tatton.

14. Note dated 4-2-84 in the amount of $15,775.00 by Harold E. Koenig, at 7% per annum, payments of $125.00 payable on the 15th day of each calendar month commencing on 9-25-76, secured by deed of trust recorded 5-17-84 as Instrument 84-116000.

15. Note dated 8-31-78 in the amount of $14,000.00 by Albert Lara, at 7% per annum, payments of $125.00 payable on the 30th day of each calendar month, commencing 9-30-78, secured by deed of trust recorded 9-20-79 as Instrument 1137, in Book 9773, Page 1620.

16. Real property in San Bernardino County, CA, subject to contract for sale dated 2-4-84 for $12,000.00 by Richard J. and Donna F. Lewis.

17. Real property in San Bernardino County, CA, subject to contract for sale dated 10-16-79 for $32,000.00 by Marilyn L. Mahan.

18. Note dated 4-1-84 in the amount of $23,600.00 by Michael B. Oliver and Sheila R. Oliver, joint tenants, at 7% per annum, payments of $175.00 payable on the 15th day of each calendar month, commencing on 7-15-77, secured by deed of trust recorded 5-17-84 as Instrument 84-116062.

19. Note by Harold J. Petty and Netty L. Petty at 7% per annum secured by deed of trust recorded as Instrument No. 913 on Dec. 19, 1979 in Book 9583, Page 1403.

20. Note dated 9-28-76 in the amount of $14,011.86 by Melvin L. Smith, Jr., and Marilyn A. Smith, joint tenants, at 7% per annum, payments of $125.00 payable on the 21st day of each calendar month, commencing on 10-21-76. Foreclosed

21. Real property in San Bernardino County, CA, subject to contract for sale dated 5-2-85 for $12,102.28 by Frances M. Stephenson and Austin Shrack.

22. Note dated 4-7-83 in the amount of $28,450.85 by Pauline R. Walton and Bobbie R. Skinner, at 7% per annum, payments of $250.00 payable on the 15th day of each calendar month, commencing 4-15-83, secured by deed of trust recorded 4-19-83 as Instrument 83-082026.

23. Real property in Los Angeles County, CA, subject to contract for sale dated 1-20-88 for $10,000.00 by Rommel Agee.

24. Lot 32 Area 43,608 sq. ft. in Block 265 as delineated on the map entitled Hawaiian Ocean View Estates which said map was filed in the Bureau of Conveyances of the State of Hawaii 4-5-62 as File Plan 787.

25. Improved real property situated in San Bernardino, CA, at 5328 Park Lane, described as: Sold - [illegible]

Lot 2, Tract 5123 as per plat recorded in Book 62 of maps, page 23, records of San Bernardino County, Assessor's Parcel 154-051-37. - Sold in 1991

26. Improved real property situated in San Bernardino, CA, at 5634 Argyle Street, described as: - Sold in 1990

Lot 29, Tract 5095 as per map recorded in Book 75 of Maps, pages 23-24 in the office of the County Recorder of San Bernardino County.

27. Pacific Savings Bank Account # 0535262-0 - Transferred to [illegible]

28. Franklin Fund - Sold in 1991
California Tax-Free Income Fund - Account No. 112043617-24

29. Parcel No. 7 as shown on Parcel Map No. 4416 recorded May 23, 1979 in Parcel Map Book No. 47, pages 65 and 66 in the office of the County of San Bernardino, State of California. Quitclaimed to trust by Richard Lewis 9/17/91.

C. Jeremy Lewis

John C. Lewis

Edward R. Lewis

Richard J. Lewis

30. -

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FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000 | For use by Members, officers, and employees

Arlene M. Willis
(Full Name)

2112 Rayburn House Office Bldg. (202) 225-5861
(Mailing Address) Daytime Telephone

Wash. D.C. 20515

(Office Use Only)

Filer Status	☐ Member of the U.S. House of Representatives	State District	☒ Officer or Employee	Employing Office Jerry Lewis
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

Copy of Financial Disclosure Stmt. of Spouse is attached

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☒	No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Arlene M. Willis	5-10-01

Name Marlene M. Willis | Page 2 of 2

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Kaane State	Approved Teaching Fee	$6,000
	State of Maryland	Legislelive Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Only as shown on statement of spouse attached		

For payments to charity in lieu of honoraria, usa Schedule II.

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Name Arlene M. Willis | Page 1 of 6

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i e , speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash , D C	Speech	Feb 2, 2000	$2,000
XYZ Magazine	Article	Aug 13, 2000	$500
NONE			

This page may be copied if more space is required.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Arlene M. Willis

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example: Farmership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E P, S, E
SP	Mega Corp Stock					X								X										X								P
DC	Examples: Simon & Schuster				Indefinite															Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X										X						
	All as shown on Financial Disclosure Statement & Spouse, copy attached - and																															
	Charles Schwab & Co. IRA - Cash + Idewalle																															
	Stock				X											NONE								NONE								

For additional assets and unearned income, use next page.

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SCHEDULE IV — TRANSACTIONS

Name Arlene M. Willis | Page 1 of 1

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	None														

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Name Arlene M Willis Page 1 of 1

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	Only as shown on spouse statement attached											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
	NONE	

Use additional sheets if more space is required.

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Where Ill. Willis

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	NONE						

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Name Arlene M. Willis | Page 1 of 1

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
	NONE

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

GPO 2001 70-729 (mac)

Use additional sheets if more space is required.

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

7 HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 16 PM 4:45
MC
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

John Lewis
(Full Name)

343 Cannon HOB Washington DC 20515
(Mailing Address)

2022253801
Daytime Telephone

Filer Status: ✓ Member of the U.S. House of Representatives — State GA — District 5th — Officer Or Employee — Employing Office

Report Type: ✓ Annual (May 15) — Amendment — Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ✓ No
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes No ✓
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes No ✓
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes No ✓
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes No ✓
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? — Yes No ✓
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? — Yes ✓ No
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ✓ No
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes No ✓
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes No ✓

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.

Signature of Reporting Individual: John Lewis

Date (Month, Day, Year): 5-16-2001

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SCHEDULE I - EARNED INCOME

Name John Lewis

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Clark Atlanta University	Spouse Salary	N/A

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name John Lewis

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
University of Michigan	Jan. 10-11	Atlanta--Detroit, MI	Y	Y	N	N/A
Jacksonville Chamber of Commerce	Jan. 13-14	Atlanta, GA--Jacksonville, Fl--Atlanta, GA	Y	Y	N	N/A
Duke University	Jan. 16	Atlant, GA--Raleigh--Atlanta, GA	Y	Y	N	N/A
Cleveland State Univeristy	Jan. 19-20	Atlanta, GA--Cleaveland, OH--Elmira, NY	Y	Y	N	N/A
Faith and Politics	Jan. 20-22	Elmira, NY---Washington DC	Y	Y	N	N/A
Comell University	Feb. 3	Washington DC--NY--Washington DC	Y	Y	N	N/A
University of West Florida	Feb. 11-12	Atlanta, GA--Pensacola, FL--Atlanta, GA	Y	Y	N	N/A
African American Image Maker Award	Feb. 19-20	Atlanta, GA--Cincinnati, OH--Memphis, TN	Y	Y	N	N/A
Thomas Chapel Missionary Baptist Church	Feb. 20-21	Memphis, TN--Washington DC	Y	Y	N	N/A
Harcourt and Brace	Feb. 22-26	Washington DC--Cincinnati, OH--Seattle, WA--Portland, OR--Chicago, IL--Minneapolis, MN--Washington DC	Y	Y	N	N/A

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name John Lewis

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Faith and Politics	March 3-5	Washington DC--Birmingham, AL--Washington DC	Y	Y	N	N/A
US Committee UNIFEM	March 16-17	Washington DC--Raleigh, NC--Washington DC	Y	Y	N	N/A
Rivier College	March 19	Washington DC--Nashua, NH--Washington DC	Y	Y	N	N/A
ADA	March 22-23	Washington DC--NY--Washington DC	Y	Y	N	N/A
Eleanor Jordan for Congress	March 26-28	Atlanta, GA--KY--Washington DC	Y	Y	N	N/A
IMPACT 2000	April 7-10	Washington DC--Las Vegas, NV--Atlanta	Y	Y	N	N/A
Calvin College	April 17-18	Atlanta, GA--Grand Rapids, MI--Atlanta, GA	Y	N	N	N/A
Judicial Confrence	April 29-30	Atlanta, GA--San Jose, CA--Atlanta, GA	Y	Y	N	N/A
Adelphi Univeristy	May 1	Atlanta, GA--NY--Washington Dc	Y	Y	N	N/A
Northern Distric Ohio and Law Day Committee	May 5	Washington DC--Cleavland, OH--Atlanta, GA	Y	Y	N	N/A

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name John Lewis

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Democratic Party of Arkansas	May 6-7	Atlanta, GA--Little Rock, AK--Atlanta, GA	Y	Y	N	N/A
Facing History Facing Ourselves	May 18-19	Washington DC--NY--Washington DC	Y	Y	N	N/A
John Jay College	May 30-31	Atlanta, GA--NY--Washington DC	Y	Y	N	N/A
Minsistry of Reconcilliation	June 10	Atlanta, Ga--Chicago, IL--Atlanta, GA	Y	Y	N	N/A
Democratic Party of Connectitut	July 14-15	Washington DC--Hartford, CT--Atlanta, GA	Y	Y	N	N/A
Mike Forbes for Congress	July 16-17	Atlanta, GA--NY-Washington DC	Y	Y	N	N/A
Mclennan County NAACP Freedom Fund Dinner	July 29-30	Atlanta, GA--Dallas, TX--Philadelphia, PA	Y	Y	N	N/A
Congressman Joe Hoeffel for Congress	July 30	Philidelphia, PA--Atlanta, GA	Y	Y	N	N/A
Progressive National Baptist Convention in Louisville, KY	August 11-12	Washington DC--Louisville, KY--Los Angeles, CA	Y	Y	N	N/A
Trinity Church	Oct. 6	Washington DC-NY-Washington DC	Y	Y	N	N/A

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name John Lewis

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Community Brainstroming Confrence	Oct. 13-14	St. Paul, MN--Milwaukee, WI--Lexington, KY	Y	Y	N	N/A
Scotty Baesler for Congress	Oct. 14-15	Lexington, KY--Atlanta, GA	Y	Y	N	N/A
Steve Isreal for Congress	Oct. 22	Atlanta, GA--NY--Washington DC	N	N	N	N/A
Albany Civil Rights Museum	Nov. 8-9	Atlanta, GA--Albany, GA--Atlanta, GA	Y	Y	N	N/A
Funders Committee on Citizen Participation	Nov. 9-10	Atlanta, GA--NY--Atlanta, GA	Y	Y	N	N/A
Jessie Owens Foundation	Nov. 17-18	Washington DC--Chicago, IL--Atlanta, GA	Y	Y	N	N/A
Elie Wiesel Foundation for Humanity	Dec. 5-6	Washington DC--Boston, MA--Washington DC	Y	Y	N	N/A

SCHEDULE VIII - POSITIONS

Name John Lewis

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	Commision on Presidential Debates
Board of Directors	Faith and Politics Institute
Board of Directors	Democrats 2000
Board of Directors	Robert F. Kennedy Memorial, Inc.
Board of Directors	Friends of VISTA, Inc.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(7) LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 3:28
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC
(Office Use Only)

Ronald Edward Lewis
(Full Name)

553 Lombardy Drive Cecilia, KY 42701
(Mailing Address)

202-225-3501
Daytime Telephone

Filer Status: ☑ Member of the U.S. House of Representatives — State: KY — District: 02 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Ron Lewis	5-15-01

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SCHEDULE III - ASSETS AND "UNE

Na Ronald Edward Lewis

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Duplex Apartment; Mockingbird Dr.; Radcliff, Kentucky	$50,001 - $100,000	Rent	$5,001 - $15,000	N/A
SP	Lord Abbett Affiliated (Mutual Fund)	$1,001 - $15,000	Capital Gains and Dividends	$201 - $1,000	N/A
SP	Lord Abbett Bond (Mutual Fund)	$1,001 - $15,000	Capital Gains and Dividends	$201 - $1,000	N/A
SP	Templeton Developing Markets (Mutual Fund)	$1,001 - $15,000	Capital Gains and Dividends	$201 - $1,000	N/A
SP	Heritage Cash Trust	$1 - $1,000	Interest	$1 - $200	N/A
SP	Oppenheimer Equity Income (Mutual Fund)	None	None	NONE	S

SCHEDULE III - ASSETS AND "UNE

Na Ronald Edward Lewis

BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		**Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	**Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	**Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	**Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	VKMAC Strategic 10 (Unit Trust)	$15,001 - $50,000	Capital Gains and Dividends	$1,001 - $2,500	N/A
SP	VKM Strategic 10 (Unit Trust)	$1,001 - $15,000	Capital Gains and Dividends	$201 - $1,000	N/A
SP	New Economy Fund (Mutual Fund)	$1,001 - $15,000	Capital Gains and Dividends	$201 - $1,000	N/A
SP	Oppenheimer Main Street Fund (Mutual Fund)	$50,001 - $100,000	Capital Gains and Dividends	$2,501 - $5,000	N/A
SP	KLA Tencore (Common Stock)	$1,001 - $15,000	Capital Gains and Dividends	$1 - $200	P
SP	Nortel (Common Stock)	$1,001 - $15,000	Capital Gains and Dividends	$1 - $200	P

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SCHEDULE III - ASSETS AND "UNE

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residance(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Global Star (Common Stock)	$1 - $1,000	Capital Gains and Dividends	$1 - $200	P
SP	Munder Tech Fund (Mutual Fund)	$1,001 - $15,000	Capital Gains and Dividends	$201 - $1,000	P
SP	Oppenheimer Global Growth (Mutual Fund)	$1,001 - $15,000	Capital Gains and Dividends	$201 - $1,000	P

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SCHEDULE IV - TRANSACTIONS

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	KLA Tencore (Common Stock)	P	1-25-00	$1,001 - $15,000
SP	Nortel (Common Stock)	P	8-25-00	$1,001 - $15,000
SP	Global Star (Common Stock)	P	1-5-00	$50
SP	Munder Tech Fund (Mutual Fuind)	P	1-5-00	$1,001 - $15,000
SP	Oppenheimer Global Growth (Mutual Fund)	P	1-3-00	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Report liabilitiea of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	First Federal Savings Bank	Mortgage on Rental Property; Mockingbird Drive; Radcliff, Kentucky	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AN

Na Ronald Edward Lewis

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Harvard University -- John F. Kennedy School of Government Health Policy Conference	1/21/2000 - 1/23/2000	Washington, D.C. -- Aventura, Florida -- Washington, D.C.	Y	Y	N	N/A

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

John Linder
(Full Name)

Post Office Box 942208
(Mailing Address)

Daytime Telephone: 202-225-4272

Atlanta, GA 31141

Filer Status: [✓] Member of the U.S. House of Representatives — State District: ____ [ ] Officer or Employee — Employing Office: ____

Report Type: [✓] Annual (May 15) [ ] Amendment [ ] Termination — Termination Date: ____

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [✓]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [✓]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [✓]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [✓]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [✓]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [✓]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [✓]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [✓]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [✓]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [✓]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [✓]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John Linder	5/15/01

Name John Linder

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Block C: Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
SP	Mega Corp. Stock	E	DIVIDENDS	IV	P
DC	Simon & Schuster	Indefinite	Royalties	IX	
JT	1st Bank of Paducah, KY accounts	F	INTEREST	VI	
	Note from sale Linder Financial Corp	H	CAPITAL GAINS	I	
	Grayling Industries, Inc.	I	DIVIDENDS	IX	
SP	Cash Fund	C			
JT	Roberts Realty REIT	D	DIVIDENDS	IV	
SP	Cedarwild Resort	G	RENT	VIII	
	State of Georgia Retirement	C	Other Type of Income: PENSION		

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John Linder

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Note Receivable, ARCOM (Commercial Lending)				X																											
	Trademark Licensing, Atlanta GA		X																													
ST	Money Market Fund (SunTrust Co.)						X									X										X						
	Profit Sharing Trust: (see below)																															
	ATT Corp				X																											
	Coca Cola					X																										
	First Union				X																											
	Genzyme Transgenics			X																												
	Home Depot				X																											
	Intel						X																									
	IBM						X																									
	Microsoft					X																										
	Pfizer						X																									
	Philip Morris				X																											
	WebMD				X																											
	Fidelity Blue Chip Growth					X																										
	Sams 20					X																										
	Money Market				X																											
ST	AOL				X																											
ST	IDT Corp				X																											
ST	Vasomedical			X																												
ST	Cash			X																												

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John Linder

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction (P, S, E)
SP	Profit Sharing Trust: (see below)				
SP	Cisco	D			
SP	JDSU	D			
SP	Qualcomm	E			
SP	Cash	A			
SP	Vanguard Growth Index	C			
SP	Vanguard Health	D			
SP	Vanguard US Growth	C			
	Profit Sharing Trust *		DIVIDENDS, CAPITAL GAINS		
SP	Profit Sharing Trust *		DIVIDENDS, CAPITAL GAINS	VII	
JT	Profit Sharing Trust *		DIVIDENDS, INTEREST	III	

* dividends/cap gains are reported for each trust rather than each stock in the trust.

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SCHEDULE IV — TRANSACTIONS

Name John Linder | Page 5 of 8

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	Vanguard Index		X		1-19-00	X									
	Genzyme	X			1-19-00		X								
	WebMD	X			3-21-00			X							
	Vanguard Index		X		3-22-00			X							
	Vanguard Index		X		10-19-00		X								
	Home Depot	X			10-18-00		X								
JT	Vasomedical	X			1-24-00	X									
JT	Healthon/WebMD	X			3-21-00		X								
JT	IDT Corp.	X			3-27-00		X								
JT	Vasomedical		X		3-28-00	X									
JT	Southtrust		X		3-28-00		X								
JT	Data Broadcasting		X		3-28-00	X									
SP	Cisco	X			5-12-00			X							
SP	JDS	X			5-12-00		X								
SP	JDS	X			5-12-00			X							
SP	Qualcomm	X			5-12-00			X							

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

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SCHEDULE IV — TRANSACTIONS

Name John Linder

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
SP	Vanguard		X		5-12-00			X							
SP	Vanguard		X		5-12-00			X							
SP	Prefrus		X		5-12-00		X								
SP	American		X		5-12-00		X								
SP	Vanguard		X		5-12-00				X						
SP	Vanguard	X			5-15-00	X									

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Name John Linder | Page 7 of 8

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angelas—Clavaland	Y	Y	Y	2 Days
	Americans for Fair Taxation	JAN 30-31	D.C. - NEW YORK - D.C.	Y	N	N	N
	Americans for Fair Taxation	MAR 30-31	D.C. - BOSTON - D.C.	Y	Y	N	N
	Citizens for a Sound Economy	SUN 9-10	DC - DES MOINES - DC	Y	Y	N	N

2903

Name John Linder | Page 8 of 8

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	Trademark Licensing, Inc., Atlanta, GA

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 MAY 15 PM 5:05

6

HAND DELIVERED

MC (Office Use Only)

William Oliver Lipinski
(Full Name)

2470 Rayburn House Office Building
(Mailing Address)

Washington, DC 20515

Daytime Telephone (202) 225-5701

Filer Status	[X] Member of the U.S. House of Representatives	State IL District 03	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	William O Lipinski	May 15, 2001

2905

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontano County Boerd of Education	Spouse Salary	NA
Rosemarie Partners	salary	$3,600
23rd Ward Committeeman	salary	$10,000
Illinois Mediation Committee	spouse salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

2906

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Block C: Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
SP, DC, JT	*Examples* SP Mega Corp Stock	X	X		P
	Simon & Schuster	Indefinite	Royalties	X	
	1st Bank of Paducah, KY accounts	X	X	VI	
JT	5838 Partners Bldg	X	X	VI	
JT	Standard Federal S&L	X	X	VI	
JT	Polish Natl Alliance	X	X	I	
JT	Archer Heights Credit Union	X	X	I	
	Chicago Park District Retirement Fund	X	Pension	I	

For additional assets and unearned income, use next page.

2907

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name William O. Lipinski

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	IRA Superior Bank				X											X					X											
SP	IRA Superior Bank				X											X					X											
	Old Kent Bank (formerly Keough Plan Standard Fed. Savings)				X															Retirement Plan	X										

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2908

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decoretions Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spousa or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Amer. Assoc. of Railroads	Jan 21-23	Chicago-Palm Springs, CA-Chicago	Y	Y	Y	N
	Amer. Assoc. of Railroads	April 28-May 1	Chgo-Kiawah, SC - Chicago	Y	Y	Y	N
	Congressional Economic Leadership Institute	July 6-9	Chicago- Seattle- Chicago	Y	Y	Y	N

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2909

Name	William O. Lipinski	Page 6 of 6

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
23rd Ward Committeeman	23rd Ward Democratic Party Organization

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2001 70–729 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 4:46
MC
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

9

FRANK A. LOBIONDO
(Full Name)

7 HERON LANE
(Mailing Address)

MILLVILLE, NEW JERSEY 08332

Daytime Telephone (202) 225-6572

Filer Status	[X] Member of the U.S. House of Representatives — State: ____ District: ____	[ ] Officer or Employee — Employing Office: ____	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date ____

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X] No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	X Frank A. LoBiondo	5-15-01

2911

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honorana; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	CITY OF VINELAND BOARD OF EDUCATION	SPOUSE SALARY	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
N/A			

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Name FRANK A. LoBIONDO | Page 4 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $6,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependant child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Block A	Block B	Block C	Block D	Block E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP	Examples: Mega Corp. Stock	X	X	X	P
	Simon & Schuster	Indefinite		X	
	1st Bank of Paducah, KY accounts	X	X	X	
	12 ACRES WOODED LAND UPPER DEERFIELD TWP., NJ	X	X	X	S
	LoBIONDO BROTHERS MOTOR EXPRESS INC COMMON STOCK	X		X	
	S & L PETROLEUM, INC. COMMON STOCK	X		X	
	NEW YORK LIFE INSURANCE POLICY	X		X	
	FIRST UNION CAPITAL MGMT GROUP MONEY MARKET ACCT	X	X	X	
	HUDSON UNITED BANK COMMON STOCK	X	X	X	

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name FRANK A. LoBIONDO

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	FIRST UNION CAPITAL MGMT: NJ STATE GMA GO BONDS			X												X							X									
	FIRST UNION CAPITAL MGMT: PUERTO RICO INFRA BONDS			X												X							X									
	MINOTOLA NATIONAL BANK ACCOUNT			X												X							X									
	NEWFIELD NATL BANK ACCOUNT					X										X									X							
	HUDSON UNITED BANK ACCOUNT			X												X						X										
	FRANKLIN GROWTH CLASS I IRA ACCOUNT				X									X			X						X									
SP	MUTUAL OF AMERICA IRA ACCT			X										X								X										
SP	SUMMIT BANK IRA ACCOUNT	X														X						X										S
	FIRST UNION CAPITAL MGMT GROUP IRA ACCOUNT				X									X		X							X									
	INTL HLDG CORP STOCK	X															X					X										P,S
	PROCTER & GAMBLE STOCK	X															X					X										P,S
	SBC COMMUNICATIONS STOCK	X															X					X										P,S
	LoBIONDO BROTHERS MOTOR							X						X		X											X					
	EXPRESS 401(k) PROFIT SHARING PLAN-AMERICAN FUND GROUP (Invested in Washington Mutual Investors Fund; Self-directed)																															

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Name FRANK A. LoBIONDO

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–00		X								
	12 ACRES WOODED LAND UPPER DEERFIELD TWP., NJ		X		02-04-2000			X							
SP	SUMMIT BANK IRA ACCOUNT LIQUIDATED		X		11-01-2000	X									
	INTL HOLDING CORP COMMON STOCK	X	X		09-15-2000 10-20-2000	X									
	PROCTER & GAMBLE COMMON STOCK	X	X		08-23-2000 11-01-2000	X									
	SBC COMMUNICATIONS COMMON STOCK	X	X		06-29-2000 11-17-2000	X									

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Name FRANK A. LoBIONDO | Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	N/A											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystata	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
N/A		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
N/A							

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
TRUSTEE	VINELAND YOUNG MEN'S CHRISTIAN ASSOCIATION

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
10-14-96	LoBIONDO BROTHERS MOTOR EXPRESS, INC.	CONTINUING INTEREST IN COMPANY 401(k) PROFIT SHARING PLAN (SELF-DIRECTED)

Use additional sheets if more space is required

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A For use by Members, officers, and employees

01 MAY 15 PM 2:30

27

HAND DELIVERED

MC (Office Use Only)

Zoe Lofgren
(Full Name)

695 South 16th St. San Jose, CA 95112
(Mailing Address)

(408) 275-1648
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives	State: CA District: 16	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☑ No ☐	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Zoe Lofgren	May 11, 2001

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SCHEDULE I - EARNED INCOME

Name Zoe Lofgren

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
John Marshall Collins, PC	Spouse Salary	N/A
Collins + Day	Spouse Partnership	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Bank of America - savings	$1 - $1,000	INTEREST	$1 - $200	
	Wells Fargo/ICMA Deferred Compensation Account - CDs	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
	Charles Schwab - IRA Money Market Fund	$1,001 - $15,000	INTEREST	$201 - $1,000	
	John Marshall Collins PC - stock	$250,001 - $500,000	DIVIDENDS	NONE	
	Penn Mutual Life Insurance	$1,001 - $15,000	INTEREST	$201 - $1,000	
SP	John Marshall Collins PC - profit sharing plan: Charles Schwab MMFund	$50,001 - $100,000	INTEREST	$15,001 - $50,000	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	John Marshall Collins PC - profit sharing plan/Charles Schwab: TCBY Enterprises	None	DIVIDENDS	$1 - $200	S
SP	John Marshall Collins PC - profit sharing plan/Charles Schwab: Wendy's	None	DIVIDENDS	$1 - $200	S
SP	John Marshall Collins PC - profit sharing plan/Charles Schwab: Prime Medical Services	None	DIVIDENDS	NONE	S
SP	John Marshall Collins PC - profit sharing plan/Charles Schwab: Mondavi	None	DIVIDENDS	NONE	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	John Marshall Collins PC - profit sharing plan/Charles Schwab: Toys R Us	None	DIVIDENDS	NONE	S
SP	John Marshall Collins PC - profit sharing plan/Charles Schwab: Lubrizol	None	DIVIDENDS	$1 - $200	S
SP	John Marshall Collins PC - profit sharing plan/Charles Schwab: Barnes Group	None	DIVIDENDS	$1 - $200	S
SP	John Marshall Collins PC - profit sharing/Charles Schwab: National Golf Properties	None	DIVIDENDS	$1 - $200	S
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Telefonica Peru	None	DIVIDENDS	$1 - $200	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name: Zoe Lofgren

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependant child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Berger Small Cap.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	John Marshall Collins PC - profit sharing/Charles Schwab: SBS Technologies	$1,001 - $15,000	DIVIDENDS	NONE	
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Lancer	None	DIVIDENDS	NONE	S
SP	John Marshall Collins PC - profit sharing/Charles Schwab: SSET Telecom	None	DIVIDENDS	NONE	S
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Boeing	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

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SP	John Marshall Collins PC - profit sharing/Charles Schwab: Greater Bay Bancorp	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Black Hills Corp.	None	DIVIDENDS	$1 - $200	S
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Medimmune	None	DIVIDENDS	NONE	S
SP	John Marshall Collins PC - profit sharing/Charles Schwab: SBSE Technologies	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	John Marshall Collins PC - profit sharing/Charles Schwab: AOL Time Warner	$15,001 - $50,000	DIVIDENDS	NONE	P

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name: Zoe Lofgren

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependant child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Applied Materials	$15,001 - $50,000	DIVIDENDS	NONE	P
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Biogen	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Biotech General	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	John Marshall Collins PC - profit sharing/Charles Schwab: BMC Software	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Chiron	$1,001 - $15,000	DIVIDENDS	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Dell	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	John Marshall Collins PC - profit sharing/Charles Schwab: EMC Corp.	None	DIVIDENDS	NONE	PS
SP	John Marshall Collins PC - profit sharing/Charles Schwab: IDT Corp.	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Motorola	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Micron	$1,001 - $15,000	DIVIDENDS	NONE	P

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

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SP	John Marshall Collins PC - profit sharing/Charles Schwab: Oracle	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Peoplesoft	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	John Marshall Collins PC - profit sharing/Charles Schwab: St. Jude Medical	$15,001 - $50,000	DIVIDENDS	NONE	P
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Sun Microsystems	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Sungard Data Systems	$1,001 - $15,000	DIVIDENDS	NONE	P

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

	BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Synopsys	None	DIVIDENDS	NONE	PS
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Texas Instruments	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Worldcom	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	John Marshall Collins PC - profit sharing/Charles Schwab: GeneLogic	None	DIVIDENDS	NONE	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Excluds: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependant child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Eshare Technology, formerly Melita Int'	None	DIVIDENDS	NONE	S
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Nastech Pharmaceuticals	$1,001 - $15,000	DIVIDENDS	NONE	
SP	John Marshall Collins PC - profit sharing/Charles Schwab: CISCO	$100,001 - $250,000	DIVIDENDS	NONE	P
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Intel Corp.	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
SP	Comerica - checking	$1,001 - $15,000	Other: no-interest account		

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Bank of America - checking	$1,001 - $15,000	INTEREST	$1 - $200	
	California Public Employee Retirement System	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
DC	Smith Barney MMFunds	$1 - $1,000	INTEREST	$1 - $200	
	Charles Schwab MMFunds	$15,001 - $50,000	INTEREST	$2,501 - $5,000	
	609 Riverview, Capitola CA	$500,001 - $1,000,000	RENT	$15,001 - $50,000	
DC	Alliance Growth Fund Class B	$15,001 - $50,000	DIVIDENDS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
DC	Morgan Stanley Global Equity Allocation Fund Class A	$15,001 - $50,000	DIVIDENDS	NONE	
DC	Morgan Stanley Global Equity Allocation Fund Class B	$1,001 - $15,000	DIVIDENDS	NONE	
DC	Morgan Stanley American Value Fund C	$1,001 - $15,000	DIVIDENDS	NONE	
SP	Charles Schwab IRA - MMF	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
SP	Charles Schwab IRA: Acuson Corp. Stock	None	DIVIDENDS	NONE	S
SP	Charles Schwab IRA: Mondavi Winery stock	None	DIVIDENDS	NONE	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Excludes: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Charles Schwab IRA: Hearx Ltd. Stock	None	DIVIDENDS	NONE	S
SP	Charles Schwab IRA: Piedmont Natural Gas stock	None	DIVIDENDS	NONE	S
SP	Charles Schwab IRA: Applied Materials stock	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	Charles Schwab IRA: MMF	None	INTEREST	$1,001 - $2,500	
	Comerica MMF	None	INTEREST	$201 - $1,000	E
	Greater Bay Bancorp. Stock	$250,001 - $500,000	DIVIDENDS	$2,501 - $5,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

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SP	95-99 N. First Street, San Jose	None	RENT	$15,001 - $50,000	S
	Charles Schwab MMF Capital One	$250,001 - $500,000	INTEREST	$5,001 - $15,000	E

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SCHEDULE IV - TRANSACTIONS

Name Zoe Lofgren

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Medimmune	P	1-21-00	$1,001 - $15,000
SP	GeneLogic	P	1/21/00	$1,001 - $15,000
SP	Eshare Technology, formerly Melita Int'l	P	1/21/00	$1,001 - $15,000
SP	95-99 S. 14th San Jose, CA	S	6/1/00	$500,001 - $1,000,000
SP	EMC Corp.	S	7/18/00	$1,001 - $15,000
SP	Synopsys	S	8/14/00	$1,001 - $15,000
SP	TCBY	S	3/24/00	$1,001 - $15,000
SP	Wendy's	S	3/24/00	$1,001 - $15,000
SP	Piedmont Natural Gas	S	5/5/00	$1,001 - $15,000
SP	Prime Medical Svcs.	S	3/24/00	$1,001 - $15,000
SP	Toys R Us	S	3/24/00	$1,001 - $15,000
SP	Lubrizol	S	3/24/00	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Mondavi	S	3/24/00	$1,001 - $15,000
SP	Mondavi	S	3/24/00	$1,001 - $15,000
SP	Natl Golf Properties	S	3/24/00	$1,001 - $15,000
SP	Barnes Group	S	3/24/00	$1,001 - $15,000
SP	Hearx	S	5/5/00	$1,001 - $15,000
SP	Lancer	S	3/24/00	$1,001 - $15,000
SP	SSET Telecom	S	3/31/00	$1,001 - $15,000
SP	Hearx	S	5/5/00	$1,001 - $15,000
SP	Telefonica Peru	S	3/24/00	$1,001 - $15,000
SP	Black Hills Corp.	S	3/24/00	$1,001 - $15,000
SP	Medimmune	S	5/8/00	$1,001 - $15,000
SP	Acuson	S	6/15/00	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Zoe Lofgren

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Acuson	S	6/15/00	$1,001 - $15,000
SP	GeneLogic	S	6/6/00	$1,001 - $15,000
SP	AOL Time Warner	P	10/23/00	$1,001 - $15,000
SP	Applied Materials	P	3/31/00	$1,001 - $15,000
SP	Applied Materials	P	4/24/00	$1,001 - $15,000
SP	Applied Materials	P	5/25/00	$1,001 - $15,000
SP	Applied Materials	P	6/12/00	$1,001 - $15,000
SP	Applied Materials	P	6/15/00	$1,001 - $15,000
SP	Applied Materials	P	8/7/00	$1,001 - $15,000
SP	Applied Materials	P	8/7/00	$1,001 - $15,000
SP	Applied Materials	P	8/14/00	$1,001 - $15,000
SP	Applied Materials	P	9/18/00	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Zoe Lofgren

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Applied Materials	P	10/2/00	$1,001 - $15,000
SP	Applied Materials	P	10/9/00	$1,001 - $15,000
SP	Applied Materials	P	10/23/00	$1,001 - $15,000
SP	Applied Materials	P	11/13/00	$1,001 - $15,000
SP	Applied Materials	P	12/26/00	$1,001 - $15,000
SP	Biogen	P	6/12/00	$1,001 - $15,000
SP	Biotech	P	6/12/00	$1,001 - $15,000
SP	BMC	P	3/31/00	$1,001 - $15,000
SP	BMC	P	6/12/00	$1,001 - $15,000
SP	Chiron	P	6/12/00	$1,001 - $15,000
SP	Cisco	P	3/31/00	$1,001 - $15,000
SP	Cisco	P	4/24/00	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Cisco	P	5/25/00	$1,001 - $15,000
SP	Cisco	P	6/12/00	$1,001 - $15,000
SP	Cisco	P	8/7/00	$1,001 - $15,000
SP	Cisco	P	8/14/00	$1,001 - $15,000
SP	Cisco	P	9/18/00	$1,001 - $15,000
SP	Cisco	P	10/2/00	$1,001 - $15,000
SP	Cisco	P	10/9/00	$1,001 - $15,000
SP	Cisco	P	11/13/00	$1,001 - $15,000
SP	Cisco	P	12/26/00	$1,001 - $15,000
SP	Dell	P	6/12/00	$1,001 - $15,000
SP	Dell	P	8/7/00	$1,001 - $15,000
SP	Dell	P	8/14/00	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Zoe Lofgren

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Dell	P	10/2/00	$1,001 - $15,000
SP	Dell	P	10/9/00	$1,001 - $15,000
SP	Dell	P	10/23/00	$1,001 - $15,000
SP	Dell	P	11/13/00	$1,001 - $15,000
SP	EMC Corp	P	4/24/00	$1,001 - $15,000
SP	IDT Corp	P	5/2/00	$1,001 - $15,000
SP	Intel	P	4/24/00	$1,001 - $15,000
SP	Intel	P	4/11/00	$1,001 - $15,000
SP	Intel	P	9/11/00	$1,001 - $15,000
SP	Intel	P	9/18/00	$1,001 - $15,000
SP	Intel	P	10/9/00	$1,001 - $15,000
SP	Intel	P	10/23/00	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Intel	P	11/13/00	$1,001 - $15,000
SP	Motorola	P	6/12/00	$1,001 - $15,000
SP	Motorola	P	10/9/00	$1,001 - $15,000
SP	Motorola	P	10/23/00	$1,001 - $15,000
SP	Motorola	P	12/26/00	$1,001 - $15,000
SP	Micron	P	5/2/00	$1,001 - $15,000
SP	Oracle	P	3/31/00	$1,001 - $15,000
SP	Oracle	P	9/18/00	$1,001 - $15,000
SP	Oracle	P	10/2/00	$1,001 - $15,000
SP	Oracle	P	10/9/00	$1,001 - $15,000
SP	Oracle	P	11/13/00	$1,001 - $15,000
SP	Peoplesoft	P	4/4/00	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Zoe Lofgren

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	St. Jude	P	3/31/00	$1,001 - $15,000
SP	St. Jude	P	4/24/00	$1,001 - $15,000
SP	Sun	P	12/26/00	$1,001 - $15,000
SP	Sungard	P	4/24/00	$1,001 - $15,000
SP	Synopsys	P	3/31/00	$1,001 - $15,000
SP	Texas Instruments	P	5/25/00	$1,001 - $15,000
SP	Texas Instruments	P	10/23/00	$1,001 - $15,000
SP	Wcom	P	4/24/00	$1,001 - $15,000
SP	Wcom	P	9/11/00	$1,001 - $15,000
SP	Wcom	P	9/18/00	$1,001 - $15,000
SP	Wcom	P	10/2/00	$1,001 - $15,000
SP	Wcom	P	10/9/00	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Zoe Lofgren

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
SP	Fidelity Federal Savings and Loan	mortgage on 95-99 S. 14th St., San Jose, CA	$250,001 - $500,000
SP	San Jose Redevelopment Agency	mortgage on 95-99 S. 14th St., San Jose, CA	$100,001 - $250,000
	Washington Mutual	mortgage on 609 Riverview, Capitola, CA	$250,001 - $500,000

SCHEDULE VIII - POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Mother	Lofgren-Collins Household
Member	Santa Clara University Law School Board of Visitors
Co-Chair	Housing Leadership Advisory Council

SCHEDULE IX - AGREEMENTS

Name Zoe Lofgren

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	California Public Employee Retirement System (through County of Santa Clara, CA)	continuing membership (vested) - no continuing contributions by employer or employee
	Wells Fargo Bank & ICMA (through County of Santa Clara, CA)	savings plan - no continuing contributions by employer or employee

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 MAY 24 PM 2:53

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NITA M LOWEY
(Full Name)

2329 RAYBURN HOUSE OFFICE BUILDING WASHINGTON, DC 20515
(Mailing Address)

202-225-6506
Daytime Telephone

HAND DELIVERED
(Office Use Only)

Filer Status	✓ Member of the U S. House of Representatives	State. NY District 18	☐ Officer Or Employee — Employing Office.
Report Type	✓ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ✓ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ✓
If yes, complete and attach Schedule II.

III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ✓ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ✓ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ✓ No ☐
If yes, complete and attach Schedule V

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? Yes ☐ No ✓
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? Yes ✓ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ✓
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ✓
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ✓

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U S C app 4 § 104 and U S C § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Nita M. Lowey	5/23/01

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SCHEDULE I - EARNED INCOME

Na NITA M. LOWEY

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Lowey Dannenberg Bemporad & Selinger, P.C.	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "U

Na NITA M LOWEY

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year Exclude. Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	IRA - The Kaufmann Fund	$500,001 - $1,000,000	NA	NONE	
	IRA - Salomon Smith Barney/CC	$50,001 - $100,000	NA	NONE	
	US Gov't Thrift Savings Plan	$15,001 - $50,000	NA	NONE	
SP	IRA - Tweedy Browne	$250,001 - $500,000	NA	NONE	
	NYS Retirement Plan	NA	Retirement plan payments	$5,001 - $15,000	After age 62 eligible to receive payments
	NYS Def. Compensation Plan	$50,001 - $100,000	NA	NONE	

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JT	MD Sass Reenterprise Fund	$1,000,001 - $5,000,000	Capital Gains	NA	E
JT	MD Sass Muni Treasury Fund	$500,001 - $1,000,000	Interest/Capital Gains	$15,001 - $50,000	E
SP	Ingalls & Snyder Value Fund	$1,000,001 - $5,000,000	Interest/Capital Gains	NA	
JT	EGS Partners	$1,000,001 - $5,000,000	Dividends/Capital Gains	NA	
SP	P. Oppenheimer Investment Pp.	$500,001 - $1,000,000	Dividends/Capital Gains	NA	
SP	Oppenheimer & Spence Investment Pp.	$250,001 - $500,000	Dividends/Capital Gains	NA	

SCHEDULE III - ASSETS AND "U

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	Bear Stearns (see attached)	$1,000,001 - $5,000,000	Interest	$50,001 - $100,000	
JT	Lebenthal Portfolio of NY Tax-Free Bonds (attached)	$1,000,001 - $5,000,000	Interest	$50,001 - $100,000	
JT	Lebenthal NY Muni Bond Fund	$250,001 - $500,000	Interest	NA	
SP	Santa Monica Ptnrs	$250,001 - $500,000	Capital Gains	NA	
	Lowey Family Investment LLC (see Line 17 footnote)	NA	Capital Gains	NA	
SP	Lowey Family Investment LLC (see Line 18 footnote)	$1,000,001 - $5,000,000	Capital Gains	NA	

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BEAR STEARNS

OFFICE SERVICING YOUR ACCOUNT
Bear, Stearns & Co. Inc.
245 Park Avenue
New York, New York 10167
(212) 272-2000

ACCOUNT EXECUTIVE GREENBERG,GREENBERG
VISIT OUR WEBSITE www.bearstearns.com

CLEARED THROUGH ITS WHOLLY OWNED SUBSIDIARY

Bear, Stearns Securities Corp
One Metrotech Center North
Brooklyn, New York 11201-3859
(212) 272-1000

NITA LOWEY

STATEMENT PERIOD December 1, 2000
THROUGH December 29, 2000

ACCOUNT NUMBER 042-44287 MRS
TAXPAYER NUMBER 017-30-2729
LAST STATEMENT November 30, 2000

What's In This Statement

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Your Messages 11

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NITA LOWEY
105 BEVERLY ROAD
RYE NY 10580-1902

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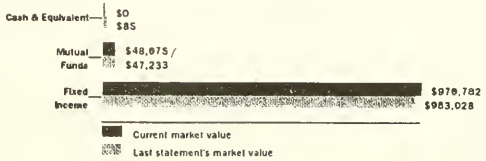
Your Portfolio at a Glance

TOTAL VALUE OF SECURITIES THIS PERIOD	1,025,437
LONG ACCRUED INTEREST	16,199
NET EQUITY THIS PERIOD	$1,041,636
NET EQUITY LAST STATEMENT	1,024,768
CHANGE SINCE LAST STATEMENT	16,868

There are no "Stop Loss" orders or other pending buy or sell open orders on file for your account.

Market Value of Your Portfolio



Important Message

If any information regarding 2000 interest, dividends, miscellaneous income, gross proceeds or original issue discount is required to be reported to the IRS for this account, a Consolidated Form 1099 will be mailed to you by January 31, 2001 or 1042S by March 15, 2001.

Please report any difference or non-receipt of checks or stocks, indicated as delivered to you, to Client Services at 800-834-1428, or write to Client Services at Bear, Stearns Securities Corp., One Metrotech Center North, Brooklyn, N.Y. 11201-3859.

SIPC This summary is for informational purposes only. It is not intended as a tax document
This statement should be retained for your records. See reverse side for important information

007 03NR 68 MAIL 6/02 [illegible]

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Bear, Stearns Securities Corp
One Metrotech Center North
Brooklyn, New York 11201-3859
(212) 272 1000

NITA LOWEY

GUIDE TO YOUR STATEMENT

Your statement may contain the following sections

Your Portfolio at a Glance: Reflects the net equity of your account at the close of the statement period, the net equity of your last statement and any change since the last statement

Market Value of Your Portfolio: A graph reflecting the change in the market value of your account portfolio from the market value reflected on your last statement (Cash/Cash Equivalent Equities Mutual Funds, Fixed Income Other)

Cash Flow Analysis and Cash Balance Summary: Both show your opening and closing balances Cash Flow Analysis reflects the categories of cash activity Cash Balance Summary reflects the cash balances by account type *Opening Balance* is the credit or debit carried over from the previous period's closing balance *Closing balance* is the combination of the total debits and credits for the statement period together with the opening cash balance A debit balance (money you owe us) is indicated by a minus sign in these sections

Income Summary: Reflects the total dividend interest and other income amounts for the statement period and year to date Any tax withheld margin interest and miscellaneous charges are included here if applicable

Distribution Summary: Reflects returns on capital liquidations and other income for the statement period and current year

Retirement Plan: Reflects the contributions received and distributions paid during this statement period as well as for the previous year

Your Portfolio Allocation A pie chart defining your asset allocations (Cash/Cash Equivalent Equities Mutual Funds, Fixed Income Other)

Portfolio Composition: Reflects a summary of cash/cash equivalent equities mutual funds and fixed income for the statement period

Bonds with 60 – Day Horizon: Reflects bonds that will mature or are subject to redemption within the next 60 days

Your Portfolio Holdings: Reflects cash and all securities in your account Estimated annual income is based on the dividend or income expected to be received annually Accrued interest represents interest earned but not yet paid or collected on fixed income securities since the last coupon date There is no guarantee that this interest will be paid by the issuer Current yield is calculated by dividing the estimated annual income by the market value of the securities and represents an estimated current yield only

Market Prices/Bond Ratings
Prices for determining market values represent estimates These estimates are obtained from multiple sources including Bear Stearns its affiliates and outside services Pricing estimates may be based on bids prices within the bid/offer spread closing prices or matrix methodology that uses data relating to other securities whose prices are more ascertainable to produce a hypothetical price based on the estimated yield spread relationship between securities Pricing estimates do not constitute a bid for any security Actual prices realized at sale may be more or less than shown on your statement Bond ratings are received from outside sources While we believe our sources for market values and bond ratings to be reliable we cannot guarantee their accuracy

Transaction Detail: Reflects all transactions settling or processed for your account this statement period

Trades Executed But Not Yet Settled: This section will reflect any trades not yet settled by the statement closing date The settlement date is indicated in the first column

IMPORTANT NOTES

Dividend Income Dividends credited to your account may include capital gains non-taxable dividends and/or dividends on foreign stock You may wish to consult your tax advisor with regard to your tax liability on these dividend credits

Methods of Computing Interest on Debit Balances:
Interest is charged on a day by day basis for any day that there is a net debit balance in your overall account The calculation is made on a 360-day basis at the rate or rates shown on the statement Interest rates may be changed from time to time with fluctuating money market rates or for other reasons
Customer free credit balances may be used in this firm's business subject to the limitation of 17CFR Section 240 15c3-3 under the Securities Exchange Act of 1934 You have the right to receive from us in the course of normal business operation upon demand the delivery of
a) any free credit balances to which you are entitled
b) any fully-paid securities to which you are entitled
c) any securities purchased on margin upon full payment of any indebtedness to us
If this is a margin account and we maintain a special memorandum account for you, this is a combined statement of your general account and special memorandum account maintained for you under Section 220 6 of Regulation T issued by the Board of Governors of the Federal Reserve System The permanent record of this separate account, as required by Regulation T is available for your inspection

For Option Accounts: Further information with respect to commissions and other charges related to the execution of listed options transactions has been included on confirmations of such transactions previously furnished to you and such information will be made available to you promptly upon written request

Bearer Bonds If any securities held by us for your account are bearer obligations which have been issued since December 31 1982 with original maturities of more than one year, we agree that we will satisfy the conditions set forth in subdivisions (i) (a) and (ii) of Treasury Regulation Section 1 165-12 (c) (3) and covenant that we will comply with the requirements of Treasury Regulation Section 1 165-12(c) (1) (iii) concerning the delivery of such bearer obligations

Financial Statement: A financial statement of our firm is available for your personal inspection at our office or a copy will be mailed to you upon written request

PLEASE PROMPTLY NOTIFY YOUR ACCOUNT EXECUTIVE IN WRITING OF ANY MATERIAL CHANGES IN YOUR FINANCIAL CIRCUMSTANCES OR INVESTMENT OBJECTIVES

Custody: Whether we are your broker or act as a clearing agent for your broker we carry your account and act as your custodian for funds and securities once received by us which have been deposited directly with us through your broker or otherwise or as a result of transactions we process for your account Inquiries concerning the positions and balances in your account may be directed to our Client Services Department at 212-272-0578 If your account is introduced by another broker all other inquiries regarding your account and the activity therein should be directed to such broker

Reportable to the Internal Revenue Service: As required by law at year end we will report to you and to the Internal Revenue Service and to certain states certain information on sales (including short sales) dividends, and various types of interest that have been credited to your account

Statement Frequency: Statements will be mailed to customers who have transactions during the statement period affecting money balances and/or security positions All other accounts will be sent statements at least four times during a calendar year provided that the account contains a money or security balance

Please promptly notify the office servicing your account in writing of any change of address The office servicing your account can be found on page 1

Kindly include your account number(s) on all correspondence

Your securities account will be protected for the account's entire net equity (both cash and securities) The first $500,000 of protection, which includes up to $100,000 of protection for cash, is provided by SIPC (Securities Investor Protection Corporation) and the balance is provided by a separate excess SIPC bond issued by Travelers Casualty & Surety Company covering accounts maintained at Bear, Stearns Securities Corp

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BEAR STEARNS

OFFICE SERVICING YOUR ACCOUNT
Bear, Stearns & Co. Inc.
245 Park Avenue
New York, New York 10167
(212) 272-2000

CLEARED THROUGH ITS
WHOLLY OWNED SUBSIDIARY

Bear, Stearns Securities Corp
One Metrotech Center North
Brooklyn, New York 11201-3859
(212) 272-1000

NITA LOWEY

STATEMENT PERIOD December 1, 2000
THROUGH December 29, 2000

ACCOUNT NUMBER 042-44287 MR3
TAXPAYER NUMBER 017-30-2729
LAST STATEMENT November 30, 2000

Cash Flow Analysis

	THIS PERIOD
Opening Balance	**$85.44**
Dividends/Interest	2,401.25
Amount Credited	**$2,401.25**
Funds Withdrawn	-2,486.69
Amount Debited	**$-2,486.69**
Net Cash Activity	-85.44
Closing Balance	**$0.00**

Cash Balance Summary

	OPENING	CLOSING
Cash	85.44	0.00
Net Cash Balance	**$85.44**	**$0.00**

Income Summary

	THIS PERIOD	YEAR TO DATE
Other Dividends	206.25	2,877.18
Muni Bond Int.	2,195.00	52,173.58
Credit Balance Int.	0.00	476.75
Total	**$2,401.25**	**$55,327.49**
Bond Purchase Int.	0.00	-4,515.08

Portfolio Composition

Mutual Funds	48,675
Fixed Income	976,762
Total	**$1,025,437**

Your Portfolio Allocation

Unshaded portions denote debit balance and/or short market values. The allocation percentage is derived from the absolute market value of your portfolio

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(212) 272-1000

NITA LOWEY

STATEMENT PERIOD December 1, 2000
THROUGH December 29, 2000

ACCOUNT NUMBER 042-44287 MRS
TAXPAYER NUMBER 017-30-2729
LAST STATEMENT November 30, 2000

Transaction Detail

DEPOSITS AND WITHDRAWALS

DATE	TRANSACTION	DESCRIPTION	DEBIT AMOUNT	CREDIT AMOUNT
12/05/00	FND WIRED	MDA# MB002319 FNDS WIRED TO INVESTORS FIDUCIARY TRUST AC820121004044 FO#00838	646.69	
12/18/00	FND WIRED	MDA# IT037142 FNDS WIRED TO INVESTORS FIDUCIARY TRUST AC820121004044 F#100883 FTSD012181554700	1,840.00	
TOTAL			$-2,486.69	

DIVIDENDS

DATE	DESCRIPTION	SYMBOL/CUSIP	QUANTITY	RATE ($)	DEBIT AMOUNT	CREDIT AMOUNT
12/01/00	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC REC 11/15/00 PAY 12/01/00	BLN	3,300	0.0625		206.25
TOTAL						$206.25

INTEREST

DATE	DESCRIPTION	SYMBOL/CUSIP	QUANTITY	RATE (%)	DEBIT AMOUNT	CREDIT AMOUNT
12/01/00	WESTCHESTER CO N Y DUE 12/01/2001 7.100 REG INT ON 10000 BND REC 11/15/00 PAY 12/01/00	957365SV2	10,000	7.1000		355.00
12/15/00	HARRISON N Y G/O BSNK QUALIFIED DUE 06/15/2004 5.400 REG INT ON 50000 BND REC 11/30/00 PAY 12/15/00	415360RA6	50,000	5.4000		1,350.00

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STATEMENT PERIOD December 1, 2000
THROUGH December 29, 2000

ACCOUNT NUMBER 042-44287 MR3
TAXPAYER NUMBER 017-30-2729
LAST STATEMENT November 30, 2000

Transaction Detail (continued)

INTEREST (continued)

DATE	DESCRIPTION	SYMBOL/CUSIP	QUANTITY	RATE (%)	DEBIT AMOUNT	CREDIT AMOUNT
12/15/00	NEW YORK CITY MUN WTR FIN AUTH WTR & SWR SYS REV SER A DUE 06/15/2002 4.800 REG INT ON 20000 BND REC 12/01/00 PAY 12/15/00	649706X78	20,000	4.9000		490.00
TOTAL						$2,196.00

Your messages

As part of the National Association of Securities Dealer's (NASD) Investor Education Program, you may obtain information on the NASD's Public Disclosure Program by contacting the NASD at telephone number (800) 289-9999 or via the internet address which is www.nasdr.com. In addition, a brochure explaining the Public Disclosure Program is available from the NASD upon request.

STOP ****** End of Statement ******

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LEBENTHAL
120 Broadway
New York, NY 10271-0005
(212) 425-6116

YOUR BROKERAGE ACCOUNT STATEMENT

Statement Period: November 25, 2000
through: December 29, 2000

Account Number: 4ED-795825

Phone Number: (914) 967-7742

Statement for the account of:

STEPHEN LOWEY AND
NITA M. LOWEY JTWROS
105 BEVERLY ROAD
RYE NY 10580-1902

Your Account Executive:

James A. Lebenthal
Lebenthal & Co., Inc
120 Broadway 12th FL.
New York NY 10271-0005
(212) 748-5555
ID : 243

ASSET VALUATION

	This Period Value	This Period % of Assets	Last Period Value	Last Period % of Assets
Money Market Funds	10,877.23	.59	9,602.23	.54
Municipal Bonds	1,497,956.50	81.24	1,473,119.15	82.30
Mutual Funds	318,160.03	17.25	307,189.75	17.16
Total Brokerage Account Assets	**$1,826,993.76**	**99.08**	**$1,789,911.13**	**100.00**
Income/Securities Not Yet Received	16,953.55	.92	.00	.00
Total Assets	**$1,843,947.31**	**100.00**	**$1,789,911.13**	**100.00**

ACTIVITY SUMMARY

	Debits	Credits
Opening Balance		$.00
Sec. Withdrawals/Deposits	1,201.82-	.00
Dividends and Interest	.00	2,476.82
Money Market Funds	1,275.00-	.00
Closing Balance		$.00

MESSAGES

DURING 2000, THE FIRST PHASES OF THE CONVERSION TO SECURITIES FROM FRACTIONS TO DECIMALS WERE SUCCESSFULLY COMPLETED. THERE ARE CURRENTLY MORE THAN 200 LISTED STOCKS AND OPTIONS QUOTED AND TRADED IN DECIMALS.

THE NEXT PHASE OF THE CONVERSION TO DECIMALIZATION IS SCHEDULED TO BEGIN ON JANUARY 29, 2001, AND WILL INCLUDE ALL REMAINING LISTED EQUITIES AND RELATED OPTIONS.

IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT YOUR INVESTMENT PROFESSIONAL OR FINANCIAL ORGANIZATION.

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LEBENTHAL
120 Broadway
New York, NY 10271-0005
(212) 425-6116

YOUR BROKERAGE
ACCOUNT STATEMENT

TRANSACTIONS BY TYPE OF ACTIVITY

Withdrawals and Deposit of Securities

Process Date	Transaction Date	Activity Type	Description	Quantity	Debit Amount	Credit Amount
12/12/00		REINVEST CASH INCOME	LEBENTHAL NEW YORK MUNICIPAL BOND FUND SHRS PURCH. AT $7.98000 FOR ACCRUAL PERIOD ENDING 12/08/00	150.60400	1,201.82-	
Total Withdrawals and Deposit of Securities					**1,201.82-**	**.00**

Dividends and Interest

Process Date	Transaction Date	Activity Type	Description	Debit Amount	Credit Amount
12/12/00		NON-TAXABLE DISTRIBUTION RECEIVED	LEBENTHAL NEW YORK MUNICIPAL BOND FUND FOR ACCRUAL PERIOD ENDING 12/08/00		1,201.82
12/15/00		BOND INTEREST RECEIVED	50000 NEW YORK ST ENVIRONMENTAL FACS CORP POLLUTN CTL REV ST WTR SER B LT 5.100% 12/15/08 B/E DTD 03/01/97 CLB RD 11/30 PD 12/15/00		1,275.00
Total Dividends and Interest				**.00**	**2,476.82**

Money Market Funds Activity

Process Date	Transaction Date	Activity Type	Description	Debit Amount	Credit Amount
12/18/00		MONEY FUND PURCHASE	ALLIANCE MUNI TR NY	1,275.00-	
Total Money Market Funds Activity				**1,275.00-**	**.00**

Total Value of all Transactions	**2,476.82-**	**2,476.82**

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Clearing Through **Pershing** Division of Donaldson, Lufkin & Jenrette Securities Corporation One Pershing Plaza, Jersey City, N.J. 07399 B00009746

LEBENTHAL
120 Broadway
New York, NY 10271-0005
(212) 425-6116

YOUR BROKERAGE
ACCOUNT STATEMENT

MONEY MARKET FUND SUMMARY

Fund Name	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	Current Yield	30-Day Yield
ALLIANCE MUNI TR NY PORT	0004940991	12/29/00	9,602.23	10,877.23	40.73	612.41	3.490	3.140
Total Money Market Fund Holdings			**$9,602.23**	**$10,877.23**	**$40.73**	**$612.41**		

MONEY MARKET FUND DETAIL

Alliance Muni Tr NY Port Account Number : 0004940991 Activity Ending : 12/29/00

Date	Activity Type	Description	Debit Amount	Credit Amount	Share Balance
11/25/00	OPENING BALANCE				9,602.23
12/18/00	DEPOSIT	SHARES PURCHASED BY WIRE		1,275.00	10,877.23
12/29/00	CLOSING BALANCE				10,877.23
Total Closing Balance - All Money Market Funds					**$10,877.23**

DIVIDEND, INTEREST AND DISTRIBUTION SUMMARY

	This Period		This Year	
	Taxable	Non Taxable	Taxable	Non Taxable
Municipal Bond Interest	.00	1,275.00	.00	75,018.76
Mutual Fund Dividends - Current Year	.00	1,201.82	.00	15,456.48
Money Fund Income	.00	.00	.00	612.41
Total Dividends and Interest	**.00**	**2,476.82**	**.00**	**91,087.65**

2959

Clearing Through Pershing Division of Donaldson, Lufkin & Jenrette Securities Corporation One Pershing Plaza Jersey City N J 07399 B00009746

LEBENTHAL
120 Broadway
New York, NY 10271-0005
(212) 425-6116

YOUR BROKERAGE
ACCOUNT STATEMENT

INCOME/SECURITIES NOT YET RECEIVED

Cash Dividends, Interest and Distributions

Security	Type	Record Date	Payable Date	Quantity Held	Rate	Amount of Payment
MUNICIPAL ASSISTANCE CORP FOR CITY NEW YORK N Y SER J	INTEREST	12/15/00	01/01/01	25,000	.025625	640.63
NEW YORK ST DORM AUTH REVS MOUNT SINAI HEALTH SER A	INTEREST	12/15/00	01/01/01	40,000	.042667	1,706.67
NEW YORK ST DORM AUTH REVS CITY UNIV-3RD GEN RES-SER 2	INTEREST	12/15/00	01/01/01	100,000	.032000	3,200.00
NEW YORK ST URBAN DEV CORP REV CORRECTIONAL CAPITAL	INTEREST	12/15/00	01/01/01	150,000	.030000	4,500.00
TRIBOROUGH BRDG & TUNL AUTH N Y SPL OBLIG RFDG SER A	INTEREST	12/15/00	01/01/01	25,000	.026250	656.25
WESTCHESTER CNTY N Y INDL DEV AGY RESOURCE RECOVERY	INTEREST	12/15/00	01/01/01	250,000	.025000	6,250.00
Total Payment						**$16,953.55**
Total Value of Unpaid Items						**$16,953.55**

The above information has been obtained from sources we believe to be reliable. All items are subject to receipt. We make no representation as to accuracy or completeness.

ACCRUED INTEREST SUMMARY *(Interest Paid and Received on Purchase and Sale of Fixed Income Securities)*

	Current Period		This Year	
	Taxable	Non Taxable	Taxable	Non Taxable
Municipal Bond Accrued Interest Paid	.00	.00	.00	444.58-
Total Accrued Interest Paid	.00	.00	.00	444.58-

2960

SCHEDULE III - ASSETS AND "U

Na NITA M. LOWEY

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Nat'l Financial Svces//Brownstone Group (formerly cleared through Spear Leeds)	$250,001 - $500,000	Interest/CAPITAL GAINS	NA	
JT	Citicorp Investment Services/Fiserv/Landmark Fund	$250,001 - $500,000	Interest	NA	
JT	Chase Bank (checking)	$1,001 - $15,000	Interest	$1 - $200	
SP	Lowey Dannenberg Bemporad & Selinger, P.C.	$1,000,001 - $5,000,000	Interest in law firm	NA	
SP	Lowey Dannenberg Bemporad & Selinger Profit Sharing Plan	$1,000,001 - $5,000,000	Capital Gains/Dividends/Interest	NA	

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SCHEDULE III - ASSETS AND "U

Na NITA M. LOWEY

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SP	SB Muni NY Money Market Port Class A	$15,001 - $50,000	Interest	$2,501 - $5,000	
SP	AT&T*	$1,001 - $15,000	Dividends	$1 - $200	
SP	American Express*	$15,001 - $50,000	Dividends	$1 - $200	
SP	American Greetgs*	$1,001 - $15,000	Dividends	$201 - $1,000	S
SP	AIG*	$50,001 - $100,000	Dividends	$1 - $200	
SP	Amgen*	$50,001 - $100,000	Dividends	$1 - $200	

2962

SCHEDULE III - ASSETS AND "U

Na NITA M. LOWEY

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SP	Baker Hughes*	$1,001 - $15,000	Dividends	$1 - $200	
SP	Bank of America*	$15,001 - $50,000	Dividends	$201 - $1,000	
SP	Verizon* (formerly Bell Atlantic)	$250,001 - $500,000	Dividends	$5,001 - $15,000	
SP	Boeing*	$1,001 - $15,000	Dividends	$1 - $200	S
SP	Chase Manhattan*	$1,001 - $15,000	Dividends	$201 - $1,000	
SP	Citigroup*	$100,001 - $250,000	Dividends	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "U

Na NITA M. LOWEY

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SP	Colgate Palmolive*	$50,001 - $100,000	Dividends	$201 - $1,000	
SP	Corning*	$15,001 - $50,000	Dividends	$1 - $200	
SP	Data Broadcastg*	$1,001 - $15,000	Dividends	$1 - $200	S
SP	Delphi Automotive*	$1,001 - $15,000	Dividends	$1 - $200	
SP	Eastman Kodak*	$1,001 - $15,000	Dividends	$201 - $1,000	
SP	Fannie Mae*	$15,001 - $50,000	Dividends	$201 - $1,000	

SCHEDULE III - ASSETS AND "U

Na NITA M LOWEY

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SP	Verizon (formerly GTE Corp.)*	$15,001 - $50,000	Dividends	$201 - $1,000	
SP	General Electric*	$50,001 - $100,000	Dividends	$201 - $1,000	
SP	General Motors*	$1,001 - $15,000	Dividends	$201 - $1,000	
SP	Gillette*	$15,001 - $50,000	Dividends	$1 - $200	
SP	H. J. Heinz*	$1,001 - $15,000	Dividends	$201 - $1,000	
SP	Lucent*	$1,001 - $15,000	Dividends	$1 - $200	

2965

SCHEDULE III - ASSETS AND "U

Na NITA M. LOWEY

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SP	Mellon Fin'l*	$15,001 - $50,000	Dividends	$201 - $1,000	
SP	Microsoft*	$15,001 - $50,000	CAPITAL GAINS	NONE	
SP	Pepsico*	$1,001 - $15,000	Dividends	$1 - $200	
SP	Pfizer*	$50,001 - $100,000	Dividends	$201 - $1,000	
SP	Procter & Gamble*	$15,001 - $50,000	Dividends	$201 - $1,000	
SP	Texas Instruments*	$15,001 - $50,000	Dividends	$1 - $200	

SCHEDULE III - ASSETS AND "U

Na NITA M LOWEY

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SP	Washington Mut'l*	$15,001 - $50,000	Dividends	$201 - $1,000	
SP	Xerox*	$1,001 - $15,000	Dividends	$1 - $200	S
SP	Bank of America**	$1,001 - $15,000	Dividends	$201 - $1,000	
SP	Bed Bath & Beyond**	$15,001 - $50,000	CAPITAL GAINS	NONE	
SP	Caterpillar, Inc.**	$15,001 - $50,000	/DIVIDENDS	$201 - $1,000	
SP	DST Systems**	$50,001 - $100,000	Dividends	NONE	

SCHEDULE III - ASSETS AND "U

Na NITA M. LOWEY

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SP	Dupont**	$1,001 - $15,000	Dividends	$201 - $1,000	S
SP	Eastman Kodak**	$1,001 - $15,000	Dividends	$201 - $1,000	S
SP	Exar Corp.**	$50,001 - $100,000	CAPITAL GAINS	NONE	Held &Donated
SP	Int'l Paper**	$1,001 - $15,000	Dividends	$201 - $1,000	
SP	Lennar Corp.**	$50,001 - $100,000	Dividends	$1 - $200	
SP	Media 100 Inc.**	$1,001 - $15,000	CAPITAL GAINS	NONE	S

SCHEDULE III - ASSETS AND "U

Na NITA M. LOWEY

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SP	Microchip Tech.**	$15,001 - $50,000	CAPITAL GAINS	NONE	
SP	Nortel Networks**	$50,001 - $100,000	CAPITAL GAINS	NONE	
SP	NTN Comm'ns**	$1,001 - $15,000	CAPITAL GAINS	NONE	Held & Donated
SP	Oracle**	$100,001 - $250,000	CAPITAL GAINS	NONE	Donated
SP	Paychex**	$15,001 - $50,000	Dividends	$201 - $1,000	
SP	Teva Pharm**	$50,001 - $100,000	Dividends	$201 - $1,000	

SCHEDULE III - ASSETS AND "U

Na NITA M. LOWEY

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Trend Lines Inc.**	$1,001 - $15,000	CAPITAL GAINS	NONE	S
SP	Pru Muni MM Fund**	$50,001 - $100,000	Interest	$1,001 - $2,500	
SP	Newhall LPshp***	$50,001 - $100,000	Dividends	$201 - $1,000	
SP	SB Money Funds Govt***	$1,001 - $15,000	Dividends	$201 - $1,000	
SP	Avatar Conv. 7% of '05****	$15,001 - $50,000	Interest	$2,501 - $5,000	
SP	Avatar Holdings****	$15,001 - $50,000	Dividends	NONE	

SCHEDULE III - ASSETS AND "U

Na NITA M LOWEY

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SP	Interpool Inc.****	$50,001 - $100,000	Dividends	$201 - $1,000	
SP	Newhall Ppshp****	$50,001 - $100,000	Dividends	$1,001 - $2,500	
SP	Scottish Annuity***	$15,001 - $50,000	Dividends	$201 - $1,000	
SP	Ackerley Group***	$15,001 - $50,000	Dividends	$1 - $200	
SP	Big City Radio***	$1,001 - $15,000	Dividends	NONE	
SP	Citizens Utils.***	$50,001 - $100,000	Dividends	NONE	S

SCHEDULE III - ASSETS AND "U

Na NITA M. LOWEY

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SP	JB Hunt Trspt***	$1,001 - $15,000	Dividends	$1 - $200	
SP	Liposome***	$1,001 - $15,000	Dividends	NONE	S
SP	Octel***	$15,001 - $50,000	Dividends	NONE	
SP	Security Capital Gp***	$15,001 - $50,000	Dividends	NONE	
SP	Young B'casting***	$1,001 - $15,000	Dividends	NONE	S
SP	PBOC Holdings****	$50,001 - $100,000	CAPITAL GAINS	NONE	

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SCHEDULE III - ASSETS AND "U

Na NITA M LOWEY

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SP	Peoples Pfd Cap****	$15,001 - $50,000	Dividends	$2,501 - $5,000	
SP	Polaroid****	$1,001 - $15,000	Dividends	$201 - $1,000	S
SP	St. Joe Corp****	$15,001 - $50,000	Dividends	$1 - $200	
SP	Alliance Muni Tr****	$100,001 - $250,000	Interest	$2,501 - $5,000	
JT	American Express*****	$15,001 - $50,000	Dividends	$201 - $1,000	
JT	Amgen*****	$50,001 - $100,000	Dividends	$1 - $200	

SCHEDULE III - ASSETS AND "U

Na NITA M. LOWEY

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JT	America Online*****	$50,001 - $100,000	Dividends	$1 - $200	
JT	Anheuser Busch*****	$15,001 - $50,000	Dividends	$1 - $200	P/NA
JT	Nokia Corp ADRs*****	$50,001 - $100,000	Dividends	$201 - $1,000	
JT	Gap*****	$15,001 - $50,000	Dividends	$1 - $200	
JT	Intel*****	$15,001 - $50,000	Dividends	$1 - $200	S
JT	MCI Worldcom*****	$15,001 - $50,000	Dividends	NONE	S

SCHEDULE III - ASSETS AND "U

Na NITA M. LOWEY

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JT	McDonalds*****	$15,001 - $50,000	Dividends	$201 - $1,000	NA/P
JT	Minnesota Mining*****	$15,001 - $50,000	Dividends	$201 - $1,000	NA/P
JT	Pfizer*****	$15,001 - $50,000	Dividends	$201 - $1,000	NA/P
JT	American Mobile Satellite******	$15,001 - $50,000	Dividends	NONE	S
JT	London Pacific******	$15,001 - $50,000	Dividends	$201 - $1,000	S
JT	Sirius Satellite Radio******	$15,001 - $50,000	Dividends	NONE	S

SCHEDULE III - ASSETS AND "U

Na NITA M. LOWEY

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JT	Chief Auto Parts10.5% OF 05******	$15,001 - $50,000	Interest	$2,501 - $5,000	
JT	Keebler Corp 10..5% of 05******	$15,001 - $50,000	Interest	$2,501 - $5,000	S
JT	Ralph's Grocery 10.45 of 04******	$50,001 - $100,000	Interest	$5,001 - $15,000	
JT	Walgreen*****	$50,001 - $100,000	Dividends	$1 - $200	NA/P
JT	Walmart*****	$15,001 - $50,000	Dividends	$1 - $200	NA/P
JT	Wells Fargo*****	$15,001 - $50,000	Dividends	$1 - $200	NA/P

SCHEDULE III - ASSETS AND "U

Na NITA M. LOWEY

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SP	Alltel Corp.*	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	America Online*	$1,001 - $15,000	CAPITAL GAINS	NONE	P
SP	Avaya* (Distribution from Lucent)	$1,001 - $15,000	CAPITAL GAINS	NONE	P
SP	Bank One*	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	C-Cor Net*	$1,001 - $15,000	CAPITAL GAINS	NONE	P
SP	Cisco Systems*	$1,001 - $15,000	CAPITAL GAINS	NONE	P

SCHEDULE III - ASSETS AND "U

Na NITA M. LOWEY

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SP	Nanogen*	$1,001 - $15,000	CAPITAL GAINS	NONE	P
SP	Atmel**	$1,001 - $15,000	CAPITAL GAINS	NONE	PS
SP	King Pharm.**	$1,001 - $15,000	CAPITAL GAINS	NONE	P
SP	T. Rowe Price**	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
SP	SBC Comm'ns**	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
SP	Azurix***	$15,001 - $50,000	CAPITAL GAINS	NONE	P

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SCHEDULE III - ASSETS AND "U

Na NITA M. LOWEY

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SP	Ethyl Corp.***	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
SP	Ethyl Corp.***	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S
SP	Presidential Life Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Metromedia***	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	Citizens Com.***	$1,001 - $15,000	CAPITAL GAINS	NONE	S
SP	Finova Group***	$1,001 - $15,000	CAPITAL GAINS	NONE	S

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SCHEDULE III - ASSETS AND "U

Na NITA M. LOWEY

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SP	Nogatech***	$15,001 - $50,000	CAPITAL GAINS	NONE	S
SP	Chiles Offshore****	$15,001 - $50,000	CAPITAL GAINS	NONE	P
SP	Crowley Maritime****	$1,001 - $15,000	CAPITAL GAINS	NONE	P
SP	Entertainment Pptys****	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	P
SP	Newmont Mining****	$15,001 - $50,000	CAPITAL GAINS	NONE	P
SP	Florida East Coast Inds****	$1,001 - $15,000	/DIVIDENDS	$201 - $1,000	P

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SCHEDULE III - ASSETS AND "U

Na NITA M LOWEY

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependant child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	AIG*****	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
JT	Anadarko*****	$15,001 - $50,000	CAPITAL GAINS	NONE	P
JT	Merrill Lynch*****	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	P
JT	New York Times*****	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
JT	Schlumberger*****	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
JT	JW Genesis******	$15,001 - $50,000	CAPITAL GAINS	NONE	P

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BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programe. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Loehmann's******	$1,001 - $15,000	CAPITAL GAINS	NONE	P
JT	Motorola******	$1,001 - $15,000	CAPITAL GAINS	NONE	P
JT	Paging Netwk****** Sr. Sub exch for 70.12 sh	$15,001 - $50,000	DIVIDENDS	$1 - $200	ES
JT	Southern Cal. Edison******	$15,001 - $50,000	DIVIDENDS	$1 - $200	PS
JT	Xerox******	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
JT	Gulf States Stl 13.5 of 03******	$15,001 - $50,000	INTEREST	$1,001 - $2,500	P

SCHEDULE III - ASSETS AND "U

Na NITA M. LOWEY

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Internet Capital Gp.******	$15,001 - $50,000	CAPITAL GAINS	NONE	P
JT	Loehmann's 11.875 of 03*******	$15,001 - $50,000	INTEREST	$1,001 - $2,500	P
JT	Motorola******	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	PS
JT	Psinet******	$15,001 - $50,000	CAPITAL GAINS	NONE	PS
JT	Qualcomm******	$15,001 - $50,000	CAPITAL GAINS	NONE	PS
JT	Saf-T-Lok******	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS

SCHEDULE III - ASSETS AND "U

Na NITA M. LOWEY

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Shaman Pharm******	$1,001 - $15,000	CAPITAL GAINS	NONE	PS
JT	Tivo Inc******	$15,001 - $50,000	CAPITAL GAINS	NONE	P
JT	Tultex******	$15,001 - $50,000	CAPITAL GAINS	NONE	P
JT	XM Satellite Radio******	$15,001 - $50,000	CAPITAL GAINS	NONE	PS
JT	Gt Atl & Pac Tea******	$15,001 - $50,000	DIVIDENDS	$1 - $200	S
SP	Barrick Gold****	$15,001 - $50,000	CAPITAL GAINS	NONE	S

SCHEDULE III - ASSETS AND "U

Na NITA M. LOWEY

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependant child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Equity Res. Pptys****	$15,001 - $50,000	CAPITAL GAINS	NONE	S
SP	MONY****	$15,001 - $50,000	CAPITAL GAINS	NONE	S
SP	Polaroid****	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S
SP	Worldcom*	$1,001 - $15,000	CAPITAL GAINS	NONE	P
SP	Liposome***	$15,001 - $50,000	CAPITAL GAINS	NONE	S
SP	Young Broadcasting***	$1,001 - $15,000	CAPITAL GAINS	NONE	S

SCHEDULE III - ASSETS AND "U

Na NITA M. LOWEY

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of incon e with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income eource is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed catagories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	M.D. Sass Multistrategy	$500,001 - $1,000,000	DIVIDENDS/CAPI TAL GAINS	$201 - $1,000	PE
	Abraxas Pete Corp. (ABP)*******	$15,001 - $50,000	CAPITAL GAINS	NONE	
	Crown Castle (TWRS)*******	$15,001 - $50,000	CAPITAL GAINS	NONE	
	Short/Gt Atlantic & Pacific Tea*******	-$43,400	CAPITAL GAINS	NONE	
	Put option - Gt. Atlantic & Pacific Tea Jan 15*******	$1,001 - $15,000	CAPITAL GAINS	NONE	
	Short/Goodyear Tire Nts 8.125 of 03*******	-$57,786	CAPITAL GAINS	NONE	

SCHEDULE III - ASSETS AND "U

Na NITA M. LOWEY

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Loehmann's Sr Nts 11.875 of 03*******	$50,001 - $100,000	CAPITAL GAINS	NONE	
Tultex Sr Nt 9.625 of 07*******	$50,001 - $100,000	CAPITAL GAINS	NONE	
Short/Metals &SA Sr Nt 8.625 of 08*******	-$35,960	CAPITAL GAINS	NONE	
Short/SoCalEdison Nt 7.625 of 10*******	-$62,308	CAPITAL GAINS	NONE	

SCHEDULE IV - TRANSACTIONS

Na NITA M. LOWEY

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Alltel Corp*	P	1-28-00	$1,001 - $15,000
SP	America Online*	P	9-26-00	$1,001 - $15,000
SP	Avaya* (Distribution from Lucent)	P	?	$1,001 - $15,000
SP	Bank One*	P	8-23-00	$1,001 - $15,000
SP	C-Cor Net*	P	9-7-00	$1,001 - $15,000
SP	Cisco Systems*	P	4-20-00	$1,001 - $15,000
SP	Nanogen*	P	3-24-00	$1,001 - $15,000
SP	Worldcom*	P	2-9-00 3-15-00	$1,001 - $15,000
SP	Washington Mutual*	P	6-21-00	$1,001 - $15,000
SP	Atmel**	PS	P 2-11-00 S 12-12-00	$15,001 - $50,000
SP	SBC Comm'ns**	P	2-3-00	$1,001 - $15,000
SP	Azurix***	P	5-17-00 8-14-00	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Na NITA M LOWEY

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Ethyl Corp.***	PS	P 1-27-00 S 12-22-00	$1,001 - $15,000
SP	Presidential Life Corp.***	P	4-4-00	$1,001 - $15,000
SP	Metromedia***	P	11-3-00	$15,001 - $50,000
SP	Citizens Com.	S	7-18-00	$15,001 - $50,000
SP	Finova Group***	S	8-9-00	$1,001 - $15,000
SP	Chiles Offshore****	P	9-18-00	$15,001 - $50,000
SP	Crowley****	P	9-28-00	$1,001 - $15,000
SP	Entertainment Pptys****	P	3-17-00	$15,001 - $50,000
SP	Newmont****	P	12-20-00	$15,001 - $50,000
JT	AIG*****	P	2-1-00	$15,001 - $50,000
JT	Merrill Lynch******	P	1-28-00	$15,001 - $50,000
JT	New York Times******	P	12-19-00	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Na NITA M. LOWEY

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Schlumberger******	P	12-19-00	$15,001 - $50,000
JT	JW Genesis******	PS	P 8-31-00 S 9/11/00	$15,001 - $50,000
JT	Loehmann's******	P	4-20-00	$1,001 - $15,000
JT	Motorola******	P	4-17-00	$1,001 - $15,000
JT	Paging Netwk****** Sr. Sub exch for 70.12 sh	P	4-20-00	$15,001 - $50,000
JT	Southern Cal. Edison******	P	12-22-00	$15,001 - $50,000
JT	Xerox******	P	9-15-00	$15,001 - $50,000
JT	Gulf States Stl 13.5 of 03******	P	1-19-00	$15,001 - $50,000
JT	Internet Capital Gp.******	PS	P 3-14-00 S 12-18-00	$15,001 - $50,000
JT	Loehmann's 11.875 of 03******	P	1-11-00	$15,001 - $50,000
JT	Motorola******	P	3-10-00	$15,001 - $50,000
JT	Psinet*****	P	1-10-00	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Na NITA M. LOWEY

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Qualcomm******	PS	P 1-26-00 S 12-6-00	$15,001 - $50,000
JT	Saf-T-Lok******	PS	P 3-7-00 S 9/22/00	$1,001 - $15,000
JT	Shaman Pharm******	P	1-28-00	$1,001 - $15,000
JT	Tivo Inc******	PS	P 2-23-00 S 12-18-00	$15,001 - $50,000
JT	Tultex******	P	2-16-00	$15,001 - $50,000
JT	XM Satellite Radio******	PS	P 2-1-00 S 3-8-00	$15,001 - $50,000
JT	Gt Atl & Pac Tea******	S	9-7-00	$15,001 - $50,000
SP	American Greetings*	S	12-13-00	$1,001 - $15,000
SP	Boeing*	S	9-7-00	$1,001 - $15,000
SP	Data Broadcast'g*	S	12-13-00	$1,001 - $15,000
SP	Xerox*	S	11-28-00	$1,001 - $15,000
SP	Gillette*	P	8-8-00 8-22-00	$1,001 - $15,000

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SCHEDULE IV - TRANSACTIONS

Na NITA M. LOWEY

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Photronics**	S	12-12-00	$1,001 - $15,000
SP	NTN**	G	6-14-00	$1,001 - $15,000
SP	Oracle**	G	12-19-00	$100,001 - $250,000
SP	Exar**	G	12-19-00	$50,001 - $100,000
SP	Loral***	S	7-24-00	$1,001 - $15,000
SP	Citizens Utils.***	S	2-4-00	$15,001 - $50,000
SP	Liposome***	S	1-12-00 3-6-00	$15,001 - $50,000
SP	Nogatech***	S	8-24-00	$15,001 - $50,000
SP	Young Broadcasting***	S	6-19-00	$1,001 - $15,000
SP	Florida East Coast****	P	10-16-00	$1,001 - $15,000
SP	Barrick Gold****	S	12-20-00	$15,001 - $50,000
SP	Equity Res Pptys****	S	7-6-00 7-10-00	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Na NITA M. LOWEY

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	MONY Gp.****	S	11-8-00	$15,001 - $50,000
SP	Polaroid****	S	10-16-00	$1,001 - $15,000
SP	DuPont**	S	2-3-00 12-12-00	$1,001 - $15,000
SP	Eastman Kodak**	S	12-12-00	$1,001 - $15,000
SP	Goodyear**	S	2-3-00	$1,001 - $15,000
SP	International Paper**	S	2-3-00	$1,001 - $15,000
SP	King Pharm.**	P	5-2-00	$1,001 - $15,000
SP	Media 100**	S	12-15-00	$1,001 - $15,000
SP	T. Rowe Price**	P	1-19-00	$15,001 - $50,000
JT	Anadarko*****	P	12-13-00	$15,001 - $50,000
JT	Anheuser Busch*****	P	1-11-00	$50,001 - $100,000
JT	Avery Dennison*****	PS	P 1-28-00 S 12-19-00	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Na NITA M. LOWEY

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Gannett*****	PS	P 1-28-00 S 12-19-00	$15,001 - $50,000
JT	Intel*****	S	10-18-00	$15,001 - $50,000
JT	IBM*****	PS	P 1-11-00 S 12-19-00	$15,001 - $50,000
JT	Minnesota Mining & Mfg*****	P	1-11-00	$1,001 - $15,000
JT	Pfizer*****	P	1-11-00	$1,001 - $15,000
JT	Procter & Gamble*****	PS	P 1-28-00 S 12-19-00	$15,001 - $50,000
JT	Walmart*****	P	12-19-00	$1,001 - $15,000
JT	Walgreen*****	P	1-11-00	$1,001 - $15,000
JT	Wells Fargo*****	P	12-19-00	$1,001 - $15,000
JT	Worldcom*****	S	12-13-00	$1,001 - $15,000
JT	M.D. Sass Multistrategy	PE	4-1-00	$250,001 - $500,000
	Abraxas Pete (ABP)*******	S	12-11-00 12-15-00	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Na NITA M. LOWEY

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Abraxas Pete Sr Nt 11.5 of 11*******	S	9-13-00	$15,001 - $50,000
	Advanced Radio*******	PS	P6-2-00 P6-28-00 S6-12-00 S7-6-00	$15,001 - $50,000
	Barnes & Noble (BKS)*******	PS	P6-1-00 S6-2-00	$1,001 - $15,000
	BarnesandNoble.com*******	PS	P1-6-00 S4-17-00	$15,001 - $50,000
	Call/AtHome Corp May 25*******	PS	P5-9-00 S5-10-00	$1,001 - $15,000
	Short/Call Motient Aug 12*******	PS	S7-27-00 P8-22-00	$1,001 - $15,000
	Short/Dana Corp.*******	PS	S11-21-00 P12-29-00	$1,001 - $15,000
	Short/Call/Photon Dynamics Jun 60*******	PS	S5-23-00 P6-16-00	$1,001 - $15,000
	CMGI Inc*******	PS	P1-12-00 P1-18-00 S10-25-00	$15,001 - $50,000
	Conseco*******	PS	P4-20-00 S4-20-00	$1,001 - $15,000
	Finova Gp*******	PS	P5-12-00 S5-19-00	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Na NITA M. LOWEY

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Forest Oil Corp Wts 3/20/2010*******	PS	A3-24-00 S12-7-00	$1,001 - $15,000
	Freemarkets Inc.*******	PS	P4-18-00 S4-26-00	$1,001 - $15,000
	GetThere Inc.*******	?	P11-21-00 S11-24-00	$1,001 - $15,000
	GlobalStar Telecom*******	PS	P6-21-00 S6-22-00	$1,001 - $15,000
	Short/Gt Atlantic & Pac Nts 7.75 of 04/1	PS	S10-05-00 P11-16-00	$1,001 - $15,000
	Gulf Sts Stl Nts 13.5 of 04	PS	P1-19-00 S12-19-00	$50,001 - $100,000
	Identix*******	PS	P4-18-00 S12-18-00	$1,001 - $15,000
	Intel*******	S	P10-14-99 S10-25-00	$1,001 - $15,000
	Internet Cap. Gp*******	PS	P3-14-00 P6-2-00 S9-5-00 S10-25-00	$50,001 - $100,000
	JWGenesis Financial*******	PS	P8-29-00 S9-1-00	$1,001 - $15,000
	Kopin Corp.*******	PS	P4-11-00 S4-27-00	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Na NITA M. LOWEY

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your epouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Liberty Digital*******	PS	P8-2-00 S10-25-00	$1,001 - $15,000
	Manning Greg Auction*******	PS	P6-22-00 S12-18-00	$1,001 - $15,000
	Motient Corp*******	PS	P4-12-00 P6-2-00 P5-23-00 P12-27-00 S7-6-00 S12-19-00	$15,001 - $50,000
	Motorola*******	PS	P3-10, 4-17, 7-14, 10-16-00; S7-27, S12-18-00	$15,001 - $50,000
	Nokia*******	PS	P7-27-00 S7-27-00	$1,001 - $15,000
	Short/Owens III Sr Nts 7.15 of 05*******	PS	S11-17-00, 12-8-00 P10-30-00	$15,001 - $50,000
	Paging Ntwk Sr Sub******* exchanged for Arch Communications	PE	P4-20-00 E7-28-00	$15,001 - $50,000
	Photon Dynamics*******	PS	P4-4, 5-24-00 S4-10, 4-14, 6-16, 7-21-00	$15,001 - $50,000
	Psinet*******	PS	P3-16-00 S9-15-00	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Na NITA M. LOWEY

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Put/Conseco May 5*******	PS	P4-20-00 S5-11-00	$1,001 - $15,000
	Put/Equant Jun 45*******	PS	P6-16-00 S6-16-00	$1,001 - $15,000
	Put/Finova Gp May 10*******	PS	P5-9-00 S5-9-00	$1,001 - $15,000
	Put/Finova Gp May 12 1/2*******	PS	P5-9-00 S5-9-00	$1,001 - $15,000
	Put/Kopin Corp. Jun 90*******	PS	P6-1-00 S6-2-00	$1,001 - $15,000
	Put/United Rentals Jul 17 1/2*******	PS	P5-30-00 S6-30-00	$1,001 - $15,000
	Put/United Rentals Sep 22 1/2*******	PS	P5-30-00 S6-30-00	$1,001 - $15,000
	Qualcomm*******	PS	P 1-5-00, 5-25-00 S12-6-00	$15,001 - $50,000
	SafTLok*******	PS	P3-7-00 S9-22-00	$1,001 - $15,000
	Shaman Pharm.*******	PS	P1-28-00 S10-25-00	$1,001 - $15,000
	Short/Sirius Satellite*******	PS	S11-15-00 P12-27-00	$1,001 - $15,000
	Short/SoCalEdison Nt*******	PS	S12-19-00 S12-22-00	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Na NITA M LOWEY

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Tivo*******	PS	P2-23-00 S10-25-00	$1,001 - $15,000
	Tyco Int'l*******	S	P12/31/99 S4-14-00	$1,001 - $15,000
	Xerox*******	PS	P9-15, 10-3-00 S10-13-00	$15,001 - $50,000
	XM Satellite Radio*******	PS	P2-1-00 S11-15-00	$15,001 - $50,000

2999

SCHEDULE V - LIABILITIES

Na NITA M. LOWEY

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Citibank Mortgage	Mortgage on personal residence	$250,001 - $500,000

3000

SCHEDULE VII - TRAVEL PAYMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expensee were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Aspen Institute - Educ. Ref. Conference	Jan. 13-16	NYC-Naples, FL-NYC	Y	Y	Y	N
Aspen Institute - US-China Rels. Conference	May 28-June 3	NYC-Vancouver, BC-NYC	Y	Y	Y	2 days
Hearst Corp Speech - Women Bus. Execs. Conference	Aug. 6-9	NYC-Santa Fe, NM-NYC	Y	Y	N	N

FOOTNOTES

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Key to asterisks: *Acct at SalomonSmith Barney/AS **Acct at Prudential ***Acct at Salomon Smith Barney/PM ****Acct at Oppenheimer & Close *****Acct at Neuberger & Berman ******Acct at National Financial Services, formerly cleared through Spear Leeds *******Lowey Family Investment LLC/NML	IRA - The Kaufmann Fund
7	Schedule III	Increased investment due to exchange from Muni Treasury Fund	MD Sass Reenterprise Fund
8	Schedule III	Exchanged for additional investment in Reenterprise Fund and new investment in Multistrategy Fund	MD Sass Muni Treasury Fund
17	Schedule III	Member owns a 62% interest in an account of the Lowey Family Investment LLC invested in various securities. These securities are listed with the designation *******.	Lowey Family Investment LLC (see Line 17 footnote)
18	Schedule III	SP's account in the Lowey Family Investment LLC is invested in Brownstone Investment Group LLC, a privately owned broker-dealer firm.	SP/Lowey Family Investment LLC (Line 18 footnote)
20	Schedule III	Now includes Citibank Landmark Fund, listed separately on 1999 form but deleted from 2000 form and value added to this entry	Citicorp Investment Services/Fiserv/Landmark Fund
50	Schedule IV	Gift to World Learning, not a sale	NTN**-Gift to World Learning
51	Schedule IV	Gift to World Learning, not a sale	Oracle
52	Schedule IV	Gift to World Learning, not a sale	Oracle** Gift to World Learning
62	Schedule III	Donated entire position to World Learning, value $171,960	Exar Corp.**

FOOTNOTES

Number	Section / Schedule	Footnote	This note refers to the following item
62	Schedule III	Donated shares worth $57,057 to World Learning; retained balance of shares, worth $88,485 on 12/31	Exar Corp.**
68	Schedule III	Donated shares worth $14,687 to World Learning; retained balance of shares worth $13,214	NTN Comm'ns**
96	Schedule IV	Forest Oil Wts were acquired as a distribution in connection with a merger of Forest Oil and Forest Energy. Forest Oil itself (as distinguished from the warrants) is not on Schedule III because its value was less than $1,000	Forest Oil Corp Wts 3/20/2010*******
99	Schedule III	Held 800; purchased 200 additional 1/11/00	Anheuser Busch*****
104	Schedule III	Held 800; purchased 200 additional 12/19/00	McDonalds*****
105	Schedule III	Held 200, purchased 100 additional 1/11/00	Minnesota Mining*****
106	Schedule III	Held 600, purchased 400 additional 1/11/00	Pfizer*****
113	Schedule IV	Paging Network was exchanged for 70.12 shares of Arch Communications	Paging Ntwk Sr Sub******* exch for ??
113	Schedule III	Held 800, purchased 400 additional 1/11/00	Walgreen*****
137	Schedule III	Spinoff of shares from St. Joe, new position but not really a purchase	Florida East Coast Inds****
162	Schedule III	Short sale	Gt Atl & Pac Tea******
170	Schedule III	New investment begun due to exchange from M.D. Sass Muni Treasury Fund	M.D. Sass Multistrategy

3003

FOOTNOTES

Na NITA M. LOWEY

Number	Section / Schedule	Footnote	This note refers to the following item
171	Schedule III	Y/E value of open short position = y/e value listed on account statement (cost to cover on 12/31/00). Y/e value shown on this line represents the value of member's interest (62% -- see footnote to Line 17).	Short/Gt Atlantic & Pacific Tea*******

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A For use by Members, officers, and employees

01 MAY 17 PM 3:12

HAND DELIVERED

MC (Office Use Only)

(6)

3005

Frank D. Lucas
(Full Name)

#Name?
(Mailing Address)

202-225-5565
Daytime Telephone

Filer Status: ☑ Member of the U.S. House of Representatives — State OK, District: 06 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Frank D. Lucas	5/15/01

SCHEDULE III - ASSETS AND "U

Na Frank D. Lucas

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Panhandle Royalty Co. Stock	$1,001 - $15,000	Dividends	$1 - $200	
JT	Security State Bank, Cheyenne, OK	$1,001 - $15,000	Interest	$1 - $200	
SP	Western Farm Burea IRA	$1,001 - $15,000	Interest	$1 - $200	
NN	Apache Corporation	None	Royalty	$1,001 - $2,500	
NN	Kerr Mcgee Oil and Gas	None	Royalty	$201 - $1,000	
	St Mary Operating Co.	None	Royalty	$1,001 - $2,500	

3006

SCHEDULE III - ASSETS AND "U

Na Frank D. Lucas

3007

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Crescendo Resourses, LP	None	Royalty	$15,001 - $50,000	
	480acres-Farmland,House,Equip.,&Cattle , Roger Mills Co.	$100,001 - $250,000	Farm Income	$36,000 (gross)	
JT	320acres-Farmland	$50,001 - $100,000	Farm Income	see above income	
	103acres-Mineral Rights, Roger Mills, OK	$100,001 - $250,000	See above individual companies	NONE	
	Public Employee Retirement	$15,001 - $50,000	None	NONE	
SP	Oklahoma Teacher Retirement	$1,001 - $15,000	None	NONE	

SCHEDULE III - ASSETS AND "U

Na Frank D. Lucas

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Texas Teacher Retirement	$1,001 - $15,000	None	NONE	
DC	Security State Bank, Cheyenne,OK	$1,001 - $15,000	Interest	$1 - $200	
JT	508 Acres - Farmland, Roger Mills Co.	$100,001 - $250,000	Farm Income	see above income for farm	P
	EOTT Energy Operating (formerly held by Koch Petroleum)	None	Royalty Payment	$1 - $200	

3008

SCHEDULE IV - TRANSACTIONS

Na Frank D. Lucas

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	508 Acres - Farmland	P	12-12-2000	$100,001 - $250,000

SCHEDULE V - LIABILITIES

Na Frank D. Lucas

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Security State Bank; Cheyenne, OK	Mortgage on 508 acre farmland in Roger Mills Co.	$100,001 - $250,000

3010

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER (9)
2001 MAY 14 AM 10:41
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)
MC

Kenneth Ray Lucas
(Full Name)
993 Riva Ridge Ct, Union, Ky. 859/384-3345
(Mailing Address) (Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives	State Kentucky District: Fourth	☐ Officer or Employee	Employing Office
Report Type	☐ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $200 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☑	No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Kenneth R. Lucas	5/10/01

3011

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Lincoln National (formerly Cigna)	Renewals	44,743.
Fortis		4,386
UNUM		2,700
Manulife		2009
Lincoln National Life & Annuity		1395
Mass Mutual		360
Provident		408
Delta		2424
Cross + Associates		3864
First Colony		210
Southeastern		4672
Cigna Group		2400
United - Anthem		315
Kentucky Retirement System	Pension	16503
Cigna (Connecticut General Life)	"	722
EFS Travel Agency	Spouse's Fees	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source N/A	Activity	Date	Amount
Examples	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
	XYZ Magazine	Article	Aug. 13, 2000	$500

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Name Kenneth R. Lucas | Page 4 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C	Block D	Block E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP, DC, JT	Examples: SP Mega Corp Stock	E	DIVIDENDS	V	P
	Simon & Schuster	Indefinite	Royalties	IX	
	1st Bank of Paducah, KY accounts	F	INTEREST	VI	
	Fifth Third Bank stock	I	dividends	VII	
SP	" " " "	G	"	V	
	IRA - SEI Invest.	H		VI	N/A
	" - 5th/3rd Bank stock	I		VI	N/A
SP	" - Fountain Square Fd.	E		VI	N/A
	" Premier Asset Mgt Fd.	G		VI	N/A

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Kenneth R. Lucas

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction P, S, E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	
	DLW & Associates U.S. 25 Richwood, Ky & I-71 Interchange Verona, Ky Real estate investment	G		VI N/A	
JT	Real estate - Gulf of Mexico Dr., Longboat Key, Fla	F	DIVIDENDS	V	
JT	Timeshare Real estate - Port Royal Plantation, Hilton Head SC	D	DIVIDENDS	IV	
	Fuller Square, Inc & Florence Commercial commercial real estate (Rental) Florence, Ky.	F	Other Type of Income: Sale	IX	
	Installment sale of practice to son	Uncertain	Other Type of Income: Installment Sale	IX	

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SCHEDULE IV — TRANSACTIONS

Name Kenneth R. Lucas | Page 6 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–00		X								
	IRA - Fifth Third Bank - stock sale		✓		12/00		✓								
	(Florence Commercial and		✓		12/00		✓								
	Fullen Square)		✓		12/00			✓							
SP	AF Kellyn Assoc.	✓			5/00	✓									

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Name Kenneth R. Lucas | Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude**: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Hebron Deposit Bank, Hebron, Ky	R.E. mortgage - Gulf of Mexico Dr. Longboat Key Fla				X						
JT	Liberty Bank, Hilton Head S.C.	R.E. mortgage - time share Pearl Royal Plantation, Hilton Head S.C.		X								
	Hebron Deposit Bank, Hebron, Ky	note - line of credit		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

N/A

Source		Description	Value
Example	Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source N/A	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
License holder	Sagemark Consulting – Lincoln Financial Gp (formerly Cigna)
Director	The Drees Company
"	Northern Kentucky University Foundation
"	Florence Christian Services, Inc.
Partner	DLW & Associates
stockholder	Fuller Square, Inc & Florence Commercial

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
2/1/67	Kenneth R. Lucas & Cigna (and successors)	Payment of vested Renewal commissions to Mr Lucas/or spouse for life.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A

For use by Members, officers, and employees

MAY 15 2001
RECEIVED
LEGISLATIVE RESOURCE CENTER
01 MAY 21 AM 9:14
MC
OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES
(Office Use Only)
10

William Paul Luther
(Full Name)

6375 St. Croix Trail North #147 Stillwater, MN 55082
(Mailing Address)

651-730-4949
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives — State: MN, District: 06	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Bill Luther	5/14/01

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SCHEDULE I - EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Minnesota, House of Representatives, State Capitol, St. Paul MN 55155	Spouse Salary	NA

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Paul Luther

	BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residance(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Former Law Practice (sole proprietorship)	$1,001 - $15,000	NA	NONE	
SP	Checking account, Marquette Bank, Brooklyn Park, 7608 Brooklyn Blvd, Brooklyn Park, MN 55443	$1,001 - $15,000	INTEREST	$1 - $200	
	Checking accounts, US Bank, 90 S. 6th St. Mpls MN 55480	$1,001 - $15,000	INTEREST	$1 - $200	
DC	Checking and Savings accounts, US Bank, 90 S. 6th St. Mpls MN 55480	$1 - $1,000	INTEREST	$1,001 - $2,500	
DC	Checking and Savings accounts, Marquette Bank, Brooklyn Park, 7608 Brooklyn Blvd, Brooklyn Park MN 55433	$1,001 - $15,000	INTEREST	$1 - $200	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Paul Luther

	BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Law firm profit sharing and pension trust, Richard L. Luther, Trustee, 601 Lakeshore Parkway Suite 750 Minnetonka MN 55305	$500,001 - $1,000,000	NA	NA	
JT	Minnesota State Legislator's Defined Benefit Retirement Plans, 175 W. Lafayette Frontage Road S., St. Paul MN 55107	$100,001 - $250,000	NA	NA	
JT	Minnesota State Deferred Compensation Plans, 85 E. 7th Place Suite 285, St. Paul MN 55101	$100,001 - $250,000	DIVIDENDS/INTEREST	$2,501 - $5,000	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Paul Luther

	BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Liquid Asset Funds Mutual Fund, c/o Morgan Stanley, 333 S. 7th St. Suite 1300, Mpls MN 55402	$1 - $1,000	DIVIDENDS	$1 - $200	
SP	Liquid Asset Funds Money Market, c/o Morgan Stanley, 333 S. 7th St. Suite 1300, Mpls MN 55402	$1 - $1,000	DIVIDENDS/INTEREST	$1 - $200	
SP	Putnam Investor Fund Mutual Funds, 265 Franklin Street, Boston MA 02110, c/o Morgan Stanley 333 S. 7th St. Suite 1300, Mpls MN 55402	$50,001 - $100,000	CAPITAL GAINS	$1,001 - $2,500	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Paul Luther

BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Voyageur Minnesota-Insured Funds Mutual Funds, 90 S. 7th St. Suite 4400, Mpls MN 55402, c/o Morgan Stanley, 333 S. 7th Street Suite 1300, Mpls MN 55402	$15,001 - $50,000	DIVIDENDS//INTEREST	$1,001 - $2,500	S
SP	IRA, Liquid Asset Funds Money Market, Fannie Mae Bond, Pacific Growth Mutual Fund, American Opportunities Fund, Dow 10 Unit Trust and CMO Bond c/o Morgan Stanley, 333 S. 7th Street Suite 1300, Mpls MN 55402	$1,001 - $15,000	NA	LOSS	E

3025

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Paul Luther

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
DC	Trusts dated 2/1/78 and 12/21/82, Zurich Kemper Total Return Fund and Schwab Money Market Funds (previously Kemper Funds), c/o Charles Schwab & Co., Inc., 7400 E. Orchard Road Suite 1200, Englewood CO 80111	$50,001 - $100,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$5,001 - $15,000	
SP	Pension, Northwest Airlines, Inc., 5101 Northwest Drive St. Paul, MN 55111-3034	$1,001 - $15,000	NA	NA	
DC	Trust, 1/2 interest in 7 acres, unimproved real estate, NW Corner of Hwy 210 and old 210, Fergus Falls MN	$100,001 - $250,000	NA	NONE	

3026

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Paul Luther

BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
DC	Roth IRA, Schwab MarketTrack, All Equity Mutual Fund c/o Charles Schwab & Co., Inc., 7400 E. Orchard Road, Suite 1200, Englewood CO 80111	$1,001 - $15,000	NA	LOSS	
DC	Checking account, Fleet Bank, PO Box 6480 Boston MA 02212	$1 - $1,000	NA	NONE	

SCHEDULE IV - TRANSACTIONS

Name William Paul Luther

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Voyageur Minnesota-Insured Funds, Mutual Funds, 90 S. 7th Street, Suite 4400, Mpls MN 55402, c/o Morgan Stanley, 333 S. 7th Street, Suite 1300, Mpls MN 55402	S	5/18/00	$1,001 - $15,000
SP	Voyageur Minnesota-Insured Funds, Mutual Funds, 90 S. 7th Street, Suite 4400, Mpls MN 55402, c/o Morgan Stanley, 333 S. 7th Street, Suite 1300, Mpls MN 55402	S	8/7/00	$1,001 - $15,000
SP	Voyageur Minnesota-Insured Funds, Mutual Funds, 90 S. 7th Street, Suite 4400, Mpls MN 55402, c/o Morgan Stanley, 333 S. 7th Street, Suite 1300, Mpls MN 55402	S	11/22/00	$1,001 - $15,000
SP	IRA, 1999 Dow 10 Unit Trust to 2000 Dow 10 Unit Trust c/o Morgan Stanley, 333 S. 7th Street, Suite 1300, Mpls MN 55402	E	1/13/00	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name: William Paul Luther

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
The Aspen Institute	8/20-8/26	Mpls-Prague-Mpls	Y	Y	Y	N

3030

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

(11) **HAND DELIVERED**

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 4:20
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

Carolyn B. Maloney
(Full Name)

49 East 92nd Street
(Mailing Address)

Daytime Telephone:

New York, New York 10128 (212) 289-9450

Filer Status	[X] Member of the U.S. House of Representatives	State: New York District: 14	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Carolyn B. Maloney	5/14/2001

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$8,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Liberty Pittsburg Systems, Inc., Pittsburg, PA	Spouse	N/A
Chromium Industries, Inc., Chicago, IL	Spouse	N/A
CHW Maloney & Co., Incorporated, New York, NY	Spouse	N/A
The Wall Street Fund, New York, NY	Spouse	N/A
HSBC Funds Trust & HSBC Mutual Funds Trust, New York, NY	Spouse	N/A
Interpool, Inc., Princeton, NJ	Spouse	N/A

For payments to charity in lieu of honoraria, use Schedule II

MAY-14-2001 13:29 REP CAROLYN MALONEY 202 225 4709 P.03/12

Name Carolyn B. Maloney	Page 3 of 10

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
None			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Carolyn B. Maloney

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependant child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Examples	SP	Mega Corp Stock Simon A. Schuster 1st Bank of Paducah, KY accounts	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	PARTNERSHIP, SUB-S INCOME	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Transaction P, S, E
			Interest					X								X							Royalties				X								
	Stock-Chromium Industries, Inc.-Chicago, IL								X															X											
	CD-First Coastal Bank-Virginia Beach, VA					X												X				X				X									
	Stock-Liberty Pittsburg Systems, Inc.-Pittsburg, PA							X																X											
	Rental Property & Residence-49 East 92 Street, New York, NY											X					X												X						
	Rental Property-Single Family, 279 West Road, New Canaan, CT											X					X												X						
	Albright & Maloney Partnership, 10 High St., Boston, MA								X								X							X											

For additional assets and unearned income, use next page

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (If needed)

Name Carolyn B. Maloney

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	PARTNERSHIP, SUB-S INCOME	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	HPB Enterprises, T/A Albemarle Plantation-Real Estate Dev.-Hertford, NC								X						X	X	X			X							X						
	Stock-Aldo Farms of NC, Inc., Virginia Beach, VA				X									X													X						
	Stock-The Wall Street Fund			X										X										X									
	Stock-Roll Finishing, Mt. Vernon, IN					X														X							X						
	Stock-JMP, Inc.-Land Investment, Jobestown Road, Chesapeake, VA				X										X							X											
	Citibank, N.A.		X											X										X									
	Shares-RBB-Bedford Money Market Fund				X									X											X								
	Shares-Dreyfus-Money Market Fund					X								X											X								
	Undivided Interest-Land-96 acres-Route 64, Southampton County, VA				X												X					X											
	Stock-Beechtree Park, Inc. T/A Holiday Travel Park, Virginia Beach, VA							X							X	X	X					X											
	Shares-Fidelity Cash Reserve			X										X											X								
	Shares-Fidelity U. S. Government Reserve			X										X											X								
	Stock-CHW Maloney & Co., Inc.			X										X													X						
	Stock-Holiday Village, Inc.-Real Estate, Virginia Beach, VA				X										X		X					X											

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Carolyn B. Maloney

Page 6 of 10

SP, DC, JT	Asset and/or Income Source	None	$1 – $1,000	$1,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT/ROYALTY	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	PARTNERSHIP, S.B.-S INCOME	Other Type of Income (Specify)	None	$1 – $200	$201 – $1,000	$1,001 – $2,500	$2,501 – $5,000	$5,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $1,000,000	$1,000,001 – $5,000,000	Over $5,000,000	P, S, E
	R. G. Bosher Irrevocable Life Ins. Trust - Insurance Trust	X																				X											
DC	HPB Enterprises, T/A Albemarle Plantation - Real estate 1% income interest, Hertford, NC			X											X	X	X			X				X									
	Bosher Family Partnership L.P., Virginia Beach, VA				X										X		X					X											
	246 shares El Paso Nat'l Gas Co.				X									X										X									
	Undivided 1/5 interest-real estate-Rose Hall, Jamaica						X								X							X											
	Stock-Birdneck Country Club Apt., Inc.					X									X							X											
SP	Bosher Family Partnership L.P.,-Virginia Beach, VA				X										X		X					X											
DC	Bosher Family Partnership L.P.,-Virginia Beach, VA				X										X		X					X											
	Stock-Sea Bay Development Corp.			X											X							X						X					
	Chromium Industries - loan					X									X	X											X						
	Green Sea Farms, LLC-Chesapeake, VA					X														X		X											
	*See attached schedule of holdings.																																

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Carolyn B. Maloney
49 East 92nd Street
New York, New York 10128

ATTACHMENT TO SCHEDULE III, PAGE 6 OF 10
FINANCIAL DISCLOSURE STATEMENT
FOR THE YEAR DECEMBER 31, 2000

The following is a listing of the real estate holdings of the Bosher Family Partnership as of December 31, 2000:

1. 93.78 acres of land in Ocala, Florida.
2. Parcel #10-11-23-0000-0030-0000 located in Palatka, Florida.
3. Two residential lots in Pinehurst, North Carolina.
4. Two residential lots in Edenton, North Carolina.
5. 76.00 acres of land in Chowan County, North Carolina.
6. 107.00 acres of land in Elizabeth City, North Carolina.
7. 26 acres of land in Elizabeth City, North Carolina.

SCHEDULE IV — TRANSACTIONS

Name Carolyn B. Maloney

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
SP	1/4 interest in Green Sea Farms, LLC Joint owner of land purchase option				12-15-00				X						
SP	Chesapeake, VA - EXPIRED		X		12-31-00		N/A								
	Benhan Capital Preservation Fund-Money Market		X		6-01-00	X									

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Name Carolyn B. Maloney | Page 8 of 10

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
Example	*First Bank of Wilmington, Delaware*	*Mortgage on 123 Main St., Dover, Del.*				X						
	Citibank, N.A.	Mortgage on 49 East 92nd Street, New York, NY					X					
	Chase Manhattan Mtg. Corp., Worthington, OH	Mortgage of 279 West Road, New Canaan Bank				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example *Mr. Joseph H. Smith, Anytown, Anystate*	*Silver Platter (dismission on personal friendship received from Committee on Standards)*	*$270*
None		

Use additional sheets if more space is required.

Name	Page 9 of 10
Carolyn B. Maloney	

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source		Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
None							

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Name	Carolyn B. Maloney	Page 10 of 10

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	HPB Enterprises, T/A Albemarle Plantation, Hertford, NC
Partner	Bosher Family Partnership, L.P., Virginia Beach, VA
Member	Green Sea Farms, LLC, Virginia Beach, VA

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 MAY 15 AM 11:52

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED

MC
(Office Use Only)

(Full Name) JAMES H. MALONEY

(Mailing Address) 15 Wooster Heights, Danbury, CT

(Daytime Telephone) (202) 225-3822

Filer Status	[X] Member of the U.S. House of Representatives — State: CT District: 05	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

Page 1 of 7

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jas H. Maloney	5/15/2001

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SCHEDULE I — EARNED INCOME

5/15/2001

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Danbury Public Schools, Danbury, CT	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Asset and/or Income Source	Value of Asset (A None; B $1,001 – $15,000; C $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Type of Income (Dividends; Rent; Interest; Capital Gains; Excepted Trust; Qualified Blind Trust; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Transaction (P, S, E)
SP, DC, JT	*Examples* SP Mega Corp. Stock	X	Dividends X	X	P
	Indefinite Simon & Schuster		Interest X; Royalties		
	1st Bank of Paducah, KY accounts	X		X	
	Maloney, Leaphart & Assoc. ~~Loans Receivable and Equity~~	P C A		X	
	Constitution State Strategic Assoc. (Shares)	X		X	
	Maloney Congress Committees (Loans)	A	Interest X	X	

For additional assets and unearned income, use next page.

5/15/2001

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Cong. James H. Maloney

Page 4 of 7

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 - $1,000; C $1,001 - $15,000; D $15,001 - $50,000; E $50,001 - $100,000; F $100,001 - $250,000; G $250,001 - $500,000; H $500,001 - $1,000,000; I $1,000,001 - $5,000,000; J $5,000,001 - $25,000,000; K $25,000,001 - $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 - $200; III $201 - $1,000; IV $1,001 - $2,500; V $2,501 - $5,000; VI $5,001 - $15,000; VII $15,001 - $50,000; VIII $50,001 - $100,000; IX $100,001 - $1,000,000; X $1,000,001 - $5,000,000; XI Over $5,000,000	P, S, E
	Nutmeg Federal Savings	B X	INTEREST X	I X	
	Bank Accounts				
	Maloney State Senate Committees (Loans)		INTEREST X	I X	
	U.S. Government Bonds	C X	INTEREST X	I X	
	First Union Bank Accounts	B X	INTEREST X	I X	
	Norwalk Saving Society Acct	B X	INTEREST X	III X	
	1988 Milldale Rd., Cheshire, CT (Partial Interest)	E X		I X	
	Smith Barney IRA Money Market	B X	INTEREST X	I X	P,S
	Smith Barney IRA Money Market	B X	INTEREST X	I X	P,S
	Congressional Credit Union	A X	INTEREST X	I X	
	*A/K/A NewAll Bank				

This page may be copied if more space is required.

5/15/2001

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	American National Bank, Cheshire, CT	Mortgage on 1988 Milldale Rd. Cheshire, CT		X								
	Francis A. Miniter, Hartford, CT	Mortgage on 1988 Milldale Rd. Cheshire, CT		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NONE		

Use additional sheets if more space is required.

5/15/2001

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

5/15/2001

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Faith & Politics Institute 3rd Congressional Civil Rights Pilgrimage	3/3-3/5	DC- Selma/Birmingham, AL - DC	Y	Y	N	N

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5/15/2001

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Joint Tenancy	1988 Milldale Road, Cheshire, CT

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
N/A	Maloney, Leaphart & Associates, P.C.	Dissolved P.C. wrapping up affairs; to distribute any net equity

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A For use by Members, officers, and employees

(15)

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 4:43
(Office Use Only)
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

Donald A. Manzullo (Full Name)	
792 Lightsville Road Egan, IL 61047 (Mailing Address)	202-225-5676 Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives	State: IL District: 16	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependant child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependant child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependant child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependant child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☑ No ☐
V.	Did you, your spouse, or a dependant child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Donald A Manzullo	5/15/01

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SCHEDULE I - EARNED INCOME

Name Donald A Manzullo

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Farm	operation related & rent	$10,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Donald A. Manzullo

3050

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed catagories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Office Bldg, 400 Washington, Oregon, IL	$100,001 - $250,000	RENT	$15,001 - $50,000	
JT	Stillman Banccorp., Stillman Valley, IL	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	Federal Credit Union Savings and Checking	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Law Office of Donald Manzullo, P.C., sole shareholder (dormant)	$1 - $1,000		NONE	
	Manzullo, P.C. Pension Trust (SEE ATTACHMENT)	$250,001 - $500,000	DIVIDENDS INTEREST	$15,001 - $50,000	
	Don Manzullo IRA - various mutual funds (SEE ATTACHMENT)	$1,001 - $15,000	DIVIDENDS CAPITAL GAINS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Donald A. Manzullo

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Freda Manzullo IRA - various mutual funds (SEE ATTACHMENT)	$15,001 - $50,000	DIVIDENDS CAPITAL GAINS	$201 - $1,000	
DC	Neil Manzullo - various funds (SEE ATTACHMENT)	$15,001 - $50,000	DIVIDENDS Capital Gains	$1 - $999	
DC	Noel Manzullo - various funds (SEE ATTACHMENT)	$15,001 - $50,000	DIVIDENDS capital Gains	$1 - $999	
DC	Katie Manzullo - various funds (SEE ATTACHMENT)	$15,001 - $50,000	DIVIDENDS Capital Gains	$1 - $999	
JT	savings, stocks, bonds (SEE ATTACHMENT)	$100,001 - $250,000	DIVIDENDS INTEREST CAPITAL GAINS	$15,001 - $50,000	

SCHEDULE IV - TRANSACTIONS

Name Donald A. Manzullo

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Electronic Data System stock	P	Year 2000	~~$1,001 - $15,000~~
	Walmart stock	P	Year 2000	$1,001 - $15,000
	Walgreen stock	P	Year 2000	$1,001 - $15,000
	Evergreen Money Market	P	Year 2000	$1,001 - $15,000

See attachments

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SCHEDULE V - LIABILITIES

Name Donald A Manzullo

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Stillman BancCorp	Mortgage on 400 Washington St., Oregon, IL	~~$500,001 - $1,000,000~~ $50,001 to 100,000

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SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Donald A. Manzullo

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Union Pacific	Nov. 30	Rockford, IL--Marion, AR--Rockford, IL	N	N	N	N/A

SCHEDULE VIII - POSITIONS

Name Donald A. Manzullo

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Donald A. Manzullo, P.C. (dormant)
Trustee	Donald A. Manzullo Pension Trust - sole shareholder
Trustee	M.F. Charitable Trust (dormant)

SCHEDULE IX - AGREEMENTS

Name Donald A. Manzullo

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
Dec. 1992	various attorneys	agreement for residual attorneys legal fees on pending cases at Donald Manzullo, P.C. law firm as per permission from House Committee of Official Conduct and Standards dated Jan. 17, 1993

DONALD AND FREDA MANZULLO JOINT ACCOUNT AT FIRST UNION

Unigraphics Systems	$1,000 to $15,000

Janus Mercury	$1,000 to $15,000
Janus Enterprises	$1,000 to $15,000
ENE Source	$1 to $1,000

On 1/14/2000 Janus balances closed out and transferred to Janus Enterprise ($1,000 to $15,000)

ACQUIRED IN 2000

Electronic Data Systems	$1,000 to $15,000
HASBRO	$1 to $1,000
WalMart	$1,000 to $15,000
Walgreen	$1,000 to $15,000
Evergreen Money Market	$1,000 to $15,000

CORPORATE BONDS

Bear Sterns 7% 5/04/18	$15,0000 to $50,000
Citicorp 7.125 % 6/01/03	$1,000 to $15,000
CMS Energy 8% 10/15/02	$15,000 to $50,000
CMS Energy 7.75% 10/15/02	$1,000 to $15,000
GMAC DEB 5.5% 12/15/01	$1,000 to $15,000
IBM Notes 7.25% 11/01/02	$1,000 to $15,000
Providence Bank 6.7% 3/25/13	$1,000 to $15,000
? National Bank 6.0% 1/27/19	$1,000 to $15,000

DONALD AND FREDA MANZULLO JOINT ACCOUNTS p.2

FHLMC 7.4% 12/15/21	$1,000 to $15,000
FNMA 7.0% 12/2T/21	$1,000 to $15,000
FHLMC 8.5% 11/15/24	$1,000 to $15,000
FHLMC 7.375% 15/15/26	$1,000 to $15,000
First Trust Reit	$1,000 to $15,000

Invested in 2000:	$1,000 in Janus Mercury
	$1,000 in Januus Enterprise

DONALD MANZULLO DEFINED BENEFIT TRUST

Goldman Sachs Money Market	$1 000 to $15,000
Vanguard Fixed Inc. Sec.	$15,000 to $50,000
Vanguard Fixed Inc.	$15,000 to $50,000
Strong, Crop Bond Fund	same
Janus Mercury Fund	same
Vanguard Index Trust	same
American Century Fund	$1,000 to $15,000
Putnam Fund Growth	$15,000 to $50,000
Janus Enterprise	same
Lord Abbot Developing Growth	same
Berger Omni Fund	same
Janus Worldwide	same
S. Greater Europe	same
American Century International	same
Kemper Tech Fund A	same
Kemper Tech Fund B	$1,000 to $15,000
Stillman Valley Nati'l Bank	same
Promissary Note to Don and Freda Manzullo dated 2/1/00	$1,000 to $15,000

FREDA MANZULLO'S IRA (TRADITIONAL)

At First Union

Each fund is between $1.00 and $1,000 unless otherwise indicated:

Eresource Cap Grp	
Kemper Classic Growth Fund	$1,000 to $15,000
Kemper Growth Fund	same
Kemper Int'l Fund	same
Kemper Tech Fund	same
Purchasesoft	
Kemper Money Market	

DONALD MANZULLO'S ROTH IRA

Janus Mercury Fund	$1,000 to $15,000
Janus Strategic Value	$1,000 to $15,000

Year 2000 Transfers:

Vanguard Roth IRA closed out and transferred to Janus Mercury on 1/6/2000

Roth IRA contributions to Janus Strategic Value in the amounts between $1,000 to $15,000 on 4/2/2000

DONALD MANZULLO'S IRA (TRADITIONAL)

At First Union

Each fund is between $1.00 and $1,000 unless otherwise indicated:

Eresource Cap Grp	
Kemper Classic Growth Fund	$1,000 to $15,000
Kemper Growth Fund	same
Kemper Int'l Fund	
Kemper Tech Fund	$1,000 to $15,000
Purchasesoft	
Kemper Money Market	

FREDA MANZULLO'S ROTH IRA

Janus Fund	$1,000 to $15,000
Janus Mercury Fund	$1,000 to $15,000
Janus Strategic Value	$1,000 to $15,000

Year 2000 Transfers:

Vanguard Roth IRA closed out and transferred to Janus Mercury on 1/11/2000

Roth IRA contributions to Janus Strategic Value in the amounts between $1,000 to $15,000 on 4/2/2000

NEIL MANZULLO

(ESA) Standard & Poors SPY Fund	$1 00 to $1,000
(ESA) Janus Mercury Fund	$1,000 to $15,000

Regular Savings

Janus Mercury Fund	$1,000 to $15,000
Janus Enterprise Fund	$1,000 to $15,000

Delaware Aggres Growth $1,000 to $15,000

College Illinois Bond	$1,000 to $15,000

Year 2000 Transactions:

$500 ESA contribution to Janus Mercury
$1650 to Janus Mercury
$1650 to Janus Enterprises

NOEL MANZULLO

(ESA) Standard & Poors SPY Account	$1.00 to $1,000
College Ill. Bonds	$1,000 to $15,000
College Ill. Prepaid tuition	$1,000 to $15,000
Janus Mercury Fund	$1,000 to $15,000
Janus Enterprises	$1,000 to $15,000
Delaware Aggres. Growth	$1,000 to $15,000

Year 2000 Transactions:

Janus Balanced closed and transferred to Janus Enterprise on 1/14/2000 in an amount between $1,000 to $15,000

Monthly contributions to College Ill. - $332
Contribution to Janus Mercury - $900
Contribution to Janus Enterprise - $900

KATIE MANZULLO

(ESA) Standard & Poors SPY Account	$1.00 to $1,000
College Ill. Bonds	$1,000 to $15,000
College Ill. Prepaid tuition	$1,000 to $15,000
Janus Mercury Fund	$1,000 to $15,000
Janus Enterprises	$1,000 to $15,000
Delaware Aggres. Growth	$1,000 to $15,000

Year 2000 Transactions:

Janus Balanced closed and transferred to Janus Enterprise on 1/14/2000 in an amount between $1,000 to $15,000

Monthly contributions to College Ill. - $332
Contribution to Janus Mercury - $900
Contribution to Janus Enterprise - $900

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A — For use by Members, officers, and employees

01 MAY 15 PM 5:02

Edward J. Markey
(Full Name)

2108 Rayburn HOB Washington, DC 20515
(Mailing Address)

202-225-2836
Daytime Telephone

HC
(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: MA District: 07	☐ Officer Or Employee — Employing Office	**A $200 penalty shall be assessed against anyone who files more than 30 days late.**
Report Type	☑ Annual (May 15) ☐ Amendment	☐ Termination — Termination Date	

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Edward J. Markey	May 15, 2001

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SCHEDULE I - EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Spouse: US Public Health Service, Dept. of Health & Human Services	Spouse Salary	N/A
Spouse: Private practice of medicine	Fees	NA

SCHEDULE III - ASSETS AND "U

Na Edward J. Markey

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Cong. Federal Credit Union Accts	$1,001 - $15,000	Interest	$1 - $200	
Rydex OTC Investor Class	$15,001 - $50,000	CAPITAL GAINS	$201 - $1,000	
Janus Global Technology Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Salomon Smith Barney, IRA - NASDAQ 100 Trust (QQQ)	$15,001 - $50,000	None	NONE	
Salomon Smith Barney, IRA - SB Money Funds Cash Port Cl. A	$1 - $1,000	Dividends	$1 - $200	
Firsthand Technology Value Fund	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	P

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SCHEDULE III - ASSETS AND "U

Na Edward J. Markey

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Fidelity Money Market Fund	$1 - $1,000	Interest	$1 - $200	
JT	First Union Bank Acct	$1,001 - $15,000	Interest	$1 - $200	
SP	First Union Bank Acct	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Morgan Stanley Dean Witter, IRA - Information Fund B	$1,001 - $15,000	Capital Gains	NONE	
SP	Morgan Stanley Dean Witter, IRA - Liquid Asset Fund	$1 - $1,000	Dividends	$1 - $200	
SP	Morgan Stanley Dean Witter, IRA - NASDAQ 100 Trust Series I	$1,001 - $15,000	N/A	NONE	

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SCHEDULE III - ASSETS AND "U

Na Edward J. Markey

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	TIAA-CREF Retirement Annuity	$250,001 - $500,000	INTEREST	NONE	
SP	TIAA-CREF Growth & Income Fund	None	N/A	NONE	S
SP	Merrill Lynch Money Market Tax Free Acct.	$1 - $1,000	Dividends	$1 - $200	
SP	Fidelity Municipal Money Market Fund	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	
SP	Janus Twenty Fund	None	N/A	NONE	S
SP	G.S. Internet Tollkeeper Fund - C	None	N/A	NONE	S

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SCHEDULE III - ASSETS AND "U

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	The Internet Fund	None	N/A	NONE	PS
SP	Janus Global Technology Fund	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	P
SP	Janus Olympus Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
SP	Rydex OTC Fund	$100,001 - $250,000	CAPITAL GAINS	$1,001 - $2,500	P
SP	R.S. Emerging Growth Fund	$50,001 - $100,000	CAPITAL GAINS	$1,001 - $2,500	P
SP	Firsthand Technology Value Fund	$100,001 - $250,000	CAPITAL GAINS	$15,001 - $50,000	PS

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SCHEDULE III - ASSETS AND "U

Na Edward J. Markey

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assat or source of income which generated more than $200 in "unearned" income during the year. For rental property or lend, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or ratirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an activa business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouss, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generatad income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Nevis Fund	$1,001 - $15,000	N/A	NONE	P
SP	PHBG Technology Fund	$50,001 - $100,000	CAPITAL GAINS	$5,001 - $15,000	PS
SP	RS Internet Age Fund	None	N/A	NONE	PS

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SCHEDULE IV - TRANSACTIONS

Na Edward J. Markey

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Firsthand Technology Value Fund	P	04-03-00	$1,001 - $15,000
	Firsthand Technology Value Fund	P	10-06-00	$1,001 - $15,000
	Firsthand Technology Value Fund	P	10-12-00	$1,001 - $15,000
SP	TIAA-CREF Growth & Income Fund	S	01-14-00	$15,001 - $50,000
SP	TIAA-CREF Growth & Income Fund	S	01-18-00	$15,001 - $50,000
SP	TIAA-CREF Growth & Income Fund	S	01-19-00	$15,001 - $50,000
SP	TIAA-CREF Growth & Income Fund	S	02-01-00	$15,001 - $50,000
SP	TIAA-CREF Growth & Income Fund	S	02-08-00	$15,001 - $50,000
SP	TIAA-CREF Growth & Income Fund	S	02-22-00	$15,001 - $50,000
SP	Firsthand Technology Value Fund	P	01-21-00	$15,001 - $50,000
SP	Firsthand Technology Value Fund	P	01-24-00	$15,001 - $50,000
SP	Firsthand Technology Value Fund	P	02-11-00	$50,001 - $100,000

SCHEDULE IV - TRANSACTIONS

Na Edward J. Markey

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000: Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Firsthand Technology Value Fund	P	02-22-00	$50,001 - $100,000
SP	Firsthand Technology Value Fund	P	03-02-00	$50,001 - $100,000
SP	Firsthand Technology Value Fund	P	04-03-00	$1,001 - $15,000
SP	Firsthand Technology Value Fund	P	12-15-00	$1,001 - $15,000
SP	Firsthand Technology Value Fund	P	12-15-00	$1,001 - $15,000
SP	Firsthand Technology Value Fund	P	12-29-00	$1,001 - $15,000
SP	Janus Global Technology Fund	P	01-24-00	$15,001 - $50,000
SP	Janus Global Technology Fund	P	12-15-00	$1,001 - $15,000
SP	Nevis Fund	P	03-20-00	$15,001 - $50,000
SP	PHBG Technology & Communication Fund	P	03-02-00	$15,001 - $50,000
SP	PHBG Technology & Communication Fund	P	03-06-00	$15,001 - $50,000
SP	PHBG Technology & Communication Fund	P	03-07-00	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Na Edward J. Markey

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	PHBG Technology & Communication Fund	P	03-13-00	$50,001 - $100,000
SP	PHBG Technology & Communication Fund	P	12-13-00	$1,001 - $15,000
SP	RS Emerging Growth Fund	P	01-28-00	$15,001 - $50,000
SP	RS Emerging Growth Fund	P	12-20-00	$1,001 - $15,000
SP	RS Internet Age Fund	P	03-02-00	$1,001 - $15,000
SP	Rydex OTC Investor Class Fund	P	01-04-00	$15,001 - $50,000
SP	Rydex OTC Investor Class Fund	P	11-09-00	$1,001 - $15,000
SP	The Internet Fund	S	12-29-00	$15,001 - $50,000
SP	Firsthand Technology Value Fund	S	12-14-00	$50,001 - $100,000
SP	GS Internet Tollkeeper Class C	S	03-03-00	$50,001 - $100,000
SP	Janus Twenty Fund	S	02-29-00	$100,001 - $250,000
SP	Janus Twenty Fund	S	03-01-00	$100,001 - $250,000

SCHEDULE IV - TRANSACTIONS

Na Edward J. Markey

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000: Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	PHBG Technology & Communication Fund	S	12-29-00	$15,001 - $50,000
SP	RS Internet Age Fund	S	12-29-00	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Na Edward J. Markey

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
SP	MBNA America Bank	Bank credit loan	$10,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS

Na Edward J. Markey

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
World Economic Forum	Jan. 26 - 30	Wash., DC-- Davos, Switzerland--Wash., DC	Y	Y	Y	2 Days
Congressional Program of the Aspen Institute	Feb. 18 - 23	Wash., DC--San Juan--Wash., DC	Y	Y	Y	2 Days
Aspen Institute	Aug. 9 - 11	Wash., DC--Aspen, CO--Denver, CO	Y	Y	N	None

SCHEDULE VIII - POSITIONS

Na Edward J. Markey

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, truetee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Boston College Law School Board of Advisors (uncompensated)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A

For use by Members, officers, and employees

01 MAY -2 PM 4:51

OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES

HAND DELIVERED

(Office Use Only)

3075

Frank R. Mascara
(Full Name)

831 Lincoln Avenue
Charleroi, PA 15022

HO: 724-483-8733
HO: 202-544-5964
OFC: 202-225-4665
Daytime Telephone

Filer Status ☑ Member of the U.S. House of Representatives State: PA District 20 ☐ Officer Or Employee Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U S C app 4, § 104 and U S C § 1001)

Certification

I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief

Signature of Reporting Individual: Frank Mascara

Date (Month, Day, Year): 5/2/01

SCHEDULE III - ASSETS AND "UNE

Nam Frank R. Mascara

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Charleroi Federal Savings Bank C.D.'s and Checking Account	$50,001 - $100,000	INTEREST	$2,501 - $5,000	
JT	Mellon Bank C.D.'s and Checking Account	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	Washington County Retirement Fund	$100,001 - $250,000	NONE	NONE	
JT	609-11 Fallowfield Avenue, Charleroi, PA	$100,001 - $250,000	RENT	GROSS NET-NONE $19,346	
JT	National City Bank C.D. and Checking	$15,001 - $50,000	INTEREST	$201 - $1,000	
JT	Pax World Funds	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	

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SCHEDULE III - ASSETS AND "UNE

Nam Frank R. Mascara

3077

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residance(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	John Hancock Financial Services	$15,001 - $50,000	DIVIDENDS	$1 - $200	
JT	Spectra Fund	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
JT	Legg Mason	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Nam Frank R. Mascara

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	*John Hancock Financial Services Common Stock * Demutualization Compensation Mutual Company to Stock Company [Issued 469 Shares of Stock Based upon Value of Policy at the Time of Demutualization [NO COST]	PE	2-1-00	$1,001 - $15,000
JT	Pax World Mutual Fund	P	1-28-00	$1,001 - $15,000
JT	Spectra Fund Mutual Fund	P	6-15-00	$1,001 - $15,000
JT	Legg Mason Mutual Fund	P	3-31-00	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Nam Frank R. Mascara

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Charleroi Federal Savings Bank Charleroi, PA 15022	Mortgage on 609-11 Fallowfield Avenue, Charleroi, PA	$50,001 - $100,000

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE REFERENCE CENTER
01 MAY 10 AM 10: 02
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

9

HAND DELIVERED
(Office Use Only)

James David Matheson
(Full Name)

795 N. Terrace Hills Drive
(Mailing Address)

Salt Lake City UT 84103

Daytime Telephone (202) 225-3011

Filer Status	☒ Member of the U.S. House of Representatives — State UTAH District: 02	☐ Officer or Employee	Employing Office
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒ No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒ No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒ No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒ No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/2/01

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
University of Utah	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name James O. Matheson | Page 3 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp Stock					X							X										X								P
			Simon & Schuster		Indefinite																Royalties								X			
			1st Bank of Paducah, KY accounts					X									X									X							
	Activision Inc. Stock				X																	X											
	AKsys LTD Stock				X																	X											
	AOL Time Warner Stock				X																	X											
	Baldor Electric Stock				X										X								X										P- Dividend Reinvest *
	Coin Collection				X																	X											
	Liberty Newport Tiger Fund				X																	X											

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name James D. Matheson

BLOCK A Asset and/or Income Source	SP, DC, JT	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
Computer Network Technology Stock				X																	X											
Conseco, Inc. Stock				X										X								X										P-Dividend Reinvest *
Crabbe Huson Equity Fund				X										X			X							X								P-Div. and CG Reinvest
Equitable Variable Life																																
Insurance Mutual Funds:																																
(1) Alliance Small Cap Growth				X										X			X					X										P-Additional P and Reinvest
(2) Alliance Common Stock				X										X			X					X										"
(3) Alliance Equity Index					X									X			X					X										"
(4) Alliance Global				X													X					X										"
(5) Alliance International				X													X					X										"
(6) MFS Emerging Growth					X												X					X										"
Fidelity Advisor growth opportunity Fund				X										X			X							X								P-Div. and CG Reinvest
Fidelity Cash Reserves					X											X								X								
Fidelity Municipal Money Market Fund					X											X							X								
Fidelity Spartan Muni. Inc. Fund				X										X			X						X									P-Reinvest *
Fidelity Spartan U.S. Equity Fund					X																											P-Additional P and Reinvest
First Security Bank of Utah Accounts				X												X																
Imation Corp. Stock				X																	X											
Integ Inc. / Inverness Medical Technology Stock				X																	X											
Invesco Health Sciences Fund					X									X			X								X							P-Additional P and Reinvest
Marine Drilling Companies Inc. Stock				X																	X											
Mutual Qualified Fund				X										X			X							X								P-Additional P and Reinvest

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name James D. Matheson

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $15,001 – $50,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $2,401 – $7,500	V $15,001 – $50,000	VII $100,001 – $1,000,000	IX $5,000,001 – $25,000,000	XI Over $50,000,000	BLOCK E Transaction: P, S, E	
	Northeast Investors Trust		X						X					X					P-Additional D and Reinvest	
	Novoste Corp Stock		X										X							
	Pepsico Stock		X						X										P-Reinvest	*
	Pharmacia Corp Stock		X						X										P-Reinvest	*
	Putnam Fund for Growth and Income				X				X						X				P-Reinvest	
	Putnam Global Growth Fund		X						X					X					P-Reinvest	*
	Putnam Voyager Fund		X						X										P-Reinvest	
	Putnam Voyager II Fund		X						X					X					P-Reinvest	*
	Qualcomm Inc. Stock				X								X							
	Scottish Power PLC Stock		X						X										P-Reinvest	*
	Scudder International Fund			X					X						X				P-Reinvest	
	Seligmann Communications and Information Fund		X						X						X				P-Reinvest	
	T. Rowe Price Science and Technology Fund			X					X						X				P-Additional D and Reinvest	
	T. Rowe Price Small Cap Stock Fund			X					X										P-Additional D and Reinvest	
	Ultimate Electronics Inc. Stock		X										X						5	
	Vanguard Emerging Markets Index Fund		X						X										P-Reinvest	*
	Vanguard Small Cap Stock Index Fund		X						X										P-Reinvest	
	Vanguard Total Stock Market Index Fund			X					X					X					P-Additional D and Reinvest	

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name James D. Matheson

Page 6 of 9

BLOCK A Asset and/or Income Source (SP, DC, JT)	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction (P, S, E)
Vanguard Wellesley Income Fund			X										X			X						X									P-Additional P and Reinvest
Wasatch Micro Cap Fund				X									X			X									X						P-Additional P and Reinvest

NOTE: Block E Transactions marked with an asterisk (*) reflect purchases that were less than $1000 and therefore are not mentioned on Schedule IV.

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SCHEDULE IV — TRANSACTIONS

Name James D. Matheson | Page 7 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
	Crabbe Huson Equity Fund Dividend and Capital gain Reinvestment	X			12-13-00	X									
	Equitable Variable Life Insurance Mutual Funds – Direct Purchase plus reinvestments														
	(1) Alliance Small Cap Growth	X			06-20-00	X									
	(2) Alliance Common Stock	X			06-20-00	X									
	(3) Alliance Equity Index	X			06-20-00	X									
	(4) Alliance Global	X			06-20-00	X									
	(5) Alliance International	X			06-20-00	X									
	(6) MFS Emerging Growth	X			06-20-00	X									
	Fidelity Advisor Growth Opportunity Fund Dividend and Capital Gain Reinvestment	X			01-10-00 12-18-00	X									
	Fidelity Spartan U.S. Equity Fund – Semi-Monthly Payroll Deductions and Dividend Reinvestment	X			Semi-Monthly Payroll Deduction and Reinvest	X									
	Invesco Health Sciences Fund – Monthly Purchases and Dividend and Capital Gain Reinvestment	X			Monthly plus 11-30-00	X									
	Mutual Qualified Fund monthly purchases plus Dividend and Capital Gain Reinvestment.	X			Monthly plus 06-27-00 12-15-00	X									
	Northeast Investors Trust monthly Purchases and Quarterly Dividend Reinvestment	X			Monthly Purchase Quarterly Invest	X									
	Putnam Fund for Growth and Income Dividend and Capital gain Reinvestment	X			Quarterly	X									
	Putnam Voyager Fund Dividend and Capital Gain Reinvestment	X			12-15-00	X									
	Scudder International Fund Dividend and Capital Gain Reinvestment	X			06-28-00 12-28-00	X									

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SCHEDULE IV — TRANSACTIONS

Name James D. Matheson | Page 8 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
	Seligman Communications and Information Fund Dividend and Capital Gain Reinvestment	X			07-00 or 11-00	X									
	T. Rowe Price Science and Technology Fund Monthly purchase plus Dividend and Capital Gain Reinvestment	X			Monthly plus 12/13/00	X									
	T. Rowe Price Small Cap Stock Fund Monthly Purchase plus Dividend and Capital Gain Reinvestment	X			Monthly plus 12/13/00	X									
	Ultimate Electronics Corp Stock		X		6/12/00	X									
	Vanguard Small Cap Stock Index Fund Dividend and Capital gain Reinvestment	X			3/24/00 12/20/00	X									
	Vanguard Total Stock Market Index Monthly Purchase and Dividend and Capital Gain Reinvestment	X			Monthly plus 03-24-00 12-20-00	X									
	Vanguard Wellesley Income Fund Monthly Purchase and Dividend and Capital Gain Reinvestment	X			Monthly plus Quarterly	X									
	Wasatch Micro Cap Fund Monthly Purchase plus Dividend and Capital Gain Reinvestment	X			Monthly plus 10-13-00 12-22-00	X									

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Norma W. Matheson Grandchildren's Trust for the Children of James O. Matheson

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A For use by Members, officers, and employees

HAND DELIVERED

8

LEGISLATIVE RESOURCE CENTER

2001 MAY 15 PM 4:12

(Office Use Only)

U.S. HOUSE OF REPRESENTATIVES

MC

ROBERT TAKEO MATSUI
(Full Name)

US House of Representatives, 2308 Rayburn HOB Washington, DC 20515
(Mailing Address)

(202) 225-7163
Daytime Telephone

Filer Status ✓ Member of the U.S. House of Representatives — State: CA District: 05 — Officer Or Employee — Employing Office:

Report Type ✓ Annual (May 15) — Amendment — Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ✓ No
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes No ✓
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ✓ No
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ✓ No
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes No ✓
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? — Yes No ✓
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? — Yes ✓ No
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes No ✓
If yes, complete and attach Schedule VIII.

IX Did you have any reportable agreement or arrangement with an outside entity? — Yes No ✓
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes No ✓

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	May 15, 2001

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SCHEDULE I - EARNED INCOME

Name ROBERT TAKEO MATSUI

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Collier, Shannon, Scott, PLLC	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT TAKEO MATSUI

BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Wright Patman Congressional Federal Credit Union	$1 - $1,000	Interest	$1 - $200	
SP	Bank of America-checking	$1 - $1,000	Interest	$1 - $200	
JT	White House Federal Credit Union	$1,001 - $15,000	Interest	$1 - $200	
JT	Pacific Gas & Electric Common Stock	$1,001 - $15,000	Dividends	$201 - $1,000	
JT	Sierra Pacific Resources Common Stock	$1,001 - $15,000	Dividends	$1 - $200	
JT	CALIFORNA ST VAR PURP BOOK ENTRY BOND	$1,001 - $15,000	Interest	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT TAKEO MATSUI

BLOCK A Asset and/or Income Source		BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	REDDING JT PWRS FING AUTH CA WSTEWTR REV RFDG SER-A-FGIC BOND	$1,001 - $15,000	Interest	$201 - $1,000	
JT	PUTNAM GLOBAL GROWTH FD INC COMMON STOCK	$15,001 - $50,000	Capital Gains	$2,501 - $5,000	
JT	CMA Fund - Merrill Lynch	$1,001 - $15,000	Dividends	$1 - $200	
JT	US Treasury Notes	$15,001 - $50,000	Interest	$1,001 - $2,500	
SP	Checking Account-Bank of America, Dinuba, CA	$1,001 - $15,000	Interest	$1 - $200	
SP	Cash Maximizer Account-Bank of America, Dinuba, CA (50% interest)	$1,001 - $15,000	Interest	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT TAKEO MATSUI

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Farm and Residence, Dinuba, CA (50% interest)	$250,001 - $500,000	Rent	NONE	
SP	Sun Financial Group bank account (proceeds from father's life insurance)	$15,001 - $50,000	Interest	$2,501 - $5,000	
JT	Vortran Medical Technology 1, Inc.	$1 - $1,000	Interest	NONE	
JT	Westmed Holding Company	$1,001 - $15,000	Interest	NONE	
JT	Wright Patman Congressional FCU-held in trust for Brian R. Matsui	$1 - $1,000	Interest	$1 - $200	
JT	Walt Disney Co.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT TAKEO MATSUI

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Lucent Technologies Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	Procter Gamble	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	Vivendi Universal (frmr Seagram Co., Ltd.)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	Comcast Corp. SPL CL A	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	Oracle Corp.	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	Flextronics Intl, Ltd.	$1,001 - $15,000	DIVIDENDS	NONE	P

SCHEDULE IV - TRANSACTIONS

Name ROBERT TAKEO MATSUI

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	US Treasury Note	S	1/11/00	$1,001 - $15,000
JT	US Treasury Note	S	3/6/00	$1,001 - $15,000
JT	Walt Disney Co.	P	1/12/00	$1,001 - $15,000
JT	Lucent Technologies Inc.	P	1/12/00	$1,001 - $15,000
JT	Procter Gamble	P	3/6/00	$1,001 - $15,000
JT	Vivendi Universal (frmr Seagram Co., Ltd.)	P	1/12/00	$1,001 - $15,000
JT	Comcast Corp. SPL CL A	P	3/6/00	$1,001 - $15,000
SP	Oracle Corp.	P	3/6/00	$1,001 - $15,000
SP	Flextronics Intl, Ltd.	P	3/6/00	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name ROBERT TAKEO MATSUI

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Aspen Institute Congressional Program (Travel Disclosure Form filed with Clerk of the House, dtd. 9/5/00)	Aug. 20-26	Wash., DC-Prague, Czech Repub-Wash., DC	Y	Y	Y	N/A

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

59

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 3:32
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

Carolyn McCarthy
(Full Name)

493 Nancy Road, Mineola, NY 11501
(Mailing Address)

516-873-9087
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State: New York Distnct: 4th	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	✓ Carolyn McCarthy	✓ 5-15-01

3097

Name Carolyn McCarthy

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salery	NA
	None		

For payments to charity in lieu of honoraria, use Schedule II.

3098

Name Carolyn McCarthy

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
None			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Carolyn McCarthy

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset												Block C: Type of Income						Block D: Amount of Income											Block E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	Examples: SP Mega Corp Stock					X								X										X							P
	DC Simon & Schuster		Indefinite																	Royalties									X		
	JT 1st Bank of Paducah, KY accounts						X									X										X					
	SEE ATTACHED																														
	BROKERAGE STATEMENTS																														

For additional assets and unearned income, use next page.

Prudential Securities

Client Statement

SIPC

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Retirement Account

Prudential Securities Incorporated, a subsidiary of The Prudential Insurance Company of America, Newark, New Jersey

For The Period:
December 1 - December 31, 2000

Account Number: [redacted]

Total Account Net Worth

	OPENING	CLOSING
Priced Securities Value	$66,749.76	$67,008.36
Money Market Funds	$5,735.00	$5,944.00
Cash Balance	$.18	$.58
Total Net Worth	$72,484.94	$72,952.94

Your Financial Advisor: SKLAR & SKLAR
405 LEXINGTON AVENUE
3RD FLOOR
NEW YORK NY 10174
Phone 212-455-0600
E-mail Address: scott_sklar@prusec.com
stuart_sklar@prusec.com
Your Branch Manager: EDWARD KAHAN

Gain (Loss) Summary

(PLEASE REFER TO DETAILED SECTIONS)

Year To Date Realized Gain (Loss)	$11,548.93
Unrealized Gain (Loss) As Of December 31	$26,845.26

ROBERT J ECKHARDT & CO.,P.C
ONE HUNTINGTON QUADRANGLE,4S14
MELVILLE NY 11747

Income & Distributions

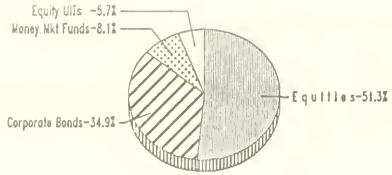
	THIS PERIOD	YEAR TO DATE
Money Fund Dividends	$31.50	$347.44
Dividends	$2.90	$513.62
Interest	$175.00	$1,496.88
Total Income	$209.40	$2,357.94

Copy of Statement for: PRUDENTIAL SECURITIES C/F
CAROLYN MCCARTHY
IRA DTD 06/14/94
493 NANCY RD

Cash Activity Highlights

	THIS PERIOD	YEAR TO DATE
Funds Added	$.00	$2,000.00
Funds Withdrawn	$.00	$.00

Asset Composition



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Tax Information

	2000	1999
IRA CONTRIBUTION	$2,000.00	$2,000.00

Portfolio Detail

	SYMBOL	QUANTITY	CURRENT PRICE	CURRENT VALUE	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUALIZED INCOME	CURRENT YIELD	COMMENTS
Equities-51.3% of Portfolio								
GENERAL ELECTRIC CO	GE	504	47.938 **	$24,160.75		$323	1.34%	
PROCTER & GAMBLE CO	PG	168	78.438 **	$13,177.58		$235	1.78%	
Total				**$37,338.33**		**$558**		
Corporate Bonds-34.9% of Portfolio								
COCA-COLA ENTERPRISES INCORPORATED NOTES RO FA 7.875 02/01/2002 DTD 02/11/92 BOOK ENTRY ONLY		5,000	101.482 E	$5,074.10	$164	$394	7.76%	Rating A2/A
GILLETTE COMPANY NOTES RO AO 5.750 10/15/2005 DTD 10/25/93 BOOK ENTRY ONLY		5,000	98.3542 E	$4,917.71	$61	$288	5.86%	Rating AA3/AA
PROCTER AND GAMBLE CO NOTES RO MS 5.250 09/15/2003 DTD 09/28/98 BOOK ENTRY ONLY		5,000	98.224 E	$4,911.20	$77	$263	5.36%	Rating AA2/AA
WAL MART STORES INC NTS RO MS 8.000 09/15/2006 DTD 09/27/94 BOOK ENTRY ONLY		5,000	108.261 E	$5,413.05	$118	$400	7.39%	Rating AA2/AA
WALT DISNEY CO MEDIUM TERM NOTES RO JD 7.000 12/14/2006 DTD 12/14/99 CALLABLE 12/14/2001 AT 100.00 BOOK ENTRY ONLY		5,000	103.3906 E	$5,169.53	$17	$350	6.77%	Rating A2/A
Total		**25,000**		**$25,485.59**	**$437**	**$1,695**		

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Prudential Securities

Client Statement

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SIPC

Retirement Account ☆

Prudential Securities Incorporated, a subsidiary of The Prudential Insurance Company of America, Newark, New Jersey

For The Period
December 1 - December 31, 2000

CAROLYN MCCARTHY

Account Number [redacted]

Portfolio Detail

(CONTINUED)

	SYMBOL	QUANTITY	CURRENT PRICE	CURRENT VALUE	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUALIZED INCOME	CURRENT YIELD	COMMENTS
Equity Unit Trusts-5.7% of Portfolio								
VAN KAMPEN AGGRESSIVE GRWTH INTERNET 19 (SUBJ TO DEFERRED SALES CHARGE)		150	5 180	$777.00				
VAN KAMPEN BIOTECHNOLOGY& PHARMACEUTICAL SER 9(SUBJ TO DEFERRED SALES CHARGE)		150	10 7725	$1,615 88				
VAN KAMPEN SEMICONDUCTOR TRUSTS SER 1 (SUBJ TO DEFERRED SALES CHARGE)		150	5.6794	$851 91				
VAN KAMPEN TELECOMUNICATIONS TR SR 9 (SUBJ TO DEFERRED SALES CHARGE)		150	6 2643	$939.65				
Total				$4,184.44				
TOTAL PRICED SECURITIES VALUE				$67,008.36	$437	$2,253		
Money Market Funds-8.1% of Portfolio								
COMMAND MONEY FUND		5,944	1 000	$5,944 00		$367	6.17%	7-Day Yield
TOTAL MONEY MARKET FUNDS				$5,944.00		$367		

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Prudential Securities

Client Statement

COMMAND Account ★

Prudential Securities Incorporated, a subsidiary of The Prudential Insurance Company of America, Newark, New Jersey

For The Period
December 1 - December 31, 2000

Account Number: [redacted]

Total Account Net Worth

	OPENING	CLOSING
Priced Securities Value	$690,584.62	$690,543.87
Money Market Funds	$175,096.00	$176,284.00
Cash Balance	$6,837.91	$5,071.26
Total Net Worth	$872,618.53	$871,899.13
Available For Checkwriting And Visa		$181,158.26

Gain (Loss) Summary

(PLEASE REFER TO DETAILED SECTIONS)

This Period Realized Gain (Loss)	($12,756.35)
Year To Date Realized Gain (Loss)	($20,445.92)
Unrealized Gain (Loss) As Of December 31	($4,436.26)

Income & Distributions

	THIS PERIOD	YEAR TO DATE
Money Fund Dividends	$968.60	$10,618.78
Dividends	$3,257.09	$4,534.75
Capital Gain Distribution	$5,486.02	$11,957.47
Interest	$.00	$7,812.50
Municipal Income	$2,830.11	$16,493.04
Total Income	$12,541.82	$51,416.54

Cash Activity Highlights

	THIS PERIOD	YEAR TO DATE
Opening Cash And Fund Balance	$181,933.91	
Income & Distributions In Cash	$3,798.71	$35,414.11
Funds Added	$6,226.91	$298,686.19
Net Securities Bought/Sold	$.00	($147,298.40)
Net Redemptions/Exchanges	$.00	$35,000.00
Funds Withdrawn	($90.37)	($871.07)
Visa Card Activity	($4,408.53)	($26,630.51)
Checking & Billpay	($6,080.37)	($233,287.19)
Miscellaneous	($25.00)	($70.00)
Closing Cash And Fund Balance	$181,355.26	

Your Financial Advisor: SKLAR & SKLAR
405 LEXINGTON AVENUE
3RD FLOOR
NEW YORK NY 10174
Phone: 212-455-0600

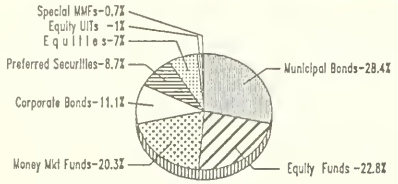
E-mail Address: scott_sklar@prusec.com
stuart_sklar@prusec.com

Your Branch Manager: EDWARD KAHAN

ROBERT J ECKHARDT & CO.,P.C
ONE HUNTINGTON QUADRANGLE,4S14
MELVILLE NY 11747

Copy of Statement for: CAROLYN MCCARTHY
493 NANCY ROAD
MINEOLA NY 11501-1124

Asset Composition



Portfolio Detail

	SYMBOL	QUANTITY	CURRENT PRICE	CURRENT VALUE	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUALIZED INCOME	CURRENT YIELD	COMMENTS
Equities-7.0% of Portfolio								
AMERICA ONLINE INC DELAWARE	AOL	500	34 800 **	$17,400.00				
CENDANT CP	CD	400	9 625 **	$3,850.00				
COMPUTER ASSOCIATES INTL INC	CA	700	19 500 **	$13,650.00		$56	.41%	
NASDAQ 100 TRUST SERIES 1 BOOK ENTRY ONLY	QQQ	100	58 375 **	$5,837.50				
VIACOM INC CLASS B	VIAB	434	46 750 **	$20,289.50				
Total				$61,027.00		$56		
Preferred Securities-8.7% of Portfolio								
NUVEEN NEW YORK INVESTMENT QUALITY MUN AUCTION RATE PFD SERIES M BOOK ENTRY ONLY		1	25,000.000	$25,000 00				
NUVEEN NEW YORK PERFORMANCE PLUS MUN FUND AUC RATE CUM PFD 800 SHS SER T BOOK ENTRY ONLY		1	25,000 000	$25,000 00				
NUVEEN NEW YORK PERFORMANCE PLUS MUN FD INC MUN AUCTION RATE PREFERRED SER M		1	25,000 000	$25,000 00				
Total				$75,000.00				
Corporate Bonds-11.1% of Portfolio								
AT&T CORP DEBENTURES RO JJ 8 125 07/15/2024 DTD 07/15/92 CALLABLE 07/15/2002 AT 103.97 BOOK ENTRY ONLY		50,000	96 125 E	$48,062 50	$1,673	$4,063	8 45%	Rating: A2/A
PACIFIC BELL DEBENTURES RO FA 7 500 02/01/2033 DTD 02/01/93 CALLABLE 02/01/2003 AT 102 94		50,000	97 0052 E	$48,502 60	$1,563	$3,750	7 73%	Rating: AA3/AA-
Total		100,000		$96,565.10	$3,436	$7,813		
Municipal Bonds-28.4% of Portfolio								
NASSAU CO NY GENERAL OBLIGATION COMBINED SEWER DIST SERIES P RO MS 5 100 03/01/2001 DTD 11/01/93		25,000	100.184 E	$25,046 00	$425	$1,275	5.09%	Rating: AAA/AAA CAPG Insured

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Portfolio Detail (CONTINUED)

	SYMBOL	QUANTITY	CURRENT PRICE		CURRENT VALUE	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUALIZED INCOME	CURRENT YIELD	COMMENTS
Municipal Bonds-28.4% of Portfolio		(continued)							
BOOK ENTRY ONLY									
SUFFOLK CO NY IND DEV AGENCY REVENUE SUFFOLK CO SOUTHWEST SWR DIST SER 1994 RO FA 4.500 02/01/2002 DTD 02/24/94 BOOK ENTRY ONLY		55,000	100.541	E	$55,297.55	$1,031	$2,475	4.48%	Rating AAA/AAA FGIC Insured
WARWICK VALLEY CENT SCH DIST N Y G.O. ORANGE CNTY SERIES B RO JD 5.300 06/15/2003 DTD 06/15/99 BOOK ENTRY ONLY		50,000	102.993	E	$51,496.50	$118	$2,650	5.15%	Rating: AAA/NR FGIC Insured
WARWICK VALLEY CENT SCH DIST N Y G.O. ORANGE CNTY SERIES A RO JD 5.100 06/15/2004 DTD 06/15/99 BOOK ENTRY ONLY		50,000	103.390	E	$51,695.00	$113	$2,550	4.93%	Rating: AAA/NR MBIA Insured
JAMESTOWN N Y PUB IMPT SER A GENL OBLIG RO MN 4.900 11/15/2005 DTD 05/15/99 BOOK ENTRY ONLY		25,000	103.545	E	$25,886.25	$157	$1,225	4.73%	Rating: AAA/NR AMBAC Insured
NEW YORK ST DORM AUTH REVS MENTAL HLTH SVCS FACS IMPT G RO FA 5.250 08/15/2013 DTD 10/01/98 CALL 08/15/2008 101.00 BOOK ENTRY ONLY		15,000	103.509	E	$15,526.35	$297	$788	5.08%	Rating: AAA/AAA AMBAC Insured
NEW YORK ST URBAN DEVELOPMENT CORP YOUTH FACILITIES REV BDS SER 1994 RO AO 5.700 04/01/2014 DTD 03/15/94 CALL 04/01/2004 102.00 BOOK ENTRY ONLY		20,000	103.846	E	$20,769.20	$285	$1,140	5.49%	Rating: AAA/AAA MBIA Insured
Total		**240,000**			**$245,716.85**	**$2,425**	**$12,103**		
Equity Mutual Funds-22.8% of Portfolio									
ALLIANCE TECHNOLOGY FUND INCORPORATED	ALTFX	176.585	90.820		$16,037.45				Part of PruChoice
DREYFUS GROWTH & VALUE FUNDS INC TECHNOLOGY GROWTH FUND	DTGRX	317.361	38.370		$12,177.14				
INVESCO SECTOR FDS INC HEALTH SCIENCE FUND	FHLSX	227.688	59.370		$13,517.84		$57	.42%	Part of PruChoice Reinvest Div/Cap Gain
JANUS INVESTMENT FUND WORLDWIDE FUND	JAWWX	620.039	56.860		$35,255.42		$467	1.32%	Part of PruChoice Reinvest Div/Cap Gain

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Prudential Securities

Client Statement

COMMAND Account ★

Prudential Securities Incorporated, a subsidiary of The Prudential Insurance Company of America, Newark, New Jersey

For The Period
December 1 - December 31, 2000 CAROLYN MCCARTHY

Account Number: [redacted]

Portfolio Detail (CONTINUED)

	SYMBOL	QUANTITY	CURRENT PRICE	CURRENT VALUE	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUALIZED INCOME	CURRENT YIELD	COMMENTS
Equity Mutual Funds-22.8% of Portfolio			(continued)					
PRUDENTIAL JENNISON INTERNATIONAL GROWTH FUND Z	PJIZX	1,997.191	7 200	$14,379 78				Part of PruChoice
PRUDENTIAL TAX MANAGED EQUITY FUND CLZ	PTEZX	1,950	11 580	$22,581 00				Part of PruChoice
PRUDENTIAL 20/20 FUND CLASS Z	PTWZX	5,895 612	11 290	$66,561.46				Part of PruChoice
RS EMERGING GROWTH FUND	RSEGX	381.289	44 010	$16,780 53				Part of PruChoice
Total				**$197,290.62**		**$524**		
Special Money Market Funds-.7% of Portfolio				These are not part of the automatic sweep program and may be subject to deferred sales charges.				
AFD EXCHANGE RESERVES INC CLASS A		6,090	1 000	$6,090 00				
Total				**$6,090.00**				
Equity Unit Trusts-1.0% of Portfolio								
VAN KAMPEN AGGRESSIVE GRWTH INTERNET 19 (SUBJ TO DEFERRED SALES CHARGE)		500	5 180	$2,590 00				
VAN KAMPEN TELECOMUNICATIONS TR SR 9 (SUBJ TO DEFERRED SALES CHARGE)		1,000	6 2643	$6,264 30				
Total				**$8,854.30**				
TOTAL PRICED SECURITIES VALUE				**$690,543.87**	**$5,862**	**$20,496**		
Money Market Funds-20.3% of Portfolio								
COMMAND MONEY FUND		176,284	1 000	$176,284 00		$10,877	6 17%	7-Day Yield
TOTAL MONEY MARKET FUNDS				**$176,284.00**		**$10,877**		

The total of your mutual fund and money market investments is $379,664.62. Of this, the total of your PruChoice investments is $185,113.48.

Prudential Securities

Client Statement

SIPC

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Securities Account

Prudential Securities Incorporated, a subsidiary of The Prudential Insurance Company of America, Newark, New Jersey

For The Period
December 1 - December 31, 2000

Account Number:

Total Account Net Worth

	OPENING	CLOSING
Priced Securities Value	$127,550.97	$130,858.53
Money Market Funds	$9,202.48	$9,135.94
Cash Balance	$3.23	$2.02
Total Net Worth	$136,756.68	$139,996.49

Gain (Loss) Summary

(PLEASE REFER TO DETAILED SECTIONS)

This Period Realized Gain (Loss)	($253.52)
Year To Date Realized Gain (Loss)	$10,558.54
Unrealized Gain (Loss) As Of December 31	$11,164.78

Income & Distributions

	THIS PERIOD	YEAR TO DATE
Money Fund Dividends	$60.46	$476.31
Dividends	$122.00	$1,347.71
Total Income	$182.46	$1,824.02

Cash Activity Highlights

	THIS PERIOD	YEAR TO DATE
Funds Added	$.00	$.00
Funds Withdrawn	$.00	$.00

Your Financial Advisor: SKLAR & SKLAR
FINANCIAL ADVISOR

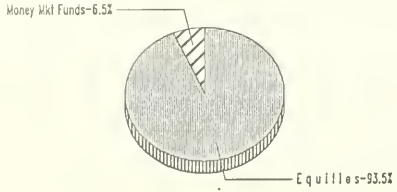
E-mail Address: scott_sklar@prusec.com
stuart_sklar@prusec.com

Your Investment Advisor: REGENT INVESTORS SVC EQUITY

ROBERT J ECKHARDT & CO.,PC
ONE HUNTINGTON QUADRANGLE 4S14
MELVILLE NY 11747

Copy of Statement for: MRS CAROLYN MC CARTHY
493 NANCY RD
MINEOLA NY 11501-1124

Asset Composition



Portfolio Detail

	SYMBOL	QUANTITY	CURRENT PRICE	CURRENT VALUE	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUALIZED INCOME	CURRENT YIELD	COMMENTS
Equities-93.5% of Portfolio								
AES CORP	AES	65	55 375 **	$3,599 38				
ALCOA INC	AA	50	33.500 **	$1,675 00		$25	1 49%	
AMERICAN INTERNATIONAL GROUP INC	AIG	33	98 563 **	$3,252 58		$5	15%	
AVON PRODUCTS INC	AVP	15	47.875 **	$718 13		$11	1.53%	
BANK ONE CORP	ONE	35	36 625 **	$1,281.88		$29	2 26%	
BROADCOM CORP CLASS A	BRCM	5	84 000 **	$420 00				
CHASE MANHATTAN CORP COM NEW	CMB	50	45 438 **	$2,271 90		$64	2 82%	
CISCO SYSTEMS INC	CSCO	65	38 250 **	$2,486 25				
CITIGROUP INC	C	108	51 063 **	$5,514 80		$60	1 09%	
COLGATE PALMOLIVE CO	CL	20	64.550 **	$1,291 00		$13	1 01%	
COX COMMNUNICATIONS INC NEW CLASS A	COX	50	46.563 **	$2,328 15				
DELTA AIR LINES INC	DAL	15	50 188 **	$752 82		$2	27%	
E M C CORP MASS	EMC	20	66 500 **	$1,330 00				
ENRON CORP OREGON	ENE	15	83 125 **	$1,246 88		$8	64%	
EXXON MOBIL CORP	XOM	45	86 938 **	$3,912 21		$79	2 02%	
FEDERATED DEPARTMENT STORES INC DEL	FD	50	35 000 **	$1,750.00				
FIRSTAR CORP NEW	FSR	120	23 250 **	$2,790 00		$78	2 80%	
FORD MOTOR CO NEW	F	52	23 438	$1,218.78		$62	5 09%	
GAP INC	GPS	55	25 500 **	$1,402 50		$5	36%	
GENERAL ELECTRIC CO	GE	115	47.938 **	$5,512 87		$74	1 34%	
HOME DEPOT INC	HD	70	45 688 **	$3,198 16		$11	34%	
HONEYWELL INTERNATIONAL INC	HON	53	47 313 **	$2,507 59		$40	1.60%	
INTEL CORP	INTC	80	30 063 **	$2,405 04		$6	25%	
JOHNSON CONTROLS INC	JCI	60	52 000 **	$3,120 00		$74	2 37%	
KROGER COMPANY	KR	150	27.063 **	$4,059 45				
MC GRAW HILL COMPANIES INC	MHP	30	58 625 **	$1,758 75		$28	1 59%	
MERCK & CO	MRK	30	93 625 **	$2,808.75		$41	1 46%	
MICROSOFT CORP WASHINGTON	MSFT	50	43 375 **	$2,168 75				

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Portfolio Detail

(CONTINUED)

	SYMBOL	QUANTITY	CURRENT PRICE	CURRENT VALUE	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUALIZED INCOME	CURRENT YIELD	COMMENTS
Equities-93.5% of Portfolio		(continued)						
MORGAN STANLEY DEAN WITTER & CO	MWD	20	79 250 **	$1,585.00		$16	1.01%	
NETWORK APPLIANCE INC	NTAP	10	64 188 **	$641.88				
NETWORKS ASSOCIATES INC	NETA	80	4 188 **	$335 04				
NORTEL NETWORKS CORP HOLDINGS CO	NT	80	32 063	$2,565.04				
ORACLE CORP	ORCL	100	29 063 **	$2,906.30				
PEPSICO INC	PEP	35	49.563 **	$1,734 71		$20	1 15%	
PFIZER INC	PFE	110	46 000 **	$5,060.00		$48	95%	
PHARMACIA CORP	PHA	50	61.000 **	$3,050.00		$24	79%	
PHELPS DODGE CORP	PD	15	55 813 **	$837.20		$30	3 58%	
PHILIP MORRIS COS INC	MO	80	44 000 **	$3,520 00		$170	4 83%	
PMC SIERRA INC	PMCS	10	78 625	$786.25				
PROVIDIAN FINANCIAL CORP	PVN	90	57 500 **	$5,175 00		$11	21%	
SBC COMMUNICATIONS INC	SBC	86	47.750 **	$4,106.50		$87	2.12%	
SCHERING PLOUGH CORP	SGP	50	56 750 **	$2,837.50		$28	99%	
SCHLUMBERGER LIMITED	SLB	5	79 938 **	$399 69		$4	1.00%	
SOLECTRON CORP DELAWARE	SLR	130	33 900 **	$4,407.00				
STAPLES INC RETAIL & DELIVERY	SPLS	55	11 813	$649 72				
SUN MICROSYSTEMS INC	SUNW	20	27 875 **	$557 50				
TARGET CORP	TGT	65	32 250 **	$2,096 25		$14	67%	
TENET HEALTHCARE CORP	THC	45	44 438	$1,999 71				
TEXACO INC	TX	35	62 125 **	$2,174 38		$63	2 90%	
TEXAS INSTRUMENTS INC	TXN	20	47.375 **	$947 50		$2	21%	
TXU CORP	TXU	35	44 313	$1,550.96		$84	5 42%	
TYCO INTERNATIONAL LTD NEW	TYC	60	55.500 **	$3,330 00		$3	09%	
UNITED TECHNOLOGIES CORP	UTX	40	78.625 **	$3,145.00		$36	1.14%	
UNITEDHEALTH GROUP INC	UNH	30	61.375 **	$1,841.25				

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Portfolio Detail

(CONTINUED)

	SYMBOL	QUANTITY	CURRENT PRICE		CURRENT VALUE	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUALIZED INCOME	CURRENT YIELD	COMMENTS
Equities-93.5% of Portfolio		(continued)							
VERITAS SOFTWARE CORP	VRTS	35	87.500	**	$3,062.50				
VITESSE SEMICONDUCTOR CORP	VTSS	25	55.313	**	$1,382.83				
WELLS FARGO AND CO NEW	WFC	25	55.688	**	$1,392.20		$24	1.72%	
TOTAL PRICED SECURITIES VALUE					$130,858.53		$1,378		
Money Market Funds-6.5% of Portfolio									
CAT NATIONAL MONEY MKT FUND		9,135.940	1.000		$9,135.94		$552	6.04%	7-Day Yield
TOTAL MONEY MARKET FUNDS					$9,135.94		$552		

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Prudential Securities

Securities Account ★

Prudential Securities Incorporated, a subsidiary of The Prudential Insurance Company of America, Newark, New Jersey

For The Period
December 1 - December 31, 2000

Account Number [redacted]

Total Account Net Worth

	OPENING	CLOSING
Priced Securities Value	$147,278.35	$148,092.10
Money Market Funds	$3,862.12	$3,987.04
Cash Balance	$.16	$.49
Total Net Worth	$151,140.63	$152,079.63

Gain (Loss) Summary

(PLEASE REFER TO DETAILED SECTIONS)

Year To Date Realized Gain (Loss)	$8,956.80
Unrealized Gain (Loss) As Of December 31	$44,505.50

Income & Distributions

	THIS PERIOD	YEAR TO DATE
Money Fund Dividends	$23.92	$232.14
Dividends	$101.33	$991.42
Total Income	$125.25	$1,223.56

Cash Activity Highlights

	THIS PERIOD	YEAR TO DATE
Funds Added	$.00	$.00
Funds Withdrawn	$.00	$.00

Your Financial Advisor: SKLAR & SKLAR
FINANCIAL ADVISOR

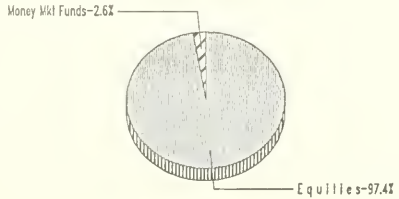
E-mail Address: scott_sklar@prusec.com
stuart_sklar@prusec.com

Your Investment Advisor: RITTENHOUSE FINANCIAL SERVICES

ROBERT J ECKHARDT & CO.,P.C
ONE HUNTINGTON QUADRANGLE,4S14
MELVILLE NY 11747

Copy of Statement for: MRS CAROLYN MC CARTHY
493 NANCY RD
MINEOLA NY 11501-1124

Asset Composition



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Portfolio Detail

	SYMBOL	QUANTITY	CURRENT PRICE	CURRENT VALUE	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUALIZED INCOME	CURRENT YIELD	COMMENTS
Equities-97.4% of Portfolio								
AMERICAN INTERNATIONAL GROUP INC	A[illegible]	[illegible]	98.563 **	$7,785.48		$12	.15%	
AMGEN INC	AMGN	81	63.938 **	$5,175.98				
AUTOMATIC DATA PROCESSING INC	ADP	98	63.313 **	$6,204.67		$40	.64%	
BRISTOL MYERS SQUIBB CO	BMY	62	73.938 **	$4,584.16		$68	1.48%	
CARDINAL HEALTH INC	CAH	26	99.625 **	$2,590.25		$3	.12%	
CISCO SYSTEMS INC	CSCO	100	38.250 **	$3,825.00				
COCA COLA CO DELAWARE	KO	106	61.938 **	$6,459.43		$72	1.11%	
COLGATE PALMOLIVE CO	CL	114	64.550 **	$7,358.70		$72	.98%	
E M C CORP MASS	EMC	69	66.500 **	$4,588.50				
FANNIE MAE	FNM	75	86.750 **	$6,506.25		$84	1.29%	
GENERAL ELECTRIC CO	GE	153	47.938 **	$7,334.51		$98	1.34%	
HEWLETT PACKARD CO	HWP	70	31.563 **	$2,209.41		$22	1.00%	
HOME DEPOT INC	HD	106	45.688 **	$4,842.93		$17	.35%	
INTEL CORP	INTC	[illegible]	30.063 **	$2,164.54		$6	.28%	
INTERNATIONAL BUSINESS MACHINES	IBM	33	85.000 **	$2,805.00		$17	.61%	
MBNA CORP	KRB	82	36.938 **	$3,028.92		$26	.86%	
MEDTRONIC INC	MDT	90	60.375 **	$5,433.75		$18	.33%	
MERCK & CO	MRK	[illegible]	93.625 **	$7,490.[illegible]		$109	1.46%	
MICROSOFT CORP WASHINGTON	MSFT	70	43.375 **	$3,036.25				
NOKIA CORP SPONSORED AMERICAN DEP RECEIPT ONE ADR REP ONE SHS	NOK	42	43.500 **	$1,827.00		$7	.38%	
ORACLE CORP	ORCL	[illegible]	29.063 **	$2,493.42				
PFIZER INC	PFE	165	46.000 **	$7,590.00		$73	.96%	
SCHERING PLOUGH CORP	SGP	85	56.750 **	$4,823.75		$48	1.00%	
STATE STREET CORP	STT	45	124.210 **	$5,589.45		$34	.61%	
SUN MICROSYSTEMS INC	SUNW	78	27.875 **	$2,174.25				
TEXAS INSTRUMENTS INC	TXN	115	47.375 **	$5,448.13		$10	.18%	
TYCO INTERNATIONAL LTD NEW	TYC	99	55.500 **	$5,494.50		$5	.09%	
WAL MART STORES INC	WMT	60	53.125 **	$3,187.50		$14	.44%	
WALGREEN CO	WAG	156	41.813 **	$6,522.83		$22	.34%	

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Prudential Securities

Client Statement

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SIPC

Securities Account

Prudential Securities Incorporated, a subsidiary of The Prudential Insurance Company of America, Newark, New Jersey

For The Period.
December 1 - December 31, 2000

MRS CAROLYN MC CARTHY

Account Number

Portfolio Detail

(CONTINUED)

	SYMBOL	QUANTITY	CURRENT PRICE	CURRENT VALUE	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUALIZED INCOME	CURRENT YIELD	COMMENTS
Equities-97.4% of Portfolio		(continued)						
WALT DISNEY HOLDINGS CO	DIS	88	28.938 **	$2,546 54		$18	.71%	
WELLS FARGO AND CO NEW	WFC	125	55 688 **	$6,961.00		$120	1.72%	
TOTAL PRICED SECURITIES VALUE				$148,092.10		$1,015		
Money Market Funds-2.6% of Portfolio								
CAT NATIONAL MONEY MKT FUND		3,987 040	1.000	$3,987.04		$241	6.04%	7-Day Yield
TOTAL MONEY MARKET FUNDS				$3,987.04		$241		

Dividends Received on Securities

PAYMENTS	PAYOR	DOLLAR AMOUNT	TAX CLASS	COMMENTS	LINE(S)	REPORTED ON
1	ALLIANCE TECHNOLOGY FUND INCORPORATED	1,023.32	CAP GAINS		2a	1099-DIV
12	COMMAND MONEY FUND	10,618.78	INCOME		1	1099-DIV
2	COMPUTER ASSOCIATES INTL INC	40.00	INCOME		1	1099-DIV
1	DREYFUS FOUNDERS PASSPORT FUND CLASS A	1,523.79	INCOME		1	1099-DIV
1	DREYFUS FOUNDERS PASSPORT FUND CLASS A	42.04	CAP GAINS		2a	1099-DIV
1	INVESCO SECT HLT SCI	836.06	INCOME		1	1099-DIV
1	INVESCO SECT HLT SCI	946.65	CAP GAINS		2a	1099-DIV
1	JANUS INVESTMENT FUND WORLDWIDE FUND	418.47	INCOME		1	1099-DIV
1	JANUS INVESTMENT FUND WORLDWIDE FUND	3,421.24	CAP GAINS		2a	1099-DIV
1	CLO UTS NET LOHS 2	471.67	INCOME	INCLUDES INVESTMENT EXPENSE OF $21.88	1,5	1099-DIV
	UTS NET LOHS 203QP	222.85-	INCOME	UNDISTRIBUTED INCOME REPORTED IN 1999, PAID IN 2000	1	1099-DIV
1	PRU 20/20 FD Z	787.87	INCOME		1	1099-DIV
2	PRU 20/20 FD Z	6,471.45	CAP GAINS		2a	1099-DIV
1	RS EMERGING GROWTH FUND	478.77	INCOME		1	1099-DIV
1	RS EMERGING GROWTH FUND	52.77	CAP GAINS		2a	1099-DIV

Total Income - Dividends $26,910.03

The line numbers referenced for individual items above comprise the totals which appear on the actual Form 1099-DIV, reflected on the first page of this statement.

Municipal Interest

PAYMENTS	PAYOR	DOLLAR AMOUNT	TAX CLASS	COMMENTS
2	JAMESTOWN N Y GO RO MN 4.90 11/15/2005	1,837.50	MUNICIPAL	
2	NASSAU CO NY GO P RO MS 5.10 03/01/2001	1,275.00	MUNICIPAL	
2	NY ST DORM ITHACA RO JJ 4.25 07/01/2000	1,487.50	MUNICIPAL	
2	NY ST DORM AU RV RO FA 5.25 08/15/2013	787.50	MUNICIPAL	
2	N Y ST URBN DEV RO AO 5.70 04/01/2014	1,140.00	MUNICIPAL	
42	NUV NY PP M/A/R PRM	750.21	MUNICIPAL	
44	NUV NY PERF/P MF PRT	789.39	MUNICIPAL	
43	NUV N Y INV QU/MFPRM	750.94	MUNICIPAL	
2	SUFFOLK CO NY IDA RO FA 4.50 02/01/2002	2,475.00	MUNICIPAL	
2	WARWICK CSD NY A RO JD 5.10 06/15/2004	2,550.00	MUNICIPAL	
2	WARWICK CSD NY B RO JD 5.30 06/15/2003	2,650.00	MUNICIPAL	

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Total Income - Municipal	$16,493.04

Prudential Securities is required to report this municipal income to the state of New York.

Reinvestment Activity

DESCRIPTION	SHARES PURCHASED	AVG. PRICE PER SHARE	REINVEST AMOUNT
ALLIANCE TECHNOLOGY FUND INCORPORATED	10.973	93.26	1,023.32
DREYFUS FOUNDERS PASSPORT FUND CLASS A	110.037	14.23	1,565.83
INVESCO SECT HLT SCI	31.925	55.84	1,782.71
JANUS INVESTMENT FUND WORLDWIDE FUND	65.146	58.94	3,839.71
PRU 20/20 FD Z	643.128	11.29	7,259.32
RS EMERGING GROWTH FUND	12.239	43.43	531.54
Total Reinvested			$16,002.43

Other Interest

PAYMENTS	PAYOR		DOLLAR AMOUNT	TAX CLASS	COMMENTS	REPORTED ON
2	AT&T CORP	RO JJ 8.12 07/15/2024	4,062.50	INCOME		1099-INT
2	PAC BELL	RO FA 7.50 02/01/2033	3,750.00	INCOME		1099-INT
Total Income - Other Interest			$7,812.50			

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Realized Gain (Loss) Summary

Estimated realized gain(loss) figures are displayed for your information. These sections reflect gains(losses) starting from when they were first included on your 2000 statement and therefore may not include all transactions reported on 1099-B. In instances where a gain(loss) has not been calculated, section and summary totals may not reflect a comprehensive view of your complete portfolio. Realized gains(losses) displayed here may not correspond to those previously displayed on your monthly statements due to subsequent adjustments to cost bases. For more information on how estimated realized gains or losses are calculated, please consult the enclosed brochure. Since Prudential Securities is not a tax advisor, you should consult your personal tax advisor to determine how this information may apply to your situation.

Short Term			Long Term		
Gain	(Loss)	Net	Gain	(Loss)	Net
$0.00	($18,600.20)	($18,600.20)	$0.00	($11,725.69)	($11,725.69)

Realized Gain (Loss) Detail

	ORIGINAL TRADE DATE	CLOSING DATE	QUANTITY	COST BASIS	PROCEEDS	REALIZED GAIN OR (LOSS)
Short Term						
CALLED NATIONAL EQUITY TRUST LOW FIVE PORTFOLIO 203 QUARTERLY PAYMENT BOOK ENTRY ONLY	02-25-99	02-09-00	529.196	$497.73	$468.18	($29.55)
CALLED NATIONAL EQUITY TRUST LOW FIVE PORTFOLIO 203 QUARTERLY PAYMENT BOOK ENTRY ONLY	05-25-99	02-09-00	306.517	$330.68	$271.18	($59.50)
CALLED NATIONAL EQUITY TRUST LOW FIVE PORTFOLIO 203 QUARTERLY PAYMENT BOOK ENTRY ONLY	09-24-99	02-09-00	389.009	$428.84	$344.16	($84.68)
CALLED NATIONAL EQUITY TRUST LOW FIVE PORTFOLIO 203 QUARTERLY PAYMENT BOOK ENTRY ONLY	11-26-99	02-09-00	442.903	$441.69	$391.84	($49.85)
CALLED NATIONAL EQUITY TRUST LOW FIVE PORTFOLIO 203 QUARTERLY PAYMENT BOOK ENTRY ONLY	11-26-99	02-09-00	0.446	$0.44	$0.39	($0.05)
DREYFUS FOUNDERS PASSPORT FUND CLASS A	01-27-00	12-28-00	796	$20,019.40	$11,191.76	($8,827.64)
DREYFUS FOUNDERS PASSPORT FUND CLASS A	12-06-00	12-28-00	2.954	$42.04	$41.53	($0.51)
DREYFUS FOUNDERS PASSPORT FUND CLASS A	12-06-00	12-28-00	107.083	$1,523.79	$1,505.59	($18.20)
ALLIANCE SELECT INVESTOR SERIES TECHNOLOGY PORTFOLIO CL A	03-31-00	12-29-00	1,000	$10,000.00	$6,090.00	($3,910.00)

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Realized Gain (Loss) Detail (CONTINUED)

	ORIGINAL TRADE DATE	CLOSING DATE	QUANTITY	COST BASIS	PROCEEDS	REALIZED GAIN OR (LOSS)
Short Term (continued)						
PRUDENTIAL JENNISON INTERNATIONAL GROWTH FUND Z	03-01-00	12-29-00	1,997.191	$20,000.00	$14,379.78	($5,620.22)
Total Short Term Realized Gains (Losses)				$53,284.61	$34,684.41	($18,600.20)
Long Term						
CALLED NATIONAL EQUITY TRUST LOW FIVE PORTFOLIO 203 QUARTERLY PAYMENT BOOK ENTRY ONLY	04-08-97	02-09-00	64,099.426	$63,857.77	$56,709.40	($7,148.37)
CALLED NATIONAL EQUITY TRUST LOW FIVE PORTFOLIO 203 QUARTERLY PAYMENT BOOK ENTRY ONLY	07-10-97	02-09-00	326.189	$332.56	$288.58	($43.98)
CALLED NATIONAL EQUITY TRUST LOW FIVE PORTFOLIO 203 QUARTERLY PAYMENT BOOK ENTRY ONLY	10-01-97	02-09-00	409.395	$421.10	$362.20	($58.90)
CALLED NATIONAL EQUITY TRUST LOW FIVE PORTFOLIO 203 QUARTERLY PAYMENT BOOK ENTRY ONLY	12-31-97	02-09-00	388.460	$382.26	$343.67	($38.59)
CALLED NATIONAL EQUITY TRUST LOW FIVE PORTFOLIO 203 QUARTERLY PAYMENT BOOK ENTRY ONLY	03-13-98	02-09-00	350.313	$353.79	$309.93	($43.86)
CALLED NATIONAL EQUITY TRUST LOW FIVE PORTFOLIO 203 QUARTERLY PAYMENT BOOK ENTRY ONLY	03-13-98	02-09-00	0.101	$0.10	$0.09	($0.01)
CALLED NATIONAL EQUITY TRUST LOW FIVE PORTFOLIO 203 QUARTERLY PAYMENT BOOK ENTRY ONLY	08-28-98	02-09-00	324.587	$342.30	$287.17	($55.13)

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Realized Gain (Loss) Detail (CONTINUED)

	ORIGINAL TRADE DATE	CLOSING DATE	QUANTITY	COST BASIS	PROCEEDS	REALIZED GAIN OR (LOSS)
Long Term (continued)						
CALLED NATIONAL EQUITY TRUST LOW FIVE PORTFOLIO 203 QUARTERLY PAYMENT BOOK ENTRY ONLY	12-01-98	02-09-00	376.904	$410.55	$333.45	($77.10)
NEW YORK ST DORM AUTH REVS INSD ITHACA COLLEGE SER 1998 RO JJ 4.250 07/01/2000 DTD 04/01/98 BOOK ENTRY ONLY	06-15-99	07-03-00	35,000	$35,000.00	$35,000.00	$0.00
AT&T CORP DEBENTURES RO JJ 8.125 07/15/2024 DTD 07/15/92 CALLABLE 07/15/2002 AT 103.97 BOOK ENTRY ONLY	07-09-96	12-28-00	50,000	$50,942.00	$46,682.25	($4,259.75)
Total Long Term Realized Gains (Losses)				$152,042.43	$140,316.74	($11,725.69)

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Prudential Securities

IMPORTANT TAX INFORMATION: Please Retain pg 21 of 37

Prudential Securities Incorporated, a subsidiary of The Prudential Insurance Company of America, Newark, New

MRS CAROLYN MC CARTHY Jan 1 - Dec 31, 2000 Account Number: [redacted]

Dividends Received on Securities

PAYMENTS	PAYOR	DOLLAR AMOUNT	TAX CLASS	COMMENTS		LINE(S)	REPORTED ON
4	ALCOA INC	25.00	INCOME			1	1099-DIV
4	AMERICAN INTERNATIONAL GROUP INC	3.64	INCOME			1	1099-DIV
2	ASSOC FIRST CAP AEXM	8.13	INCOME			1	1099-DIV
4	AVON PRODUCTS INC	20.36	INCOME			1	1099-DIV
4	BANK OF AMERICA CORP	84.00	INCOME			1	1099-DIV
4	BANK ONE CORP	96.60	INCOME			1	1099-DIV
3	BRISTOL MYERS SQUIBB CO	18.38	INCOME			1	1099-DIV
3	BURLINGTON NORTHERN SANTA FE CORP	15.00	INCOME			1	1099-DIV
13	CAT NTL MONEY FD	476.31	INCOME			1	1099-DIV
4	CHASE MANHATTAN CORP COM NEW	72.05	INCOME			1	1099-DIV
4	CITIGROUP INC	58.12	INCOME			1	1099-DIV
4	COLGATE PALMOLIVE CO	13.40	INCOME			1	1099-DIV
2	COMPUTER ASSOCIATES INTL INC	3.20	INCOME			1	1099-DIV
4	DELTA AIR LINES INC	1.52	INCOME			1	1099-DIV
4	ENRON CORP OREGON	10.64	INCOME			1	1099-DIV
1	EXXON MOBIL CORP	15.40	INCOME			1	1099-DIV
3	FIRSTAR CORP NEW	34.13	INCOME			1	1099-DIV
3	FORD MOTOR CO DELAWAREMERGER	60.00	INCOME			1	1099-DIV
1	FORD MOTOR CO NEW	15.60	INCOME			1	1099-DIV
4	GAP INC	6.22	INCOME			1	1099-DIV
4	GENERAL ELECTRIC CO	67.65	INCOME			1	1099-DIV
4	HOME DEPOT INC	11.20	INCOME			1	1099-DIV
4	HONEYWELL INTERNATIONAL INC	42.57	INCOME			1	1099-DIV
4	INTEL CORP	5.60	INCOME			1	1099-DIV
4	JOHNSON CONTROLS INC	64.40	INCOME			1	1099-DIV
3	MC GRAW HILL COMPANIES INC	14.10	INCOME			1	1099-DIV
2	MELLON FINANCIAL CORP	10.50	INCOME			1	1099-DIV
4	MERCK & CO	35.80	INCOME			1	1099-DIV
1	NOKIA CORP S/ADR	15.33	INCOME	INCLUDES FOREIGN TAX OF	$2.30	1,6	1099-DIV
3	NORTEL NETWORKS CORP HOLDINGS CO	2.82	INCOME	INCLUDES FOREIGN TAX OF	$.43	1,6	1099-DIV
1	NORTEL NETWORKS CORP	.38	INCOME	INCLUDES FOREIGN TAX OF	$.06	1,6	1099-DIV
2	PEPSICO INC	8.10	INCOME			1	1099-DIV
4	PFIZER INC	35.55	INCOME			1	1099-DIV
1	PHARMACIA CORP	6.00	INCOME			1	1099-DIV

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Dividends Received on Securities (CONTINUED)

PAYMENTS	PAYOR	DOLLAR AMOUNT	TAX CLASS	COMMENTS	LINE(S)	REPORTED ON
4	PHELPS DODGE CORP	30.00	INCOME		1	1099-DIV
4	PHILIP MORRIS COS INC	107.20	INCOME		1	1099-DIV
4	PROVIDIAN FINANCIAL CORP	8.45	INCOME		1	1099-DIV
4	SBC COMMUNICATIONS INC	86.42	INCOME		1	1099-DIV
3	SCHERING PLOUGH CORP	14.70	INCOME		1	1099-DIV
4	SCHLUMBERGER LIMITED	7.50	INCOME		1	1099-DIV
2	TXU CORP	42.00	INCOME		1	1099-DIV
4	TARGET CORP	12.23	INCOME		1	1099-DIV
1	TENET HEALTHCARE CORP	.65	INCOME		1	1099-DIV
4	TEXACO INC	49.50	INCOME		1	1099-DIV
4	TEXAS INSTRUMENTS INC	2.98	INCOME		1	1099-DIV
2	TEXAS UTILITIES CO	42.00	INCOME		1	1099-DIV
4	TYCO INTERNATIONAL LTD NEW	5.14	INCOME		1	1099-DIV
1	UNITED HEALTHCARE CORP	1.05	INCOME		1	1099-DIV
4	UNITED TECHNOLOGIES CORP	43.00	INCOME		1	1099-DIV
1	WASHINGTON MUTUAL INC	13.50	INCOME		1	1099-DIV

Total Income - Dividends $1,824.02

The line numbers referenced for individual items above comprise the totals which appear on the actual Form 1099-DIV, reflected on the first page of this statement.

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Realized Gain (Loss) Summary

Estimated realized gain(loss) figures are displayed for your information. These sections reflect gains(losses) starting from when they were first included on your 2000 statement and therefore may not include all transactions reported on 1099-B. In instances where a gain(loss) has not been calculated, section and summary totals may not reflect a comprehensive view of your complete portfolio. Realized gains(losses) displayed here may not correspond to those previously displayed on your monthly statements due to subsequent adjustments to cost bases. For more information on how estimated realized gains or losses are calculated, please consult the enclosed brochure. Since Prudential Securities is not a tax advisor, you should consult your personal tax advisor to determine how this information may apply to your situation.

Short Term			Long Term		
Gain	(Loss)	Net	Gain	(Loss)	Net
$9,043.57	($6,122.10)	$2,921.47	$15,890.90	($10,283.08)	$5,607.82

Realized Gain (Loss) Detail

	ORIGINAL TRADE DATE	CLOSING DATE	QUANTITY	COST BASIS	PROCEEDS	REALIZED GAIN OR (LOSS)
Short Term						
E M C CORP MASS	03-02-99	01-05-00	10	$508.65	$1,005.21	$496.56
NOKIA CORP SPONSORED AMERICAN DEP RECEIPT ONE ADR REP ONE SHS	05-10-99	01-05-00	5	$391.51	$836.58	$445.07
NORTEL NETWORKS CORP HOLDINGS CO	03-15-99	01-12-00	5	$151.41	$476.27	$324.86

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See reverse side for additional information

Realized Gain (Loss) Detail (CONTINUED)

	ORIGINAL TRADE DATE	CLOSING DATE	QUANTITY	COST BASIS	PROCEEDS	REALIZED GAIN OR (LOSS)
Short Term (continued)						
ASSOCIATE FIRST CAPITAL CORP CLASS A MERGER	02-17-99	02-07-00	15	$589.69	$297.17	($292.52)
ASSOCIATE FIRST CAPITAL CORP CLASS A MERGER	05-25-99	02-07-00	5	$197.19	$99.05	($98.14)
NETWORK APPLIANCE INC	07-01-99	02-07-00	5	$144.84	$627.50	$482.66
TEXAS INSTRUMENTS INC	06-22-99	02-07-00	10	$695.90	$1,330.15	$634.25
NETWORK APPLIANCE INC	07-01-99	02-16-00	5	$144.84	$798.45	$653.61
BROADCOM CORP CLASS A	09-01-99	02-24-00	5	$325.20	$938.10	$612.90
ENRON CORP OREGON	05-27-99	03-13-00	5	$177.90	$320.58	$142.68
BROADCOM CORP CLASS A	09-01-99	03-21-00	5	$325.20	$1,081.18	$755.98
BROADCOM CORP CLASS A	09-22-99	03-29-00	5	$282.72	$1,069.67	$786.95
ENRON CORP OREGON	05-27-99	03-29-00	10	$355.80	$749.25	$393.45
NOKIA CORP SPONSOREO AMERICAN DEP RECEIPT ONE ADR REP ONE SHS	05-10-99	04-11-00	20	$391.52	$1,014.67	$623.15
NETWORK APPLIANCE INC	07-01-99	05-04-00	10	$144.83	$656.15	$511.32
NETWORK APPLIANCE INC	08-17-99	05-04-00	5	$70.31	$328.08	$257.77
NETWORK APPLIANCE INC	08-17-99	05-17-00	15	$210.94	$1,050.75	$839.81
BURLINGTON NORTHERN SANTA FE CORP	01-18-00	06-13-00	10	$260.81	$248.75	($12.06)
J P MORGAN CHASE & CO COMMON NEW	09-13-99	06-13-00	2.500	$129.90	$120.01	($9.89)
BANK ONE CORP	04-11-00	06-21-00	5	$162.25	$137.21	($25.04)
VISTEON CORP	03-29-00	07-10-00	2	$17.76	$26.87	$9.11
TENET HEALTHCARE CORP	12-13-99	07-31-00	20	$478.86	$615.45	$136.59
AES CORP	02-07-00	08-11-00	10	$397.72	$546.74	$149.02
BURLINGTON NORTHERN SANTA FE CORP	01-18-00	09-15-00	35	$912.84	$762.98	($149.86)
PROVIDIAN FINANCIAL CORP	01-05-00	09-29-00	5	$409.32	$642.18	$232.86

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Realized Gain (Loss) Detail (CONTINUED)

	ORIGINAL TRADE DATE	CLOSING DATE	QUANTITY	COST BASIS	PROCEEDS	REALIZED GAIN OR (LOSS)
Short Term (continued)						
STAPLES INC RETAIL & DELIVERY	01-05-00	10-25-00	95	$2,201.29	$1,090.55	($1,110.74)
STAPLES INC RETAIL & DELIVERY	02-16-00	10-25-00	10	$244.78	$114.79	($129.99)
STAPLES INC RETAIL & DELIVERY	03-29-00	10-25-00	20	$401.84	$229.59	($172.25)
BANK ONE CORP	04-11-00	11-13-00	25	$811.24	$926.75	$115.51
WORLDCOM INC	12-08-99	11-13-00	22.500	$1,181.14	$338.19	($842.95)
AES CORP	02-07-00	11-20-00	25	$994.29	$1,427.06	$432.77
BANK OF AMERICA CORP	05-30-00	12-01-00	10	$546.83	$398.91	($147.92)
BROADCOM CORP CLASS A	04-24-00	12-01-00	5	$655.37	$508.12	($147.25)
DELL COMPUTER CORP	03-07-00	12-01-00	10	$460.00	$198.89	($261.11)
DELL COMPUTER CORP	05-11-00	12-01-00	15	$675.00	$298.33	($376.67)
DELL COMPUTER CORP	08-04-00	12-01-00	15	$614.78	$298.32	($316.46)
CITIGROUP INC	08-04-00	12-04-00	0.670	$25.42	$32.11	$6.69
NETWORKS ASSOCIATES INC	02-24-00	12-27-00	45	$1,341.36	$178.59	($1,162.77)
NETWORKS ASSOCIATES INC	03-21-00	12-27-00	15	$490.31	$59.53	($430.78)
NETWORKS ASSOCIATES INC	09-01-00	12-27-00	20	$515.08	$79.38	($435.70)
Total Short Term Realized Gains (Losses)				$19,036.64	$21,958.11	$2,921.47
Long Term						
HOME DEPOT INC	12-01-98	01-05-00	20	$674.17	$1,235.23	$561.06
TRANSOCEAN SEDCO FOREX INC	12-01-98	01-11-00	0.903	$21.30	$26.70	$5.40

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Realized Gain (Loss) Detail (CONTINUED)

	ORIGINAL TRADE DATE	CLOSING DATE	QUANTITY	COST BASIS	PROCEEDS	REALIZED GAIN OR (LOSS)
Long Term (continued)						
INTEL CORP	12-15-97	01-12-00	10	$350.63	$932.50	$581.87
NEWELL RUBBERMAID INC	12-01-98	01-18-00	35	$1,520.31	$1,045.42	($474.89)
TRANSOCEAN SEDCO FOREX INC	12-01-98	01-19-00	2	$47.17	$73.84	$26.67
ENRON CORP OREGON	12-01-98	01-27-00	5	$131.41	$300.20	$168.79
ASSOCIATE FIRST CAPITAL CORP CLASS A MERGER	12-01-98	02-07-00	50	$1,942.19	$990.55	($951.64)
ASSOCIATE FIRST CAPITAL CORP CLASS A MERGER	01-29-99	02-07-00	5	$200.00	$99.06	($100.94)
FIRST UNION CORP	10-23-97	02-07-00	10	$502.50	$319.01	($183.49)
FIRST UNION CORP	02-09-98	02-07-00	10	$500.00	$319.02	($180.98)
FIRST UNION CORP	12-01-98	02-07-00	10	$614.38	$319.01	($295.37)
ENRON CORP OREGON	12-01-98	02-24-00	15	$394.22	$980.43	$586.21
SCHLUMBERGER LIMITED	12-01-98	03-07-00	10	$388.73	$823.75	$435.02
ENRON CORP OREGON	12-01-98	03-13-00	10	$262.81	$641.16	$378.35
MICROSOFT CORP WASHINGTON	12-01-98	03-13-00	5	$319.53	$493.44	$173.91
TYCO INTERNATIONAL LTD NEW	12-01-98	03-21-00	10	$326.88	$472.89	$146.01
MERCK & CO	12-01-98	04-03-00	15	$1,175.62	$978.02	($197.60)
J P MORGAN CHASE & CO COMMON NEW	12-01-98	04-11-00	5	$311.88	$428.44	$116.56
BRISTOL MYERS SQUIBB CO	12-01-98	04-19-00	5	$311.25	$325.00	$13.75
J P MORGAN CHASE & CO COMMON NEW	12-01-98	04-19-00	5	$311.87	$381.88	$70.01
J P MORGAN CHASE & CO COMMON NEW	01-07-99	04-19-00	5	$380.31	$381.87	$1.56
WASHINGTON MUTUAL INC	12-01-98	04-19-00	50	$1,850.00	$1,311.82	($538.18)
MICROSOFT CORP WASHINGTON	12-01-98	04-24-00	10	$639.06	$663.13	$24.07

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Realized Gain (Loss) Detail (CONTINUED)

	ORIGINAL TRADE DATE	CLOSING DATE	QUANTITY	COST BASIS	PROCEEDS	REALIZED GAIN OR (LOSS)
Long Term (continued)						
TYCO INTERNATIONAL LTD NEW	12-01-98	04-24-00	10	$326.88	$444.38	$117.50
UNITED TECHNOLOGIES CORP	12-01-98	04-24-00	10	$532.50	$647.50	$115.00
AVON PRODUCTS INC	12-01-98	05-04-00	20	$793.75	$755.00	($38.75)
PEPSICO INC	12-01-98	05-04-00	15	$607.50	$560.93	($46.57)
BRISTOL MYERS SQUIBB CO	12-01-98	05-11-00	10	$622.50	$545.63	($76.87)
PEPSICO INC	12-01-98	05-11-00	15	$607.50	$593.44	($14.06)
MELLON FINANCIAL CORP	12-01-98	05-30-00	25	$787.50	$971.79	$184.29
UNITEDHEALTH GROUP INC	12-01-98	05-30-00	10	$453.13	$763.30	$310.17
AVON PRODUCTS INC	12-09-98	06-13-00	15	$524.81	$633.75	$108.94
J P MORGAN CHASE & CO COMMON NEW	01-29-99	06-13-00	7.500	$379.94	$360.03	($19.91)
J P MORGAN CHASE & CO COMMON NEW	02-11-99	06-13-00	15	$735.00	$720.07	($14.93)
BANK ONE CORP	03-16-99	06-21-00	45	$2,552.05	$1,234.93	($1,317.12)
GAP INC	12-01-98	06-27-00	5	$172.71	$154.12	($18.59)
GAP INC	01-07-99	06-27-00	15	$622.50	$462.35	($160.15)
HONEYWELL INTERNATIONAL INC	12-01-98	06-27-00	20	$876.25	$676.25	($200.00)
HONEYWELL INTERNATIONAL INC	12-01-98	06-27-00	5	$209.67	$169.06	($40.61)
TARGET CORP	12-01-98	06-27-00	25	$1,139.06	$1,387.72	$248.66
FEDERATED DEPARTMENT STORES INC DEL	12-01-98	06-29-00	10	$415.00	$338.28	($76.72)
FORD MOTOR CO DELAWAREMERGER	12-01-98	06-29-00	20	$1,096.40	$855.63	($240.77)
VISTEON CORP	12-01-98	07-05-00	0.546	$9.62	$6.73	($2.89)
BRISTOL MYERS SQUIBB CO	12-01-98	07-10-00	15	$933.75	$864.38	($69.37)

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MRS CAROLYN MC CARTHY Jan 1 - Dec 31, 2000 Account Number: [redacted]

Realized Gain (Loss) Detail (CONTINUED)

	ORIGINAL TRADE DATE	CLOSING DATE	QUANTITY	COST BASIS	PROCEEDS	REALIZED GAIN OR (LOSS)
Long Term (continued)						
COMPUTER ASSOCIATES INTL INC	12-04-97	07-10-00	5	$270.00	$150.07	($119.93)
COMPUTER ASSOCIATES INTL INC	05-03-99	07-10-00	30	$1,273.54	$900.39	($373.15)
COMPUTER ASSOCIATES INTL INC	06-29-99	07-10-00	5	$273.75	$150.06	($123.69)
GAP INC	02-25-99	07-10-00	10	$405.89	$370.63	($35.26)
VISTEON CORP	04-12-99	07-10-00	2	$48.49	$26.88	($21.61)
VISTEON CORP	12-01-98	07-10-00	2	$35.23	$26.88	($8.35)
TYCO INTERNATIONAL LTD NEW	12-01-98	07-18-00	20	$653.75	$1,070.00	$416.25
WORLDCOM INC	04-19-99	07-18-00	20	$1,150.83	$928.28	($222.55)
COLGATE PALMOLIVE CO	12-01-98	07-31-00	15	$643.60	$856.58	$212.98
UNITEDHEALTH GROUP INC	12-01-98	07-31-00	5	$226.57	$410.11	$183.54
GAP INC	02-25-99	08-04-00	5	$202.94	$149.69	($53.25)
MERCK & CO	12-01-98	08-04-00	5	$391.88	$366.88	($25.00)
NOKIA CORP SPONSORED AMERICAN DEP RECEIPT ONE ADR REP ONE SHS	05-10-99	08-04-00	35	$685.15	$1,375.07	$689.92
TYCO INTERNATIONAL LTD NEW	12-01-98	08-14-00	15	$490.31	$804.38	$314.07
CITIGROUP INC	12-01-98	08-31-00	0.666	$16.76	$38.08	$21.32
BANK OF AMERICA CORP	12-01-98	09-01-00	15	$978.75	$791.25	($187.50)
CITIGROUP INC	12-01-98	09-01-00	39	$981.83	$2,239.11	$1,257.28
TYCO INTERNATIONAL LTD NEW	12-01-98	09-01-00	5	$163.44	$294.38	$130.94
FEDERATED DEPARTMENT STORES INC DEL	12-01-98	09-12-00	15	$622.50	$423.53	($198.97)
UNITEDHEALTH GROUP INC	12-01-98	09-12-00	5	$226.56	$460.27	$233.71
UNITED TECHNOLOGIES CORP	12-01-98	09-13-00	15	$798.75	$938.44	$139.69

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Prudential Securities

IMPORTANT TAX INFORMATION: Please Retain Pg 24 of 54

Prudential Securities Incorporated, a subsidiary of The Prudential Insurance Company of America, Newark, New Jers

Realized Gain (Loss) Detail (CONTINUED)

	ORIGINAL TRADE DATE	CLOSING DATE	QUANTITY	COST BASIS	PROCEEDS	REALIZED GAIN OR (LOSS)
Long Term (continued)						
FORD MOTOR CO NEW	01-29-99	09-14-00	0.445	$15.27	$11.51	($3.76)
CITIGROUP INC	12-01-98	09-19-00	15	$377.62	$808.13	$430.51
UNITED TECHNOLOGIES CORP	12-01-98	09-19-00	5	$266.25	$308.44	$42.19
NETWORK APPLIANCE INC	08-17-99	09-29-00	5	$70.31	$659.38	$589.07
E M C CORP MASS	04-28-99	10-17-00	20	$563.13	$1,937.50	$1,374.37
PFIZER INC	12-01-98	10-17-00	25	$924.48	$1,092.72	$168.24
PFIZER INC	06-01-99	10-17-00	5	$179.38	$218.55	$39.17
NETWORK APPLIANCE INC	08-17-99	10-23-00	15	$210.94	$2,035.82	$1,824.88
NOKIA CORP SPONSORED AMERICAN DEP RECEIPT ONE ADR REP ONE SHS	05-10-99	10-25-00	25	$489.39	$963.36	$473.97
GENERAL ELECTRIC CO	12-01-98	10-31-00	20	$604.58	$1,093.34	$488.76
BANK OF AMERICA CORP	12-01-98	11-07-00	10	$652.50	$507.65	($144.85)
MERCK & CO	12-01-98	11-13-00	10	$783.75	$900.29	$116.54
WORLDCOM INC	04-19-99	11-13-00	32.500	$1,870.11	$488.50	($1,381.61)
WORLDCOM INC	05-13-99	11-13-00	7.500	$439.44	$112.73	($326.71)
WORLDCOM INC	07-12-99	11-13-00	7.500	$450.63	$112.73	($337.90)
FEDERATED DEPARTMENT STORES INC DEL	08-17-99	11-20-00	10	$504.85	$324.88	($179.97)
FEDERATED DEPARTMENT STORES INC DEL	09-01-99	11-20-00	10	$467.38	$324.88	($142.50)
TYCO INTERNATIONAL LTD NEW	12-01-98	11-20-00	10	$326.87	$571.25	$244.38
BANK OF AMERICA CORP	12-01-98	12-01-00	10	$652.50	$398.92	($253.58)
BANK OF AMERICA CORP	01-07-99	12-01-00	5	$335.63	$199.46	($136.17)
BROADCOM CORP CLASS A	09-22-99	12-01-00	5	$282.72	$508.13	$225.41
DELL COMPUTER CORP	12-01-98	12-01-00	30	$958.13	$596.66	($361.47)

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See reverse side for additional information

Prudential Securities

IMPORTANT TAX INFORMATION: Please Retain

Prudential Securities Incorporated, a subsidiary of The Prudential Insurance Company of America, Newark, New Jer

Realized Gain (Loss) Detail
(CONTINUED)

	ORIGINAL TRADE DATE	CLOSING DATE	QUANTITY	COST BASIS	PROCEEDS	REALIZED GAIN OR (LOSS)
Long Term (continued)						
INTEL CORP	12-15-97	12-19-00	20	$350.62	$689.16	$338.54
JOHNSON CONTROLS INC	12-01-98	12-19-00	15	$852.19	$730.67	($121.52)
CISCO SYSTEMS INC	12-01-98	12-22-00	40	$789.37	$1,644.16	$854.79
E M C CORP MASS	05-25-99	12-22-00	15	$369.85	$954.38	$584.53
MICROSOFT CORP WASHINGTON	12-01-98	12-22-00	15	$958.60	$695.22	($263.38)
TEXAS INSTRUMENTS INC	06-22-99	12-22-00	10	$347.95	$470.00	$122.05
Total Long Term Realized Gains (Losses)				$53,209.20	$58,817.02	$5,607.82

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Dividends Received on Securities

PAYMENTS	PAYOR	DOLLAR AMOUNT	TAX CLASS	COMMENTS	LINE(S)	REPORTED ON
4	AMERICAN INTERNATIONAL GROUP INC	13.01	INCOME		1	1099-DIV
4	AUTOMATIC DATA PROCESSING INC	49.19	INCOME		1	1099-DIV
2	BRISTOL MYERS SQUIBB CO	30.38	INCOME		1	1099-DIV
13	CAT NTL MONEY FD	232.14	INCOME		1	1099-DIV
4	COCA COLA CO DELAWARE	72.08	INCOME		1	1099-DIV
4	COLGATE PALMOLIVE CO	71.84	INCOME		1	1099-DIV
1	WALT DISNEY HOLDINGS CO	18.48	INCOME		1	1099-DIV
2	FREDDIE MAC VOTING COM STOCK	25.50	INCOME		1	1099-DIV
4	FANNIE MAE	84.00	INCOME		1	1099-DIV
4	GENERAL ELECTRIC CO	89.38	INCOME		1	1099-DIV
4	HEWLETT PACKARD CO	22.40	INCOME		1	1099-DIV
4	HOME DEPOT INC	17.16	INCOME		1	1099-DIV
4	INTEL CORP	7.13	INCOME		1	1099-DIV
4	INTERNATIONAL BUSINESS MACHINES	16.83	INCOME		1	1099-DIV
1	JOHNSON & JOHNSON	17.92	INCOME		1	1099-DIV
4	LUCENT TECHNOLOGIES INC	6.40	INCOME		1	1099-DIV
1	MBNA CORP	6.56	INCOME		1	1099-DIV
5	MEDTRONIC INC	16.23	INCOME		1	1099-DIV
4	MERCK & CO	96.80	INCOME		1	1099-DIV
4	PFIZER INC	59.40	INCOME		1	1099-DIV
1	PROCTER & GAMBLE CO	22.40	INCOME		1	1099-DIV
4	SCHERING PLOUGH CORP	46.33	INCOME		1	1099-DIV
4	STATE STREET CORP	29.70	INCOME		1	1099-DIV
4	TEXAS INSTRUMENTS INC	5.35	INCOME		1	1099-DIV
3	TYCO INTERNATIONAL LTD NEW	2.41	INCOME		1	1099-DIV
4	WAL MART STORES INC	13.80	INCOME		1	1099-DIV
4	WALGREEN CO	19.04	INCOME		1	1099-DIV
2	WARNER LAMBERT CO MERGER	19.20	INCOME		1	1099-DIV
4	WELLS FARGO AND CO NEW	112.50	INCOME		1	1099-DIV

Total Income - Dividends $1,223.56

The line numbers referenced for individual items above comprise the totals which appear on the actual Form 1099-DIV, reflected on the first page of this statement.

Realized Gain (Loss) Summary

Estimated realized gain(loss) figures are displayed for your information. These sections reflect gains(losses) starting from when they were first included on your 2000 statement and therefore may not include all transactions reported on 1099-B. In instances where a gain(loss) has not been calculated, section and summary totals may not reflect a comprehensive view of your complete portfolio. Realized gains(losses) displayed here may not correspond to those previously displayed on your monthly statements due to subsequent adjustments to cost bases. For more information on how estimated realized gains or losses are calculated, please consult the enclosed brochure. Since Prudential Securities is not a tax advisor, you should consult your personal tax advisor to determine how this information may apply to your situation.

Short Term			Long Term		
Gain	(Loss)	Net	Gain	(Loss)	Net
$681.69	($420.79)	$260.90	$14,152.35	($5,458.93)	$8,693.42

Realized Gain (Loss) Detail

	ORIGINAL TRADE DATE	CLOSING DATE	QUANTITY	COST BASIS	PROCEEDS	REALIZED GAIN OR (LOSS)
Short Term						
E M C CORP MASS	10-29-99	08-07-00	13	$473.88	$1,155.57	$681.69
WORLDCOM INC	04-14-00	11-14-00	20	$763.19	$342.40	($420.79)

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See reverse side for additional information

Realized Gain (Loss) Detail (CONTINUED)

	ORIGINAL TRADE DATE	CLOSING DATE	QUANTITY	COST BASIS	PROCEEDS	REALIZED GAIN OR (LOSS)
Short Term (continued)						
Total Short Term Realized Gains (Losses)				$1,237.07	$1,497.97	$260.90
Long Term						
HOME DEPOT INC	10-24-97	01-05-00	0.500	$9.19	$30.88	$21.69
ABBOTT LABORATORIES ILLINOIS	10-24-97	01-06-00	70	$2,167.81	$2,430.64	$262.83
ABBOTT LABORATORIES ILLINOIS	09-24-98	01-06-00	25	$1,127.71	$868.09	($259.62)
AUTOMATIC DATA PROCESSING INC	10-24-97	01-06-00	26	$666.25	$1,358.50	$692.25
GENERAL ELECTRIC CO	10-24-97	01-06-00	14	$924.00	$2,036.98	$1,112.98
HOME DEPOT INC	10-24-97	01-06-00	46	$846.21	$2,804.67	$1,958.46
INTEL CORP	11-07-97	02-07-00	22	$839.28	$2,313.51	$1,474.23
JOHNSON & JOHNSON	10-24-97	03-28-00	34	$1,993.25	$2,381.75	$388.50
PROCTER & GAMBLE CO	10-24-97	03-28-00	70	$4,961.25	$3,905.86	($1,055.39)
HOME DEPOT INC	10-24-97	04-03-00	5	$91.98	$337.81	$245.83
INTEL CORP	11-07-97	04-13-00	11	$419.64	$1,395.27	$975.63
JOHNSON & JOHNSON	10-24-97	04-17-00	30	$1,758.75	$2,316.19	$557.44
WARNER LAMBERT CO MERGER	02-02-99	05-18-00	35	$2,540.88	$4,307.60	$1,766.72
WARNER LAMBERT CO MERGER	03-23-99	05-18-00	5	$329.38	$615.37	$285.99
AGILENT TECHNOLOGIES INC	10-24-97	06-08-00	0.349	$12.96	$26.88	$13.92
AGILENT TECHNOLOGIES INC	10-24-97	06-13-00	13	$482.73	$874.25	$391.52
FREDDIE MAC VOTING COM STOCK	10-24-97	06-21-00	75	$2,751.56	$3,107.18	$355.62

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Realized Gain (Loss) Detail (CONTINUED)

	ORIGINAL TRADE DATE	CLOSING DATE	QUANTITY	COST BASIS	PROCEEDS	REALIZED GAIN OR (LOSS)
Long Term (continued)						
AMERICAN INTERNATIONAL GROUP INC	10-24-97	09-12-00	18	$664.80	$1,666.43	$1,001.63
INTEL CORP	11-07-97	09-12-00	22	$419.64	$1,462.27	$1,042.63
AVAYA INC	11-17-98	10-20-00	2	$46.36	$30.50	($15.86)
AVAYA INC	11-16-98	10-20-00	1	$41.75	$15.25	($26.50)
AVAYA INC	02-05-99	10-20-00	3	$82.00	$45.75	($36.25)
AUTOMATIC DATA PROCESSING INC	10-24-97	10-25-00	36	$922.50	$2,288.16	$1,365.66
WALT DISNEY HOLDINGS CO	10-24-97	10-26-00	32	$886.00	$1,124.82	$238.82
WORLDCOM INC	09-17-99	11-14-00	46.500	$2,429.23	$796.07	($1,633.16)
WORLDCOM INC	10-11-99	11-14-00	22.500	$1,169.47	$385.20	($784.27)
LUCENT TECHNOLOGIES INC	11-16-98	11-15-00	30	$1,200.68	$663.27	($537.41)
LUCENT TECHNOLOGIES INC	11-17-98	11-15-00	20	$800.24	$442.18	($358.06)
LUCENT TECHNOLOGIES INC	02-05-99	11-15-00	30	$1,415.69	$663.28	($752.41)
Total Long Term Realized Gains (Losses)				$32,001.19	$40,694.61	$8,693.42

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Retirement Account

Prudential Securities

IMPORTANT TAX INFORMATION: Please Retain

Prudential Securities Incorporated, a subsidiary of The Prudential Insurance Company of America, Newark, New Jerse

For the Period: Jan 1 - Dec 31, 2000

Account Number: [redacted]

Your Financial Advisor:
SKLAR & SKLAR
405 LEXINGTON AVENUE
3RD FLOOR
NEW YORK NY 10174
212-455-0600

Your Federal ID Number: 113-34-2110

PRUDENTIAL SECURITIES C/F
CAROLYN MCCARTHY
IRA DTD 06/14/94
493 NANCY RD
MINEOLA NY 11501-1124

Important 2000 Tax Notice

The amount below represents the Fair Market Value of your Individual Retirement Account as of December 31, 2000. Each year we are required to report the Fair Market Value of your IRA to you and to the Internal Revenue Service. You will need this information to calculate mandatory IRA distributions if you are 70 1/2 or older or are otherwise required to take annual distributions from your IRA. Also, you will need this information to complete Form 8606 if you have made a traditional IRA contribution or if you have taken a distribution for 2000, and at any time made a non-deductible contribution to a traditional IRA. Please note, if your IRA contains assets that are not held in your Prudential Securities account or assets that are not readily valued at this time, you will receive a separate letter that will include the value of such assets.

2000 Fair Market Value: $72,952.94

The beginning of the new year is an opportune time to review your retirement portfolio. Your Financial Advisor will be happy to discuss your investments with you and help to structure your portfolio to meet your financial objectives.

Thank you for your patronage this past year. We look forward to continuing to serve you in the years to come.

Dividends Received on Securities

PAYMENTS	PAYOR	DOLLAR AMOUNT	COMMENTS
12	COMMAND MONEY FUND	347.44	
4	GENERAL ELECTRIC CO	275.52	
1	MOTOROLA INC	10.08	
4	PROCTER & GAMBLE CO	225.12	
1	UTS VKF BIOTECH 9	2.90	
	Total Income - Dividends	$861.06	

Other Interest

PAYMENTS	PAYOR	DOLLAR AMOUNT	COMMENTS
1	COCA COLA ENT INC RO FA 7.87 02/01/2002	196.88	
2	WALT DISNEY CO MTNRO JD 7.00 12/14/2006	350.00	
2	GILLETTE CO NTS RO AO 5.75 10/15/2005	287.50	

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See reverse side for additional information

Municipal

Total Accrued Interest Received	$0.00
Total Accrued Interest Paid	$0.00

Other Interest

Total Accrued Interest Received	$0.00
Total Accrued Interest Paid	$484.13

The "NET PROCEEDS" or "NET COST" amounts do not include ACCRUED INTEREST. The indicated ACCRUED INTEREST RECEIVED is reported as Current Income or Interest on 1099-INT It is not part of the "NET PROCEEDS" or "NET COST" for capital gain/loss reporting.

Totals for "Accrued Interest Received" include only transactions summarized in the "Trading Activity" section above, and do not include accrued interest received on called bonds and redemptions.

Redemptions, Exchanges & Other Activity

DESCRIPTION	QUANTITY	RECEIVED/ CHARGED	DELIVERED/ CREDITED	AMOUNT CHARGED	AMOUNT CREDITED	EXPLANATION
GENERAL ELECTRIC CO STOCK SPLIT	336	05/11				STOCK DIV

Realized Gain (Loss) Summary

Estimated realized gain(loss) figures are displayed for your information. These sections reflect gains(losses) starting from when they were first included on your 2000 statement. In instances where a gain(loss) has not been calculated, section and summary totals may not reflect a comprehensive view of your complete portfolio. Realized gains(losses) displayed here may not correspond to those previously displayed on your monthly statements due to subsequent adjustments to cost bases. For more information on how estimated realized gains or losses are calculated, please consult the enclosed brochure. Since Prudential Securities is not a tax advisor, you should consult your personal tax advisor to determine how this information may apply to your situation

Short Term Gain	Short Term (Loss)	Short Term Net	Long Term Gain	Long Term (Loss)	Long Term Net
$0.00	$0.00	$0.00	$11,548.93	$0.00	$11,548.93

Realized Gain (Loss) Detail

	ORIGINAL TRADE DATE	CLOSING DATE	QUANTITY	COST BASIS	PROCEEDS	REALIZED GAIN OR (LOSS)
Long Term						
COCA COLA CO DELAWARE	01-17-95	02-15-00	168	$4,286.40	$8,776.65	$4,490.25
MOTOROLA INC	01-17-95	02-15-00	84	$5,283.90	$12,342.58	$7,058.68

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Carolyn McCarthy

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Land - 8 Mill Farm La Watermill, NY	X															X												X			S

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SCHEDULE IV — TRANSACTIONS

Name Carolyn McCarthy

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	SEE ATTACHED BROKERAGE STATEMENTS														

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Trading Activity

DESCRIPTION	QUANTITY	TRADE DATE	SETTLEMENT DATE	NET COST	NET PROCEEDS	EXPLANATION	ACCRUED INTEREST
AT&T CORP DEBENTURES RO JJ 8.125 07/15/2024 DTD 07/15/92 CALLABLE 07/15/2002 AT 103.97	50,000	12/28/2000	01/03/2001		46,682.25	SOLD	1,895.83
						Interest Recd	
ALLIANCE SELECT INVESTOR SERIES TECHNOLOGY PORTFOLIO CL A	1,000	03/28/2000	03/31/2000	10,000.00		BOUGHT	
AMERICA ONLINE INC DELAWARE AS OF 05/30/00 UNSOLICITED	500	06/01/2000	06/02/2000	26,055.94		BOUGHT	
COMPUTER ASSOCIATES INTL INC	200	07/06/2000	07/11/2000	6,139.92		BOUGHT	
DREYFUS FOUNDERS PASSPORT FUND CLASS A	796	01/27/2000	02/01/2000	20,019.40		BOUGHT	
MONTGOMERY FUNDS SHORT DURATION GOVERNMENT FUND	1,425.152	12/29/2000	01/02/2001	14,379.78		BOUGHT	

Trading Activity (CONTINUED)

DESCRIPTION	QUANTITY	TRADE DATE	SETTLEMENT DATE	NET COST	NET PROCEEDS	EXPLANATION	ACCRUED INTEREST
NATIONAL EQUITY TRUST LOW FIVE PORTFOLIO 203 QUARTERLY PAYMENT VIA074-20 PRICE: 0.8847100	.446	02/09/2000	02/14/2000		.39	SOLD	
NATIONAL EQUITY TRUST LOW FIVE PORTFOLIO 203 QUARTERLY PAYMENT VIA074-20 PRICE: 0.8847100	67,943	02/09/2000	02/14/2000		60,109.85	SOLD	
NEW YORK ST DORM AUTH REVS MENTAL HLTH SVCS FACS IMPT G RO FA 5.250 08/15/2013 DTD 10/01/98 CALL 08/15/2008 101.00 095.80100 BASIS PR.UNSOLICITED	15,000	02/09/2000	02/14/2000	14,375.40		BOUGHT	391.56 Municipal Paid
NEW YORK ST URBAN DEVELOPMENT CORP YOUTH FACILITIES REV BDS SER 1994 RO AO 5.700 04/01/2014 DTD 03/15/94 CALL 04/01/2004 102.00 100.00000 BASIS PR.	20,000	02/11/2000	02/14/2000	20,005.25		BOUGHT	421.17 Municipal Paid
NUVEEN NEW YORK PERFORMANCE PLUS MUN FD INC MUN AUCTION RATE PREFERRED SER M TDE03/06 VIAORP-20	1	03/10/2000	03/10/2000	25,000.00		BOUGHT	
NUVEEN NEW YORK PERFORMANCE PLUS MUN FUND AUC RATE CUM PFD 800 SHS SER T 0.00 PRICE IS $ 25,000	1	02/22/2000	02/23/2000	25,000.00		BOUGHT	
NUVEEN NEW YORK INVESTMENT QUALITY MUN AUCTION RATE PFD SERIES M 0.00 PRICE IS $ 25,000	1	02/28/2000	02/29/2000	25,000.00		BOUGHT	
PRUDENTIAL JENNISON INTERNATIONAL GROWTH FUND CLASS A	2,000	02/25/2000	03/01/2000	20,000.00		BOUGHT	
PRUDENTIAL JENNISON INTERNATIONAL GROWTH FUND Z	1,997.191	12/29/2000	01/02/2001		14,379.78	SOLD	
VAN KAMPEN AGGRESSIVE GRWTH INTERNET 19 (SUBJ TO DEFERRED SALES CHARGE) SEE PROSPECTUS FOR SALE CHG	500	02/15/2000	02/18/2000	5,000.00		BOUGHT	
VAN KAMPEN TELECOMUNICATIONS TR SR 9 (SUBJ TO DEFERRED SALES CHARGE) SEE PROSPECTUS FOR SALE CHG	500	02/15/2000	02/18/2000	5,000.00		BOUGHT	
VAN KAMPEN TELECOMUNICATIONS TR SR 9 (SUBJ TO DEFERRED SALES CHARGE) AS OF 02/15/00 CUR YLD 0000 SEE PROSPECTUS FOR SALE CHG	500	02/17/2000	02/18/2000	5,000.00		BOUGHT	

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Redemptions, Exchanges & Other Activity

DESCRIPTION	QUANTITY	RECEIVED/ CHARGED	DELIVERED/ CREDITED	AMOUNT CHARGED	AMOUNT CREDITED	EXPLANATION
ALLNC TECH PTFA	1,000		12/29		6,090.00	EXCHANGED
CBS CP EXM	400		05/05			EXCHANGED
DREYFUS PRM TECH GFD	317.361	12/28		12,738.88		EXCHANGED
DREYFUS FORS PSPT A	906.037		12/28		12,738.88	EXCHANGED
NASDAQ 100 TRUST SER STOCK SPLIT	50	03/23				STOCK DIV
NEW YORK ST DORM AUTH REVS INSD ITHACA COLLEGE SER 1998	35,000		07/03		35,000.00	MATURITY
VIACOM INC CLB	434	05/05				EXCHANGED
Total Redemptions, Exchanges & Other Activity					$41,090.00	

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Trading Activity

DESCRIPTION	QUANTITY	TRADE DATE	SETTLEMENT DATE	NET COST	NET PROCEEDS	EXPLANATION	ACCRUED INTEREST
TRANSOCEAN SEDCO FOREX INC	2	01/19/2000	01/24/2000		73.84	SOLD	
AES CORP	35	02/07/2000	02/10/2000	2,784.02		BOUGHT	
AES CORP	10	03/29/2000	04/03/2000	758.89		BOUGHT	
AES CORP	5	06/21/2000	06/26/2000	221.25		BOUGHT	
AES CORP	10	08/11/2000	08/16/2000		546.74	SOLD	
AES CORP	25	11/20/2000	11/24/2000		1,427.06	SOLD	
AES CORP	5	12/12/2000	12/15/2000	250.00		BOUGHT	
AMERICAN INTERNATIONAL GROUP INC AO 06/27 UNSOLICITED	10	06/28/2000	06/30/2000	1,190.00		BOUGHT	

MRS CAROLYN MC CARTHY Jan 1 - Dec 31, 2000 Account Number: [redacted]

Trading Activity (CONTINUED)

DESCRIPTION	QUANTITY	TRADE DATE	SETTLEMENT DATE	NET COST	NET PROCEEDS	EXPLANATION	ACCRUED INTEREST
ASSOCIATE FIRST CAPITAL CORP CLASS A	75	02/07/2000	02/10/2000		1,485.83	SOLD	
ASSOCIATE FIRST CAPITAL CORP CLASS A	50	08/04/2000	08/09/2000	1,391.69		BOUGHT	
AVON PRODUCTS INC	20	05/04/2000	05/09/2000		755.00	SOLD	
AVON PRODUCTS INC	15	06/13/2000	06/16/2000		633.75	SOLD	
BANK OF AMERICA CORP	10	05/30/2000	06/02/2000	546.83		BOUGHT	
BANK OF AMERICA CORP	15	09/01/2000	09/07/2000		791.25	SOLD	
BANK OF AMERICA CORP	10	11/07/2000	11/10/2000		507.65	SOLD	
BANK OF AMERICA CORP	25	12/01/2000	12/06/2000		997.29	SOLD	
BANK ONE CORP	65	04/11/2000	04/14/2000	2,109.23		BOUGHT	
BANK ONE CORP	50	06/21/2000	06/26/2000		1,372.14	SOLD	
BANK ONE CORP	25	11/13/2000	11/16/2000		926.75	SOLD	
BRISTOL MYERS SQUIBB CO	5	04/19/2000	04/25/2000		325.00	SOLD	
BRISTOL MYERS SQUIBB CO	10	05/11/2000	05/16/2000		545.63	SOLD	
BRISTOL MYERS SQUIBB CO	15	07/10/2000	07/13/2000		864.38	SOLD	
BROADCOM CORP CLASS A	5	02/24/2000	02/29/2000		938.10	SOLD	
BROADCOM CORP CLASS A	5	03/21/2000	03/24/2000		1,081.18	SOLD	
BROADCOM CORP CLASS A	5	03/29/2000	04/03/2000		1,069.67	SOLD	
BROADCOM CORP CLASS A	5	04/24/2000	04/27/2000	655.37		BOUGHT	
BROADCOM CORP CLASS A	5	11/13/2000	11/16/2000	827.93		BOUGHT	
BROADCOM CORP CLASS A	10	12/01/2000	12/06/2000		1,016.25	SOLD	
BURLINGTON NORTHERN SANTA FE CORP	45	01/18/2000	01/21/2000	1,173.65		BOUGHT	
BURLINGTON NORTHERN SANTA FE CORP	10	06/13/2000	06/16/2000		248.75	SOLD	
BURLINGTON NORTHERN SANTA FE CORP	35	09/15/2000	09/20/2000		762.98	SOLD	
CHASE MANHATTAN CORP COM NEW	10	01/12/2000	01/18/2000	703.13		BOUGHT	
CHASE MANHATTAN CORP COM NEW	5	04/11/2000	04/14/2000		428.44	SOLD	
CHASE MANHATTAN CORP COM NEW	10	04/19/2000	04/25/2000		763.75	SOLD	
CHASE MANHATTAN CORP COM NEW	25	06/13/2000	06/16/2000		1,200.11	SOLD	
CHASE MANHATTAN CORP COM NEW	15	09/19/2000	09/22/2000	705.61		BOUGHT	
CISCO SYSTEMS INC	5	11/20/2000	11/24/2000	258.75		BOUGHT	
CISCO SYSTEMS INC	40	12/22/2000	12/28/2000		1,644.16	SOLD	
CITIGROUP INC	39	09/01/2000	09/07/2000		2,239.11	SOLD	
CITIGROUP INC	15	09/19/2000	09/22/2000		808.13	SOLD	

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See reverse side for additional information

Trading Activity (CONTINUED)

DESCRIPTION	QUANTITY	TRADE DATE	SETTLEMENT DATE	NET COST	NET PROCEEDS	EXPLANATION	ACCRUED INTEREST
COLGATE PALMOLIVE CO	15	07/31/2000	08/03/2000		856.58	SOLD	
COLGATE PALMOLIVE CO	10	12/22/2000	12/28/2000	602.95		BOUGHT	
COMPUTER ASSOCIATES INTL INC	40	07/10/2000	07/13/2000		1,200.52	SOLD	
DELL COMPUTER CORP	10	03/07/2000	03/10/2000	460.00		BOUGHT	
DELL COMPUTER CORP	15	05/11/2000	05/16/2000	675.00		BOUGHT	
DELL COMPUTER CORP	15	08/04/2000	08/09/2000	614.78		BOUGHT	
DELL COMPUTER CORP	70	12/01/2000	12/06/2000		1,392.20	SOLD	
E M C CORP MASS	10	01/05/2000	01/10/2000		1,005.21	SOLD	
E M C CORP MASS	5	08/04/2000	08/09/2000	427.50		BOUGHT	
E M C CORP MASS	20	10/17/2000	10/20/2000		1,937.50	SOLD	
E M C CORP MASS	15	12/22/2000	12/28/2000		954.38	SOLD	
ENRON CORP OREGON	5	01/27/2000	02/01/2000		300.20	SOLD	
ENRON CORP OREGON	15	02/24/2000	02/29/2000		980.43	SOLD	
ENRON CORP OREGON	15	03/13/2000	03/16/2000		961.74	SOLD	
ENRON CORP OREGON	10	03/29/2000	04/03/2000		749.25	SOLD	
EXXON MOBIL CORP	30	10/17/2000	10/20/2000	2,684.56		BOUGHT	
EXXON MOBIL CORP	5	10/25/2000	10/30/2000	439.08		BOUGHT	
EXXON MOBIL CORP	10	12/01/2000	12/06/2000	890.38		BOUGHT	
FEDERATED DEPARTMENT STORES INC DEL	15	03/21/2000	03/24/2000	635.41		BOUGHT	
FEDERATED DEPARTMENT STORES INC DEL	10	06/29/2000	07/05/2000		338.28	SOLD	
FEDERATED DEPARTMENT STORES INC DEL	25	07/31/2000	08/03/2000	623.02		BOUGHT	
FEDERATED DEPARTMENT STORES INC DEL	15	09/12/2000	09/15/2000		423.53	SOLD	
FEDERATED DEPARTMENT STORES INC DEL	20	11/20/2000	11/24/2000		649.76	SOLD	
FIRST UNION CORP	30	02/07/2000	02/10/2000		957.04	SOLD	
FIRSTAR CORP NEW	50	02/07/2000	02/10/2000	1,132.98		BOUGHT	
FIRSTAR CORP NEW	30	04/24/2000	04/27/2000	840.00		BOUGHT	
FIRSTAR CORP NEW	40	10/23/2000	10/26/2000	684.23		BOUGHT	
FORD MOTOR CO DELAWARE	10	03/29/2000	04/03/2000	451.88		BOUGHT	
FORD MOTOR CO DELAWARE	20	06/29/2000	07/05/2000		855.63	SOLD	
GAP INC	10	04/11/2000	04/14/2000	439.77		BOUGHT	

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Trading Activity (CONTINUED)

DESCRIPTION	QUANTITY	TRADE DATE	SETTLEMENT DATE	NET COST	NET PROCEEDS	EXPLANATION	ACCRUED INTEREST
GAP INC	20	06/27/2000	06/30/2000		616.47	SOLD	
GAP INC	10	07/10/2000	07/13/2000		370.63	SOLD	
GAP INC	5	08/04/2000	08/09/2000		149.69	SOLD	
GENERAL ELECTRIC CO	15	09/15/2000	09/20/2000	858.75		BOUGHT	
GENERAL ELECTRIC CO	20	10/31/2000	11/03/2000		1,093.34	SOLD	
HOME DEPOT INC AS OF 01/05/00 UNSOLICITED	20	01/06/2000	01/10/2000		1,235.23	SOLD	
HONEYWELL INTERNATIONAL INC	15	04/19/2000	04/25/2000	831.81		BOUGHT	
HONEYWELL INTERNATIONAL INC	25	06/27/2000	06/30/2000		845.31	SOLD	
HONEYWELL INTERNATIONAL INC	10	07/18/2000	07/21/2000	369.38		BOUGHT	
INTEL CORP	10	01/12/2000	01/18/2000		932.50	SOLD	
INTEL CORP	20	11/07/2000	11/10/2000	917.12		BOUGHT	
INTEL CORP	20	12/19/2000	12/22/2000		689.16	SOLD	
JOHNSON CONTROLS INC	15	02/16/2000	02/22/2000	858.09		BOUGHT	
JOHNSON CONTROLS INC	5	06/13/2000	06/16/2000	273.58		BOUGHT	
JOHNSON CONTROLS INC TDE09/29 UNSOLICITED	10	10/04/2000	10/04/2000	525.79		BOUGHT	
JOHNSON CONTROLS INC	15	12/19/2000	12/22/2000		730.67	SOLD	
KROGER COMPANY	25	05/17/2000	05/22/2000	518.52		BOUGHT	
KROGER COMPANY	30	06/27/2000	06/30/2000	628.67		BOUGHT	
KROGER COMPANY	5	11/20/2000	11/24/2000	127.50		BOUGHT	
MC GRAW HILL COMPANIES INC	20	04/19/2000	04/25/2000	982.61		BOUGHT	
MC GRAW HILL COMPANIES INC	10	12/19/2000	12/22/2000	583.04		BOUGHT	
MELLON FINANCIAL CORP	25	05/30/2000	06/02/2000		971.79	SOLD	
MERCK & CO	15	04/03/2000	04/06/2000		978.02	SOLD	
MERCK & CO	5	08/04/2000	08/09/2000		366.88	SOLD	
MERCK & CO	10	11/13/2000	11/16/2000		900.29	SOLD	
MERCK & CO	20	12/22/2000	12/28/2000	1,839.72		BOUGHT	
MICROSOFT CORP WASHINGTON	5	03/13/2000	03/16/2000		493.44	SOLD	
MICROSOFT CORP WASHINGTON	10	04/24/2000	04/27/2000		663.13	SOLD	
MICROSOFT CORP WASHINGTON	10	10/17/2000	10/20/2000	518.11		BOUGHT	

Trading Activity (CONTINUED)

DESCRIPTION	QUANTITY	TRADE DATE	SETTLEMENT DATE	NET COST	NET PROCEEDS	EXPLANATION	ACCRUED INTEREST
MICROSOFT CORP WASHINGTON	10	10/23/2000	10/26/2000	637.30		BOUGHT	
MICROSOFT CORP WASHINGTON	15	12/22/2000	12/28/2000		695.22	SOLD	
MORGAN STANLEY DEAN WITTER & CO	20	11/13/2000	11/16/2000	1,352.50		BOUGHT	
NETWORKS ASSOCIATES INC	45	02/24/2000	02/29/2000	1,341.36		BOUGHT	
NETWORKS ASSOCIATES INC	15	03/21/2000	03/24/2000	490.31		BOUGHT	
NETWORKS ASSOCIATES INC	20	09/01/2000	09/07/2000	515.08		BOUGHT	
NETWORKS ASSOCIATES INC	80	12/27/2000	01/02/2001		317.50	SOLD	
NETWORK APPLIANCE INC	5	02/07/2000	02/10/2000		627.50	SOLD	
NETWORK APPLIANCE INC	5	02/16/2000	02/22/2000		798.45	SOLD	
NETWORK APPLIANCE INC	15	05/04/2000	05/09/2000		984.23	SOLD	
NETWORK APPLIANCE INC	15	05/17/2000	05/22/2000		1,050.75	SOLD	
NETWORK APPLIANCE INC	10	06/29/2000	07/05/2000	787.96		BOUGHT	
NETWORK APPLIANCE INC	5	09/29/2000	10/04/2000		659.38	SOLD	
NETWORK APPLIANCE INC	15	10/23/2000	10/26/2000		2,035.82	SOLD	
NEWELL RUBBERMAID INC	35	01/18/2000	01/21/2000		1,045.42	SOLD	
NOKIA CORP SPONSOREO AMERICAN DEP RECEIPT ONE AOR REP ONE SHS	5	01/05/2000	01/10/2000		836.58	SOLD	
NOKIA CORP SPONSOREO AMERICAN DEP RECEIPT ONE ADR REP ONE SHS	20	04/11/2000	04/14/2000		1,014.67	SOLD	
NOKIA CORP SPONSOREO AMERICAN DEP RECEIPT ONE AOR REP ONE SHS	35	08/04/2000	08/09/2000		1,375.07	SOLD	
NOKIA CORP SPONSORED AMERICAN DEP RECEIPT ONE AOR REP ONE SHS	25	10/25/2000	10/30/2000		963.36	SOLD	
NORTEL NETWORKS CORP HOLDINGS CO	15	05/11/2000	05/16/2000	770.88		BOUGHT	
NORTEL NETWORKS CORP HOLDINGS CO	10	09/29/2000	10/04/2000	620.00		BOUGHT	
NORTEL NETWORKS CORP HOLDINGS CO	35	10/31/2000	11/03/2000	1,462.76		BOUGHT	
NORTEL NETWORKS CORP	5	01/12/2000	01/18/2000		476.27	SOLD	
ORACLE CORP	20	05/04/2000	05/09/2000	1,494.92		BOUGHT	
ORACLE CORP	15	06/27/2000	06/30/2000	1,229.69		BOUGHT	
ORACLE CORP	5	08/04/2000	08/09/2000	409.53		BOUGHT	
ORACLE CORP	10	09/19/2000	09/22/2000	790.00		BOUGHT	
PMC SIERRA INC	10	10/25/2000	10/30/2000	1,655.13		BOUGHT	

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Trading Activity (CONTINUED)

DESCRIPTION	QUANTITY	TRADE DATE	SETTLEMENT DATE	NET COST	NET PROCEEDS	EXPLANATION	ACCRUED INTEREST
PEPSICO INC AS OF 05/04/00 UNSOLICITED	15	05/05/2000	05/09/2000		560.93	SOLD	
PEPSICO INC	15	05/11/2000	05/16/2000		593.44	SOLD	
PEPSICO INC	35	12/22/2000	12/28/2000	1,686.56		BOUGHT	
PFIZER INC	15	03/13/2000	03/16/2000	510.00		BOUGHT	
PFIZER INC	10	05/30/2000	06/02/2000	438.13		BOUGHT	
PFIZER INC	5	07/31/2000	08/03/2000	217.81		BOUGHT	
PFIZER INC	30	09/12/2000	09/15/2000	1,211.25		BOUGHT	
PFIZER INC	30	10/17/2000	10/20/2000		1,311.27	SOLD	
PHARMACIA CORP	35	07/10/2000	07/13/2000	1,954.95		BOUGHT	
PHARMACIA CORP	5	07/18/2000	07/21/2000	280.28		BOUGHT	
PHARMACIA CORP	10	09/29/2000	10/04/2000	608.13		BOUGHT	
PHILIP MORRIS COS INC	20	07/10/2000	07/13/2000	535.86		BOUGHT	
PHILIP MORRIS COS INC	15	09/12/2000	09/15/2000	445.35		BOUGHT	
PROVIDIAN FINANCIAL CORP	25	01/05/2000	01/10/2000	2,046.62		BOUGHT	
PROVIDIAN FINANCIAL CORP	5	03/07/2000	03/10/2000	307.80		BOUGHT	
PROVIDIAN FINANCIAL CORP	15	05/04/2000	05/09/2000	1,323.15		BOUGHT	
PROVIDIAN FINANCIAL CORP	5	09/13/2000	09/18/2000	593.57		BOUGHT	
PROVIDIAN FINANCIAL CORP	5	09/29/2000	10/04/2000		642.18	SOLD	
SCHERING PLOUGH CORP	35	03/13/2000	03/16/2000	1,292.52		BOUGHT	
SCHERING PLOUGH CORP	15	12/22/2000	12/28/2000	859.83		BOUGHT	
SCHLUMBERGER LIMITED	10	03/07/2000	03/10/2000		823.75	SOLD	
SOLECTRON CORP DELAWARE	20	11/20/2000	11/24/2000	688.09		BOUGHT	
STAPLES INC RETAIL & DELIVERY	95	01/05/2000	01/10/2000	2,201.29		BOUGHT	
STAPLES INC RETAIL & DELIVERY	10	02/16/2000	02/22/2000	244.78		BOUGHT	
STAPLES INC RETAIL & DELIVERY	40	03/29/2000	04/03/2000	803.68		BOUGHT	
STAPLES INC RETAIL & DELIVERY	35	09/01/2000	09/07/2000	555.33		BOUGHT	
STAPLES INC RETAIL & DELIVERY	125	10/25/2000	10/30/2000		1,434.93	SOLD	
SUN MICROSYSTEMS INC AS OF 08/14/00	10	08/15/2000	08/17/2000	1,114.13		BOUGHT	
TARGET CORP	5	05/30/2000	06/02/2000	314.68		BOUGHT	

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Prudential Securities

IMPORTANT TAX INFORMATION: Please Retain pg 11 of 16

Prudential Securities Incorporated, a subsidiary of The Prudential Insurance Company of America, Newark, New Jers

MRS CAROLYN MC CARTHY Jan 1 - Dec 31, 2000 Account Number: [redacted]

Trading Activity (CONTINUED)

DESCRIPTION	QUANTITY	TRADE DATE	SETTLEMENT DATE	NET COST	NET PROCEEDS	EXPLANATION	ACCRUED INTEREST
TARGET CORP AS OF 06/27/00 UNSOLICITED	25	06/28/2000	06/30/2000		1,387.72	SOLD	
TARGET CORP	35	09/01/2000	09/07/2000	848.69		BOUGHT	
TENET HEALTHCARE CORP	20	07/31/2000	08/03/2000		615.45	SOLD	
TEXACO INC	15	06/29/2000	07/05/2000	823.71		BOUGHT	
TEXAS INSTRUMENTS INC	10	02/07/2000	02/10/2000		1,330.15	SOLD	
TEXAS INSTRUMENTS INC	10	12/22/2000	12/28/2000		470.00	SOLD	
TYCO INTERNATIONAL LTD NEW	20	01/27/2000	02/01/2000	846.20		BOUGHT	
TYCO INTERNATIONAL LTD NEW AS OF 03/21/00 UNSOLICITED	10	03/22/2000	03/24/2000		472.89	SOLD	
TYCO INTERNATIONAL LTD NEW	10	04/24/2000	04/27/2000		444.38	SOLD	
TYCO INTERNATIONAL LTD NEW	20	07/18/2000	07/21/2000		1,070.00	SOLD	
TYCO INTERNATIONAL LTD NEW	15	08/14/2000	08/17/2000		804.38	SOLD	
TYCO INTERNATIONAL LTD NEW	5	09/01/2000	09/07/2000		294.38	SOLD	
TYCO INTERNATIONAL LTD NEW	10	11/20/2000	11/24/2000		571.25	SOLD	
UNITED TECHNOLOGIES CORP	5	02/24/2000	02/29/2000	254.69		BOUGHT	
UNITED TECHNOLOGIES CORP	10	04/24/2000	04/27/2000		647.50	SOLD	
UNITED TECHNOLOGIES CORP	15	09/13/2000	09/18/2000		938.44	SOLD	
UNITED TECHNOLOGIES CORP	5	09/19/2000	09/22/2000		308.44	SOLD	
UNITED TECHNOLOGIES CORP	5	11/13/2000	11/16/2000	327.79		BOUGHT	
UNITEDHEALTH GROUP INC	10	05/30/2000	06/02/2000		763.30	SOLD	
UNITEDHEALTH GROUP INC	5	07/31/2000	08/03/2000		410.11	SOLD	
UNITEDHEALTH GROUP INC	5	09/12/2000	09/15/2000		460.27	SOLD	
VERITAS SOFTWARE CORP	15	07/18/2000	07/21/2000	1,979.00		BOUGHT	
VERITAS SOFTWARE CORP	5	07/31/2000	08/03/2000	484.11		BOUGHT	
VERITAS SOFTWARE CORP	5	11/20/2000	11/24/2000	560.23		BOUGHT	
VERITAS SOFTWARE CORP	10	12/19/2000	12/22/2000	955.16		BOUGHT	
VISTEON CORP	6	07/10/2000	07/13/2000		80.63	SOLD	
VITESSE SEMICONDUCTOR CORP	25	06/13/2000	06/16/2000	1,777.87		BOUGHT	
WASHINGTON MUTUAL INC	50	04/19/2000	04/25/2000		1,311.82	SOLD	

2000 Consolidated Statement

Securities Account

Prudential Securities

IMPORTANT TAX INFORMATION: Please Retain pg 12 of 16

Prudential Securities Incorporated, a subsidiary of The Prudential Insurance Company of America, Newark, New Jer

MRS CAROLYN MC CARTHY Jan 1 - Dec 31, 2000 Account Number: [redacted]

Trading Activity (CONTINUED)

DESCRIPTION	QUANTITY	TRADE DATE	SETTLEMENT DATE	NET COST	NET PROCEEDS	EXPLANATION	ACCRUED INTEREST
WELLS FARGO AND CO NEW	25	12/01/2000	12/06/2000	1,203.78		BOUGHT	
WELLS FARGO AND CO NEW	10	12/27/2000	01/02/2001	548.31		BOUGHT	
WORLDCOM INC	20	07/18/2000	07/21/2000		928.28	SOLD	
WORLDCOM INC	70	11/13/2000	11/16/2000		1,052.15	SOLD	

MRS CAROLYN MC CARTHY Jan 1 - Dec 31, 2000 Account Number: [redacted]

Trading Activity

DESCRIPTION	QUANTITY	TRADE DATE	SETTLEMENT DATE	NET COST	NET PROCEEDS	EXPLANATION	ACCRUED INTEREST
ABBOTT LABORATORIES ILLINOIS AO 01/06 UNSOLICITED	95	01/07/2000	01/11/2000		3,298.73	SOLD	
AGILENT TECHNOLOGIES INC	13	06/13/2000	06/16/2000		874.25	SOLD	
AMERICAN INTERNATIONAL GROUP INC	18	09/12/2000	09/15/2000		1,666.43	SOLD	
AMGEN INC	37	03/28/2000	03/31/2000	2,369.15		BOUGHT	
AMGEN INC	19	05/24/2000	05/30/2000	1,094.36		BOUGHT	
AMGEN INC	25	10/26/2000	10/31/2000	1,670.22		BOUGHT	
AUTOMATIC DATA PROCESSING INC AO 01/06 UNSOLICITED	26	01/07/2000	01/07/2000		1,358.50	SOLD	
AUTOMATIC DATA PROCESSING INC AO 01/06 CXLTOE01/07UNSOLICITED THIS TRADE WAS A CANCELLATION	26	01/07/2000	02/09/2000		1,358.50	CNXL SALE	
AUTOMATIC DATA PROCESSING INC AO 01/06 UNSOLICITED	26	02/09/2000	02/09/2000		1,358.50	SOLD	
AUTOMATIC DATA PROCESSING INC	36	10/25/2000	10/30/2000		2,288.16	SOLD	
AVAYA INC	6	10/20/2000	10/25/2000		91.50	SOLD	
BRISTOL MYERS SQUIBB CO	37	04/26/2000	05/01/2000	1,916.36		BOUGHT	
BRISTOL MYERS SQUIBB CO AS OF 05/26/00 UNSOLICITED	25	05/30/2000	06/01/2000	1,399.35		BOUGHT	
CARDINAL HEALTH INC	26	10/26/2000	10/31/2000	2,336.46		BOUGHT	
WALT DISNEY HOLDINGS CO	32	10/26/2000	10/31/2000		1,124.82	SOLD	
E M C CORP MASS	13	01/10/2000	01/13/2000	1,456.39		BOUGHT	
E M C CORP MASS	13	08/07/2000	08/10/2000		1,155.57	SOLD	
FREDDIE MAC VOTING COM STOCK	75	06/21/2000	06/26/2000		3,107.18	SOLD	
GENERAL ELECTRIC CO AO 01/06 UNSOLICITED	14	01/07/2000	01/11/2000		2,036.98	SOLD	
HOME DEPOT INC AO 01/06 UNSOLICITED	46	01/07/2000	01/11/2000		2,804.67	SOLD	
HOME DEPOT INC	5	04/03/2000	04/06/2000		337.81	SOLD	
INTEL CORP AS OF 02/07/00	22	02/08/2000	02/10/2000		2,313.51	SOLD	
INTEL CORP	11	04/13/2000	04/18/2000		1,395.27	SOLD	
INTEL CORP	22	09/12/2000	09/15/2000		1,462.27	SOLD	
INTERNATIONAL BUSINESS MACHINES	13	01/07/2000	01/12/2000	1,467.38		BOUGHT	

Trading Activity (CONTINUED)

DESCRIPTION	QUANTITY	TRADE DATE	SETTLEMENT DATE	NET COST	NET PROCEEDS	EXPLANATION	ACCRUED INTEREST
JOHNSON & JOHNSON AO 03/28 UNSOLICITED	34	03/30/2000	03/31/2000		2,381.75	SOLD	
JOHNSON & JOHNSON AS OF 04/17/00 UNSOLICITED	30	04/18/2000	04/20/2000		2,316.19	SOLD	
LUCENT TECHNOLOGIES INC	80	11/15/2000	11/20/2000		1,768.73	SOLD	
MBNA CORP AO 06/29 UNSOLICITED	70	06/30/2000	07/05/2000	1,898.91		BOUGHT	
MBNA CORP	12	06/30/2000	07/06/2000	327.09		BOUGHT	
MCI WORLDCOM INC	20	04/14/2000	04/19/2000	763.19		BOUGHT	
MICROSOFT CORP WASHINGTON	25	09/12/2000	09/15/2000	1,704.64		BOUGHT	
NOKIA CORP SPONSORED AMERICAN DEP RECEIPT ONE ADR REP ONE SHS	42	06/21/2000	06/26/2000	2,523.51		BOUGHT	
ORACLE CORP	43	01/28/2000	02/02/2000	2,161.11		BOUGHT	
PROCTER & GAMBLE CO AO 03/28 UNSOLICITED	70	03/30/2000	03/31/2000		3,905.86	SOLD	
SUN MICROSYSTEMS INC	22	01/10/2000	01/13/2000	1,672.00		BOUGHT	
SUN MICROSYSTEMS INC TDE01/11 PSI MAKES MARKET	7	01/19/2000	01/19/2000	567.77		BOUGHT	
SUN MICROSYSTEMS INC	10	02/09/2000	02/14/2000	891.22		BOUGHT	
TEXAS INSTRUMENTS INC	12	01/07/2000	01/12/2000	1,106.57		BOUGHT	
TEXAS INSTRUMENTS INC	11	01/11/2000	01/14/2000	1,120.65		BOUGHT	
TEXAS INSTRUMENTS INC	7	03/14/2000	03/17/2000	1,160.25		BOUGHT	
TEXAS INSTRUMENTS INC	25	08/07/2000	08/10/2000	1,418.44		BOUGHT	
TEXAS INSTRUMENTS INC AS OF 11/15/00 UNSOLICITED	30	11/16/2000	11/20/2000	1,433.72		BOUGHT	
TYCO INTERNATIONAL LTD NEW	44	03/2[illegible]/2000	03/31/2000	2,215.67		BOUGHT	
TYCO INTERNATIONAL LTD NEW	30	05/24/2000	05/30/2000	1,302.76		BOUGHT	
TYCO INTERNATIONAL LTD NEW AS OF 11/15/00 UNSOLICITED	25	11/16/2000	11/20/2000	1,358.74		BOUGHT	
WALGREEN CO AO 05/02 UNSOLICITED	15	05/03/2000	05/05/2000	429.11		BOUGHT	
WALGREEN CO AO 05/02 UNSOLICITED	10	05/03/2000	05/05/2000	286.07		BOUGHT	
WALGREEN CO	21	05/03/2000	05/08/2000	578.37		BOUGHT	
WALGREEN CO	20	05/03/2000	05/08/2000	550.83		BOUGHT	
WARNER LAMBERT CO	40	05/18/2000	05/23/2000		4,922.97	SOLD	

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2000 Consolidated Statement

Securities Account

Prudential Securities

IMPORTANT TAX INFORMATION: Please Retain pg 15 of 16

Prudential Securities Incorporated, a subsidiary of The Prudential Insurance Company of America, Newark, New Jers

MRS CAROLYN MC CARTHY

Jan 1 - Dec 31, 2000 Account Number: [redacted]

Trading Activity (CONTINUED)

DESCRIPTION	QUANTITY	TRADE DATE	SETTLEMENT DATE	NET COST	NET PROCEEDS	EXPLANATION	ACCRUED INTEREST
WORLDCOM INC	89	11/14/2000	11/17/2000		1,523.67	SOLD	

SCHEDULE IV — TRANSACTIONS

Name Carolyn McCarthy

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–00		X								
	Land - 8 Mill Farm Lane		X		04-27-00				X						
	Watermill, NY														

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Name Carolyn McCarthy

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Deleware	Mortgage on 123 Main St., Dover, Del.				X						
	None											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
None		

Use additional sheets if more space is required.

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Name Carolyn McCarthy Page 1 of 1

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Democratic Leadership Council	Apr 28-30	New York-New Orleans-New York	Y	Y	N	N

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Name	Carolyn McCarthy	Page 1 of 1

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	New Yorkers Against Gun Violence
Trustee	The Kevin McCarthy Fund
Board Member	The Lab School of Washington

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

GPO: 2001 70-729 (mac)

Use additional sheets if more space is required.

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY -9 PM 3:21
(Office Use Only)

Karen McCarthy
(Full Name)

1330 Longworth House Office Building 202-225-4535
(Mailing Address) Daytime Telephone
Washington, D.C. 20515

Filer Status	[X] Member of the U.S. House of Representatives — State: MO District: 5th	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ] No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ] No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [ ]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [ ]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Karen McCarthy	May 9, 2001

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Karen McCarthy

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E P, S, E
SP	Mega Corp. Stock						X							X										X								P
DC	Simon & Schuster		Indefinite																	Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X										X						
	Fund, Money Market Intrust, St. Louis, MO													X																		
	IRA/Waddell Reed KCMO			X												N/A											N/A					
	Country Club Bank KCMO															X																
	State of MO Retirement System, Jefferson City, MO			X												N/A											N/A					
	State of MO Preferred Comp Plan, Jefferson City, MO			X												N/A											N/A					

For additional assets and unearned income, use next page.

Name Karen McCarthy

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Center for Middle East Peace & Economic Coop - Middle East (Morocco, Tunisia, Algeria, Egypt, Qatar, Kuwait, Oman)	Apr 17-27	KC-DC-Paris-KC	Y	Y	N	N
Aspen Institute - US-China Relations - Vancouver, British Columbia, Canada	May 30-June 4	KC-STL-Vancouver-STL-KC	Y	Y	N	N
Aspen Institute - US-Russia Relations - Prague	Aug 20-26	KC-Prague-KC	Y	Y	N	N
German Marshall Fund - E-Business Policy Forum	Nov 27-30	KC-Frankfut-KC	Y	Y	N	N

This page may be copied if more space is required.

FIFTH DISTRICT, MISSOURI
1530 LONGWORTH HOUSE
OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-4535
400 E. 9TH STREET, SUITE 9350
KANSAS CITY, MO 64106
(816) 842-4545
301 W. LEXINGTON, ROOM 217
INDEPENDENCE, MO 64050
(816) 833-4545
http://www.house.gov/karenmccarthy

Congress of the United States
House of Representatives
Karen McCarthy

COMMITTEE ON ENERGY AND COMMERCE
SUBCOMMITTEE ON ENERGY AND AIR QUALITY
SUBCOMMITTEE ON TELECOMMUNICATIONS AND THE INTERNET
SUBCOMMITTEE ON ENVIRONMENT AND HAZARDOUS MATERIALS
DEMOCRATIC CAUCUS STEERING COMMITTEE

June 12, 2001

The Clerk
U. S. House of Representatives
Legislative Resource Center
B106 Cannon House Office Building
Washington, D. C. 20515-6612

MC

RE: Financial Disclosure Statement for Calendar Year 2000

Dear Sir:

The following information is being submitted to amend the Financial Disclosure Statement for Calendar Year 2000 as submitted on May 9, 2001.

HAND DELIVERED

01 JUN 12 PM 5:56

Form A, Page 1
Exclusion of Spouse, Dependent, or Trust Information
Trusts - the "*No*" Box should be checked
Exemption - the "*No*" Box should be checked

Schedule III Assets and "Unearned" income
Fund, Money Market/Intrust, St. Louis, MO
Value of Asset $100,001 - $250,000
Amount of Income: none

Country Club Bank KCMO
Value of Asset $1,001 - $15,000
Amount of Income: $1 - $200

This information should complete the Statement in its entirety. If you should require further information, please let me know.

Sincerely,

Karen McCarthy

KMC:tmr

RECEIVED

01 JUN 12 PM 5:56

HAND DELIVERED

(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A

For use by Members, officers, and employees

Karen McCarthy
(Full Name)

1330 Longworth House Office Building
(Mailing Address)

202-225-4535
Daytime Telephone

Washington, D. C. 20515

Filer Status	[X] Member of the U.S. House of Representatives — State: MO, District: 5th	[ ] Officer or Employee	Employing Office
Report Type	[ ] Annual (May 15)	[X] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Karen McCarthy	June 12, 2001

3160

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Karen McCarthy

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the catogory of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A	BLOCK B: None	A $1 - $1,000	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001 - $250,000	F $250,001 - $500,000	G $500,001 - $1,000,000	H $1,000,001 - $5,000,000	I $5,000,001 - $25,000,000	J $25,000,001 - $50,000,000	K Over $50,000,000	BLOCK C: DIVIDENDS	RENTS	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	BLOCK D: None	I $1 - $200	II $201 - $1,000	III $1,001 - $2,500	IV $2,501 - $5,000	V $5,001 - $15,000	VI $15,001 - $50,000	VII $50,001 - $100,000	VIII $100,001 - $1,000,000	IX $1,000,001 - $5,000,000	X Over $5,000,000	XI	BLOCK E: P, S, E
SP.	SP Mega Corp Stock					X								X																			P
DC.	*Examples:* Simon & Schuster		Indefinite																	Royalties													
JT	1st Bank of Paducah, KY accounts					X										X										X			X				
	Fund, Money Market Intrust, St. Louis, MO													X							X												
	TRA/Waddell Reed KCMO			X											N/A												N/A						
	Country Club Bank KCMO		X													X																	
	State of MO Retirement System, Jefferson City, MO		X												N/A												N/A						
	State of MO Preferred Comp Plan, Jefferson City MO	X	X												N/A												N/A						

For additional assets and unearned income, use next page.

Name Karen McCarthy | Page 3 of 3

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

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Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Center for Middle East Peace & Economic Coop - Middle East (Morocco, Tunisia, Algeria, Egypt, Qatar, Kuwait, Omac)	Apr 17-27	KC-DC-Paris-KC	Y	Y	N	N
Aspen Institute - US-China Relations - Vancouver, British Columbia, Canada	May 30-June 4	KC-STL-Vancouver-STL-KC	Y	Y	N	N
Aspen Institute - US-Russia Relations - Prague	Aug 20-26	KC-Prague-KC	Y	Y	N	N
German Marshall Fund - E-Business Policy Forum	Nov 27-30	KC-Frankfut-KC	Y	Y	N	N

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 AM 9:18
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(8)

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

BETTY LOUISE MCCOLLUM
(Full Name)
2464 BURKE AVENUE EAST 651 770 0025
(Mailing Address) (Daytime Telephone)
NORTH ST PAUL MINNESOTA 55109

MC (Office Use Only)

Filer Status: [✓] Member of the U.S. House of Representatives — State MN District 4 — [ ] Officer or Employee — Employing Office

Report Type: [✓] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [✓] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [✓]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [ ] No [✓]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [ ] No [✓]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [ ] No [✓]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [✓]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [ ] No [✓]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [✓] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [✓]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Do assets regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [✓]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [✓]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete, and correct to the best of my knowledge and belief.	Betty McCollum	May 14, 2001

Name MCCOLLUM Page 1 of 5

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Douglas McCollum – State of Minn	SPOUSE SALARY	41,243.30
	Betty MN House of Representatives	Salary	28,186.66

For payments to charity in lieu of honoraria, use Schedule II.

Name MCCOLLUM Page 2 of 5

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Identify. For Example: Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E P, S, E, P
SP														X																		
DC	Examples: Simon & Schuster			Indefinite																Royalties												
JT	Bank of Pikeville, KY accounts						X									X									X							
	Defered Comp			X												/055	(2000)				X											
	Money market			X												/055	(2000)				X											
	Mutual Funds				X											/055	(2000)				X											

For additional assets and unearned income, use next page

Name	Page
MCCOLLUM	3 of 5

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
MEMBER (BOARD)	RAMSEY COUNTY CRISIS NURSURY -

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

GPO: 2001 76-729 (mac)

Use additional sheets if more space is required.

BETTY L MCCOLLUM IRA ROLL
GUARANTEE & TRUST CO TTEE

Account Information		Previous Statement	Statement Date
Account Number:	54209041	Oct 31, 2000	Nov 30, 2000

Retirement Account Summary

	Year to Date
IRA Contributions for Prior Year	0.00
IRA Contributions	0.00
Employer Contributions	0.00
Employee Contributions	0.00
Rollover Contributions	0.00
Direct Rollover Contributions	0.00
Normal Distributions	0.00
Premature Distributions	0.00
Premature Distributions w/Exception	0.00
Disability Distributions	0.00
Death Distributions	0.00
Withdrawals of Excess Contributions	0.00
Withdrawals of Excess Contr Earnings	0.00
Direct Rollover Distributions to IRA	0.00
Direct Rollover Distributions to QP	0.00
Roth Conversions	0.00
Recharactenzed Contributions	0.00
Recharactenzation Distributions	0.00
Roth Reconversions	0.00
Roth Premature Distributions	0.00
Federal Withholding	0.00

3167

Jack White & Company

Retirement Account

BETTY L MCCOLLUM IRA ROLL
GUARANTEE & TRUST CO TTEE

Account Information		Previous Statement	Statement Date
Account Number:	54209041	Oct 31, 2000	Nov 30, 2000

Account Assets

Cash & Equivalents	Symbol/ Cusip	Account Type	Quantity	Current Price	Current Value	Percent of Acct Assets	Estimated Income	Estimated Annual Yield
Cash		Cash			0.00	0.00%		
Money Market Fund		MoneyFund			1,025.38	3.24%		
Total: Cash & Equivalents					**1,025.38**	**3.24%**		
Equities								
MORGAN STANLEY, DEAN WITTER DISCOVER & CO	MWD	Cash	148	63.375	9,379.50	29.64%	118.40	1.26%
SEARS ROEBUCK & CO	S	Cash	104	32.44	3,373.76	10.66%	95.68	2.83%
Total: Equities					**12,753.26**	**40.30%**	**214.08**	**1.68%**
Mutual Funds								
GOLDMAN SACHS GROWTH & INC FD	GSGRX	Cash	245.985	22.14	5,446.10	17.21%	12.54	
OPPENHEIMER QUEST OPP VALUE -A	OVOPX	Cash	172.217	35.92	6,186.03	19.55%	34.09	
OPPENHEIMER QUEST BLNCD VL - A	QVGIX	Cash	379.009	16.46	6,238.48	19.71%	105.74	
Total: Mutual Funds					**17,870.61**	**56.46%**	**152.37**	
Total: Account Net Assets/Equity					**31,649.25**	**100.00%**	**366.45**	**1.20%**

* *Unpriced Securities are not included in the Market Value column of your account balance information. Valuation of Security Positions are obtained from an independent source. While our source is considered reliable, prices are approximations and may not reflect prevailing market quotes. THIS IS ESPECIALLY TRUE FOR BOND PRICES. The valuations on your statements are provided only as a general guideline to portfolio value.*

5-5

MINNESOTA STATE DEFERRED COMPENSATION PLAN

BETTY L MCCOLLUM
2464 BURKE AVE EAST
NORTH ST PAUL MN 55109-4078

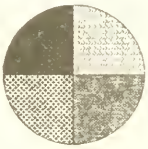
Statement Period 10/01/2000 - 12/31/2000
Social Security No 476-68-1626
Plan 98995-01
House of Reps - Members

Account Summary

	Total
Balance as of September 30, 2000	**$10,263.70**
Change in Value	1,010 72
Expenses	7.52
Balance as of December 31, 2000	**$9,245.46**

Investment Selection for Future Contributions

All future contributions will be directed as follows:

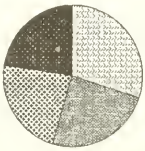


- 25% AWP for SIF Common Stock Index
- 25% Fidelity Diversified International Fund
- 25% Janus Twenty Fund
- 25% T Rowe Price Small-Cap Stock Fund

MINNESOTA STATE DEFERRED COMPENSATION PLAN

BETTY L MCCOLLUM
476-68-1626

Account Summary by Investment Option



29.91% T. Rowe Price Small-Cap Stock Fund
24.61% Supp. Invest. Fund Common Stock Index
22.81% Janus Twenty Fund
22.67% Fidelity Diversified International Fund

	Beginning Balance	Deposits	Change in Value	Transfers	Withdrawals /Expenses	Ending Balance	Ending Units/ Shares
Fidelity Diversified International Fund	2,146.75		-49.03		-1.68	2,096.04	95.535
Janus Twenty Fund	2,830.10		-719.00		-1.89	2,109.21	38.489
Supp. Invest. Fund Common Stock Index	2,531.30		-254.06		-1.85	2,275.39	127.545
T. Rowe Price Small-Cap Stock Fund	2,755.55		11.37		-2.10	2,764.82	115.828
Totals	**10,263.70**		**-1,010.72**		**-7.52**	**$9,245.46**	

Fund Information

Investment Code	Investment Option
143	INVESCO Total Return Fund
1069	Fidelity Diversified International Fund
1094	AWP for SIF International Share Acct.
2034	T. Rowe Price Small-Cap Stock Fund
4167	Janus Twenty Fund
4201	AWP for SIF Common Stock Index
4203	AWP for SIF Growth Share Account
4205	AWP for SIF Income Share Acct
4303	Vanguard Institutional Plus
6069	Dodge & Cox Income Fund
6122	AWP for SIF Bond Market Acct.
7157	AWP for SIF Fixed Interest Acct.
7158	Minnesota Fixed Fund
8031	Supp. Invest. Fund Money Market Acct.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A

For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
2001 MAY 16 AM 10:16
CLERK
HOUSE OF REPRESENTATIVES
INSIDE MAIL

(7)

MC

James Otis McCrery III
(Full Name)

2104 Rayburn House Office Building Washington, DC 20515
(Mailing Address)

202 225.2777
Daytime Telephone

Filer Status	✓ Member of the U S House of Representatives	State LA District. 04	Officer Or Employee	Employing Office
Report Type	✓ Annual (May 15)	Amendment	Termination	Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ✓	No
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes	No ✓
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ✓	No
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ✓	No
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes	No ✓
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes	No ✓
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes ✓	No
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes	No ✓
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes	No ✓

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes	No ✓
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	J. McCrery	5/9/01

3171

SCHEDULE I - EARNED INCOME

Na James Otis McCrery, III

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Bossier Parish Community College	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "U

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
IRA, AmSouth (Commercial National Bank), Shreveport, Louisiana	$15,001 - $50,000	NA/DIVIDENDS	$201 - $1,000	NA
Land, Rapides Parish, Louisiana (A 1/6 undivided interest in approximately 62 acres.)	$1,001 - $15,000	NA	NONE	NA
Land, Vernon Parish, Louisiana (An undivided 1/2 interest in approximately 36 acres including residence and 12 unit apartment complex.)	$100,001 - $250,000	NA	NONE	NA

SCHEDULE III - ASSETS AND "U

Na James Otis McCrery, III

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Land, DeSoto Parish, Louisiana (An undivided interest in approximately 160 acres)	$1 - $1,000	NA	NONE	NA
Fidelity Advisor Growth Opportunity Mutual Fund, AmSouth (Commercial National Bank), Shreveport, Louisiana	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
Fidelity Advisor Equity Growth Mutual Fund, AmSouth (Commercial National Bank), Shreveport, Louisiana	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	NA

3174

SCHEDULE III - ASSETS AND "U

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S Government retirement programs If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Templeton FDS Inc., Mutual Fund, AmSouth (Commercial National Bank), Shreveport, Louisiana	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	S
GAM FES Inc., International Mutual Fund, AmSouth (Commercial National Bank), Shreveport, Louisiana	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	S
Fidelity Equity Advisor Income	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
American Europacific Growth	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
Putnam International Growth	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P

SCHEDULE IV - TRANSACTIONS

Na James Otis McCrery, III

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Fidelity Advisor Growth Opportunity Mutual Fund, AmSouth (Commercial National Bank), Shreveport, Louisiana	S	9-29-00	$1,001 - $15,000
	GAM FES Inc. International Mutual Fund, AmSouth (Commercial National Bank), Shreveport, Louisiana	S	9-29-00	$1,001 - $15,000
	Templeton FDS Inc., Mutual Fund, AmSouth (Commercial National Bank), Shreveport, Louisiana	S	9-29-00	$1,001 - $15,000
	American Europacific Growth	P	9-29-00	$1,001 - $15,000
	Putnam International Growth	P	9-29-00	$1,001 - $15,000
	Fidelity Advisor Equity Income	P	9-29-00	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Cellular Telephone Industry Association	Feb. 26-27	Shreveport, LA--New Orleans, LA- Shreveport, LA	Y	Y	Y	N/A

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A

For use by Members, officers, and employees

HAND DELIVERED

2001 MAY 15 AM 10: 20

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

MC

3178

James A. McDermott
(Full Name)

1820 9th Avenue West Seattle, WA 98119
(Mailing Address)

202-225-3106
Daytime Telephone

Filer Status ☑ Member of the U.S. House of Representatives — State: WA, District: 07 — ☐ Officer Or Employee — Employing Office

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	James A. McDermott	15 May 01

SCHEDULE I - EARNED INCOME

Name James A. McDarmott

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Soha & Lang, Attorneys at Law	Spouse Salary	N/A

SALOMON SMITH BARNEY

A member of citigroup

Ref: 00008380 00062410

Client Statement

April 1 - April 30, 2000

3a

H

519D001443565100000 8380 300119AG01 WEB00519A
THERESE HANSEN
SEPERATE PROPERTY
1820 9TH AVE W
SEATTLE WA 98119-2946

SALOMON SMITH BARNEY INC.
Your Financial Consultant

SAM OAHL
999 3RD AVENUE
SUITE 4500
SEATTLE, WA 98104
206-344-3558

Account number 519-35651-15 144

Account value

	Last period	This period
Cash balance	$ 3.40	$ 0.00
Money funds	370.94	0.00
Accrued money fund dividends	1.13	0.00
Stocks	26,756.35	0.00
Mutual funds	5,127.52	0.00
Net value	**$ 32,269.34**	**$ 0.00**

Earnings summary

	This period	This year Taxable	Non-taxable
Dividends	$ 14.35	$ 17.75	$ 0.00
Money funds earnings	0.00	23.74	0.00
Total	**$ 14.35**	**$ 41.49**	**$ 0.00**

A new account number has been assigned to your account due to an internal change. As a result, two statements may be issued for this statement period: one that shows account activity under the old account number and one with activity under the new number. If you have any questions, please speak to your Financial Consultant.

Cash and money funds balance

	This period
Opening balance	**$ 374.34**
Deposits	0.00
Withdrawals	-17.75
Dividends credited	14.35
Money funds transfers	-370.94
Closing balance	**$ 0.00**

3180

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James A McDermott

	BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Soha & Lang P.S 401(k) Retirement Plan (91-1742107)	$50,001 - $100,000	INTEREST	N/A	
SP	Janus Twenty Fund (203235484)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
SP	SSB Separate Property (519-35651-15) (See pg 3a)	$15,001 - $50,000	DIVIDENDS	$1 - $200	E
SP	SSB Account (443-07421-16)(formerly #519-35651-15) (See pg. 3b)	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
SP	SSB IRA Custodian (519-65946-17) (See pg. 3c)	$100,001 - $250,000	DIVIDENDS	$1 - $200	E
SP	SSB IRA Custodian (443-67927-19)(formerly #519-65946-17) (See pg 3d)	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P

SALOMON SMITH BARNEY

A member of citigroup

Ref 00004079 00024471

Client Statement

December 1 - December 31, 2000 3b

443L004560742100000 4079 300364AH01 PCAFO016A
THERESE M HANSEN
1820 9TH AVE W
SEATTLE WA 98119-2946

SALOMON SMITH BARNEY INC.
Your Financial Consultant

THE DONNELLY GROUP
TWO UNION SQUARE
601 UNION ST STE3400
SEATTLE, WA 98101
206-628-4400

Account number 443-07421-16 456

Account value

	Last period	This period	%
Cash balance	$ 0.00	$ 2.11	.01
Money funds	1,956.07	1,048.64	5.25
Accrued money fund dividends	5.37	0.00	
Common stocks & options	19,566.61	18,907.79	94.74
Net value	**$ 21,528.05**	**$ 19,958.54**	**100**

Earnings summary

	This period	This year Taxable	This year Non-taxable
Dividends	$ 4.13	$ 26.24	$ 0.00
Money funds earnings	12.92	98.46	0.00
Total	**$ 17.05**	**$ 124.70**	**$ 0.00**

Additional summary information

	This period	This year
FRGN tax withheld	$ .07	$ .07

Gain/loss summary

	This period	This year
Realized gain or (loss)	$ 0.00	($ 93.75)LT ($ 2,275.17)ST
Unrealized gain or (loss)	(7,168.98)	*Not applicable*

3182

SALOMON SMITH BARNEY

A member of citigroup

Ref 00011240 00073339

Individual Retirement Account

April 1 - April 30, 2000

3c

H

519D001446594600001 1240 300119AG01 WEB00519A
THERESE HANSEN
SSB IRA CUSTODIAN
1820 9TH AVE W
SEATTLE WA 98119-2946

SALOMON SMITH BARNEY INC.
Your Financial Consultant

SAM DAHL
999 3RD AVENUE
SUITE 4500
SEATTLE, WA 98104
206-344-3668

Account number 519-65946-17 144

Account value

	Last period	This period
Money funds	$ 528.30	$ 0.00
Accrued money fund dividends	1.58	0.00
Stocks	38,106.40	0.00
Mutual funds	87,298.55	0.00
Net value	**$ 105,834.81**	**$ 0.00**

Earnings summary

	This period	This year
Dividends	$ 0.00	$ 40.50
Money funds earnings	0.00	1.72
Total	**$ 0.00**	**$ 42.22**

A new account number has been assigned to your account due to an internal change. As a result, two statements may be issued for this statement period: one that shows account activity under the old account number and one with activity under the new number. If you have any questions, please speak to your Financial Consultant.

Cash and money funds balance

	This period
Opening balance	**$ 528.30**
Deposits	0.00
Money funds transfers	-528.30
Closing balance	**$ 0.00**

3183

SALOMON SMITH BARNEY

A member of citigroup

Ref 00004079 00024476

Individual Retirement Account

December 1 - December 31, 2000 3d

443L004566792700004079 300364AH01 PCAFO016A
THERESE M HANSEN
SSB IRA CUSTODIAN
1820 9TH AVE W
SEATTLE WA 98119-2946

Account number 443-67927-19 456

SALOMON SMITH BARNEY INC.
Your Financial Consultant

THE DONNELLY GROUP
TWO UNION SQUARE
601 UNION ST STE3400
SEATTLE, WA 98101
206-628-4400

3184

Message: *Please note that Salomon Smith Barney is required by law to report the December 31, 2000 Fair Market Value of this account to the Internal Revenue Service Salomon Smith Barney will forward this information to the IRS on or before May 31, 2001 on IRS Form 5498*

Account value

	Last period	This period	%
Cash balance	$ 0 00	$ 3 17	
Money funds	208 37	217 57	.30
Common stocks & options	74,278 30	73,243 73	99 70
Net value	**$ 74,486 67**	**$ 73,464 47**	**100**

Fair Market Value 12/31/00 **$ 73 464 47**

Earnings summary

	This period	This year
Dividends	$ 11 32	$ 196 87
Money funds earnings	1.03	229 37
Total	**$ 12 35**	**$ 426 24**

Gain/loss summary

	This period	This year
Realized gain or (loss)	$ 0 00	$ 7,541 35 LT ($ 5,290 16)ST
Unrealized gain or (loss)	(11,658 47)	*Not applicable*

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James A McDermott

BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
State of Washington Retirement Account	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
Carlyle Real Estate L.P XIII	$1,001 - $15,000	INTEREST	$1 - $200	
State of Washington Deferred Compensation Program	$15,001 - $50,000	INTEREST	$201 - $1,000	
TIAA/CREF Annuity	$50,001 - $100,000	INTEREST	$201 - $1,000	
Fedelity Bankers Life Annuity	$1,001 - $15,000	INTEREST	$201 - $1,000	
SSB IRA Custodian (443-65137-19) (See pg 4a)	$250,001 - $500,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	

3185

SALOMON SMITH BARNEY

A member of citigroup

Ref 00002003 00011986

Preferred Client IRA Statement

December 1 - December 31, 2000 4a

443L004566513700000 2003 300364AH01 PCAFO016A
JAMES A MCDERMOTT
SSB IRA CUSTODIAN
710 9TH ST SE
WASHINGTON DC 20003-2804

Account number 443-65137-19 456

SALOMON SMITH BARNEY INC.
Your Financial Consultant

THE DONNELLY GROUP
TWO UNION SQUARE
601 UNION ST STE3400
SEATTLE, WA 98101
206-628-4400

Preferred Client Service Center 800-232-4454

Message: *Please note that Salomon Smith Barney is required by law to report the December 31, 2000 Fair Market Value of this account to the Internal Revenue Service Salomon Smith Barney will forward this information to the IRS on or before May 31, 2001 on IRS Form 5498*

Account value

	Last period	This period	%
Cash balance	$ 0.00	$ 14.21	
Money funds	3,340.40	3,380.89	1.17
Common stocks & options	302,786.92	284,409.44	98.82
Net value	**$ 306,127.32**	**$ 287,804.34**	**100**

Fair Market Value 12/31/00 **$ 287,804.34**

Earnings summary

	This period	This year
Dividends	$ 38.45	$ 462.90
Money funds earnings	15.96	394.68
Total	**$ 54.41**	**$ 857.58**

Additional summary information

	This period	This year
FRGN tax withheld	$ 0.00	$ 10.35

Gain/loss summary

	This period	This year
Realized gain or (loss)	$ 0.00	$ 31,478.25 LT ($ 11,077.47)ST
Unrealized gain or (loss)	90,869.99	*Not applicable*

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James A McDermott

	BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Stamps com	None	DIVIDENDS	$15,001 - $50,000	S
JT	SSB Account (443-07369-10) (Purchased with the sale of Stamps com) (See pg 5a)	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
	Ferris Baker Watts Account (See Footnote) (This account was rollovered into SSB IRA Custodian #443-65137-19)	$15,001 - $50,000		NONE	

SALOMON SMITH BARNEY

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Ref. 00004086 00024515

Preferred Client Statement

December 1 - December 31, 2000 5a

443L00456073690000040 86 300364AH01 PCAFO016A
JAMES A MCDERMOTT AND
THERESE M HANSEN JTWROS
1820 9TH AVE W
SEATTLE WA 98119-2946

Account number 443-07369-10 456

SALOMON SMITH BARNEY INC.
Your Financial Consultant

THE DONNELLY GROUP
TWO UNION SQUARE
801 UNION ST STE3400
SEATTLE, WA 98101
206-628-4400

Preferred Client Service Center: 800 232-4454

Account value

	Last period	This period	%
Cash balance	$ .22	$ 2.11	.01
Money funds	2,537.39	660.41	2.65
Accrued money fund dividends	3.85	0.00	
Common stocks & options	23,499.14	24,221.60	97.34
Net value	$ 26,040.80	$ 24,884.12	100

Earnings summary

	This period	This year Taxable	This year Non-taxable
Dividends	$ 4.13	$ 12.67	$ 0.00
Money funds earnings	9.82	135.86	0.00
Total	$ 13.95	$ 148.53	$ 0.00

Additional summary information

	This period	This year
FRGN tax withheld	$ .07	$ .07

Gain/loss summary

	This period	This year
Realized gain or (loss)	$ 0.00	$ 0.00 LT ($ 1,788.44)ST
Unrealized gain or (loss)	(7,997.78)	*Not applicable*

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SCHEDULE IV - TRANSACTIONS

Name James A. McDermott

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Janus Twenty Fund (203235484) (See attached pg. 6a - 6c)	P	1/1 - 12/31/00	$1,001 - $15,000
SP	SSB Separate Property (519-35651-15) (See pg 6d)	E	1/1-4/6/00	$15,001 - $50,000
SP	SSB Account (443-07421-16)(formerly #519-35651-15) (See pg 6e - 6h)	P	4/1-12/31/00	$15,001 - $50,000
SP	SSB IRA Custodian (519-65946-17) (See pg. 6i)	E	1/1-4/6/00	$100,001 - $250,000
SP	SSB IRA Custodian (443-67927-19)(formerly #519-65946-17) (See pg 6j - 6l)	P	4/1-12/31/00	$50,001 - $100,000
	SSB IRA Custodian (443-65137-19) (See pg 6m - 6s)	P	1/1-12/31/00	$100,001 - $250,000
	Stamps.com	S	4/30/00	$15,001 - $50,000
JT	SSB Account (443-07369-10) (Purchased with the sale of Stamps.com) (See pg 6t - 6z)	P	4/30-12/31/00	$15,001 - $50,000
SP	SSB Account (443-07421-16)(formerly #519-35651-15) (See pg 6aa - 6cc)	S	4/6-12/31/00	$15,001 - $50,000
SP	SSB IRA Custodian (443-67927-19)(formerly #519-65946-17) (See pg 6dd - 6hh)	S	4/6-12/31/00	$50,001 - $100,000
	SSB IRA Custodian (443-65137-19) (See pg. 6m - 6s)	S	1/1-12/31/00	$100,001 - $250,000

SCHEDULE IV - TRANSACTIONS

Name James A McDermott

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	SSB Account (443-07369-10) (Purchased with the sale of Stamps.com) (See pg. 6t - 6z)	S	4/30-12/31/00	$1,001 - $15,000

JANUS

6a

Personal Investment Report

January 1, 2000 December 31, 2000

Fund Summary

	Beginning Balance 1/1/2000	Additions	Withdrawals	Change in Investment Value	Current Balance 12/31/2000	Personalized Returns: Your Year-to-Date Total Return	Personalized Returns: Your Average Annual Return
NONRETIREMENT							
THERESE HANSEN							
Account # 203235484							
43-Janus Twenty Fund	$3,444 24	$2,400 00	$0 00	-$1,698 29	$4,145 95	36 09%	-15 82%
48-Janus Mercury Fund	$0 00	$2,700 00	$0 00	-$516 32	$2,183 68	-34 19%	-34 19%
41-Janus Worldwide Fund	$0 00	$1,600 00	$0 00	$235 64	$1,364 36	-23 45%	-23 45%
Account Total	$3,444 24	$6,700 00	$0 00	-$2,450 25	$7,693 99	33 70%	-20 79%
NONRETIREMENT TOTAL	$3,444 24	$6,700 00	$0 00	-$2,450 25	$7,693 99	-33 70%	-20 79%

Transaction Detail

	Transaction Date	Transaction Description	Number of Shares	X	Price / Share	=	Dollar Amount	Share Balance
NONRETIREMENT								
THERESE HANSEN								
Account # 203235484								
43-Janus Twenty Fund	1/1/2000	Beginning Balance	41 283		$83 43		$3,444 24	41 283
	1/20/2000	Automatic Purchase - ACH	2 424		$82 50		- $200 00	43 707
	2/22/2000	Automatic Purchase - ACH	2 503		$79 92		$200 00	46 210
	3/20/2000	Automatic Purchase - ACH	2 326		$85 97		$200 00	48 536
	4/20/2000	Automatic Purchase - ACH	2 593		$77 13		$200 00	51 129
	5/22/2000	Automatic Purchase - ACH	2 859		$69 95		$200 00	53 988
	6/20/2000	Automatic Purchase - ACH	2 438		$82 05		$200 00	56 426
	7/20/2000	Automatic Purchase - ACH	2 405		$83 16		$200 00	58 831
	8/21/2000	Automatic Purchase - ACH	2 530		$79 05		$200 00	61 361
	9/20/2000	Automatic Purchase - ACH	2 560		$78 11		$200 00	63 921

Important definitions and information are included on the reverse side

Past performance is no guarantee of future results. Please refer to this statement [illegible]

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JANUS

6b

Personal Investment Report

January 1, 2000 - December 31, 2000

TRANSACTION DETAIL

	Transaction Date	Transaction Description	Number of Shares	X	Price / Share	=	Dollar Amount	Share Balance
Account # 203235484	10/20/2000	Automatic Purchase -ACH	2 761		$72 45		$200 00	66 682
43-Janus Twenty Fund	11/20/2000	Automatic Purchase -ACH	3 188		$62 74		$200 00	69 870
	12/15/2000	20% Rate Gn Reinv 1 75066	2 022		$60 50		$122 32	71 892
	12/20/2000	Automatic Purchase -ACH	3 764		$53 13		$200 00	75 656
	12/31/2000	**Ending Balance**	75 656		**$54 80**		**$4,145 95**	**75 656**
48-Janus Mercury Fund	1/1/2000	Beginning Balance	0 000		$43 81		$0 00	0 000
	1/11/2000	Shares Purchased -ACH	11 812		$42 33		$500 00	11 812
	2/22/2000	Automatic Purchase -ACH	4 307		$46 44		$200 00	16 119
	3/20/2000	Automatic Purchase -ACH	4 064		$49 21		$200 00	20 183
	4/20/2000	Automatic Purchase -ACH	4 654		$42 97		$200 00	24 837
	5/22/2000	Automatic Purchase -ACH	5 020		$39 84		$200 00	29 857
	6/20/2000	Automatic Purchase -ACH	4 439		$45 06		$200 00	34 296
	7/20/2000	Automatic Purchase -ACH	4 400		$45 45		$200 00	38 696
	8/21/2000	Automatic Purchase -ACH	4 606		$43 42		$200 00	43 302
	9/20/2000	Automatic Purchase -ACH	4 633		$43 17		$200 00	47 935
	10/20/2000	Automatic Purchase -ACH	4 884		$40 95		$200 00	52 819
	11/20/2000	Automatic Purchase -ACH	5 491		$36 42		$200 00	58 310
	12/15/2000	Income Reinvest 1 66376	3 072		$31 58		$97 01	61 382
	12/15/2000	20% Rate Gn Reinv 2 77768	5 127		$31 58		$161 92	66 509
	12/20/2000	Automatic Purchase -ACH	7 090		$28 21		$200 00	73 599
	12/31/2000	**Ending Balance**	73 599		**$29 67**		**$2,183 68**	**73 599**
41-Janus Worldwide Fund	1/1/2000	Beginning Balance	0 000		$76 43		$0 00	0 000
	1/11/2000	Shares Purchased -ACH	6 769		$73 87		$500 00	6 769
	2/22/2000	Automatic Purchase -ACH	1 224		$81 72		$100 00	7 993
	3/20/2000	Automatic Purchase -ACH	1 162		$86 08		$100 00	9 155
	4/20/2000	Automatic Purchase -ACH	1 306		$76 57		$100 00	10 461
	5/22/2000	Automatic Purchase -ACH	1 431		$69 86		$100 00	11 892
	6/20/2000	Automatic Purchase -ACH	1 232		$81 20		$100 00	13 124

Important definitions and information are included on the reverse side

Past performance is no guarantee of future results. Please retain this statement for your record.

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JANUS

6c

Personal Investment Report

January 1, 2000 - December 31, 2000

Transaction Detail

	Transaction Date	Transaction Description	Number of Shares	X	Price / Share	=	Dollar Amount	Share Balance
Account # 203235484	7/20/2000	Automatic Purchase -ACH	1.220		$81.95		$100.00	14.344
41-Janus Worldwide Fund	8/21/2000	Automatic Purchase -ACH	1.283		$77.93		$100.00	15.627
	9/20/2000	Automatic Purchase -ACH	1.316		$75.98		$100.00	16.943
	10/20/2000	Automatic Purchase -ACH	1.391		$71.89		$100.00	18.334
	11/20/2000	Automatic Purchase -ACH	1.521		$65.75		$100.00	19.855
	12/15/2000	Foreign Tax Paid	0.000		$0.00		$0.50	19.855
	12/15/2000	Income Reinvest 75415	0.254		$58.94		$14.97	20.109
	12/15/2000	20% Rate Gn Reinv 6-16559	2.077		$58.94		$122.42	22.186
	12/20/2000	Automatic Purchase -ACH	1.809		$55.29		$100.00	23.995
	12/31/2000	**Ending Balance**	**23.995**		**$56.86**		**$1,364.36**	**23.995**

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Important definitions and information are included on the reverse side

Past performance is no guarantee of future results. Please retain this statement for [illegible]

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Recycled paper

SALOMON SMITH BARNEY

A member of citigroup

Ref. 00008380 00062411

Client Statement

April 1 - April 30, 2000

6d

H

THERESE HANSEN

Account number 519-35651-15 144

Other security activity

Transaction details

Date	Activity	Description	Quantity	Value
04/06/00	Conversion	ALLIANCE GROWTH FUND CL B TFR TO A/C# 443 07421 1 6	-116.85	$ -6,091 60
04/06/00	Conversion	CISCO SYS INC TFR TO A/C# 443 07421 1 6	-90	-6,463 17
04/06/00	Conversion	COSTCO WHOLESALE CORP NEW TFR TO A/C# 443 07421 1 6	-100	5,187 50
04/06/00	Conversion	GENERAL ELECTRIC CO TFR TO A/C# 443 07421 1 6	-35	-5,490 62
04/06/00	Conversion	HOME DEPOT INC TFR TO A/C# 443 07421 1 6	-85	-5,631 25
04/06/00	Conversion	ICOS CORP TFR TO A/C# 443 07421 1 6	-100	-3,950 00
Net value of securities deposited/withdrawn				$ -31,814 14

Withdrawals

Date	Description	Reference no	Amount
04/06/00	TFR TO A/C# 443 07421 1 8		3 40
04/27/00	TFR TO A/C# 443 07421 1 8		14 35
	Total withdrawals		**$ 17.75**

Money funds activity

Opening money funds balance $ 370 94

All transactions are traded at $1.00 per share.

Date	Activity	Description	Amount
04/06/00	Journal	SB MONEY FUNDS CASH PORT CL A TO A/C 443-07421-1-6	-370.94
		Closing balance	$ 0 00
		Total money funds transfers	$ 370 94

Dividends

Earnings details

*The tax status of earnings is reliable to the best of our knowledge. **Taxable** and **non-taxable** designations refer to the federal income tax status of your securities, not of your account.*

Date	Description	Comment	Taxable	Non-taxable	Amount
04/25/00	GENERAL ELECTRIC CO	CASH DIV ON 35.0000 SHS	$ 14 35		$ 14 35
Total dividends earned			**$ 14.35**	**$ 0.00**	**$ 14.35**

3194

SALOMON SMITH BARNEY

A member of citigroup

Ref 00004921 00067683

Client Statement

December 1 - December 31, 2000

6 2

H

THERESE M HANSEN

Account number 443-07421-16 456

Cash and money funds balance

	This period	This year
Opening balance	$ 1,956 07	
Securities bought and other subtractions	922 31	
Securities sold and other additions	01	
Deposits	0 00	25,017 75
Withdrawals	0 00	360 73
Dividends credited	4 06	
Money funds earnings reinvested	12 92	
Closing balance	$ 1,060 76	

Portfolio summary

	This period	Year-to-date as of 05/00
Opening value of securities	21,528 05	31,217 63
+ Opening cash balance	0 00	17 75
= Opening portfolio balance	21,528 05	31,235 38
Securities deposited/withdrawn net	0 00	0 00
Capital deposited/withdrawn net	0 00	-342 98
Adjusted opening value	21,528 05	30,892 40
Closing value of securities	19,956 43	19,956 43
+ Closing cash balance	2 11	2 11
= Closing portfolio value	19,958 54	19,958 54

Note Securities deposited/withdrawn net = The value of securities on the day they were debited from or credited to the account as reflected in the "Other security activity" section

Capital deposited/withdrawn net = Cash withdrawals and deposits, credit card payments, FMA Card activity, checks written, and Money funds/Insured Deposit Account™ transfers

Portfolio details

The values of your holdings are as of 12/29/00. Please note, unrealized gain/(loss) is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Money funds

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend Yield/Anticipated Income (annualized)
1,048 64	SB MONEY FUNDS CASH PORT CL A	$ 1,048 64		6 09 %
				$ 63 86
Total money funds		$ 1,048 64	$ 0 00	6.08
				$ 63.86

Common stocks & options

Quantity	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)		Average % Yield/Anticipated Income (annualized)
20	AMGEN INC SYMBOL AMGN/RATING B +	07/27/00	$ 1,389 39	$ 69 969	$ 63 938	$ 1,279 78	($ 120 83)	ST	
90	CISCO SYS INC SYMBOL CSCO/RATING B +	01/19/00	5,106 08	55 562	38 25	3,442 50	(1,662 58)	ST	
9	CORNING INC SYMBOL GLW/RATING B	08/27/00	925 26	102 806	52 813	475 32	(449 94)	ST	
1		10/18/00	96 52	96 522	52 813	52 81	(43 71)	ST	
5		10/26/00	384 43	76 886	52 813	264 07	(120 36)	ST	
16			1,406 21	93 747		782 20	(814 01)		4 54 3 60

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THERESE M HANSEN

Account number 443-07421-16 456

Common stocks & options continued

Quantity	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)		Average % Yield/Anticipated Income (annualized)
30	EMC CORP/MASS SYMBOL: EMC/RATING B +	06/09/00	$ 1,272 50	$ 63 825	$ 66 50	$ 1,330.00	$ 57 50	ST	
30	HOME DEPOT INC SYMBOL HD/RATING A +	06/29/00	1,002 01	50.10	45 688	913 76	(88.25)	ST	35 3.20
13	INFOSPACE INC SYMBOL: INSP	06/12/00	631.50	52 525	8 844	106.13	(525 37)	ST	
30	INTEL CORP SYMBOL INTC/RATING A	06/12/00	1,181.88	58 093	30 063	601 26	(580 62)	ST	266 1.60
12	INTL BUSINESS MACHINES CORP SYMBOL IBM/RATING B	06/12/00	1,249 50	104 125	85 00	1,020 00	(229 50)	ST	.611 6.24
15	JDS UNIPHASE CORP SYMBOL: JDSU/RATING C	06/09/00	1,260 00	84 00	41 688	625 32	(634 88)	ST	
20	MOTOROLA INC SYMBOL MOT/RATING A	06/12/00	1,076.75	53.937	60 375	1,207 50	128.75	ST	.331 4.00
15	MICROSOFT CORP SYMBOL: MSFT/RATING A-	06/12/00	1,035.94	69 062	43 375	650 63	(385.31)	ST	
20	NOKIA CORP SPONSORED ADR EACH REPSTG "A" SH SYMBOL NOK	06/12/00	1,097 50	54 875	43 50	870.00	(227 50)	ST	374 3 26
15	NORTEL NETWORKS CORP NEW SYMBOL NT/RATING B	09/07/00	1,165 12	77 674	32 063	480 95	(684 17)	ST	
3		09/28/00	166 95	62 317	32 063	96 19	(90 76)	ST	
7		10/26/00	314 77	44 967	32 063	224 44	(90 33)	ST	
26			1,666 84	66 874		801.58	(865 26)		.233 1 88
30	ORACLE CORP SYMBOL: ORCL/RATING. B +	06/12/00	1,121.26	37 375	29 063	871 88	(249.38)	ST	
10	PMC SIERRA INC SYMBOL PMCS/RATING B-	06/12/00	1,493 13	149 312	78 625	786 25	(706 88)	ST	
10	QUALCOMM INC SYMBOL: QCOM/RATING B	06/12/00	995 63	99 562	82 188	821.88	(173.75)	ST	

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SALOMON SMITH BARNEY

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Ref 00004921 00067685

Client Statement

December 1 - December 31, 2000

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THERESE M HANSEN

Account number 443-07421-16 456

Common stocks & options continued

Quantity	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)		Average % Yield/Anticipated Income (annualized)
30	SCHWAB CHARLES CORP SYMBOL SCH/RATING A	12/08/00	$ 922 31	$ 30 743	$ 28 375	$ 851 25	($ 71 06)	ST	.155 % $ 1.32
15	SIEBEL SYSTEMS INC SYMBOL SEBL	05/12/00	962 35	64 156	67 625	1,014 38	52 03	ST	
20	XILINX INC SYMBOL XLNX/RATING B +	05/12/00	1,215.00	60 75	46 125	922 50	(292 50)	ST	
Total common stocks and options			$ 26,076 77			$ 18,907.79	($ 7,168.98)	ST	.13
							$ 0.00	LT	$ 25.10
Total portfolio value			$ 27,125 41			$ 19,956.43	($ 7,168 98)	ST	44
							$ 0.00	LT	$ 88.96

Investment activity

Transaction details

Date	Activity	Description	Quantity	Price	Amount
12/13/00	Bought	SCHWAB CHARLES CORP	30	$ 30 743	$ -922 31
12/21/00	Tender	MEDTRONIC INC SALE OF RT ON 20 0000 SHS			01
Total securities bought and other subtractions					$ -922 31
Total securities sold and other additions					$ 01

Money funds activity

Opening money funds balance $ 1,956 07

Date	Activity	Description	Amount
12/12/00	Autoinvest	SB MONEY FUNDS CASH PORT CL A	1 96

All transactions are traded at $1 00 per share.

Date	Activity	Description	Amount
12/13/00	Redemption	SB MONEY FUNDS CASH PORT CL A	-922 31
		MONEY FUNDS EARNINGS REINVESTED (SEE DETAILS UNDER EARNINGS DETAILS)	12 92
		Closing balance	$ 1,048.64

Dividends

Earnings details

*The tax status of earnings is reliable to the best of our knowledge. **Taxable** and **non-taxable** designations refer to the federal income tax status of your securities, not of your account.*

Date	Description	Comment	Taxable	Non-taxable	Amount
12/01/00	INTEL CORP	CASH DIV ON 20 0000 SHS	$ 40		$ 40
12/11/00	INTL BUSINESS MACHINES CORP	CASH DIV ON 12 0000 SHS	1 56		1 56
12/14/00	HOME DEPOT INC	CASH DIV ON 20 0000 SHS	80		80

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SALOMON SMITH BARNEY

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Ref 00004921 00057686

Client Statement

December 1 - December 31, 2000

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THERESE M HANSEN

Account number 443-07421-16 456

Dividends continued

Date	Description	Comment	Taxable	Non-taxable	Amount
12/29/00	CORNING INC	CASH DIV ON 15.0000 SHS	$ 90		$ 90
12/29/00	NORTEL NETWORKS CORP NEW	FOREIGN TAX WIHELD $ 0.07 CASH DIV ON 25.0000 SHS TAX HELD BY FGN GOVTS 07	47		40
Total dividends credited to account					**$ 4.06**
FRGN tax withheld					07
Total dividends earned			**$ 4.13**	**$ 0.00**	**$ 4.13**

Money funds earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
12/08/00	SB MONEY FUNDS CASH PORT CL A	REINVESTED FOR PERIOD 11/13/00-12/10/00 28 DAYS AVERAGE YIELD 5.10 %	$ 8.65		$ 8.65
12/29/00	SB MONEY FUNDS CASH PORT CL A	REINVESTED FOR PERIOD 12/11/00-01/01/01 22 DAYS AVERAGE YIELD 6.09 %	4.27		4.27
Total earnings from money funds			**$ 12.92**	**$ 0.00**	**$ 12.92**

We have available at no cost brochures describing our investment advisory services and those of any other investment advisors managing your account. If you would like copies, please ask your Salomon Smith Barney Financial Consultant or Salomon Smith Barney Portfolio Manager.

Please contact your Salomon Smith Barney Financial Consultant or Salomon Smith Barney Portfolio Manager if there have been any changes in your financial situation or investment objective, or if you wish to impose any restrictions, or modify existing restrictions, on the management of your account.

Message: *Your Performance Monitor, reviewing your managed account, is normally available within 30 days of the end of the quarter. This monitor includes a description of your portfolio performance, an asset allocation summary and other details concerning your investments. For further information on the monitor, please contact your Financial Consultant.*

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SALOMON SMITH BARNEY

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Ref 00011240 00073340

Individual Retirement Account

April 1 - April 30, 2000

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THERESE HANSEN

Account number 519-65946-17 144

Transaction details

Other security activity

Date	Activity	Description	Quantity	Value
04/06/00	Conversion	AIM VALUE FUND CL B TFR TO A/C# 443 67927 1 9	564 485	$ 28,641 96
04/06/00	Conversion	ALGER FD SMALL CAPITALIZATION PORTFOLIO CLASS B TFR TO A/C# 443 67927 1 9	560 192	6,006 25
04/06/00	Conversion	ALLIANCE GROWTH FUND CL B TFR TO A/C# 443 67927 1 9	577 675	-25,388 81
04/06/00	Conversion	CYPRESS BIOSCIENCE INC TFR TO A/C# 443 67927 1 9	100	281 30
04/06/00	Conversion	FIRST EAGLE SOGEN GLOBAL FD CL A TFR TO A/C# 443 67927 1 9	258 288	8,431 37
04/06/00	Conversion	ICOS CORP TFR TO A/C# 443 67927 1 9	100	3,950 00
04/06/00	Conversion	MICROSOFT CORP TFR TO A/C# 443 67927 1 9	200	17,200 00
04/06/00	Conversion	STARBUCKS CORP TFR TO A/C# 443 67927 1 9	200	-7,512 60
04/06/00	Conversion	WASHINGTON MUTUAL INC TFR TO A/C# 443 67927 1 9	150	4,068 75
Net value of securities deposited/withdrawn				$ -89,480.04

Money funds activity

Opening money funds balance $ 528 30

Date	Activity	Description	Amount
04/06/00	Journal	SB MONEY FUNDS CASH PORT CL A TO A/C 443 67927-1-9	-528 30

All transactions are traded at $1.00 per share

Date	Activity	Description	Amount
		Closing balance	$ 0 00
		Total money funds transfers	$ 628 30

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Portfolio details

The values of your holdings are as of 12/29/00. Please note, unrealized gain/(loss) is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Money funds

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend Yield/Anticipated Income (annualized)
217 67	DREYFUS LIQUID ASSETS INC	$ 217.67		6.016% $ 13.08
Total money funds		$ 217.67	$ 0.00	6.01 $ 13.08

Common stocks & options

Quantity	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)		Average % Yield/Anticipated Income (annualized)
58	AMGEN INC SYMBOL AMGN/RATING B +	07/27/00	$ 3,848.33	$ 69 969	$ 63 938	$ 3,618 68	($ 331.74)	ST	
125	CISCO SYS INC SYMBOL. CSCO/RATING B +	08/29/00	7,856 26	61 25	38 26	4,781 26	(2,875 00)	ST	
36	CORNING INC	09/27/00	3,701 03	102 806	52 813	1,901 27	(1,799 78)	ST	
9	SYMBOL: GLW/RATING B	10/25/00	691 98	78 888	52 813	475 32	(218 56)	ST	
45			4,393 01	87 622		2,376 58	(2,018 42)		454 10 80
50	EMC CORP-MASS SYMBOL: EMC/RATING B +	08/29/00	3,709.38	74.187	66 50	3,325.00	(384 38)	ST	
80	HOME DEPOT INC SYMBOL HD/RATING A +	08/29/00	3,006 04	50 10	45 688	2,741.28	(264 76)	ST	.36 9.60
100	ICOS CORP SYMBOL: ICOS/RATING C	04/03/98	1,633.13	16 281	51 938	5,193 80	3,560.67	LT	
36	INFOSPACE INC SYMBOL INSP	08/29/00	1,990 63	56 875	8 844	309 54	(1,681 09)	ST	
60	INTEL CORP SYMBOL. INTC/RATING A	08/29/00	3,960 00	66 00	30 063	1,803.78	(2,156 22)	ST	266 4 80
36	INTL BUSINESS MACHINES CORP SYMBOL: IBM/RATING B	08/29/00	3,868 68	110.562	85 00	2,975 00	(894 69)	ST	611 18.20
40	JDS UNIPHASE CORP SYMBOL. JDSU/RATING C	07/10/00	4,110 00	102 75	41 688	1,667 52	(2,442 48)	ST	

3200

THERESE M HANSEN

Account number 443-67927-19 456

Common stocks & options continued

Quantity	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)		Average % Yield/Anticipated Income (annualized)
15	JUNIPER NETWORKS INC SYMBOL JNPR	10/25/00	$ 2,969 63	$ 197 975	$ 126 063	$ 1,890 95	($ 1,078 68)	ST	
		11/10/00	515 12	171 707	126 063	378 19	(136 93)	ST	
18			3,484 75	183 687		2,269 14	(1,215 61)		
80	MEDTRONIC INC SYMBOL MDT/RATING A	08/29/00	3,785 00	47 312	60 375	4,830 00	1,045 00	ST	331 16 00
75	MICROSOFT CORP SYMBOL MSFT/RATING A	04/03/98	3,480 55	46 515	43 375	3,253 13	(237 42)	LT	
80	NOKIA CORP SPONSORED ADR EACH REPSTG "A" SH SYMBOL NOK	08/29/00	3,760 00	47 00	43 50	3,480 00	(280 00)	ST	374 13.04
25	NORTEL NETWORKS CORP NEW SYMBOL NT/RATING B	09/07/00	1,941 87	77 674	32 063	801 58	(1,140 29)	ST	233 1 88
100	ORACLE CORP SYMBOL ORCL/RATING B +	08/29/00	3,998 88	39 968	29 063	2,906 30	(1,090 58)	ST	
30	PMC SIERRA INC SYMBOL PMCS/RATING B	08/29/00	3,571 25	178 562	78 625	1,572 50	(1,998 75)	ST	
80	QUALCOMM INC SYMBOL QCOM/RATING B	08/29/00	3,686 25	61 437	82 188	4,931 28	1,245 03	ST	
200	STARBUCKS CORP SYMBOL SBUX/RATING B +	07/27/98	4,876 88	24 859	44 25	8,850 00	3,973 12	LT	
50	SUN MICROSYSTEMS INC SYMBOL SUNW/RATING B +	11/09/00	2 439 80	48 795	27 875	1,393 75	(1,046 06)	ST	
150	WASHINGTON MUTUAL INC SYMBOL WM/RATING B +	04/03/98	7,564 38	50 395	53 063	7,959 45	395 07	LT	2 281 180 00
50	XILINX INC SYMBOL XLNX/RATING B +	08/29/00	4,028 13	80 562	46 125	2,306 25	(1,721 88)	ST	
Total common stocks and options			$ 84,902 20			$ 73,243 73	($ 19,249 91) $ 7,591 44	ST LT	34 $ 254 32
Total portfolio value			$ 88,118 77			$ 73,461 30	($ 19,249 91) $ 7,591 44	ST LT	36 $ 287 40

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SALOMON SMITH BARNEY

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Ref 00004921 00057691

Individual Retirement Account

December 1 - December 31, 2000

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THERESE M HANSEN

Account number 443-67927-19 456

Investment activity

Transaction details

Date	Activity	Description	Quantity	Price	Amount
12/11/00	Stk split	SUN MICROSYSTEMS INC STK SPLIT ON 25 SHS	25		$ 0.00
12/21/00	Tender	MEDTRONIC INC SALE OF RT ON 80.0000 SHS			.02
Total securities bought and other subtractions					**$ 0.00**
Total securities sold and other additions					**$ .02**

Money funds activity

Opening money funds balance $ 208 37

Date	Activity	Description	Amount
12/04/00	Autoinvest	DREYFUS LIQUID ASSETS INC	1 20
12/17/00	Autoinvest	DREYFUS LIQUID ASSETS INC	4 55

All transactions are traded at $1.00 per share.

Date	Activity	Description	Amount
12/15/00	Autoinvest	DREYFUS LIQUID ASSETS INC	2 40
12/22/00	Autoinvest	DREYFUS LIQUID ASSETS INC	.02
		MONEY FUNDS EARNINGS REINVESTED (SEE DETAILS UNDER EARNINGS DETAILS)	1 03
		Closing balance	**$ 217.67**

Dividends

Earnings details

*The tax status of earnings is reliable to the best of our knowledge. **Taxable** and **non-taxable** designations refer to the federal income tax status of your securities, not of your account.*

Date	Description	Comment	Taxable	Non-taxable	Amount
12/01/00	INTEL CORP	CASH DIV ON 60.0000 SHS	$ 1 20		$ 1.20
12/11/00	INTL BUSINESS MACHINES CORP	CASH DIV ON 35.0000 SHS	4 55		4 55
12/14/00	HOME DEPOT INC	CASH DIV ON 60 0000 SHS	2 40		2 40
12/29/00	CORNING INC	CASH DIV ON 45.0000 SHS	2 70		2 70
12/29/00	NORTEL NETWORKS CORP NEW	CASH DIV ON 25 0000 SHS TAX HELD BY FGN GOVTS 00	47		47
Total dividends earned			**$ 11.32**	**$ 0.00**	**$ 11.32**

Money funds earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
12/29/00	DREYFUS LIQUID ASSETS INC	REINVESTED FOR PERIOD 12/01/00-12/29/00 29 DAYS AVERAGE YIELD 6.01 %	$ 1.03		$ 1.03
Total earnings from money funds			**$ 1.03**	**$ 0.00**	**$ 1.03**

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SALOMON SMITH BARNEY
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04072000A
Ref 00000632 00011651

Quarterly Review

First Quarter 2000

MCDERMOTT JAMES A
443-65137-19 130
WILLIAM L DONNELLY

6m

Contributions and Withdrawals

	Date	Quantity	Description	Value
Cash Addition				
	03/14/00		DEPOSIT RECEIVED AT	$ 2,000 00
Cash Withdrawal				
	01/21/00		INVESTMENT AND MGMT SERVICES	-1,832 90
Total Contributions and Withdrawals				$ 167.10

Purchases

Quantity	Description	Unit Cost	Total Cost	Date of Purchase
Common Stocks				
40	XILINX INC	$ 47.000	$ 1,880.00	1/19/00
110	HEALTHEON/WEBMD CORPORATION	68.422	7,526.40	1/25/00
30	HEALTHEON/WEBMD CORPORATION	58.063	1,741 89	2/07/00
35	AKAMAI TECHNOLOGIES INC	237 629	8,317 02	2/09/00
40	JDS UNIPHASE CORP	199 031	7,961 24	2/14/00
95	DOUBLECLICK INC	91 481	8,690 69	3/20/00
55	JDS UNIPHASE CORP	124 723	6,859 78	3/20/00
10	AKAMAI TECHNOLOGIES INC	195 006	1,950 06	3/21/00
40	ARIBA INC	238.037	9,521 46	3/21/00
140	HEALTHEON/WEBMD CORPORATION	30.591	4,282 71	3/21/00
Subtotal			$ 58,731.25	
Total Purchases			$ 58,731.25	

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04072000A
Ref. 00000632 00011652

Quarterly Review

First Quarter 2000

MCDERMOTT JAMES A
443-65137-19 130
WILLIAM L DONNELLY

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Sales

Quantity	Description	Unit Cost	Total Cost	Unit Proceeds	Total Proceeds	Gain/ Loss	Date of Sale
Common Stocks							
402	STARBUCKS CORP	$ 16.504	$ 6,635.00	$ 24.00	$ 9,647.67	$ 3,012.67	1/05/00
180	AMAZON COM INC	69.965	12,593.70	68.10	12,258.26	-335.44	1/25/00
309	DELL COMPUTER CORP	9.721	3,003.80	46.94	14,503.20	11,499.40	3/03/00
285	HOME DEPOT INC	36.125	10,295.63	54.88	15,639.96	5,344.33	3/03/00
30	*** NOKIA CORP SPONSORED ADR	71.623	2,148.71	219.68	6,590.41	4,441.70	3/03/00
Subtotal			$ 34,676.84		$ 58,639.50	$ 23,962.66	
Total Sales			$ 34,676.84		$ 58,639.50	$ 23,962.66	

Glossary of Market Indices

Consumer Price Index

A measure of the average change in prices over time in a fixed market basket of goods and services The index is for all U S urban consumers, which covers approximately 80% of the noninstitutionalized civilian population

Lipper Growth Funds Average

An average of all funds which normally invest in companies whose long-term earnings are expected to grow significantly faster than the earnings of the stocks represented in the major unmanaged stock indices

Standard & Poor's 500 Index

Tracks the total return of 500 of the largest stocks (400 industrial, 40 utility, 20 transportation and 40 financial companies) in the United States, which represent about 78% of the New York Stock Exchange's total market capitalization The return of each stock is weighted on the basis of the stock's capitalization

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Ref 00000584 00011360

Quarterly Review

Second Quarter 2000

MCDERMOTT JAMES A
443-65137-19 130
WILLIAM L DONNELLY

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Contributions and Withdrawals

	Date	Quantity	Description	Value
Cash Withdrawal				
	04/24/00		INVESTMENT AND MGMT SERVICES	$ 1,997 47
Total Contributions and Withdrawals				**$ -1,997.47**

Purchases

Quantity	Description	Unit Cost	Total Cost	Date of Purchase
Common Stocks				
105	PMC SIERRA INC	$ 144 500	$ 15,172 50	4/20/00
Total Purchases			**$ 15,172.50**	

Sales

Quantity	Description	Unit Cost	Total Cost	Unit Proceeds	Total Proceeds	Gain/ Loss	Date of Sale
Common Stocks							
230	BMC SOFTWARE INC	$ 39 826	$ 9,160 17	$ 41 34	$ 9,509.03	$ 348 86	4/19/00
Total Sales			**$ 9,160.17**		**$ 9,509.03**	**$ 348.86**	

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Contributions and Withdrawals

	Date	Quantity	Description	Value
Cash Withdrawal				
	07/21/00		INVESTMENT AND MGMT SERVICES	$ -1,810 39
Total Contributions and Withdrawals				**$ -1,810.39**

Purchases

Quantity	Description	Unit Cost	Total Cost	Date of Purchase
Common Stocks				
120	AMGEN INC	$ 69 418	$ 8,330 20	8/17/00
120	AMGEN INC	67 875	8,145 00	8/21/00
Subtotal			$ 16,475 20	
Total Purchases			**$ 16,475.20**	

Sales

Quantity	Description	Unit Cost	Total Cost	Unit Proceeds	Total Proceeds	Gain/ Loss	Date of Sale
Common Stocks							
45	AKAMAI TECHNOLOGIES INC	$ 228 157	$ 10,267 08	$ 75 65	$ 3,404 40	$ 6,862 68	8/16/00
187	LUCENT TECHNOLOGIES INC	37 612	7,033 58	42 67	7,979 75	946 17	8/16/00
70	SIEBEL SYSTEMS INC	41 239	2,886 73	173 24	12,127 10	9,240 37	8/21/00
10	SIEBEL SYSTEMS INC	41 239	412 39	178 15	1,781 54	1,369 15	8/24/00

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Sales

Quantity	Description	Unit Cost	Total Cost	Unit Proceeds	Total Proceeds	Gain/ Loss	Date of Sale
Subtotal			$ 20,599 78		$ 25,292 79	$ 4,693.01	
Total Sales			$ 20,599.78		$ 25,292.79	$ 4,693.01	

Glossary of Market Indices

Consumer Price Index

A measure of the average change in prices over time in a fixed market basket of goods and services The index is for all U S urban consumers, which covers approximately 80% of the noninstitutionalized civilian population

Lipper Growth Funds Average

An average of all funds which normally invest in companies whose long-term earnings are expected to grow significantly faster than the earnings of the stocks represented in the major unmanaged stock indices

Merrill Lynch 1-3 Yeer Treesury

A subindex of the Merrill Lynch Treasury Master Index Includes issues in the form of publicly placed, coupon-bearing US Treasury debt Issues must carry a term to maturity of at least one year

Standard & Poor's 500 Index

Tracks the total return of 500 of the largest stocks (400 industrial, 40 utility, 20 transportation and 40 financial companies) in the United States, which represent about 78% of the New York Stock Exchange's total market capitalization The return of each stock is weighted on the basis of the stock's capitalization

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Dividends and Interest Received

	Date	Quantity	Description	Amount
Cash and Short Term Investments				
	11/30/00		DREYFUS LIQUID ASSETS INC	$ 23 40
	12/29/00		DREYFUS LIQUID ASSETS INC	15 96
Subtotal				$ 75.97
Total Dividends and Interest Received				$ 187.15

Contributions and Withdrawals

	Date	Quantity	Description	Value
Cash Withdrawal				
	10/20/00		INVESTMENT AND MGMT SERVICES	$ -1,758 38
Total Contributions and Withdrawals				$ -1,758.38

Purchases

Quantity	Description	Unit Cost	Total Cost	Date of Purchase
Common Stocks				
240	SCHWAB CHARLES CORP	$ 27.594	$ 6,622 58	10/12/00
75	CORNING INC	96.523	7,239 20	10/18/00
45	JDS UNIPHASE CORP	70 625	3,178 13	10/25/00
70	JUNIPER NETWORKS INC	197 975	13,858 25	10/25/00

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01082001A
Ref. 00004888 00113201

Quarterly Review

Fourth Quarter 2000

MCDERMOTT JAMES A
443-65137-19 456
THE DONNELLY GROUP

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Purchases

Quantity	Description	Unit Cost	Total Cost	Date of Purchase
140	CORNING INC	$ 61 671	$ 8,633 93	11/10/00
70	*** NORTEL NETWORKS CORP NEW	38 938	2,725 63	11/10/00
Subtotal			$ 42,257 72	
Total Purchases			$ 42,257.72	

Sales

Quantity	Description	Unit Cost	Total Cost	Unit Proceeds	Total Proceeds	Gain/ Loss	Date of Sale
Common Stocks							
280	WEBMD CORP	$ 48 396	$ 13,551 00	$ 9 23	$ 2,583 09	$ 10,967 91	10/12/00
95	DOUBLECLICK INC	91 480	8,690 69	11 06	1,050 90	7,639 79	10/18/00
134	YAHOO INC	85 295	11,429 60	51 68	6,924 73	4,504 87	10/18/00
170	SIEBEL SYSTEMS INC	20 619	3,505 32	112 39	19,107 09	15,601 77	10/25/00
80	ARIBA INC	119 018	9,521 46	105 36	8,428 50	-1,092 96	11/09/00
Subtotal			$ 46,698.07		$ 38,094.31	$ -8,603.76	
Total Sales			$ 46,698.07		$ 38,094.31	$ -8,603 76	

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Dividends and Interest Received

	Date	Quantity	Description	Amount
Cash and Short Term Investments				
Subtotal				**$ 65.43**
Total Dividends and Interest Received				**$ 68.79**

Contributions and Withdrawals

	Date	Quantity	Description	Value
Cash Addition				
	04/03/00		SB MONEY FUNDS CASH	Inception
Cash Withdrawal				
	04/05/00		INVESTMENT AND MGMT SERVICES	285.17
Total Contributions and Withdrawals				**$ -285.17**

Purchases

Quantity	Description	Unit Cost	Total Cost	Date of Purchase
Common Stocks				
5	AKAMAI TECHNOLOGIES INC	$ 110.062	$ 550.31	4/04/00
10	ARIBA INC	84.375	843.75	4/04/00
30	BMC SOFTWARE INC	44.000	1,320.00	4/04/00
40	CISCO SYS INC	68.375	2,735.00	4/04/00

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Purchases

Quantity	Description	Unit Cost	Total Cost	Date of Purchase
10	DOUBLECLICK INC	$ 70 938	$ 709 38	4/04/00
10	EMC CORP MASS	121 063	1,210 63	4/04/00
35	HEALTHEON/WEBMD CORPORATION	17 625	616 88	4/04/00
6	INFOSPACE INC	98 000	588 00	4/04/00
10	INTEL CORP	127 125	1,271 25	4/04/00
10	INTL BUSINESS MACHINES CORP	122 750	1,227 50	4/04/00
15	JDS UNIPHASE CORP	97 017	1,455 25	4/04/00
25	LUCENT TECHNOLOGIES INC	59 812	1,495 31	4/04/00
45	MARCHFIRST INC	27 744	1,248 48	4/04/00
25	MEDTRONIC INC	52 500	1,312 50	4/04/00
15	MICROSOFT CORP	88 000	1,320 00	4/04/00
10	*** NOKIA CORP SPONSORED ADR	185 750	1,857 50	4/04/00
20	ORACLE CORP	67 500	1,350 00	4/04/00
5	PMC SIERRA INC	150 750	753 75	4/04/00
10	QUALCOMM INC	135 500	1,355 00	4/04/00
15	SIEBEL SYSTEMS INC	94 687	1,420 31	4/04/00
20	SUN MICROSYSTEMS INC	81 625	1 632 50	4/04/00
20	XILINX INC	71 625	1,432 50	4/04/00
10	YAHOO INC	153 938	1,539 38	4/04/00
2	AKAMAI TECHNOLOGIES INC	115 000	230 00	4/05/00
2	EMC CORP MASS	124 815	249 63	4/05/00
2	INTL BUSINESS MACHINES CORP	122 250	244 50	4/05/00

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Dividends and Interest Received

	Date	Quantity	Description	Amount
Cash and Short Term Investments				
	7/14/00		SB MONEY FUNDS CASH PORT CL A	$ 22.22
	8/11/00		SB MONEY FUNDS CASH PORT CL A	15 16
	9/08/00		SB MONEY FUNDS CASH PORT CL A	15.55
Subtotal				$ 52.93
Total Dividends and Interest Received				$ 56.64

Purchases

Quantity	Description	Unit Cost	Total Cost	Date of Purchase
Common Stocks				
20	AMGEN INC	$ 69 970	$ 1,399 39	7/27/00
20	HOME DEPOT INC	50 101	1 002 01	8/29/00
20	*** NORTEL NETWORKS CORP NEW	77 675	1,553 49	9/07/00
4	CORNING INC	294 498	1,177 99	9/11/00
Subtotal			$ 5,132 88	
Total Purchases			$ 5,132.88	

Purchases

Quantity	Description	Unit Cost	Total Cost	Date of Purchase
5	PMC SIERRA INC	$ 144.500	$ 722.50	4/20/00
Subtotal			$ 30,691.81	
Total Purchases			$ 30,691.81	

Sales

Quantity	Description	Unit Cost	Total Cost	Unit Proceeds	Total Proceeds	Gain/ Loss	Date of Sale
Common Stocks							
30	BMC SOFTWARE INC	$ 44.000	$ 1,320.00	$ 41.34	$ 1,240.30	$ -79.70	4/19/00
35	HEALTHEON/WEBMD CORPORATION	17.625	616.88	15.00	525.07	91.81	6/01/00
Subtotal			$ 1,936.88		$ 1,765.37	$ -171.51	
Total Sales			$ 1,936.88		$ 1,765.37	$ -171.51	

Sales

Quantity	Description	Unit Cost	Total Cost	Unit Proceeds	Total Proceeds	Gain/ Loss	Date of Sale
Common Stocks							
7	AKAMAI TECHNOLOGIES INC	$ 111 4/2	$ 780 31	$ 75 65	$ 529 57	$ 250 74	8/16/00
25	LUCENT TECHNOLOGIES INC	59 812	1,495 31	42 67	1,066 81	-428 50	8/16/00
45	MARCHFIRST INC	27 744	1,248 48	17 42	783 69	-464 79	9/22/00
Subtotal			$ 3,524.10		$ 2,380.07	$ -1,144.03	
Total Sales			$ 3,524.10		$ 2,380.07	$ -1,144.03	

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Dividends and Interest Received

	Date	Quantity	Description	Amount
Cash and Short Term Investments				
Subtotal				$ 17.50
Total Dividends and Interest Received				$ 23.04

Contributions and Withdrawals

	Date	Quantity	Description	Value
Cash Addition				
	11/21/00		MONEY TRANSFER 11/2	$ 2,000 00
Cash Withdrawal				
	10/20/00		INVESTMENT AND MGMT SERVICES	-144 21
Total Contributions and Withdrawals				$ 1,855.79

Purchases

Quantity	Description	Unit Cost	Total Cost	Date of Purchase
Common Stocks				
20	SCHWAB CHARLES CORP	$ 27 594	$ 551 88	10/12/00
35	COSTCO WHOLESALE CORP NEW	33 563	1,174 69	10/23/00
10	QUALCOMM INC	72 875	728 75	10/23/00
3	CORNING INC	76 887	230 66	10/25/00

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Purchases

Quantity	Description	Unit Cost	Total Cost	Date of Purchase
5	*** NORTEL NETWORKS CORP NEW	$ 44.968	$ 224.84	10/26/00
5	JUNIPER NETWORKS INC	171.708	858.54	11/10/00
55	ADC TELECOMMUNICATIONS INC	18.375	1,010.63	11/30/00
2	JUNIPER NETWORKS INC	131.740	263.48	12/01/00
20	SCHWAB CHARLES CORP	30.744	614.87	12/08/00
Subtotal			$ 5,658.34	
Total Purchases			**$ 5,658.34**	

Sales

Quantity	Description	Unit Cost	Total Cost	Unit Proceeds	Total Proceeds	Gain/ Loss	Date of Sale
Common Stocks							
10	DOUBLECLICK INC	$ 70.938	$ 709.38	$ 11.06	$ 110.63	$ -598.75	10/18/00
10	YAHOO INC	153.938	1,539.38	51.68	516.77	-1,022.61	10/18/00
10	SIEBEL SYSTEMS INC	47.344	473.44	108.68	1,086.84	613.40	10/23/00
5	SIEBEL SYSTEMS INC	47.344	236.72	112.39	561.97	325.25	10/25/00
10	ARIBA INC	84.375	843.75	105.36	1,053.56	209.81	11/09/00
Subtotal			$ 3,802.67		$ 3,329.77	$ -472.90	
Total Sales			**$ 3,802.67**		**$ 3,329.77**	**$ -472.90**	

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[redacted] REALIZED GAIN/LOSS DETAIL - [redacted]

SALOMON SMITH BARNEY INC.

HANSEN THERESE M — FC: THE DONNELLY GROUP — ACCOUNT NUMBER: [redacted]

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Description	Original Trade Date/ Closing Trade Date	Quantity	Cost Basis/ Adjusted Basis	Purchase Price/ Adjusted Price	Sale Price/ Proceeds	Total Realized G/L Original/Adjusted	Ordinary Income/ Capital Gain/(Loss)
ALLIANCE GROWTH FUND CL B	04/17/98	34 1860	1,357 88	39 720	40 600	.34 LT	.00
	05/03/00 Sold				1 358 22	00	34
	12/15/98	2540	9 61	37 850	40 600	48 LT	00
	05/03/00 Sold				10 09	00	48
	12/15/98	3 0980	117 26	37 850	40.600	5 82 LT	.00
	05/03/00 Sold				123 08	.00	5 82
	01/12/99	36 4620	1,501 13	41.170	40 600	(52 49) LT	.00
	05/03/00 Sold				1 448 64	00	(52 49)
	01/28/99	23 9640	1,000 00	41 730	40 600	(47 90) LT	.00
	05/03/00 Sold				952 10	00	(47 90)
	12/08/99	4 5040	183 49	40 740	40 600	(4 54) ST	.00
	05/03/00 Sold				178 95	00	(4 54)
	12/08/99	13 3820	545 17	40 740	40 600	(13 50) ST	00
	05/03/00 Sold				531 67	00	(13 50)
	TOTAL	115 8500	4 714 54		4 602 75	(111 79)	.00
							(111.79)
AKAMAI TECHNOLOGIES INC	05/12/00	10 0000	711 88	71 187	75 650	44 65 ST	.00
	08/16/00 Sold				756 53	00	44 65

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2000 REALIZED GAIN/LOSS DETAILS AS OF 05/09/01 — **SALOMON SMITH BARNEY INC.**

HANSEN THERESE M — **FC: THE DONNELLY GROUP** — **ACCOUNT NUMBER: 443-07421**

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Description	Original Trade Date/ Closing Trade Date	Quantity	Cost Basis/ Adjusted Basis	Purchase Price/ Adjusted Price	Sale Price/ Proceeds	Total Realized G/L Original/Adjusted	Ordinary Income/ Capital Gain/(Loss)
ARIBA INC	05/12/00	10.0000	684.38	68.437	105.359	369.18 ST	.00
	11/09/00 Sold				1,053.56	.00	369.18
COSTCO WHOLESALE CORP NEW	01/19/00	100.0000	5,142.50	51.375	48.812	(261 42) ST	.00
	05/12/00 Sold				4,881.08	.00	(261 42)
DOUBLECLICK INC	05/12/00	12.0000	681.00	56.750	11.062	(548 25) ST	.00
	10/18/00 Sold				132.75	.00	(548.25)
GENERAL ELECTRIC CO	01/19/00	105.0000	5,258.20	49.083	52.125	214 74 ST	.00
	05/12/00 Sold				5,472.94	.00	214.74
HOME DEPOT INC	01/19/00	85.0000	5,273.51	60.812	54.000	(683.67) ST	.00
	05/12/00 Sold				4,589.84	.00	(683.67)
HEALTHBON/WEBMD CORPORATION	05/12/00	35.0000	566.56	16.187	15.002	(41.49) ST	.00
	06/01/00 Sold				525.07	.00	(41 49)
ICOS CORP	01/19/00	100.0000	3,873.75	38.687	35 250	(348 87) ST	.00
	05/12/00 Sold				3,524.88	00	(348 87)
LUCENT TECHNOLOGIES INC	05/12/00	20.0000	1,153.75	57.687	42.673	(300.30) ST	.00
	08/16/00 Sold				853.45	.00	(300.30)
MARCHFIRST INC	05/12/00	55.0000	1,141.25	20.750	17 415	(183.41) ST	.00
	09/22/00 Sold				957 84	.00	(183.41)

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2000 REALIZED GAIN/LOSS DETAILS AS OF 05/09/01 — **SALOMON SMITH BARNEY INC.**

HANSEN THERESE M — **FC: THE DONNELLY GROUP** — **ACCOUNT NUMBER: 443-07421**

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Page

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost Basis/ Adjusted Basis	Purchase Price/ Adjusted Price	Sale Price/ Proceeds	Total Realized G/L Original/Adjusted	Ordinary Income/ Capital Gain/(Loss)
SIEBEL SYSTEMS INC	05/12/00	5 0000	320 78	64 156	112 398	241.19 ST	.00
	10/25/00 Sold				561.97	00	241 19
YAHOO INC	05/12/00	10 0000	1,276 25	127 625	51 678	(759 48) ST	00
	10/18/00 Sold				516 77	00	(759 48)
Total Long Term year-to-date						(93.75)	
Total Mid Term year-to-date						.00	
Total Short Term year-to-date						(2,275.17)	
Total Realized gain or (loss) year-to-date			30,798.38		28,429.43	(2,368.92)	
Total Capital gain or (loss) year-to-date							(2,368.92)

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REALIZED GAIN/LOSS DETAILS AS OF 05/02/01 — SALOMON SMITH BARNEY INC.

HANSEN THERESE M — FC: THE DONNELLY GROUP — ACCOUNT NUMBER: 443-67927

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost Basis/ Adjusted Basis	Purchase Price/ Adjusted Price	Sale Price/ Proceeds	Total Realized G/L Original/Adjusted		Ordinary Income/ Capital Gain/(Loss)
ALLIANCE GROWTH FUND CL B	04/03/98	297.1770	12,000 00	40.380	42 760	488.91	LT	.00
	06/14/00 Sold				12,488 91	.00		488.91
	07/27/98	147 6950	6,150 00	41 640	42.760	56 90	LT	00
	06/14/00 Sold				6,206 90	00		56 90
	12/15/98	3 3030	125 01	37 850	42.760	13 80	LT	.00
	06/14/00 Sold				138 81	.00		13 80
	12/15/98	40 3150	1,525 91	37 850	42 760	168 33	LT	.00
	06/14/00 Sold				1,694 24	.00		168.33
	12/08/99	22 4580	914 94	40 740	42 760	28 86	ST	.00
	06/14/00 Sold				943.80	00		28 86
	12/08/99	66.7270	2,718.45	40.740	42.760	85.77	ST	.00
	06/14/00 Sold				2,804 22	.00		85.77
	TOTAL	577.6750	23,434 31		24,276 88	842 57		.00
								842.57
AKAMAI TECHNOLOGIES INC	06/29/00	20.0000	2,201 25	110.062	75.655	(688 19)	ST	.00
	08/16/00 Sold				1,513 06	.00		(688 19)
ALGER FD SMALL CAPITALIZATION	04/03/98	421.3480	4,500.00	10.680	9.770	(476.32)	LT	.00
	06/14/00 Sold				4,023.68	.00		(476 32)

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2000 REALIZED GAIN/LOSS DETAILS AS OF 05/09/01

HANSEN THERESE M **FC: THE DONNELLY GROUP**

SALOMON SMITH BARNEY INC.
ACCOUNT NUMBER: 443-67937

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Description	Original Trade Date/ Closing Trade Date	Quantity	Cost Basis/ Adjusted Basis	Purchase Price/ Adjusted Price	Sale Price/ Proceeds	Total Realized G/L Original/Adjusted	Ordinary Income, Capital Gain/(Loss)
ALGER FD SMALL CAPITALIZATION	12/17/98 06/14/00 Sold	51 7071	430 20	8 320	9.770 493 78	63.58 LT 00	.00 63.58
	12/15/99 06/14/00 Sold	51 2110	502 38	9 810	9 770 489 04	(13 34) ST 00	.00 (13 34)
	12/15/99 06/14/00 Sold	35 9260	352 43	9 810	9 770 143.08	(9 35) ST 00	.00 (9 35)
	TOTAL	560 1920	5 785 01		5,349 58	(435 43)	.00 (435.43)
ARIBA INC	06/29/00 11/09/00 Sold	[illegible]	1 833 [illegible]	91 687	105.359 2,107.13	273 38 ST 00	.00 273.38
AIM VALUE FUND CL B	04.03/98 06/14/00 Sold	205 7610	[illegible]	36 452	47 130 9,464 00	1,964 00 LT 00	[illegible] 1,964 [illegible]
	04/16/98 06/14/00 Sold	20 6220	748 8	36 3[illegible]	47 130 948 51	199 71 LT 00	[illegible] 199 [illegible]
	06/01/98 06/14/00 Sold	42 182[illegible]	1 [illegible]	15 560	47 130 1,948 17	440 17 LT 00	[illegible] 440 [illegible]
	07/27/98 06/14/00 Sold	160 31[illegible]	6 15[illegible]	38 430	47 130 7,360 64	1,210 64 LT 00	[illegible] 1,210 64

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2000 REALIZED GAIN/LOSS DETAILS AS OF 05/09/01 — **SALOMON SMITH BARNEY INC.**

HANSEN THERESE M — **FC: THE DONNELLY GROUP** — **ACCOUNT NUMBER: 443-67927**

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Description	Original Trade Date/ Closing Trade Date	Quantity	Cost Basis/ Adjusted Basis	Purchase Price/ Adjusted Price	Sale Price/ Proceeds	Total Realized G/L Original/Adjusted	Ordinary Income/ Capital Gain/(Loss)
AIM VALUE FUND CL B	10/19/98	14.6240	500.00	34.190	47.130	172.63 LT	.00
	06/14/00 Sold				672.63	.00	172.63
	12/21/98	2.0570	76.46	37.170	47.130	18.15 LT	.00
	06/14/00 Sold				94.61	.00	18.15
	12/21/98	29.1070	1,081.90	37.170	47.130	256.88 LT	.00
	06/14/00 Sold				1,338.78	.00	256.88
	01/12/99	52.2280	2,054.13	39.330	47.130	348.10 LT	.00
	06/14/00 Sold				2,402.23	.00	348.10
	12/20/99	10.3160	466.16	45.190	47.130	8.33 ST	.00
	06/14/00 Sold				474.49	.00	8.33
	12/20/99	27.5570	1,245.33	45.190	47.130	22.16 ST	.00
	06/14/00 Sold				1,267.49	.00	22.16
	TOTAL	564.4850	21,322.78		25,963.55	4,640.77	.00
							4,640.77
CYPRESS BIOSCIENCE INC	03/08/00	100.0000	665.58	6.312	1.968	(468.71) ST	.00
	06/29/00 Sold				196.87	.00	(468.71)
DOUBLECLICK INC	06/29/00	50.0000	1,937.50	38.750	11.062	(1,384.39) ST	.00
	10/18/00 Sold				553.11	.00	(1,384.39)

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2000 REALIZED GAIN/LOSS DETAILS AS OF 05/09/01 — **SALOMON SMITH BARNEY INC.**

HANSEN THERESE M — **FC: THE DONNELLY GROUP** — **ACCOUNT NUMBER: 443-67927**

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Description	Original Trade Date/ Closing Trade Date	Quantity	Cost Basis/ Adjusted Basis	Purchase Price/ Adjusted Price	Sale Price/ Proceeds	Total Realized G/L Original/Adjusted		Ordinary Income/ Capital Gain/(Loss)
LUCENT TECHNOLOGIES INC	07/20/00	40.0000	2,147.50	53.687	42 673	(440.60)	ST	.00
	08/16/00 Sold				1,706 90	.00		(440.60)
MARCHFIRST INC	06/29/00	215 0000	3,829 69	17 812	17 415	(85 39)	ST	[illegible]
	09/22/00 Sold				3,744 30	00		(85 39)
MICROSOFT CORP	04/03/98	75.0000	3,490 55	46 515	68 500	1,646 78	LT	.00
	07/27/00 Sold				5,137 33	00		1,646 78
MICROSOFT CORP	04/03/98	50 0000	2,327 03	46 515	80 312	1,688 47	LT	[illegible]
	07/10/00 Sold				4,015 50	[illegible]		1,688 47
FIRST EAGLE SOGEN GLOBAL FD	04/03/98	209 7900	6,000 00	28 600	25 070	(740.56)	LT	[illegible]
	06/14/00 Sold				5,259 44	00		(740 56)
	08/04/98	9 1330	224 48	24 580	25 [illegible]	4 48	LT	[illegible]
	06/14/00 Sold				228 96	[illegible]		4 48
	08/04/98	341 [illegible]	8 39	24 580	25 [illegible]	16	LT	[illegible]
	06/14/00 Sold				8 55	[illegible]		16
	08/04/98	6 7420	165 73	24 580	25 [illegible]	3 29	LT	[illegible]
	06/14/00 Sold				169 02	[illegible]		3 29
	12/23/98	5 2160	117 52	[illegible]	25 [illegible]	13 25	LT	[illegible]
	06/14/00 Sold				130 77	[illegible]		13 25

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2000 REALIZED GAIN/LOSS DETAILS AS OF 05/09/01 **SALOMON SMITH BARNEY INC.**

HANSEN THERESE M **FC: THE DONNELLY GROUP** **ACCOUNT NUMBER: 443-67927**

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Description	Original Trade Date/ Closing Trade Date	Quantity	Cost Basis/ Adjusted Basis	Purchase Price/ Adjusted Price	Sale Price/ Proceeds	Total Realized G/L Original/Adjusted	Ordinary Income/ Capital Gain/(Loss)
FIRST EAGLE SOGEN GLOBAL FD	12/22/99	2.7550	65.90	23.920	25.070	3.17 ST	.00
	06/14/00 Sold				69.07	.00	3.17
	12/22/99	10.3437	247.41	23.920	25.070	11.89 ST	.00
	06/14/00 Sold				259.30	.00	11.89
	12/22/99	13.9680	334.12	23.920	25.070	16.05 ST	.00
	06/14/00 Sold				350.17	.00	16.05
	TOTAL	258 2880	7,163.55		6,475.28	(688 27)	.00
							(688.27)
YAHOO INC	06/29/00	30.0000	3,603.75	120.125	51.678	(2,053.44) ST	.00
	10/18/00 Sold				1,550.31	.00	(2,053 44)
	07/10/00	10.0000	1,113.13	111.312	51.678	(596.36) ST	.00
	10/18/00 Sold				516 77	.00	(596.36)
	TOTAL	40.0000	4,716.88		2,067.08	(2,649.80)	.00
							(2,649.80)

Total Long Term year-to-date			7,541.35	
Total Mid Term year-to-date			.00	
Total Short Term year-to-date			(5,290.16)	
Total Realized gain or (loss) year-to-date	80,855.38	83,106.57	2,251.19	
Total Capital gain or (loss) year-to-date				2,251.19

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SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name James A McDermott

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
International Management & Development Institute (IMDI), US-German Roundtable for the 21st Century	Jan 12-15	SEA-IAD-FRA-IAD-SEA	Y	Y	N	N/A
Mass-Care - Massachusetts Campaign for Single Payer Health Care (Spch)	Jan 29	DCA-BOS	Y	N	N	N/A
The Aspen Institute Conference, "The Convergency of U S National Security and Global Environment"	Feb 18-22	BWI-SJU-ORD-SEA	Y	Y	N	N/A
Federation of Kerala Assn of North America, Inc (KOKANA) (Spch)	Jul 1-2	IAS-SJC-SEA	Y	N	N	N/A
F.K Consulting Inc., HIV/AIDS Fact Finding Mission - Congressional Task Force on Intl HIV/AIDS	Jul 28 - Aug 11	BWI-LGW-ABV-LAD-JNB-MTS-JNB-GBE-JNB-AMS-SEA (trip included 4 days of travel)	Y	Y	Y	3 Days

SCHEDULE VIII - POSITIONS

Name James A. McDermott

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member, Board of Sponsors	Washington Physicians for Social Responsibility
Member, Visiting Committee	The Henry M. Jackson School of International Studies
Member (ex officio), Board of Directors	Washington State China Relations Council
Member, Advisory Committee	Seattle-Chongqing Sister City Association
Member, Foundation Associates	Pacific Science Center
Member, Advisory Board	Childhaven
Co-Chair, Advisory Committee	Centurions of Seattle Vocational Institute
Easr Work Northwest Advisory Board	The Student Conservation Association
Honorary Member	Seattle-Silhanoukville Sister City Association
Honorary Co-Chair	Lawyers Helping Hungry Children
Member, Millennium Committee	The Spirit of 2000
Honorary Member	World Trade Center Seattle

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name James A McDermott

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorstions Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Alumnus of the Year, Reunion Weekend 2000 Gala, Univ of IL at Chicago, College of Medicine (Rmks)	Sep 15-16	DCA-ORD-DCA	Y	N	Y	N/A
India Medical Assn, 20th Annual Meeting (Rmks)	Nov 11-12	ORD-DCA	N	N	N	N/A

SCHEDULE VIII - POSITIONS

Name James A. McDermott

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member, Advisory Council	Greater Seattle Vietnam Association
Member, Advisory Board	Seattle Cuba Sister City Association

FOOTNOTES

Number	Section / Schedule	Footnote	This note refers to the following item
15	Schedule III	I had no prior knowledge the Ferris Baker Watts account was still open until I received notice in 2000. In 1997 the account was closed and all proceeds transferred to a SSB Account The account is now closed and all the proceeds have been deposited in SSB IRA Custodian (443-65137-19)	Ferris Baker Watts (See Footnote)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

RECEIVED
01 MAY 15 PM 5:00
(7)
HAND DELIVERED
(Office Use Only)

James P. McGovern
(Full Name)

393 Burncoat Street
(Mailing Address)

Worcester MA 01606

Daytime Telephone: 508-831-7356

Filer Status	[X] Member of the U.S. House of Representatives	State: MA District: 03	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and	James P. McGovern	5/15/01

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtebla (Oct. 2nd)	Spousa Spaech	$1,000
Ontario County Board of Education	Spouse Salary	NA
General Services Administration (spouse)	salary (spouse)	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset	Block C: Type of Income	Block D: Amount of Income	Block E: Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP, DC, JT (Examples) SP	Mega Corp Stock	E	DIVIDENDS X	IV	P
DC	Simon & Schuster	Indefinite	Royalties	IX	
JT	1st Bank of Paducah, KY accounts	F	INTEREST X	VI	
JT	25% interest in family vacation home in MA	C	RENT X	IV	
SP	Janus Fund X	C	DIVIDENDS X	IV	
SP	Janus Fund 20	C	DIVIDENDS X	III	
SP	Partner Fund	A	CAPITAL GAINS X	III	S
SP	Congressional FCU Money Market	E	INTEREST X	V	
DC	Raymond James Financial Services Inc	C	DIVIDENDS X	II	

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name James P. McGovern

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
SP, DC, JT	A None	S $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
1225 Massachusetts Ave Washington, DC							X							X									X							

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SCHEDULE IV — TRANSACTIONS

Name James P. Mc Govern | Page 5 of 7

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Maga Corporation Common Stock	X			10–12–00		X								
	1225 Massachusetts Ave, SE Washington, DC	X			4-28-00					X					

This form may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
JT	Fleet Mortgage, Providence	25% interest on family vacation home in MA		X								
	Countrywide Mortgage	1225 Massachusetts Ave Washington, DC					X					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Plattar (determination on personal friendship received from Committee on Standards)	$270

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Washington Office on Latin America	Apr. 15-20	Wash DC.- Havana - Wash DC	Y	Y	N	N

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

9

LEGISLATIVE RESOURCE CENTER

2001 MAY 15 PM 2:08

ML (Office Use Only)

JOHN M. McHugh (Full Name)

PO Box 863, Pierrepont Manor N.Y. 13674 (Mailing Address)

(315)-465-5261 (Daytime Telephone)

Filer Status	☒ Member of the U.S. House of Representatives	State: N.Y. District: 24 C.D.	☐ Officer or Employee	Employing Office	
Report Type	☒ Annual (May 15)	☐ Amendment		☐ Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and to the best of my knowledge and belief.	John M. McHugh	5/14/01

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontano County Board of Education	Spousa Selary	NA
	NONE		

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
NONE			

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Name JOHN M. McHugh | Page 4 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each* asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B		Block C		Block D		Block E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP		SP	Mega Corp Stock				X								X										X									P
DC,	Examples		Simon & Schuster		Indefinite																Royalties									X				
JT			1st Bank of Paducah, KY accounts					X									X									X								
	KEY BANK NA STOCK				X										X								X											
	KEY BANK NA CD				X												X							X										
	COMMUNITY BANK CD				X												X							X										
	HSBC SAVINGS			X													X						X											
	Congressional FEDERAL CREDIT UNION				X												X							X										
	State Employees FEDERAL CREDIT Union			X													X						X											

Additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JOHN M. McHugh

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
SP, DC, JT	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
Congressional Federal Credit Union - other acct			X																	X										

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SCHEDULE IV — TRANSACTIONS

Name JOHN M. McHugh | Page 6 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–00		X								
	NONE														

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3242

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgaga on 123 Main St., Dover, Del.				X						
	KEY BANK, WATERTOWN, N.Y.	LOAN on PERSONAL BOAT	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NONE		

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	NONE						

3244

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
MEMBER - BOARD OF VISITORS	UNITED STATES MILITARY ACADEMY AT WEST Point

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 11 AM 9:19
OFFICE USE ONLY

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Scott McInnis
(Full Name)

320 Cannon House Office Building Washington, DC 20510
(Mailing Address)

202/225-4761
Daytime Telephone

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: CO District: 03	☐ Officer Or Employee	Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	S. L.	5/10/01

SCHEDULE I - EARNED INCOME

Na Scott McInnis

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Friends of Scott McInnis, Inc.	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "U

Na Scott McInnis

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Alpine Bank of Colorado Savings	$15,001 - $50,000	INTEREST	$2,501 - $5,000	
JT	Bank of Colorado (f.k.a. Colorado National Bank) IRAs	$15,001 - $50,000	INTEREST	$2,501 - $5,000	
	1st Federal Savings (deferred income)	$50,001 - $100,000	INTEREST/DIVIDENDS	N/A	
SP	David Smith Ranch Stock	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Alpine Bank IRA	$1,001 - $15,000	INTEREST	N/A	
JT	Invest Partnership (Owns 50% of value listed)				

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SCHEDULE III - ASSETS AND "U

Na Scott McInnis

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	AG Edwards Saving	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	US Bank Corporation Savings	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	LTIC, Inc.	$15,001 - $50,000	NONE	NONE	
	Applewood MHP (Sterling)	$100,001 - $250,000	NONE	NONE	
JT	S & L McInnis LLP 1%	$15,001 - $50,000	INTEREST/DIVIDENDS	$201 - $1,000	
DC	Daxon McInnis Irrevocable Trust	$500,001 - $1,000,000	INTEREST/DIVIDENDS	$5,001 - $15,000	

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SCHEDULE III - ASSETS AND "U

Na Scott McInnis

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
DC	Tessa McInnis Irrevocable Trust	$500,001 - $1,000,000	INTEREST/DIVIDENDS	$5,001 - $15,000	
DC	Andrea McInnis Irrevocable Trust	$500,001 - $1,000,000	INTEREST/DIVIDENDS	$5,001 - $15,000	
JT	Townhome Lot 1 Hills #1 Washington County (St. George, UT) 1/6 ownership	$15,001 - $50,000	NONE	NONE	

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SCHEDULE IV - TRANSACTIONS

Na Scott McInnis

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Coorstek Corp.	P	2/14/00	$1,001 - $15,000
	Coorstek Corp.	P	3/15/00	$15,001 - $50,000
	Coorstek Corp.	S	6/29/00	$15,001 - $50,000
	U.S. Bank	P	6/29/00	$15,001 - $50,000
	Superior Industrial Stock	S	3/27/00	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Na Scott McInnis

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Alpine Bank	Line of Credit	$50,001 - $100,000

3252

SCHEDULE VII - TRAVEL PAYMENTS

Na Scott McInnis

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
World Forum American Enterprise Institute	6/16-6/18	(Travel in District)	Y	Y	Y	N

CONGRESS OF THE UNITED STATES
HOUSE OF REPRESENTATIVES
WASHINGTON, D.C. 20515

SCOTT MCINNIS
THIRD CONGRESSIONAL DISTRICT
COLORADO

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May 24, 2001

Mr. Jeff Trandahl
Clerk United States
House of Representatives
Washington, DC 20510

Dear Mr. Trandahl

As a supplement to my financial disclosure statement of May 11, 2001 please note that the holdings of S & L McInnis LLP are in Alpine Banks of Colorado and Qwest (540 shares), the holdings of the childrens trust are in turn of the S & L McInnis LLP.

Sincerely,

Scott McInnis
Member of Congress

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 MAY 15 PM 4:31 (3)

HAND DELIVERED

MC (Office Use Only)

Mike McIntyre
(Full Name)

1701 North Chestnut Street
(Mailing Address)

Lumberton, North Carolina 28358

Daytime Telephone (202) 225-2731

Filer Status	[X] Member of the U.S House of Representatives	State: NC District 07	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date.

A *$200 penalty* shall be assessed against anyone who files more then 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Mike McIntyre	May 15, 2001

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Name Mike McIntyre | Page ___ of ___

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset	Block C: Type of Income	Block D: Amount of Income	Block E: Transaction
SP, DC, JT	SP: Mege Corp. Stock	A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS: X; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	Examples: Simon & Schuster	Indefinite	Royalties		P
	1st Bank of Paducah, KY accounts	X	X	X	
	IRA, BB&T, Lumberton NC	X		N/A	
SP	IRA, BB&T, Lumberton NC	X		N/A	
JT	Checking Acct., BB&T Lumberton NC	X	X	X	
DC	BB&T Stock	X	X	X	
DC	BB&T Stock	X	X	X	

For additional assets and unearned income, use next page.

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Name Mike McIntyre | Page ___ of ___

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Charlotte Chamber of Commerce	June 4-5	Lumberton, NC - Charlotte - Lumberton	Y	Y	N	N

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER (12)
2001 MAY 29 AM 10: 57
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only) MC

Howard P. "Buck" McKeon
(Full Name)

2242 Rayburn H.O.B., Wa., D.C.
(Mailing Address)

202-225-1956
Daytime Telephone

Filer Status: [X] Member of the U.S. House of Representatives — State: Ca. District: 25 — [ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Howard P. "Buck" McKeon	5-23-01

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Kaene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	McKeon for Congress	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	*Examples* SP Mega Corp Stock					X								X										X								P
	Simon & Schuster		Indefinite																	Royalties												
	1st Bank of Paducah, KY accounts						X									X										X						
JT	Charles Schwab & Co. M.M. Fund + Acct.			X												X							X									
	Dean Witter Ira (42904)																															
	Nat. Health Prop. Common Stock				X									X										X								P-S
	Ford Motor Co. "			X										X									X									P
	Oracle "				X																X											P
	Liberate Tech "	X															X									X						S

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Howard P. "Buck" McKeon

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Dean Witter Ira (42904) Cont																															
JT	MSDW Intl Fund B Mut Fund			X																	X											
	" Mid Cap Eq Trust			X																	X											
	" Am. Opp.			X																	X											
	VanKampen Fpc. Equity			X																	X											
	" Em. Grth B			X																	X											
	Alliance Tech B			X																	X											
	Dole Food Co Common Stock			X										X								X										P
	Intel Corp " "			X										X								X										P
	Covad Comm. Corp. "			X																	X											P
	Nextel Comm, Inc " "			X																	X											P
	Rite Aid	X																			X	Loss										P-S
	Avanex	X															X							X								P-S
	Dean Witter IRA (42905)																															
	Covad Comm. Corp		X																		X											P
	Dole Food Co			X										X								X										P
	Ford Motor Co			X										X									X									P
	Intel			X										X								X										P
	La-Z-Boy			X										X								X										P
	Nat Health Prop.				X									X										X								S
	Nextel			X																												P
	Oracle Corp			X																							X					P-S
	Sprint			X										X								X										P

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Howard P. "Buck" McKeon

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Dean Witter IRA (42905) Cont.																															
	MFS Investors Grth. - Mut Fund			X													X							X								
	Rite Aid	X																			Loss											P-S
	Honeywell	X															X						X									P-S
	Amphenol	X															X						X									P-S
	3 Com (Palm)	X															X								X							P-S
	Costco	X															X						X									P-S
	Schwab 6176-9218																															
	Covad		X																		X											P
	Intel			X																	X											P
	Rite Aid			X																	X											P
	Mutual Service Corp - IRA																															
	Fid. Adv. Tech Class A - Mut Fund				X												X						X									
	Janus Global Life Sciences " "			X																												P
	Oppenheimer Ent Class A " "			X																												
	Pilgrim Int. Sm Cap Grth Cl A " "				X																											
	Putnam Grth Oppor Cl A				X																											
	Seligman Hi Yield Bond Cl A			X																												
	Thornburg Value Cl A				X																											
	Pershing Gov Acct.			X																												

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SCHEDULE IV — TRANSACTIONS

Name Howard P. "Buck" McKeon

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
JT	Liberate Technologies		X		1-26-00	X									
	Rite Aid Corp	X			2-18 00	X									
	Nationwide Health Prop.	X			2-18-00	X									
	Avanex	X			2-3-00	less									
	Avanex		X		2-9-00	X									
	Rite Aid	X			2-1-00	X									
	Dole Food	X			2-1-00	X									
	Nat. Health Prop.	X			3-7-00	X									
	Rite Aid	X			3-7-00	X									
	Nextel	X			4-13 00	X									
	Liberate Tech.		X		4-4-00	X									
	Nat. Health Prop.		X		9-21-00	X									
	Nextel	X			9-21-00	X									
	Rite Aid		X		9-21-00	X									
	Nationwide Health Prop.		X		10-12-00	X									
	Covad	X			12-8-00	X									

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SCHEDULE IV — TRANSACTIONS

Name Howard P. "Buck" McKeon

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–00		X								
	Dole Food	X			12-8-00	X									
	Ford Motor Co.	X			12-8-00	X									
	Intel Corp.	X			12-8-00	X									
	Nextel	X			12-8-00	X									
	Oracle	X			12-8-00	X									
	Oracle		X		4-4-00		X								
	Rite Aid	X			4-6-00	X									
	Honeywell Int.	X			4-6-00	X									
	Dole	X			"	X									
	Ford	X			"	X									
	Amphenol	X			"	X									
	La-Z-Boy	X			"	X									
	Nextel	X			"	X									
	Covad	X			4-18-00	X									
	3 Com	X			4-19-00	X									
	Rite Aid	X			5-1-00	X									

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SCHEDULE IV — TRANSACTIONS

Name Howard P "Buck" McKeon

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Exemple* Maga Corporation Common Stock	X			10-12-00		X								
JT	Amphenol		X		5-8-00	X									
	Covad	X			5-17-00	X									
	Oracle	X			5-24-00	X									
	Costco	X			"	X									
	Covad	X			6 20-00	X									
	Rite Aid		X		9-21-00	X									
	3 Com		X		9-22-00	X									
	Palm		X		"	X									
	Costco		X		"	X									
	Intel	X			"	X									
	Covad	X			"	X									
	Honeywell	X			9-27-00	X									
	Nat. Health Prop		X		"	X									
	Sprint Corp	X			"	X									
	Honeywell		X		10 23-00	X									
	Intel	X			9-26-00	X									

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SCHEDULE IV — TRANSACTIONS

Name Howard P. "Buck" McKeon

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		X		10–12–00		X								
	Rite Aid	X			9-28-00	X									
	Covad	X			10-3-00	X									
	"	X			10-29-00	X									
	Janus Global Life Sciences Fund	X			2-9-00	X									

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				x						
	Peter Dorsch, Whittier, Ca	Loan			X							
	Westmoor Mfg. Co., Tarrant Co., Tx.	"				X						
	Forest + Co., Encino, Ca.	"	X									
	Valencia Bank, Valencia, Ca.		X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	The Boeing Co.	Apr. 16-17	Huntington Beach, Seal Beach, Ca.	Y	Y	Y	N
	Information Tech. Industry Council	Apr. 17-18	L.A. - San Francisco - L.A.	Y	Y	N	N
	Nat. Coalition of Higher Ed. Loan Prog.	Jun. 4-5	Long Beach, Ca.	Y	Y	N	N

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer

Date	Parties To	Terms of Agreement

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A — For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 10 PM 12:41
(Office Use Only)

HON. CYNTHIA McKINNEY
(Full Name)

124 CANNON HOUSE OFFICE BLDG., WASHINGTON, DC — 202-225-1605
(Mailing Address) — Daytime Telephone

Filer Status	☑ Member of the U.S House of Representatives — State: GA, District: 4	☐ Officer Or Employee	Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against snyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☐ No ☑	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Cynthia McKinney	5/10/01

3270

SCHEDULE VII - TRAVEL PAYMENTS

Name HON CYNTHIA McKINNEY

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independant of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Western New York Peace Center	Nov. 11-12	Atlanta-Buffalo-Atlanta	Y	Y	N	N
ADC-Michigan	Sep. 29-30	Atlanta-Detroit-Atlanta	Y	Y	N	N

SCHEDULE VIII - POSITIONS

Nam HON. CYNTHIA McKINNEY

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member - Board of Directors	Dikembe Mutombo Foundation, Inc.
Advisory Board Member	Shakur Foundation
Member - Board of Directors	Cool Girls, Inc.
Member - Board of Directors	Africa's Children's Fund
Advisory Board Member	Georgia Council of Adolescent Pregnancy Prevention

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 11 AM 10: 25
(9)
MC
(Office Use Only)

Michael Robert McNulty
(Full Name)

86 West Street
(Mailing Address)

(202) 225-5076
Daytime Telephone

Green Island, New York 12183

Filer Status	[X] Member of the U.S. House of Representatives	State: New York District 21	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	R. McNulty	5-10-01

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontano County Board of Education	Spouse Salary	NA
Green Island Insurance	Renewal Commissions	$1,759
Albany County District Attorney's Office and Albany City Court	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
The Connell Company	Speech	Apr 10, 2000	$2,000

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74-034 2001 - 42

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E P, S, E
SP	Mega Corp. Stock					X								X										X								P
DC	Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X										X						
	Green Island Insurance 5501 Seminary Rd (201-S) Falls Church, VA 22041 purchased 1989 (own) leased 1997			X		X									X											X						

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Hon. Michael Robert McNulty

Page 3 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Fleet Financial Group			X										X								X									

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SCHEDULE IV — TRANSACTIONS

Name Hon. Michael Robert McNulty

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example.* Mega Corporation Common Stock	X			10–12–00		X								
	NONE														

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	Wells Fargo Home Mortgage	mortgage on 5501 Seminary Rd. 201-S, Falls Church, VA			X							
	C.L. King Associates, Inc. Albany, NY	Secured Loan			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NONE		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Washington Office of Latin America	Feb 20-24	Albany-NYC-Miami-Nassau-Havana-Nassau-Ft.Lauderdale-Atlanta-Albany	Y	Y	N	N
The Connell Company	Apr 10	Albany-Newark-Wash., D.C.	N	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	Capital Region Technology Development Council (not compensated)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

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LEGISLATIVE RESOURCE CENTER
2001 MAY 15 AM 11:31
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

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MC

Martin T. Meehan
(Full Name)

126 Belmont Avenue
(Mailing Address)

(202) 225-3411
Daytime Telephone

Lowell, MA 01852

Filer Status	[X] Member of the U.S. House of Representatives — State: MA District: 5	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

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This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Martin T. Meehan	May 14, 2001

3282

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Lawrence General Hospital	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E P, S, E
SP, DC, JT	*Examples:* SP Mega Corp. Stock					X								X										X								P
	Simon & Schuster			Indefinite																Royalties												
	1st Bank of Paducah, KY accounts						X									X										X						
	Congressional FCU, Svg Act.				X											X						X										
	New York Life Ins. Co.			X												X						X										
	Legg Mason IRA			X												X							X									
JT	Andover Bank, Svg. Act			X												X							X									
SP	Legg Mason IRA			X												X					NA											
SP	Fidelity IRA			X												X					NA											

For additional assets and unearned income, use next page.

3284

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 6:14
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U.S. HOUSE OF REPRESENTATIVES

(6)

MC

Carrie P. Meek
(Full Name)

2433 Rayburn HOB Washington D.C. 20515 202-225-
(Mailing Address) Daytime Telephone

(Office Use Only)

Filer Status	☒ Member of the U.S. House of Representatives	State: Florida Distrct: 17	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Carrie P. Meek	5/15/01

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of Florida	Teacher's Pension	$59,234

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A		Block B	Block C							Block D											Block E
		A None	C $1 – $1,000	E $1,001 – $15,000	G $15,001 – $50,000	I $50,001 – $100,000	K $100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	Examples					X								X										X								P
SP	Mega Corp Stock																			Royalties												
	Simon & Schuster		Indefinite																									X				
	1st Bank of Paducah, KY accounts						X									X									X							
	Pointe Park Bank (Formerly Rippel Bank)			X												X							X									
	First Union Nat'l Bank accounts			X												X									X							
	Wright Patman Federal Credit Union						X									X										X						
	Travelers Tax Shelter Annuity						X									X					X											
	MetLife Asset Annuity			X												X						X										
	Renex Corp					X								X			X					X										S

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Carrie P. Meek

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Purgear, Inc					X								X			X				X											
	Mission Fla Rtn. I.																															
	Bank of America (Formerly National Bank)					X								X		X										X						

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SCHEDULE IV — TRANSACTIONS

Name Carrie P. Meek | Page 5 of 8

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
SP, DC, JT	Asset		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example*	Mega Corporation Common Stock	X			10–12–00		X								
	Raven Corp			X		2-4-00			X							

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Name Carrie P. Meek | Page 6 of 8

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example.	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	PNC Mortgage	Mortgage 1830 Lafayette Tallahassee, Fla		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

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Name Carrie P. Meek | Page 1 of 8

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
New York Stock Exchange, Bell Atlantic, Humpty Dumpty Institute	June 15 - June 17	Wash D.C. — New York City — Wash. D.C.	Y	Y	Y	N
Amistad America, Inc	March 23 — March 26	Washington, D.C. — Hartford, CT. — Wash DC	Y	Y	Y	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Community Action Agency Dade Co. Fla
Board Member	Greater Miami Service Corps Dade Co. Fla

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 2001 70-729 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

RECEIVED
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01 MAY 15 PM 3:08

(10)

HAND DELIVERED
(Office Use Only)

Gregory W. Meeks
(Full Name)

660 Grassmere Terrace
Far Rockaway, NY 11691
(Mailing Address)

202-225-3461
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State New York District 6	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Gregory W. Meeks	May 15, 2001

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SCHEDULE I - EARNED INCOME

Na Gregory W. Meeks

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
NONE		

SCHEDULE II - PAYMENTS IN LIEU OF HO

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
NONE			

SCHEDULE III - ASSETS AND "U

Na Gregory W. Mceks

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Merrill Lynch Global	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	
Merrill Lynch Latin American Fund	$1 - $1,000	CAPITAL GAINS	$5,001 - $15,000	
Nicolas Applegate Core Growth	$15,001 - $50,000	INTEREST	$2,501 - $5,000	

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SCHEDULE IV - TRANSACTIONS

Na Gregory W Meeks

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Merrill Lynch Global			
	Merrill Lynch Latin American Fund			
	Nicolas Applegate Core Growth			

SCHEDULE V - LIABILITIES

Na Gregory W. Meeks

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	N/A		

SCHEDULE VI - GIFTS

Na Gregory W. Meeks

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
NONE		

SCHEDULE VII - TRAVEL PAYMENTS

Na Gregory W Meeks

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Glaxco Wellcome	Feb. 18-21	NYC-Albany, NY	Y	N	Y	No
American Assoc. Of Airport Executives	Feb. 21-27	NY-LA-Hawaii-LA-NY	Y	Y	Y	No
Christopher Reynolds Foundation & the Gen. Svs. Foundation	May 30-June4	NY-Miami-Cancun-Miami-NY	Y	Y	Y	No
Hopkins, Lawrence & Bailey, P.C.	July 29-Aug. 5	NY-DKR-ACC-ABJ-NY	Y	Y	Y	No
The NY Carib News	Nov. 9-12	NY-Montego Bay-NY	Y	N	Y	No
Faith & Politics Institute	March 3-5	DC-Birmingham-DC	Y	Y	Y	Yes
NY Stock Exchange, Bell Atlantic, Humpty Dumpty	June 14-17	New York City	Y	Y	Y	No

SCHEDULE VIII - POSITIONS

Na Gregory W. Meeks

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
NONE	

SCHEDULE IX - AGREEMENTS

Na Gregory W. Meeks

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2001 JUN 14 PM 4:11
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

Robert Menendez
(Full Name)

517 15th Street, Union City, NJ 07087 — (Mailing Address)
202-225-7919/201-222-2828 — Daytime Telephone

Filer Status	[X] Member of the U.S House of Representatives	State New Jersey District 13	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Robert Menendez	June 14, 2001

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Name	Robert Menendez	Page 1 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Union City Board of Education, 3912 Bergen Turnpike, Union City, NJ	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name	Page
Robert Menendez	2 of 9

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honoranum. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1999	$2,000
XYZ Magazine	Article	Aug. 13, 1999	$500
Connell Company	Speech	April 27, 2000	$2,000
American Federation of Teachers	Speech	July 1, 2000	$2,000

This page may be copied if more space is required.

Name Robert Menendez

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more then $200 in "unearned" income during the year. For rental property or lend, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the eopropriete box below. Dividends, even if reinvested, should be listed es income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	*Examples*	SP Mega Corp Stock					X								X										X								P
		Indefinite Simon & Schuster																			Royalties									X			
		1st Bank of Paducah, KY accounts						X									X										X						
JT	1806 West St. Union City							X								X												X					
JT	535 41st St. Union City								X							X												X					
JT	Hamilton Savings Bank-Savings				X												X						X										
JT	Hamilton Savings Bank-Checking				X												X						X										
JT	Congressional Credit Union				X												X						X										
SP	Fidelity Growth IRA (403)							X									X					X											

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Robert Menendez

BLOCK A Asset and/or Income Source		BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
SP, DC, JT		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P, S, E
SP	Liberty Fed Credit Union	X	ACCOUNT CLOSED IN 2000																											
JT	Advanced Micro Dev			X																	X									
DC	America On Line			X																	X									
JT	AT&T			X										X								X								
JT	Bank One Corp			X										X								X								
DC	Compaq Comp Corp			X																	X									
DC	Global Crossing			X																	X									
DC	Hudson United Bank			X										X								X								
JT	Info Architects			X																	X									
JT	Intel			X										X								X								
JT	Kimberly Clark (exchanged Safeskin)			X										X								X								
JT	Maytag			X										X								X								
DC	Merck & Co.				X									X								X								
JT	Morgan Stanley & Co.				X									X									X							
JT	Motorola			X										X								X								
JT	National Semicon			X																	X									
DC	Network Appliance Inc.			X																	X									
DC	Pepsico, Inc.			X										X								X								
DC	Pfizer (formerly Warner-Lambert)			X										X								X								
DC	Prudential Jennison Fund			X										X								X								
DC	Prudential Pacific Fund			X										X								X								
JT	Sentex Tech		X																		X									

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Robert Menendez

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	Spanish Broadcasting System			X																X											
DC	Tricon Global			X																X											
DC	Walt Disney Internet			X																X											
JT	Walt Disney Internet		X																	X											
JT	Xetel Corp			X																X											
DC	Zenith Corp	X																		X											
JT	Money Marker Assets			X										X																	
DC	Nicholas Applegate Growth Fund			X																X											
SP	Oppenheimer IRA - 403				X															X											
SP	Oppenheimer IRA - 403				X															X											
DC	PSE&G			X										X								X									
	State of New Jersey Pension	--- INDEFINITE																													

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SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
JT	Vitesse Semiconductor Corp		X		6-30-00	X									
JT	Hudson United Bank Corp		X		12-06-00	X									
JT	Spanish Broadcasting System	X			12-06-00	X									
JT	Kimberly Clark (exchanged Safeskin)			X	02-09-00	X									
DC	Network Appliance Inc.	X			04-17-00	X									
DC	Merck & Co (partial sale)		X		12-06-00	X									
DC	Brunswick Corp		X		12-06-00	X									
DC	Nicholas Applegate Growth Fund	X			12-06-00	X									

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Name Robert Menendez

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Hamilton Savings Bank	Mortgage-41st St. Union City				X						
	New Jersey State Pension	Loan	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 26, clause 5) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
N/A		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act, travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Aspen Institute	Jan 13-16	Newark-Ft. Meyers-Newark	Y	Y	Y	N
Congressional Hispanic Caucus Institute	Jan 19-23	Newark-San Juan-Newark	Y	Y	N	N
US Telecom Association	Feb 20-22	Newark-Palm Springs-Newark	Y	Y	Y	N
Nat'l Assoc of Latino Elected Officials	June 23-25	Wash,DC-Denver-Newark	Y	N	N	N

This page may be copied if more space is required.

Name	Robert Menendez	Page 9 of 9

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
N/A	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer

Date	Parties To	Terms of Agreement
5-10-93	Henry Furst, Esq.	Buyout of law practice & deferred compensation for legal services prior to entering Congress. No payments received since March, 1999.

GPO 2000 62-753 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2001 MAY 15 PM 12:18

(Office Use Only)

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MC

3313

John L Mica
(Full Name)

2195 Via Tuscany Winter Park, FL 32789
(Mailing Address)

202-225-4035
Daytime Telephone

Filer Status ✓ Member of the U S House of Representatives — State FL, District 07 — Officer Or Employee — Employing Office

Report Type ✓ Annual (May 15) — Amendment — Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes	No ✓
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearence, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ✓	No
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ✓	No
IV	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes	No ✓
V	Did you, your spouse, or a dependant child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ✓	No
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes	No ✓
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes	No ✓
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ✓	No
IX	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX	Yes	No ✓

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes	No ✓
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U S C app. 4, § 104 and U S.C § 100").

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief		5/15/01

SCHEDULE II - PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

Name John L. Mica

List the source, activity (i.e., speech appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Florida Technical College Orlando, FL	Speech	Mar. 11 2000	$500
Politically Incorrect/ABC TV Los Angeles, CA	Appearance	Nov. 17, 2000	$500

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John L. Mica

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year Exclude Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
15 3rd Street, NE Wash. DC, Units 2,4,5 & two garage units	$250,001 - $500,000	Rent	$5,001 - $15,000	
305 East Capitol Street SE, Washington DC, 50% interest, rental unit	$100,001 - $250,000	Rent	$5,001 - $15,000	
Park Lake Woods, Condo Rental, units 8B, 9B, Maitland, FL	$100,001 - $250,000	Rent	$5,001 - $15,000	
2195 Via Tuscany, Winter Park, FL	$500,001 - $1,000,000	None, personal residence	NONE	
Lot 21, Chetola Estates, Blowing Rock, NC	$250,001 - $500,000	None, personal residence	NONE	
1305 S Atlantic Ave, Unit 130, Cocoa Beach, FL	$100,001 - $250,000	Rent	$2,501 - $5,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John L. Mica

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
U.S. Senate Federal Credit Union, Wash., DC (IRA and Savings)	$15,001 - $50,000	Interest	$1 - $200	
Wright Patman Congressional Federal Credit Union	$1 - $1,000	Interest	$1 - $200	
Metropolitan life (IRA)	$100,001 - $250,000	Interest	NONE	
Townhouse lots 9,10,11,12, Temple Trail Village Subdivision, Winter Park, FL	$100,001 - $250,000	None	NONE	
Mortgage note, Temple Trail Village Partnership, Robert A. Koch, Partner	$121,000	Interest, $21000, Principal $100000	$121,000	Mortgage Satisfied

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John L. Mica

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S Government retirement programs If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Bank of Winter Park/Wachovia Bank, Winter Park, FL	$500,001 - $1,000,000	Interest	$55,000	

3317

SCHEDULE V - LIABILITIES

Name John L. Mica

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	American Savings Bank	Mortgage on Units 8 & 9, Park Lake Woods Condominium	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name John L. Mica

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Executor	Estate of Anna Mica, Binghamton, N Y.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

RECEIVED
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Congresswoman Juanita Millender McDonald
(Full Name)

125 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

Daytime Telephone (202)225-7924

Filer Status	[X] Member of the U.S. House of Representatives	State: California District 37	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Juanita Millender-McDonald	6-7-01

3320

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples.	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salery	NA
	Los Angeles County (Retired 3-28-97)	Spouse Salary	N/A
	Los Angeles Office of Education (Retired 6-30-97)	Spouse Salary	N/A
	Alpha 2 Omega Computer Systems (Dissolved in 2000)	Spouse Business Income	N/A

For payments to charity in lieu of honoraria, use Schedule II.

3321

Name Juanita McDonald

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp Stock					X								X										X								P
Examples	Simon & Schuster		Indefinite																	Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X										X						
JT	Chatfield Dean & Company			X												X					X											
JT	Mark Johnson Racing Stable					X															X											
JT	The Fox Group/Century Prop. Accts.			X																	X											
	Los Angeles Teachers Credit Union				X											X									X							
	Holden Group-Deferred Comp.						X														N/A											
SP	County Employees Credit Union Accounts						X									X										X						

For additional assets and unearned income, use next page.

3322

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Juanita McDonald

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	United Mutual Funds			XX										XX			XX					XX										
SP	2nd Baptist Credit Union			XX												XX						XX										
JT	US Savings Bonds				X																X											
	Thrift Savings Plan 401K					XX														N/A												
JT	~~950-836 N. 135th Street Gardena, CA / rental~~							XX						XX												X						
JT	~~1216 N. 14th Street Los Angeles, CA~~						X														X											
JT	~~301 N. Grandee, Compton, CA / rental~~						X							XX												X						
JT	Union Bank Accounts				X											X								X								
SP	Horizons-Def. Comp. Acct.						X									X										X						
JT	Unimproved land near Lancaster, CA						X														X											
	~~2228 N.E. C Street NE Washington, DC Condiminium~~						X														X											
JT	Bank of America Accounts			X																	X											
JT	Alpha Z Omega Computer Systems **							XX													X											

This page may be copied if more space is required.

** Spouse's business dissolved in 2000; no sale proceeds.

3323

Name Juanita McDonald

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	Mellon Mortgage Co., Pasadena, CA	Mortgage on 225B "C" Street				XX						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NONE		

Use additional sheets if more space is required

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member, Board of Directors	Harbor College Foundation (noncompensated position)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

Use additional sheets if more space is required

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A

For use by Members, officers, and employees

(24)

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2001 MAY 11 PM 5:00

MC (Office Use Only)

Dan Miller
(Full Name)

102 Cannon HOB Washington, DC 20515
(Mailing Address)

202-225-5015
Daytime Telephone:

Filer Status ☑ Member of the U.S. House of Representatives — State: FL District: 13 ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐

If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑

If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐

If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐

If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐

If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? Yes ☐ No ☑

If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? Yes ☐ No ☑

If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐

If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑

If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification

I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.

Signature of Reporting Individual: Dan Miller

Date (Month, Day, Year): May 10, 2001

3326

SCHEDULE I - EARNED INCOME

Name Dan Miller

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Kathryn Polk Darsey Trust	Spouse Trustee Fee	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Dan Miller

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Coastal Corp	$50,001 - $100,000	Dividends	$1 - $200	P
Dayton Hudson Corp(became Target)	None	Dividends	$1,001 - $2,500	S
EMC Corp-Mass	$50,001 - $100,000	CAPITAL GAINS	$2,501 - $5,000	PS
The Gap Inc	None	CAPITAL GAINS	$1,001 - $2,500	S
Schlumberger Ltd	$15,001 - $50,000	Dividends	$201 - $1,000	P
Mary A. Miller Family Limited Partnership(state close 2000)	$1 - $1,000	Dividends/INTEREST	$100,001 - $1,000,000	

3328

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Dan Miller

3329

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT) in the optional column on the far left.	at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Oracle Corp	$100,001 - $250,000	CAPITAL GAINS	$15,001 - $50,000	PS
Fannie Mae	$15,001 - $50,000	Dividends	$201 - $1,000	
Lilly Eli & Co	None	CAPITAL GAINS	$5,001 - $15,000	PS
Manatech Plastics, Inc.(company sold)	$1 - $1,000	Dividends/CAPITAL GAINS	$50,001 - $100,000	S
American Century Ultra Fund IRA	None	Dividends/CAPITAL GAINS	$15,001 - $50,000	S
Bank of America	$15,001 - $50,000	Interest	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Dan Miller

BLOCK A

Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B

Year-End Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."

BLOCK C

Type of Income

If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)

BLOCK D

Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E

Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP	210 acres Louisiana	$250,001 - $500,000	Rent	$1,001 - $2,500
	Smith-Barney Money Market	$15,001 - $50,000	Interest	$1,001 - $2,500
	Segrest-Miller Corp.	$1,000,001 - $5,000,000	Dividends	$100,001 - $1,000,000
	Miller Brothers of Manatee(Courtyard was sold in 1999)	$1 - $1,000	Capital Gains and Dividends	$5,001 - $15,000
	Federal National Mortgage	$15,001 - $50,000	Interest	$2,501 - $5,000
	Miller Enterprises of Manatee(land sold at Gulf Coast Corporate Park)	$5,000,001 - $25,000,000	Dividends	$100,001 - $1,000,000

3330

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Dan Miller

BLOCK A

Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see Instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B

Year-End Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."

BLOCK C

Type of Income

If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)

BLOCK D

Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E

Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Suncoast of Manatee, Inc (Installment sale)	$100,001 - $250,000	INTEREST/CAPITAL GAINS	$1,000,001 - $5,000,000
Don Miller Development Corp (land sol in Pt. Pleasant)	$250,001 - $500,000	Dividends/CAPITAL GAINS	$100,001 - $1,000,000
Millers Pier Corp	$500,001 - $1,000,000		NONE
St Louis Revenue Bonds	$15,001 - $50,000	Interest	$1,001 - $2,500
Suncoast Deliveries, Inc	$1 - $1,000		NONE
Congressional Credit Union	$1,001 - $15,000	Interest	$1 - $200

3331

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Dan Miller

BLOCK A

Asset and/or Income Source

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BLOCK B

Year-End Value of Asset

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BLOCK C

Type of Income

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BLOCK D

Amount of Income

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BLOCK E

Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

AT&T notes	$15,001 - $50,000	Interest	$1,001 - $2,500	
IBM notes	$15,001 - $50,000	Interest	$1,001 - $2,500	
AES Corporation	$15,001 - $50,000		NONE	PS
AXA Financial	None	CAPITAL GAINS	$5,001 - $15,000	PS
American Power Conversion Corp	$15,001 - $50,000		NONE	P
Amgen	$15,001 - $50,000		NONE	P

3332

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Dan Miller

BLOCK A

Asset and/or Income Source

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Year-End Value of Asset

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Type of Income

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Amount of Income

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BLOCK E

Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Cintas Corp	None	CAPITAL GAINS	$201 - $1,000	PS
Computer Sciences	$15,001 - $50,000		NONE	P
Lucent Technologies	$1,001 - $15,000	Dividends	$1 - $200	P
Fed Home Loan Bank notes	$15,001 - $50,000	Interest	$2,501 - $5,000	S
AFLAC Inc	$50,001 - $100,000	Dividends	$201 - $1,000	P
Abbott Laboratories	None	Dividends/CAPITAL GAINS	$5,001 - $15,000	S

3333

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Dan Miller

BLOCK A

Asset and/or Income Source

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BLOCK B

Year-End Value of Asset

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Type of Income

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BLOCK D

Amount of Income

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BLOCK E

Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Electronic Data System	$50,001 - $100,000	Dividends	$201 - $1,000	P
General Electric	$50,001 - $100,000	Dividends	$201 - $1,000	P
McDonalds	$1,001 - $15,000	Dividends	$1 - $200	
Smith Kline Beecham	$15,001 - $50,000	Dividends	$201 - $1,000	PS
Stryker Corp	$50,001 - $100,000	Dividends	$1 - $200	P
Walgreen Company	None	CAPITAL GAINS	$5,001 - $15,000	S

3334

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Dan Miller

BLOCK A

Asset and/or Income Source

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BLOCK B

Year-End Value of Asset

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BLOCK E

Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Wachovia Corp notes	$1,001 - $15,000	Interest	$201 - $1,000	
Franklin Small Cap Growth (Formerly Franklin Strategic Mutual Fund)	$15,001 - $50,000	Capital Gains and Dividends	$201 - $1,000	
Putman Cap Mutual Fund	$100,001 - $250,000	Capital Gains and Dividends	$15,001 - $50,000	
Putman New Op Mutual Fund	$50,001 - $100,000	CAPITAL GAINS	$2,501 - $5,000	
American Bank	$1,001 - $15,000		NONE	
Automatic Data Processing	$15,001 - $50,000	Dividends/CAPITAL GAINS	$1,001 - $2,500	S

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Dan Miller

BLOCK A

Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year.

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BLOCK B

Year-End Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."

BLOCK C

Type of Income

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BLOCK D

Amount of Income

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BLOCK E

Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Asset and/or Income Source	Year-End Value of Asset	Type of Income	Amount of Income	Transaction
Banc One Corp	$100,001 - $250,000	Dividends	$5,001 - $15,000	S
First Data	$15,001 - $50,000	Dividends	$1 - $200	
Home Depot	$50,001 - $100,000	Dividends	$201 - $1,000	P
Intel Corp	$15,001 - $50,000	Dividends	$1 - $200	P
Travelers Property Casualty Corp	$1,001 - $15,000	Interest	$201 - $1,000	
Schwab One Money Market	$250,001 - $500,000	Interest	$5,001 - $15,000	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Dan Miller

BLOCK A

Asset and/or Income Source

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BLOCK B

Year-End Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."

BLOCK C

Type of Income

If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)

BLOCK D

Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E

Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Prime Fund Cap Reserves (Brookstreet Money Market)	$50,001 - $100,000	Interest	$1,001 - $2,500	
Mutual Series Mutual Discovery	$15,001 - $50,000	Capital Gains and Dividends	$5,001 - $15,000	
Seligman Henderson Global Tech	$15,001 - $50,000	Capital Gains	$5,001 - $15,000	
American General Cap Preferred Stock	$1,001 - $15,000	Interest	$201 - $1,000	
American Express Co	$15,001 - $50,000	Dividends	$1 - $200	
American Home Products	None	CAPITAL GAINS	$1 - $200	S

3337

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Dan Miller

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Medtronic Inc	$15,001 - $50,000	Dividends	$1 - $200	
Wal Mart Stores Inc.	$50,001 - $100,000	Dividends	$201 - $1,000	P
Cisco Systems Inc	$50,001 - $100,000	CAPITAL GAINS	$15,001 - $50,000	PS
Citigroup, Inc	$50,001 - $100,000	Dividends/CAPITAL GAINS	$201 - $1,000	PS
Consolidated Edison Pfd	$1,001 - $15,000	Interest	$201 - $1,000	
Sears Roebuck Accep Corp Pfd	$1,001 - $15,000	Interest	$201 - $1,000	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Dan Miller

BLOCK A

Asset and/or Income Source

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BLOCK B

Year-End Value of Asset

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Type of Income

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Amount of Income

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BLOCK E

Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Asset and/or Income Source	Year-End Value of Asset	Type of Income	Amount of Income	Transaction
Janus Fund	None	CAPITAL GAINS	$1,001 - $2,500	S
Sears Roebuck Accep Corp	$15,001 - $50,000	Interest	$201 - $1,000	
SunTrust Bank	$1,001 - $15,000	Interest	$1 - $200	
American International Group	$50,001 - $100,000	Dividends	$1 - $200	P
Transocean Sedco Forex, Inc.	None	CAPITAL GAINS	$1 - $200	S
Honeywell International Inc. (acquired Allied Signal)	None	CAPITAL GAINS	$201 - $1,000	PS

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Dan Miller

BLOCK A

Asset and/or Income Source

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BLOCK E

Transaction

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Pitney-Bowes Inc	None	CAPITAL GAINS	$2,501 - $5,000	
Agilent Technologies Inc.	$15,001 - $50,000		NONE	PS
Calpine Corp.	$15,001 - $50,000		NONE	P
Cardinal Health, Inc.	$15,001 - $50,000		NONE	P
McGraw-Hill Inc.	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
Motorola Inc.	$50,001 - $100,000	DIVIDENDS	$1 - $200	P

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Dan Miller

BLOCK A

Asset and/or Income Source

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Transaction

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Nortel Networks Corporation	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
Pfizer Inc	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
Texas Instruments Inc	$1,001 - $15,000		NONE	P
Schwab Advantage Money Fund	$100,001 - $250,000	INTEREST	$2,501 - $5,000	PS
Duke Capital	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	P
U.S. Treasury Note (Should have been included in 1999 report)	$15,001 - $50,000	INTEREST	$1,001 - $2,500	

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SCHEDULE IV - TRANSACTIONS

Name Dan Miller

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	AES Corporation	PS	2/23,5,31 2/29	$15,001 - $50,000
	AFLAC Inc.	P	5/31	$1,001 - $15,000
	AXA Financial, Inc.	PS	2/23,5,31 7/27,9/25	$50,001 - $100,000
	Abboott Laboratories	S	1/4,2/25, 2/28	$15,001 - $50,000
	Agilent Technologies Inc.	PS	5/10,6/5 7/10,8,16 11/30,6/2	$15,001 - $50,000
	American Century Twentieth Ultra	S	2/24	$100,001 - $250,000
	American Home Products	S	7/25	$1,001 - $15,000
	American Intl Grp.	P	6/5	$15,001 - $50,000
	American Power Conversion Corp.	P	2/28,6/6 11,30	$15,001 - $50,000
	Amgen	P	2/28,6/5	$15,001 - $50,000
	Automatic Data Processing	S	9/5	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Dan Miller

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Avaya,Inc(spin off from Lucent)	S	10/2,10/25	$1,001 - $15,000
	Avery Dennison Corp.	PS	2/28,6/5 8/1,9/28	$15,001 - $50,000
	Bank One Corp	S	1/4	$15,001 - $50,000
	Calpine Corp.	P	9/6	$15,001 - $50,000
	Cardinal Health, Inc	P	6/5	$1,001 - $15,000
	Cintas Corp.	PS	1/4,6/5 9/28	$50,001 - $100,000
	Cisco Systems, Inc	PS	2/28,6/5 4/17	$50,001 - $100,000
	Citigroup, Inc	PS	2/28,6/5 8/28,8/31, 4/25	$15,001 - $50,000
	Coastal Corp	P	2/28,6/5	$15,001 - $50,000
	Compaq Computer	S	1/4	$1,001 - $15,000
	Computer Science	P	6/5	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Dan Miller

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Compuware	PS	4/13,6/5 7/17	$15,001 - $50,000
	Disney Holding Co.	S	1/4	$1,001 - $15,000
	Duke Capital	P	3/9	$1,001 - $15,000
	EMC Corp-Mass	PS	6/5,7/26	$15,001 - $50,000
	Electronic Data Systems, Corp.	P	2/28,6/5	$15,001 - $50,000
	GTE Delaware	S	3/6	$1,001 - $15,000
	Gap Inc	S	6/12	$1,001 - $15,000
	General Electric Co.	P	2/28,6/5	$15,001 - $50,000
	Hewlett Packard Company	PS	2/28,6/2 12/27	$15,001 - $50,000
	Home Depot	P	2/28,6/5	$15,001 - $50,000
	Honeywell Internation Inc.	PS	2/28,6/5 6/12,9/5	$15,001 - $50,000
	Intel Corp	P	2/28.6/5	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Janus	S	2/24	$1,001 - $15,000
	Lilly Eli & Co.	PS	2/28,8/17 9/28	$15,001 - $50,000
	Lucent Technologies Inc.	P	1/4,2/28 6/5	$15,001 - $50,000
	Marriott Intl Inc	S	4/13	$1,001 - $15,000
	McGraw-Hill Inc	P	9/6	$15,001 - $50,000
	Merck & co.	PS	6/5,9/5	$15,001 - $50,000
	Motorola Inc.	P	3/24,4/17 8/16,11/30	$50,001 - $100,000
	Nortel Networks Corporation	P	6/12	$15,001 - $50,000
	Oracle Corporation	PS	2/28,6/5 4/17,5/10 5/16	$50,001 - $100,000
	Pfizer Inc.	P	6/5,7/25 8/16	$15,001 - $50,000
	Pitney-Bowes Inc	PS	6/5,9/5 9/28	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Dan Miller

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Procter & Gamble Co.	PS	2/28,6/5 6/19,9/28	$15,001 - $50,000
	Schlumberger Ltd	P	6/5	$1,001 - $15,000
	SmithKline Beecham	PS	6/5,9/5	$15,001 - $50,000
	Stryker Corp.	P	2/28,6/5	$15,001 - $50,000
	Target Corp.	S	7/25,9/28	$1,001 - $15,000
	Tellabs, Inc.	PS	4/13,6/5 9/28	$15,001 - $50,000
	Texas Instruments Inc.	P	8/16	$1,001 - $15,000
	Transocean Sedco Forex, Inc	S	1/6,1/7 2/4	$1,001 - $15,000
	Walgren Company	S	4/13	$1,001 - $15,000
	Wal Mart Stores Inc	P	2/25,2/28 6/5,7/25	$15,001 - $50,000
	WorldCom, Inc.	PS	2/28,6/5 1/6,11/13	$50,001 - $100,000
	Ocean Dreams, Inc	P	7/00	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Dan Miller

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Schwab Value Advantage Money Fund	PS	7/28,9/5	$250,001 - $500,000

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SCHEDULE V - LIABILITIES

Name Dan Miller

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Miller Enterprises	Loan	$100,001 - $250,000

SCHEDULE VIII - POSITIONS

Name Dan Miller

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	Miller Enterprises
Director	Miller Brothers
Director	Don Miller Development
Director	Miller's Pier
Director	Segrist-Miller Corp
Director	Manatech Plastics
General Partner	Mary A. Miller Family Limited Partnership

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
01 MAY 21 PM 4:03

Gary G. Miller
(Full Name)

721 S. Brea Canyon Road, Suite 7
(Mailing Address)

909 444-1761
Daytime Telephone

Diamond Bar, California 91789

MAY 15 2001
(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State California District 41	[ ] Officer or Employee — Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/12/2001

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E
SP. Mega Corp Stock					X								X										X								P
DC. *Examples* Simon & Schustar		Indefinite																	Royalties									X			
JT 1st Bank of Paducah KY accounts						X									X									X							
IRA Mainstay Equity Index Fund				X																"NA"											
Mainstay Fund Total Return	X																			"NA"											S
Hartford Intl Opportunity CL			X																	"NA"											P
Hartford Midcap Fund Class B			X																	"NA"											P
SalomonSmith Barney Money Fund	X												X									X									
Retirement Cash Portfolio																															

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Gary G. Miller

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	IRA's Continued																															
	Cisco Systems INC			X																	X											P
	Sprint Corp Fon Group			X										X								X										P
	SEP IRA																															
	SB Money Fds Retire Port CL A	X												X									X									
	Edward Jones Money Market		X											X								X										
	Bank of America			X										X								X										
	General Electric Co			X										X								X										
	Gillette Co			X										X								X										
	Home Depot Inc			X										X								X										
	Intel Corp				X									X								X										
	Microsoft Corp				X																X											
	Motorola Inc			X										X								X										
	MCI Worldcom Inc	X																			X											S
	Sprint Corp Fon Group			X										X								X										P
	IRA																															
SP	Mainstay Equity Index Fund			X																		"NA"										
SP	Mainstay Fund Total Return	X																				"NA"										S
SP	Hartford Intl Opportunity	Cl B		X																		"NA"										P
SP	Hartford Midcap Fund Class	B		X																		"NA"										P
	SEP IRA																															
SP	SB Money Fds Retire Port	X												X									X									
SP	Edwards Jones Money Market		X											X								X										
SP	Hartford Dividend & Growth	B			X									X								X										P

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Gary G. Miller

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Bank of America				X											X						X									
	Quaker City Federal								X							X												X			
	Pomona First Federal									X						X												X			
	Southcoast Commercial Bank	X														X										X					
	Fidelity Federal		X													X										X					
	Washington Mutual	X														X										X					
	IndyMac Bank						X									X							X								
	Southern Pacific Bank							X								X							X								
	Bankers United Life Assurance Co.	X																													S
	Anchor National Life Insurance Co.				X																										P
	Edward Jones Money Market			X												X							X								
	Target Corp				X									X								X									P
	Investment Co of American CL					X								X									X								P
	Foothill/Eastern Transn Corridor Agy CA MuniBond				X											X							X								P
	California Statewide CMNTYS DEV Auth Wtr & Wastewater Muni Bond				X											X							X								P

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Gary G. Miller

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	APN 239 193 11 Vacant Land	H		I	
	Industrial 5 acres in Rialto,CA				
	Lot 15 Tract #23483 Diamond Bar, CA	H		I	
	Improved residential 1+ Acre				
	Lot 16 Tract #23483 Diamond Bar, CA	H		I	
	Improved residential 1+ Acre				
	APN 8503 003 006 Vacant Land				
	88+ Acre in Monrovia, CA	J		I	
	APN 8503 015 005 Vacant Land				
	2.53 Acre in Monrovia, CA	G		I	
	APN 8501 009 008 Vacant Land				
	75 Acres in Monrovia, CA	J		I	
	APN 8713 013 009 Vacant Land	A	CAPITAL GAINS	IX	S
	Commercial 1± Acre in Diamond Bar, CA				
	Havadjia Holdings,Inc;Riverside,CA	H	INTEREST	VII	Installment
	Note secured by Deed of Trust				Note
	G.Miller Development Co. Common Stock	H		I	

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Gary G. Miller

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	Stock Holdings:																															
	SB Money Fds Cash Port CL A		X											X									X									
	Allamerica Financial Corp			X										X								X										P
	Amgen Inc			X																	X											
	Bank One Corp Com			X										X								X										P
	Emerson Electric Co			X										X								X										P
	Equity Office Properties Tr			X										X									X									P
	Exxon Mobil Corp			X										X								X										
	Genzyme Corp General Div			X																	X											P
	Hewlett Packard Co			X										X								X										
	Intel Corp			X										X								X										P
	Intl Business Machine's Corp			X										X								X										
	International Paper CO			X										X								X										P
	Kellogg CO			X										X									X									P
	Lincoln National Corp			X																	X											P
	Medtronic Inc			X																	X											P
	Microsoft Corp			X																	X											P
	Nokia Corp Sponsored ADR			X																	X											P
	Oracle Corp			X																	X											P
	Parker-Hannifin Corp			X										X								X										P
	Ralston Purina Group			X										X								X										P
	Safeway Inc New			X																	X											

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Gary G. Miller

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
JT	Tyco International LTD			X										X								X										P
	United Parcel Service CL B			X										X								X										P
	Wal-Mart Stores Inc			X										X								X										
	Washington Mutual Inc			X										X									X									
	XO Communications Inc Cl A			X																	X											P
	AT & T CORP			X										X								X										P
	Broadwing Inc.	X															X								X							PS
	Caterpillar Inc	X															X						X									PS
	Network Appliance Inc	X															X						X									PS
	Oracle Corp	X															X						X									PS
	Reliastar Finl Corp	X															X							X								PS
	Agilent Technologies Inc	X															X						X									PS
	Atlantic Richfield Co DE	X															X						X									PS
	Automatic Data Processing	X															X						X									PS
	Cisco Sys Inc	X															X									X						PS
	Hewlett Packard Co.	X															X								X							PS
	Eli Lilly & Co	X															X						X									PS
	Lucent Technologies Inc	X															X							X								PS
	MCI Worldcom Inc	X															X							X								PS
	Pharmacia Corp	X															X						X									PS
	Schlumberger LTD	X															X						X									PS
	Wells Fargo & Co New	X															X						X									PS

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SCHEDULE IV — TRANSACTIONS

Name Gary G. Miller

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–00		X								
	APN 8713 013 009 Vacant Land in Diamond Bar,CA	X			6/19/89										
	Commercial 1± Acre		X		8/02/00						X				
	Bankers United Life Assurance Co. (Annuity)	X			3/23/1990										
			X		6/13/2000		X								
	Anchor National Life Insurance Co.(Annuity)	X			6/16/2000		X								
	Mainstay Fund Total Return (IRA)		X		6/26/2000		X								
	Hartford Intl Opportunity CL (IRA)	X			6/27/2000	X									
	Hartford Midcap Fund Class B (IRA)	X			6/27/2000	X									
	Cisco Systems INC (IRA)	X			2/16/2000		X								
	MCI Worldcom Inc (SEP IRA)		X		4/18/2000	X									
	Sprint CORP (SEP IRA)	X			4/18/2000		X								
		X			2/16/2000		X								
	MCI Worldcom Inc (IRA)		X		4/18/2000		X								
SP	Mainstay Fund Total Return (IRA)		X		6/26/2000	X									
SP	Hartford Intl Opportunity Cl B (IRA)	X			6/27/2000	X									
SP	Hartford Midcap Fund Class B (IRA)	X			6/27/2000	X									
SP	Hartford Dividend & Growth B (SEP IRA)	X			6/26/2000		X								
JT	Allamerica Financial Corp	X			05/15/00	X									

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SCHEDULE IV — TRANSACTIONS

Name Gary G. Miller

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SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
JT	Atlantic Richfield Co DE	X			12/18/98										
			X		2/03/00	X									
	Cisco Sys Inc	X			09/30/98										
			X		09/19/00	X									
	Cisco Sys Inc	X			09/30/98										
			X		10/26/00	X									
	Hewlett Packard Co	X			09/29/00										
			X		10/04/00	X									
	MCI Worldcom INC	X			09/29/98										
			X		02/22/00	X									
	MCI Worldcom INC	X			08/20/99										
			X		02/22/00	X									
	Scottish Power PLC	X			12/10/98										
			X		04/20/00	X									
	AT & T Corp	X			09/19/00	X									
		X			10/19/00	X									
	AT & T Corp		X		12/29/00	X									
	Abercrombie & Fitch Co Class A	X			06/08/99	X									
			X		02/01/00	X									
	Bristol Meyers Squibb Co	X			03/29/99	X									
		X			01/25/99	X									
	Bristol Meyers Squibb Co		X		03/06/00	X									
	Broadwing INC	X			07/29/99	X									
			X		02/03/00	X									
	Broadwing INC	X			07/29/99	X									
		X			02/15/00	X									
	Broadwing INC		X		04/17/00	X									
	Caterpillar Inc	X			01/31/00	X									
	Caterpillar Inc	X			03/08/00	X									
			X		05/11/00	X									

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SCHEDULE IV — TRANSACTIONS

Name Gary G. Miller

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
JT	Deere & Co	X			03/08/00	X									
			X		08/17/00	X									
	Lucent Technologies Inc	X			02/05/99	X									
			X		06/02/00	X									
	Microsoft Corp	X			03/29/99	X									
		X			05/17/99	X									
	Microsoft Corp		X		02/28/00	X									
	Network Appliance Inc	X			02/28/00	X									
			X		08/30/00	X									
	Oracle Corp	X			01/31/00	X									
			X		10/30/00	X									
	Raytheon Co Class A	X			09/27/99	X									
			X		01/18/00	X									
	Reliastar Finl Corp	X			02/09/00	X									
			X		05/15/00	X									
	Sprint	X			02/22/00	X									
			X		06/28/00	X									
	Target	X			03/08/00	X									
			X		10/26/00	X									
	Worldcom Inc GA-New	X			06/28/00	X									
		X			07/27/00	X									
		X			08/25/00	X									
			X		12/04/00	X									
	Agilent Technologies Inc	X			09/29/98	X									
			X		07/21/00	X									
	America Online Inc Del	X			05/04/99	X									
			X		06/19/00	X									
	Automatic Data Processing Inc.	X			01/26/99	X									
			X		02/24/00	X									
	Bell Atlantic Corp	X			01/28/99	X									
			X		02/14/00	X									

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SCHEDULE IV — TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
JT	Chase Manhattan Corp New	x			03/29/99	x									
			x		08/09/00	x									
	Deere & Co	x			05/17/99	x									
		x			05/27/99										
			x		08/17/00	x									
	Eli Lilly & Co	x			09/29/98										
			x		07/31/00	x									
	Pharmacia Corp	x			03/26/99	x									
			x		07/11/00	x									
	Reliastar Finl Corp	x			05/04/99	x									
			x		05/15/00	x									
	Schlumberger Ltd	x			05/04/99	x									
		x			06/07/99	x									
			x		11/29/00	x									
	USX U S Steel Group	x			02/25/99	x									
			x		09/19/00	x									
	Wells Fargo & Co New	x			02/08/99	x									
			x		03/28/00	x									
	Investment Co of America Cl B	x			07/17/00			x							
	Foothill/Eastern Transportation Corridor Agy C	x			05/10/00		x								
	Calfiornia Statewide CMNTYS Dev Auth Wtr & Wastewater	x			05/10/00		x								
	Target	x			07/12/00		x								
	Bank One Corp Com	x			03/28/00	x									
	Genezyme Corp General Division	x			11/17/00	x									

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SCHEDULE IV — TRANSACTIONS

Name Gary G. Miller

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
JT	Kellogg Co	x			02/14/00	x									
	Lincoln National Corp	x			12/04/00	x									
	Medronic Inc	x			11/29/00	x									
	Nokia Corp Sponsored ADR	x x			06/19/00 06/23/00	x x									
		x x			06/28/00 08/25/00	x x									
	Parker-Hannifin Corp	x			10/26/00	x									
	Ralston Purina Group	x			09/19/00	x									
	Tyco International LTD	x			08/17/00	x									
	XO Communications Inc CL A	x x			05/23/00 06/16/00	x x									
	Emerson Electric Co	x x			12/04/00 10/26/00	x x									
	Equity Office Properties Tr	x			08/09/00	x									
	Intel Corp	x			10/13/00	x									
	International Paper Co	x			09/19/00	x									
	Microsoft Corp	x x			04/17/00 05/11/00	x x									

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	G. Miller Development Co. (Corp.)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

RECEIVED
CENTER
01 MAY 18 PM 2:35

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

George Miller
(Full Name)

2205 Rayburn House Office Building
(Mailing Address)

202-225-2095
Daytime Telephone

Washington, DC 20515

HAND DELIVERED
(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State: California District: 7	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	George Miller	5.18.01

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or lend, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP	Mega Corp Stock					X								X										X								P
DC	Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X										X						
	127 D St., SE, Wash., DC							X							X												X					NA
JT	2002 Greene St., Apt. 412, Columbia, SC (half interest)					X									X						X											NA
	IRA Account - Charles Schwab Co.			X										X			X							X								NA
SP	IRA Account - Charles Schwab Co.			X										X			X							X								NA
	Cong. Fed. Credit Union Savings Acct., Wash., DC from refinance of 127 D St			X												X						X										NA
	CD interest plus Savings Account - transfer from Cong. Fed. Credit Union			X												X								X								NA

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: George Miller

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	T. Rowe Price Media & Telefund - 232 shares	C	CAPITAL GAINS	II	S 100 shares
	Stock Fund, S.I.P.E. Trust Walnut Creek, CA (IRA)	D	DIVIDENDS	IV	
SP	Citicorp	C		I	
SP	EMC Corp	C		I	
	Investec Wired Index Fund	C		I	P
SP	Tipco Software - 125 shares	C		I	P
	Oracle - 75 shares	C		I	P

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SCHEDULE IV — TRANSACTIONS

Name: George Miller

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SP	*Example.* Mega Corporation Common Stock	X			10–12–00		X								
	T.Rowe Price Media & Telefund 100 shares		X		10/11/00	X									
	Investec Wired Index Fund	X			11/14/00	X									
SP	Tipco Software 50 shares	X			12/6/00	X									
	Oracle 75 shares	X			11/14/00	X									
SP	Tipco Software 75 shares	X			12/19/00	X									

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Name	George Miller	Page 5 of 6

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				x						
	Security Mortgage Co., Columbia, SC	mortgage on 2002 Greene St., Apt. 412, Columbia, SC			X							
	General Electric Capital Mortgage St. Louis, Missouri (refinance)	mortgage on 127 D St., SE Wash., DC				X						
JT	Cong. Fed. Credit Union - Home Equity	home equity on 127 D St., SE Wash., DC		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

3367

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
The Aspen Institute	Jan. 13-16	Ft. Myers, FL-SF	Y	Y	N	N
Outdoor Recreation Council of America & Sporting Goods Manufacturers Assoc.	Jan. 26-29	SF-Salt Lake City-SF	Y	Y	Y	N
The Aspen Institute	Feb. 18-22	DC-San Juan-SF	Y	Y	Y	N
Family Farm Alliance	Feb. 27-29	SF-Las Vegas-DC	Y	N	N	N
University of Phoenix	Apr. 2-3	SF-Phoenix-Denver	Y	Y	N	N
International Fund for Animal Welfare	Apr. 15-16	Nairobi-Meru-Nairobi (within Kenya)	Y	Y	N	N
Worker Rights Consortium	Apr. 7	DC-NY-DC	N	N	N	N
The Aspen Institute	May 27 - June 2	SF-Seattle Vancouver-SF	Y	Y	Y	3 days
U.S. Conference of Mayors	June 10-11	SF-Seattle-SF	Y	Y	N	N
Sallie Mae, Inc.	June 16	DC-Wilkes-Barre, PA-DC	N	Y	N	N
The Aspen Institute	Aug. 16-25	SF-Paris-Prague-SF	Y	Y	Y	4 days

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

PATSY Takemoto MINK
(Full Name)

2210 Rayburn House Office Bldg 202-225-4906
(Mailing Address) Daytime Telephone

Washington DC 20515

Filer Status	[X] Member of the U.S. House of Representatives	State HAWAII Distrct 2nd	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Patsy Takemoto Mink	May 15, 2001

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keana State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Patsy T. Mink, State of Hawaii Retirement System		10,000.00
	John F. Mink - Spouse	Professional	NA
	Vice President - Subchapter S Corporation		
	Mink & Yuen Inc.		

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
TRAFFIC a movie production	A Cameo non-appearance	Dec 2000	$1000.00

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Name Patsy Takemoto Mink | Page 4 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E P, S, E
SP Mega Corp Stock					X								X									X									P
DC, Examples Simon & Schuster		Indefinite																	Royalties								X				
JT 1st Bank of Paducah, KY accounts						X									X									X							
JT Congressional Credit Union 96201			X												X						X										
JT Central Pacific Bank																															
01-489569			X											checking						X											
01-489577				X										checking						X											
JT Alexander + Baldwin Stocks				X									X									X									

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Patsy Takemoto Mink | Page 5 of 9

BLOCK A Asset and/or Income Source (SP, DC, JT)	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction (P, S, E)
JT Dean Witter, Morgan Stanley Acct # 129105983				X																											
Evergreen Muni															X								X								
Putnam Tax Exempt														none																	
MSDW Tax Exempt														none																	
Sp Dean Witter, Morgan Stanley IRA 129 175444					X														retirement												
Sp Dean Witter, Morgan Stanley Acct # 129 186553						X													retirement						X						
Sp Merrill Lynch IRA 145-087A33							X												retirement							X					
Sp Merrill Lynch IRRA 145-07934				X															retirement				X								
Central Pacific Bank Acct 195436-7			X																retirement			X									
Morgan Stanley Dean Witter IRA 1291706	26		X																retirement			X									
Sp Equitable Life			X										X		X							X									
Prudential Life			X												none																
Patsy T. Mink Campaign Committee loans						X									none																

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SCHEDULE IV — TRANSACTIONS

Name Patsy Takemoto Mink | Page 6 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset															
SP	*Example*	Maga Corporation Common Stock	X			10–12–00		X								
	None															

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Name Patsy Takemoto Mink | Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington Delaware	Mortgage on 123 Main St., Dover, Del				X						
	None											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
None		

Use additional sheets if more space is required

3375

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Tufts University	Feb 12-13 2000	Boston - DC rd trip	Y	Y	Y-Spouse	N
National Japanese Historical Society	Feb 26-27 2000	Honolulu to SF to DC	Y	Y	N	N
Midwest Conference ABA Fairmont Hotel, Chicago	Sept 30, 2000 to Oct 1, 2000	Chicago, DC Rd trip	Y	Y	Y- Daughter	N
American Bar Association Dallas, Texas	Feb 11 2000	Honolulu - Dallas	Y	Y	Y- Spouse	N

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Vice President	honorary/uncompensated Americans for Democratic Action

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
Jan 1987	State of Hawaii Retirement System	- retirement and health benefits

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

Form A
For use by Members, officers, and employees

HAND DELIVERED

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U.S. HOUSE OF REPRESENTATIVES

MC

John Joseph Moakley
(Full Name)

235 Cannon HOB
(Mailing Address)

Washington D.C. DC 20515

Daytime Telephone
202-225-8273

Filer Status: [X] Member of the U.S. House of Representatives — State MA District 09 — [ ] Officer or Employee — Employing Office

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.** — Yes [X] No [ ]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [ ] No [X]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [X] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4 104 and 18 U.S.C 1001).

SIGNATURE OF REPORTING INDIVIDUAL — J. Moakley

DATE (Month/Day/Year) 5/15/01

3378

SCHEDULE I EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Commonwealth of Massachusetts	Legislative Pension	$7,000.00
	United States Veterans Administration	Disability	$1,150.00
	Mr Frank Welch	Rent for Storage Space	$600.00
	Dr Herbert M & Paula Berg	Rent for Storage Space	$300.00

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source**	BLOCK B **Value of Asset**	BLOCK C **Type of Income**	BLOCK D **Amount of Income**	BLOCK E **Transaction**
Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed* provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude**: Your personal residence(s) (unless there rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20	at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	If other than one of the listed categories, specify the type of income by writing a brief description in this block.	For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.	Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP DC, *Examples* SP JT	Mega Corp. Stock 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
	Legg Mason Putman Growth & Income Mutual Fund	$50,001 - $100,000	Capital Gains and Dividends	$2,501 - $5,000	NA
	Mt. Washington Co-operative Bank of South Boston	$50,001 - $100,000	Interest	$1,001 - $2,500	NA
	Wright-Patman Congressional Credit Union	$1,001 - $15,000	Interest	$1 - $200	NA
	Loomis Sayles Funds	$1,001 - $15,000	Capital Gains	None	P

Name John Joseph Moakley | Page 1 of 1

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
Example	Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
	Loomis Sayles Funds	Purchase	03/14/00	$1,001 - $15,000

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342), travel paid for by a Federal political committee, travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples Chicago Chamber of Commerce	Mar 2	Wash., D.C.-Chicago-Wash., D.C.	N	N	N	N
Mega Corporation	Aug 6-13	Wash., D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
Invest to Compete Alliance	July 5-7	Boston-Chatham-Boston	Y	Y	N	None
Washington Office of Latin America	April 15-19	Washington-Miami-Havana-Miami-Washington	Y	Y	N	None

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature, and positions listed on Schedule I.

Position	Organization
Board of Trustees	Suffolk University, Boston Massachusetts
Board of Directors	Biotechnology Center of Excellence Corporation

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

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2001 MAY 15 PM 5:23
(Office Use Only)

MC

Alan B. Mollohan
(Full Name)

2346 Rayburn Building
(Mailing Address)

Washington, DC 20515

Daytime Telephone 202/225-4172

Filer Status	[X] Member of the U S House of Representatives	State WV District: 1st	[ ] Officer or Employee — Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Alan B. Mollohan	5/15/01

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Remington, Inc.	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Alan B. Mollohan

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B												Block C						Block D											Block E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Examples	SP	Mega Corp Stock				X								X										X							P
DC			Simon & Schuster	Indefinite																	Royalties									X		
JT			1st Bank of Paducah, KY accounts					X									X									X						
JT	Rental property - 60 G St. SW, WDC 20024							X								X										X						
JT	4 promissory notes for property purchase: Remington, Inc.					X											X								X							
	25% ownership - Remington, Inc.			X																Sub S Corp							X					
SP	25% ownership - Remington, Inc.			X																income "							X					
SP	City of Fairmont, WV Sewer Revenue Bonds					X											X								X							

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Alan B. Mollohan

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP	Kanawha County, WV Bldg. Revenue Bonds	D	INTEREST	I	
SP	Huntington Natl Bank Money Market Account	D	INTEREST	IV	
SP	1/5 int coal, oil, gas 6.5 ac Linn Lick, Center Dist. Gilmer	B	QUALIFIED BLIND TRUST: Royalty	II	
SP	1/5 int coal, oil, gas 27 ac Linn Lick, Center Dist. Gilmer	B	QUALIFIED BLIND TRUST: Royalty	II	
SP	1.33% int. oil & gas 35 ac Bull Fork, DeKalb Dist. Gilmer	C	QUALIFIED BLIND TRUST: Royalty	II	
SP	5/32 int oil & gas 242 ac, NS Kanawha, Glenville Dist, Gilme	D	QUALIFIED BLIND TRUST: Royalty	IV	
SP	PaineWebber Putnam Global Growth IRA	C	Other Type of Income: NA		

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	GE Capital Mortgage Services	Mortgage - 60 G St. SW Washington, DC 20024				X						
JT	Huntington National Bank	Revolving credit line		X								
JT	Huntington National Bank	Loan against WV bonds	X									
JT	First USA Visa	Revolving charge acct		X								
JT	MBNA America Visa	Revolving charge acct		X								
JT	Citibank/Quicken Visa	Revolving charge acct		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Bombardier Aerospace	05/01/00	Wash., DC - Montreal, Canada - Wash., DC	N	Y	N	N

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

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OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Dennis W. Moore
(Full Name)

8319 Mullen Rd., Lenexa, KS 66215
(Mailing Address)

913-383-2013
Daytime Telephone

MC MAY 8 2001 (6)
(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State: KS Distrct: 3rd	[ ] Officer or Employee — Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Dennis Moore	5-07-01

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Midwest Perinatal Associates	Spouse Salary	N/A
	Tom Erler, Attorney (Not subject to outside earned income limit)	partnership	14,016.00

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug 13, 2000	$500
None			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Dennis W Moore

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A	Block B	Block C	Block D	Block E
	A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP, DC, JT — *Examples.* SP: Mega Corp Stock	E: X	DIVIDENDS: X	IV: X	P
DC: Simon & Schuster	Indefinite	Royalties	IX: X	
JT: 1st Bank of Paducah KY accounts	F: X	INTEREST: X; CAPITAL GAINS: X	VI: X	
All America (IRA)	E: X	DIVIDENDS: X; INTEREST: X; CAPITAL GAINS: X	NA	
American Century (IRA)	D: X	CAPITAL GAINS: X	NA	
Fidelity Cash Reserves (IRA)	D: X	DIVIDENDS: X	NA	
ICMA Retirement (IRA)	E: X	DIVIDENDS: X; INTEREST: X	NA	
Invesco Funds (IRA)	D: X	DIVIDENDS: X; CAPITAL GAINS: X	NA	
Vanguard Wellington (IRA)	C: X	DIVIDENDS: X; CAPITAL GAINS: X	NA	

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Dennis W Moore

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Vanguard Energy (IRA)				X									X			X					NA									
	Vanguard 500 Index (IRA)					X								X			X					NA									
	Vanguard US Growth (IRA)				X									X			X					NA									
	Sun Company Stock			X										X									X								
	Western Resources			X										X									X								
	USAA			X												X							X								
	US Gov't Thrift Savings Plan			X										X			X					NA									
JT	Capitol Fed Savings			X												X							X								
SP	Merrill Lynch ESPP			X										X			X					NA									
SP	Intrust Bank			X												X							X								
SP	Lifetime Sup + Retirement		X													X						X									
SP	All American Financial		X											X			X					NA									
SP	All American Financial					X								X			X					NA									
	Condo - 8289 Monticello Lenexa, KS														X										X						

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Name Dennis W. Moore | Page 6 of 6

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Deleware	Mortgage on 123 Mein St., Dover, Del				X						
J	Bank - United Savings Assn of Texas	Mortgage - 8289 Monrovia		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

3395

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 3:30
MC
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

JAMES P. MORAN, JR.
(Full Name)

2426-B South Walter Reed Dr., Arlington, VA 22206 202-225-4376
(Mailing Address) Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State: Va. District: 8th	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	James P. Moran	May 15, 2001

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SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples – Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
American International Group, New York, NY	Speech	4-10-00	$2000
LPA (formerly Labor Policy Associates), Washington, D.C.	Speech	9-8-00	$2000

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP					X								X										X								P
				Indefinite													X				Royalties						X				X		
	GM Card (credit card account)																				Forgiveness of Debt					X							
	First USA Bank (credit card account)																				Forgiveness of Debt			X									

For additional assets and unearned income, use next page.

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	Terry Lierman	Personal loan		X								
JT	Wright Patman FCU	Revolving charge	X									
JT	First USA Bank	Revolving charge	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives; gifts of personal hospitality of an individual; local meals; and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

3399

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Democratic Leadership Coun.	3/31-4/3	Washington-Boston-Washington	Y	Y	Y	N
	American International Group	4/10	Washington-New York-Washington	N	Y	N	N
	Center for Middle East Peace and Econ. Cooperation	4/17-4/23	Washington-Morocco-Tunisia-Algeria-Egypt-Washington	Y	Y	N	N
	Democratic Leadership Council	4/28-4/30	Washington-New Orleans-Washington	Y	Y	N	N
	Democratic Leadership Conference	5/21-5/22	Washington-Hyde Park NY-Washington	Y	Y	N	N
	Progressive Policy Institute	7/6-7/10	Washington-Santiago, Chile-Washington	Y	Y	N	N
	Democratic Leadership Council	7/14-7/16	Washington-Baltimore-Washington	Y	Y	Y	N

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A — For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 10 PM 3:42
(Office Use Only)

(10)
MC

Jerry Moran
(Full Name)

2758 Thunderbird Drive Hays, KS 67601
(Mailing Address)

202-225-2715
Daytime Telephone

Filer Status	✓ Member of the U.S. House of Representatives	State. KS District 01	Officer Or Employee	Employing Office
Report Type	✓ Annual (May 15)	Amendment	Termination	Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes	No ✓
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes	No ✓
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ✓	No
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV	Yes ✓	No
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V	Yes	No ✓
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes	No ✓
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes ✓	No
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII	Yes ✓	No
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX	Yes	No ✓

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes	No ✓
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4 § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Jerry Moran	5-10-01

3401

SCHEDULE III - ASSETS AND "U

Na Jerry Moran

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Emprise Bank, N.A. Accounts Hays, KS	$1,001 - $15,000	INTEREST	$1 - $200	
DC	Sunflower Bank, N.A. Accounts Hays, KS	$1,001 - $15,000	INTEREST	$1 - $200	
DC	Golden Plains Credit Union Accounts Hays, KS	$1 - $1,000	INTEREST	$1 - $200	
JT	Boeing Company Common Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Exxon Mobil Company Common Stock	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
JT	Halliburton Company Common Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	

3402

SCHEDULE III - ASSETS AND "U

Na Jerry Moran

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S Government retirement programs If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Schlumberger LTD Common Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Texaco Inc. Common Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Transocean Offshore common Stock	$1 - $1,000	DIVIDENDS	$1 - $200	
JT	Highlands Insurance Co. Common Stock	$1 - $1,000	DIVIDENDS	NONE	
JT	Franklin Gold Fund, Mutual Fund	$1 - $1,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
JT	Fidelity Growth & Opportunity Mutual Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	

SCHEDULE III - ASSETS AND "U

Na Jerry Moran

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Lincoln Life Growth & Income Mutual Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Lincoln Life Special Opportunities Mutual Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC	Missouri College Savings Bond	$1,001 - $15,000	INTEREST	NONE	
DC	Series E U. S. Bonds	$1,001 - $15,000	INTEREST	NONE	
	AIM Value B Mutual Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	
	American Capital World Growth	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	

3404

SCHEDULE III - ASSETS AND "U

Na Jerry Moran

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year For rental property or land, provide an address. Provide full names of any mutual funds For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income) any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). in the optional column on the far left	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Federated Investors Mutual Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	
	Pfizer Inc Common Stock	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
SP	Putnam Voyager B Mutual Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
	Avaya Inc. Common Stock	$1 - $1,000	DIVIDENDS	NONE	
	Lucent Technologies, Inc Common Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	Evergreen Current Money Market	$15,001 - $50 000	INTEREST	$1,001 - $2,500	P

SCHEDULE III - ASSETS AND "U

Na Jerry Moran

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Massachusetts Investment Trust	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	NONE	P
	Aetna Life Insurance & Annuity Company-Subsection 457 Deferred Compensation Plan of the State of Kansas	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Na Jerry Moran

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Lucent Technologies, Inc. Common Stock	P	01-18-00	$1,001 - $15,000
	Evergreen Current Money Market	P	01-21-00	$15,001 - $50,000
SP	Massachusetts Investment Trust	P	01-18-00	$15,001 - $50,000
SP	MFS Emerging Growth B	S	01-18-00	$15,001 - $50,000
	Priceline com	S	04-07-00	$1,001 - $15,000
	Priceline.com	P	01-18-00	$1,001 - $15,000
SP	Fidelity Growth Opportunity T	P	01-28-00	$1,001 - $15,000
	Fidelity Growth Opportunity T	P	02-28-00	$1,001 - $15,000
SP	Fidelity Growth Opportunity T	P	05-01-00	$1,001 - $15,000
SP	Putnam Global Growth B	P	01-18-00	$15,001 - $50,000
SP	Putnam Voyager B	S	01-18-00	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS

Na Jerry Moran

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Bond Market Association	Jan. 30-31, 2000	Kansas City, MO to New York City, NY to Washington, D.C.	Y	Y	N	N/A

SCHEDULE VIII - POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Ft. Hays State University Endowment Association, Hays, KS
Governor	Board of Governors, University of Kansas, School of Law, Lawrence, KS
Executive Member	The Eisenhower Foundation, Abilene, KS
Executive Board Member	Coronado Area Council Boy Scouts of America, Salina, KS

FOOTNOTES

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Accurate listing of the Tax Deferred Annuity that includes the following funds: Aetna Variable Fund, Aetna Fixed Account Mutual Fund,Fidelity VIP Porfolio and Janus Aspen Growth Portfolio listed in Financial Disclosure Reports for l996 through 1999 as well as the Aetna Index Plus Large Cap Fund added in the year 2000. These funds have a total value of $1,001 - $15,000.	Aetna Life Insurance & Annuity Company
2	Schedule III	Avaya is a subsidiary of Lucent Technologies and was spun off in 2000 after the purchase of Lucent Technologies Common Stock.	Avaya Inc. Common Stock

JERRY MORAN
FIRST DISTRICT
KANSAS

COMMITTEE ON
AGRICULTURE

COMMITTEE ON
TRANSPORTATION AND
INFRASTRUCTURE

COMMITTEE ON
VETERANS' AFFAIRS

HAND DELIVERED

Congress of the United States
House of Representatives
Washington, DC

2001 MAY 17 PM 3:50

May 16, 2001

The Clerk, U.S. House of Representatives
Legislative Resource Center
B-106 Cannon House Office Building
Washington, D.C. 20515-6612

MC

An inadvertent error was made in reporting one of the assets on Schedule III of my Financial Disclosure Statement for 2000 filed with your office on May 11, 2001. Please amend the entry listed as Putnam Voyager B Mutual Fund to read Putnam Global Growth B Mutual Fund.

I appreciate your assistance in making the above statement a part of the permanent record. If you have any questions, please feel free to contact me at (202) 225-2715.

Very truly yours,

Jerry Moran

JERRY MORAN

JM:jlz

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RECORDS CENTER

2001 MAY 15 PM 5:25

MC (Office Use Only)

Constance A. Morella
(Full Name)

2228 Rayburn Building
(Mailing Address)

Washington, D.C. 20515

Daytime Telephone: 202-225-5341

Filer Status	☑ Member of the U.S. House of Representatives	State District: MD 8	☐ Officer or Employee	Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and [illegible] best of my knowledge and belief.	Constance A. Morella	May 15, 2001

3412

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
American University, Washington, D.C.	Spouse Salary	NA
State of Maryland	Teacher/Legislator Pension	$ 18,248 –

For payments to charity in lieu of honoraria, use Schedule II.

Name Constance A. Morella | Page 3 of 10

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B											Block C						Block D											Block E
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	SP Mege Corp. Stock					X								X										X								P
DC, *Examples*	Simon & Schuster		Indefinite																	Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X										X						
	Boeing Co.				X									X										X								S
	Cagles, Inc.			X										X								X										S
	Walt Disney Hldg				X									X									X									P
	DuPont				X									X									X									
	Duke Energy				X									X									X									P/S
	Exxon Mobil					X								X										X								

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Constance A. Morella

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Kinder Morgan				X									X									X									
	Manor Care, Inc.			X										X									X									P/S
	Eastman Kodak				X									X									X									P
	Merck & Co., Inc.					X								X										X								
	Minnesota Mining				X									X									X									
	Monsanto Co. N/C																															
	to Pharmacia					X								X									X									
	New Plan Realty TR				X									X									X									
	Pfizer, Inc.						X							X										X								
	Riggs Nat'l Corp			X										X									X									S
	Sara Lee Corp				X									X									X									S
	Quest Comm				X									X									X									P
	USX- Marathon				X									X									X									
	WorldCom			X										X									X									P/S
	U.S. Treas. Note				X											X									X							
	Schwab Money Mkt.				X											X								X								
	Bank of America				X											X						NA										
	Oppenheimer Fund			X										X								NA										
	East Cambridge Sav.			X										X									X									
	MD State Deferred					X										X						NA										
	Congressional Credit U				X											X							X									

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3415

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Constance A. Morella

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	EMC Corp Mass				X									X									X								P
SP	Occidental Petrol			X										X									X								S
SP	PEPCO			X										X									X								S
SP	CSX Corp			X										X									X								P
SP	U.S. Treas. NT				X											X								X							
SP	Bank of America / CD				X											X										X					
SP	Oppenheimer Fund				X											X					NA										
SP	Schwab Money Mkt.			X												X							X								
SP	TIAA-CREF Pension								X							X					NA										
JT	Van Kampen			X												X							X								
JT	U.S. Savings Bonds				X											X					NA										
JT	607 Twinbrook PRwy Rockville, MD					X								X							X										

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SCHEDULE IV — TRANSACTIONS

Name Constance A. Morella

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
	Sara Lee Corp.		X		1-21-00		X								
	Duke Energy Corp.	X			3-3-00		X								
	Manor Care, Inc.	X			3-15-00		X								
	Boeing Co.		X		3-24-00		X								
	Disney Hldg. Co.	X			3-24-00		X								
	Duke Energy Corp.		X		6-19-00		X								
	Manor Care, Inc.		X		6-19-00	X									
	Riggs Nat'l Corp.		X		6-19-00	X									
	World Com, Inc.	X			6-21-00		X								
	Qwest Communs.	X			7-27-00		X								
	Cagles, Inc.		X		8-3-00	X									
	Eastman Kodak	X			11-15-00		X								
	World Com, Inc.		X		12-8-00	X									
SP	EMC Corp. Mass	X			3-15-00	X									
SP	CSX Corp.	X			3-3-00	X									
SP	Occidental Petrol		X		3-15-00	X									

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SCHEDULE IV — TRANSACTIONS

Name Constance A. Morella

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
SP	PEPCO		X		3-15-00	X									
SP	CSX Corp.	X			5-9-00	X									

This page may be copied if more space is required.

Name Constance A. Morella | Page 8 of 10

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
JT	Chase Mortgage	Home Mortgage: 6601 Millwood Rd. Bethesda, MD 20817			X							
JT	First Union National Bank	Equity line of credit		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Aspen Institute: US National Security & the Global Environment Participant	Feb 18-22	Wash, D.C.-San Juan, PR-Wash, D.C.	Y	Y	Y	N
	Aspen Institute: U.S. Policy toward Cuba participant	Apr. 11-22	Wash, D.C.-Grand Cayman Island-Wash, D.C.	Y	Y	Y	N

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Advisory Board	Cafritz Foundation, Washington, D.C.

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

RECEIVED
CONFERENCE CENTER
01 MAY 15 AM 11:10
HAND DELIVERED
5
MC
(Office Use Only)

3422

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

JOHN P. MURTHA, JR.
(Full Name)

109 COLGATE AVENUE
(Mailing Address)

JOHNSTOWN, PA 15905
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State: PA Distnct 12TH	[ ] Officer or Employee — Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John P. Murtha	5/15/01

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A	Block B	Block C	Block D	Block E
	A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP. SP Mega Corp. Stock	F X	DIVIDENDS X	IV X	P
DC. *Examples* Simon & Schuster	Indefinite	Royalties	IX X	
JT 1st Bank of Paducah KY accounts	F X	INTEREST X	VI X	
JT CHARLES SCHWAB	C X		III X	
SP CONGRESSIONAL FC[illegible]	C X	INTEREST X	III X	
WESBANCO, INC.	D X	DIVIDENDS X	IV X	
CENWEST BANK	C X	INTEREST X	I N/A – IRA	
CONGRESSIONAL FCU	C X	INTEREST X	I N/A – IRA	
SP CONGRESSIONAL FCU	C X	INTEREST X	I N/A – IRA	

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JOHN P. MURTHA, JR.

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
SP, DC, JT	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP CENWEST BANK			X												X					N/A	-		IRA								
CONGRESSIONAL FCU		X													X						X										
JT CONGRESSIONAL FCU		X													X						X										
SP EVERGREEN FDS			X										X			X										X					P
AXA FINANCIAL			X										X								X										

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SCHEDULE IV — TRANSACTIONS

Name JOHN P. MURTHA, JR.

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: A $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Maga Corporation Common Stock	X			10-12-00		X								
SP	DELL COMPUTER CORP.		X		12-20-00	X									
SP	EVERGREEN FDS	X			11-28-00	X									
	WESBANCO, INC. (QUARTERLY DIVIDEND REINVESTMENT)	X			1-18-00										
	WESBANCO, INC. (QUARTERLY DIVIDEND REINVESTMENT)	X			4-1-00	X									
	WESBANCO, INC. (QUARTERLY DIVIDEND REINVESTMENT)	X			7-3-00										
	WESBANCO, INC. (QUARTERLY DIVIDEND REINVESTMENT)	X			10-2-00										

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Name JOHN P. MURTHA, JR. | Page 4 of 4

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	ARMSTRONG COUNTY (PA) INDUSTRIAL DEVELOPMENT COUNCIL	OCT.5, 2000	D.C.- INDIANA COUNTY (PA) AIRPORT - D.C.	N	Y	N	N

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

Suellen Wilkins Myrick
(Full Name)
9169 Bonnie Briar Cr.
(Mailing Address)
Charlotte NC 28277
Daytime Telephone 202-225-1976

HAND DELIVERED (5)
ML
(Office Use Only)

Filer Status [X] Member of the U.S. House of Representatives — State NC District 09 — [ ] Officer or Employee — Employing Office

Report Type [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [✓]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [✓]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [✓]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [✓]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [✓]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [✓]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [✓]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [✓]	No [ ]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [✓]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [✓]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [✓]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	S. W. Myrick	5/10/01

3427

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene Stata	Approved Teaching Fae	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Myrick Ent.	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name Sue Myrick | Page 3 of 5

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A	Block B	Block C	Block D	Block E
	A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP, DC, JT — Examples: SP Mega Corp Stock	E: X	DIVIDENDS: X	IV: X	P
DC Simon & Schuster	Indefinite	Royalties	X	
JT 1st Bank of Paducah KY accounts	F: X		VI: X	
JT Putnam Mutual Funds		DIVIDENDS: X	II: X	
JT Putnam Mutual Funds		INTEREST: X	V: X	
JT Solomon Bros. Inst. Funds	D: X			P

For additional assets and unearned income, use next page.

SCHEDULE IV — TRANSACTIONS

Name Sue Myrick

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mege Corporation Common Stock	X			10–12–00		X								
JT	Putnam Mutual Funds		X		11-6-00		X								
JT	Salomon Bros Mutual Funds	X			11-6-00		X								

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3430

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Heidelberg College, Tiffin, Ohio

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

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U.S. HOUSE OF REPRESENTATIVES
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MC

JERROLD LEWIS NADLER
(Full Name)

315 West 70th Street, Apt. 3C
New York, N.Y. 10023
(Mailing Address)

(202) 225-5635
(212) 367-7350
Daytime Telephone

Filer Status	☒ Member of the U.S. House of Representatives	State N.Y. District 8	☐ Officer or Employee	Employing Office
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

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TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jerrold Nadler	5/14/01

3432

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria, list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples Keane State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
New York State Comptroller's Office	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name Jerrold Lewis Nadler | Page 3 of 6

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each* asset in the account that exceeds the reporting threshold, and the income earned for the account For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location For additional information, see the instruction booklet for the reporting year.

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If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B											Block C						Block D											Block E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	Examples	SP	Mega Corp Stock				X								X										X							P
			Simon & Schuster		Indefinite																Royalties									X		
			1st Bank of Paducah, KY accounts					X									X									X						
SP	Smith Barney Money Funds					X											X								X							
SP	Eott Energy Partners, LP				X										Partnership income										X							
SP	Alabama Power Company				X										X									X								
DC	Smith Barney Money Funds				X												X							X								
DC	Ameriges Partners, L.P.				X										Partnership income									X								
DC	N.Y.C. Genl Obligation Bonds				X																	X										

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Jerrold Lewis Nadler

Page 4 of 6

BLOCK A Asset and/or Income Source (SP. DC. JT)	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction (P. S. E)
DC HSBC Bank (USA)			X												X							X										
JT Chase Manh. Bank			X												X						X											
DC State of Israel Bond		X													X						X											
Histahrut Charitable Remainder Trust			X												X						X											
U.S. Savings Bonds			X												X						X											

This page may be copied if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
League of American Theaters and Producers	June 17	N.Y.C. — Boston — N.Y.C.	N	N	N	N
Comedy Central Television	Jan. 28-30	N.Y.C.—Manchester, N.H—N.Y.C.	Y	Y	N	N

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Vice President	Americans for Democratic Action
Member, Board of Directors	Community Free Democrats
Vice President	Bnai Zion

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 4:58 (6)
(Office Use Only)

GRACE F. NAPOLITANO
(Full Name)

1609 LONGWORTH HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D.C. 20515

Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State CALIFORNIA Distrct: 34	[ ] Officer or Employee — Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Grace F. Napolitano	5-10-01

3438

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
U.S. HOUSE OF REPRESENTATIVES	SALARY	135,029
FORD MOTOR COMPANY RETIREMENT PENSION PLAN	PENSION	10,702
STATE OF CALIFORNIA Public Employees RETIREMENT System	PENSION	4999
GROUP 97 Special PAYMENT	PENSION	807
UNITED STATES RAILROAD RETIREMENT BOARD	Spouse's PENSION	N/A
The GIRLS	Sole PROPRIETOR	3715

135029
10702

For payments to charity in lieu of honoraria, use Schedule II.

Name GRACE F. NAPOLITANO | Page 3 of ___

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each* asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	SP Mega Corp Stock					X								X										X								P
DC, Examples:	Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X										X						
	FIDELITY INVESTMENTS																															
	" CASH RESERVES			X																												
	" BLUE CHIP				X																											
	" SELECT FOOD & AG			X																												
	" FORD MOTOR Co. Tel NFSC IS SPECIALIST			X																												

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name GRACE F. NAPOLITANO

Page 4 of ___

BLOCK A Asset and/or Income Source	SP, DC, JT	BLOCK B Year-End Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
NAPOLITANO FOR CONGRESS							X													LOAN REPAYMENT							X					

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Name GRACE F. NAPOLITANO | Page 5 of ___

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	WELLS FARGO BANK	HOME EQUITY LINE OF CREDIT			X							
	DEARBORN FEDERAL CREDIT UNION	CAR LOAN	X									
	WRIGHT PATMAN CONGRESSIONAL FEDERAL CREDIT UNION	CAR LOAN		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

3442

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
HUMPTY-DUMPTY INSTITUTE; MERRILL LYNCH; NYSE	JAN. 23-24, 2000	WASH, DC - NY, NY - WASH, DC	Y	Y	Y	
HUMPTY-DUMPTY INSTITUTE	JAN 24-25, 00	WASH, D.C	Y	Y	Y	
SOCIETY FOR WOMEN'S HEALTH RESEARCH; GLAXO; AMERICAN HOME PR.; HUMANA; WEB MD	FEB. 17-18, 2000	WASH, D.C - NY, NY - WASH, DC	Y	Y	N	
CONG. HISPANIC CAUCUS INSTITUTE; NYSE; CITIGROUP	MAY 21-22, 2000	WASH, D.C. - NY, NY, - WASH, DC	Y	Y	N	
METROPOLITAN WATER DEPT	DEC 14, 2000	LOS ANGELES, CA; LAS VEGAS, NEV - L.A, CA	N	Y	Y	
ANHEUSER-BUSCH; CONGRESSIONAL HISPANIC CAUCUS INSTITUTE	JAN. 19-23, 2000	WASH, D.C - SAN JUAN, PR - WASH. DC	Y	Y	Y	
METROPOLITAN WATER DEPT	JAN. 13-14, 2000	LOS ANGELES, CA, MOAB, UTAH, LA, CA.	Y	Y	N	

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 1:50 (5)
MC
(Office Use Only)

Richard E. Neal
(Full Name)
2133 Rayburn House Office Building
(Mailing Address)
Washington, D.C. 20515
(202)225-5601
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State MA District: 2nd	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Richard E. Neal	5/15/01

3444

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Commonwealth of Massachusetts (University of MA)	Approved teaching fee	$19,990.
Commonwealth of Massachusetts	Spouse salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name: Richard E. Neal

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
Price Waterhouse Coopers	Speech	October 14, 1999 ~~#~~ check actually sent to charity on February 15, 2000	$500

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Richard E. Neal

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A		Block B: None	A: $1 – $1,000	B: $1,001 – $15,000	C: $15,001 – $50,000	D: $50,001 – $100,000	E: $100,001 – $250,000	F: $250,001 – $500,000	G: $500,001 – $1,000,000	H: $1,000,001 – $5,000,000	I: $5,000,001 – $25,000,000	J: $25,000,001 – $50,000,000	K: Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	Block D: None	I: $1 – $200	II: $201 – $1,000	III: $1,001 – $2,500	IV: $2,501 – $5,000	V: $5,001 – $15,000	VI: $15,001 – $50,000	VII: $50,001 – $100,000	VIII: $100,001 – $1,000,000	IX: $1,000,001 – $5,000,000	X: Over $5,000,000	XI	Block E: P, S, E
SP.	SP Mega Corp Stock					X								X										X								
DC,	Examples Simon & Schuster			Indefinite																Royalties									X			P
JT	1st Bank of Paducah, KY accounts						X									X										X						
	Sun America Money Market			X										X									X									
	Springfield Institute for Savings			X												X						X										
	Springfield, Massachusetts Credit Union		X													X						X										
	Congressional Federal Credit Union		X													X						X										
	City of Springfield Retirement Fund			X										NA							NA											

For additional assets and unearned income, use next page.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Invest to Compete Alliance	July 5 - July 8	Springfield, MA to Chatham, MA by private auto	Y	Y	N	N

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 MAY 15 PM 3:54

(9)

HAND DELIVERED

MC

(Office Use Only)

George R. Nethercutt, Jr.
(Full Name)

223 Cannon House Office Bldg.
Washington, D.C. 20515
(Mailing Address)

(202) 225-2006
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State WA District: 5	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	George R. Nethercutt, Jr.	5/15/01

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	United States Senate	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honoranum. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C	Speech	Feb 2, 2000	$2,000
XYZ Magazine	Article	Aug 13, 2000	$500
None	NA		

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name George R. Nethercutt Jr

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: Transaction P, S, E
SP, DC, JT	Examples: SP Mege Corp. Stock					X								X									X								P
	DC Simon & Schuster			Indefinite																Royalties								X			
	JT 1st Bank of Paducah, KY accounts						X									X									X						
	E. 706 Highland Blvd. Spokane WA							X							X										X						
	Fan Mar Partnership. Summerville SC						X								X										X						
	Mid west Diamond Baseball Club Ltd. Partnership			X										partnership income																	
	1927 Yankees Investment Club			X										X		X	X						X								
	Van Kampen American Trust American Pace Fund			X										NA - IRA							NA										
	Dean Witter American Value Fund					X								NA - IRA							NA										

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name George R. Netherauth, Jr

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction P, S, E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	
	Dean Witter Amer. Opp Fund	D X	NA - IRA	NA	
	Cap. Gdn. Trust Co. - Growth Fund of America	D X	NA - IRA	NA	
	Cap. Gdn Trust Co. - New Perspective Fund	D X	NA - IRA	NA	
	Cap. Gdn Trust Co - American mutual Fund	E X	NA - IRA	NA	
	Cap. Gdn. Trust Co. - Europacific Growth Fund	D X	NA - IRA	NA	
	Cap. Gdn. Trust Co. - New Perspective Fund	D X	NA - IRA	NA	
	Piper Jaffray Money Market	C X	NA - IRA	NA	
	Congressional Fed. Credit Union	C X	X	IV X	
	U.S. Senate Fed. Credit Union	C X	X	II X	
	Thrift Savings Acct. - U.S. House	C X	NA - IRA	NA	
	Carolina Diamond Baseball Club - partnership	D X (Sold 2000)	partnership income	*A see schedule IV	S

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SCHEDULE IV — TRANSACTIONS

Name George R. Nethercutt, Jr

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset		Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example*	Maga Corporation Common Stock	X			10–12–00		X								
	Carolina Diamond Baseball Club			X		Jan. 2000		X								

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Name George R. Nethercutt, Jr. | Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	Washington Mutual Bank - Spokane WA	Mortgage on E. 706 Highland Blvd. - Spokane WA				X						
	Washington Trust Bank - Spokane WA	line of credit			X							
	Wachovia National Bank - Summerville, SC.	Fan Mar mortgage - 300 N. Main - Summerville, S.C.		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
None	NA	NA

Use additional sheets if more space is required.

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	None		NA				

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Limited Partner	Midwest Diamond Baseball Club, Carolina Diamond Baseball Club
Partner	1927 Yankees Investment Club
Board Member	Spokane Neighborhood Action Programs (non-profit) Spokane WA (uncompensated)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer

Date	Parties To	Terms of Agreement
	None	NA

GPO 2001 70-729 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 MAY 15 PM 6:15

(9)

ROBERT WILLIAM NEY
(Full Name)

112 OVERLOOK COURT ST. CLAIRSVILLE OHIO 43950
(Mailing Address)

202-225-6265
Daytime Telephone

MC HAND DELIVERED
(Office Use Only)

Filer Status	☒ Member of the U.S. House of Representatives	State: Distinct	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Robert William Ney	5/14/01

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil Wer Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontano County Board of Education	Spouse Salary	NA
	NONE		

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
NONE			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Robert W NEY

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Savings Account

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	Examples: SP Mega Corp Stock					X								X										X							
	Simon & Schuster		Indefinite																	Royalties								X			
	1st Bank of Paducah KY accounts						X									X									X						
	Savings Account		X													X						X									
	Wright Patman Credit Union Washington D.C.																														

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Robert W Ney

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	NONE																													

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SCHEDULE IV — TRANSACTIONS

Name Robert W. Ney

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Maga Corporation Common Stock	X			10–12–00		X								
	-NONE-														

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	MGNA	CREDIT CARD		X								
	AMERICAN EXPRESS	CREDIT CARD		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
	NONE	

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
US German Round Table Impact	Jan 12-16 '01	Pitt - Berlin Berlin - Pittsburgh	Y	Y	Y	N
Ripon - 2000 Transatlantic	Nov 29-12-1 '00	Wash DC - Rome Rome - Wash DC	Y	Y	Y	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
	NONE

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

GPO 2001 70-729 (mac)

Use additional sheets if more space is required.

74-034 2001 - 48

3467

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 11 PM 1:08
(Office Use Only)

ANNE MEAGHER NORTHUP
(Full Name)

3340 LEXINGTON ROAD
(Mailing Address)

LOUISVILLE, KENTUCKY 40206

Daytime Telephone (502) 852-5129

Filer Status	[X] Member of the U.S. House of Representatives	State: KENTUCKY District: 03	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Anne M Northup	5/11/01

Name ANNE MEAGHER NORTHUP

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source end emount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	U.S. HOUSE OF REPRESENTATIVES	CONGRESSIONAL SALARY	$140,916
	RADIO SOUND, INC.	SPOUSE SALARY	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
NONE TO REPORT			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name ANNE MEAGHER NORTHUP

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E P, S, E
SP, DC, JT	*Examples* SP Mega Corp Stock						X							X										X								P
	Simon & Schuster		Indefinite																	Royalties									X			
	1st Bank of Paducah, KY accounts						X									X									X							
JT	MERRILL LYNCH MONEY MARKET				X									X		X									X							
JT	U.S. TREASURY	X														X						X										
	BANC ONE CS						X							X											X							
SP	FIDELITY AGRESSIVE GROWTH MF				X									X			X							X								
SP	FIDELITY ASSET MANAGER MF	X												X								X										
SP	FIDELITY CONTRA FUND MF				X									X			X							X								

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name ANNE MEAGHER NORTHUP

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $ – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	FIDELITY DEVEL. COMM. MF				X									X			X									X					
SP	FIDELITY DISC. EQUITY MF				X									X			X								X						
SP	FIDELITY ELECTRONICS MF					X								X			X										X				
SP	FIDELITY NEW MILLENIUM MF				X									X			X									X					
SP	FIDELITY STOCK SELECTOR MF				X									X			X								X						
JT	HARLEY DAVIDSON CS		X											X								X									
SP	COMMONWEALTH BANK TUA MF							X						X			X							X							
JT	COMPAQ COMPUTERS CS		X											X								X									
JT	PFIZER INC. CS		X											X								X									
SP	RADIO SOUND, INC. CS										X			X														X			
	WEINGARTEN (B) FUND (IRA)			X																	X										
SP	WEINGARTEN (B) FUND (IRA)			X																	X										
JT	CLEAR CHANNEL COMM. CS			X																	X										

CS - COMMON STOCK
MF - MUTUAL FUNDS

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SCHEDULE IV — TRANSACTIONS

Name ANNE MEAGHER NORTHUP

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–00		X								
SP	FIDELITY SELECT HEALTHCARE MF		X		3-23-00		X								
SP	FIDELITY ASSET MANAGER MF		X		3-23-00		X								
SP	FIDELITY LOW PRICED STOCKS MF		X		3-23-00		X								
SP	FIDELITY SELECT ELECTRONICS MF	X			3-23-00			X							
SP	FIDELITY SELECT ELECTRONICS MF	X			4-07-00	X									
SP	FIDELITY SELECT·ELECTRONICS MF	X			12-15-00	X									
SP	FIDELITY CONTRAFUND MF	X			12-29-00	X									
SP	FIDELITY NEW MILLENNIUM MF	X			12-22-00	X									
SP	FIDELITY DISCIPLINED EQUITY MF	X			12-08-00	X									
SP	FIDELITY STOCK SELECTOR MF	X			12-08-00	X									
SP	FIDELITY AGGRESSIVE GROWTH MF	X			12-22-00	X									
SP	FIDELITY DEVEL. COMMUNICATIONS MF	X			4-14-00	X									
SP	FIDELITY DEVEL. COMMUNICATIONS MF	X			12-22-00	X									
	MF = MUTUAL FUNDS														

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Name ANNE MEAGHER NORTHUP

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	NONE											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
	NONE	

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
UNITED STATES OLYMPIC COMMITTEE	FEB 25-27	LOUISVILLE - LAKE PLACID - LOUISVILLE	Y	Y	Y	N
WOMEN'S NATIONAL REPUBLICAN CLUB	APR 17-18	LOUISVILLE - NEW YORK, NY - LOUISVILLE	Y	Y	Y	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
	NONE TO REPORT

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
		NONE TO REPORT

GPO 2001 70-729 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(11)

LEGISLATIVE RESOURCE CENTER
2001 MAY 29 PM 3:28
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

3476

Eleanor Holmes Norton
(Full Name)

10 Ninth Street, SE
(Mailing Address)

Washington, D.C. 20003

Daytime Telephone 202/225-8050

Filer Status	☑ Member of the U.S. House of Representatives	State. ~~D.C.~~ District	☐ Officer or Employee — Employing Office.
Report Type	☐ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☑	No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☑	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Eleanor Holmes Norton	5-28-01

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Georgetown University Law Center	Approved Teaching Fee	$9630
	Pitney Bowes	Retirement Fee	$12000

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	Examples.	SP	Mega Corp Stock					X							X										X								P
			Simon & Schuster			Indefinite															Royalties									X			
			1st Bank of Paducah, KY accounts					X									X										X						
	Congressional Fed. Credit Union					✓									✓		✓									✓							
	Boston Capital Private Tax Cr. Fnd.					✓											✓							✓									
	Intergen Investors, Ltd.					✓																✓											
	Merrill Lynch Account																																
	CMA Tax Exempt (Money Fund)					✓											✓							✓									
	Indiana Univ. Revs. Stdt Fee					✓															OID			✓									

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Eleanor Holmes Norton

Page 4 of 8

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Cook Co., IL Sch. Dist.				✓															OID				✓								
	Mesquite, TX Ind. Sch Dist				✓															OID			✓									P
	Western IL Univ. Rev. Aux Facs				✓															OID			✓									P
	Romeo, MI Cmnty Sch Dist				✓															OID				✓								
	DuPage Co. IL, Presv. Dist.				✓															OID			✓									P
	Alliance Premier Growth Cl B				✓												✓							✓								P
	Davis NY Venture Fund A						✓							✓			✓										✓					
	ML Fundamental Growth B				✓												✓							✓								P
	PIMCO Innovation Fund Cl C				✓												✓							✓								P
	Putnam Int'l Net Opp. Fd. Cl. B				✓									✓			✓							✓								P
	LG&E Energy Corp	✓												✓									✓									Sold in 99
	Royal Alliance (IRA)																															
	RTC Cash Account			✓												✓							✓									
	Alliance Premier Growth Cl. C					✓											✓										✓					Partial Sale
	Mass. Investor Growth Cl C					✓											✓									✓						
	MFS Emerging Growth Cl C					✓											✓									✓						
	Style Select Aggr Growth Cl II					✓								✓			✓										✓					
	SunAmerica Growth Opp. Fd. II				✓												✓								✓							P
	High Equity Partners Series 85			✓										✓								✓										
	High Equity Partners Series 86			✓										✓								✓										
	Tech Funding Venture Ptnrs IV			✓										✓								✓										

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Eleanor Holmes Norton

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Tech. Funding Venture Pntrs. V				✔															Capital Return					✔						
	Synthetic Industries, LP	✔																			✔										S
	Anchor Nat'l Life Ins. Annuity					✔										✔										✔					
	Met Life Pension Annuity			✔										Income yet to be derived																	
	TIAA-CREF			Indefinite										Income yet to be derived																	

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SCHEDULE IV — TRANSACTIONS

Name Eleanor Holmes Norton

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
	Mesquite, TX Independent School District	✔			5/24/00		✔								
	Western IL University Revs Aux Facs	✔			5/25/00		✔								
	DuPage Co. IL 1st Pres. District	✔			6/5/00		✔								
	Alliance Premier Growth Fund Class B	✔			5/23, 12/20		✔		Includes Dividend Reinvestment						
	ML Fundamental Growth B	✔			5/23; 12/21		✔		Includes Dividend Reinvestment						
	PIMCO Innovation Fund Class C	✔			1/13, 12/13		✔		Includes Dividend Reinvestment						
	Putnam International New Opportunities Fund Class B	✔			5/23, 12/27		✔		Includes Dividend Reinvestment						
	Davis NY Venture Fund A	✔			12/4; 12/8, 12/13	✔									
	SunAmerica Growth Opportunity Fund Class II	✔			3/6; 3/23; 12/14			✔	Includes Dividend Reinvestment						
	Alliance Premier Growth Fund Class C		✔		3/9/00		✔								
	Anchor National Life Ins. Annuity		✔		3/7/00	✔									
	Synthetic Industries, LP		✔		2/22/00		✔								
	Mass. Investor Growth Fund Cl. C	✔			12/18/00	✔			Dividend Reinvestment						
	MFS Emerging Growth Fund Cl. C	✔			12/18/00	✔			Dividend Reinvestment						
	Style Select Aggressive Growth Fund II	✔			12/14/00		✔		Dividend Reinvestment						

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source		Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Albany State University		Dec. 8-10	Wash, DC - Albany GA - Wash, DC	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
See Attached	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment, a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
07/21/00	Georgetown University Law Center	Extended leave of absence from position as Professor of Law and part time appointment to teach a seminar in 2000 2001 academic year See attached approvals and agreement

Use additional sheets if more space is required.

GEORGETOWN UNIVERSITY LAW CENTER

Office of the Dean

July 21, 2000

The Honorable Eleanor Holmes Norton
U. S. House of Representatives
1424 Longworth House Office Building
Washington, D. C. 20515

Dear Eleanor:

I am delighted that you have agreed to teach a seminar at the Law Center during the 2000-2001 academic year. This letter confirms the terms of your faculty appointment.

Consistent with Georgetown University's faculty leave policy, your leave of absence from the full-time faculty has been extended through June 30, 2001.

Your seminar will be offered as part of the regular J.D. program of instruction at the Law Center. Students will receive regular credit toward their law degrees for their attendance and participation in your seminar.

Your salary will be $10,200, which will be paid out of Law Center operating funds. No federal funds will be devoted to your salary. The compensation you will receive does not exceed the salary the Law Center pays to those whose professional qualifications are similar to yours and who provide a comparable level of instruction and amount of work. Barbara Duncan, administrative officer in charge of Law Center payroll, will contact you regarding arrangements for payment.

Enclosed is a copy of the 2000-2001 Law Center academic calendar. If you have any questions about the scheduling of your seminar, please contact Assistant Dean Carol O'Neil at (202) 662-9041.

You are eligible to hire a student research assistant to assist in preparation of the seminar materials. The student will be paid out of Law Center operating funds.

Sincerely,

Judith Areen
Dean

Understood and accepted.

ELEANOR HOLMES NORTON

07-31-00
Date

600 New Jersey Avenue NW Washington DC 20001 2075
202-662-9030 FAX 202-662-9055

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COUNSEL TO THE RANKING
MINORITY MEMBER

ONE HUNDRED SIXTH CONGRESS

U.S. House of Representatives

COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT

Washington, DC 20515–6328

HOWARD L. BERMAN, CALIFORNIA
RANKING MINORITY MEMBER
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ED PASTOR, ARIZONA
CHAKA FATTAH, PENNSYLVANIA
ZOE LOFGREN, CALIFORNIA

SUITE HT-2, THE CAPITOL
(202) 225-7103

July 28, 2000

The Honorable Eleanor Holmes Norton
U.S. House of Representatives
1424 Longworth House Office Building
Washington, D.C. 20515

Dear Colleague:

This is to notify you of Committee approval of your request of July 26, 2000, to teach a course for compensation this fall at Georgetown University Law Center. With your letter you enclosed a copy of a letter from the Dean of the Law Center. Your letter states that you have taught this course since 1991, and that you will use no official House resources, including staff time, in teaching this course.

These arrangements appear to be similar to those that the Committee has approved in each of the past several years, pursuant to the guidelines issued by the Committee on teaching by Members and senior staff. As noted previously, your teaching compensation is subject to the 15 percent outside earned income limit of House Rule 26, clauses 1-4. For 2000, that limit is $21,195. The income must also be reported on your annual Financial Disclosure Statement.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,

Lamar Smith
Chairman

Howard L. Berman
Ranking Minority Member

LS/HLB:rds

Congresswoman Eleanor Holmes Norton's
2000 Board Participation

Position	Name of Organization
Professor of Law*	Georgetown University
Member	Council on Foreign Relations
Member	Citizens Commission for Civil Rights
Member of the Board	Economic Policy Institute
Member of the Board	Environmental Law Institute
Member of the Board	Lawyers Committee for Civil Rights Under Law
Member of the Board	Washington Symphony
Chair	Women's Law and Public Policy Fellowship Program, Georgetown University
Member of the Board	Work in America Institute
Member of the Board	Good Samaritan Foundation

Advisory Boards

Advisory Board Member	Patriots' Trail Girl Scout Council, Inc.
Advisory Board Member	Sewall-Belmont House
Advisory Board Member	Women & Politics Institute, American University

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A

For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2001 MAY 15 AM 11:05

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Jim Nussle
(Full Name)

303 Cannon House Office Building
(Mailing Address)

(202)225-2911
Daytime Telephone

Washington, D.C. 20515

Filer Status	[x] Member of the U.S. House of Representatives	State: Iowa District: 2nd	[ ] Officer or Employee — Employing Office:
Report Type	[x] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [x]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [x]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [x]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [x]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [x]	No [ ]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [x]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [x]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [x]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [x]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [x]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [x]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/15/01

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Jim Nussle

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B												Block C						Block D											Block E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Examples	SP	Mega Corp. Stock				X								X									X								P
DC			Simon & Schuster	Indefinite																	Royalties								X			
JT			1st Bank of Paducah, KY accounts					X									X									X						
	US Savings Bonds			X													X					X										
	(See Attached)																															

For additional assets and unearned income, use next page.

SCHEDULE IV — TRANSACTIONS

Name Jim Nussle

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
SP, DC, JT	Asset		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example*	Mega Corporation Common Stock	X			10–12–00		X								
	(See Attached)															

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Name Jim Nussle

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delawere	Mortgage on 123 Main St., Dover, Del				X						
	Farmers and Merchants Savings Bank Manchester, Iowa	Mortgage on primary home (1220 North 6th Street Manchester, Iowa)			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Plattar (determination or personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required

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SCI Capital Management

REALIZED GAINS AND LOSSES

JIM NUSSLE

From 01-01-00 Through 12-31-00

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
07-30-99	01-03-00	50	Bank of America Corp	3,384.38	2,424.91	-959.47	
09-16-99	01-03-00	50	Abbott Labs	2,168.75	1,771.82	-396.93	
07-13-99	01-03-00	50	Abbott Labs	2,084.38	1,771.81	-312.57	
10-07-99	01-05-00	50	BMC Software Inc	3,103.13	2,581.16	-521.97	
07-26-99	01-05-00	1	Worldcom Inc	28.77	24.75	-4.02	
08-30-99	01-13-00	75	Conagra Inc	1,851.56	1,737.69	-113.87	
08-10-99	01-19-00	50	First Data Corp	2,393.75	2,434.29	40.54	
09-16-99	01-19-00	25	First Data Corp	1,100.00	1,217.14	117.14	
01-28-00	02-07-00	200	Boston Scientific Corp	4,262.50	3,896.27	366.23	
12-17-99	02-17-00	75	Radio Shack Corp	3,712.50	2,999.90	-712.60	
01-28-00	02-23-00	25	Applied Materials Inc	3,314.06	4,557.65	1,243.59	
12-17-99	02-23-00	50	Alza Corp	1,593.75	1,843.68	249.93	
07-12-99	02-23-00	80	Bristol Myers Squibb	4,915.00	4,804.83	-110.17	
08-30-99	02-23-00	75	Computer Assoc Intl	3,843.75	5,174.83	1,331.08	
09-16-99	02-23-00	25	Computer Assoc Intl	1,456.25	1,724.94	268.69	
08-05-99	02-23-00	45	Carnival Corp	1,915.32	1,330.26	-585.06	
01-28-00	02-23-00	50	Comdisco Inc	1,665.35	1,856.18	190.83	
07-26-99	02-23-00	25	Chevron Corporation	2,315.63	1,826.50	-489.13	
09-16-99	02-23-00	25	Chevron Corporation	2,307.81	1,826.50	-481.31	
08-30-99	02-23-00	100	Compaq Computer Corp	2,337.50	2,543.66	206.16	
07-13-99	02-23-00	50	Fannie Mae	3,400.00	2,621.78	-778.22	
09-16-99	02-23-00	25	Fannie Mae	1,568.75	1,310.89	-257.86	
08-10-99	02-23-00	25	General Elec Co	2,600.00	3,315.51	715.51	
09-16-99	02-23-00	25	General Elec Co	2,914.06	3,315.51	401.45	
01-01-98	02-23-00	17	Firstar Corp	328.01	334.81		6.80
01-01-98	02-23-00	178	Firstar Corp	3,345.84	3,415.26		69.42
07-13-99	02-23-00	105	Firstar Corp	2,900.00	2,005.99	-894.01	
09-14-99	02-23-00	550	Firstar Corp	10,340.00	10,552.77	212.77	
08-30-99	02-23-00	35	Intel Corp	2,922.50	3,871.75	949.25	
08-30-99	02-23-00	46	Honeywell International Inc	2,855.24	2,121.68	-733.56	
09-16-99	02-23-00	47	Honeywell International Inc	2,859.38	2,156.17	-703.21	
07-26-99	02-23-00	25	Pepsico Inc	995.31	854.66	-140.65	
07-13-99	02-23-00	25	Lucent Technologies	1,803.13	1,304.65	-498.48	
09-16-99	02-23-00	25	Lucent Technologies	1,689.06	1,304.64	-384.42	
08-10-99	02-23-00	50	Schering Plough Corp	2,340.63	1,896.81	-443.82	
09-16-99	02-23-00	50	Schering Plough Corp	2,500.00	1,896.81	-603.19	
07-23-99	02-23-00	30	Sun Microsystems Inc	1,043.44	2,855.53	1,812.09	
10-18-99	02-23-00	25	Texas Instruments	2,045.32	3,724.87	1,679.56	
07-26-99	02-23-00	37	Worldcom Inc	2,129.04	1,748.19	-380.85	
09-16-99	02-23-00	18	Worldcom Inc	937.50	850.47	-87.03	
07-13-99	02-23-00	50	Tyco International Ltd	2,434.38	1,899.93	534.45	
09-16-99	02-23-00	10	Tyco International Ltd	501.25	379.99	-121.26	
02-29-96	02-23-00	10,000	United States Treas Nts 5.625% Due 02-28-01	10,000.00	9,912.50		-87.50
07-13-99	02-23-00	15,000	Federal Home Loan Mtg Co 6.000% Due 06-11-06	14,775.00	13,781.25	-993.75	
08-16-99	02-23-00	10,000	Federal Home Loan Mtg Co 6.000% Due 06-11-06	9,800.00	9,187.50	-612.50	
02-23-00	03-20-00	145	Cendant Corporation	2,465.00	2,455.85	-9.15	
07-12-99	04-07-00	70	Bristol Myers Squibb	4,300.63	4,173.61	-127.02	
12-17-99	04-10-00	125	Alza Corp	3,984.38	5,115.52	1,131.14	
02-28-00	04-10-00	25	Trequint Semiconductor	2,732.81	2,040.56	-692.25	
03-23-00	04-28-00	29	PE Corp PE Biosystems Group	3,202.69	1,756.25	-1,446.44	
08-09-99	05-10-00	25	At&t Corp	1,265.63	906.22	-359.41	

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SCI Capital Management

REALIZED GAINS AND LOSSES

JIM NUSSLE

From 01-01-00 Through 12-31-00

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
02-24-00	05-10-00	65	At&t Corp	2,985.94	2,356.17	-629.77	
01-28-00	05-22-00	75	Comdisco Inc	2,498.03	2,020.24	-477.79	
07-26-99	05-24-00	50	Pepsico Inc	1,990.63	1,999.93	9.30	
09-16-99	05-24-00	25	Pepsico Inc	832.81	999.97	167.16	
07-13-99	05-30-00	25	Chase Manhattan	2,070.31	1,817.13	-253.18	
09-16-99	05-30-00	25	Chase Manhattan	1,920.31	1,817.12	-103.19	
02-23-00	06-01-00	160	Nokia Corp	8,137.50	8,799.70	662.20	
06-23-00	07-11-00	107	BEA Systems Inc	4,280.00	6,205.79	1,925.79	
03-16-00	07-24-00	109	Lincoln National	3,004.31	4,904.83	1,900.52	
06-03-00	07-27-00	65	Pitney Bowes Inc	2,920.94	2,315.55	-605.39	
08-05-99	08-04-00	5	Carnival Corp	212.81	96.25	-116.56	
09-16-99	08-04-00	75	Carnival Corp	3,056.25	1,443.69	-1,612.56	
09-16-99	08-28-00	0	Citigroup Inc	10.58	19.33	8.75	
03-15-00	09-20-00	30	Network Appliance Inc	2,667.19	4,196.11	1,528.92	
05-10-00	09-20-00	7	Network Appliance Inc	429.13	979.09	549.96	
09-22-00	10-09-00	89	Altera Corp.	4,471.27	3,554.32	-916.95	
02-23-00	10-25-00	24	JDS Uniphase Corp	2,817.00	1,705.44	-1,111.56	
06-02-00	11-14-00	80	Home Depot Inc	4,240.00	3,181.49	-1,058.51	
03-23-00	11-28-00	77	Solectron Corp	3,176.25	2,579.41	-596.84	
06-01-00	12-22-00	72	LSI Logic	4,086.00	1,299.55	-2,786.45	
07-26-00	12-22-00	95	LSI Logic	3,028.13	1,714.69	-1,313.44	
09-11-00	12-22-00	95	Kemet Corp	3,158.75	1,491.45	-1,667.30	
06-23-00	12-22-00	130	Motorola Inc	4,184.38	2,404.91	-1,779.47	
04-14-00	12-22-00	45	Applied Materials Inc	3,559.37	1,707.13	-1,852.24	
02-28-00	12-22-00	48	AOL Time Warner Inc	2,952.00	1,844.57	-1,107.43	
TOTAL GAINS						17,302.32	76.22
TOTAL LOSSES						-33,843.49	-87.50
				229,428.03	212,870.56	-16,541.17	-11.28
TOTAL REALIZED GAIN/LOSS -16,552.45							

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SCI Capital Management

REALIZED GAINS AND LOSSES

JIM NUSSLE

INDUSTRY SELECT PORTFOLIO

From 01-01-00 Through 12-31-00

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
03-13-00	03-14-00	31.299	Fidelity Select Biotechnology Portfolio	3,180.00	2,607.51	-572.49	
03-13-00	04-04-00	28.339	Fidelity Select Electronics	3,846.18	3,043.82	-802.36	
03-13-00	04-10-00	15.519	Fidelity Select Technology	3,469.41	2,673.62	-795.79	
03-13-00	04-10-00	36.787	Fidelity Select Develop Communications	3,317.46	2,714.93	-602.53	
03-13-00	05-10-00	22.748	Fidelity Select Multimedia Portfolio	1,230.45	1,058.72	-171.73	
03-13-00	05-22-00	24.971	Fidelity Select Technology	5,582.49	3,167.08	-2,415.41	
04-12-00	06-09-00	114.839	Fidelity Select Cyclical Industries	1,580.18	1,469.40	-110.78	
04-14-00	06-09-00	0.521	Fidelity Select Cyclical Industries	6.89	6.67	-0.22	
04-14-00	06-09-00	0.521	Fidelity Select Cyclical Industries	6.89	6.66	-0.23	
03-16-00	06-16-00	55.740	Fidelity Select Banking Portfolio	1,625.37	1,486.97	-138.40	
04-14-00	06-16-00	4.992	Fidelity Select Banking Portfolio	138.24	133.17	-5.07	
04-14-00	06-16-00	0.201	Fidelity Select Banking Portfolio	5.57	5.36	-0.21	
03-13-00	07-06-00	32.203	Fidelity Select Electronics	4,370.60	3,541.00	-829.60	
03-30-00	07-26-00	64.507	Fidelity Select Natural Gas	1,157.90	1,198.81	40.91	
04-14-00	07-26-00	0.262	Fidelity Select Natural Gas	4.52	4.87	0.35	
03-13-00	09-11-00	41.289	Fidelity Select Develop Communications	3,723.45	2,795.18	-928.27	
03-13-00	09-13-00	43.015	Fidelity Select Develop Communications	3,879.10	2,826.12	-1,052.98	
04-14-00	09-13-00	1.602	Fidelity Select Develop Communications	92.73	105.25	12.52	
04-14-00	09-13-00	0.946	Fidelity Select Develop Communications	54.80	62.15	7.35	
04-17-00	09-13-00	12.683	Fidelity Select Develop Communications	692.23	833.29	141.06	
04-04-00	10-12-00	24.543	Fidelity Select Industrial Equipment	680.81	579.70	-101.11	
04-04-00	10-12-00	34.019	Fidelity Select Industrial Equipment	915.46	803.53	-111.93	
04-14-00	10-12-00	0.173	Fidelity Select Industrial Equipment	4.68	4.09	-0.59	
04-14-00	10-12-00	0.606	Fidelity Select Industrial Equipment	16.40	14.31	-2.09	
03-13-00	10-18-00	20.513	Fidelity Select Multimedia Portfolio	1,109.55	822.37	-287.18	
04-14-00	10-18-00	0.332	Fidelity Select Multimedia Portfolio	16.01	13.31	-2.70	
04-14-00	10-18-00	1.913	Fidelity Select Multimedia Portfolio	92.15	76.69	-15.46	
08-21-00	10-31-00	15.412	Fidelity Select Computers	1,912.38	1,545.05	-367.33	
09-11-00	11-21-00	87.104	Fidelity Select Banking Portfolio	2,795.18	2,519.92	-275.26	
03-13-00	11-28-00	14.308	Fidelity Select Software and Computer Svcs	1,680.00	1,128.18	-551.82	
04-07-00	11-28-00	0.727	Fidelity Select Software and Computer Svcs	69.11	57.32	-11.79	
04-07-00	11-28-00	0.074	Fidelity Select Software and Computer Svcs	7.01	5.84	-1.17	

SCI Capital Management

REALIZED GAINS AND LOSSES

JIM NUSSLE

INDUSTRY SELECT PORTFOLIO

From 01-01-00 Through 12-31-00

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
03-13-00	11-28-00	12.382	Fidelity Select Technology	2,768.10	1,378.24	-1,389.86	
04-07-00	11-28-00	2.562	Fidelity Select Technology	450.47	285.18	-165.29	
04-07-00	11-28-00	0.283	Fidelity Select Technology	49.70	31.50	-18.20	
10-16-00	11-28-00	266.414	Fidelity Sel Networking & Infrastructure	2,504.29	1,774.32	-729.97	
03-13-00	11-28-00	47.769	Fidelity Select Electronics	6,483.22	3,640.96	-2,842.26	
03-22-00	11-28-00	4.477	Fidelity Select Electronics	621.44	341.24	-280.20	
03-22-00	11-28-00	7.294	Fidelity Select Electronics	982.14	555.95	-426.19	
04-07-00	11-28-00	2.573	Fidelity Select Electronics	309.17	196.11	-113.06	
04-07-00	11-28-00	2.412	Fidelity Select Electronics	289.91	183.84	-106.07	
06-01-00	11-28-00	25.013	Fidelity Select Electronics	2,719.67	1,906.49	-813.18	
10-12-00	11-28-00	36.180	Fidelity Select Energy Service	1,401.63	1,207.69	-193.94	
04-17-00	11-28-00	13.263	Fidelity Select Develop Communications	723.89	639.54	-84.35	
06-02-00	11-28-00	23.089	Fidelity Select Develop Communications	1,517.66	1,113.35	-404.31	
06-02-00	11-28-00	18.191	Fidelity Select Develop Communications	1,232.60	877.17	-355.43	
04-04-00	12-01-00	16.170	Fidelity Select Health Care	2,128.36	2,572.48	444.12	
04-07-00	12-01-00	0.487	Fidelity Select Health Care	64.03	77.48	13.45	
04-07-00	12-01-00	0.011	Fidelity Select Health Care	1.46	1.75	0.29	
04-10-00	12-01-00	8.965	Fidelity Select Health Care	1,179.36	1,426.24	246.88	
03-13-00	12-20-00	28.481	Fidelity Select Utilities Growth	2,160.00	1,406.68	-753.32	
04-07-00	12-20-00	0.028	Fidelity Select Utilities Growth	1.99	1.38	-0.61	
04-07-00	12-20-00	0.219	Fidelity Select Utilities Growth	15.66	10.82	-4.84	
12-15-00	12-20-00	1.018	Fidelity Select Utilities Growth	54.58	50.28	-4.30	
12-15-00	12-20-00	2.303	Fidelity Select Utilities Growth	123.53	113.74	-9.79	
TOTAL GAINS						906.93	0.00
TOTAL LOSSES						-18,849.66	0.00
				75,046.05	57,103.32	-17,942.73	0.00

TOTAL REALIZED GAIN/LOSS -17,942.73

CAPITAL GAIN DISTRIBUTIONS

04-07-00	Fidelity Select Financial Services		64.26
04-07-00	Fidelity Select Software and Computer Svcs		69.11
04-07-00	Fidelity Select Software and Computer Svcs	7.01	
04-07-00	Fidelity Select Electronics		309.17
04-07-00	Fidelity Select Electronics	289.91	
04-07-00	Fidelity Select Health Care		64.03
04-07-00	Fidelity Select Technology	450.47	
04-07-00	Fidelity Select Technology		49.70
04-07-00	Fidelity Select Utilities Growth		15.66
04-14-00	Fidelity Select Multimedia Portfolio	16.01	

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SCI Capital Management

REALIZED GAINS AND LOSSES

JIM NUSSLE

INDUSTRY SELECT PORTFOLIO

From 01-01-00 Through 12-31-00

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
	04-14-00		Fidelity Select Multimedia Portfolio				92.15
	04-14-00		Fidelity Select Cyclical Industries			6.89	
	04-14-00		Fidelity Select Cyclical Industries				6.89
	04-14-00		Fidelity Select Industrial Equipment			4.68	
	04-14-00		Fidelity Select Industrial Equipment				16.40
	04-14-00		Fidelity Select Develop Communications			92.73	
	04-14-00		Fidelity Select Develop Communications				54.80
	04-14-00		Fidelity Select Natural Gas				4.52
	04-14-00		Fidelity Select Banking Portfolio				138.24
	12-15-00		Fidelity Select Financial Services				105.29
	12-15-00		Fidelity Select Utilities Growth				123.53
	12-22-00		Fidelity OTC Portfolio				37.32
	12-22-00		Fidelity Select Air Transportation				30.41
	12-22-00		Fidelity Select Air Transportation			11.32	
	12-22-00		Fidelity Select Consumer Industries				97.86
	12-22-00		Fidelity Spartan Extended Market Index			131.09	
	12-22-00		Fidelity Spartan Extended Market Index				83.23
TOTAL DISTRIBUTIONS			2,372.66			1,010.11	1,362.55
			[illegible]			-6,932.62	4,362.55
TOTAL GAIN/LOSS			-15,570.07				

SCI Capital Management

PORTFOLIO APPRAISAL

JIM NUSSLE

December 31, 2000

Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Cur. Yield
CASH AND EQUIVALENTS							
	Cash		7,659.03		7,659.03	7.1	5.7
			7,659.03		7,659.03	7.1	5.7
COMMON STOCK							
55	I Shares DJ US Fincl Svcs	96.88	5,328.13	98.81	5,434.69	5.0	2.1
185	Nasdaq 100 Trust	59.72	11,047.97	58.38	10,799.38	9.9	0.0
			16,376.10		16,234.06	15.0	0.7
Capital Goods							
140	Tyco International Ltd	42.31	5,923.75	55.50	7,770.00	7.2	0.1
			5,923.75		7,770.00	7.2	0.1
Communication Services							
124	ADC Telecommunication Inc	21.44	2,658.25	18.13	2,247.50	2.1	0.0
192	Crown Castle Intl Corp	29.92	5,744.25	27.06	5,196.00	4.8	0.0
147	Nextel Communication Inc	41.25	6,064.00	24.75	3,638.25	3.4	0.0
9	SDL Inc	228.06	2,052.56	148.19	1,333.69	1.2	0.0
100	Tellabs Inc	58.44	5,843.75	56.50	5,650.00	5.2	0.0
120	Vitesse	71.38	8,565.00	55.31	6,637.50	6.1	0.0
57	Worldcom Inc	52.08	2,968.75	14.06	801.56	0.7	0.0
42	Xilinx Inc.	63.46	2,665.25	46.13	1,937.25	1.8	0.0
			36,561.81		27,441.75	25.3	0.0
Financials							
133	Citigroup Inc	33.71	4,483.17	51.06	6,791.33	6.3	1.1
64	J P Morgan Chase & Co	46.44	2,972.00	45.44	2,908.03	2.7	2.8

SCI Capital Management

PORTFOLIO APPRAISAL

JIM NUSSLE

December 31, 2000

Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Cur. Yield
50	Merrill Lynch & Co Inc	63.21	3,165.63	68.19	3,409.38	3.1	0.9
			10,620.80		13,108.74	12.1	1.4
Health Care							
50	Johnson & Johnson	77.44	3,871.88	105.06	5,253.13	4.8	1.2
			3,871.88		5,253.13	4.8	1.2
Technology							
160	Cisco Systems	66.72	10,675.00	38.25	6,120.00	5.6	0.0
108	Dell Computer Corp	23.00	2,484.00	17.44	1,883.25	1.7	0.0
130	Intel Corp	40.91	5,318.13	30.06	3,908.13	3.6	0.3
55	Microsoft Corp	94.93	5,221.56	43.38	2,385.63	2.2	0.0
36	Network Appliance Inc	61.30	2,206.98	64.19	2,310.75	2.1	0.0
82	Nortel Networks Corp.	46.44	3,807.88	32.06	2,629.13	2.4	0.2
340	Sun Microsystems Inc	19.33	6,572.19	27.88	9,477.50	8.7	0.0
50	Texas Instruments	40.91	2,045.52	47.38	2,368.75	2.2	0.2
			38,331.05		31,083.13	28.6	0.1
	COMMON STOCK Total		111,685.39		100,890.80	92.9	0.4
TOTAL PORTFOLIO			119,344.42		108,549.83	100.0	0.8

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A — For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER (16)

2001 MAY 15 PM 4:49

OFFICE OF THE CLERK U.S. HOUSE OF REPRESENTATIVES

MC

(Office Use Only)

James L. Oberstar
(Full Name)

2365 Rayburn House Office Building Washington, DC 20515
(Mailing Address)

202-225-6211
Daytime Telephone:

Filer Status: ☑ Member of the U.S. House of Representatives — State: MN — District: 8 ☐ Officer Or Employee — Employing Office:

Report Type: ✓ Annual (May 15) — Amendment — Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☑ No ☐

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	James L. Oberstar	5.15.2001

3498

SCHEDULE I - EARNED INCOME

Name James L. Oberstar

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
JKO, Inc.	Spouse Salary	N/A

SCHEDULE II - PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

Name James L. Oberstar

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Preston, Gates, Ellis, & Rouvelas, Meeds, LLP	Speech	4/18/00	$1,000
Design Professionals Coalition	Speech	4/28/00	$1,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James L. Oberstar

3501

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Kurth & Co. - Money Purchase Fund	$1,000,001 - $5,000,000	N/A	N/A	
SP	Kurth & Co. - Benefit retirement fund	$500,001 - $1,000,000	N/A	N/A	
SP	Kurth Bypass Trust		EXCEPTED TRUST	NONE	
SP	Kurth QTipA Trust		EXCEPTED TRUST	NONE	
SP	Cepheid, Inc.	$15,001 - $50,000	DIVIDENDS	NONE	P
SP	DAL Tile Int'l, Inc.	$15,001 - $50,000	DIVIDENDS	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James L. Oberstar

SP	Digene Corp.	$15,001 - $50,000	DIVIDENDS	NONE	
SP	Fedders Corp. Class A	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Hilton Hotels Corp.	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
SP	Iron Mountain Inc.	$50,001 - $100,000	DIVIDENDS	NONE	
SP	Johnson & Johnson	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
SP	Midcoast Energy Resources	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Penn Nat'l Gaming Inc.	$15,001 - $50,000	DIVIDENDS	NONE	
SP	Pharmaceutical Resources, Inc.	$15,001 - $50,000	DIVIDENDS	NONE	P
SP	Reptron Electronics, Inc.	$15,001 - $50,000	DIVIDENDS	NONE	
SP	Vitesse Semiconductor Corp.	$100,001 - $250,000	DIVIDENDS	NONE	
SP	Xicor	$15,001 - $50,000	DIVIDENDS	NONE	
SP	3 Tec Energy Corp.	$15,001 - $50,000	DIVIDENDS	NONE	P
SP	Command Money Fund	$15,001 - $50,000	DIVIDENDS	$1 - $200	
SP	Safeway Inc. Sr. Sub Debentures	$15,001 - $50,000	INTEREST	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James L. Oberstar

SP	Prudential Jennison Intl Growth	$15,001 - $50,000	DIVIDENDS	NONE	P
SP	Prudential Money Market	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	C&D Technologies Inc.	$100,001 - $250,000	DIVIDENDS	$201 - $1,000	S
SP	Corixa Corp.	$15,001 - $50,000	DIVIDENDS	NONE	P
SP	Iron Mountain, Inc.	$50,001 - $100,000	DIVIDENDS	NONE	
SP	Peregrine Systems, Inc	$1,001 - $15,000	DIVIDENDS	NONE	
SP	Pharmaceutical Resources, Inc.	$15,001 - $50,000	DIVIDENDS	NONE	P
SP	C & D Technologies	None	CAPITAL GAINS	$50,001 - $100,000	S
SP	Saul Centers	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	
SP	St. Mary Land Exploration Co.	$50,001 - $100,000	DIVIDENDS	$1 - $200	P
SP	Xicor, Inc.	$1,001 - $15,000	DIVIDENDS	NONE	
SP	3 Tec Energy Corp.	$15,001 - $50,000	DIVIDENDS		P
SP	Prudential Jennison Intl Growth Fund B	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	Command Money Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James L. Oberstar

SP	Arch Communications	$15,001 - $50,000	INTEREST	$5,001 - $15,000	
SP	Frisby Technologies, Inc.	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	Hilton Hotels Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Johnson & Johnson	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	MTR Gaming Group, Inc.	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	Penn Natl Gaming, Inc.	$1,001 - $15,000	DIVIDENDS	NONE	
SP	Pharmaceutical Resources	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	Saul Centers	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
SP	Xicor	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	Command Money Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Unisys Corp.	None	CAPITAL GAINS	$201 - $1,000	S
SP	Iron Mountain	None	CAPITAL GAINS	$2,501 - $5,000	S
SP	Peregrine Systems	None	CAPITAL GAINS	$5,001 - $15,000	S
	Prudential Command Money Market	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James L. Oberstar

JT	Smith Barney Managed Money Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
JT	Smith Barney Managed Money Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	US Treasury Note	$15,001 - $50,000	INTEREST	$201 - $1,000	
	US Treasury Note	None	CAPITAL GAINS	$1 - $200	S
SP	Hilton Hotels Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Johnson & Johnson	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Penn Natl Gaming Inc.	$15,001 - $50,000	DIVIDENDS	NONE	
SP	Pharmaceutical Resources	$15,001 - $50,000	DIVIDENDS	NONE	P
SP	Reptron Electronics Inc	$1,001 - $15,000	DIVIDENDS	NONE	
SP	Saul Centers	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
SP	Stevens Intl Inc. Class A	$1 - $1,000	DIVIDENDS	NONE	
SP	3 Tec Energy Corp	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
SP	Prudential Command Money Market	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Unisys Corp.	None	CAPITAL GAINS	$15,001 - $50,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James L. Oberstar

SP	Felicity St. Charles	$15,001 - $50,000	RENT	$5,001 - $15,000	P
SP	John Hancock Reg. Bank	$100,001 - $250,000	DIVIDENDS	NONE	
SP	Pioneer Growth Shares B	$50,001 - $100,000	DIVIDENDS	NONE	
SP	John Hancock Regional Bank	$50,001 - $100,000	DIVIDENDS	$5,001 - $15,000	
SP	Chase Vista Growth & Income A	$250,001 - $500,000	DIVIDENDS	$15,001 - $50,000	
SP	Zurich Gov. Money Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Kemper High Yield Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Paine Webber Cash Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
SP	Pioneer Growth Class B	$50,001 - $100,000	DIVIDENDS	$5,001 - $15,000	
SP	John Hancock Regional Bank	$100,001 - $250,000	DIVIDENDS	$15,001 - $50,000	
SP	GRC Intl inc.	None	CAPITAL GAINS	$15,001 - $50,000	S
SP	Unisys	None	CAPITAL GAINS	$15,001 - $50,000	S
SP	Vitesse	None	CAPITAL GAINS	$50,001 - $100,000	S
SP	Southview & Prince William	$15,001 - $50,000	RENT	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James L. Oberstar

SP	2402 37th St. NW Washington DC (50%)	$50,001 - $100,000	RENT	$5,001 - $15,000
SP	Paine Webber	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500
	Cong. Federal Credit Union	$50,001 - $100,000	INTEREST	$1,001 - $2,500
	Cong Fedearl Credit Union (IRA)	$1,001 - $15,000	INTEREST	$1 - $200
	Chevy Chase Bank	$1,001 - $15,000	INTEREST	$1 - $200

SCHEDULE IV - TRANSACTIONS

Name James L. Oberstar

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Cepheid, Inc.	P	10/18/00	$15,001 - $50,000
SP	DAL Tile Int'l, Inc.	P	10/20/00	$15,001 - $50,000
SP	Hilton Hotels Corp.	P	7/24/00	$15,001 - $50,000
SP	Pharmaceutical Resources, Inc.	P	4/10/00 7/26/00	$1,001 - $15,000
SP	3 Tec Energy Corp.	P	8/10/00	$15,001 - $50,000
SP	Prudential Jennison Intl Growth	P	4/7/00	$15,001 - $50,000
SP	Corixa Corp.	P	10/20/00	$15,001 - $50,000
SP	Pharmaceutical Resources, Inc.	P	10/24/00	$1,001 - $15,000
SP	C & D Technologies	S	10/18/00	$50,001 - $100,000
SP	St. Mary Land Exploration Co.	P	10/18/00	$15,001 - $50,000
SP	3 Tec Energy Corp.	P	8/7/00 10/20/00 12/18/00	$15,001 - $50,000
SP	Prudential Jennison Intl Growth Fund B	P	4/7/00	$15,001 - $50,000

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SCHEDULE IV - TRANSACTIONS

Name James L. Oberstar

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Unisys Corp.	S	4/7/00	$50,001 - $100,000
SP	GRC Intl Inc.	S	3/16/00	$50,001 - $100,000
SP	Irvine Sensors Corp	S	10/18/00	$1,001 - $15,000
SP	Midcoast Energy Resources, Inc.	S	7/26/00	$15,001 - $50,000
SP	NBC Internet, Inc.	S	10/18/00	$1,001 - $15,000
SP	Safeguard Scientifics, Inc.	S	7/24/00	$15,001 - $50,000
SP	Vitesse Semiconductor	S	10/18/00 4/11/00	$15,001 - $50,000
SP	Pioneer Growth Class B	P	Dividend Reinvestment	$1,001 - $15,000
SP	John Hancock Regional Bank	P	Dividen Reinvestment	$15,001 - $50,000
SP	Kemper High Yield Fund	P	Dividend Reinvestment	$1,001 - $15,000
SP	Chiquita Brands	S	10/18/00	$1,001 - $15,000
SP	Compuware Corp.	S	4/7/00	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name James L. Oberstar

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Irvine Sensors	S	10/18/00	$1,001 - $15,000
SP	Safeguard Scientific	S	7/24/00	$15,001 - $50,000
SP	Sage, Inc.	S	7/24/00	$1,001 - $15,000
SP	Scan Optics	S	10/18/00	$1,001 - $15,000
SP	Stevens Int'l Inc. Class A	S	10/18/00	$1,001 - $15,000
SP	John Hancock Regional Ben.	P	Dividend reinvestment	$1,001 - $15,000
SP	Chase Vista Growth & Income	P	Dividend reinvestment	$1,001 - $15,000
SP	Hilton Hotels Corp.	P	7/26/00	$1,001 - $15,000
SP	Pharmaceutical Resources	P	4/10/00	$1,001 - $15,000
SP	3 Tec Energy Corp.	P	8/10/00	$1,001 - $15,000
SP	Safeguard Scientific	PS	4/7/00 7/24/00	$1,001 - $15,000
SP	Unisis Corp.	S	4/7/00	$15,001 - $50,000

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SCHEDULE IV - TRANSACTIONS

Name James L. Oberstar

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	US Treasury Note	S	4/17/00	$15,001 - $50,000
SP	Frisby Technology	P	4/10/00 4/7/00	$1,001 - $15,000
SP	MTR Gaming	P	8/1/00	$1,001 - $15,000
SP	Hilton Hotels	P	8/1/00	$1,001 - $15,000
SP	Pharmaceutical Resources	P	4/7/00	$1,001 - $15,000
SP	Xicor	P	8/1/00	$1,001 - $15,000
SP	Unisis Corp.	S	4/7/00	$1,001 - $15,000
SP	Iron Mountain	S	8/1/00	$1,001 - $15,000
SP	Peregrine Systems	S	8/1/00	$15,001 - $50,000
SP	Felicity St. Charles	P	1/1/00	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name James L. Oberstar

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Bicycle Retailers Educ. Conf.	Jan. 14-16	Wash., DC-San Diego, CA-Wash., DC	Y	Y	N	N/A
United News & Media	Mar. 17-20	Wash., DC-Monterey, CA- Wash., DC	Y	Y	N	2 days
Outdoor Advertisers Assn.	Apr. 7-10	Pittsburgh, PA-New Orleans, LA-Wash., DC	Y	Y	Y	2 days
New York University	June 19-20	Wash., DC-New York, NY-Wash., DC	Y	Y	N	N/A
San Jose State Univ. (IISTPS)	June 23-26	Wash., DC-San Jose-Sacramento, CA-Wash., DC	Y	Y	N	2 days
Bikes Belong Coalition	Sept. 22-24	Wash., DC-Las Vegas, NV-Wash. DC	Y	Y	N	N/A

SCHEDULE VIII - POSITIONS

Name James L. Oberstar

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Honorary Board Member	George Washington University Medical Center, Cancer Center Advisory Board
Board of Trustees (Position by federal statute)	John F. Kennedy Center for the Performing Arts
Board of Trustees (Position by federal statute)	Int'l Institute for Surface Transportation Policy Studies

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A — For use by Members, officers, and employees

HAND DELIVERED

4

LEGISLATIVE RESOURCE CENTER

2001 MAY 15 PM 4:16

(Office Use Only)

CLERK
U.S. HOUSE OF REPRESENTATIVES

Name	David R. Obey (Full Name)
Mailing Address	2314 Rayburn House Office Building Washington, DC 20515
Daytime Telephone	(202) 225-3365

Filer Status	☑ Member of the U.S. House of Representative — State: WI District 07	☐ Officer Or Employee	Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief		5/15/01

3514

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David R. Obey

	BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E Transaction Indicate if asset wes purchased (P), sold (S), or exchanged (E) in reporting year.
	Fidelity Trust	$15,001 - $50,000	(IRA)	N/A	
	The Vandguard Group	$1,001 - $15,000	(Roth IRA)	N/A	
SP	First Union National Bank	$1,001 - $15,000	(IRA)	N/A	
SP	The Vandguard Group	$1,001 - $15,000	(IRA)	N/A	
SP	The Vandguard Group	$1,001 - $15,000	(Roth IRA)	N/A	
SP	U.S Savings Bond Series EE	$1,001 - $15,000	(No Income Yet Derived)	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David R. Obey

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	U.S. Treasury Bill (Redeemed in 2000 so end of year value is $0)	None	INTEREST	$201 - $1,000	
JT	Wright-Patman Congressional Federal Credit Union	$15,001 - $50,000	INTEREST	$1,001 - $2,500	

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name David R Obey

Identify the source and list travel itinerary, detes, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Aspen Institute (Conference on Education Reform)	Jan. 10-16, 2000	Member: Wash., D.C. -Fort Myers, FL-EauClaire, WI Spouse. Wash.,DC-Fort Myers, FL-Wash., D.C.	Y	Y	Y	3 Days
Aspen Institute (Conference on the Global Environment)	Feb. 18-22, 2000	Wash., D.C.-San Juan, Puerto Rico-Wash., D.C.	Y	Y	Y	N/A
Aspen Institute (Conference on U S Policy Toward Cuba)	April 17-22, 2000	Wash., D.C.-Grand Cayman-Wash., D.C	Y	Y	Y	N/A
Aspen Institute (Conference on U.S.-China Relations)	May 30-June 3, 2000	Wash., D.C.-Vancouver, B.C.-Wash., D.C.	Y	Y	Y	N/A

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A For use by Members, officers, and employees

INSIDE MAIL

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(Office Use Only)

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HC

3518

John Walter Olver
(Full Name)

P.O. Box 28 Amherst, MA 01004
(Mailing Address)

(202) 225-5335
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives — State: MA, District: 01	☐ Officer Or Employee — Employing Office:	**A $200 penalty shall be assessed against anyone who files more than 30 days late.**
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☑ No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John W. Olver	8 May 01

SCHEDULE I - EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Amherst College -- Amherst, MA	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Walter Olver

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependant child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	AMCAP Fund	$15,001 - $50,000	DIVIDENDS, CAPITAL GAINS	$1,001 - $2,500	
JT	Carlyle Real Estate, Ltd.	$1,001 - $15,000	INTEREST, CAPITAL GAINS	$201 - $1,000	
JT	Canada Southern Petroleum, Ltd.	$1,001 - $15,000		NONE	
JT	Magellan Petroleum Corp.	$1 - $1,000		NONE	
JT	33 Myrtle Street, Boston, MA	$50,001 - $100,000	RENT	$5,001 - $15,000	
JT	120 acres of unimproved land at 117 Central Street, Plainfield, MA	$50,001 - $100,000		NONE	

3520

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Walter Olver

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Daily Cash Accumulation Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	27 acres of unimproved land on Buffam Road, Pelham, MA	$50,001 - $100,000		NONE	
JT	45 acres of unimproved land on Valley Road, Pelham, MA	$15,001 - $50,000		NONE	
JT	25 acres of unimproved land on Beachlake, Berlin Township, PA	$50,001 - $100,000		NONE	
SP	Fleet Bank, 1 South Pleasant Street, Amherst, MA	$15,001 - $50,000	INTEREST	$201 - $1,000	
SP	Scudder Northeast Mutual Fund	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	

3521

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Walter Olver

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assat or aource of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an addresa. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your apouse's child, parent, or sibling; any deposits totaling $5,000 or less in peraonal savings accounts; any financial interest in or income derived from U.S. Government retirement programa. If you so choose, you may indicate that an asset or income source is that of your apouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only becausa it is ganerated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categorlea, specify the type of income by writing a brisf description in thia block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if assat was purchased (P), sold (S), or exchangad (E) in reporting year.
SP	Texas Instruments, Inc stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Pharmacia Corporation stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Massachusetts state employee pension	$100,001 - $250,000	PENSION	$15,001 - $50,000	
SP	Massachusetts Finance Agency bonds	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Massachusetts Water Resources bonds	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Compaq Computer Corporation stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	

3522

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Walter Olver

3523

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	CMGI, Inc stock	$1 - $1,000		NONE	
JT	Internet Capital stock	$1 - $1,000		NONE	
JT	Terra Lycos, SA stock	$1,001 - $15,000		NONE	
SP	Eastman Kodak Company stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE V - LIABILITIES

Name John Walter Olver

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Fleet Bank, 1 Monarch Place, Springfield, MA	Mortgage on 33 Myrtle Street, Boston, MA	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name John Walter Olver

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions liated on Schedule I.

Position	Name of Organization
Vice President	Americans For Democratic Action, 1625 K Street, NW, Washington, DC 20006
Alumni Representative	M.I.T. Visiting Committee for the Department of Political Science, Massachusetts Institute of Technology, 77 Massachusetts Avenue, Cambridge, MA 02139

SCHEDULE IX - AGREEMENTS

Name John Walter Olver

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
6/14/91	Massachusetts State Employee Life Insurance Program	Continuing benefits as a state employee
6/14/91	Masschusetts State Employee Retirement Program	Retirement effective: November 20, 1992

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED (9)

LEGISLATIVE RESOURCE CENTER

2001 MAY 11 PM 4:14

HC OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Solomon Porfirio Ortiz
(Full Name)

2304 Rayburn HOB, Washington, D.C. 20515 202/225-7742
(Mailing Address) Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State: Texas District: 27	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Solomon P. Ortiz	MAY-10-01

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Texas County and District Retirement System	Retirement	$31,669.20

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
None			

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Name Solomon Porfirio Ortiz

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each* asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP	Mega Corp Stock					X								X										X								P
DC	Examples: Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X									X							
	1250 4th St SW Wash DC					X									X										X							
	Amtex Security, Inc. Corpus Christi, TX					X								X												X						
	Wright Patman Cong Federal Credit Union				X									X										X								
	Unimproved lots (3) in Robstown, TX			X																none	X											
JT	4601 Carmen Unit 2104 Corpus Christi, TX				X										X										X							
JT	1011 Ennis Joslin Unit 108 Corpus Christi, TX				X										X					see below	X	*										

* This property is occupied by a member of the family who is paying no rent, thus no income.

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Solomon Porfirio Ortiz

Page 5 of 9

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Van Kampen Funds Pace Fund A - IRA				X									X			X				X											
	AXA Financial, Inc.			X										X							X											
	Equitable non-qualified annuity						X													none	X											
	Equitable - IRA				X															none	X											
	PLM Equipment Growth Fund II (lease of construction equipment in California)		X											X								X										
	Prominet, Inc. (formerly known as Worldwide Wireless Systems)			X																none												none

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Name Solomon Porfirio Ortiz

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset		Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example*	Maga Corporation Common Stock	X			10–12–00		X								
	None															

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** dunng the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Mercantile Bank, Corpus Christi, TX	Line of credit to Amtex Security, Inc.			X							

SCHEDULE VI — GIFTS

Report the source, a bnef description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits ecceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr Joseph H. Smith, Anytown, Anystate	Silver Platter (datarmination on personal friendship received from Committee on Standards)	$270
None		

Use additional sheets if more space is required

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Clavaland	Y	Y	Y	2 Days
Congressional Hispanic Caucus Institute	Jan 19-23	Wash., D.C. - San Juan, Puerto Rico - Wash., D.C.	Y	Y	N	N
Asia Access	Apr 15-28	Wash., D.C. - Shanghai, China -Beijing- Dalian - Shanghai - Hangzhou - Shanghai - San Francisco	Y	Y	N	4 days
Chinese National Association of Industry & Commerce	May 29 - June 2	Wash., D.C. - Taipei, Taiwan - Los Angeles - Corpus Christi, TX	Y	Y	N	N

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Amtex Security, Inc. - Corpus Christi, Texas
Board Member	Congressional Hispanic Caucus Institute
Board Member	Overcoming Obstacles
Board Member	National Association of Latino Elected Officials

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
None		

GPO 2001 70-729 (mac)

SOLOMON P. ORTIZ
27TH DISTRICT, TEXAS

2304 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515–4327
202–225–7742

DISTRICT OFFICES:
3649 LEOPARD, SUITE 510
CORPUS CHRISTI, TX 78408
361–883–5868

3505 BOCA CHICA BOULEVARD, SUITE 200
BROWNSVILLE, TX 78521
956–541–1242

Congress of the United States
House of Representatives
Washington, DC 20515–4327

COMMITTEES
ARMED SERVICES
SUBCOMMITTEES
RANKING MINORITY, MILITARY READINESS
MILITARY INSTALLATIONS AND FACILITIES
MORALE, WELFARE AND RECREATION PANEL

RESOURCES
SUBCOMMITTEES
ENERGY AND MINERAL RESOURCES
FISHERIES, CONSERVATION, WILDLIFE, AND OCEANS

May 16, 2001

INSIDE MAIL
LEGISLATIVE RESOURCE CENTER
2001 MAY 17 AM 9:47
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC
1

Mr. Jeff Trandahl
Clerk of the House
H-154 The Capitol
Washington, D. C. 20515

Re: **Amendment to Financial Disclosure Statement for 2001**

Dear Mr. Trandahl:

I submitted my signed Financial Disclosure Statement last Friday and have discovered two minor mistakes which cause me to file this amendment.

On page 4 of 9 - **Schedule III - Assets and Unearned Income**
4601 Carmen Unit 2104 is located in Rancho Viejo, Texas, not Corpus Christi, Texas

One page 7 of 9 - **Schedule V - Liabilities**
Mercantile Bank has changed hands and the name is now Wells Fargo Bank.

Thank you for your prompt attention to these two changes on my Financial Disclosure Statement for 2001 which I filed on May 10, 2001.,

Sincerely,

Solomon P. Ortiz
Member of Congress

SPO/rb

TOM OSBORNE
3D DISTRICT NEBRASKA

COMMITTEE ON AGRICULTURE

COMMITTEE ON EDUCATION
AND THE WORKFORCE

COMMITTEE ON RESOURCES

LEGISLATIVE RESOURCE CENTER
2001 MAY 21 PM 4:12
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Congress of the United States
House of Representatives
Washington, DC 20515–2703

507 CANNON BUILDING
WASHINGTON, DC 20515
(202) 225-6435
FAX (202) 226-1385

DISTRICT OFFICES

819 N. DIERS AVE., SUITE J
GRAND ISLAND, NE 68803
(308) 381-5555
FAX (308) 381-5557

21 E. 20TH ST.
SCOTTSBLUFF, NE 69361
(308) 632-3333
FAX (308) 635-3049

May 21, 2001

HAND DELIVERED

MC

Mr. Jeff Trandahl
Clerk of the House
H-154, U.S. Capitol
Washington, DC 20515

Dear Mr. Trandahl:

This letter is to provide further explanation of data submitted on my financial disclosure statement for 2000. The financial disclosure statement indicates that I received $1,927,211 in deferred compensation for the year 2000. This compensation resulted from a total of $900,000 in deferred compensation that was awarded to me for the years 1993, 1994, 1995, 1996 and 1997. The money was invested in a separate account at the University of Nebraska Foundation and grew to $1,927,211 by January 2000. According to agreement, I was required to take the money as income in January 2000. I donated $1,008,000 of that total to charity, the largest recipient being Teammates of Nebraska. I kept $919,000 as personal income. I paid approximately $550,000 in taxes for the year 2000, most of this tax liability resulted from the deferred compensation. Unfortunately, the $919,000 taken as personal compensation is worth much less than the tax liability due to a decline in the stock market. The total amount of deferred compensation ($1,927,211) was reported as part of my net worth in my financial disclosure statement posted a year ago.

Much of the income I received during the year 2000, including the deferred income, had to do with events that had occurred prior to 2000. I received the last payment for a book that I had written three years before and also received some money from director's fees and a television commercial that had been earned prior to the year 2000. During the year 2000, I taught at the University of Nebraska in the spring semester and received no compensation. I took no director's fees from boards on which I sat after July 2000. I continued on two other boards in an advisory capacity until December 2000.

Sincerely,

Tom Osborne

TOM OSBORNE
Member of Congress

PRINTED ON RECYCLED PAPER

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 21 PM 4:14
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Thomas W. Osborne
(Full Name)

507 Cannon, Washington D.C.
(Mailing Address)

202 225-6435
Daytime Telephone

(Office Use Only) MC

Filer Status	☑ Member of the U.S. House of Representatives	State: Nebr. District: 3rd	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Tom Osborne	5/15/01

3538

Name Tom Osborne Page 1 of 2

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Christian Business Men's	Speaking	500
Wichita	Speaking	4,000
W.D.M Marketing	Speaking	2,000
Associated General Contractors	Speaking	2,000
Mid America Nazarene	Speaking	2,500
Crete Carriers	Speaking	5,000
Landscapes Unlimited	Speaking	1,500
Gallup, Inc	Speaking	10,000
Denver Area Council, B.S.A.	Speaking	10,000
Kearney State	Speaking	2,000
Fargo Church	Speaking	5,000
North Dakota State University	Speaking	1,000
Duncan Aviation Inc.	Speaking	4,500
The University of Tennessee	Speaking	2,500
Five Star Speakers & Trainers	Speaking	10,500

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Mississippi Association of Coaches	Speaking	$ 1,500
State of South Dakota	Speaking	3,140
State of Nebraska	Speaking	2,300
Info USA, Inc	Endorsement Fees	8,333
Various Speaking Engagements	Speaking	7,300
UNL Alumni	Appearance Fees	1,748
Mutual of Omaha Insurance Company	Directors Fees	51,748
Wells Fargo Bank NE, N.A.	Directors Fees	2,200
Security Financial Life Insurance Company	Directors Fees	4,000
Adidas Sales, Inc.	Advisory Board	25,000
Cabela's Inc.	Advisory Board	2,000
Cabela's Promotions	Advisory Board	22,329
State of Nebraska (Univ of Nebraska)	Salary	13,638
State of Nebraska (Univ. of Nebraska)	Deferred Compensation	1,927,211
Teachers Ins. & Annuity Assn.	Pension/Annuity	25,927

For payments to charity in lieu of honoraria, use Schedule II.

Name	Page of ____

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples.	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
	XYZ Megazine	Article	Aug. 13, 2000	$500

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10389/1

Name Tom Osborne

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or lend, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E S, P, E
SP	Mega Corp. Stock					X								X										X								P
DC,	Simon & Schuster		Indefinite																	Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X										X						
SP	WORLDCOM STK			X																	X											
	AOL STK AMER. ON-LINE "					X															X											
	AOL STK						X														X											P
	AMGEN STK							X													X											
	AXA STK						X							X										X								
	BANK OF NY STK			X										X									X									P

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Tom Osborne

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	BANK OF AMERICA STK	C	DIVIDENDS	III	P
	BERKSHIRE HATH. STK	F		I	
	CABLEVISION SYST STK	E		I	
	CHASE MANHATTAN BK STK	D	DIVIDENDS	III	P
	CHECK POINT SOFTWARE	C		I	P
	CISCO SYSTEMS STK	D		I	P
	CITIGROUP INC STK	D	DIVIDENDS	III	P
	CLEAR CHANNEL COMM	C		I	P
	COMMONWEALTH TELE	E		I	
	EL PASO ENERGY	D	DIVIDENDS	III	P
	EXODUS COMM	E		I	
	EXODUS COMM	E		I	P
	FED. HM. LOAN MTGE	C	DIVIDENDS	II	P
	FIFTH THIRD BANK	D	DIVIDENDS	III	P
	GENERAL MOTORS H	C		I	P
	INTEL STK	C	DIVIDENDS	II	P
	IBM STK	C	DIVIDENDS	II	P
	JOHNSON & JOHNSON STK	D	DIVIDENDS	III	P
	KIMBERLY CLARK STK	D	DIVIDENDS	III	
	LEVEL THREE COMM	G			
	LUCENT TECH. STK	C	DIVIDENDS	II	P
	MEDTRONIC STK	E	DIVIDENDS	II	
	MEDTRONIC STK	D	DIVIDENDS	II	P

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SCHEDULE III — ASSETS AND UNEARNED INCOME
Continuation Sheet (if needed)

Name Tom Osborne

Page 2 of 6

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	MICROSOFT STK	C		I	P
	NEXTEL COMM STK	D		I	P
	NORTEL NETWKS	E	DIVIDENDS	II	
	PFIZER	D	DIVIDENDS	III	P
	PROCTOR & GAMBLE	D	DIVIDENDS	III	
	QUALCOM	D		I	P
	QWEST COMM	G		I	
	RCN CORP	E		I	
	SCHERING PLOUGH	D	DIVIDENDS	III	
	SCHERING PLOUGH	D	DIVIDENDS	II	P
	SPYDER TRUST - TECH	D	DIVIDENDS	II	
	VERIZON COMM	E	DIVIDENDS	IV	
	WELLS FARGO & CO	D	DIVIDENDS	III	
	WORLD COM STK	G		I	
	WORLD COM STK	E		I	P
	NEW PERSPECTIVE MF	E	DIVIDENDS	III	
	NUVEEN PORTFOLIO INTERNET	D		I	
	" E COMMERCE	D	DIVIDENDS	II	
	" SEMICONDUCTOR	D		I	P
	" BANDWIDTH	D		I	P

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SCHEDULE III — ASSETS AND UNEARNED INCOME

Continuation Sheet (if needed)

Name Tom Osborne

Page 7 of 10

BLOCK A Asset and/or Income Source	SP, DC, JT	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
NUVEEN PORTFOLIO & BUSINESS					X																O										P
" NETWORK STORAGE					X																O										P
" WIRELESS					X																O										P
Field Turf								X													O										P
PAINEWEBBER ABC TRUST #6						X								X									X								P
MILLBURN GLOBAL FUND					X																X										
PAINEWEBBER MONEY MKT						X								X									X								
Black, Inc		X																		Royalties							X				
College Sports Media		X																		Royalties						X					
McGuire Mortgage		X																		Royalties					X						
Kevin Richards		X																		Royalties			X								
Wells Fargo Bank, NE																X								X							
Farm Land - Nebraska							X								X											X					
Century Properties Fund XIV		X													X	X				Partnership		X									
TGI, L.L.C.		X														X	X			Partnership					X						
Millburn Global Opportunity Fund					X											X				Partnership	X										
Real Estate Associates Ltd		X													X	X	X			Partnership			X								
Coaches Direct Inc Lincoln, Nebraska						X														S Corporation	X										
TIAA - CREF Retirement										X																X					

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SCHEDULE III — ASSETS AND UNEARNED INCOME
Continuation Sheet (if needed)

Name Tom Osborne

Page 2 of 6

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	PAINEWEBBER MONEY MKT			X										X										X							
	OMAHA PUBLIC PWR BOND					X										X									X						
	BERKELEY CO. BOND					X										X								X							
	OMAHA ARPT BOND					X										X									X						
	LINCOLN SEWER BOND					X										X									X						
	UNIV OF NEBR BOND					X										X									X						
	LINCOLN ELEC. SYS. BOND				X											X								X							
	LINCOLN PUB. BLDG BOND					X										X									X						
	NEW ORLEANS LA BOND					X										X									X						
	LINCOLN WATER SYS BOND			X												X							X								
	AMERPOR ENERGY BOND					X										X									X						
	NEBR. BDL. FIN. BOND					X										X									X						
	LANCASTER CNTY NE BOND					X										X									X						
	THURSTON CNTY NE BOND				X											X								X							P
	OMAHA BLDG COMM BOND					X										X									X						P
	NEBR. PUBLIC PWR BOND				X											X								X							
	DOUGLAS CTY NE BOND				X											X								X							

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SCHEDULE III. ASSETS AND UNEARNED INCOME

Continuation Sheet (if needed)

Name Tom Usborne

Page 4 of 6

BLOCK A Asset and/or Income Source (SP DC JT)	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction (P, S, E)
WORLDCOM 7.375% 2-01 SR. NOTE			X												X							X									
ARS CORP STK			X																	X											P
INFONET SVCS STK				X																X											P
INTEL STK				X									X								X										
LOWES CO. STK				X									X								X										
MEDTRONIC STK					X								X								X										
MICROSOFT STK				X																X											
PFIZER STK					X								X									X									
WORLDCOM STK							X													X											
AETNA REAL EST LP			X																LMTD PART				X								
CON-AM R.E. LP		X																	LMTD PART			X									
P.W. RETIREMENT M/M			X										X									X									
AMER FUNDS SM CAP MF					X								X							X											
" NEW PERSPECT. MF						X							X										X								
P.W. STRATEGY FD.					X															X											
P.W. ABC TRUST SERL				X									X								X										P

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SCHEDULE III — ASSETS AND UNEARNED INCOME
Continuation Sheet (if needed)

Name THOMAS W OSBORNE

Page ___ of ___

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	OMAHA NE SAN SWR BDX															X								X								S

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SCHEDULE III ASSETS AND UNEARNED INCOME

Continuation Sheet (if needed)

Name THOMAS W OSBORNE | Page of

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	FED. NATL MTGE STK	X											X									X									S
	FED HM. LOAN MTGE STK	X											X									X									S
	P.W. ABC TRUST # 3	X											X									X									S
	STAPLES STOCK	X																			X										S
	P.W SMALL CAP FD	X																			X										S

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SCHEDULE III — ASSETS AND UNEARNED INCOME

Continuation Sheet (if needed)

Name THOMAS W OSBORNE

Page ___ of ___

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	AVAYA INC STOCK	X																			X											P, S
	BED BATH BEYOND STK	X																			X											P, S
	CMGI INC STK	X																			X											P, S
	DIGX INC STK	X																			X											P, S
	INTERMEDIA CORP STK	X																			X											S
	FLA INC STK	X																			X											S
	P.W ABC TR #3	X												X									X									S
	BAY VIEW CAPITAL	X												X									X									S
	CARDINAL HEALTH STK	X												X								X										S
	COCA COLA CO, STK	X																			X											S
	COLGATE PALMOLIVE STK	X												X									X									S
	ICG COMM. INC. STK	X																			X											S
	MIDAMERICAN ENERGY	X																			X											S
	PEPSICO INC STOCK	X												X								X										S
	POLARIS IND STK	X												X										X								S
	SPYDER TRUST - FIN	X												X								X										S
	SHERWIN WILLIAMS STK	X																			X											S
	AMERICA FIRST MTGE	X												X									X									S

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Re: Exodus
Note change of category since original submission

ND "UNEARNED" INCOME

Name THOMAS W OSBORNE | Page 2 of

ce	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
BANK OF AMERICA STK			X										X									X								P
BERKSHIRE HATH. STK							X													X										
CABLEVISION SYST STK						X														X										
ORACLE MANHATTAN BK STK				X									X									X								P
CHECK POINT SOFTWARE			X																	X										P
CISCO SYSTEMS STK				X																X										P
CITIGROUP INC STK				X									X									X								P
CLEAR CHANNEL COMM			X																	X										P
COMMONWEALTH TELE					X															X										
EL PASO ENERGY				X									X									X								P
EXODUS COMM					X															X										
EXODUS COMM					X															X										P
FED. HM. LOAN MTGE			X										X								X									P
FIFTH THIRD BANK				X									X									X								P
GENERAL MOTORS H			X																	X										P
INTEL STK			X										X								X									P
IBM STK			X										X								X									P
JOHNSON & JOHNSON STK				X									X									X								P
KIMBERLY CLARK STK				X									X									X								
LEVEL THREE COMM							X																							
LUCENT TECH. STK			X										X								X									P
MEDTRONIC STK					X								X								X									
MEDTRONIC STK				X									X								X									P

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SCHEDULE IV — TRANSACTIONS

Name Tom Osborne

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
	THURSTON CNTY NEBR. BOND	X			11.17.00		X								
	OMAHA BLDG COMM. BOND	X			4.26.00			X							
	OMAHA NE JAN. SWR. BOND		X		1.18.00			X							
	PAINEWEBBER MONEY MARKET	X			VARIOUS DIV. REIN.	X									

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SCHEDULE IV — TRANSACTIONS

Name Tom Osborne

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SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	AES CORP STOCK	X			11.14.00	X									
	INFONET SERVICES CORP STOCK	X			3.7.00			X							
	"	X			11.9.00	X									
	PAINEWEBBER ABC TRUST SER 6	X			8.18.00		X								
	AMER. FUNDS NEW PERSPECTIVE FUND	X			VARIOUS DIV. REINS.		X								
	PAINEWEBBER ABC TRUST SER 3		X		8.18.00		X								
	FEDERAL NATL MTGE CORP STK		X		3.7.00		X								
	FEDERAL HOME LOAN MTGE CORP STK		X		3.7.00		X								
	STAPLES INC STOCK		X		3.7.00	X									
	P.W. SMALL CAP FUND		X		3.7.00	X									
	P.W MONEY MARKET	X			VARIOUS DIV. REIN	X									

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SCHEDULE IV — TRANSACTIONS

Name Tom Osborne

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
	AMERICA ON LINE STOCK	X			1.20.00				X						
	BANK OF NEW YORK STOCK	X			1.20.00	X									
	BANK OF AMERICA STOCK	X			1.20.00	X									
	CHASE MANHATTAN BANK STOCK	X			1.20.00		X								
	CHECK POINT SOFTWARE TECH. STOCK	X			11.14.00	X									
	CISCO SYST INC STOCK	X			4.17.00		X								
	CITIGROUP INC STOCK	X			11.14.00		X								
	CLEAR CHANNEL COMM. STOCK	X			11.14.00		X								
	EL PASO ENERGY STOCK	X			11.14.00		X								
	EXODUS COMM. INC STOCK	X			1.20.00				X						
	FEDERAL HOME LOAN MTGE STK	X			1.20.00	X									
	FIFTH THIRD BANCORP STOCK	X			11.14.00		X								
	GENERAL MOTORS CORP CL H STK	X			11.14.00		X								
	INTEL CORP. STOCK	X			4.17.00		X								
	IBM STOCK	X			11.14.00		X								
	JOHNSON & JOHNSON STOCK	X			11.14.00		X								

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SCHEDULE IV — TRANSACTIONS

Name Tom Osborne

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
	LUCENT TECHNOLOGIES STOCK	X			4·17·00		X								
	MEDTRONIC INC STOCK	X			1·20·00		X								
	MICROSOFT CORP. STOCK	X			4·17·00		X								
	NEXTEL COMMUNICATIONS STOCK	X			1·20·00			X							
	PFIZER INC STOCK	X			1·20·00		X								
	QUALCOMM INC STOCK	X			2·2·00		X								
	SCHERING PLOUGH CORP STOCK	X			11·14·00		X								
	WORLD COM INC STOCK	X			1·20·00				X						
	NUVEEN SEMICONDUCTOR PORTFOLIO	X			2·2·00		X								
	NUVEEN BANDWIDTH PORTFOLIO	X			2·2·00		X								
	NUVEEN E-BUSINESS PORTFOLIO	X			2·2·00		X								
	NUVEEN NETWORK STORAGE PORTFOLIO	X			2·4·00		X								
	NUVEEN WIRELESS PORTFOLIO	X			2·2·00		X								
	P.W. ABC EQUITY TRUST #6	X			8·18·00			X							

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SCHEDULE IV — TRANSACTIONS

Name Tom Osborne

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
	AVAYA INC STOCK	X	X		P 4.17.00 S 11.9.00	X									
	BED BATH & BEYOND INC STOCK	X	X		P 1.20.00 S 11.22.00		X								
	CMGI INC STOCK	X	X		P 1.21.00 S 11.9.00	X									
	DIGEX INC STOCK	X	X		P 2.2.00 S 9.6.00		X								
	INTERMEDIA CORP STOCK		X		8.17.00		X								
	FLA INC STOCK		X		8.17.00		X								
	PW. ABC EQUITY TRUST #3		X		8.18.00	X									
	"	X	X		P. 1.20.00 S 8.18.00		X								
	BAY VIEW CAPITAL CORP STK		X		2.2.00		X								
	CARDINAL HEALTH INC STOCK		X		2.2.00	X									
	COCA COLA CO. STOCK		X		2.2.00		X								
	COLGATE PALMOLIVE CO. STOCK		X		9.15.00			X							
	GILLETTE CO. STOCK		X		11.9.00		X								
	ICG COMMUNICATIONS INC STK		X		11.9.00	X									
	MIDAMERICAN ENERGY HLDGS		X		3.16.00				X						
	PEPSICO INC STOCK		X		2.2.00		X								

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10/10/19

SCHEDULE IV — TRANSACTIONS

Name Tom Osborne

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
	POLARIS INDUSTRIES STOCK		X		11.9.00			X							
	SPYDER FINST - FINANCIAL		X		2.2.00		X								
	SHERWIN WILLIAMS CO. STOCK		X		2.2.00		X								
	AMERICA FIRST MORTGAGE STK		X		11.9.00	X									
	PAINEWEBBER MONEY MARKET	X			VARIOUS DIV. REIN	X									

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Name	Page ___ of ___

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	Mutual of Omaha Insurance Company
Director	Wells Fargo Bank NE, NA
Director	Security Financial Life Insurance Company
Advisory Board	Cabela's
Advisory Board	Adidas Sales, Inc.
Professor	University of Nebraska - Lincoln

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2001 70-729 (mac)

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any reilgious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Athletic Department	University of Nebraska Foundation

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2001 70-729 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 3:13
MC
CERTIFIED BY THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Douglas A. Ose
(Full Name)
4013 Park Road
(Mailing Address)
Sacramento CA 95841
Daytime Telephone 202-225-5716

Filer Status	[X] Member of the U.S. House of Representatives	State CA District 3	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Douglas A. Ose	5-15-01

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Mercy General Hospital	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug 13, 2000	$500
NONE			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			Block A	A None	B $1,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000	DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $201 – $1,000	III $1,001 – $2,500	IV $2,501 – $5,000	V $5,001 – $15,000	VI $15,001 – $50,000	VII $50,001 – $100,000	VIII $100,001 – $1,000,000	IX $1,000,001 – $5,000,000	X Over $5,000,000	XI	Block E P, S, E
SP.	Examples:	SP	Mega Corp Stock				X								X														P
DC.			Simon & Schuster		Indefinite													Royalties								X			
JT			1st Bank of Paducah, KY accounts					X								X													
	Ose LP											X											X						
	Metenco, Inc.									X												X							
	River Crest West LP										X												X						
	Lewis Road LP										X														X				
	Natomas Racquet Club							X														X							
	4 Sylvan LP							X											X										

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Douglas A. Ose

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Lochenour 2 LP										X						X												X			S
	Sydney Court Investment Co, Inc						X										X												X			
	Mellon, LP											X		X	X		X												X			
	Broadstone Racquet Club LP						X								X											X						
	IBM Common					X								X										X								P(DRIP)
	Bank of America Common					X								X										X								P(DRIP)
	St. Joe Corp Common			X										X									X									
	Lucent Technology Common			X										X									X									
	AT+T Company			X										X									X									
	Hewlett Packard Common			X										X									X									P(DRIP)
	Time Warner Common			X										X								X										
	Intel Corp Common				X									X									X									
	State Street Bank Common			X										X								X										P(DRIP)
	Calpine Corp Common				X									X								X										P
	Ose Properties, Inc.										X			X	X	X	X											X				
	Ose Properties No. 2								X						X						X											
	Ose Properties No. 3									X					X											X						
	Ose Properties No. 4								X						X													X				
	MMSD, Inc								X											None	X											
	2350 University Ave						X								X											X						
	Ose Properties No. 5									X				X			X										X					
	Iowa Farm - Hamilton County				X										X									X								

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Douglas A. Ose

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	NeTrend Health Corp Common			x																	x											
	Cuscia Common			x																	x											P
	Castle and Cooke Common			x																	x											P, S
	AT+T Wireless Common			x																	x											
	Agilent Technologies Common			x																	x											
	Cypress Semiconductor Common			x																	x											
	Florida East Coast Ind. Cl. B			x																		x										
	General Electric Common					x								x									x									P(DRIP)
	Global Marine Common			x																	x											
	Corning Inc. Common				x									x								x										
	Orbital Engine Corp Ltd. Common			x																	x											P
	Veraator Common			x																	x											
	Tricon Global Rest. Common	x																			x											
	Avaya Common	x																			x											E (spinoff)
	~~[illegible]~~			~~x~~										~~x~~								~~x~~										
	Ose Properties No. 6											x		x	x		x											x				
	Ose Properties No. 7	Terminated																														CLAT expired
	Ose Properties No. 8									x				x	x		x				x											

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SCHEDULE IV — TRANSACTIONS

Name Douglas A. Ose

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
	Castle and Cooke, Inc Common	X	X		7-12-00 9-21-00	X									
	Calpine Inc Common	X			10-31-00	4	X								
	Orbital Engineering Common	X			3-28-00	X									
	Unisco Common	X			7-12-00	X									
	Intel Common	X			9-25-00	X									
	Intel Calls	X			12-5-00		X								
	IDT Corp Common	X	X		2-7-00 3-31-00	X	X								
	ATT Wireless Common	X			5-2-00	X									
	Locheroam, 2 (real property)		X		12-6-00							X			

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Name Douglas A. Ose | Page 8 of 10

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Wells Fargo Realty Finance	Mortgage - Lodgeroom 2							X			
	Calif Dept of Transportation	Mortgage 4 Sylvan		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

Name Douglas A. Ose | Page 1 of 10

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	California Council Int'l Trade	11/00 (?)	Sacto - Monterey - Sacto	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
General Partner	Lochwoods 2, a California Limited Partnership
General Partner	4 Sylvan, a California Limited Partnership
President	Sydling Court Investment Company, Inc.

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2001 70-729 (mac)

Use additional sheets if more space is required.

INSIDE MAIL

(1)

MC

LEGISLATIVE RESOURCE CENTER
2001 JUN 13 AM 10: 03
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MEMO TO: Clerk of the House
MEMO FROM: Hon Doug Ose
RE: Responding to your request of June 6, 2001
DATE: June 6, 2001

Pursuant to your request of earlier today, I submit the following information as an amendment to the Financial Disclosure Form filed May 15, 2001.

Ose LP invests in any number of securities and real estate ventures. The management of Ose LP rests with a Board of Directors that I resigned from prior to being sworn in as a Member of the 106th Congress. I have had no participation in the management or decisions made by the Board of Directors of Ose LP since that time. The income received by Ose LP prior to January 1, 1999, came in the form of dividends, interest, rent, and capital gains. While I do not presently know the source of any income received by Ose LP during calendar year 2000, I suspect that there was little change in the source of income during 2000.
River Court West LP owns rental real estate (an office building) located at 2399 American River Drive, Sacramento, CA 95825.
Levee Road LP owns rental real estate (a ministorage facility) located on Levee Road, Folsom, CA.
4 Sylvan LP owns 3.5+/- acres in the City of Sacramento. This property has been held for over ten years There is no income from this property.
Lockerooms 2 LP owned rental real estate (a ministorage facility) located at 2060 Wyda Way, Sacramento, CA.
Mellow LP operates as the General Partner to a number of limited partnerships. The income received by Mellow LP comes in the form of proportionate shares of annual income of the partnerships it serves as General Partner.
Broadstone Racquet Club LP operates a swimming and tennis club in Folsom, CA. Its income and subsequent distributions are comprised of net membership dues received each month.
Ose Properties, Inc., invests in any number of securities and real estate ventures. The management of Ose Properties, Inc., rests with a Board of Directors that I resigned from prior to being sworn in as a Member of the 106th Congress. I have had no participation in the management or decisions made by the Board of Directors of Ose Properties, Inc., since that time. The income received by Ose Properties, Inc., prior to January 1, 1999, came in the form of dividends, interest, rent and capital gains. While I do not presently know the source of any income received by Ose Properties, Inc., during calendar year 2000, I suspect that there was little change in the source of income during 2000
Ose Properties No. 2 LP owns vacant land located at Interstate 5 and Del Paso Road in Sacramento, CA The land is leased to a farmer who grows rice Any income is rent under that lease
Ose Properties No. 3 LP owns vacant land located at State Highway 99 and Elverta Road. The land is leased to a farmer who grows rice. Any income is rent under that lease.
Ose Properties No. 4 LP owns rental real estate (a ministorage) located at 10280 Coloma Road, Rancho Cordova, CA 95670.
Ose Properties No. 5 LP owns rental real estate (a ministorage) located on Blue Oaks Road, Roseville, CA.
Ose Properties No. 6 LP owns various securities and real estate. In previous years, its income has come from rent, interest and capital gains. I suspect that didn't change in calendar year 2000
Ose Properties No. 7 LP was an estate planning tool that expired worthless.
Ose Properties No. 8 LP owns various securities. In previous years, its income has come from capital gains and dividends. I suspect that didn't change in calendar year 2000.

Doug Ose

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 3:57
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

17
MC

C. L. "Butch" Otter
(Full Name)

1009 Star Road
(Mailing Address)

(202) 225-6611
Daytime Telephone

Star, ID 83669

Filer Status	[X] Member of the U.S. House of Representatives	State: Idaho District: 1	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X] No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		May 14 2001

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Name C.L. "Butch" Otter	Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	J.R. Simplot Co.	Deferred Comp.	30,288
	J.R. Simplot Co. - SERP	Retirement Plan	33,647
	J.R. Simplot Co.	Deferred Comp.	131,852
	J.R. Simplot Co.	Pension	41,584
	State of Idaho	Lieutenant Governor	38,360

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name C.L. "Butch" Otter

BLOCK A Asset and/or Income Source	BLOCK B Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK D Transaction
	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,001	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	purchased (P) sold (S) exchanged (E)
Western Capital Associates								X											PARTNERSHIP							X					
Piper Pub & Grill Limited Partnership			X																PARTNERSHIP				X								
W.E. Pierce Building Associates				X															PARTNERSHIP				X								
Craftin Apartments					X														PARTNERSHIP			X									
Receivable sale of Gem Star Security						X									X									X							
G O Ranches, Inc									X										S CORP	X											
Farmers & Merchants State Bank			X												X							X									
Simplot Employees Credit Union			X												X							X									
Receivable - Providence Development Group LLC							X								X												X				
Receivable - Pioneer Title Company	X														X						X										
Pro-Team, Inc						X							X									X									
Residential Rental - 1911 N 34th, Boise ID					X									X										X							
American International Group Inc			X										X								X										
Capital One Financial Corp			X										X								X										
Cisco Systems Inc common stock			X																	X											
Citigroup Inc			X										X								X										
Microsoft Corp			X																	X											
Sprint Corp	X												X								X										
Technology Sector Trust			X																	X											
SEI Achievement State Tax Free Trust #20			X												X						X										
Achievement Idaho Municipal Bond Fund							X								X										X						
Fidelity Growth Opportunities Fund				X									X											X							
Fidelity Adv Equity Income Fund				X									X											X							
Delaware Investments Tax Free Idaho					X										X									X							
Albertson's Inc common stock					X								X									X									P, S
Centura Software Corp common stock	X															X							X								P, S
Cisco Systems Inc common stock	X															X					X										P, S
Data Race Inc common stock	X															X						X									P, S
First Security Corp common stock	X												X									X									
First Security Corp common stock	X															X								X							P, S
Homeseekers.com Inc. common stock	X															X					X										P, S
Hoovers Inc common stock	X															X						X									P, S
Loudeye Technology Inc common stock			X																	X											P, S
Micron Electronics Inc common stock				X												X									X						P, S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name C.L. "Butch" Otter

BLOCK A Asset and/or Income Source	BLOCK B Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK D Transaction
	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,001	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	purchased (P) sold (S) exchanged (E)
Micron Technology Inc common stock						X										X										X					P, S
Network Associates Inc common stock	X															X						X									P, S
Qualcomm Inc common stock	X															X						X									P, S
Rite Aid Corp common stock				X																											P
Xybernaut Corp common stock	X															X							X								P, S
Household Capital Trust - IRA			X																												P
American Online Inc - IRA			X																												P
American Express Co - IRA			X																												P, S
American International Group Inc - IRA			X																												P
American Tower Corp. - IRA			X																												P
Amgen Inc - IRA			X																												P
Analog Devices Inc - IRA			X																												P
AT&T Corp - IRA			X																												P
Capital One Financial Corp - IRA			X																												P
Cisco Systems Inc - IRA			X																												P
Citigroup Inc - IRA			X																												P
Costco Wholesale Corp - IRA			X																												P
Dell Computer Corp - IRA			X																												P
Disney Co - IRA			X																												P
Exxon Mobil Corp - IRA			X																												P
General Electric Co - IRA			X																												P
Guidant Corp - IRA			X																												P
Hewlett Packard Co - IRA			X																												P
Home Depot Inc - IRA			X																												P
Intel Corp - IRA			X																												P
International Business Machines Corp - IRA			X																												P
JDS Uniphase Corp - IRA			X																												P
Johnson & Johnson - IRA			X																												P
JP Morgan Chase & Co - IRA			X																												P
Kimberly Clark Corp - IRA			X																												P
McGraw Hill Cos Inc - IRA			X																												P
Merck & Co - IRA			X																												P
Microsoft Corp. - IRA			X																												P
Morgan Stanley Dean Witter Discovery - IRA			X																												P

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name C.L. "Butch" Otter

BLOCK A Asset and/or Income Source	BLOCK B Value of Asset: A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,001	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK D Transaction: purchased (P) sold (S) exchanged (E)
Motorola Inc - IRA			X																											P
Nylan Labs Inc - IRA			X																											P
Oracle Corp - IRA			X																											P
Pfizer Inc - IRA			X																											P
Pharmacia Corp - IRA			X																											P
Qwest Communications Inc. - IRA			X																											P
Schering Plough Corp - IRA			X																											P
Sun Microsystems Inc - IRA			X																											P
Technology Sector Spdr Tr - IRA			X																											P
Time Warner Inc - IRA			X																											P
Tyco Intl. - IRA			X																											P
Viacom Inc. - IRA			X																											P
Walmart Inc. - IRA			X																											P
Worldcom Inc - IRA			X																											P
Xilinx Inc - IRA			X																											P
Yahoo Inc - IRA			X																											P
Nortel Networks Corp - IRA			X																											P
SEI International Trust #95 - IRA			X																											P
SEI Small Cap Growth #67 - IRA			X																											P
Amencan Select Portfolio - IRA			X																											P, S
Amencan Strategic Income Portfolio III - IRA					X																									P, S
Janus Investment Worldwide Fund #41 - IRA			X																											P
SEI Small Cap Value 58 - IRA			X																											P
Van Kampen Amencan Cap Inc Trust - IRA					X																									P, S
American Strategic Income Portfolio - IRA				X																										P, S
Idaho Power 7 2% 12/01/09 - IRA				X																										P
RCC Communications Consulting LLC - IRA						X																								
Suburban Propane Partners - IRA						X													PARTNERSHIP											
Public Employees Retirement System of Idaho				X																										
AET Income Fund II - Retirement Savings Plan S							X																							
Invesco Total Return Fund - Retirement Plan S							X																							
AXP Stock Fund - Retirement Savi. gs Plan S							X																							

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SCHEDULE IV -- TRANSACTIONS

Name C.L. "Butch" Otter

SP,DC,JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,001
SP	Albertson's Inc. common stock		X		08/31/00		X								
SP	Barnesandnoble.com Inc. common stock		X		08/01/00	X									
SP	Centura Software Corp. common stock		X		02/14/00	X									
SP	Centura Software Corp. common stock		X		02/24/00	X									
SP	Cisco Systems Inc. common stock		X		07/12/00		X								
SP	Data Race Inc. common stock		X		06/28/00	X									
SP	Digital Island Inc. common stock		X		04/26/00		X								
SP	Digital Island Inc. common stock		X		04/26/00	X									
SP	Digital Island Inc. common stock		X		05/04/00		X								
SP	Digital Island Inc. common stock		X		05/04/00	X									
SP	Digital Island Inc. common stock		X		05/23/00	X									
SP	Digital Island Inc. common stock		X		05/23/00	X									
SP	Digital Island Inc. common stock		X		05/24/00	X									
SP	Digital Island Inc. common stock		X		06/20/00		X								
SP	Digital Island Inc. common stock		X		06/27/00		X								
SP	Digital Island Inc. common stock		X		06/28/00		X								
SP	Digital Island Inc. common stock		X		06/28/00	X									
SP	Digital Island Inc. common stock		X		08/23/00		X								
SP	Digital Island Inc. common stock		X		10/03/00		X								
SP	Egreetings Network Inc. common stock		X		05/19/00	X									
SP	Energy Conversion Devices Inc. common stock		X		03/28/00	X									
SP	Energy Conversion Devices Inc. common stock		X		04/05/00	X									
SP	Energy Conversion Devices Inc. common stock		X		05/19/00		X								
SP	Extended Systems Inc. common stock		X		06/28/00		X								

SCHEDULE IV -- TRANSACTIONS

Name C.L. "Butch" Otter

SP,DC,JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,001
SP	Extended Systems Inc. common stock		X		08/31/00		X								
SP	First Security Corp common stock		X		09/11/00		X								
SP	Homeseekers.com Inc. common stock		X		02/17/00	X									
SP	Hoovers Inc. common stock		X		03/28/00	X									
SP	Hoovers Inc common stock		X		04/05/00	X									
SP	Hoovers Inc common stock		X		04/05/00	X									
SP	Imagex.com Inc. common stock		X		04/12/00	X									
SP	Imagex com Inc. common stock		X		04/12/00	X									
SP	Loudeye Technology Inc. common stock		X		03/31/00		X								
SP	Loudeye Technology Inc. common stock		X		05/01/00		X								
SP	Loudeye Technology Inc. common stock		X		05/05/00		X								
SP	Loudeye Technology Inc common stock		X		06/12/00		X								
SP	Loudeye Technology Inc. common stock		X		06/30/00		X								
SP	Micron Electronics Inc. common stock		X		03/13/00	X									
SP	Micron Electronics Inc. common stock		X		03/13/00	X									
SP	Micron Electronics Inc. common stock		X		06/20/00		X								
SP	Micron Electronics Inc. common stock		X		09/26/00	X									
SP	Micron Technology Inc common stock		X		03/01/00			X							
SP	Micron Technology Inc. common stock		X		03/03/00		X								
SP	Micron Technology Inc. common stock		X		04/05/00			X							
SP	Micron Technology Inc. common stock		X		04/12/00			X							
SP	Micron Technology Inc. common stock		X		04/25/00			X							
SP	Micron Technology Inc. common stock		X		05/02/00			X							
SP	Micron Technology Inc. common stock		X		05/30/00			X							
SP	Network Associates Inc. common stock		X		03/28/00		X								

SCHEDULE IV -- TRANSACTIONS

Name C.L. "Butch" Otter

SP,DC,JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,001
SP	Netobjects Inc common stock		X		06/28/00	X									
SP	Qualcomm Inc common stock		X		06/01/00			X							
SP	Ramtron International Corp common stock		X		06/28/00		X								
SP	Tegal Corp common stock		X		01/11/00	X									
SP	Xybernaut Corp common stock		X		03/02/00		X								
SP	Albertson's Inc common stock	X			08/23/00		X								
SP	Albertson's Inc common stock	X			08/24/00		X								
SP	Albertson's Inc. common stock	X			08/31/00		X								
SP	Barnesandnoble com Inc common stock	X			01/06/00		X								
SP	Barnesandnoble com Inc common stock	X			06/28/00	X									
SP	Centura Software Corp common stock	X			02/15/00	X									
SP	Cisco Systems Inc common stock	X			07/11/00		X								
SP	Data Race Inc common stock	X			03/28/00	X									
SP	Digital Island Inc. common stock	X			04/25/00		X								
SP	Digital Island Inc common stock	X			05/03/00		X								
SP	Digital Island Inc. common stock	X			05/05/00		X								
SP	Digital Island Inc common stock	X			05/24/00	X									
SP	Digital Island Inc common stock	X			06/20/00		X								
SP	Digital Island Inc common stock	X			06/26/00		X								
SP	Digital Island Inc common stock	X			07/27/00		X								
SP	Digital Island Inc common stock	X			09/14/00		X								
SP	Egreetings Network Inc common stock	X			05/18/00	X									
SP	Energy Conversion Devices Inc. common stock	X			02/14/00	X									
SP	Energy Conversion Devices Inc common stock	X			02/17/00	X									
SP	Energy Conversion Devices Inc common stock	X			05/02/00		X								

SCHEDULE IV -- TRANSACTIONS

Name C.L. "Butch" Otter

SP,DC,JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,001
SP	Extended Systems Inc. common stock	X			06/13/00		X								
SP	Extended Systems Inc. common stock	X			07/28/00		X								
SP	Extended Systems Inc. common stock	X			08/02/00		X								
SP	First Security Corp. common stock	X			03/03/00	X									
SP	First Security Corp. common stock	X			03/28/00	X									
SP	Homeseekers.com Inc. common stock	X			02/16/00	X									
SP	Hoovers Inc. common stock	X			01/12/00	X									
SP	Imagex.com Inc. common stock	X			04/10/00	X									
SP	Loudeye Technology Inc. common stock	X			3-31-*0		X								
SP	Loudeye Technology Inc. common stock	X			04/26/00	X									
SP	Loudeye Technology Inc. common stock	X			04/27/00	X									
SP	Loudeye Technology Inc. common stock	X			04/28/00	X									
SP	Loudeye Technology Inc. common stock	X			04/28/00	X									
SP	Loudeye Technology Inc. common stock	X			05/05/00		X								
SP	Loudeye Technology Inc. common stock	X			05/12/00	X									
SP	Loudeye Technology Inc. common stock	X			05/12/00	X									
SP	Loudeye Technology Inc. common stock	X			05/12/00	X									
SP	Loudeye Technology Inc. common stock	X			05/12/00	X									
SP	Loudeye Technology Inc. common stock	X			06/12/00		X								
SP	Loudeye Technology Inc. common stock	X			06/29/00		X								
SP	Loudeye Technology Inc. common stock	X			10/05/00	X									
SP	Loudeye Technology Inc. common stock	X			12/06/00	X									
SP	Loudeye Technology Inc. common stock	X			12/06/00	X									
SP	Micron Electronics Inc. common stock	X			03/20/00	X									
SP	Micron Electronics Inc. common stock	X			03/28/00	X									

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SCHEDULE IV -- TRANSACTIONS

Name C.L. "Butch" Otter

SP,DC,JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,001
SP	Micron Electronics Inc common stock	X			06/19/00		X								
SP	Micron Electronics Inc. common stock	X			09/26/00	X									
SP	Micron Electronics Inc common stock	X			09/26/00	X									
SP	Micron Electronics Inc. common stock	X			09/26/00	X									
SP	Micron Electronics Inc common stock	X			09/27/00	X									
SP	Micron Technology Inc common stock	X			02/18/00		X								
SP	Micron Technology Inc common stock	X			03/03/00		X								
SP	Micron Technology Inc common stock	X			03/29/00			X							
SP	Micron Technology Inc. common stock	X			04/11/00		X								
SP	Micron Technology Inc common stock	X			04/12/00		X								
SP	Micron Technology Inc common stock	X			04/12/00	X									
SP	Micron Technology Inc common stock	X			04/12/00	X									
SP	Micron Technology Inc common stock	X			05/01/00			X							
SP	Network Associates Inc common stock	X			03/09/00	X									
SP	Netobjects Inc common stock	X			02/24/00		X								
SP	Qualcomm Inc common stock	X			05/31/00		X								
SP	Qualcomm Inc common stock	X			05/31/00		X								
SP	Qualcomm Inc common stock	X			05/31/00	X									
SP	Ramtron International Corp common stock	X			03/14/00		X								
SP	Rite Aid Corp common stock	X			08/09/00		X								
SP	Xybernaut Corp. common stock	X			03/01/00		X								
SP	Sprint Corp common stock	X			02/22/00	X									
SP	Sprint Corp common stock		X		09/26/00	X									
SP	Feb 2000 cattle		X		01/07/00	X									
SP	June 2000 cattle	X			02/15/00	X									

SCHEDULE IV -- TRANSACTIONS

Name C.L. "Butch" Otter

SP,DC,JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,001
SP	June 2000 cattle		X		05/11/00	X									
SP	June 2000 cattle		X		05/18/00	X									
SP	June 2000 cattle	X			05/24/00	X									
SP	June 2000 cattle		X		05/25/00	X									
SP	August 2000 cattle	X			05/22/00	X									
SP	August 2000 cattle		X		05/30/00	X									
SP	August 2000 cattle		X		08/10/00	X									
SP	Sprint Corp. common stock - IRA	X			03/03/00	X									
SP	Carnival Corp common stock - IRA	X			03/03/00	X									
SP	Yahoo Inc. common stock - IRA	X			03/03/00	X									
SP	Citigroup Inc. common stock - IRA	X			03/14/00	X									
SP	American Express Co. - IRA	X			03/14/00	X									
SP	Motorola Inc common stock - IRA	X			03/14/00	X									
SP	American Intl. Group Inc. - common stock - IRA	X			03/14/00	X									
SP	Schering Plough Corp. common stock - IRA	X			03/14/00	X									
SP	McGraw Hill Cos. Inc. common stock - IRA	X			03/14/00	X									
SP	Amgen Inc. common stock - IRA	X			03/14/00	X									
SP	Intel Corp common stock - IRA	X			03/14/00	X									
SP	Capital One Financial Corp. - IRA	X			03/14/00	X									
SP	Qualcomm Inc. common stock - IRA	X			03/15/00	X									
SP	American Strategic Income Portfolio - IRA		X		03/15/00				X						
SP	Carnival Corp. common stock - IRA		X		03/23/00	X									
SP	JDS Uniphase Corp. common stock - IRA	X			04/04/00	X									
SP	Microsoft Corp. common stock - IRA	X			04/06/00	X									
SP	Idaho Power 7.2% 12/01/09 bond	X			04/11/00		X								

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SCHEDULE IV -- TRANSACTIONS

Name C.L. "Butch" Otter

SP,DC,JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,001
SP	Motorola Inc. common stock - IRA	X			04/13/00	X									
SP	Kimberly Clark Corp common stock - IRA	X			04/13/00	X									
SP	Oracle Corp common stock - IRA	X			04/13/00	X									
SP	Viacom Inc common stock - IRA	X			04/13/00	X									
SP	Time Warner Inc common stock - IRA	X			04/13/00	X									
SP	General Electric Inc common stock - IRA	X			04/13/00	X									
SP	US West Inc common stock - IRA	X			04/13/00	X									
SP	McGraw Hill Cos Inc. common stock - IRA	X			04/13/00	X									
SP	Sprint Corp common stock - IRA	X			04/13/00	X									
SP	MCI Worldcom Inc. common stock - IRA	X			04/13/00	X									
SP	Microsoft Corp common stock - IRA	X			04/13/00	X									
SP	Technology Sector Spdr Tr - IRA	X			04/13/00	X									
SP	AT&T Corp common stock - IRA	X			04/13/00	X									
SP	Yahoo Inc common stock - IRA	X			04/13/00	X									
SP	America Online Inc common stock - IRA	X			04/13/00	X									
SP	Cisco Systems Inc common stock - IRA	X			04/13/00	X									
SP	Analog Devices Inc common stock - IRA	X			04/13/00	X									
SP	Guidant Corp common stock - IRA	X			04/14/00	X									
SP	Qwest Comm Inc common stock - IRA	X			04/14/00	X									
SP	Merck & Co common stock - IRA	X			04/14/00	X									
SP	Chase Manhattan Corp common stock - IRA	X			04/14/00	X									
SP	Sun Microsystems Inc common stock - IRA	X			04/14/00	X									
SP	JDS Uniphase Corp common stock - IRA	X			04/14/00	X									
SP	American Strategic Income Portfolio - IRA		X		04/14/00			X							
SP	Exxon Mobil Corp common stock - IRA	X			04/24/00	X									

SCHEDULE IV -- TRANSACTIONS

Name C.L. "Butch" Otter

SP,DC,JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,001
SP	Mylan Labs Inc common stock - IRA	X			04/24/00	X									
SP	Dell Computer Corp. - IRA	X			04/24/00	X									
SP	Tyco International common stock - IRA	X			04/24/00	X									
SP	Walmart Stores Inc. common stock - IRA	X			04/24/00	X									
SP	Home Depot Inc. common stock - IRA	X			04/24/00	X									
SP	Disney Co. common stock - IRA	X			04/24/00	X									
SP	Capital One Financial Corp. - IRA	X			04/24/00	X									
SP	JDS Uniphase Corp. common stock - IRA	X			04/27/00	X									
SP	Sun Microsystems Inc. common stock - IRA	X			04/27/00	X									
SP	Duff & Phelps Utilities Income Fund - IRA		X		04/27/00		X								
SP	Firstar Corp. common stock - IRA	X			05/10/00	X									
SP	Morgan Stanley Dean Witter Discover Fd - IRA	X			05/10/00	X									
SP	Time Warner Inc. common stock - IRA	X			05/10/00	X									
SP	Johnson & Johnson common stock - IRA	X			05/10/00	X									
SP	Hewlett Packard Co. common stock - IRA	X			05/10/00	X									
SP	Xilinx Inc. common stock - IRA	X			05/10/00	X									
SP	Oracle Corp. common stock - IRA	X			05/10/00	X									
SP	Worldcom Inc. common stock - IRA	X			05/10/00	X									
SP	Cisco Systems Inc. common stock - IRA	X			05/10/00	X									
SP	Intel Corp common stock - IRA	X			05/11/00	X									
SP	Yahoo Inc. common stock - IRA	X			05/11/00	X									
SP	Achievement/SEI Small Cap Growth #67 - IRA	X			05/17/00	X									
SP	SEI Instl Mngd Tr - Small Cap Value 58 - IRA	X			05/17/00	X									
SP	Janus World Wide Fund #41 - IRA	X			05/17/00	X									
SP	American Select Portfolio - IRA		X		05/19/00		X								

SCHEDULE IV -- TRANSACTIONS

Name: C.L. "Butch" Otter

SP,DC,JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,001
SP	Firstar Corp common stock - IRA		X		05/23/00	X									
SP	Sun Microsystems Inc common stock - IRA	X			05/26/00	X									
SP	Qualcomm Inc common stock - IRA		X		06/07/00	X									
SP	Household Cap Tr - IRA	X			06/08/00	X									
SP	America Online Inc common stock - IRA	X			06/14/00	X									
SP	Chase Manhattan Corp common stock - IRA	X			06/22/00	X									
SP	American Select Portfolio - IRA		X		06/29/00	X									
SP	Cisco Systems Inc common stock - IRA	X			06/30/00	X									
SP	American Select Portfolio - IRA		X		07/11/00	X									
SP	Nortel Networks Corp common stock - IRA	X			07/24/00	X									
SP	American Express Co common stock - IRA		X		07/26/00	X									
SP	Nortel Networks Corp common stock - IRA	X			08/01/00	X									
SP	Nortel Networks Corp common stock - IRA	X			08/02/00	X									
SP	Nortel Networks Corp common stock - IRA	X			09/05/00	X									
SP	Pharmacia Corp common stock - IRA	X			09/08/00	X									
SP	Pfizer Inc common stock - IRA	X			09/08/00	X									
SP	Nortel Networks Corp common stock - IRA	X			09/13/00	X									
SP	Van Kampen Amer Cap Inc Tr - IRA		X		09/14/00	X									
SP	American Strategic Income Portfolio - IRA		X		09/14/00	X									
SP	Nortel Networks Corp common stock - IRA	X			09/18/00	X									
SP	Viacom Inc common stock - IRA	X			09/25/00	X									
SP	Nortel Networks Corp common stock - IRA	X			09/29/00	X									
SP	Costco Wholesale Corp common stock - IRA	X			10/05/00	X									
SP	International Business Machines Corp common stock - IRA	X			10/10/00	X									
SP	EMC Corp common stock - IRA	X			10/11/00	X									

SCHEDULE IV -- TRANSACTIONS

Name C.L. "Butch" Otter

SP,DC,JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,001
SP	EMC Corp. common stock - IRA	X			10/17/00	X									
SP	Costco Wholesale Corp. common stock - IRA	X			10/17/00	X									
SP	Analog Devices Inc. common stock - IRA	X			10/18/00	X									
SP	Sprint Corp. common stock - IRA		X		10/23/00	X									

Name C.L. "Butch" Otter

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
SP	Brookstreet Securities Corp.	Margin loan			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required

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Name	C.L. "Butch" Otter	Page 1 of 1

SCHEDULE VIII — POSITIONS

Report all positions, comoensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	G.O. Ranches, Inc.

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 2001 70–729 (mac)

Use additional sheets if more space is required

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HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 JUN 15 PM 3:19
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

(Full Name) Major Robert Owens
(Mailing Address) 135 Eastern Parkway Apt. 3H
Brooklyn New York 11238
Daytime Telephone (718) 773-3100

Filer Status	☒ Member of the U.S. House of Representatives — State: New York, District:	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☐ No ☒	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒ No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Major R. Owens	June 15, 2001

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Communications Associates

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2001 70-729 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 5:21
(Office Use Only)

Michael Garver Oxley
(Full Name)

2233 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

Daytime Telephone (202)225-2676

Filer Status	[X] Member of the U.S House of Representatives	State Ohio District 4th	[ ] Officer or Employee — Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	M. G. Oxley	5/15/01

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Weichert Realtors	Spouse Real Estate Commissions	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
Soap & Detergent Association	Speech	May 17, 2000	$ 2,000
American Bankers Association	Speech	March 7, 2000	$ 1,000

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X								X										X								P
	Simon & Schuster			Indefinite																Royalties									X			
	1st Bank of Paducah, KY accounts						X									X										X						
	Ohio Bank SEP (Keough)				X									X										X								
	Wright Patman FCU			X												X								X								
SP	Nations Bank VA				X											X										X						

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name OXLEY, MICHAEL GARVER

Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 - $1,000; C $1,001 - $15,000; D $15,001 - $50,000; E $50,001 - $100,000; F $100,001 - $250,000; G $250,001 - $500,000; H $500,001 - $1,000,000; I $1,000,001 - $5,000,000; J $5,000,001 - $25,000,000; K $25,000,001 - $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 - $200; III $201 - $1,000; IV $1,001 - $2,500; V $2,501 - $5,000; VI $5,001 - $15,000; VII $15,001 - $50,000; VIII $50,001 - $100,000; IX $100,001 - $1,000,000; X $1,000,001 - $5,000,000; XI Over $5,000,000	P, S, E
	** SEE ATTACHED				

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DUPLICATE MERRILL LYNCH STATEMENT. STATEMENT RECEIVED BY MAIL IS YOUR OFFICIAL RECORD.

MERRILL LYNCH CMA® ACCOUNT

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TOTAL ACCOUNT VALUE AS OF 12/29/2000 $30,525.48

*** MICHAEL G OXLEY AND
PATRICIA A OXLEY JTWROS
7629 HUNTMASTER LN
MCLEAN VA 22102-2531

YOUR FINANCIAL CONSULTANT:
HARRISON/ARRISON

(202) 659-7373

Your Merrill Lynch Office:
1850 K ST NW 7TH FLOOR
WASHINGTON DC 20006

FOR CUSTOMER SERVICE QUESTIONS: 1-800-MER-LINK

Monthly Portfolio Summary

Asset	11/24/00 Value	%	12/29/00 Value	%
Cash/Money Accounts	287	1	754	2
CD's/Equivalents				
Government Securities				
Corporate Bonds				
Municipal Bonds				
Equities				
Mutual Funds	29,795	99	29,771	98
Options				
Other				
Long Market Value	30,082		30,525	
Short Market Value				
Estimated Accrued Interest				
Debit Balance				
Net Portfolio Value	30,083		30,525	

Income Summary

	This Statement	Year-to-Date
Money Fund Dividends	2.40	11.86
Tax-Exempt Funds		
Tax-Exempt Interest		
Reportable Interest		
Reportable Dividends	1,721.43	1,821.66
Income Not Reported		
Total	1,723.83	1,833.52

Items for Attention

Security	Message	Date
	No Items For Attention	

Purchasing Power 754 Investor Credit Line

NEWS

Do you have two or more accounts with Merrill Lynch? Keep them together with the free CMA Link(SM) statement service. It can help you monitor & manage the essets in ell your Merrill Lynch accounts. Each month you'll get individual statements for all linked accounts in one package, along with a summary page. Call your FC for more details.

Financial Markst Indicators

	This Statement	Last Statement	Previous Year-End
Dow Jones Industrial Average	10787.99	10414.49	11497.12
Three-Month Treasury Bills	5.88%	6.20%	5.31%
Long-Term Treasury Bonds	5.45%	5.61%	6.47%

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MERRILL LYNCH CMA® ACCOUNT

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Cash Flow Summary

Activity Summary	This Statement	Year-to-Date	Credits	This Statement	Year-to-Date	Debits	This Statement	Year-to-Date
Opening Balance Cash & Money Accounts	287.96		Sales		22,440.22	Purchases		13,300.01
			Income	1,723.83	1,833.52	Withdrawals		
			Funds Received			Other	1,257.50	10,348.02
			Other		26.59			
Net Credits & Debits	466.33	652.30						
Closing Balance Cash & Money Accounts	754.29							
			Total Credits	1,723.83	24,300.33	Total Debits	1,257.50	23,648.03

Realized Capital Gain and Loss Summary*

	This Month	Year-to-Date
Short-Term	.00	33.31
Long-Term	.00	2,648.38

*-Excludes transactions for which we have insufficient data

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2000 tax return. These reportable transactions will appear on your January statement

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Current Portfolio

Quantity	Security Description	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
	Cash and Money Accounts									
	CASH			1		1				
753	CMA MONEY FUND		1.00	753	1.00	753			46	6.12
	Total Cash/Money Accounts			754		754			46	6.13

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Mutual Funds and Defined Asset Funds										
Mutual Funds - Equity											
175	ALLIANCE PREMIER GROWTH FD ADV CL			04/14/99	34.24	5,992	27.19	4,758	(1,232)		
	Total Client Investment			$5,475							
	Investment Return			($716)							
190	AMERICAN FUNDAMENTAL INVESTORS INC CL A			08/24/00	34.45	6,545	31.16	5,920	(626)	76	1.28

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MERRILL LYNCH CMA® ACCOUNT

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Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Mutual Funds and Defined Asset Funds										
Mutual Funds - Equity											
	(.7030 FRACTIONAL SHARE)			N/A	N/A	N/A	31.16	21	N/A		1.28
	Total Client Investment			$6,207							
	Investment Return			($287)							
239	DAVIS NEW YORK VENTURE FD CL Y			08/24/00	32.06	7,663	29.02	6,935	(730)	33	.48
	(.6690 FRACTIONAL SHARE)			N/A	N/A	N/A	29.02	19	N/A		48
	Total Client Investment			$7,065							
	Investment Return			($129)							
319	NATIONS MARSICO FOCUS EQUITY CL A			04/14/99	17.56	5,602	18.63	5,942	340		
	Total Client Investment			$5,478							
	Investment Return			$464							
88	PUTNAM INTERNATIONAL GROWTH FUND CL A			10/13/99	23.55	2,072	24.71	2,174	102	34	1.59
	(.3440 FRACTIONAL SHARE)			N/A	N/A	N/A	24.71	8	N/A		1.59
	Total Client Investment			$1,829							
	Investment Return			$345							
90	ROBERTSON STEPH EMERGING GROWTH FD			04/14/99	33.34	3,001	44.01	3,960	958		
	(.6500 FRACTIONAL SHARE)			N/A	N/A	N/A	44.01	28	N/A		
	Total Client Investment			$2,598							
	Investment Return			$1,362							

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Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Total Mutual Funds - Equity					30,879		29,771	(1,188)	144	.49
	Total Mutual Funds					30,879		29,771	(1,188)	144	.49
	Total of Long Portfolio					31,633*		30,525	(1,188)*	190	.62

DATE ACQUIRED Date of your initial investment in this fund.
UNIT COST BASIS: Average cost basis for all sheras owned
UNREALIZED GAIN or (LOSS): Total market value minus total cost basis

TOTAL CLIENT INVESTMENT: Cost of sharas directly purchesed (excluding shares purchased through reinvestment)
INVESTMENT RETURN. Value of reinvested shares plus capital appreciation(depreciation) of all shares purchased, including through reinvestment.

* - Excludes N/A Items

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Security Transactions			
12/04	Divd Reinv	1	DAVIS NEW YORK VENTURE FD CL Y REINV AMOUNT $50.01 REINV PRICE $27.20000 QUANTITY BOT 1.8390 AS OF 11/30			
12/04	Journal Entry	1	DAVIS NEW YORK VENTURE FD CL Y FULL SHARE ACCUM			

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Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Security Transactions			
12/04	Divd Reinv	19	DAVIS NEW YORK VENTURE FD CL Y REINV AMOUNT $524.04 REINV PRICE $27.20000 QUANTITY BOT 19.2660 AS OF 11/30			
12/04	Divd Reinv	1	DAVIS NEW YORK VENTURE FD CL Y REINV AMOUNT $30.44 REINV PRICE $27.20000 QUANTITY BOT 1.1190 AS OF 12/01			
12/19	Divd Reinv	10	AMERICAN FUNDAMENTAL INVESTORS INC CL A REINV AMOUNT $330.91 REINV PRICE $30.74000 QUANTITY BOT 10.7650 AS OF 12/15			
12/19	Journal Entry	1	AMERICAN FUNDAMENTAL INVESTORS INC CL A FULL SHARE ACCUM			
12/20	Divd Reinv	2	ROBERTSON STEPH EMERGING GROWTH FD REINV AMOUNT $113.83 REINV PRICE $43.43000 QUANTITY BOT 2.6210 AS OF 12/19			
12/20	Journal Entry	1	ROBERTSON STEPH EMERGING GROWTH FD FULL SHARE ACCUM			

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MERRILL LYNCH CMA® ACCOUNT

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Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Security Transactions			
12/27	Divd Reinv	3	PUTNAM INTERNATIONAL GROWTH FUND CL A REINV AMOUNT $76.40 REINV PRICE $23.71000 QUANTITY BOT 3.2220 AS OF 12/20			
12/27	Journal Entry	1	PUTNAM INTERNATIONAL GROWTH FUND CL A FULL SHARE ACCUM			
12/27	Divd Reinv	2	PUTNAM INTERNATIONAL GROWTH FUND CL A REINV AMOUNT $69.61 REINV PRICE $23.71000 QUANTITY BOT 2.9360 AS OF 12/20			
12/27	Journal Entry	1	PUTNAM INTERNATIONAL GROWTH FUND CL A FULL SHARE ACCUM			
12/27	Divd Reinv	1	PUTNAM INTERNATIONAL GROWTH FUND CL A REINV AMOUNT $31.77 REINV PRICE $23.71000 QUANTITY BOT 1.3400 AS OF 12/20			
			Net Total			
			Dividends and Interest			
12/04	* Dividend		DAVIS NEW YORK VENTURE FD CL Y			30.44

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MERRILL LYNCH CMA® ACCOUNT

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Monthly Activity

Date		Transaction	Quantity	Description	Price	Debit	Credit
				Dividends and Interest			
12/04	*	Lg Tm Cap Gain		DAVIS NEW YORK VENTURE FD CL Y			524.04
12/04	*	Sh Tm Cap Gain		DAVIS NEW YORK VENTURE FD CL Y			50.01
12/19	*	Dividend		AMERICAN FUNDAMENTAL INVESTORS INC CL A			17.94
12/19	*	Lg Tm Cap Gain		AMERICAN FUNDAMENTAL INVESTORS INC CL A			330.91
12/20	*	Lg Tm Cap Gain		ALLIANCE PREMIER GROWTH FD ADV CL			463.93
12/20	*	Lg Tm Cap Gain		ROBERTSON STEPH EMERGING GROWTH FD			12.55
12/20	*	Sh Tm Cap Gain		ROBERTSON STEPH EMERGING GROWTH FD			113.83
12/27	*	Dividend		PUTNAM INTERNATIONAL GROWTH FUND CL A			31.77
12/27	*	Lg Tm Cap Gain		PUTNAM INTERNATIONAL GROWTH FUND CL A			69.61
12/27	*	Sh Tm Cap Gain		PUTNAM INTERNATIONAL GROWTH FUND CL A			76.40
12/29		Share Dividend	2	CMA MONEY FUND			
12/29		Cash Dividend		CMA MONEY FUND FROM 11-23 THRU 12-29			.40
		Income Total		CMA MONEY FUND			2.00
				Net Total			1,723.83

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MERRILL LYNCH CMA® ACCOUNT

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Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Other Activity			
12/04	Reinvestment		DAVIS NEW YORK VENTURE FD CL Y		30.44	
12/04	Reinvestment		DAVIS NEW YORK VENTURE FD CL Y		524.04	
12/04	Reinvestment		DAVIS NEW YORK VENTURE FD CL Y		50.01	
12/19	Reinvestment		AMERICAN FUNDAMENTAL INVESTORS INC CL A		17.94	
12/19	Reinvestment		AMERICAN FUNDAMENTAL INVESTORS INC CL A		330.91	
12/19	Divd Reinv		AMERICAN FUNDAMENTAL INVESTORS INC CL A REINV AMOUNT $17.94 REINV PRICE $30.74000 QUANTITY BOT .5840 AS OF 12/15			
12/20	Reinvestment		ROBERTSON STEPH EMERGING GROWTH FD		12.55	
12/20	Reinvestment		ROBERTSON STEPH EMERGING GROWTH FD		113.83	
12/20	Divd Reinv		ROBERTSON STEPH EMERGING GROWTH FD REINV AMOUNT $12.55 REINV PRICE $43.43000 QUANTITY BOT .2890 AS OF 12/19			
12/27	Reinvestment		PUTNAM INTERNATIONAL GROWTH FUND CL A		31.77	
12/27	Reinvestment		PUTNAM INTERNATIONAL GROWTH FUND CL A		69.61	
12/27	Reinvestment		PUTNAM INTERNATIONAL GROWTH FUND CL A		76.40	
			Net Total		1,257.50	

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MERRILL LYNCH CMA® ACCOUNT

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Tax Information Summary

	This Statement	Year-to-Date
Accrued Interest Paid		
Tax-Exempt		
Reportable		
Accrued Interest Received		
Tax-Exempt		
Reportable		
Gross Proceeds		22,440.22

Although we provide complete year-to-date information for Reportable Accrued Interest Received, Accrued Interest Paid and Tax-Exempt Accrued Interest Received and Paid include only those months we produce your profit and loss statement

Daily Activity Review

Date	Transaction	Quantity	Description	Price	Amount	Cash Balance	Money Account Balance
11/24	Opening Balance					.96CR	287
12/04	* Dividend		DAVIS NEW YORK VENTURE FD CL Y		30.44CR		
12/04	Reinvestment		DAVIS NEW YORK VENTURE FD CL Y		30.44		
12/04	* Lg Tm Cap Gain		DAVIS NEW YORK VENTURE FD CL Y		524.04CR		
12/04	Reinvestment		DAVIS NEW YORK VENTURE FD CL Y		524.04		
12/04	* Sh Tm Cap Gain		DAVIS NEW YORK VENTURE FD CL Y		50.01CR		
12/04	Reinvestment		DAVIS NEW YORK VENTURE FD CL Y		50.01		

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MERRILL LYNCH CMA® ACCOUNT

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MICHAEL G OXLEY AND

Daily Activity Review

Date	Transaction	Quantity	Description	Price	Amount	Cash Balance	Money Account Balance
12/04	Divd Reinv	1	DAVIS NEW YORK VENTURE FD CL Y REINV AMOUNT $50.01 REINV PRICE $27.20000 QUANTITY BOT 1.8390 AS OF 11/30				
12/04	Journal Entry	1	DAVIS NEW YORK VENTURE FD CL Y FULL SHARE ACCUM				
12/04	Divd Reinv	19	DAVIS NEW YORK VENTURE FD CL Y REINV AMOUNT $524.04 REINV PRICE $27.20000 QUANTITY BOT 19.2660 AS OF 11/30				
12/04	Divd Reinv	1	DAVIS NEW YORK VENTURE FD CL Y REINV AMOUNT $30.44 REINV PRICE $27.20000 QUANTITY BOT 1.1190 AS OF 12/01			.96CR	287
12/19	* Dividend		AMERICAN FUNDAMENTAL INVESTORS INC CL A		17.94CR		
12/19	Reinvestment		AMERICAN FUNDAMENTAL INVESTORS INC CL A		17.94		
12/19	* Lg Tm Cap Gain		AMERICAN FUNDAMENTAL INVESTORS INC CL A		330.91CR		
12/19	Reinvestment		AMERICAN FUNDAMENTAL INVESTORS INC CL A		330.91		
12/19	Divd Reinv	10	AMERICAN FUNDAMENTAL INVESTORS INC CL A REINV AMOUNT $330.91 REINV PRICE $30.74000 QUANTITY BOT 10.7650 AS OF 12/15				

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MERRILL LYNCH CMA® ACCOUNT

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MICHAEL G OXLEY AND

Daily Activity Review

Date	Transaction	Quantity	Description	Price	Amount	Cash Balance	Money Account Balance
12/19	Journal Entry	1	AMERICAN FUNDAMENTAL INVESTORS INC CL A FULL SHARE ACCUM				
12/19	Divd Reinv		AMERICAN FUNDAMENTAL INVESTORS INC CL A REINV AMOUNT $17.94 REINV PRICE $30.74000 QUANTITY BOT .5840 AS OF 12/15			.96CR	287
12/20	* Lg Tm Cap Gain		ALLIANCE PREMIER GROWTH FD ADV CL		463.93CR		
12/20	* Lg Tm Cap Gain		ROBERTSON STEPH EMERGING GROWTH FD		12.55CR		
12/20	Reinvestment		ROBERTSON STEPH EMERGING GROWTH FD		12.55		
12/20	* Sh Tm Cap Gain		ROBERTSON STEPH EMERGING GROWTH FD		113.83CR		
12/20	Reinvestment		ROBERTSON STEPH EMERGING GROWTH FD		113.83		
12/20	Divd Reinv	2	ROBERTSON STEPH EMERGING GROWTH FD REINV AMOUNT $113.83 REINV PRICE $43.43000 QUANTITY BOT 2.6210 AS OF 12/19				
12/20	Journal Entry	1	ROBERTSON STEPH EMERGING GROWTH FD FULL SHARE ACCUM				
12/20	Divd Reinv		ROBERTSON STEPH EMERGING GROWTH FD REINV AMOUNT $12.55 REINV PRICE $43.43000 QUANTITY BOT .2890 AS OF 12/19			464.89CR	287

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MERRILL LYNCH CMA® ACCOUNT

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Daily Activity Review

Date	Transaction	Quantity	Description	Price	Amount	Cash Balance	Money Account Balance
12/21	Purchase	464	CMA MONEY FUND		464.00	.89CR	751
12/27	* Dividend		PUTNAM INTERNATIONAL GROWTH FUND CL A		31.77CR		
12/27	Reinvestment		PUTNAM INTERNATIONAL GROWTH FUND CL A		31.77		
12/27	* Lg Tm Cap Gain		PUTNAM INTERNATIONAL GROWTH FUND CL A		69.61CR		
12/27	Reinvestment		PUTNAM INTERNATIONAL GROWTH FUND CL A		69.61		
12/27	* Sh Tm Cap Gain		PUTNAM INTERNATIONAL GROWTH FUND CL A		76.40CR		
12/27	Reinvestment		PUTNAM INTERNATIONAL GROWTH FUND CL A		76.40		
12/27	Divd Reinv	3	PUTNAM INTERNATIONAL GROWTH FUND CL A REINV AMOUNT $76.40 REINV PRICE $23.71000 QUANTITY BOT 3.2220 AS OF 12/20				
12/27	Journal Entry	1	PUTNAM INTERNATIONAL GROWTH FUND CL A FULL SHARE ACCUM				
12/27	Divd Reinv	2	PUTNAM INTERNATIONAL GROWTH FUND CL A REINV AMOUNT $69.61 REINV PRICE $23.71000 QUANTITY BOT 2.9360 AS OF 12/20				
12/27	Journal Entry	1	PUTNAM INTERNATIONAL GROWTH FUND CL A FULL SHARE ACCUM				

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MICHAEL G OXLEY AND

Daily Activity Review

Date	Transaction	Quantity	Description	Price	Amount	Cash Balance	Money Account Balance
12/27	Divd Reinv	1	PUTNAM INTERNATIONAL GROWTH FUND CL A REINV AMOUNT $31.77 REINV PRICE $23.71000 QUANTITY BOT 1.3400 AS OF 12/20				
12/29	Share Dividend	2	CMA MONEY FUND			.89CR	751
12/29	Cash Dividend		CMA MONEY FUND FROM 11-23 THRU 12-29		40CR		
12/29	**Closing Balance**				1.29CR	1.29CR	753

Customer Service

For information about your account, please call 1-800-MER-LINK (1-800-637-5465) To report Lost or Stolen Visa Cards or Checks, please call 1-800-262-5678

SCHEDULE IV — TRANSACTIONS

Name OXLEY, MICHAEL GARVER

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–00		X								
	** see attached														

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3610

16:21 05/04/01 PG 1

FWR NPC FINANCIAL CONSULTANTS COB 05/03/01

SECURITY ACTIVITY FC:0130

ACCT: 749-19458 MICHAEL G & PATRICIA A OXLEY

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
02/27/01	AIDB		2	AMERICAN FUNDAMENTAL	29.6100	71
02/27/01	JE		1	AMERICAN FUNDAMENTAL	.0000	0
02/20/01	BOT		220	WASATCH MICRO CAP FD	5.1800	1,140
02/20/01	SLD		175C	ALLIANCE PREMIER GROWTH	25.6300	4,485C
02/20/01	SLD		90C	ROBERTSON STEPH EMERGIN	37.8900	3,434C
02/20/01	BOT		38	STATE STR RESCH AURORA	29.7400	1,139
02/20/01	BOT		149	PBHG LG CAP GR FD	27.0100	4,028
02/20/01	BOT		285	AMERICAN GROWTH FD OF	26.2100	7,479
02/16/01	SLD		319C	NATIONS MARSICO FOCUS	17.0900	5,451C
12/27/00	AIDB		3	PUTNAM INTERNATIONAL	23.7100	76
12/27/00	JE		1	PUTNAM INTERNATIONAL	.0000	0
12/27/00	AIDB		2	PUTNAM INTERNATIONAL	23.7100	69
12/27/00	JE		1	PUTNAM INTERNATIONAL	.0000	0
12/27/00	AIDB		1	PUTNAM INTERNATIONAL	23.7100	31
12/20/00	AIDB		2	ROBERTSON STEPH EMERGIN	43.4300	113
12/20/00	JE		1	ROBERTSON STEPH EMERGIN	.0000	0
12/19/00	AIDB		10	AMERICAN FUNDAMENTAL	30.7400	330
12/19/00	JE		1	AMERICAN FUNDAMENTAL	.0000	0
12/04/00	AIDB		1	DAVIS NEW YORK VENTURE	27.2000	50
12/04/00	JE		1	DAVIS NEW YORK VENTURE	.0000	0
12/04/00	AIDB		19	DAVIS NEW YORK VENTURE	27.2000	524
12/04/00	AIDB		1	DAVIS NEW YORK VENTURE	27.2000	30
08/24/00	BOT		217	DAVIS NEW YORK VENTURE	32.5600	7,080] Buy
08/24/00	BOT		179	AMERICAN FUNDAMENTAL	34.6800	6,220
08/23/00	SLD	N	118C	VAN KAMPEN AMERICAN	22.7400	2,692C
08/23/00	SLD	N	42C	ALLIANCE PREMIER GROWTH	40.0100	1,690C
08/23/00	SLD	N	454C	AMERN CENTY INC & GR FD	34.1000	15,492C
08/23/00	SLD	N	83C	NATIONS MARSICO FOCUS	21.8300	1,813C
07/12/00	JE		1C	AMERN CENTY INC & GR FD	.0000	0
07/11/00	SLD		3C	AMERN CENTY INC & GR FD	33.5000	126C
07/03/00	AIDB		1	AMERN CENTY INC & GR FD	32.7000	33
05/24/00	AIDB		1	NATIONS MARSICO FOCUS	19.4800	32
05/24/00	JE		1	NATIONS MARSICO FOCUS	.0000	0
05/03/00	SLD	N	21C	VAN KAMPEN AMERICAN	22.7500	477C] Sale
04/11/00	SLD		4C	AMERN CENTY INC & GR FD	34.4100	147C
04/05/00	JE		1	AMERN CENTY INC & GR FD	.0000	0
01/12/00	JE		1C	AMERN CENTY INC & GR FD	.0000	0
12/23/99	JE		1	PUTNAM INTERNATIONAL	.0000	0
12/23/99	AIDB		1	PUTNAM INTERNATIONAL	27.0900	46
12/23/99	JE		1	PUTNAM INTERNATIONAL	.0000	0
12/21/99	AIDB		2	ALLIANCE PREMIER GROWTH	34.5000	90
12/21/99	AIDB		11	ALLIANCE PREMIER GROWTH	34.5000	381
12/21/99	JE		1	ALLIANCE PREMIER GROWTH	.0000	0
12/21/99	AIDB		1	AMERN CENTY INC & GR FD	32.7300	37

INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
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<MORE>

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FWR NPC FINANCIAL CONSULTANTS COB 05/03/01

SECURITY ACTIVITY FC:0130

ACCT: 749-72R99 PATRICIA A OXLEY SEP

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
03/29/01	AIDB		1	MFS VALUE FD CL A	19.2200	25
02/27/01	AIDB		5	AMERICAN FUNDAMENTAL	29.6100	151
02/27/01	AIDB		1	AMERICAN FUNDAMENTAL	29.6100	40
02/27/01	JE		1	AMERICAN FUNDAMENTAL	.0000	0
02/21/01	SLD		2C	PUTNAM INTERNATIONAL	22.7900	45C
02/21/01	JE		1	PUTNAM INTERNATIONAL	.0000	0
02/20/01	BOT		529	WASATCH MICRO CAP FD	5.1800	2,745
02/20/01	BOT		16	PUTNAM INTERNATIONAL	22.8800	379
02/20/01	SLD		267C	ALLIANCE PREMIER GROWTH	25.6300	6,858C
02/20/01	SLD		105C	ROBERTSON STEPH EMERGIN	37.8900	3,980C
02/20/01	BOT		92	STATE STR RESCH AURORA	29.7400	2,745
02/20/01	BOT		284	PBHG LG CAP GR FD	27.0100	7,689
02/20/01	BOT		602	MFS VALUE FD CL A	20.5000	12,350
02/20/01	SLD		138C	JANUS TWENTY FUND	48.2900	6,672C
02/20/01	BOT		403	AMERICAN FUNDAMENTAL	30.5800	12,350
02/20/01	BOT		544	AMERICAN GROWTH FD OF	26.2100	14,273
02/16/01	SLD		174C	VAN KAMPEN AMERICAN	19.0100	3,319C
12/27/00	AIDB		3	PUTNAM INTERNATIONAL	23.7100	88
12/27/00	JE		1	PUTNAM INTERNATIONAL	.0000	0
12/27/00	AIDB		3	PUTNAM INTERNATIONAL	23.7100	80
12/27/00	JE		1	PUTNAM INTERNATIONAL	.0000	0
12/27/00	AIDB		1	PUTNAM INTERNATIONAL	23.7100	36
12/20/00	AIDB		22	ALLIANCE PREMIER GROWTH	28.4100	648
12/20/00	JE		1	ALLIANCE PREMIER GROWTH	.0000	0
12/20/00	AIDB		3	ROBERTSON STEPH EMERGIN	43.4300	131
12/20/00	JE		1	ROBERTSON STEPH EMERGIN	.0000	0
12/18/00	AIDB		3	JANUS TWENTY FUND	60.5000	235
12/18/00	JE		1	JANUS TWENTY FUND	.0000	0
12/14/00	AIDB		13	VAN KAMPEN AMERICAN	18.9400	255
04/19/00	SLD		536C	AMERN CENTY INC & GR FD	33.2000	17,827C
04/12/00	JE		1C	AMERN CENTY INC & GR FD	.0000	0
04/11/00	SLD		2C	AMERN CENTY INC & GR FD	34.4100	87C
04/05/00	AIDB		1	AMERN CENTY INC & GR FD	34.2000	39
12/23/99	AIDB		1	PUTNAM INTERNATIONAL	27.0900	53
12/23/99	JE		1	PUTNAM INTERNATIONAL	.0000	0
12/23/99	AIDB		1	PUTNAM INTERNATIONAL	27.0900	30
12/21/99	AIDB		3	ALLIANCE PREMIER GROWTH	34.5000	110
12/21/99	AIDB		12	ALLIANCE PREMIER GROWTH	34.5000	430
12/21/99	AIDB		1	AMERN CENTY INC & GR FD	32.7300	43
12/16/99	AIDB		10	VAN KAMPEN AMERICAN	22.2700	230
12/16/99	JE		1	VAN KAMPEN AMERICAN	.0000	0
12/14/99	AIDB		6	JANUS TWENTY FUND	79.8000	520
12/14/99	JE		1	JANUS TWENTY FUND	.0000	0
12/03/99	AIDB		5	ROBERTSON STEPH EMERGIN	40.1200	263

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<MORE>

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FWR NPC FINANCIAL CONSULTANTS COB 05/03/01

SECURITY ACTIVITY FC:0130

ACCT: 749-72P30 MICHAEL G OXLEY IRRA

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
04/10/01	SLD		10C	AMERICAN GROWTH FD OF	23.4000	238C
03/29/01	AIDB		1	MFS VALUE FD CL A	19.2200	32
03/29/01	JE		1	MFS VALUE FD CL A	.0000	0
02/27/01	AIDB		6	AMERICAN FUNDAMENTAL	29.6100	189
02/27/01	AIDB		1	AMERICAN FUNDAMENTAL	29.6100	50
02/27/01	JE		1	AMERICAN FUNDAMENTAL	.0000	0
02/21/01	SLD		24C	PUTNAM INTERNATIONAL	22.7900	546C
02/20/01	BOT		610	WASATCH MICRO CAP FD	5.1800	3,160
02/20/01	SLD		463C	ALLIANCE PREMIER GROWTH	25.6300	11,885C
02/20/01	SLD		174C	ROBERTSON STEPH EMERGIN	37.8900	6,611C
02/20/01	SLD		576C	AMERN CENTY INC & GR FD	29.4300	16,961C
02/20/01	BOT		106	STATE STR RESCH AURORA	29.7400	3,159
02/20/01	BOT		356	PBHG LG CAP GR FD	27.0100	9,640
02/20/01	BOT		755	MFS VALUE FD CL A	20.5000	15,490
02/20/01	SLD		881C	NATIONS MARSICO GRWTH	16.1800	14,268C
02/20/01	BOT		506	AMERICAN FUNDAMENTAL	30.5800	15,489
02/20/01	BOT		682	AMERICAN GROWTH FD OF	26.2100	17,899
02/20/01	SLD		466C	MASSACHUSETTS INVESTORS	19.1400	8,922C
02/16/01	SLD		295C	VAN KAMPEN AMERICAN	19.0100	5,619C
01/17/01	SLD		7C	AMERN CENTY INC & GR FD	30.1700	230C
12/27/00	AIDB		6	PUTNAM INTERNATIONAL	23.7100	148
12/27/00	JE		1	PUTNAM INTERNATIONAL	.0000	0
12/27/00	AIDB		5	PUTNAM INTERNATIONAL	23.7100	135
12/27/00	AIDB		2	PUTNAM INTERNATIONAL	23.7100	61
12/27/00	JE		1	PUTNAM INTERNATIONAL	.0000	0
12/20/00	AIDB		39	ALLIANCE PREMIER GROWTH	28.4100	1,124
12/20/00	AIDB		5	ROBERTSON STEPH EMERGIN	43.4300	219
12/20/00	JE		1	ROBERTSON STEPH EMERGIN	.0000	0
12/18/00	AIDB		1	AMERN CENTY INC & GR FD	29.7100	44
12/14/00	AIDB		22	VAN KAMPEN AMERICAN	18.9400	432
12/14/00	JE		1	VAN KAMPEN AMERICAN	.0000	0
12/05/00	AIDB		15	MASSACHUSETTS INVESTORS	19.8100	305
12/05/00	JE		1	MASSACHUSETTS INVESTORS	.0000	0
11/22/00	JE		1	NATIONS MARSICO GRWTH	.0000	0
10/10/00	SLD		8C	AMERN CENTY INC & GR FD	31.4500	255C
10/02/00	AIDB		1	AMERN CENTY INC & GR FD	32.5400	40
07/12/00	JE		1C	AMERN CENTY INC & GR FD	.0000	0
07/11/00	SLD		7C	AMERN CENTY INC & GR FD	33.5000	262C
07/03/00	AIDB		1	AMERN CENTY INC & GR FD	32.7000	43
07/03/00	JE		1	AMERN CENTY INC & GR FD	.0000	0
05/24/00	AIDB		4	NATIONS MARSICO GRWTH	18.4600	73
05/24/00	AIDB		2	NATIONS MARSICO GRWTH	18.4600	40
04/12/00	JE		1C	AMERN CENTY INC & GR FD	.0000	0
04/11/00	SLD		5C	AMERN CENTY INC & GR FD	34.4100	181C

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16:21 05/04/01 PG 2
FWR NPC FINANCIAL CONSULTANTS COB 05/03/01
SECURITY ACTIVITY FC:0130
ACCT: 749-72P30 MICHAEL G OXLEY IRRA

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
04/06/00	AIDB		2	MASSACHUSETTS INVESTORS	21.2000	62
04/06/00	JE		1	MASSACHUSETTS INVESTORS	.0000	0
04/05/00	AIDB		1	AMERN CENTY INC & GR FD	34.2000	43
04/05/00	JE		1	AMERN CENTY INC & GR FD	.0000	0
12/23/99	AIDB		1	PUTNAM INTERNATIONAL	27.0900	29
12/23/99	AIDB		3	PUTNAM INTERNATIONAL	27.0900	89
12/23/99	JE		1	PUTNAM INTERNATIONAL	.0000	0
12/23/99	AIDB		1	PUTNAM INTERNATIONAL	27.0900	51
12/21/99	AIDB		5	ALLIANCE PREMIER GROWTH	34.5000	191
12/21/99	AIDB		21	ALLIANCE PREMIER GROWTH	34.5000	745
12/21/99	JE		1	ALLIANCE PREMIER GROWTH	.0000	0
12/21/99	AIDB		1	AMERN CENTY INC & GR FD	32.7300	49
12/16/99	AIDB		17	VAN KAMPEN AMERICAN	22.2700	390
12/16/99	AIDB		10	MASSACHUSETTS INVESTORS	20.1600	208
12/16/99	JE		1	MASSACHUSETTS INVESTORS	.0000	0
12/03/99	AIDB		9	ROBERTSON STEPH EMERGIN	48.1200	437
12/03/99	AIDB		1	ROBERTSON STEPH EMERGIN	48.1200	86
11/17/99	JE		1	NATIONS MARSICO GRWTH	.0000	0
10/14/99	JE		1	PHOENIX INTERNATIONAL	.0000	0
10/13/99	BOT	N	150	PUTNAM INTERNATIONAL	23.4500	3,533
10/13/99	JE		1C	PHOENIX INTERNATIONAL	.0000	0
10/12/99	SLD	N	240C	PHOENIX INTERNATIONAL	14.6800	3,533C
10/12/99	SLD	N	238C	PHOENIX INTERNATIONAL	14.6800	3,503C
10/12/99	CXLS		238	PHOENIX INTERNATIONAL	14.6800	3,503
10/05/99	JE		1	MASSACHUSETTS INVESTORS	.0000	0
09/28/99	AIDB		1	AMERN CENTY INC & GR FD	29.7600	48
09/28/99	JE		1	AMERN CENTY INC & GR FD	.0000	0
06/29/99	AIDB		1	AMERN CENTY INC & GR FD	30.4400	54
05/20/99	AIDB		1	NATIONS MARSICO GRWTH	15.4600	28
04/15/99	BOT	N	255	VAN KAMPEN AMERICAN	19.9900	5,100
04/15/99	BOT	N	397	ALLIANCE PREMIER GROWTH	34.2200	13,599
04/15/99	BOT	N	159	ROBERTSON STEPH EMERGIN	32.0800	5,100
04/15/99	BOT	N	595	AMERN CENTY INC & GR FD	30.3500	18,060
04/15/99	BOT	N	872	NATIONS MARSICO GRWTH	15.5900	13,600
04/15/99	BOT	N	240	PHOENIX INTERNATIONAL	14.1200	3,400
04/01/99	AIDB		2	MASSACHUSETTS INVESTORS	20.2900	43
04/01/99	JE		1	MASSACHUSETTS INVESTORS	.0000	0
03/04/99	SLD	N	1,000C	ML GLOBAL TECH FD CL D	12.0900	11,604C
03/04/99	SLD	N	762C	MERC PAN EUROPE GR CL A	10.1700	7,744C
12/14/98	AIDB		18	MASSACHUSETTS INVESTORS	19.1700	350
12/14/98	AIDB		1	MASSACHUSETTS INVESTORS	19.1700	15
10/27/98	BOT		762	MERC PAN EUROPE GR CL A	10.4990	8,000
10/12/98	AIDB		1	MASSACHUSETTS INVESTORS	18.3000	16
07/06/98	BOT		412	MASSACHUSETTS INVESTORS	21.8100	8,999

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<MORE>

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	NONE											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NONE		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Ripon Educational Fund	Nov 21 - Dec. 2	Wash., D.C. -Venice - Rome - Wash., D.C.	Y	Y	Y	Y 3 days
Invest to Compete Alliance	July 6 - 9	Wash., D.C. - Hyannis, MA- Wash., D.C.	Y	Y	Y	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
	NONE

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

GPO: 2001 70-729 (mac)

Use additional sheets if more space is required.

MICHAEL G. OXLEY
FOURTH OHIO DISTRICT

2233 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-3504
(202) 225-2676

http://www.house.gov/oxley
mike.oxley@mail.house.gov

COMMITTEE ON
FINANCIAL SERVICES

CHAIRMAN

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MANSFIELD, OH 44902-1299
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(800) 472-4154

Congress of the United States
House of Representatives
Washington, DC 20515-3504

June 14, 2001

MC

Office of the Clerk
U.S. House of Representatives
H-154 Capitol
Washington, D.C. 20500

To Whom It May Concern:

Pursuant to discussions held with the Committee on Standards and Official Conduct, enclosed please find the additional requested information.

For Schedule #3: Summary pages for accounts 749-72R99, 749-72P30 and 749-19458 and 749-66T11.
For Schedule #4: Reportable transactions on accounts 749-19458 for June 2000 - December 2000 and 749-66T11 for June 2000 - December 2000.

The Committee is requesting additional information which will be provided upon receipt of same from Merrill Lynch to be included with the 2000 Financial Disclosure Statement.

For Schedule #4: Reportable transactions on accounts 749-19458 for January 2000 - June 2000 and 749-66T11 for January 2000 - June 2000.

Thank you for your time and attention to this important matter.

Yours truly,

Michael G. Oxley, M.C.
Fourth Ohio District

DUPLICATE MERRILL LYNCH STATEMENT STATEMENT RECEIVED BY MAIL IS YOUR OFFICIAL RECORD

CMA® LINK SERVICE

MICHAEL G OXLEY AND
PATRICIA A OXLEY JTWROS
7629 HUNTMASTER LN
MCLEAN VA 22102-2531

YOUR FINANCIAL CONSULTANT:
HARRISON/ARRISON

(202) 659-7373

For Client Service Questions Call
1-800-MER-LINK

Office Serving Your Account
1850 K ST NW 7TH FLOOR
WASHINGTON DC 20006

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Statement Information

Account No.	Account Name	Page
749-19458	MICHAEL G OXLEY AND	1 to 14
749-66T11	MICHAEL G OXLEY AND	15 to 18
749-72P30	FBO MICHAEL G OXLEY	19 to 30
749-72R99	FBO PATRICIA OXLEY	31 to 40

Summary of Account(s)

Account Type	Total Value This Month
1 CMA	30,525
2 CMA	1,718
3 Retirement	72,765
4 Retirement	56,988
Total	161,997

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Page	Statement Period	Account No
SUMMARY 1	11/25/00 TO 12/29/00	749-19458

019018 0130

DUPLICATE MERRILL LYNCH STATEMENT STATEMENT RECEIVED BY MAIL IS YOUR OFFICIAL RECORD

MERRILL LYNCH CMA® ACCOUNT

TOTAL ACCOUNT VALUE AS OF 06/30/2000 $0.12

MICHAEL G OXLEY AND
PATRICIA A OXLEY JTWROS
7629 HUNTMASTER LN
MC LEAN VA 22102-2531

YOUR FINANCIAL CONSULTANT:
HARRISON/ARRISON

(202) 659-7240

Your Merrill Lynch Office:
1850 K ST NW 7TH FLOOR
WASHINGTON DC 20006

FOR CUSTOMER SERVICE QUESTIONS: 1-800-MER-LINK

Monthly Portfolio Summary

Asset	05/26/00 Value	%	06/30/00 Value	%
Cash/Money Accounts	69	100		
CD's/Equivalents				
Government Securities				
Corporate Bonds				
Municipal Bonds				
Equities				
Mutual Funds				
Options				
Other				
Long Market Value	69			
Short Market Value				
Estimated Accrued Interest				
Debit Balance				
Net Portfolio Value	69			

Income Summary

	This Statement	Year-to-Date
Money Fund Dividends	.11	169.67
Tax-Exempt CMA Funds		
Tax-Exempt Interest		
Reportable Interest		
Reportable Dividends		
Income Not Reported		
Total	.11	169.67

Items for Attention

Security	Message	Date
	No Items For Attention	

NEWS

Check out the new Merrill Lynch OnLine site (www.newmlol.ml.com) for real-time stock quotes, intra-day portfolio updates, Merrill Lynch and Standard & Poor's research, and new asset allocation tools. Call 1-888-656-5669 anytime to enroll in this free service!

Financial Market Indicators

	This Statement	Last Statement	Previous Year-End
Dow Jones Industrial Average	10447.89	10522.33	11497.12
Three-Month Treasury Bills	5.86%	5.61%	5.31%
Long-Term Treasury Bonds	5.89%	6.01%	6.47%

341391 _ PLEASE SEE REVERSE SIDE

Statement Period 05/27/00 TO 06/30/00

Account No. 749-66T11

019018 0130

3620

MERRILL LYNCH CMA® ACCOUNT

MICHAEL G OXLEY AND

Cash Flow Summary

Activity Summary	This Statement	Year-to-Date
Opening Balance Cash & Money Accounts	69.01	
Net Credits & Debits	68.89DR	2,859.99DR
Closing Balance Cash & Money Accounts	.12	

Credits	This Statement	Year-to-Date
Sales Income	.11	169.67
Funds Received		10,000.00
Other	31.00	959.46
Total Credits	31.11	11,129.13

Debits	This Statement	Year-to-Date
Purchases Withdrawals		
CMA Checks		13,436.22
Other	100.00	552.90
Total Debits	100.00	13,989.12

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Dividends and Interest			
06/06	Cash Dividend		CMA MONEY FUND $0.11 FOR 11 DAYS FROM 05-26 THRU 06-05			.11
			Net Total			.11
			Other Activity			
06/05	Annual Charge		CMA ANNUAL FEE		69.00	

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MERRILL LYNCH CMA® ACCOUNT

MICHAEL G OXLEY AND

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Other Activity			
06/23	Annual Charge		CMA ANNUAL FEE		31.00	
06/23	Annual Charge		CHECK DEPOSIT			31.00
			Net Total		69.00	

Daily Activity Review

Date	Transaction	Quantity	Description	Price	Amount	Cash Balance	Money Account Balance
05/26	**Opening Balance**					1.01CR	68
05/30	Purchase	1	CMA MONEY FUND		1.00	.01CR	69
06/05	Annual Charge		CMA ANNUAL FEE		69.00		
06/05	Sale	-69	CMA MONEY FUND		69.00CR	.01CR	
06/06	Cash Dividend		CMA MONEY FUND $0.11 FOR 11 DAYS FROM 05-26 THRU 06-05		.11CR	.12CR	
06/23	Annual Charge		CMA ANNUAL FEE		31.00		
06/23	Annual Charge		CHECK DEPOSIT		31.00CR		
06/30	**Closing Balance**				.12CR	.12CR	

3622

MERRILL LYNCH CMA® ACCOUNT

MICHAEL G OXLEY AND

Customer Service

For information about your account, please call 1-800-MER-LINK (1-800-637-5465) To report Lost or Stolen Visa Cards or Checks, please call 1-800-262-5678 When requested, enter your Access Code 91-749-66811

3623

)UPLICATE MERRILL LYNCH STATEMENT. STATEMENT RECEIVED BY MAIL IS YOUR OFFICIAL RECORD

CMA® LINK SERVICE

MICHAEL G OXLEY AND
PATRICIA A OXLEY JTWROS
7629 HUNTMASTER LN
MCLEAN VA 22102-2531

YOUR FINANCIAL CONSULTANT:
HARRISON/ARRISON

(202) 659-7373

For Client Service Questions Call:
1-800-MER-LINK

Office Serving Your Account
1850 K ST NW 7TH FLOOR
WASHINGTON DC 20006

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Statement Information

Account No.	Account Name	Page
749-19458	MICHAEL G OXLEY AND	1 to 14
749-66T11	MICHAEL G OXLEY AND	15 to 18
749-72P30	FBO MICHAEL G OXLEY	19 to 30
749-72R99	FBO PATRICIA OXLEY	31 to 40

Summary of Account(s)

Account Type	Total Value This Month
1 CMA	30,525
2 CMA	1,718
3 Retirement	72,765
4 Retirement	56,988
Total	161,997

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Page	Statement Period	Account No
SUMMARY 1	11/25/00 TO 12/29/00	749-19458

016018 210

MERRILL LYNCH CMA® ACCOUNT

TOTAL ACCOUNT VALUE AS OF 07/28/2000 $0.12

MICHAEL G OXLEY AND
PATRICIA A OXLEY JTWROS
7629 HUNTMASTER LN
MC LEAN VA 22102-2531

YOUR FINANCIAL CONSULTANT:
HARRISON/ARRISON

(202) 659-7240

FOR CUSTOMER SERVICE QUESTIONS:

Your Merrill Lynch Office:
1850 K ST NW 7TH FLOOR
WASHINGTON DC 20008

1-800-MER-LINK

Monthly Portfolio Summary

Asset	06/30/00 Value	%	07/28/00 Value	%
Cash/Money Accounts				
CD's/Equivalents				
Government Securities				
Corporate Bonds				
Municipal Bonds				
Equities				
Mutual Funds				
Options				
Other				
Long Market Value				
Short Market Value				
Estimated Accrued Interest				
Debit Balance				
Net Portfolio Value				

Income Summary

	This Statement	Year-to-Date
Money Fund Dividends		169.67
Tax-Exempt Funds		
Tax-Exempt Interest		
Reportable Interest		
Reportable Dividends		
Income Not Reported		
Total		169.67

Items for Attention

Security	Message	Date
	No Items For Attention	

NEWS

Want timely Ideas identified by the Merrill Lynch Research Department? Call 1-800-MERRILL ext 5056 to receive your free copy of our special report, Performance Perspectives. Market in Motion.

Financial Market Indicators

	This Statement	Last Statement	Previous Year-End
Dow Jones Industrial Average	10511.17	10447.89	11497.12
Three-Month Treasury Bills	6.17%	5.86%	5.31%
Long-Term Treasury Bonds	5.77%	5.89%	6.47%

3625

MERRILL LYNCH CMA® ACCOUNT

MICHAEL G OXLEY AND

Cash Flow Summary

Activity Summary	This Statement	Year-to-Date	Credits	This Statement	Year-to-Date	Debits	This Statement	Year-to-Date
Opening Balance Cash & Money Accounts	.12		Sales			Purchases		
			Income		169.67	Withdrawals		
			Funds Received		10,000.00	CMA Checks		13,436.22
Net Credits & Debits		2,859.99DR	Other		959.46	Other		552.90
Closing Balance Cash & Money Accounts	.12							
			Total Credits		11,129.13	Total Debits		13,989.12

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MERRILL LYNCH CMA® ACCOUNT

MICHAEL G OXLEY AND

Customer Service

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MERRILL LYNCH CMA® ACCOUNT

MICHAEL G OXLEY AND

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DUPLICATE MERRILL LYNCH STATEMENT STATEMENT RECEIVED BY MAIL IS YOUR OFFICIAL RECORD

MERRILL LYNCH CMA® ACCOUNT

TOTAL ACCOUNT VALUE AS OF 08/25/2000 $0.12

MICHAEL G OXLEY AND
PATRICIA A OXLEY JTWROS
7629 HUNTMASTER LN
MC LEAN VA 22102-2531

YOUR FINANCIAL CONSULTANT:
HARRISON/ARRISON

(202) 659-7240

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Your Merrill Lynch Office:
1850 K ST NW 7TH FLOOR
WASHINGTON DC 20006

Monthly Portfolio Summary

Asset	07/28/00 Value	%	08/25/00 Value	%
Cash/Money Accounts				
CD's/Equivalents				
Government Securities				
Corporate Bonds				
Municipal Bonds				
Equities				
Mutual Funds				
Options				
Other				
Long Market Value				
Short Market Value				
Estimated Accrued Interest				
Debit Balance				
Net Portfolio Value				

Income Summary

	This Statement	Year-to-Date
Money Fund Dividends		169.67
Tax-Exempt Funds		
Tax-Exempt Interest		
Reportable Interest		
Reportable Dividends		
Income Not Reported		
Total		169.67

Items for Attention

Security	Message	Date
	No Items For Attention	

NEWS

If you're traveling this summer, don't forget to set up a personal identification number (PIN) to make sure you can access funds in your CMA(R) account. For help, contact your Financial Consultant or CMA Operations at (800) CMA-INFO (262-4636).

Financial Market Indicators

	This Statement	Last Statement	Previous Year-End
Dow Jones Industrial Average	11192.63	10511.17	11497.12
Three-Month Treasury Bills	6.28%	6.17%	5.31%
Long-Term Treasury Bonds	5.66%	5.77%	6.47%

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3629

MERRILL LYNCH CMA® ACCOUNT

MICHAEL G OXLEY AND

Cash Flow Summary

Activity Summary			Credits			Debits		
	This Statement	Year-to-Date		This Statement	Year-to-Date		This Statement	Year-to-Date
Opening Balance Cash & Money Accounts	.12		Sales Income		169.67	Purchases Withdrawals		
			Funds Received		10,000.00	CMA Checks		13,436.22
Net Credits & Debits		2,859.99DR	Other		959.46	Other		552.90
Closing Balance Cash & Money Accounts	.12		Total Credits		11,129.13	Total Debits		13,989.12

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MERRILL LYNCH CMA® ACCOUNT

MICHAEL G OXLEY AND

Customer Service

For information about your account, please call 1-800-MER-LINK (1-800-637-5465) To report Lost or Stolen Visa Cards or Checks, please call 1-800-262-5678 When requested, enter your Access Code 91-749-66811

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MERRILL LYNCH CMA® ACCOUNT

MICHAEL G OXLEY AND

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DUPLICATE MERRILL LYNCH STATEMENT. STATEMENT RECEIVED BY MAIL IS YOUR OFFICIAL RECORD

MERRILL LYNCH CMA® ACCOUNT

TOTAL ACCOUNT VALUE AS OF 09/29/2000 $5,043.40

MICHAEL G OXLEY AND
PATRICIA A OXLEY JTWROS
7629 HUNTMASTER LN
MC LEAN VA 22102-2531

YOUR FINANCIAL CONSULTANT:
HARRISON/ARRISON

(202) 659-7240

FOR CUSTOMER SERVICE QUESTIONS:

Your Merrill Lynch Office:
1850 K ST NW 7TH FLOOR
WASHINGTON DC 20006

1-800-MER-LINK

Monthly Portfolio Summary

Asset	08/25/00 Value	%	09/29/00 Value	%
Cash/Money Accounts			5,043	100
CD's/Equivalents				
Government Securities				
Corporate Bonds				
Municipal Bonds				
Equities				
Mutual Funds				
Options				
Other				
Long Market Value			5,043	
Short Market Value				
Estimated Accrued Interest				
Debit Balance				
Net Portfolio Value			5,043	

Income Summary

	This Statement	Year-to-Date
Money Fund Dividends		169.67
Tax-Exempt Funds		
Tax-Exempt Interest		
Reportable Interest	28.82	28.82
Reportable Dividends		
Income Not Reported		
Total	28.82	198.49

Items for Attention

Security	Message	Date
	No Items For Attention	

Purchasing Power 5,043 Investor Credit Line

NEWS

Put your money to work faster with the Direct Deposit Service This free service lets you deposit payroll, pension, Social Security and other recurring payments into your CMA(R) Account or CMA Subaccount(SM) electronically. The sooner your money reaches your account, the sooner it starts working for you. For more information, call your Financial Consultant.

Financial Market Indicators

	This Statement	Last Statement	Previous Year-End
Dow Jones Industrial Average	10650.92	11215.10	11497.12
Three-Month Treasury Bills	6.20%	6.30%	5.31%
Long-Term Treasury Bonds	5.88%	5.66%	6.47%

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MERRILL LYNCH CMA® ACCOUNT

MICHAEL G OXLEY AND

Cash Flow Summary

Activity Summary	This Statement	Year-to-Date	Credits	This Statement	Year-to-Date	Debits	This Statement	Year-to-Date
Opening Balance Cash & Money Accounts	.12		Sales Income	28.82	198.49	Purchases Withdrawals		
			Funds Received		10,000.00	CMA Checks	2,985.54	16,421.76
Net Credits & Debits	5,043.28	2,183.29	Other	8,000.00	8,959.46	Other		552.90
Closing Balance Cash & Money Accounts	5,043.40		Total Credits	8,028.82	19,157.95	Total Debits	2,985.54	16,974.66

Bank Deposit Interest Summary

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
Merrill Lynch Bank USA	0	5,494	6.17	28.82	5,042
Total ML Banking Advantaga	0			28.82	5,042

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MERRILL LYNCH CMA® ACCOUNT

MICHAEL G OXLEY AND

Current Portfolio

Quantity	Security Description	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
	Cash and Money Accounts									
	CASH			1		1				
5,042	ML BANKING ADVANTAGE		1.00	5,042	1.00	5,042			311	6.17
	Total Cash/Money Accounts			5,043		5,043			311	6.17
	Total of Long Portfolio			5,043		5,043			311	6.17

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
	Dividends and Interest					
09/29	Bank Interest		BANK DEPOSIT INTEREST			.82
	Income Total		ML BANKING ADVANTAGE			28.00
	Net Total					28.82

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MERRILL LYNCH CMA® ACCOUNT

MICHAEL G OXLEY AND

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Other Activity			
08/28	Journal Entry		TR FROM 74919458 N/O MICHAEL G OXLEY AND VS 74919458 UNIT 50E BATCH # = 00030091173			8,000.00
			Net Total			8,000.00

Summary of Checking Activity

Date Cleared	Date Written	Check Number	Payee	Amount
09/01	08/28	162	MS CHRISTY OXLEY	1,800.00
09/06	08/28	163	AMERICAN EXPRESS	1,185.54
			Total Checking Activity	2,985.54

Daily Activity Review

Date	Transaction	Quantity	Description	Price	Amount	Cash Balance	Money Account Balance
08/25	Opening Balance					.12CR	
08/28	Journal Entry		TR FROM 74919458 N/O MICHAEL G OXLEY AND VS 74919458 UNIT 50E BATCH # = 00030091173		8,000.00CR	8,000.12CR	

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DUPLICATE MERRILL LYNCH STATEMENT. STATEMENT RECEIVED BY MAIL IS YOUR OFFICIAL RECORD.

MERRILL LYNCH CMA® ACCOUNT

MICHAEL G OXLEY AND

Daily Activity Review

Date	Transaction	Quantity	Description	Price	Amount	Cash Balance	Money Account Balance
08/29	Deposit To		ML BANKING ADVANTAGE		8,000.00	.12CR	8,000
09/01	Check		MS CHRISTY OXLEY		1,800.00		
09/01	Withdrawal		ML BANKING ADVANTAGE		1,800.00CR	.12CR	6,200
09/06	Check		AMERICAN EXPRESS		1,185.54		
09/06	Withdrawal		ML BANKING ADVANTAGE		1,186.00CR	.58CR	5,014
09/29	H Bank Interest		BANK DEPOSIT INTEREST		28.82CR		
09/29	**Closing Balance**				1.40CR	1.40CR	5,042

Customer Service

For information about your account, please call 1-800-MER-LINK (1-800-637-5465). To report Lost or Stolen Visa Cards or Checks, please call 1-800-282-5678 When requested, enter your Access Code 91-749-66811

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MERRILL LYNCH CMA® ACCOUNT

MICHAEL G OXLEY AND

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DUPLICATE MERRILL LYNCH STATEMENT STATEMENT RECEIVED BY MAIL IS YOUR OFFICIAL RECORD

MERRILL LYNCH CMA® ACCOUNT

TOTAL ACCOUNT VALUE AS OF 10/27/2000 $5,067.22

MICHAEL G OXLEY AND
PATRICIA A OXLEY JTWROS
7629 HUNTMASTER LN
MC LEAN VA 22102-2531

YOUR FINANCIAL CONSULTANT:
HARRISON/ARRISON

(202) 659-7240

Your Merrill Lynch Office:
1850 K ST NW 7TH FLOOR
WASHINGTON DC 20006

FOR CUSTOMER SERVICE QUESTIONS: 1-800-MER-LINK

Monthly Portfolio Summary

Asset	09/29/00 Value	%	10/27/00 Value	%
Cash/Money Accounts	5,043	100	5,067	100
CD's/Equivalents				
Government Securities				
Corporate Bonds				
Municipal Bonds				
Equities				
Mutual Funds				
Options				
Other				
Long Market Value	5,043		5,067	
Short Market Value				
Estimated Accrued Interest				
Debit Balance				
Net Portfolio Value	5,043		5,067	

Income Summary

	This Statement	Year-to-Date
Money Fund Dividends		169.67
Tax-Exempt Funds		
Tax-Exempt Interest		
Reportable Interest	23.82	52.64
Reportable Dividends		
Income Not Reported		
Total	23.82	222.31

Items for Attention

Security	Message	Date
	No Items For Attention	

Purchasing Power 5,067 Investor Credit Line

NEWS

The Unlimited Advantage(SM) service is a relationship-based financial service designed to give investors access to a broad range of resources from Merrill Lynch for a simple annual fee For more details, see the enclosed newsletter.

Financial Market Indicators

	This Statement	Last Statement	Previous Year-End
Dow Jones Industrial Average	10590.62	10650.92	11497.12
Three-Month Treasury Bills	6.32%	6.20%	5.31%
Long-Term Treasury Bonds	5.74%	5.88%	6.47%

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MERRILL LYNCH CMA® ACCOUNT

MICHAEL G OXLEY AND

Cash Flow Summary

Activity Summary	This Statement	Year-to-Date	Credits	This Statement	Year-to-Date	Debits	This Statement	Year-to-Date
Opening Balance Cash & Money Accounts	5,043.40		Sales			Purchases		
			Income	23.82	222.31	Withdrawals		
			Funds Received		10,000.00	CMA Checks		16,421.76
			Other		8,959.46	Other		552.90
Net Credits & Debits	23.82	2,207.11						
Closing Balance Cash & Money Accounts	5,067.22		Total Credits	23.82	19,181.77	Total Debits		16,974.66

Bank Deposit Interest Summary

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
Merrill Lynch Bank USA	5,042	5,042	6.17	23.82	5,066
Total ML Banking Advantage	5,042			23.82	5,066

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MERRILL LYNCH CMA® ACCOUNT

MICHAEL G OXLEY AND

Current Portfolio

Quantity	Security Description	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
	Cash and Money Accounts									
	CASH			1		1				
5,066	ML BANKING ADVANTAGE		1.00	5,066	1.00	5,066			312	6.17
	Total Cash/Money Accounts			5,067		5,067			312	6.17
	Total of Long Portfolio			5,067		5,067			312	6.17

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Dividends and Interest			
10/27	# Bank Interest Income Total		BANK DEPOSIT INTEREST			.82
			ML BANKING ADVANTAGE			23.00
			Net Total			23.82

3641

MERRILL LYNCH CMA® ACCOUNT

MICHAEL G OXLEY AND

Daily Activity Review

Date	Transaction	Quantity	Description	Price	Amount	Cash Balance	Money Account Balance
09/29	Opening Balance						
10/02	Deposit To		ML BANKING ADVANTAGE			1.40CR	5,042
10/27	W Bank Interest		BANK DEPOSIT INTEREST		1.00	.40CR	5,043
10/27	Closing Balance				23.82CR		
					1.22CR	1.22CR	5,066

Customer Service

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3642

DUPLICATE MERRILL LYNCH STATEMENT STATEMENT RECEIVED BY MAIL IS YOUR OFFICIAL RECORD

MERRILL LYNCH CMA® ACCOUNT

TOTAL ACCOUNT VALUE AS OF 11/24/2000 $5,091.16

MICHAEL G OXLEY AND
PATRICIA A OXLEY JTWROS
7629 HUNTMASTER LN
MC LEAN VA 22102-2531

YOUR FINANCIAL CONSULTANT:
HARRISON/ARRISON

(202) 659-7240

Your Merrill Lynch Office:
1850 K ST NW 7TH FLOOR
WASHINGTON DC 20006

FOR CUSTOMER SERVICE QUESTIONS: 1-800-MER-LINK

Monthly Portfolio Summary

Asset	10/27/00 Value	%	11/24/00 Value	%
Cash/Money Accounts	5,067	100	5,091	100
CD's/Equivalents				
Government Securities				
Corporate Bonds				
Municipal Bonds				
Equities				
Mutual Funds				
Options				
Other				
Long Market Value	5,067		5,091	
Short Market Value				
Estimated Accrued Interest				
Debit Balance				
Net Portfolio Value	5,067		5,091	

Income Summary

	This Statement	Year-to-Date
Money Fund Dividends		169.67
Tax-Exempt Funds		
Tax-Exempt Interest		
Reportable Interest	23.94	76.58
Reportable Dividends		
Income Not Reported		
Total	23.94	246.25

Items for Attention

Security	Message	Date
	No Items For Attention	

Purchasing Power 5,091 Investor Credit Line

NEWS

Plan for a perfect holiday using the CMA(R) Visa(R) Signature(SM) card for your holiday shopping & traveling. You'll earn a point for every dollar you spend on the card. If you don't already participate and you need information, call (800) 637-7455, ext 5069, or call your Financial Consultant for a copy of the CMA Visa Signature brochure.

Financial Market Indicators

	This Statement	Last Statement	Previous Year-End
Dow Jones Industrial Average	10470.23	10590.62	11497.12
Three-Month Treasury Bills	6.35%	6.32%	5.31%
Long-Term Treasury Bonds	5.67%	5.74%	6.47%

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DUPLICATE MERRILL LYNCH STATEMENT. STATEMENT RECEIVED BY MAIL IS YOUR OFFICIAL RECORD.

MERRILL LYNCH CMA® ACCOUNT

MICHAEL G OXLEY AND

Cash Flow Summary

Activity Summary	This Statement	Year-to-Date	Credits	This Statement	Year-to-Date	Debits	This Statement	Year-to-Date
Opening Balance Cash & Money Accounts	5,067.22		Sales			Purchases		
			Income	23.94	246.25	Withdrawals		
			Funds Received		10,000.00	CMA Checks		16,421.76
Net Credits & Debits	23.94	2,231.05	Other		8,959.46	Other		552.90
Closing Balance Cash & Money Accounts	5,091.16							
			Total Credits	23.94	19,205.71	Total Debits		16,974.66

Bank Deposit Interest Summary

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
Merrill Lynch Bank USA	5,066	5,066	6.16	23.94	5,090
Total ML Banking Advantage	5,066			23.94	5,090

MERRILL LYNCH CMA® ACCOUNT

MICHAEL G OXLEY AND

Current Portfolio

Quantity	Security Description	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
	Cash and Money Accounts									
	CASH			1		1				
5,090	ML BANKING ADVANTAGE		1.00	5,090	1.00	5,090			313	6.16
	Total Cash/Money Accounts			5,091		5,091			313	6.16
	Total of Long Portfolio			5,091		5,091			313	6.16

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Dividends and Interest			
11/24	M Bank Interest		BANK DEPOSIT INTEREST			.94
	Income Total		ML BANKING ADVANTAGE			23.00
			Net Total			23.94

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DUPLICATE MERRILL LYNCH STATEMENT. STATEMENT RECEIVED BY MAIL IS YOUR OFFICIAL RECORD.

MERRILL LYNCH CMA® ACCOUNT

MICHAEL G OXLEY AND

Daily Activity Review

Date	Transaction	Quantity	Description	Price	Amount	Cash Balance	Money Account Balance
10/27	Opening Balance					1.22CR	5,066
10/30	Deposit To		ML BANKING ADVANTAGE		1.00	.22CR	5,067
11/24	¤ Bank Interest		BANK DEPOSIT INTEREST		23.94CR		
11/24	Closing Balance				1.16CR	1.16CR	5,090

Customer Service

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3646

DUPLICATE MERRILL LYNCH STATEMENT. STATEMENT RECEIVED BY MAIL IS YOUR OFFICIAL RECORD

MERRILL LYNCH CMA® ACCOUNT

TOTAL ACCOUNT VALUE AS OF 12/29/2000 $1,718.36

MICHAEL G OXLEY AND
PATRICIA A OXLEY JTWROS
7629 HUNTMASTER LN
MC LEAN VA 22102-2531

YOUR FINANCIAL CONSULTANT:
HARRISON/ARRISON

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Your Merrill Lynch Office:
1850 K ST NW 7TH FLOOR
WASHINGTON DC 20006

FOR CUSTOMER SERVICE QUESTIONS: 1-800-MER-LINK

Monthly Portfolio Summary

Asset	11/24/00 Value	%	12/29/00 Value	%
Cash/Money Accounts	5,091	100	1,718	100
CD's/Equivalents				
Government Securities				
Corporate Bonds				
Municipal Bonds				
Equities				
Mutual Funds				
Options				
Other				
Long Market Value	5,091		1,718	
Short Market Value				
Estimated Accrued Interest				
Debit Balance				
Net Portfolio Value	5,091		1,718	

Income Summary

	This Statement	Year-to-Date
Money Fund Dividends		169.67
Tax-Exempt Funds		
Tax-Exempt Interest		
Reportable Interest	23.88	100.46
Reportable Dividends		
Income Not Reported		
Total	23.88	270.13

Items for Attention

Security	Message	Date
	No Items For Attention	

Purchasing Power 1,718 Investor Credit Line

NEWS

Do you have two or more accounts with Merrill Lynch? Keep them together with the free CMA Link(SM) statement service. It can help you monitor & manage the assets in all your Merrill Lynch accounts. Each month you'll get individual statements for all linked accounts in one package, along with a summary page. Call your FC for more details.

Financial Market Indicators

	This Statement	Last Statement	Previous Year-End
Dow Jones Industrial Average	10787.99	10414.49	11497.12
Three-Month Treasury Bills	5.88%	6.20%	5.31%
Long-Term Treasury Bonds	5.45%	5.61%	6.47%

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MERRILL LYNCH CMA® ACCOUNT

MICHAEL G OXLEY AND

Cash Flow Summary

Activity Summary	This Statement	Year-to-Date	Credits	This Statement	Year-to-Date	Debits	This Statement	Year-to-Date
Opening Balance Cash & Money Accounts	5,091.16		Sales Income	23.88	270.13	Purchases Withdrawals		
			Funds Received		10,000.00	CMA Checks	3,396.68	19,818.44
Net Credits & Debits	3,372.80DR	1,141.75DR	Other		8,959.46	Other		552.90
Closing Balance Cash & Money Accounts	1,718.36		Total Credits	23.88	19,229.59	Total Debits	3,396.68	20,371.34

Bank Deposit Interest Summary

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
Merrill Lynch Bank USA	5,090	4,023	6.17	23.88	1,717
Total ML Banking Advantage	5,090			23.88	1,717

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MERRILL LYNCH CMA® ACCOUNT

MICHAEL G OXLEY AND

Current Portfolio

Quantity	Security Description	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
	Cash and Money Accounts									
	CASH			1		1				
1,717	ML BANKING ADVANTAGE		1.00	1,717	1.00	1,717			105	6.17
	Total Cash/Money Accounts			1,718		1,718			105	6.17
	Total of Long Portfolio			1,718		1,718			105	6.17

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Dividends and Interest			
12/29	* Bank Interest Income Total		BANK DEPOSIT INTEREST			.88
			ML BANKING ADVANTAGE			23.00
			Net Total			23.88

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MERRILL LYNCH CMA® ACCOUNT

MICHAEL G OXLEY AND

Summary of Checking Activity

Date Cleared	Date Written	Check Number	Payee	Amount
12/18	12/12	164	M CHADD OXLEY	3,396.68
			Total Checking Activity	3,396.68

Daily Activity Review

Date	Transaction	Quantity	Description	Price	Amount	Cash Balance	Money Account Balance
11/24	Opening Balance					1.16CR	5,090
11/27	Deposit To		ML BANKING ADVANTAGE		1.00	.16CR	5,091
12/18	Check		M CHADD OXLEY		3,396.68		
12/18	Withdrawal		ML BANKING ADVANTAGE		3,397.00CR	.48CR	1,694
12/29	* Bank Interest		BANK DEPOSIT INTEREST		23.88CR		
12/29	Closing Balance				1.36CR	1.36CR	1,717

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MERRILL LYNCH CMA® ACCOUNT

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TOTAL ACCOUNT VALUE AS OF 06/30/2000 $42,422.06

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Monthly Portfolio Summary

Asset	05/26/00 Value	%	06/30/00 Value	%
Cash/Money Accounts	13	*	14	*
CD's/Equivalents				
Government Securities				
Corporate Bonds				
Municipal Bonds				
Equities				
Mutual Funds	38,069	100	42,408	100
Options				
Other				
*-Less than 1 %				
Long Market Value	38,082		42,422	
Short Market Value				
Estimated Accrued Interest				
Debit Balance				
Net Portfolio Value	38,083		42,422	

Income Summary

	This Statement	Year-to-Date
Money Fund Dividends	.05	.26
Tax-Exempt CMA Funds		
Tax-Exempt Interest		
Reportable Interest		
Reportable Dividends		66.40
Income Not Reported		
Total	.05	66.66

Items for Attention

Security	Message	Date
	No Items For Attention	

Purchasing Power 14 Investor Credit Line

NEWS

Check out the new Merrill Lynch OnLine site (www.newmlol.ml.com) for real-time stock quotes, intra-day portfolio updates, Merrill Lynch and Standard & Poor's research, and new asset allocation tools. Call 1-888-656-5669 anytime to enroll in this free service!

Financial Market Indicators

	This Statement	Last Statement	Previous Year-End
Dow Jones Industrial Average	10447.89	10522.33	11497.12
Three-Month Treasury Bills	5.86%	5.61%	5.31%
Long-Term Treasury Bonds	5.89%	6.01%	6.47%

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MERRILL LYNCH CMA® ACCOUNT

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MICHAEL G OXLEY AND

Cash Flow Summary

Activity Summary	This Statement	Year-to-Date
Opening Balance Cash & Money Accounts	13.95	
Net Credits & Debits	.05	87.99DR
Closing Balance Cash & Money Accounts	14.00	

Credits	This Statement	Year-to-Date
Sales		624.75
Income	.05	66.66
Funds Received		
Other		26.59
Total Credits	.05	718.00

Debits	This Statement	Year-to-Date
Purchases		
Withdrawals		
Other		805.99
Total Debits		805.99

Realized Capital Gain and Loss Summary*

	This Month	Year-to-Date
Short-Term	.00	16.24
Long-Term	.00	57.97

*-Excludes transactions for which we have insufficient data.

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MERRILL LYNCH CMA® ACCOUNT

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MICHAEL G OXLEY AND

Current Portfolio

Quantity	Security Description	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
	Cash and Money Accounts									
	CASH			1		1				
13	CMA MONEY FUND		1.00	13	1.00	13				5.96
	Total Cash/Monsy Accounts			14		14				5.92

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Mutual Funds and Defined Asset Funds										
Mutual Funds - Equity											
217	ALLIANCE PREMIER GROWTH FD ADV CL			04/14/99	34.23	7,429	38.40	8,332	903		
	(.2600 FRACTIONAL SHARE)			N/A	N/A	N/A	38.40	9	N/A		
	Total Client Investment			$6,912							
	Investment Return			$1,420							
457	AMERN CENTY INC & GR FD			04/14/99	30.35	13,873	32.70	14,943	1,070	400	2.67

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MERRILL LYNCH CMA® ACCOUNT

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MICHAEL G OXLEY AND

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Mutual Funds and Defined Asset Funds										
Mutual Funds - Equity											
	(.0750 FRACTIONAL SHARE)			N/A	N/A	N/A	32.70	2	N/A		2.67
	Total Client Investment			$13,718							
	Investment Return			$1,225							
402	NATIONS MARSICO FOCUS EQUITY CL A			04/14/99	17.56	7,060	20.68	8,313	1,253		
	(.0950 FRACTIONAL SHARE)			N/A	N/A	N/A	20.68	1	N/A		
	Total Client Investment			$6,936							
	Investment Return			$1,377							
80	PUTNAM INTERNATIONAL GROWTH FUND CL A			10/13/99	23.54	1,883	29.92	2,393	509	27	1.13
	(.8460 FRACTIONAL SHARE)			N/A	N/A	N/A	29.92	25	N/A		1.13
	Total Client Investment			$1,829							
	Investment Return			$564							
87	ROBERTSON STEPH EMERGING GROWTH FD			04/14/99	33.00	2,871	64.46	5,608	2,737		
	(.7400 FRACTIONAL SHARE)			N/A	N/A	N/A	64.46	47	N/A		
	Total Client Investment			$2,598							
	Investment Return			$3,009							
118	VAN KAMPEN AMERICAN VALUE FD CL A			04/14/99	20.16	2,379	23.05	2,719	341		

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MERRILL LYNCH CMA® ACCOUNT

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MICHAEL G OXLEY AND

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Mutual Funds and Defined Asset Funds										
Mutual Funds - Equity											
	(.3940 FRACTIONAL SHARE)			N/A	N/A	N/A	23.05	9	N/A		
	Total Client Investment			$2,178							
	Investment Return			$540							
	Total Mutual Funds - Equity					35,497		42,408	6,813	427	1.01
	Total Mutual Funds					35,497		42,408	6,813	427	1.01
	Total of Long Portfolio					35,511*		42,422	6,813 *	428	1.01

DATE ACQUIRED: Date of your initial investment in this fund
UNIT COST BASIS: Average cost basis for all shares owned
UNREALIZED GAIN or (LOSS): Total market value minus total cost basis

TOTAL CLIENT INVESTMENT: Cost of shares directly purchased (excluding shares purchased through reinvestment)
INVESTMENT RETURN: Value of reinvested shares plus capital appreciation(depreciation) of all shares purchased, including through reinvestment

* - Excludes N/A Items

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MERRILL LYNCH CMA® ACCOUNT

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MICHAEL G OXLEY AND

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Dividends and Interest			
06/30	Cash Dividend		CMA MONEY FUND FROM 5-26 THRU 6-29			.05
			Net Total			.05

Tax Information Summary

	This Statement	Year-to-Date
Accrued Interest Paid		
Tax-Exempt		
Reportable		
Accrued Interest Received		
Tax-Exempt		
Reportable		
Gross Proceeds		624.75

Although we provide complete year-to-date information for Reportable Accrued Interest Received, Accrued Interest Paid and Tax-Exempt Accrued Interest Received and Paid include only those months we produce your profit and loss statement.

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MERRILL LYNCH CMA® ACCOUNT

MEA Selects™

MICHAEL G OXLEY AND

Daily Activity Review

Date	Transaction	Quantity	Description	Price	Amount	Cash Balance	Money Account Balance
05/26	Opening Balance					.95CR	13
06/30	Cash Dividend		CMA MONEY FUND FROM 5-26 THRU 6-29		.05CR		
06/30	Closing Balance				1.00CR	1.00CR	13

Customer Service

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MERRILL LYNCH CMA® ACCOUNT

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DUPLICATE MERRILL LYNCH STATEMENT STATEMENT RECEIVED BY MAIL IS YOUR OFFICIAL RECORD

MERRILL LYNCH CMA® ACCOUNT

MFA Selects™

TOTAL ACCOUNT VALUE AS OF 07/28/2000 $40,662.25

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1850 K ST NW 7TH FLOOR
WASHINGTON DC 20006

FOR CUSTOMER SERVICE QUESTIONS: 1-800-MER-LINK

Monthly Portfolio Summary

Asset	06/30/00 Value	%	07/28/00 Value	%
Cash/Money Accounts	14	*	12	*
CD's/Equivalents				
Government Securities				
Corporate Bonds				
Municipal Bonds				
Equities				
Mutual Funds	42,408	100	40,650	100
Options				
Other				
*-Less than 1 %				
Long Market Value	42,422		40,662	
Short Market Value				
Estimated Accrued Interest				
Debit Balance				
Net Portfolio Value	42,422		40,662	

Income Summary

	This Statement	Year-to-Date
Money Fund Dividends	.04	.30
Tax-Exempt Funds		
Tax-Exempt Interest		
Reportable Interest		
Reportable Dividends	33.00	99.40
Income Not Reported		
Total	33.04	99.70

Items for Attention

Security	Message	Date
	No Items For Attention	

Purchasing Power 12 Investor Credit Line

NEWS

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Financial Market Indicators

	This Statement	Last Statement	Previous Year-End
Dow Jones Industrial Average	10511.17	10447.89	11497.12
Three-Month Treasury Bills	6.17%	5.86%	5.31%
Long-Term Treasury Bonds	*5.77%	5.89%	6.47%

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 Statement Period 07/01/00 TO 07/28/00 Account No 749-19458

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MERRILL LYNCH CMA® ACCOUNT

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MICHAEL G OXLEY AND

Cash Flow Summary

Activity Summary	This Statement	Year-to-Date	Credits	This Statement	Year-to-Date	Debits	This Statement	Year-to-Date
Opening Balance Cash & Money Accounts	14.00		Sales	126.30	751.05	Purchases		
			Income	33.04	99.70	Withdrawals		
			Funds Received			Other	161.28	967.27
Net Credits & Debits	1.94DR	89.93DR	Other		26.59			
Closing Balance Cash & Money Accounts	12.06							
			Total Credits	159.34	877.34	Total Debits	161.28	967.27

Realized Capital Gain and Loss Summary*

	This Month	Year-to-Date
Short-Term	.00	16.24
Long-Term	9.45	67.42

*-Excludes transactions for which we have insufficient data.

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects

MICHAEL G OXLEY AND

Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
3	AMERN CENTY INC & GR FD	04 14/99	07/11/00	100.50	91.05	9.45	LT

Current Portfolio

Quantity	Security Description	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
	Cash and Money Accounts									
12	CMA MONEY FUND		1.00	12	1.00	12				6.08

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Mutual Funds and Defined Asset Funds										
	Mutual Funds - Equity										
217	ALLIANCE PREMIER GROWTH FD ADV CL			04/14 99	34 23	7,429	37.93	8,230	800		
	(.2600 FRACTIONAL SHARE			N/A	N/A	N/A	37.93	9	N/A		
	Total Client Investment			$6,912							
	Investment Return			$1,318							

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects™

MICHAEL G OXLEY AND

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Mutual Funds and Defined Asset Funds										
Mutual Funds - Equity											
454	AMERN CENTY INC & GR FD			04/14/99	30.36	13,784	31.89	14,478	694	393	2.71
	(.3140 FRACTIONAL SHARE)			N/A	N/A	N/A	31.89	10	N/A		2.71
	Total Client Investment			$13,596							
	Investment Return			$881							
402	NATIONS MARSICO FOCUS EQUITY CL A			04/14/99	17.56	7,060	19.93	8,011	951		
	(.0950 FRACTIONAL SHARE)			N/A	N/A	N/A	19.93	1	N/A		
	Total Client Investment			$6,936							
	Investment Return			$1,075							
80	PUTNAM INTERNATIONAL GROWTH FUND CL A			10/13/99	23.54	1,883	28.67	2,293	410	27	1.18
	(.8460 FRACTIONAL SHARE)			N/A	N/A	N/A	28.67	24	N/A		1.18
	Total Client Investment			$1,829							
	Investment Return			$464							
87	ROBERTSON STEPH EMERGING GROWTH FD			04/14/99	33.00	2,871	57.29	4,984	2,113		
	(.7400 FRACTIONAL SHARE)			N/A	N/A	N/A	57.29	42	N/A		
	Total Client Investment			$2,598							
	Investment Return			$2,385							

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects

MICHAEL G OXLEY AND

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Mutual Funds and Defined Asset Funds										
Mutual Funds - Equity											
118	VAN KAMPEN AMERICAN VALUE FD CL A			04/14/99	20.16	2,379	21.65	2,554	176		
	(.3940 FRACTIONAL SHARE)			N/A	N/A	N/A	21.65	8	N/A		
	Total Client Investment			$2,178							
	Investment Return			$375							
	Total Mutual Funds - Equity					35,408		40,650	5,144	420	1.04
	Total Mutual Funds					35,408		40,650	5,144	420	1.04
	Total of Long Portfolio					35,420*		40,662	5,144 *	421	1.04

DATE ACQUIRED Date of your initial investment in this fund
UNIT COST BASIS Average cost basis for all shares owned
UNREALIZED GAIN or (LOSS) Total market value minus total cost basis

TOTAL CLIENT INVESTMENT Cost of shares directly purchased (excluding shares purchased through reinvestment)
INVESTMENT RETURN Value of reinvested shares plus capital appreciation(depreciation) of all shares purchased, including through reinvestment

* - Excludes N/A Items

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects™

MICHAEL G OXLEY AND

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Security Transactions			
07/03	Divd Reinv	1	AMERN CENTY INC & GR FD REINV AMOUNT $33.00 REINV PRICE $32.70000 QUANTITY BOT 1.0090 AS OF 06/30			
07/12	Journal Entry	-1	AMERN CENTY INC & GR FD FULL SHARE ACCUM SHARE VALUE $33.50			
07/12	* Sale	-3	AMERN CENTY INC & GR FD FRAC SHR QUANTITY .770 PER MFA SELECTS AGRMNT PRICE 33.500000	33.500		126.30
			Net Total			126.30
			Dividends and Interest			
07/03	* Dividend		AMERN CENTY INC & GR FD			33.00
07/28	Cash Dividend		CMA MONEY FUND FROM 6-30 THRU 7-27			.04
			Net Total			33.04
			Other Activity			
07/03	Reinvestment		AMERN CENTY INC & GR FD		33.00	
07/13	Journal Entry		MFA SELECTS QTR ADV FEE		128.28	
			Net Total		161.28	

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects®

MICHAEL G OXLEY AND

Tax Information Summary

	This Statement	Year-to-Date
Accrued Interest Paid		
Tax-Exempt		
Reportable		
Accrued Interest Received		
Tax-Exempt		
Reportable		
Gross Proceeds	126.30	751.05

Although we provide complete year-to-date information for Reportable Accrued Interest Received, Accrued Interest Paid and Tax-Exempt Accrued Interest Received and Paid include only those months we produce your profit and loss statement.

Daily Activity Review

Date	Transaction	Quantity	Description	Price	Amount	Cash Balance	Money Account Balance
06/30	Opening Balance					1.00CR	13
07/03	* Dividend		AMERN CENTY INC & GR FD		33.00CR		
07/03	Reinvestment		AMERN CENTY INC & GR FD		33.00		
07/03	Divd Reinv	1	AMERN CENTY INC & GR FD REINV AMOUNT $33.00 REINV PRICE $32.70000 QUANTITY BOT 1.0090 AS OF 06/30				
07/03	Purchase	1	CMA MONEY FUND		1.00	.00	14
07/12	Journal Entry	-1	AMERN CENTY INC & GR FD FULL SHARE ACCUM SHARE VALUE $33.50				

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects®

MICHAEL G OXLEY AND

Daily Activity Review

Date	Transaction	Quantity	Description	Price	Amount	Cash Balance	Money Account Balance
07/12	* Sale	-3	AMERN CENTY INC & GR FD FRAC SHR QUANTITY .770 PER MFA SELECTS AGRMNT PRICE 33.500000	33.500	126.30CR		
						126.30CR	14
07/13	Journal Entry		MFA SELECTS QTR ADV FEE		128.28		
07/13	Sale	-2	CMA MONEY FUND		2.00CR	.02CR	12
07/28	Cash Dividend		CMA MONEY FUND FROM 6-30 THRU 7-27		.04CR		
07/28	**Closing Balance**				.06CR	.06CR	12

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects™

TOTAL ACCOUNT VALUE AS OF 07/28/2000 $40,662.25

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Your Merrill Lynch Office:
1850 K ST NW 7TH FLOOR
WASHINGTON DC 20006

Monthly Portfolio Summary

Asset	06/30/00 Value	%	07/28/00 Value	%
Cash/Money Accounts	14	*	12	*
CD's/Equivalents				
Government Securities				
Corporate Bonds				
Municipal Bonds				
Equities				
Mutual Funds	42,408	100	40,650	100
Options				
Other				
*-Less than 1 %				
Long Market Value	42,422		40,662	
Short Market Value				
Estimated Accrued Interest				
Debit Balance				
Net Portfolio Value	42,422		40,662	

Income Summary

	This Statement	Year-to-Date
Money Fund Dividends	.04	.30
Tax-Exempt Funds		
Tax-Exempt Interest		
Reportable Interest		
Reportable Dividends	33.00	99.40
Income Not Reported		
Total	33.04	99.70

Items for Attention

Security	Message	Date
	No Items For Attention	

Purchasing Power 12 Investor Credit Line

NEWS

Want timely ideas identified by the Merrill Lynch Research Department? Call 1-800-MERRILL ext 5056 to receive your free copy of our special report, Performance Perspectives Market in Motion

Financial Market Indicators

	This Statement	Last Statement	Previous Year-End
Dow Jones Industrial Average	10511.17	10447.89	11497.12
Three-Month Treasury Bills	6.17%	5.86%	5.31%
Long-Term Treasury Bonds	5.77%	5.89%	6.47%

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects™

MICHAEL G OXLEY AND

Cash Flow Summary

Activity Summary	This Statement	Year-to-Date	Credits	This Statement	Year-to-Date	Debits	This Statement	Year-to-Date
Opening Balance								
Cash & Money Accounts	14.00		Sales	126.30	751.05	Purchases		
			Income	33.04	99.70	Withdrawals		
			Funds Received			Other	161.28	967.27
Net Credits & Debits	1.94DR	89.93DR	Other		26.59			
Closing Balance								
Cash & Money Accounts	12.06		Total Credits	159.34	877.34	Total Debits	161.28	967.27

Realized Capital Gain and Loss Summary*

	This Month	Year-to-Date
Short-Term	.00	16.24
Long-Term	9.45	67.42

*-Excludes transactions for which we have insufficient data

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DUPLICATE MERRILL LYNCH STATEMENT STATEMENT RECEIVED BY MAIL IS YOUR OFFICIAL RECORD

MERRILL LYNCH CMA® ACCOUNT

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MICHAEL G OXLEY AND

Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
3	AMERN CENTY INC & GR FD	04/14/99	07/11/00	100.50	91.05	9.45	LT

Current Portfolio

Quantity	Security Description	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
	Cash and Money Accounts									
12	CMA MONEY FUND		1.00	12	1.00	12				6.08

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Mutual Funds and Defined Asset Funds										
	Mutual Funds - Equity										
217	ALLIANCE PREMIER GROWTH FD ADV CL			04/14/99	34.23	7,429	37.93	8,230	800		
	(2600 FRACTIONAL SHARE)			N/A	N/A	N/A	37.93	9	N/A		
	Total Client Investment			$6,911							
	Investment Return			$1,318							

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MERRILL LYNCH CMA® ACCOUNT

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Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Mutual Funds and Defined Asset Funds										
Mutual Funds - Equity											
454	AMERN CENTY INC & GR FD			04/14/99	30.36	13,784	31.89	14,478	694	393	2.71
	(.3140 FRACTIONAL SHARE)			N/A	N/A	N/A	31.89	10	N/A		2.71
	Total Client Investment			$13,596							
	Investment Return			$881							
402	NATIONS MARSICO FOCUS EQUITY CL A			04/14/99	17.56	7,060	19.93	8,011	951		
	(.0950 FRACTIONAL SHARE)			N/A	N/A	N/A	19.93	1	N/A		
	Total Client Investment			$6,936							
	Investment Return			$1,075							
80	PUTNAM INTERNATIONAL GROWTH FUND CL A			10/13/99	23.54	1,883	28.67	2,293	410	27	1.18
	(.8460 FRACTIONAL SHARE)			N/A	N/A	N/A	28.67	24	N/A		1.18
	Total Client Investment			$1,829							
	Investment Return			$464							
87	ROBERTSON STEPH EMERGING GROWTH FD			04/14/99	33.00	2,871	57.29	4,984	2,113		
	(.7400 FRACTIONAL SHARE)			N/A	N/A	N/A	57.29	42	N/A		
	Total Client Investment			$2,598							
	Investment Return			$2,385							

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MERRILL LYNCH CMA® ACCOUNT

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MICHAEL G OXLEY AND

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Mutual Funds and Defined Asset Funds										
Mutual Funds - Equity											
118	VAN KAMPEN AMERICAN VALUE FD CL A			04/14/99	20.16	2,379	21.65	2,554	176		
	(.3940 FRACTIONAL SHARE)			N/A	N/A	N/A	21.65	8	N/A		
	Total Client Investment			$2,178							
	Investment Return			$375							
	Total Mutual Funds - Equity					35,408		40,650	5,144 *	420	1.04
	Total Mutual Funds					35,408		40,650	5,144	420	1.04
	Total of Long Portfolio					35,420*		40,662	5,144 *	421	1.04

DATE ACQUIRED Date of your initial investment in this fund
UNIT COST BASIS Average cost basis for all shares owned
UNREALIZED GAIN or (LOSS) Total market value minus total cost basis

TOTAL CLIENT INVESTMENT Cost of shares directly purchased (excluding shares purchased through reinvestment)
INVESTMENT RETURN Value of reinvested shares plus capital appreciation(depreciation) of all shares purchased, including through reinvestment

* - Excludes N/A Items

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects

MICHAEL G OXLEY AND

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Security Transactions			
07/03	Divd Reinv	1	AMERN CENTY INC & GR FD REINV AMOUNT $33.00 REINV PRICE $32.70000 QUANTITY BOT 1.0090 AS OF 06/30			
07/12	Journal Entry	-1	AMERN CENTY INC & GR FD FULL SHARE ACCUM SHARE VALUE $33.50			
07/12	• Sale	-3	AMERN CENTY INC & GR FD FRAC SHR QUANTITY .770 PER MFA SELECTS AGRMNT PRICE 33.500000	33.500		126.30
			Net Total			126.30
			Dividends and interest			
07/03	• Dividend		AMERN CENTY INC & GR FD			33.00
07/28	Cash Dividend		CMA MONEY FUND FROM 6-30 THRU 7-27			.04
			Net Total			33.04
			Other Activity			
07/03	Reinvestment		AMERN CENTY INC & GR FD		33.00	
07/13	Journal Entry		MFA SELECTS QTR ADV FEE		128.28	
			Net Total		161.28	

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects™

MICHAEL G OXLEY AND

Tax Information Summary

	This Statement	Year-to-Date
Accrued Interest Paid		
Tax-Exempt		
Reportable		
Accrued Interest Received		
Tax-Exempt		
Reportable		
Gross Proceeds	126.30	751.05

Although we provide complete year-to-date information for Reportable Accrued Interest Received, Accrued Interest Paid and Tax-Exempt Accrued Interest Received and Paid include only those months we produce your profit and loss statement

Daily Activity Review

Date	Transaction	Quantity	Description	Price	Amount	Cash Balance	Money Account Balance
06/30	Opening Balance					1.00CR	13
07/03	* Dividend		AMERN CENTY INC & GR FD		33.00CR		
07/03	Reinvestment		AMERN CENTY INC & GR FD		33.00		
07/03	Divd Reinv	1	AMERN CENTY INC & GR FD REINV AMOUNT $33.00 REINV PRICE $32.70000 QUANTITY BOT 1.0090 AS OF 06/30				
07/03	Purchase	1	CMA MONEY FUND		1.00	.00	14
07/12	Journal Entry	-1	AMERN CENTY INC & GR FD FULL SHARE ACCUM SHARE VALUE $33.5[illegible]				

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects

MICHAEL G OXLEY AND

Daily Activity Review

Date	Transaction	Quantity	Description	Price	Amount	Cash Balance	Money Account Balance
07/12	• Sale	-3	AMERN CENTY INC & GR FD FRAC SHR QUANTITY .770 PER MFA SELECTS AGRMNT PRICE 33.500000	33.500	126.30CR		
						126.30CR	14
07/13	Journal Entry		MFA SELECTS QTR ADV FEE		128.28		
07/13	Sale	-2	CMA MONEY FUND •		2.00CR	.02CR	12
07/28	Cash Dividend		CMA MONEY FUND FROM 6-30 THRU 7-27		.04CR		
07/28	**Closing Balance**				.06CR	.06CR	12

Customer Service

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TOTAL ACCOUNT VALUE AS OF 08/25/2000 $43,612.29

*** MICHAEL G OXLEY AND
PATRICIA A OXLEY JTWROS
7629 HUNTMASTER LN
MCLEAN VA 22102-2531

YOUR FINANCIAL CONSULTANT:
HARRISON/ARRISON

(202) 659-7240

FOR CUSTOMER SERVICE QUESTIONS:

Your Merrill Lynch Office:
1850 K ST NW 7TH FLOOR
WASHINGTON DC 20006

1-800-MER-LINK

Monthly Portfolio Summary

Asset	07/28/00 Value	%	08/25/00 Value	%
Cash/Money Accounts	12	*	8,401	19
CD's/Equivalents				
Government Securities				
Corporate Bonds				
Municipal Bonds				
Equities				
Mutual Funds	40,650	100	35,211	81
Options				
Other				
*-Less than 1 %				
Long Market Value	40,662		43,612	
Short Market Value				
Estimated Accrued Interest				
Debit Balance				
Net Portfolio Value	40,662		43,612	

Income Summary

	This Statement	Year-to-Date
Money Fund Dividends	.04	.34
Tax-Exempt Funds		
Tax-Exempt Interest		
Reportable Interest		
Reportable Dividends		99.40
Income Not Reported		
Total	.04	99.74

Items for Attention

Security	Message	Date
	No Items For Attention	

Purchasing Power 8,401 Investor Credit Line

NEWS

If you're traveling this summer, don't forget to set up a personal identification number (PIN) to make sure you can access funds in your CMA(R) account. For help, contact your Financial Consultant or CMA Operations at (800) CMA-INFO (262-4636).

Financial Market Indicators

	This Statement	Last Statement	Previoue Year-End
Dow Jones Industrial Average	11192.63	10511.17	11497.12
Three-Month Treasury Billa	6.28%	6.17%	5.31%
Long-Term Treasury Bonde	5.66%	5.77%	6.47%

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MERRILL LYNCH CMA® ACCOUNT

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MICHAEL G OXLEY AND

Cash Flow Summary

Activity Summary	This Statement	Year-to-Date
Opening Balance Cash & Money Accounts	12.06	
Net Credits & Debits	8,389.20	8,299.27
Closing Balance Cash & Money Accounts	8,401.26	

Credits	This Statement	Year-to-Date
Sales	21,689.17	22,440.22
Income	.04	99.74
Funds Received		
Other		26.59
Total Credits	21,689.21	22,566.55

Debits	This Statement	Year-to-Date
Purchases	13,300.01	13,300.01
Withdrawals		
Other		967.27
Total Debits	13,300.01	14,267.28

Realized Capital Gain and Loss Summary*

	This Month	Year-to-Date
Short-Term	17.07	33.31
Long-Term	2,580.96	2,648.38

*-Excludes transactions for which we have insufficient data

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MERRILL LYNCH CMA® ACCOUNT

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Realized Monthly Capital Gains and Losses

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sales Price	Cost Basis	Gain or (Loss)	
109	VAN KAMPN AMER VAL CL A	04 14 99	08/23/00	2,478.65	2,178.92	299.73	LT
9	VAN KAMPN AMER VAL CL A	12 16/99	08/23/00	204.67	200.43	4.24	ST
42	ALLI PREMIER GR FD ADV	04/14 99	08/23/00	1,680.41	1,437.23	243.18	LT
1	AMERN CENTY INC & GR FD	07/03 00	08/23/00	34.11	32.70	1.41	ST
448	AMERN CENTY INC & GR FD	04 14 99	08/23/00	15,276.79	13,596.81	1,679.98	LT
1	AMERN CENTY INC & GR FD	06 29 99	08 23/00	34.10	30.44	3.66	LT
2	AMERN CENTY INC & GR FD	09 28 99	08/23/00	68.20	59.52	8.68	ST
2	AMERN CENTY INC & GR FD	12 21 99	08/23/00	68.20	65.46	2.74	ST
83	NTNS MARSICO FOC EQ INV A	04 14.99	08/23/00	1,811.88	1,457.47	354.41	LT

Current Portfolio

Quantity	Security Description	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
	Cash and Money Accounts									
8,401	CMA MONEY FUND		1.00	8,401	1.00	8,401			514	6.12

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MERRILL LYNCH CMA® ACCOUNT

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Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Mutual Funds and Defined Asset Funds										
Mutual Funds - Equity											
175	ALLIANCE PREMIER GROWTH FD ADV CL			04/14/99	34.24	5,992	40.09	7,015	1,023		
	Total Client Investment			$5,475							
	Investment Return			$1,540							
179	AMERICAN FUNDAMENTAL INVESTORS INC CL A			08/24/00	34.68	6,207	34.75	6,220	13	71	1.15
	Total Client Investment			$6,207							
	Investment Return			$12							
217	DAVIS NEW YORK VENTURE FD CL Y			08/24/00	32.56	7,065	32.59	7,072	7	15	.22
	Total Client Investment			$7,065							
	Investment Return			$6							
319	NATIONS MARSICO FOCUS EQUITY CL A			04/14/99	17.56	5,602	22.06	7,037	1,435		
	Total Client Investment			$5,478							
	Investment Return			$1,558							
80	PUTNAM INTERNATIONAL GROWTH FUND CL A			10/13/99	23.54	1,883	29.53	2,362	479	27	1.14
	(.8460 FRACTIONAL SHARE)			N/A	N/A	N/A	29.53	24	N/A		1.14
	Total Client Investment			$1,829							
	Investment Return			$533							

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects

MICHAEL G OXLEY AND

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Mutual Funds and Defined Asset Funds										
Mutual Funds - Equity											
87	ROBERTSON STEPH EMERGING GROWTH FD			04/14/99	[illegible]	2,871	62.44	5,432	2,561		
	(7400 FRACTIONAL SHARE)			N/A	N/A	N/A	62.44	46	N/A		
	Total Client Investment			$2,598							
	Investment Return			$2,833							
	Total Mutual Funds - Equity					29,622		35,211	5,518	114	.33
	Total Mutual Funds					29,622		35,211	5,518	114	.33

DATE ACQUIRED Date of your initial investment in this fund
UNIT COST BASIS Average cost basis for all shares owned
UNREALIZED GAIN or (LOSS) Total market value minus total cost basis

TOTAL CLIENT INVESTMENT Cost of shares directly purchased (excluding shares purchased through reinvestment)
INVESTMENT RETURN Value of reinvested shares plus capital appreciation(depreciation) of all shares purchased, including through reinvestment.

Total of Long Portfolio	38,023*	43,612	5,518 *	628	1.44

* - Excludes N/A items

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MERRILL LYNCH CMA® ACCOUNT

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MICHAEL G OXLEY AND

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Security Transactions			
08/24	* Sale	-118	VAN KAMPEN AMERICAN VALUE FD CL A PER MFA SELECTS AGRMNT. FRAC SHR QUANTITY .394 PRICE 22.740000	22.740		2,692.28
08/24	* Sale	-42	ALLIANCE PREMIER GROWTH FD ADV CL PER MFA SELECTS AGRMNT. FRAC SHR QUANTITY .260 PRICE 40.010000	40.010		1,690.82
08/24	* Sale	-454	AMERN CENTY INC & GR FD PER MFA SELECTS AGRMNT. FRAC SHR QUANTITY .314 PRICE 34.100000	34.100		15,492.11
08/24	* Sale	-83	NATIONS MARSICO FOCUS EQUITY CL A PER MFA SELECTS AGRMNT. FRAC SHR QUANTITY .095 PRICE 21.830000	21.830		1,813.96
08/25	Purchase	217	DAVIS NEW YORK VENTURE FD CL Y PER MFA SELECTS AGRMNT. FRAC SHR QUANTITY .445 PROSPECTUS ENCLOSED PRICE 32.560000	32.560	7,080.01	
08/25	Purchase	179	AMERICAN FUNDAMENTAL INVESTORS INC CL A PER MFA SELECTS AGRMNT. FRAC SHR QUANTITY .354 PROSPECTUS ENCLOSED PRICE 34.680000	34.680	6,220.00	
			Net Total			8,389.16

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MERRILL LYNCH CMA® ACCOUNT

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MICHAEL G OXLEY AND

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Dividends and Interest			
08/25	Cash Dividend		CMA MONEY FUND FROM 7-28 THRU 8 24			.04
			Net Total			.04

Tax Information Summary

	This Statement	Year-to-Date
Accrued Interest Paid		
Tax-Exempt		
Reportable		
Accrued Interest Received		
Tax-Exempt		
Reportable		
Gross Proceeds	21 689 1[illegible]	22,440 22

Although we provide complete year-to-date information for Reportable Accrued Interest Received, Accrued Interest Paid and Tax-Exempt Accrued Interest Received and Paid include only those months we produce your profit and loss statement

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects™

MICHAEL G OXLEY AND

Daily Activity Review

Date	Transaction	Quantity	Description	Price	Amount	Cash Balance	Money Account Balance
07/28	Opening Balance					.06CR	12
08/24	▪ Sale	-118	VAN KAMPEN AMERICAN VALUE FD CL A PER MFA SELECTS AGRMNT. FRAC SHR QUANTITY .394 PRICE 22.740000	22.740	2,692.28CR		
08/24	▪ Sale	-42	ALLIANCE PREMIER GROWTH FD ADV CL PER MFA SELECTS AGRMNT. FRAC SHR QUANTITY .260 PRICE 40.010000	40.010	1,690.82CR		
08/24	▪ Sale	-454	AMERN CENTY INC & GR FD PER MFA SELECTS AGRMNT. FRAC SHR QUANTITY .314 PRICE 34.100000	34.100	15,492.11CR		
08/24	▪ Sale	-83	NATIONS MARSICO FOCUS EQUITY CL A PER MFA SELECTS AGRMNT. FRAC SHR QUANTITY .095 PRICE 21.830000	21.830	1,813.96CR	21,689.23CR	12
08/25	Purchase	217	DAVIS NEW YORK VENTURE FD CL Y PER MFA SELECTS AGRMNT. FRAC SHR QUANTITY .445 PROSPECTUS ENCLOSED PRICE 32.560000	32.560	7,080.01		
08/25	Cash Dividend		CMA MONEY FUND FROM 7-28 THRU 8-24		.04CR		
08/25	Purchase	8,389	CMA MONEY FUND		8,389.00		

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MICHAEL G OXLEY AND

Daily Activity Review

Date	Transaction	Quantity	Description	Price	Amount	Cash Balance	Money Account Balance
08/25	Purchase	179	AMERICAN FUNDAMENTAL INVESTORS INC CL A PER MFA SELECTS AGRMNT. FRAC SHR QUANTITY .354 PROSPECTUS ENCLOSED PRICE 34.680000	34.680	6,220.00		
08/25	Closing Balance				.26CR	.26CR	8,401

Customer Service

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MERRILL LYNCH CMA® ACCOUNT

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MERRILL LYNCH CMA® ACCOUNT

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TOTAL ACCOUNT VALUE AS OF 09/29/2000 $34,013.14

*** MICHAEL G OXLEY AND
PATRICIA A OXLEY JTWROS
7629 HUNTMASTER LN
MCLEAN VA 22102-2531

YOUR FINANCIAL CONSULTANT:
HARRISON/ARRISON

(202) 659-7240

Your Merrill Lynch Office:
1850 K ST NW 7TH FLOOR
WASHINGTON DC 20006

FOR CUSTOMER SERVICE QUESTIONS: 1-800-MER-LINK

Monthly Portfolio Summary

Asset	08/25/00 Value	%	09/29/00 Value	%
Cash/Money Accounts	8,401	19	407	1
CD's/Equivalents				
Government Securities				
Corporate Bonds				
Municipal Bonds				
Equities				
Mutual Funds	35,211	81	33,605	99
Options				
Other				
Long Market Value	43,612		34,012	
Short Market Value				
Estimated Accrued Interest				
Debit Balance				
Net Portfolio Value	43,612		34,013	

Income Summary

	This Statement	Year-to-Date
Money Fund Dividends	6.35	6.69
Tax-Exempt Funds		
Tax-Exempt Interest		
Reportable Interest		
Reportable Dividends		99.40
Income Not Reported		
Total	6.35	106.09

Items for Attention

Security	Message	Date
	No Items For Attention	

Purchasing Power 407 Investor Credit Line

NEWS

Put your money to work faster with the Direct Deposit Service. This free service lets you deposit payroll, pension, Social Security and other recurring payments into your CMA(R) Account or CMA Subaccount(SM) electronically. The sooner your money reaches your account, the sooner it starts working for you. For more information, call your Financial Consultant.

Financial Market Indicators

	This Statement	Last Statement	Previous Year-End
Dow Jones Industrial Average	10650.92	11215.10	11497.12
Three-Month Treasury Bills	6.20%	6.30%	5.31%
Long-Term Treasury Bonds	5.88%	5.66%	6.47%

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects®

MICHAEL G OXLEY AND

Cash Flow Summary

Activity Summary	This Statement	Year-to-Date	Credits	This Statement	Year-to-Date	Debits	This Statement	Year-to-Date
Opening Balance Cash & Money Accounts	8,401.26		Sales		22,440.22	Purchases		13,300.01
			Income	6.35	106.09	Withdrawals Other	8,000.00	8,967.27
			Funds Received					
			Other		26.59			
Net Credits & Debits	7,993.65DR	305.62						
Closing Balance Cash & Money Accounts	407.61		Total Credits	6.35	22,572.90	Total Debits	8,000.00	22,267.28

Realized Capital Gain and Loss Summary*

	This Month	Year-to-Date
Short-Term	.00	33.31
Long-Term	.00	2,648.38

*-Excludes transactions for which we have insufficient data.

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects™

MICHAEL G OXLEY AND

Current Portfolio

Quantity	Security Description	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
	Cash and Money Accounts									
407	CMA MONEY FUND		1.00	407	1.00	407			24	6.12

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Mutual Funds and Defined Asset Funds										
Mutual Funds - Equity											
175	ALLIANCE PREMIER GROWTH FD ADV CL			04/14/99	34.24	5,992	35.33	6,182	189		
	Total Client Investment			$5,475							
	Investment Return			$707							
179	AMERICAN FUNDAMENTAL INVESTORS INC CL A			08/24/00	34.68	6,207	33.94	6,075	(131)	71	1.17
	(3540 FRACTIONAL SHARE)			N/A	N/A	N/A	33.94	12	N/A		1.17
	Total Client Investment			$6,207							
	Investment Return			($132)							

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects

MICHAEL G OXLEY AND

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Mutual Funds and Defined Asset Funds										
Mutual Funds - Equity											
217	DAVIS NEW YORK VENTURE FD CL Y			08/24/00	32.56	7,065	31.60	6,857	(207)	15	.22
	(.4450 FRACTIONAL SHARE)			N/A	N/A	N/A	31.60	14	N/A		.22
	Total Client Investment			$7,065							
	Investment Return			($208)							
319	NATIONS MARSICO FOCUS EQUITY CL A			04/14/99	17.56	5,602	21.38	6,820	1,217		
	Total Client Investment			$5,478							
	Investment Return			$1,341							
80	PUTNAM INTERNATIONAL GROWTH FUND CL A			10/13/99	23.54	1,883	27.47	2,197	313	27	1.23
	(.8460 FRACTIONAL SHARE)			N/A	N/A	N/A	27.47	23	N/A		1.23
	Total Client Investment			$1,829							
	Investment Return			$368							
87	ROBERTSON STEPH EMERGING GROWTH FD			04/14/99	33.00	2,871	61.81	5,377	2,506		
	(.7400 FRACTIONAL SHARE)			N/A	N/A	N/A	61.81	45	N/A		
	Total Client Investment			$2,598							
	Investment Return			$2,778							
	Total Mutual Funds - Equity					29,622		33,605	3,887	114	.34

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects™

MICHAEL G OXLEY AND

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Total Mutual Funds					29,622		33,605	3,887	114	.34

DATE ACQUIRED Date of your initial investment in this fund
UNIT COST BASIS: Average cost basis for all shares owned
UNREALIZED GAIN or (LOSS) Total market value minus total cost basis

TOTAL CLIENT INVESTMENT Cost of shares directly purchased (excluding shares purchased through reinvestment)
INVESTMENT RETURN Value of reinvested shares plus capital appreciation(depreciation) of all shares purchased, including through reinvestment

	Total of Long Portfolio					30,029*		34,012	3,887 *	139	.41

* - Excludes N/A items

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Dividends and Interest			
09 29	Share Dividend	6	CMA MONEY FUND			
09 29	Cash Dividend		CMA MONEY FUND FROM 8-25 THRU 9-28			.35
	Income Total		CMA MONEY FUND			6.00
			Net Total			6.35

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MERRILL LYNCH CMA® ACCOUNT

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MICHAEL G OXLEY AND

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Other Activity			
08/28	Journal Entry		TR TO 74966T11 N/O MICHAEL G OXLEY AND VS 74966T11 UNIT 50E BATCH # = 00030091173		8,000.00	
			Net Total		8,000.00	

Tax Information Summary

	This Statement	Year-to-Date
Accrued Interest Paid		
Tax-Exempt		
Reportable		
Accrued Interest Received		
Tax-Exempt		
Reportable		
Gross Proceeds		22,440.22

Although we provide complete year-to-date information for Reportable Accrued Interest Received, Accrued Interest Paid and Tax-Exempt Accrued Interest Received and Paid include only those months we produce your profit and loss statement.

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects™

MICHAEL G OXLEY AND

Daily Activity Review

Date	Transaction	Quantity	Description	Price	Amount	Cash Balance	Money Account Balance
08/25	Opening Balance					.26CR	8,401
08/28	Journal Entry		TR TO 74966T11 N/O MICHAEL G OXLEY AND VS 74966T11 UNIT 50E BATCH # = 00030091173		8,000.00		
08/28	Sale	-8,000	CMA MONEY FUND		8,000.00CR	.26CR	401
09/29	Share Dividend	6	CMA MONEY FUND		.35CR		
09/29	Cash Dividend		CMA MONEY FUND FROM 8-25 THRU 9-28		.61CR	.61CR	407
09/29	Closing Balance						

Customer Service

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MERRILL LYNCH CMA® ACCOUNT

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MICHAEL G OXLEY AND

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MERRILL LYNCH CMA® ACCOUNT

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TOTAL ACCOUNT VALUE AS OF 10/27/2000 $31,421.62

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FOR CUSTOMER SERVICE QUESTIONS: 1-800-MER-LINK

Monthly Portfolio Summary

Asset	09/29/00 Value	%	10/27/00 Value	%
Cash/Money Accounts	407	1	285	1
CD's/Equivalents				
Government Securities				
Corporate Bonds				
Municipal Bonds				
Equities				
Mutual Funds	33,605	99	31,135	99
Options				
Other				
Long Market Value	34,012		31,420	
Short Market Value				
Estimated Accrued Interest				
Debit Balance				
Net Portfolio Value	34,013		31,421	

Income Summary

	This Statement	Year-to-Date
Money Fund Dividends	1.46	8.15
Tax-Exempt Funds		
Tax-Exempt Interest		
Reportable Interest		
Reportable Dividends		99.40
Income Not Reported		
Total	1.46	107.55

Items for Attention

Security	Message	Date
	No Items For Attention	

Purchasing Power 285 Investor Credit Line

NEWS

The Unlimited Advantage(SM) service is a relationship-based financial service designed to give investors access to a broad range of resources from Merrill Lynch for a simple annual fee For more details, see the enclosed newsletter

Financial Market Indicators

	This Statement	Last Statement	Previous Year-End
Dow Jones Industrial Average	10590.62	10650.92	11497.12
Three-Month Treasury Bills	6.32%	6.20%	5.31%
Long-Term Treasury Bonds	5.74%	5.88%	6.47%

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MERRILL LYNCH CMA® ACCOUNT

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MICHAEL G OXLEY AND

Cash Flow Summary

Activity Summary	This Statement	Year-to-Date	Credits	This Statement	Year-to-Date	Debits	This Statement	Year-to-Date
Opening Balance								
Cash & Money Accounts	407.61		Sales		22,440.22	Purchases		13,300.01
			Income	1.46	107.55	Withdrawals		
			Funds Received			Other	123.25	9,090.52
			Other		26.59			
Net Credits & Debits	121.79DR	183.83						
Closing Balance								
Cash & Money Accounts	285.82		Total Credits	1.46	22,574.36	Total Debits	123.25	22,390.53

Realized Capital Gain and Loss Summary*

	This Month	Year-to-Date
Short-Term	.00	33.31
Long-Term	.00	2,648.38

*-Excludes transactions for which we have insufficient data.

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MERRILL LYNCH CMA® ACCOUNT

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MICHAEL G OXLEY AND

Current Portfolio

Quantity	Security Description	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
	Cash and Money Accounts									
285	CMA MONEY FUND		1.00	285	1.00	285			17	6.12

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Mutual Funds and Defined Asset Funds										
Mutual Funds - Equity											
175	ALLIANCE PREMIER GROWTH FD ADV CL			04/24/99	34.24	5,992	32.59	5,703	(286)		
	Total Client Investment			$5,475							
	Investment Return			$228							
179	AMERICAN FUNDAMENTAL INVESTORS INC CL A			08/24/00	34.68	6,207	32.98	5,903	(303)	71	1.21
	1.3540 FRACTIONAL SHARE			N/A	N/A	N/A	32.98	11	N/A		1.21
	Total Client Investment			$6,207							
	Investment Return			$304							

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MERRILL LYNCH CMA® ACCOUNT

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MICHAEL G OXLEY AND

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Mutual Funds and Defined Asset Funds										
Mutual Funds - Equity											
217	DAVIS NEW YORK VENTURE FD CL Y			08/24/00	32.56	7,065	30.33	6,581	(483)	15	.23
	(.4450 FRACTIONAL SHARE)			N/A	N/A	N/A	30.33	13	N/A		.23
	Total Client Investment			$7,065							
	Investment Return			($483)							
319	NATIONS MARSICO FOCUS EQUITY CL A			04/14/99	17.56	5,602	19.07	6,083	481		
	Total Client Investment			$5,478							
	Investment Return			$604							
80	PUTNAM INTERNATIONAL GROWTH FUND CL A			10/13/99	23.54	1,883	26.26	2,100	218	27	1.29
	(.8460 FRACTIONAL SHARE)			N/A	N/A	N/A	26.26	22	N/A		1.29
	Total Client Investment			$1,829							
	Investment Return			$271							
87	ROBERTSON STEPH EMERGING GROWTH FD			04/14/99	33.00	2,871	53.75	4,676	1,804		
	(.7400 FRACTIONAL SHARE)			N/A	N/A	N/A	53.75	39	N/A		
	Total Client Investment			$2,598							
	Investment Return			$2,077							
	Total Mutual Funds - Equity					29,622		31,135	1,431	114	.37

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MERRILL LYNCH CMA® ACCOUNT

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MICHAEL G OXLEY AND

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Total Mutual Funds					29,622		31,135	1,431	114	.37
	Total of Long Portfolio					29,907*		31,420	1,431 *	132	.42

DATE ACQUIRED Date of your initial investment in this fund
UNIT COST BASIS. Average cost basis for all shares owned
UNREALIZED GAIN or (LOSS) Total market value minus total cost basis

TOTAL CLIENT INVESTMENT Cost of shares directly purchased (excluding shares purchased through reinvestment)
INVESTMENT RETURN Value of reinvested shares plus capital appreciation(depreciation) of all shares purchased, including through reinvestment

* - Excludes N/A Items

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Dividends and Interest			
10/27	Share Dividend	1	CMA MONEY FUND			.46
10/27	Cash Dividend		CMA MONEY FUND FROM 9-29 THRU 10-26			1.00
	Income Total		CMA MONEY FUND			1.46
			Net Total			
			Other Activity			
10/03	Journal Entry		MFA SELECTS QTR ADV FEE		123.25	
			Net Total		123.25	

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MERRILL LYNCH CMA® ACCOUNT

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MICHAEL G OXLEY AND

Tax Information Summary

	This Statement	Year-to-Date
Accrued Interest Paid		
Tax-Exempt		
Reportable		
Accrued Interest Received		
Tax-Exempt		
Reportable		
Gross Proceeds		22,440.22

Although we provide complete year-to-date information for Reportable Accrued Interest Received, Accrued Interest Paid and Tax-Exempt Accrued Interest Received and Paid include only those months we produce your profit and loss statement

Daily Activity Review

Date	Transaction	Quantity	Description	Price	Amount	Cash Balance	Money Account Balance
09/29	Opening Balance					.61CR	407
10/03	Journal Entry		MFA SELECTS QTR ADV FEE		123.25		
10/03	Sale	-123	CMA MONEY FUND		123.00CR	.36CR	284
10/27	Share Dividend	1	CMA MONEY FUND		.46CR		
10/27	Cash Dividend		CMA MONEY FUND FROM 9-29 THRU 10-26		.82CR	.82CR	285
10/27	Closing Balance						

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TOTAL ACCOUNT VALUE AS OF 11/24/2000 $30,083.61

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WASHINGTON DC 20006

FOR CUSTOMER SERVICE QUESTIONS: 1-800-MER-LINK

Monthly Portfolio Summary

Asset	10/27/00 Value	%	11/24/00 Value	%
Cash/Money Accounts	285	1	287	1
CD's/Equivalents				
Government Securities				
Corporate Bonds				
Municipal Bonds				
Equities				
Mutual Funds	31,135	99	29,795	99
Options				
Other				
Long Market Value	31,420		30,082	
Short Market Value				
Estimated Accrued Interest				
Debit Balance				
Net Portfolio Value	31,421		30,083	

Income Summary

	This Statement	Year-to-Date
Money Fund Dividends	1.31	9.46
Tax-Exempt Funds		
Tax-Exempt Interest		
Reportable Interest		
Reportable Dividends	.83	100.23
Income Not Reported		
Total	2.14	109.69

Items for Attention

Security	Message	Date
	No Items For Attention	

Purchasing Power 287 Investor Credit Line

NEWS

Plan for a perfect holiday using the CMA(R) Visa(R) Signature(SM) card for your holiday shopping & traveling. You'll earn a point for every dollar you spend on the card. If you don't already participate and you need information, call (800) 637-7455, ext. 5069, or call your Financial Consultant for a copy of the CMA Visa Signature brochure.

Financial Market Indicators

	This Statement	Last Statement	Previous Year-End
Dow Jones Industrial Average	10470.23	10590.62	11497.12
Three-Month Treasury Bills	6.35%	6.32%	5.31%
Long-Term Treasury Bonds	5.67%	5.74%	6.47%

374387 PLEASE SEE REVERSE SIDE

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3701

MERRILL LYNCH CMA® ACCOUNT

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MICHAEL G OXLEY AND

Cash Flow Summary

Activity Summary	This Statement	Year-to-Date
Opening Balance Cash & Money Accounts	285.82	
Net Credits & Debits	2.14	185.97
Closing Balance Cash & Money Accounts	287.96	

Credits	This Statement	Year-to-Date
Sales		22,440.22
Income	2.14	109.69
Funds Received		
Other		26.59
Total Credits	2.14	22,576.50

Debits	This Statement	Year-to-Date
Purchases		13,300.01
Withdrawals		
Other		9,090.52
Total Debits		22,390.53

Realized Capital Gain and Loss Summary*

	This Month	Year-to-Date
Short-Term	.00	33.31
Long-Term	.00	2,648.38

*-Excludes transactions for which we have insufficient data

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects

MICHAEL G OXLEY AND

Current Portfolio

Quantity	Security Description	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
	Cash and Money Accounts									
287	CMA MONEY FUND		1.00	287	1.00	287			17	6.11

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Mutual Funds and Defined Asset Funds										
Mutual Funds - Equity											
175	ALLIANCE PREMIER GROWTH FD ADV CL			04/14/99	34.24	5,992	31.09	5,440	(550)		
	Total Client Investment			$5,475							
	Investment Return			($34)							
179	AMERICAN FUNDAMENTAL INVESTORS INC CL A			08/24/00	34.68	6,207	32.59	5,833	(374)	71	1.22
	(.3540 FRACTIONAL SHARE)			N/A	N/A	N/A	32.59	11	N/A		1.22
	Total Client Investment			$6 207							
	Investment Return			$374							

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects

MICHAEL G OXLEY AND

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Mutual Funds and Defined Asset Funds										
Mutual Funds - Equity											
217	DAVIS NEW YORK VENTURE FD CL Y			08/24/00	32.56	7,065	30.08	6,527	(538)	15	.23
	(.4450 FRACTIONAL SHARE)			N/A	N/A	N/A	30.08	13	N/A		.23
	Total Client Investment			$7,065							
	Investment Return			($538)							
319	NATIONS MARSICO FOCUS EQUITY CL A			04/14/99	17.56	5,602	18.06	5,761	159		
	Total Client Investment			$5,478							
	Investment Return			$282							
80	PUTNAM INTERNATIONAL GROWTH FUND CL A			10/13/99	23.54	1,883	25.54	2,043	160	27	1.32
	(.8460 FRACTIONAL SHARE)			N/A	N/A	N/A	25.54	21	N/A		1.32
	Total Client Investment			$1,829							
	Investment Return			$214							
87	ROBERTSON STEPH EMERGING GROWTH FD			04/14/99	33.00	2,871	47.22	4,108	1,237		
	(.7400 FRACTIONAL SHARE)			N/A	N/A	N/A	47.22	34	N/A		
	Total Client Investment			$2,598							
	Investment Return			$1,509							
	Total Mutual Funds - Equity					29,622		29,795	94	114	.39

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MERRILL LYNCH CMA® ACCOUNT

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MICHAEL G OXLEY AND

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Total Mutual Funds					29,622		29,795	94	114	.39

DATE ACQUIRED Date of your initial investment in this fund
UNIT COST BASIS: Average cost basis for all shares owned
UNREALIZED GAIN or (LOSS) Total market value minus total cost basis

TOTAL CLIENT INVESTMENT Cost of shares directly purchased (excluding shares purchased through reinvestment)
INVESTMENT RETURN Value of reinvested shares plus capital appreciation(depreciation) of all shares purchased, including through reinvestment

	Total of Long Portfolio					29,909*		30,082	94 *	132	.44

* - Excludes N/A Items

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Dividends and Interest			
11/22	* Lg Tm Cap Gain		NATIONS MARSICO FOCUS EQUITY CL A			.83
11/24	Share Dividend	1	CMA MONEY FUND			
11/24	Cash Dividend		CMA MONEY FUND FROM 10-27 THRU 11-22			.31
	Income Total		CMA MONEY FUND			1.00
			Net Total			2.14

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MERRILL LYNCH CMA® ACCOUNT

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MICHAEL G OXLEY AND

Tax Information Summary

	This Statement	Year-to-Date
Accrued Interest Paid		
Tax-Exempt		
Reportable		
Accrued Interest Received		
Tax-Exempt		
Reportable		
Gross Proceeds		22,440.22

Although we provide complete year-to-date information for Reportable Accrued Interest Received, Accrued Interest Paid and Tax-Exempt Accrued Interest Received and Paid include only those months we produce your profit and loss statement.

Daily Activity Review

Date	Transaction	Quantity	Description	Price	Amount	Cash Balance	Money Account Balance
10/27	Opening Balance						
11/22	* Lg Tm Cap Gain		NATIONS MARSICO FOCUS EQUITY CL A		.83CR	.82CR	285
11/24	Share Dividend	1	CMA MONEY FUND			1.65CR	285
11/24	Cash Dividend		CMA MONEY FUND FROM 10-27 THRU 11-22		.31CR		
11/24	Purchase	1	CMA MONEY FUND		1.00		
11/24	Closing Balance				.96CR	.96CR	287

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MICHAEL G OXLEY AND

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Page	Statement Period	Account No
7 OF 28	10/28/00 TO 11/24/00	749-19458

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MERRILL LYNCH CMA® ACCOUNT

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MICHAEL G OXLEY AND

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DUPLICATE MERRILL LYNCH STATEMENT STATEMENT RECEIVED BY MAIL IS YOUR OFFICIAL RECORD

MERRILL LYNCH CMA® ACCOUNT

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TOTAL ACCOUNT VALUE AS OF 12/29/2000 $30,525.48

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FOR CUSTOMER SERVICE QUESTIONS: 1-800-MER-LINK

Monthly Portfolio Summary

Asset	11/24/00 Value	%	12/29/00 Value	%
Cash/Money Accounts	287	1	754	2
CD's/Equivalents				
Government Securities				
Corporate Bonds				
Municipal Bonds				
Equities				
Mutual Funds	29,795	99	29,771	98
Options				
Other				
Long Market Value	30,082		30,525	
Short Market Value				
Estimated Accrued Interest				
Debit Balance				
Net Portfolio Value	30,083		30,525	

Income Summary

	This Statement	Year-to-Date
Money Fund Dividends	2.40	11.86
Tax-Exempt Funds		
Tax-Exempt Interest		
Reportable Interest		
Reportable Dividends	1,721.43	1,821.66
Income Not Reported		
Total	1,723.83	1,833.52

Items for Attention

Security	Message	Date
	No Items For Attention	

Purchasing Power 754 Investor Credit Line

NEWS

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Financial Market Indicators

	This Statement	Last Statement	Previous Year-End
Dow Jones Industrial Average	10787.99	10414.49	11497.12
Three-Month Treasury Bills	5.88%	6.20%	5.31%
Long-Term Treasury Bonds	5.45%	5.61%	6.47%

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 Statement Period 11/25/00 TO 12/29/00 Account No 749-19458

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3709

MERRILL LYNCH CMA® ACCOUNT

MFA Selects

MICHAEL G OXLEY AND

Cash Flow Summary

Activity Summary	This Statement	Year-to-Date	Credits	This Statement	Year-to-Date	Debits	This Statement	Year-to-Date
Opening Balance Cash & Money Accounts	287.96		Sales		22,440.22	Purchases		13,300.01
			Income	1,723.83	1,833.52	Withdrawals		
			Funds Received			Other	1,257.50	10,348.02
			Other		26.59			
Net Credits & Debits	466.33	652.30						
Closing Balance Cash & Money Accounts	754.29		Total Credits	1,723.83	24,300.33	Total Debits	1,257.50	23,648.03

Realized Capital Gain and Loss Summary*

	This Month	Year-to-Date
Short-Term	.00	33.31
Long-Term	.00	2,648.38

*-Excludes transactions for which we have insufficient data

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2000 tax return. These reportable transactions will appear on your January statement

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects™

MICHAEL G OXLEY AND

Current Portfolio

Quantity	Security Description	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
	Cash and Money Accounts									
	CASH			1		1				
753	CMA MONEY FUND		1.00	753	1.00	753			46	6.12
	Total Cash/Money Accounts			754		754			46	6.13

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Mutual Funds and Defined Asset Funds										
Mutual Funds - Equity											
175	ALLIANCE PREMIER GROWTH FD ADV CL			04/14/99	34.24	5,992	27.19	4,758	(1,232)		
	Total Client Investment			$5,475							
	Investment Return			($716)							
190	AMERICAN FUNDAMENTAL INVESTORS INC CL A			08/24/00	34.45	6,545	31.16	5,920	(626)	76	1.28

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects™

MICHAEL G OXLEY AND

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Mutual Funds and Defined Asset Funds										
Mutual Funds - Equity											
	(.7030 FRACTIONAL SHARE)			N/A	N/A	N/A	31.16	21	N/A		1.28
	Total Client Investment			$6,207							
	Investment Return			($287)							
239	DAVIS NEW YORK VENTURE FD CL Y			08/24/00	32.06	7,663	29.02	6,935	(730)	33	.48
	(.6690 FRACTIONAL SHARE)			N/A	N/A	N/A	29.02	19	N/A		.48
	Total Client Investment			$7,065							
	Investment Return			($129)							
319	NATIONS MARSICO FOCUS EQUITY CL A			04/14/99	17.56	5,602	18.63	5,942	340		
	Total Client Investment			$5,478							
	Investment Return			$464							
88	PUTNAM INTERNATIONAL GROWTH FUND CL A			10/13/99	23.55	2,072	24.71	2,174	102	34	1.59
	(.3440 FRACTIONAL SHARE)			N/A	N/A	N/A	24.71	8	N/A		1.59
	Total Client Investment			$1,829							
	Investment Return			$345							
90	ROBERTSON STEPH EMERGING GROWTH FD			04/14/99	33.34	3,001	44.01	3,960	958		
	(.6500 FRACTIONAL SHARE)			N/A	N/A	N/A	44.01	28	N/A		
	Total Client Investment			$2,598							
	Investment Return			$1,362							

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects™

MICHAEL G OXLEY AND

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Total Mutual Funds - Equity					30,879		29,771	(1,188)	144	.49
	Total Mutual Funds					30,879		29,771	(1,188)	144	.49
	Total of Long Portfolio					31,633*		30,525	(1,188)*	190	.62

DATE ACQUIRED Date of your initial investment in this fund
UNIT COST BASIS: Average cost basis for all shares owned
UNREALIZED GAIN or (LOSS) Total market value minus total cost basis

TOTAL CLIENT INVESTMENT Cost of shares directly purchased (excluding shares purchased through reinvestment)
INVESTMENT RETURN Value of reinvested shares plus capital appreciation(depreciation) of all shares purchased, including through reinvestment

* - Excludes N/A Items

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Security Transactions			
12/04	Divd Reinv	1	DAVIS NEW YORK VENTURE FD CL Y REINV AMOUNT $50.01 REINV PRICE $27.20000 QUANTITY BOT 1.8390 AS OF 11/30			
12/04	Journal Entry	1	DAVIS NEW YORK VENTURE FD CL Y FULL SHARE ACCUM			

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects™

MICHAEL G OXLEY AND

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Security Transactions			
12/04	Divd Reinv	19	DAVIS NEW YORK VENTURE FD CL Y REINV AMOUNT $524.04 REINV PRICE $27.20000 QUANTITY BOT 19.2660 AS OF 11/30			
12/04	Divd Reinv	1	DAVIS NEW YORK VENTURE FD CL Y REINV AMOUNT $30.44 REINV PRICE $27.20000 QUANTITY BOT 1.1190 AS OF 12/01			
12/19	Divd Reinv	10	AMERICAN FUNDAMENTAL INVESTORS INC CL A REINV AMOUNT $330.91 REINV PRICE $30.74000 QUANTITY BOT 10.7650 AS OF 12/15			
12/19	Journal Entry	1	AMERICAN FUNDAMENTAL INVESTORS INC CL A FULL SHARE ACCUM			
12/20	Divd Reinv	2	ROBERTSON STEPH EMERGING GROWTH FD REINV AMOUNT $113.83 REINV PRICE $43.43000 QUANTITY BOT 2.6210 AS OF 12/19			
12/20	Journal Entry	1	ROBERTSON STEPH EMERGING GROWTH FD FULL SHARE ACCUM			

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects®

MICHAEL G OXLEY AND

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Security Transactions			
12/27	Divd Reinv	3	PUTNAM INTERNATIONAL GROWTH FUND CL A REINV AMOUNT $76.40 REINV PRICE $23.71000 QUANTITY BOT 3.2220 AS OF 12/20			
12/27	Journal Entry	1	PUTNAM INTERNATIONAL GROWTH FUND CL A FULL SHARE ACCUM			
12/27	Divd Reinv	2	PUTNAM INTERNATIONAL GROWTH FUND CL A REINV AMOUNT $69.61 REINV PRICE $23.71000 QUANTITY BOT 2.9360 AS OF 12/20			
12/27	Journal Entry	1	PUTNAM INTERNATIONAL GROWTH FUND CL A FULL SHARE ACCUM			
12/27	Divd Reinv	1	PUTNAM INTERNATIONAL GROWTH FUND CL A REINV AMOUNT $31.77 REINV PRICE $23.71000 QUANTITY BOT 1.3400 AS OF 12/20			
			Net Total			
			Dividends and Interest			
12/04	* Dividend		DAVIS NEW YORK VENTURE FD CL Y			30.44

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects™

MICHAEL G OXLEY AND

Monthly Activity

Date		Transaction	Quantity	Description	Price	Debit	Credit
				Dividends and interest			
12/04	*	Lg Tm Cap Gain		DAVIS NEW YORK VENTURE FD CL Y			524.04
12/04	*	Sh Tm Cap Gain		DAVIS NEW YORK VENTURE FD CL Y			50.01
12/19	*	Dividend		AMERICAN FUNDAMENTAL INVESTORS INC CL A			17.94
12/19	*	Lg Tm Cap Gain		AMERICAN FUNDAMENTAL INVESTORS INC CL A			330.91
12/20	*	Lg Tm Cap Gain		ALLIANCE PREMIER GROWTH FD ADV CL			463.93
12/20	*	Lg Tm Cap Gain		ROBERTSON STEPH EMERGING GROWTH FD			12.55
12/20	*	Sh Tm Cap Gain		ROBERTSON STEPH EMERGING GROWTH FD			113.83
12/27	*	Dividend		PUTNAM INTERNATIONAL GROWTH FUND CL A			31.77
12/27	*	Lg Tm Cap Gain		PUTNAM INTERNATIONAL GROWTH FUND CL A			69.61
12/27	*	Sh Tm Cap Gain		PUTNAM INTERNATIONAL GROWTH FUND CL A			76.40
12/29		Share Dividend	2	CMA MONEY FUND			
12/29		Cash Dividend		CMA MONEY FUND FROM 11-23 THRU 12-29			.40
		Income Total		CMA MONEY FUND			2.00
				Net Total			1,723.83

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects™

MICHAEL G OXLEY AND

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Other Activity			
12/04	Reinvestment		DAVIS NEW YORK VENTURE FD CL Y		30.44	
12/04	Reinvestment		DAVIS NEW YORK VENTURE FD CL Y		524.04	
12/04	Reinvestment		DAVIS NEW YORK VENTURE FD CL Y		50.01	
12/19	Reinvestment		AMERICAN FUNDAMENTAL INVESTORS INC CL A		17.94	
12/19	Reinvestment		AMERICAN FUNDAMENTAL INVESTORS INC CL A		330.91	
12/19	Divd Reinv		AMERICAN FUNDAMENTAL INVESTORS INC CL A REINV AMOUNT $17.94 REINV PRICE $30.74000 QUANTITY BOT .5840 AS OF 12/15			
12/20	Reinvestment		ROBERTSON STEPH EMERGING GROWTH FD		12.55	
12/20	Reinvestment		ROBERTSON STEPH EMERGING GROWTH FD		113.83	
12/20	Divd Reinv		ROBERTSON STEPH EMERGING GROWTH FD REINV AMOUNT $12.55 REINV PRICE $43.43000 QUANTITY BOT .2890 AS OF 12/19			
12/27	Reinvestment		PUTNAM INTERNATIONAL GROWTH FUND CL A		31.77	
12/27	Reinvestment		PUTNAM INTERNATIONAL GROWTH FUND CL A		69.61	
12/27	Reinvestment		PUTNAM INTERNATIONAL GROWTH FUND CL A		76.40	
			Net Total		1,257.50	

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects™

MICHAEL G OXLEY AND

Tax Information Summary

	This Statement	Year-to-Date
Accrued Interest Paid		
Tax-Exempt		
Reportable		
Accrued Interest Received		
Tax-Exempt		
Reportable		
Gross Proceeds		22,440.22

Although we provide complete year-to-date information for Reportable Accrued Interest Received, Accrued Interest Paid and Tax-Exempt Accrued Interest Received and Paid include only those months we produce your profit and loss statement

Daily Activity Review

Date	Transaction	Quantity	Description	Price	Amount	Cash Balance	Money Account Balance
11/24	Opening Balance					.96CR	287
12/04	* Dividend		DAVIS NEW YORK VENTURE FD CL Y		30.44CR		
12/04	Reinvestment		DAVIS NEW YORK VENTURE FD CL Y		30.44		
12/04	* Lg Tm Cap Gain		DAVIS NEW YORK VENTURE FD CL Y		524.04CR		
12/04	Reinvestment		DAVIS NEW YORK VENTURE FD CL Y		524.04		
12/04	* Sh Tm Cap Gain		DAVIS NEW YORK VENTURE FD CL Y		50.01CR		
12/04	Reinveetment		DAVIS NEW YORK VENTURE FD CL Y		50.01		

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects™

MICHAEL G OXLEY AND

Daily Activity Review

Date	Transaction	Quantity	Description	Price	Amount	Cash Balance	Money Account Balance
12/04	Divd Reinv	1	DAVIS NEW YORK VENTURE FD CL Y REINV AMOUNT $50 01 REINV PRICE $27.20000 QUANTITY BOT 1.8390 AS OF 11/30				
12/04	Journal Entry	1	DAVIS NEW YORK VENTURE FD CL Y FULL SHARE ACCUM				
12/04	Divd Reinv	19	DAVIS NEW YORK VENTURE FD CL Y REINV AMOUNT $524.04 REINV PRICE $27.20000 QUANTITY BOT 19 2660 AS OF 11/30				
12/04	Divd Reinv	1	DAVIS NEW YORK VENTURE FD CL Y REINV AMOUNT $30.44 REINV PRICE $27.20000 QUANTITY BOT 1.1190 AS OF 12/01			.96CR	287
12/19	* Dividend		AMERICAN FUNDAMENTAL INVESTORS INC CL A		17.94CR		
12/19	Reinvestment		AMERICAN FUNDAMENTAL INVESTORS INC CL A		17.94		
12/19	* Lg Tm Cap Gain		AMERICAN FUNDAMENTAL INVESTORS INC CL A		330.91CR		
12/19	Reinvestment		AMERICAN FUNDAMENTAL INVESTORS INC CL A		330.91		
12/19	Divd Reinv	10	AMERICAN FUNDAMENTAL INVESTORS INC CL A REINV AMOUNT $330.91 REINV PRICE $30.74000 QUANTITY BOT 10.7650 AS OF 12/15				

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects

MICHAEL O OXLEY AND

Daily Activity Review

Date	Transaction	Quantity	Description	Price	Amount	Cash Balance	Money Account Balance
12/19	Journal Entry	1	AMERICAN FUNDAMENTAL INVESTORS INC CL A FULL SHARE ACCUM				
12/19	Divd Reinv		AMERICAN FUNDAMENTAL INVESTORS INC CL A REINV AMOUNT $17.94 REINV PRICE $30.74000 QUANTITY BOT .5840 AS OF 12/15			.96CR	287
12/20	* Lg Tm Cap Gain		ALLIANCE PREMIER GROWTH FD ADV CL		463.93CR		
12/20	* Lg Tm Cap Gain		ROBERTSON STEPH EMERGING GROWTH FD		12.55CR		
12/20	Reinvestment		ROBERTSON STEPH EMERGING GROWTH FD		12.55		
12/20	* Sh Tm Cap Gain		ROBERTSON STEPH EMERGING GROWTH FD		113.83CR		
12/20	Reinvestment		ROBERTSON STEPH EMERGING GROWTH FD		113.83		
12/20	Divd Reinv	2	ROBERTSON STEPH EMERGING GROWTH FD REINV AMOUNT $113.83 REINV PRICE $43.43000 QUANTITY BOT 2.6210 AS OF 12/19				
12/20	Journal Entry	1	ROBERTSON STEPH EMERGING GROWTH FD FULL SHARE ACCUM				
12/20	Divd Reinv		ROBERTSON STEPH EMERGING GROWTH FD REINV AMOUNT $12.55 REINV PRICE $43.43000 QUANTITY BOT .2890 AS OF 12/19			464.89CR	287

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MERRILL LYNCH CMA® ACCOUNT

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MICHAEL G OXLEY AND

Daily Activity Review

Date	Transaction	Quantity	Description	Price	Amount	Cash Balance	Money Account Balance
12/21	Purchase	464	CMA MONEY FUND		464.00	.89CR	751
12/27	* Dividend		PUTNAM INTERNATIONAL GROWTH FUND CL A		31.77CR		
12/27	Reinvestment		PUTNAM INTERNATIONAL GROWTH FUND CL A		31.77		
12/27	* Lg Tm Cap Gain		PUTNAM INTERNATIONAL GROWTH FUND CL A		69.61CR		
12/27	Reinvestment		PUTNAM INTERNATIONAL GROWTH FUND CL A		69.61		
12/27	* Sh Tm Cap Gain		PUTNAM INTERNATIONAL GROWTH FUND CL A		76.40CR		
12/27	Reinvestment		PUTNAM INTERNATIONAL GROWTH FUND CL A		76 40		
12/27	Divd Reinv	3	PUTNAM INTERNATIONAL GROWTH FUND CL A REINV AMOUNT $76.40 REINV PRICE $23.71000 QUANTITY BOT 3.2220 AS OF 12/20				
12/27	Journal Entry	1	PUTNAM INTERNATIONAL GROWTH FUND CL A FULL SHARE ACCUM				
12/27	Divd Reinv	2	PUTNAM INTERNATIONAL GROWTH FUND CL A REINV AMOUNT $69.61 REINV PRICE $23.71000 QUANTITY BOT 2.9360 AS OF 12/20				
12/27	Journal Entry	1	PUTNAM INTERNATIONAL GROWTH FUND CL A FULL SHARE ACCUM				

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MERRILL LYNCH CMA® ACCOUNT

MFA Selects™

MICHAEL G OXLEY AND

Daily Activity Review

Date	Transaction	Quantity	Description	Price	Amount	Cssh Balance	Money Account Balance
12/27	Divd Reinv	1	PUTNAM INTERNATIONAL GROWTH FUND CL A REINV AMOUNT $31.77 REINV PRICE $23.71000 QUANTITY BOT 1.3400 AS OF 12/20			.89CR	751
12/29	Share Dividend	2	CMA MONEY FUND				
12/29	Cash Dividend		CMA MONEY FUND FROM 11-23 THRU 12-29		.40CR		
12/29	**Closing Balance**				**1.29CR**	**1.29CR**	**753**

Customer Service

For information about your account, please call 1-800-MER-LINK (1-800-637-5465). To report Lost or Stolen Visa Cards or Checks, please call 1-800-262-5678.

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137868 _ PLEASE SEE REVERSE SIDE **END OF STATEMENT**

74-034 2001 - 56

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
01 MAY 15 PM 3:34

MC (8)

HAND DELIVERED
(Filing Case Only)

FRANK J. PALLONE JR.
(Full Name)

1187 OCEAN AVE
(Mailing Address)

LONG BRANCH, NJ. 07740

Daytime Telephone: 202-225-4671

Filer Status	[X] Member of the U.S House of Representatives	State: NJ. District: 6TH	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Frank Pallone, Jr.	5/15/01

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Transaction (P, S, E)
SP	Mega Corp. Stock					X								X										X								P
DC	Simon & Schuster		Indefinite																	Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X										X						
	Congressional FCU Accounts		X													X					X											
	First Union Bank Long Branch, NJ Accounts		X													X					X											
SP	General Electric Co Exec Pension															Pension									X							
	First Union Bank Washington, DC Accounts		X													X					X											

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Frank J Pallone Jr.

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
JT	First Union Securities				
	Evergreen Res MMKT	C	DIVIDENDS	III	
	America Online	C	DIVIDENDS	I	S
	Cisco Systems	C	DIVIDENDS	I	
	Lucent Technologies	C	DIVIDENDS	VI	S
	U.S. Treas Cubs	C	INTEREST	VI	
	Eaton Vance Muni cl B	D	INTEREST	IV	P S
	MFS Ser Tr IV	D	CAPITAL GAINS	VI	P
	Nokia Corp	C	DIVIDENDS	I	P
	Time Warner Inc	C	DIVIDENDS	I	P
SP	First Union Securities				
	SEP/IRA Accounts				
	Walt Disney Co	C	DIVIDENDS	IV	NA IRA
	Euro Pacific Fund	C	DIVIDENDS	IV	NA IRA
	Evergreen Fund	D	DIVIDENDS	IV	NA IRA
	Gen Elect	C	DIVIDENDS	IV	NA IRA
	Lauder Estee	C	DIVIDENDS	IV	NA IRA
	Merk & Co	D	DIVIDENDS	IV	NA IRA
	MFS Bond Fund	C	DIVIDENDS	IV	NA IRA
	Putnam Int Fund	D	DIVIDENDS	IV	NA IRA

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3725

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Frank J Pallone Jr.

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
SP	First Union Securities				
	SEP/ICA Accounts				
	Texas Inst. Inc	D	DIVIDENDS X		
	Unilever PLC	C	DIVIDENDS X		
	VALIC Retirg + MKT	C	DIVIDENDS X		
SP	First Union Securities				
	Roth ICA				
	Evergreen Funds	C	DIVIDENDS X	NA ICA	
	Franklin Cust DYNA Tech	C	DIVIDENDS X	NA ICA	
SP	First Union Securities				
	Roth ICA				
	Evergreen Funds	C	DIVIDENDS X	NA ICA	
	Franklin Cust DYNA	C	DIVIDENDS X	NA ICA	

3726

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Frank J. Pallone Jr.

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
DC	First Union Securities																														
	Evergreen CRT MM			X										X									X								
	Disney Walt Co			X										X								X									
	General Elec Co				X									X									X								P
	Intel Corp				X									X								X									
	MFS Research CL A					X								X			X								X						P
	MFS Emerg Growth				X									X			X							X							P
	Bristol Meyers Squibb			X										X								X									S
	Clorox Co			X										X								X									S
	Microsoft				X									X							X										
	Vertex Pharm			X										X							X										S
	Home Depot Inc			X										X								X									P
	Goldman Sachs			X										X							X										P
	WorldCom Inc			X										X							X										P
	Lauder Estee																														
	Pfizer Inc			X										X							X										P
	Cisco Sys			X										X							X										P

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3727

SCHEDULE IV — TRANSACTIONS

Name FRANK J PALLONE JR. Page 6 of 8

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	Example: Maga Corporation Common Stock	X			10-12-00		X								
JT	AMERICA ONLINE		X		12-29-00	X									
JT	EATON VANCE NAT MUNI CL B		X		VARIOUS	X									
JT	LUCENT TECH. INC		X		6-22-00	X									
JT	EATON VANCE NAT MUN. CL B	X			VARIOUS	X									
JT	MFS SER TR IV	X			VARIOUS	X									
JT	NOKIA CORP	X			6-22-00	X									
JT	TIME WARNER	X			12-29-00	X									
DC	GOLDMAN SACHS GROUP	X			12-01-00	X									
DC	HOME DEPOT	X			3-30-00		X								
DC	MFS RESEARCH	X			12-07-00	X									
DC	MFS EMERG GROWTH	X			12-20-00	X									
DC	WORLDCOM INC	X			12-01-00	X									
DC	BRISTOL MYERS SQUIBB		X		6-22-00	X									
DC	CLOROX CO		X		6-22-00	X									
DC	CISCO SYS INC	X			3-30-00	X									

This page may be copied if more space is required.

Name FRANK J. PALLONE JR | Page 7 of 8

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H1 $1,000,001–$5,000,000	I $5,000,001–$25,000,000	H2 $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-00		X								
DC	LAUDER ESTEE	X			6-22-00	X									
DC	PFIZER INC	X			6-22-00		X								
DC	VERTEX PHARM		X		6-22-01		X								
DC	GENERAL ELECTRIC	X			3-30-00	X									

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Honorary Member of Board	Rainbow Foundation
Honorary Member of Board	Ranney School Tinton Falls, NJ
Member of Board	Zimmerli Museum Rutgers University

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2001 MAY 14 PM 4:41
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MAY 11 2001 (6)
MC (Office Use Only)

William James Pascrell Jr.
(Full Name)

441 Ninth Avenue
(Mailing Address)

Paterson New Jersey 07514
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: ____ District: ____	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	William J Pascrell Jr	5/11/2001

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Name William James Pascrell Jr | Page ___ of ___

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	US House of Representatives (H)	Salary	136,700
	State of New Jersey (H)	Pension	44,015
	New Jersey Unemployment (H)	Unemployment	7,462

For payments to charity in lieu of honoraria, use Schedule II.

Name William James Pascrell Jr | Page ___ of ___

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C	Block D	Block E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP, DC, JT	Examples: SP Mega Corp Stock		X	X	P
	Simon & Schuster	Indefinite			
	1st Bank of Paducah, KY accounts	X	X	X	
				Royalties	
JT	State of New Jersey - Pension	X			
JT	County of Essex	X	X	X	
JT	Advest IBM 100 Sh	X	X	X	
	Replacement Item 200	X	X	X	
	Evergreen Equity Trust	X	X	X	
	Putnam NJ Tax Exempt	X	X	X	

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name William James Pescetelli, Jr ✓ | Page ___ of ___

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	First Union CAP Acct				X									X										X								
JT	Dime Savings Bank				X											X								X								
JT	Evergreen Fcnto			X										X									X									
	First Union				X											X							X									
JT	First Union					X										X								X								
	First Union Retirement			X												X							X									
SP	Fidelity Magellan IRA						X							X																		
	Fidelity Magellan IRA			X										X																		
SP	Fidelity 401K			X										X																		
	Hudson United Bank IRA				X											X							X									
SP	Merrill Lynch IRA				X									X																		
	Variable Annuity Life					X										X																
JT	Republic National Bank						X									X										X						
	Ryan Beck																															
JT	NJ Health Care Fin Auth				X											X							X									
	NJ Eco Dev Auth			X												X							X									
	Amgen 150 Sh			X										X																		
	Thrift Savings Plan - Retirement					X										X																
SP	Trans America Life Annuity					X										X																
SP	Valic IRA				X											X																
	Valic IRA					X										X																
JT	US Savings Bonds (E+EE)					X										X									X							

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SCHEDULE IV — TRANSACTIONS

Name William James Pascrell Jr | Page ___ of ___

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example.* Mega Corporation Common Stock	X			10–12–00		X								
JT	150 Sh Amgen Inc	X			1-12-01	X									

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Name William James Pascrell, Jr | Page __ of __

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source		Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
NY Firefighters Seminar		5/11/00	Wash DC - Philadelphia - Atlantic City - Paterson	N	N	N	N

3736

Ferraioli, Wielkotz, Cerullo & Cuva, P.A.

MAY 18 2001

Charles J. Ferraioli, Jr., MBA, CPA, RMA
Steven D. Wielkotz, CPA, RMA
James J. Cerullo, CPA, RMA
Paul J. Cuva, CPA, RMA
Thomas M. Ferry, CPA, RMA

Earl L. Snook, RMA

Certified Public Accountants
16 Pompton Avenue
P.O. Box 259
Pompton Lakes, New Jersey 07442
973-835-7900
Fax 973-835-6631

Newton Office
100B Main Street
Newton, N.J. 07860
973-579-3212
Fax 973-579-7128

LEGISLATIVE RESOURCE CENTER
2001 MAY 23 AM 9: 39
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

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C

May 18, 2001

Mr. Jeff Trandhal
Clerk U.S. House of Representatives
Legislative Resource Center
B 106 Cannon House Office Building
Washington, DC 20515-6612

RE: FINANCIAL DISCLOSURE STATEMENT 2000
CONGRESSMAN WILLIAM J. PASCRELL, JR.

Dear Mr. Trandhal:

Relative to Congressman Pascrell's 2000 Financial Disclosure Statement an error was made on Schedule 1 - Earned Income. The salary from the U.S. House of Representatives should read $141,300. I am sorry for any inconvenience this caused.

Very truly yours,

Steven D. Wielkotz, C.P.A.
Registered Municipal Accountant

SDW:kma

cc: Congressman William J. Pascrell, Jr.

FWCC

BILL PASCRELL, JR.
8TH DISTRICT, NEW JERSEY

WASHINGTON OFFICE:
1722 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-5751
(202) 225-5782 FAX

PATERSON OFFICE:
ROBERT A. ROE FEDERAL BUILDING
200 FEDERAL PLAZA, SUITE 500
PATERSON, NJ 07505
(973) 523-5152
(973) 523-0637 FAX

Congress of the United States
House of Representatives

COMMITTEES
COMMITTEE ON TRANSPORTATION AND INFRASTRUCTURE
SUBCOMMITTEE ON AVIATION
SUBCOMMITTEE ON HIGHWAYS AND TRANSIT
SUBCOMMITTEE ON WATER RESOURCES AND ENVIRONMENT
COMMITTEE ON SMALL BUSINESS
RANKING MEMBER,
SUBCOMMITTEE ON TAX, FINANCE AND EXPORTS
SUBCOMMITTEE ON REGULATORY REFORM AND OVERSIGHT
CONGRESSIONAL BRAIN INJURY TASK FORCE
CO-CHAIR
DEMOCRATIC CAUCUS
CO-CHAIR, TASK FORCE ON LIVABLE COMMUNITIES

June 8, 2001

Mr. Jeff Trandhal
Clerk U.S. House of Representatives
Legislative Resource Center
B 106 Cannon House Office Building
Washington, D.C. 20515-6612

1

JUN 11 2001

MC

01 JUN 12 PM 2:37
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

RE: 2000 FINANCIAL DISCLOSURE STATEMENT

Dear Mr. Trandhal:

Relative to my recently filed 2000 Financial Disclosure Statement the following will serve as clarifications:

1. Schedule 1 - Salary: The amount from the U.S. House of Representatives should read $141,300.

2. Schedule 3 - Clarification on Investments:
   A. Evergreen Fund - This is a municipal money market fund.
   B. Merrill Lynch IRA - These investments are Merrill Lynch Fundamental Growth B Fund Certificate of Deposit

If you require any additional, information please do not hesitate to contact me.

Sincerely,

Bill Pascrell Jr.

Bill Pascrell, Jr.
Member of Congress

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HOUSE OF REPRESENTATIVES
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(Office Use Only)

9

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A — For use by Members, officers, and employees

Edward L. Pastor
(Full Name)

1151 West Thomas Road Phoenix, AZ 85013-4206
(Mailing Address)

202-225-4065
Daytime Telephone

Filer Status	☑ Member of the U S House of Representatives	State: AZ District: 02	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Edward L. Pastor	April 30 2001

3739

SCHEDULE I - EARNED INCOME

Name Edward L. Pastor

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
US House of Representatives	Salary	$140,917
State of Arizona	Spouse Pension	$29,569
WestEd, Inc.	Spouse Salary	$64,165

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Edward L. Pastor

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None"	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Residential lot, Guadalupe, AZ	$1,001 - $15,000	CAPITAL GAINS	NONE	NA
SP	Bank One Checking Account, Phx, AZ	$1,001 - $15,000	INTEREST	$1 - $200	NA
SP	AZ State Employees Federal Credit Union (IRA)	$1,001 - $15,000	INTEREST	NA	NA
	Bank One, Phoenix, AZ (IRA)	$1,001 - $15,000	INTEREST	NA	NA
SP	AZ State Retirement System Pension Fund	$50,001 - $100,000	INTEREST/CAPITAL GAINS/DIVIDENDS	NA	NA

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Edward L. Pastor

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	AZ State Elected Officials Retirement System Pension Fund	$15,001 - $50,000	INTEREST/CAPITAL GAINS/DIVIDENDS	NONE	NA
	AmerUs Group Co Whole Life Insurance	$15,001 - $50,000	DIVIDENDS	N/A	S
SP	AZ State Employees Federal Credit Union - Savings	$15,001 - $50,000	INTEREST	$1,001 - $2,500	NA
	Congressional Federal Credit Union - Savings	$1,001 - $15,000	INTEREST	$201 - $1,000	NA
SP	Nationwide (PEBSCO) Deferred Compensation Plan, Phx, AZ	$15,001 - $50,000	CAPITAL GAINS/INTEREST/DIVIDENDS	NA	NA

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Edward L Pastor

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	T.I.A.A.-C.R.E.F. Pension Fund	$50,001 - $100,000	CAPITAL GAINS	NA	NA
SP	Guardian Park Avenue Fund A	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	NA
SP	Prudential Securities Pension Fund	$15,001 - $50,000	CAPITAL GAINS/DIVIDENDS/INTEREST	NA	NA
	Thrift Savings Plan, Washington, DC	$100,001 - $250,000	DIVIDENDS/INTEREST/CAPITAL GAINS CONTRIBUTIONS	NA	NA

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SCHEDULE IV - TRANSACTIONS

Name Edward L. Pastor

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	AmerUs Group Co.	S	10-17-00	$1,001 - $15,000
	AmerUsGroup Co.	S	10-17-00	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Edward L. Pastor

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Bank of America, Phoenix, AZ	Mortgage on 1151 West Thomas Rd, Phx, AZ	$15,001 - $50,000
JT	Bank One, Phoenix, AZ	Equity Line of Credit on 1151 West Thomas Rd, Phx, AZ	$15,001 - $50,000
JT	Homeside Lending Inc, San Antonio, TX	Mortgage on 1015 W Campbell, Phx, AZ	$50,001 - $100,000
	Wells Fargo (Norwest) Mortgage, Des Moines, IA	Mortgage on 649 C St, #304, Washington, DC	$50,001 - $100,000

SCHEDULE VI - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Edward L. Pastor

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Congressional Hispanic Caucus	Jan 18-20	Phx-San Juan, PR-Phx	Y	Y	N	N/A
American Airport Executive Associationi	Apr 20-24	Phx-Hawaii-Phx	Y	Y	Y	N/A
Congressional Hispanic Caucus	May 19-22	Wash, DC-New York-Wash DC	Y	Y	N	N/A
National Democratic Institute	Jul 1-3	Phx-Mexico City-Phx	Y	Y	N	N/A
Congressional Economic Institute	Jul 7-8	Phx-Seattle, WA-Phx	Y	Y	Y	N/A
U.S. Hispanic Chamber of Commerce	Sep 21-22	Wash, DC-Mexico City, Wash, DC	Y	Y	N	N/A
Nuclear Energy Institute	Nov 17-22	Wash, DC-Paris-Normandy-Paris-DC-Phx	Y	Y	Y	N/A
Encuentro 2000 Conference	Dec 27-30	Phx-San Juan, PR-Phx	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name Edward L. Pastor

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member	Congressional Hispanic Caucus, Wash, DC
Member	Congressional Hispanic Caucus Institute, Wash, DC
Boardmember	National Association of Latino Elected Officials, Wash, DC
Boardmember	Neighborhood Housing Services of American, Oakland, CA

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2001 MAY 10 PM 12:29
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

7

Ronald E. Paul
(Full Name)

2422 Bluewater Highway
(Mailing Address)

Surfside, TX 77541

Daytime Telephone
979.265.3034

Filer Status	[X] Member of the U.S. House of Representatives	State: Texas District: 14	[ ] Officer or Employee — Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Ron Paul	5-7-2001

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Ronald E. Paul

Page 2 of 1

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	Examples	SP	Mega Corp Stock				X								X										X								P
			Simon & Schuster		Indefinite																Royalties									X			
			1st Bank of Paducah, KY accounts					X									X										X						
	56 Flag Lake Plaza Lake Jackson, TX							X								X												X					
	Swim-Ten, Inc.-Lake Jackson, TX-Recreational								X						Sub S Corporation							X											
	58 Flag Lake Plaza, LJ, TX held by CARR, Ltd.						X								Partnership Income											X							
	40 acres farmland, Hwy 332 LJ, TX held by Carona, Ltd							X							Partnership Income							X											
	Sunshine Mining & Refining Co. Common Stock		X												X							X											S
	Dundee Bancorp Inc Class A Common Stock				X										X							X											

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Ronald E. Paul

Page 2 of 1

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Vista Gold Corp Common Stk		X											X							X											
	Royal Oaks Mines Common Stk	X												X							X											S
	Newmont Corp Common Stock			X										X									X									
	Goldcorp Inc Class A Common				X									X							X											
	TVX Gold Inc Common Stock			X										X							X											
	WMC Limited Common Stock				X									X									X									
	Agnico Eagle Mines Ltd CS			X										X							X											
	Echo Bay Mines Ltd Common			X										X								X										
	Battle Mtn Gold Co Common			X										X							X											
	Homestake Mining Co Common				X									X									X									
	Placer Dome Inc Common Stk				X									X								X										
	Barrick Gold Corp Common Stk						X							X								X										
	Glamis Gold Ltd Common Stk			X										X							X											
	Kinross Common Stock				X									X							X											
	Franco Nevada Common Stock					X								X							X											
	Freeport McMoran Copper & Gold Inc Step-up conv. Preferred shares				X									X										X								
	Rydex-Ursa Mutual Fund 9601 Blackwell Rd #500 Rockville, MD				X									X							X											

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Ronald E. Paul

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Oppenheimer Real Asset Mutual Fund, PO Box 5270 Denver, CO			X										X							X											
	Prudent Bear Mutual Fund 8140 Walnut Hill Ln #405 Dallas, TX			X										X								X										
	Pegasus Gold Inc Common Stk			X										X							X											
	Amax Gold Inc Common Stock			X										X							X											
	Condominium, 5300 Holmes Run Pkwy, Alexandria, VA						X													NONE	X											
	Acreage - 9 B. T. Archer Lots 70-72, 30-33,49 Oyster Creek, TX					X														NONE	X											
	Coins - Semi-numismatic						X													NONE	X											
	First National Bank of LJ Defined Benefit Account						X									X									X							
	First National Bank of LJ Lake Jackson, TX Savings					X										X								X								
	Congressional Federal Credit Union, Wash DC			X												X							X									
	Texas Dow Employees Credit Union, Lake Jackson, TX						X									X								X								

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Ronald E. Paul

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Texas Gulf Bank, N.A.																														
	Lake Jackson, TX					X										X							X								
	Alliance Treasury Reserves																														
	MMA 1345 Ave of the																														
	Americas, NY, NY						X									X								X							
	Rydex Dynamic Venture																														
	Mutual Fund																														
	9601 Blackwell Rd #500																														
	Rockville, MD				X									X							X										P

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SCHEDULE IV — TRANSACTIONS

Name Ronald E. Paul

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-00		X								
	Sunshine Mining & Refining Co. Common Stock		X		4-26-00	X									
	Royal Oaks Mines Common Stock		X		4-27-00	X									
	Rydex Dynamic Venture Mutual Fund	X			7-8-00	X									

This page may be copied if more space is required.

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Ronald E. Paul, M.D. Association
President	Swim-Ten, Inc.
Partner	Carona Limited
Partner	CARR, Ltd.
Honorary Chairman	Foundation for Rational Economics and Education, Inc.
Honorary Chairman	The Liberty Committee (formerly Liberty Study Committee)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 2001 70-729 (mac)

Use additional sheets if more space is required.

74-034 2001 - 57

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

Form A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 3:59
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(10)

Donald Milford Payne
(Full Name)

21 Bock Avenue
Newark
(Mailing Address)
NJ 07112

Daytime Telephone:
(202) 225-3436

Filer Status: [X] Member of the U S House of Representatives — State NJ District 10 — [ ] Officer or Employee — Employing Office

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e g , salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i e , aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS--Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U S C app 4, 104 and 18 U S C 1001)

CERTIFICATION	SIGNATURE OF REPORTING INDIVIDUAL	DATE (Month/Day/Year)
I CERTIFY that the statements I have made on this form, and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Donald M Payne	5/15/01

Name | Page ___ of ___

SCHEDULE I -- EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria, list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name

Page of

SCHEDULE II -- PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i e , speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium
A separate confidential list of charities receiving such payments must be filed directly with the Committe on Standards of Official Conduct An envelope for transmitting the list is included in each Member's filing package

	Source	Activity	Date	Amount
Examples	Association of American Associations, Wash , D C. XYZ Magazine	Speech Article	Feb, 2, 1999. Aug. 13, 1999.	$2,000 $ 500

Name Donald Milford Payne	Page ____ of ____

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source**		BLOCK B **Value of Asset**	BLOCK C **Type of Income**	BLOCK D **Amount of Income**	BLOCK E **Transaction**
Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year For rental property or land, provide an address Provide full names of any mutual funds For a self-directed IRA (i.e. one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year. **Exclude:** Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		at close of reporting year If you use a valuation method other than fair market value, please specify the method used If an asset was sold and is included only because it generated income, the value should be "None "	If other than one of the listed categories, specify the type of income by writing a brief description in this block	For retirement plans that do not allow you to choose specific investments, you may write "NA" for Income For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income	Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year
SP, DC, JT	*Examples*	SP	Mega Corp. Stock 123 Main St , Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
	First Union Bancorp Stock		$50,001 - $100,000	Dividends	$2,501 - $5,000	
	19 Bock Avenue, Newark, New Jersey		$100,001 - $250,000	Rent	$5,001 - $15,000	
	Merck & Co. Stock		$15,001 - $50,000	Dividends	$201 - $1,000	
	Copeland Co. Pension Plan		$50,001 - $100,000	Pension	None	
	Smith Barney Shearson IRA		$100,001 - $250,000	IRA	None	
	Mail.Com Stock		$1 - $1,000	Dividends	None	

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Name Donald Milford Payne Page ___ of ___

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
Example	Mega Corporation Common Stock	Purchase	10/12/1999	$15,001 - $50,000

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Name Donald Milford Payne | Page ___ of ___

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child Mark the highest amount owed during the year

Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse Report **revolving charge accounts** only if the balance at the close of preceding calendar year exceeded $10,000.

SP,DC,JT	Creditor	Type of Liability	Amount of Liability
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del	$100,001 - $250,000
	Independence Community Bank, Newark, New Jersey	Mortgage on 19 Bock Avenue	$50,001 - $100,000

Name | Page ____ of ____

SCHEDULE VI -- GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 26, clause 5) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (waiver received from Committee on Standards)	$270

Name Donald Milford Payne | Page ____ of ____

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342); political travel that is required to be reported under the Federal Election Campaign Act, travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar 2	Wash., D.C.-Chicago-Wash., D.C.	N	N	N	N
Media Corporation	Aug 6-13	Wash., D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
Aspen Institute	February 18 - 22	Newark - San Juan - Newark	Y	Y	Y	N
Amistad America, Inc.	March 23 - 26	Newark-Hartford-Newark	Y	Y	Y	N
New York Stock Exchange, Bell Atlantic; Humpty Dumpty Institute	June 15 - 17	Washington-New York	Y	Y	Y	N
Aspen Institute	August 20 - 26	Newark-Prague-Newark	Y	Y	Y	N
Carib News	November 9 - 12	Newark-MontegoBay-Newark	Y	Y	N	N

Name Donald Milford Payne Page ____ of ____

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature, and positions listed on Schedule I.

Position	Organization
Board of Trustees	Newark Boys' and Girls' Clubs
Board of Directors	Newark Day Center
Board of Directors	Newark YMWCA
Board of Directors	Congressional Black Caucus Foundation
Board of Directors	Newark Fighting Back Initiatives
Board of Directors	National Endowment for Democracy
Board of Directors	Discovery Channel Foundation
Board of Directors	Enterprise Works

Name

Page___ of ___

SCHEDULE IX --AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A Page 1 of 49
For use by Members, officers, and employees

RECEIVED
01 MAY 16 AM 9:08
MC INSIDE MAIL (Office Use Only)

49

Nancy Pelosi
(Full Name)

One Bush Street San Francisco, CA 94104
(Mailing Address)

415-788-8600
Daytime Telephone:

Filer Status	☑ Member of the U.S House of Representatives	State: CA District: 08	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Nancy Pelosi	May 15, 2001

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SCHEDULE I - EARNED INCOME

Name Nancy Pelosi

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Nancy Pelosi	None	N/A
Financial Leasing Services	Spouse Salary	N/A
Fairfield Associates	Spouse Management Fee	N/A
Isolep Enterpirses	Spouse Management Fee	N/A
Yerac Associates (Carey Associates)	Spouse Management Fee	N/A

SCHEDULE II - PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

Name Nancy Pelosi

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
NONE			

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nancy Pelosi

BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Access Technology Partners	$100,001 - $250,000	Capital Gains	$15,001 - $50,000	N/A
SP	Accompany, Inc. - Common Stock	$15,001 - $50,000	NONE	NONE	P
SP	Adaytum Software, inc.	$50,001 - $100,000	NONE	NONE	N/A
SP	Advanced Fiber Communications - Public Common Stock	$100,001 - $250,000	CAPITAL GAINS-Partial Sale	$100,001 - $1,000,000	PS Partial Sale
SP	Adforce - Common Stock - (See CMGI)				
SP	Affiliated Research Centers, Inc. - Common Stock	$1,001 - $15,000	NONE	NONE	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nancy Pelosi

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Agile Software Corp. - Public Common Stock	$100,001 - $250,000	NONE	NONE	N/A
SP	Alter Ego - Common Stock	$1,001 - $15,000	NONE	NONE	P
SP	Alza Corp. - Public Common Stock	$100,001 - $250,000	NONE	NONE	N/A
SP	Amazon.Com - Common Stock	$0	CAPITAL GAINS	$100,001 - $1,000,000	S
SP	America Online, Inc. - Public Common Stock	$50,001 - $100,000	NONE	NONE	N/A
SP	Andover.Net, Inc. - Public Common Stock	$0	CAPITAL GAINS	$0	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nancy Pelosi

	BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Apogee Networks, Inc. - Common Stock	$50,001 - $100,000	NONE	NONE	N/A
SP	Apple Computer- Public Common Stock	$0	CAPITAL GAINS	$0	PS
SP	Aplion, Inc. - Common Stock	$15,001 - $50,000	NONE	NONE	P
SP	Aristotle Publishing, Inc. - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Atipa Fund B - Common Stock	$15,001 - $50,000	NONE	NONE	P
SP	Ashlar, Inc. - Common Stock	$15,001 - $50,000	NONE	NONE	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name: Nancy Pelosi

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Auberge du Soleil, Rutherford, CA - Owns resort hotel	$1,000,001 - $5,000,000	Dividents/Rent	$201 - $1,000	P increase investment
SP	Bank of America, San Francisco, CA	$15,001 - $50,000	Interest	$1 - $200	N/A
SP	Bank of America, Washington, DC (Formerly Nations Bank)	$15,001 - $50,000	Interest	$201 - $1,000	NA
SP	BF Enterprises - Public Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Ben & Jerry's - Public Common Stock	$0	CAPITAL GAINS	$50,001 - $100,000	S
SP	Biz360, Inc. - Common Stock	$1,001 - $15,000	NONE	NONE	P

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SP	BizFon, Inc. - Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Borel Estate Company - Owns shopping center	$100,001 - $250,000	Rent/Interest	$15,001 - $50,000	N/A
SP	Bridge Path, LLC - Common Stock	$15,001 - $50,000	NONE	NONE	P
SP	Bright Link, LLC - Common Stock	$15,001 - $50,000	NONE	NONE	P
SP	Broadcom Corp. - Public Common Stock	$1,001 - $15,000	NONE	NONE	E
SP	Calico Commerce- Public Common Stock	$1,001 - $15,000	NONE	NONE	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Carey International Common Stock	$0	CAPITAL GAINS	$1,000,001 - $5,000,000	PS
SP	Cascade, Inc. - Common Stock - (See Engage, Inc)				
SP	Chalone Wine Group, Ltd. - Public Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Chyron Corp - Common Stock	$0	CAPITAL GAINS	$0	PS
SP	Cierra Photonics - Common Stock	$15,001 - $50,000	NONE	NONE	P
SP	Cisco Systems, Inc. - Public Common Stock	$50,001 - $100,000	CAPITAL GAINS	$0	PS

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SP	Cisco Systems, Inc. -Public Common Stock (Formerly Infogear)	$1,001 - $15,000	NONE	NONE	E
SP	CMGI, Inc. - Common Stock (Formerly Adforce)	$1,001 - $15,000	NONE	NONE	E
SP	Colbalt Networks, Inc. (See Sun Microsystems)				
SP	Collab.Net - Common Stock	$1,001 - $15,000	NONE	NONE	P
SP	Collaborative Group, Ltd - Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Collectors Universe, Inc. - Public Common Stock	$0	CAPITAL GAINS	$0	S

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SP	Command Audio - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Commerce One, Inc. - Common Stock	$100,001 - $250,000	NONE	NONE	N/A
JT	Congressional Credit Union, Washington, DC	$15,001 - $50,000	Interest	$201 - $1,000	N/A
SP	Conscium, Inc. - Common Stock	$15,001 - $50,000	NONE	NONE	P
SP	CopperCom, Inc. - Common Stock	$100,001 - $250,000	NONE	NONE	P increase
SP	Covalent Technologies - Common Stock	$15,001 - $50,000	NONE	NONE	P

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SP	Covia Technologies - Common Stock	$15,001 - $50,000	NONE	NONE	P
SP	Critical Path Inc. - Common Stock	$50,001 - $100,000	NONE	NONE	N/A
SP	Crossworlds Software - Common stock	$0	CAPITAL GAINS	$0	PS
SP	Crossworlds Softward - Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Currenex - Common Stock	$1,001 - $15,000	NONE	NONE	P
SP	Digital Fountain - Common Stock	$15,001 - $50,000	NONE	NONE	N/A

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SP	Digital Intelligence (See Picture IQCorp)				
SP	eBenefits, LLC - Common Stock	$15,001 - $50,000	NONE	NONE	P
SP	EDI Associates, Mill Valley, CA - Hotel Investment	$250,001 - $500,000	Dividends/Rent	$50,001 - $100,000	N/A
SP	EMAX - Common Stock (AKA - SciQuest	$1,001 - $15,000	NONE	NONE	N/A
SP	Ememes, LLC - Common Stock	$15,001 - $50,000	NONE	NONE	P
SP	441 Folsom - Real Estate Investment	$0	CAPITAL GAINS	$0	S

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SP	Engage, Inc. - Common Stock (Formerly Cascade, Inc.)	$1,001 - $15,000	NONE	NONE	E
SP	Eventsource, LLC	$15,001 - $50,000	NONE	NONE	P
SP	Financial Leasing Services, S.F., CA - Investment Company	$100,001 - $250,000	N/A	NONE	N/A
SP	Forcenergy - Public Common Stock	$1 - $1,000	NONE	NONE	N/A
SP	Forty-Five Belden Corp., S.F., CA - Investment Company	$500,001 - $1,000,000	Dividends	$100,001 - $1,000,000	N/A
SP	Frontline - Common Stock	$15,001 - $50,000	NONE	NONE	N/A

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SP	FX Trades.com - Common Stock	$15,001 - $50,000	NONE	NONE	P
SP	Gap, Inc. - Public Common Stock	$0	CAPITAL GAINS	$0	S
SP	Garden.com - Public Common Stock (Formerly Garden Escape)	$0	CAPITAL GAINS	$0	S
SP	Getthere.com - Public Common Stock	$0	CAPITAL GAINS	$0	S
SP	Getty Images, Inc. - Public Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	GigaNet, Inc. - Common Stock	$15,001 - $50,000	NONE	NONE	N/A

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SP	Glyphica - Common Stock (aka - Covia Technologies)	$15,001 - $50,000	NONE	NONE	P increase
SP	Granite Ventures, LP	$50,001 - $100,000	NONE	NONE	P
SP	Guru.Com - Common Stock	$15,001 - $50,000	NONE	NONE	P
SP	iBiquity Digital Corp. - Common Stock	$15,001 - $50,000	NONE	NONE	P
SP	iGeneration, Inc. - Common Stock (Formerly US Web)	$1,001 - $15,000	NONE	NONE	E
SP	iKnowMed Systems, Inc.	$1,001 - $15,000	NONE	NONE	P

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SP	Infogear - Common Stock (See Cisco)				
SP	Infospace, Inc. - Public Common Stock	$0	CAPITAL GAINS	$0	PS
SP	InfoSpace.Com, Inc.	$50,001 - $100,000	NONE	NONE	N/A
SP	Interlocl, LLC - Common Stock	$15,001 - $50,000	NONE	NONE	P
SP	Intraop, LLC - Common Stock	$50,001 - $100,000	NONE	NONE	P increase
SP	Isochron, LLC - Common Stock	$15,001 - $50,000	NONE	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nancy Pelosi

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SP	Japan Partners - Common Stock	$50,001 - $100,000	NONE	NONE	N/A
SP	Learning Technologies, Inc. - Common Stock (Formerly Sera Technologies)	$1,001 - $15,000	NONE	NONE	E
SP	LEG Partners, III, L.P. - Common Stock	$1 - $1,000	NONE	NONE	N/A
SP	Liberate Technologies - Public Common Stock	$1,001 - $15,000	NONE	NONE	E
SP	Lions Gate Limited Partnership (Cordavalle) - Golf Development Partnership	$500,001 - $1,000,000	NONE	NONE	N/A
SP	Lucent Technologies - Public Common Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A

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SP	Lucent Technologies (Formerly Nexabit)	$1,001 - $15,000	NONE	NONE	E
SP	LuxN, LLC - Common Stock	$15,001 - $50,000	NONE	NONE	P
SP	Mainstreet - Common Street	$0	CAPITAL GAINS	$0	S
SP	McGrath Rentcorp. Inc.	$500,001 - $1,000,000	DIVIDENDS/CAPITAL GAINS	$100,001 - $1,000,000	S partial
SP	MedicaLogic/Medscape, Inc. - Public Common Stock	$0	CAPITAL GAINS	$0	S
SP	MontaVista, LLC - Common Stock	$15,001 - $50,000	NONE	NONE	P

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SP	Mosher Partners, LP, Sacramento, CA - Real Estate Partnership	$500,001 - $1,000,000	NONE	NONE	N/A
SP	MP3.Com, Inc. - Public Common Stock	$0	CAPITAL GAINS	$0	S
	Natelli Communities, (Formerly PIA-Natelli Communities)	$250,001 - $500,000	PARTNERSHIP INCOME	$100,001 - $1,000,000	N/A
SP	Nautilus Leasing Services - Container leasing company	$50,001 - $100,000	NONE	NONE	N/A
SP	Navis Holdings, LLC - Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Net2Phone, Inc. - Public Common Stock	$1,001 - $15,000	NONE	NONE	E

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SP	NetClerk, Inc.	$1,001 - $15,000	NONE	NONE	P
SP	NetZero, Inc. - Public Common Stock	$0	CAPITAL GAINS	$0	S
SP	Nexibit - Common Stock (See Lucent)				
SP	Nexsi, LLC - Common Stock	$15,001 - $50,000	NONE	NONE	P
SP	Niku Corp. - Public Common Stock	$1,001 - $15,000	NONE	NONE	E
SP	Nine Forty Five Battery, LLC, San Francisco, CA - Real Estate Partnership	$1,000,001 - $5,000,000	RENT	$100,001 - $1,000,000	N/A

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SP	Nine Hundred One Battery, San Francisco, CA - Real Estate Partnership	$15,001 - $50,000	RENT	$5,001 - $15,000	N/A
SP	Ninth House Network	$1,001 - $15,000	NONE	NONE	P
SP	Oakwood Homes Corp. - Bonds	$500,001 - $1,000,000	INTEREST	$50,001 - $100,000	P
SP	Oakwood Homes Corp - Public Common Stock	$50,001 - $100,000	NONE	NONE	PS
SP	Odyssey, LLC - Common Stock	$50,001 - $100,000	NONE	NONE	P
SP	Olliance 2 - Common Stock	$1,001 - $15,000	NONE	NONE	P

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SP	OnDisplay - Common Stock (Merged into Vignette)				
SP	Online Tool & Equipment Corp (OnTool) - Common Stock	$15,001 - $50,000	NONE	NONE	P
SP	Orlimar, LLC - Common Stock	$15,001 - $50,000	NONE	NONE	P
SP	Pacific Microsonics - Common Stock	$0	CAPITAL GAINS	$0	S
SP	Pensare, LLC	$15,001 - $50,000	NONE	NONE	P
SP	PIA-Avenel, Potomac, MD	$0	PARTNERSHIP INCOME	$5,001 - $15,000	S

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SP	Piatti Restaurant Co., Tiburon, CA - Restaurant Operating Company	$1,000,001 - $5,000,000	PARTNERSHIP INCOME	$50,001 - $100,000	N/A
SP	Picture IQ Corp. - Common Stock (Formerly Digital Intelligence	$15,001 - $50,000	NONE	NONE	E
Sp	Potomac Investment Assoc, Gathersberg, MD - Real Estate Partnership	$50,001 - $100,000	CAPITAL CAINS	$15,001 - $50,000	N/A
SP	Plumtree Software, Inc. - Common Stock	$100,001 - $250,000	NONE	NONE	P increase
SP	QIC (Quinton Instrument Company)	$15,001 - $50,000	NONE	NONE	P

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SP	QualComm, Inc. - Common Stock	$0	CAPITAL GAINS	$0	PS
SP	QualComm, Inc. - Public Common Stock (Formerly Snap Track)	$1,001 - $15,000	NONE	NONE	N/A
SP	Rainmaker Systems, Inc. - Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Ravenswood Winery, Inc. - Public Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Read-Rite Corp., Inc. - Public Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Recommender 2 - Common Stock	$50,001 - $100,000	NONE	NONE	P

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SP	REOF v - Real Estate Partnership	$100,001 - $250,000	NONE	NONE	P
SP	Robert Half Intl., Inc. - Public Common Stock	$250,001 - $500,000	CAPITAL GAINS	$100,001 - $1,000,000	S partial
SP	Salesforce.com, LLC - Common Stock	$15,001 - $50,000	NONE	NONE	P
SP	Salon.com - Public Common Stock	$1,001 - $15,000	CAPITAL GAINS	$0	S partial
SP	San Ysidro Ltd. Partners	$0	CAPITAL GAINS	$100,001 - $1,000,000	S
SP	San Ysidro Management Corp.	$0	CAPITAL GAINS	$100,001 - $1,000,000	S

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	Sera Technologies (See Learning Technologies)				
SP	Service 911 - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Shutterfly - Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Simplexis.Com - Common Stock	$1,001 - $15,000	NONE	NONE	P
SP	Slam Dunk Networks, Inc.	$15,001 - $50,000	NONE	NONE	P
	SnapTrack, Inc. - Common Stock (See QualComm)				

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SP	Speakeasy, Inc. - Common Stock	$1,001 - $15,000	NONE	NONE	P
SP	Spinan, LLC - Common Stock	$15,001 - $50,000	NONE	NONE	P
SP	Stairmaster Sports/Medical Products Inc. - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Stoneridge, LLC	$500,001 - $1,000,000	NONE	NONE	N/A
SP	Sun Microsystems - Public Common Stock (Formerly Cobalt Networks)	$1,001 - $15,000	NONE	NONE	E
SP	Tellabs, Inc. - Public common stock	$250,001 - $500,000	CAPITAL GAINS	$50,001 - $100,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nancy Pelosi

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	TeraStor Corp. - Common Stock	$15,001 - $50,000	NONE	NONE	P
SP	Thirteen Hundred One Sansome, LLC - Real Estate Partnership	$1,000,001 - $5,000,000	RENT	$100,001 - $1,000,000	N/A
SP	Ticketmaster Online City Services	$0	CAPITAL GAINS	$0	S
SP	Tivium Systems, Inc. - Common Stock	$15,001 - $50,000	NONE	NONE	P
SP	Tripath Technology, Inc. - Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Trux Gate Associates Ltd. - Real Estate Partnership	$250,001 - $500,000	NONE	NONE	N/A

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SP	Union Bank of California, San Francisco, CA - savings account	$1,001 - $15,000	Interest	$1 - $200	N/A
SP	US Web (See iGeneration)				
SP	V3 Semiconductor, Inc. - Public Common Stock	$0	CAPITAL GAINS	$0	S
SP	Vanguard Airlines, Inc. - Public Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Vignette Corp. - Public Common Stock	$100,001 - $250,000	CAPITAL GAINS	$0	PS
SP	Vignette Corp. - Common Stock (Formerly OnDisplay)	$50,001 - $100,000	NONE	NONE	E

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SP	Virtualis - Common Stock	$0	CAPITAL GAINS	$0	S
SP	2Way Corp. - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	WavTrace - Common Stock	$0	CAPITAL CAINS	$5,001 - $15,000	S
JT	Wells Fargo Bank, San Francisco, CA - savings account	$15,001 - $50,000	INTEREST	$1 - $200	N/A
SP	Witness Sys, Inc. - Public Common Stock	$1,001 - $15,000	NONE	NONE	E
SP	Xpedior, Inc. - Public Common Stock	$0	CAPITAL GAINS	$0	S

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SP	Xtreme Spectrum, Inc. - Common Stock	$15,001 - $50,000	NONE	NONE	P
SP	Yantra Corp. - Common Stock	$50,001 - $100,000	NONE	NONE	P increase
SP	Yerac Associates - Investments	$250,001 - $500,000	CAPITAL GAINS	$1,000,001 - $5,000,000	N/A
SP	You Tools - Common Stock (AKA Fastnet Corp.)	$15,001 - $50,000	INTEREST	$5,001 - $15,000	N/A
JT	Alpine Place, Alpine Meadows, CA - Condominium Apartment	$250,001 - $500,000	None	NONE	N/A
SP	45 Belden Place, San Francisco, CA - Four-story commercial building	$1,000,001 - $5,000,000	Rent	$100,001 - $1,000,000	N/A

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JT	Skellenger Lane, Napa, CA - 8 acre vineyard	$1,000,001 - $5,000,000	Vineyard	$100,001 - $1,000,000	N/A
JT	Zinfandel Lane, St. Helena, CA	$500,001 - $1,000,000	Vineyard	$100,001 - $1,000,000	N/A
JT	1600 Atlas Peak Road, Napa, CA - Real estate investment	$250,001 - $500,000	Rent	$15,001 - $50,000	N/A
SP	25 Point Lobos, San Francisco, CA - Commercial property	$1,000,001 - $5,000,000	Rent	$100,001 - $1,000,000	N/A
JT	K Street, Washington, DC - Rental Property	$500,001 - $1,000,000	Rent	$50,001 - $100,000	N/A
JT	Broadway Property, San Francisco, CA - Real Estate Option	$1,000,001 - $5,000,000	None	NONE	N/A

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Accompany, Inc. - Common Stock	P	02/22/00	$15,001 - $50,000
SP	Adforce - Common Stock (Exchange for CMGI)	E	05/14/00	$1,001 - $15,000
SP	Advanced Fibre Comm. - Public Common Stock	P	04/18/00	$100,001 - $250,000
SP	Advanced Fibre Comm - Public Common Stock	S	05/05/00	$100,001 - $250,000
SP	Alter Ego - Common Stock	P	10/24/00	$1,001 - $15,000
SP	Amazon.Com - Public Common Stock	S	09/08/00	$100,001 - $250,000
SP	Amazon.Com - Public Common Stock	S	12/28/00	$50,001 - $100,000
SP	Aplion, LLC - Common Stock	P	12/08/00	$1,001 - $15,000
SP	Apple Computer, Inc. - Public Common Stock	P	10/05/00	$15,001 - $50,000
SP	Apple Computer, Inc. Public Common Stock	S	12/29/00	$15,001 - $50,000
SP	Andover, Inc. Public Common Stock	S	12/28/00	$1,001 - $15,000
SP	Alipa Fund B	P	06/22/00	$15,001 - $50,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Auberge de Soleil - Rutherford, CA - Owns resort hotel	P	12/15/00	$250,001 - $500,000
SP	Ben & Jerry's Homemade Inc. - Public Common Stock	S	04/17/90	$100,001 - $250,000
SP	Biz360, Inc. - Common Stock	P	09//25/00	$1,001 - $15,000
SP	BridgeLink - Common Stock	P	07/26/00	$15,001 - $50,000
SP	Bright Path - Common Stock	P	05/17/00	$15,001 - $50,000
SP	Broadcom Corp. - Public Common Stock (Distribution from Access Technology)	P	11/17/00	$1,001 - $15,000
SP	Carey International - Public Common Stock	P	04/05/00	$1,000,001 - $5,000,000
SP	Carey International - Public Common Stock	P	04/06/00	$100,001 - $250,000
SP	Carey International	S	01/04/00	$100,001 - $250,000
SP	Carey International Public Common Stock	S	09/20/00	$1,000,001 - $5,000,000
SP	Cascade - Common Stock (Merged with Engage, Inc.)	E	9/12/00	$1,001 - $15,000
SP	Chyron Corp. - Public Common Stock	P	03/16/00	$250,001 - $500,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Chyron Corp. - Public Common Stock	S	12/26/00	$15,001 - $50,000
SP	Cierra Photonics - Common Stock	P	10/05/00	$15,001 - $50,000
SP	Cisco Systems, Inc. - Common Stock	P	03/29/00	$50,001 - $100,000
SP	Cisco Systems, Inc. - Common Stock	S	12/29/00	$15,001 - $50,000
SP	Colbalt Networks, Inc. - Public Common Stock (Merged with Sun Microsystems)	E	12/11/00	$1,001 - $15,000
SP	Collab.net - Common Stock	P	04/07/00	$1,001 - $15,000
SP	Collectors Universe - Common Stock	S	12/28/00	$1,001 - $15,000
SP	Conscium - Common Stock	P	05/31/00	$15,001 - $50,000
SP	Copper.com - Common Stock	P	07/12/00	$15,001 - $50,000
SP	Covalent Technologies	P	12/01/00	$15,001 - $50,000
SP	Covia Technologies - Common Stock	P	09/06/00	$1,001 - $15,000
SP	Crossworlds Software - Public Common Stock	P	06/20/00	$100,001 - $250,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Crossworlds Software - Public Common Stock	S	12/26/00	$15,001 - $50,000
SP	Currenex - Common Stock	P	04/07/00	$1,001 - $15,000
SP	Digital Intelligence - Common Stock - Exchanged for Picture IQ Corp.	E	01/13/00	$1,001 - $15,000
SP	eBenefits - Common Stock	P	01/25/00	$15,001 - $50,000
SP	Ememes - Common Stock	P	07/26/00	$15,001 - $50,000
SP	EventSource - Common Stock	P	05/09/00	$15,001 - $50,000
SP	FX Trades.com - Common Stock	P	03/09/00	$15,001 - $50,000
SP	Gap, Inc. - Public Common Stock	S	12/28/00	$100,001 - $250,000
SP	Garden.Com - Public Common Stock (Formerly Garden Escape)	S	12/28/00	$1,001 - $15,000
SP	Getthere.com, Inc. - Public Common Stock	S	11/21/00	$15,001 - $50,000
SP	Glyphica - Common Stock	P	08/22/00	$1,001 - $15,000
SP	Granite Ventures - Investment	P	12/14/00	$50,001 - $100,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Guru.com - Common Stock	P	05/17/00	$15,001 - $50,000
SP	iBiquity - Common Stock	P	01/28/00	$15,001 - $50,000
SP	iKnowledge Systems, Inc. - Common Stock	P	03/22/00	$1,001 - $15,000
SP	Infogear - Common Stock -Exchanged for Cisco	E	09/21/00	$15,001 - $50,000
SP	Infospace Inc. - Common Stock	P	007/27/00	$100,001 - $250,000
SP	Infospace Inc. - Common Stock	S	12/28/00	$15,001 - $50,000
SP	Interloci - Common Stock	P	10/27/00	$15,001 - $50,000
SP	Intraop - CommonStock	P	05/24/00	$15,001 - $50,000
SP	Isochron - Common Stock	P	08/27/00	$15,001 - $50,000
SP	Liberate Technologies (Distribution from Access Technologies)	E	10/25/00	$1,001 - $15,000
SP	LuxN - Common Stock	P	07/11/00	$15,001 - $50,000
SP	Mainstreet - Common Stock(AKA Target Smart)	S	12/21/00	$1,001 - $15,000

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SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	McGrath Rentcorp Co.	S (partial)	04/19/00	$15,001 - $50,000
SP	MedicaLogic/Medscape	S	12/26/00	$100,001 - $250,000
SP	MontaVista - Common Stock	P	08/28/00	$15,001 - $50,000
SP	MP3.com - Public Common Stock	S	12/29/00	$1,001 - $15,000
SP	Netclerk - Common Stock	P	02/14/00	$1,001 - $15,000
SP	Net 2.Phone - Public Common Stock (Distribution from Access Technologies)	E	10/31/00	$1,001 - $15,000
SP	NetZero, Inc. - Public Common Stockl	S	12/29/00	$1,001 - $15,000
	Nexibit - Common Stock (Merged with Lucent Technologies)	E	07/19/00	$1,001 - $15,000
SP	Nexsi - Common Stock	P	09/27/00	$15,001 - $50,000
SP	Niku Corp - Public Common Stock (Distribution from Access Technologies	E	12/29/00	$1,001 - $15,000
SP	Ninth House Network	P	02/09/00	$1,001 - $15,000
SP	Oakwood Homes - Bonds	P	11/15/00	$500,001 - $1,000,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Oakwood Homes - Public Common Stock	P	09/28/00	$100,001 - $250,000
SP	Oakwood Homes - Public Common Stock	S (partial)	12/28/00 Partial Sale	$15,001 - $50,000
SP	Odyssey - Common stock	P	02/09/00 Increase investment	$15,001 - $50,000
SP	Olliance 2 - Common Stock	P	08/11/00	$1,001 - $15,000
SP	OnDisplay - Public Common Stock (Merged with Vignette)	E	04/06/00	$250,001 - $500,000
SP	On Line Tool & Equipment Corp (OnTool)	P	02/29/00	$15,001 - $50,000
SP	Orlimar - Common Stock	P	03/7/00	$15,001 - $50,000
SP	Pacific Microsonics	S	09/24/00	$1,001 - $15,000
SP	Pensare - Common Stock	P	02/29/00	$1,001 - $15,000
SP	PIA - Avenel, Potomac, MD - Real Estate Dvelopment	S	12/30/00	$15,001 - $50,000
SP	Plumtree - Common Stock	P	04/26/00 Increase investment	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	QIC - Quinton Instrument Co.		01/15/00	$15,001 - $50,000
SP	Qual Comm - Public Common Stock	P	01/05/00	$500,001 - $1,000,000
SP	Qual Comm - Public Common Stock	S	12/27/00	$250,001 - $500,000
SP	Qual Comm - Public Common Stock	S	12/27/00	$250,001 - $500,000
SP	Recommender 2 - Common Stock	P	01/25/00	$50,001 - $100,000
SP	REOF, V - Real Estate Investment	P	10/27/00	$100,001 - $250,000
SP	Robert Half International - Public Common Stock	S (partial)	04/17/00 Partial Sale	$1,000,001 - $5,000,000
SP	Salesforce.com - Common Stock	P	04/07/00	$15,001 - $50,000
SP	Salon.Com - Public Common Stock	S	12/28/00	$1,001 - $15,000
SP	San Ysidro Ranch Ltd. Partners	S	09/1/00	$500,001 - $1,000,000
SP	San Ysidro Ranch Management Co.	S	10/10/00	$500,001 - $1,000,000
SP	SciQuest - Common Stock (Formerly EMAX)	P	01/15/00	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Service 911 -Common Stock	P	03/20/00	$15,001 - $50,000
SP	Simplexis.com - Common Stock	P	04/07/00	$1,001 - $15,000
SP	Slam Dunk Networks - Common Stock	P	04/07/00	$15,001 - $50,000
SP	Snap Track - Common Stock (Merged with Qual Comm)	E	03/02/00	$50,001 - $100,000
SP	441 South Folsom	S	03/15/00	$1,001 - $15,000
SP	Speakeasy, Inc. - Common Stock	P	05/19/00	$1,001 - $15,000
SP	Spirian - Common Stock	P	09/11/00	$15,001 - $50,000
SP	Tellabs - Public Common Stock	S	05/10/00 partial sale	$50,001 - $100,000
SP	TeraStor Corporation - Common Stock	P	02/22/00	$15,001 - $50,000
SP	Ticketmaster Online - Public Common Stock	S	12/26/00	$15,001 - $50,000
SP	Tivium Systems, Inc. - Common Stock	P	03/06/00	$15,001 - $50,000
SP	US Web - Common Stock - Exchanged for iGeneration	E	10/03/00	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Nancy Pelosi

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	V3Seimiconductor - Public Common Stock	S	12/29/00	$15,001 - $50,000
SP	Vignette Corp - Public Common Stock	S	12/28/00	$100,001 - $250,000
SP	Virtuallis - Common Stock	S	09/28/00	$1,001 - $15,000
SP	WavTrace, Inc. - Common Stock	S	08/31/00	$1,001 - $15,000
SP	Witness System, Inc - Public Common Stock - Distribution from Access Technologies	E	09/07/00	$1,001 - $15,000
SP	Xpedior - Public Common Stock	S	12/28/00	$1,001 - $15,000
SP	Xtreme Spectrum - Common Stock	P	04/28/00	$15,001 - $50,000
SP	Yantra Corp - Commons tock	P	07/27/00	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Name Nancy Pelosi

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Bank of America	Mortgage on 2555 Pennsylvania Avneue, Washington DC	$250,001 - $500,000
	x		
JT	Bank of America	Mortgage on 11 Zinfandel Lane, St. Helena, CA	$1,000,001 - $5,000,000
JT	x		
JT	Bank of America	Mortgage on 3030 K Street, Washington, DC	$500,001 - $1,000,000
SP	First Union	Mortgage on 25 Point Lobos Avenue, San Francisco, CA	$1,000,001 - $5,000,000
JT	Placer Sierra Bank	Mortgage on 19 Alpine Place, Tahoe City, CA	$50,001 - $100,000
SP	Wells Fargo Bank	Mortgage on 45 Belden Place, San Francisco, CA	$500,001 - $1,000,000
JT	World Savings	Mortgage on 1600 Atlas Peak Road, Napa, CA	$100,001 - $250,000
SP	Bank of America	Line of Credit	
SP	Union Bank of California	Line of Credit	

SCHEDULE V - LIABILITIES

Name Nancy Pelosi

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SP, DC, JT	Creditor	Type of Liability	Amount of Liability
SP	Chase Hambrecht & Quist	Margin Account	
SP			

SCHEDULE VI - GIFTS

Name Nancy Pelosi

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
NONE		

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Nancy Pelosi

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Touring of Boeing Seal Beach Facility	April 18	SF-Orange County-SF	N	Y	N	N/A
Oxford Congressional Lecture Series	May 11-13th	Baltimore-London,Gatwick-Baltimore	Y	Y	N	1 night

SCHEDULE VIII - POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	National Italian American Foundation
Board of Directors	United States Botanical Garden

SCHEDULE IX - AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
N/A		NONE

Nancy Pelosi
Member of Congress

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June 4, 2001

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RE: Financial Disclosure Statement for Year 2000

It has come to our attention that we inadvertently did not show "Amount of Liability" for the last three items on Schedule V of the subject report. Therefore, please accept the attached new "Schedule V – Liabilities" as an amendment to the report:

Sincerely,

Nancy Pelosi

cc: Rob Walker
Chief Counsel
Committee on Standards

2640 Broadway
San Francisco, CA 94115

Phone (415) 346-0172
Fax (415) 346-1211

Paid for by Friends for Congress

CHEDULE V - LIABILITIES

Name Nancy Pelosi

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CHEDULE V - LIABILITIES

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SP, DC, JT	Creditor	Type of Liability	Amount of Liability
SP	Chase Hambrecht & Quist	Margin Account	$1,000,001-$5,000,000
SP			

Continued in House Document 107–104, Volume 3 of 3

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